

Nano-QSAR: Model of mutagenicity of fullerene as a mathematical function of different conditions

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Abstract

The experimental data on the bacterial reverse mutation test (under various conditions) on C60 nanoparticles for the cases (i) TA100, and (ii) WP2uvrA/pkM101 are examined as endpoints. By means of the optimal descriptors calculated with the Monte Carlo method a mathematical model of these endpoints has been built up. The models are a mathematical function of eclectic data such as (i) dose (g/plate); (ii) metabolic activation (i.e. with mix S9 or without mix S9); and (iii) illumination (i.e. darkness or irradiation). The eclectic data on different conditions were represented by so-called quasi-SMILES. In contrast to the traditional SMILES which are representation of molecular structure, the quasi-SMILES are representation of conditions by sequence of symbols. The calculations were carried out with the CORAL software, available on the Internet at <http://www.insilico.eu/coral>. The main idea of the suggested descriptors is the accumulation of all available eclectic information in the role of logical and digital basis for building up a model. The computational experiments have shown that the described approach can be a tool to build up models of mutagenicity of fullerene under different conditions.

Key words: Fullerene nanoparticle; Mutagenicity; quasi-SMILES; nano-QSAR

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37 **1. Introduction**

38 During last decades, a list of traditional substances has expanded and a new group of
39 species – nanomaterials has been introduced both in research laboratories and in the everyday
40 life. Nanomaterials already play significant role in many aspects of modern life, such as
41 chemical and pharmacological industries, medicine, ecology, toxicology. For the case of the
42 majority of organic compounds the estimation of their dangerous and attractive properties
43 (endpoints) can be done by means of well-known quantitative structure – property / activity
44 relationships (QSPRs/QSARs) (Salahinejad and Ghasemi, 2014; Wang et al., 2015; Peric et al.,
45 2015). Thus, endpoints related to nanomaterials are waiting for predictive QSPR/QSAR models
46 (Fourches et al., 2010; Leszczynski, 2010).

47 However, the simply application of the classic approaches based on the representation of
48 various substances by the structure of their molecules is impossible for majority of
49 nanomaterials. Moreover, the classic QSPR/QSAR models are based on manipulation with
50 considerable large databases, where the number of available substances can be hundreds or
51 even thousands. In the case of nanomaterials as rule their synthesis and identification are very
52 difficult actions and as result, the number of nanomaterials which are examined in some
53 standardized conditions is very small (units or tens, in the best case).

54 Therefore, in the case of nanomaterials one cannot expect large databases to be available
55 in the near future. Consequently, predictive models for endpoints related to nanomaterials
56 should focus and be developed using small data sets. Moreover, molecular structure of majority
57 of nanomaterials has no convenient representations (for the QSPR/QSAR analyses) such as
58 molecular graph (Toropov and Toropova, 2002; Toropov and Toropova, 2003; Toropov and
59 Roy, 2004) or simplified molecular input-line entry system (SMILES) (García et al., 2010,
60 Garro Martinez et al., 2011; Ibezim et al., 2011).

61 Thus, for the case of nanomaterials, the traditional classic QSPR/QSAR theory and praxis
62 should be cardinally modified. International organizations such as, OECD (Organisation for
63 Economic Co-operation and Development) (OECD, 2006-2010) and REACH (Registration,
64 Evaluation, Authorisation and Restriction of Chemicals) (REACH, 2011) pay attention on
65 necessity of the further evolution of knowledge related to nanomaterials. Multiple toxicity of
66 nanomaterials have been carried out in the last decade. However, most of these works used
67 non-standardized testing protocols leading to unreproducible and poorly comparable results,
68 which therefore are insufficient for estimation of hazard and risk assessment (Burello and
69 Worth, 2011; Richarz et al., 2015). In addition, the unavailability of consistent physico-
70 chemical characterization data in the same studies makes it difficult to identify which material

71 characteristics determine the documented toxic effects. In other words, the need for
72 standardization and new approaches in the nanosafety area has been recognized (Salahinejad,
73 2015).

74 The possible way, to estimate endpoints related to nanomaterials with using so-called
75 optimal descriptors has been suggested (Toropova and Toropov, 2013; Toropova et al., 2013;
76 Toropova et al., 2015). The advantage of the approach is the possibility of standardization of
77 eclectic data. In the case of traditional QSPR/QSAR analyses, the paradigm "*Endpoint =*
78 *F(structure)*" is the basis to build up a predictive model. In this case, SMILES is the
79 representation of the molecular structure. In the case of the predictive modelling for
80 nanomaterials, an alternative paradigm can be defined as "*Endpoint = F(eclectic data)*". The
81 eclectic data can be represented by sequence of symbols similar to SMILES, but where
82 symbols are a representation of a list of eclectic conditions. These sequences of symbols should
83 be denoted as "quasi-SMILES" in order to avoid their false interpretation as the "traditional
84 SMILES". Since these models are based on list of eclectic conditions (not on structure), instead
85 of QSPR/QSAR one should use some other terminology for these researches, e.g. "quantitative
86 *conditions - property / activity relationships*" (QCPR/QCARs).

87 The aim of the present work is to estimate QCAR based on data (conditions) related to
88 (i) dose (g/plate); (ii) metabolic activation (with or without mix S9); and (iii) illumination (dark
89 or irradiation) as a tool to predict two endpoints. The endpoint-1 is the bacterial reverse
90 mutation test that was conducted using *Salmonella typhimurium* strains TA100; and the
91 endpoint-2 is mutagenic effect of fullerene for *Escherichia coli* strain WP2 uvrA/pKM101
92 (Shinohara et al., 2009).

93

94 **2. Method**

95 *2.1. Data*

96 Data on (i) the bacterial reverse mutation test that was conducted using *Salmonella*
97 *typhimurium* strains TA100 in the presence and absence of metabolic activation under dark
98 conditions and irradiation are taken in the literature, and (ii) the numerical data on the bacterial
99 reverse mutation test that was conducted using *Escherichia coli* strain WP2 uvrA/pKM101 in
100 the presence and absence of metabolic activation under dark condition and irradiation are taken
101 in the literature (Shinohara et al., 2009). Twenty quasi-SMILES have been defined for the
102 above data. These twenty quasi-SMILES were randomly split into the training, calibration, and
103 validation sets. The training set is basis to build up a model. The calibration set is used to avoid
104 the overtraining (situation where the excellent statistical quality of a model for the training set

105 is accompanied by poor statistical quality of the model for external quasi-SMILES). The
106 validation set is utilized for the final estimation of the predictive potential of the model. For
107 **each endpoint** three random splits were examined.

108 2.2. Optimal descriptor

109 The optimal descriptors used in this study are calculated as the following

$$110 \quad DCW(T, N_{Epoch}) = \Sigma CW(S_k) \quad (1)$$

111 where S_k is a symbol for representation of conditions listed in Table 1.

112 The $CW(S_k)$ are correlation weights of a symbol from quasi-SMILES. The correlation
113 weights of symbols are calculated with optimization by the Monte Carlo technique. The
114 correlation weights should provide maximal value of the correlation coefficient between the
115 $DCW(T, N_{Epoch})$ and experimental data on the TA100 or WP2 *uvrA/pKM101*. The T and the
116 N_{epoch} are parameters of the optimization: the T (threshold) is coefficient for classification of
117 impacts into two categories: rare and not rare. Correlation weight for rare impact is fixed equal
118 to zero. Therefore rare attributes are not involved in the model. The N_{epoch} is the number of
119 epochs of the Monte Carlo optimization.

120 Having data on optimal correlation weights, one can:

121 (i) calculate $DCW(T, N_{epoch})$ for all quasi-SMILES;

122 (ii) calculate (with data on the training set) a model for TA100 or for WP2 *uvrA/pKM101*:

123

$$124 \quad TA100 = C_0 + C_1 \times DCW(T, N_{epoch}) \quad (2)$$

$$125 \quad WP2uvrA/ pKM101 = C_0 + C_1 \times DCW(T, N_{epoch}) \quad (3)$$

126

127 These models should give preferable statistical quality for the calibration set, i.e. the best
128 quality for a preliminary external set: quasi-SMILES from the calibration set are visible but
129 their role is passive checking up “whether overtraining happens?”. If yes, the process should be
130 stopped and restart of the optimization till $N_{epoch}-1$, where overtraining is not reached should be
131 carried out (Toropova et al., 2011);

132 and

133 (iii) predictive potential of the model should be checked up with an *external* validation set. The
134 quasi-SMILES of the validation set are not involved in building up model.

135 3. Results and Discussion

136 The utilization of the optimal descriptors calculated according to scheme suggested in the
137 literature (Toropova et al., 2013) gives the following models:

138

$$139 \quad \text{TA100} = 69.4243 + 25.9161 * \text{DCW}(2,2) \quad (4)$$

140 $n=10, r^2=0.7551, s=7.67, F=25$ (sub-training set)

141 $n=5, r^2=0.9032, s=19.0$ (Calibration set)

142 $n=5, r^2=0.6826, s=14.4$ (Validation set)

143

$$144 \quad \text{TA100} = 94.0379 + 14.8826 * \text{DCW}(2,12) \quad (5)$$

145 $n=10, r^2=0.6318, s=9.00, F=14$ (sub-training set)

146 $n=5, r^2=0.7867, s=18.7$ (Calibration set)

147 $n=5, r^2=0.7108, s=25.8$ (Validation set)

148

$$149 \quad \text{TA100} = 117.813 + 12.3159 * \text{DCW}(2,3) \quad (6)$$

150 $n=10, r^2=0.6810, s=9.78, F=17$ (sub-training set)

151 $n=5, r^2=0.9396, s=7.91$ (Calibration set)

152 $n=5, r^2=0.7884, s=7.79$ (Validation set)

153

$$154 \quad \text{WP2uvrA/pKM101} = -30.1488 + 91.6017 * \text{DCW}(3,3) \quad (7)$$

155 $n=9, r^2=0.7877, s=16.2, F=26$ (sub-training set)

156 $n=6, r^2=0.8729, s=12.6$ (calibration set)

157 $n=5, r^2=0.8138, s=23.2$ (validation set)

158

$$159 \quad \text{WP2uvrA/pKM101} = -11.3863 + 46.37 * \text{DCW}(2,3) \quad (8)$$

160 $n=9, r^2=0.8206, s=15.4, F=32$ (sub-training set)

161 $n=7, r^2=0.8767, s=36.7$ (calibration set)

162 $n=5, r^2=0.8185, s=39.7$ (validation set)

163

$$164 \quad \text{WP2uvrA/pKM101} = 84.9481 + 16.1111 * \text{DCW}(3,6) \quad (9)$$

165 $n=10, r^2=0.6805, s=12.1, F=17$ (sub-training set)

166 $n=5, r^2=0.7480, s=16.5$ (calibration set)

167 $n=5, r^2=0.8367, s=25.7$ (validation set)

168

169 Table 1 contains definition of symbols used in quasi-SMILES. Table 2 contains
170 numerical data on TA100 together with the general scheme of construction of quasi-SMILES.
171 Table 3 contains the QCAR model for TA100. Table 4 contains correlation weights for

172 calculation of the optimal descriptors used in Eq.4, Eq.5 and Eq.6. Table 5 contains an example
173 of the calculation of TA100. Table 6 contains the QCAR model for WP2uvrA/pKM101. Table
174 7 contains the correlation weights for calculation with Eq. 5.

175 *Mechanistic interpretation:* Having data on several runs of the Monte Carlo procedure
176 one can classify the conditions in accordance with their correlation weights: if every time the
177 correlation weight is large in all probes, the condition should be estimated as a promoter of
178 endpoint increase; if every time the correlation weight is small in all probes, the condition
179 should be estimated as a promoter of endpoint decrease; if correlation weight has unstable
180 value in several probes of the runs of the Monte Carlo procedure, one should estimate the
181 condition as undefined. One can see, that in the case of TA100 (Table 4), darkness is promoter
182 of TA100 increase, irradiation is promoter of TA100 decrease; doses 200, 400, and 100 g/plate
183 are promoter TA100 increase; and presence or absence of Mix S9, and impacts of doses 50 and
184 100 g/plate are undefined (i.e. these are not stable promoter of increase or decrease of the
185 endpoint). In the case of WP2uvrA/pKM101 (Table 7), presence of Mix S9 is promoter of
186 decrease and absence of Mix S9 is promoter of increase of the mutagenicity; darkness is
187 promoter of decrease and irradiation is promoter of increase of the endpoint; dose 1000 g/plate
188 is promoter of the endpoint increase; and impact of doses 50, 100, 200, and 400 g/plate are
189 undefined.

190 *Domain of applicability.* The defect of quasi-SMILES (Toropova et al., 2015) is a
191 criterion to detect outliers. Table 3 contains data on quasi-SMILES which are recognized as
192 outliers for TA100 models according to the above statistical defect of quasi-SMILES
193 (Toropova et al., 2015). Table 6 contains the similar data for WP2uvrA/pKM101 models.

194 The comparison of dispersion of the experimental definition and standard error of
195 estimation of models which are calculated with Eqs. 4-9 indicates that accuracy of calculated
196 mutagenicity is lower than the accuracy of experimental definition, but these are comparable.
197 Thus the possibility of the prediction the numerical data on TA100 and WP2uvrA/pKM101 for
198 fullerene nanoparticles under different conditions is demonstrated. **In our previous work**
199 **(Toropov and Toropova, 2014) only one split into the training, calibration, and validation sets**
200 **has been examined.** The analysis of models represented here has shown that the statistical
201 quality of the models significantly depend on the distribution into visible training and
202 calibration sets and invisible validation set. However all examined models (Eqs. 4-9) have
203 predictive potentials. **In the above-mentioned work (Toropov and Toropova, 2014) the**
204 **statistical characteristics of the model for mutagenicity of fullerene (the same data on TA100)**
205 **are the following: n=10, r²=0.7549, s=7.7 (training set); n=5, r²=0.8987, s=18.7 (calibration**

206 set); and $n=5$, $r^2=0.6968$, $s=10.9$ (validation set). Consequently, the predictive potential of
207 models for splits 2 and 3 (Eqs. 5 and 6) slightly better or at least comparable with predictive
208 potential of model which has been suggested in the above work.

209 Thus, the checking up of the suggested approach (using the CORAL software,
210 <http://www.insilico.eu/coral>) with other endpoints related to substances with complex and
211 unclear molecular structure e.g. other nanomaterials and/or peptides is quite attractive task. It is
212 to be noted that the local QCAR models for small datasets, can be integrated in joint QCAR
213 model if corresponding experimental data will become available (Toropov and Toropova,
214 2015; Toropova et al., 2014; Toropova et al., 2015).

215 **4. Conclusions**

216 Due to a fact that large datasets are not available for nanomaterials one needs to develop
217 methodology that provides predictions based on small datasets. The QCAR approach developed
218 here provides reasonable good prediction for the mutagenicity (TA100 and
219 WP2uvrA/pKM101) of fullerene nanoparticels under different conditions.

220

221

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293

294

295 Table 1

296 The list of conditions which have impact upon mutagenicity of fullerene C60 nanoparticles and
297 which were utilized to build up models.

298

Conditions	Symbols for quasi-SMILES
Dark or Irradiation	“0” = Darkness “1” = Irradiation
Mix S9	“+” = with Mix S9 “- “= without Mix S9
Dose (g/plate)	“A” = 50 “B” = 100 “C” = 200 “D” = 400 “E” = 1000

299

300 Table 2

301 The numerical data on endpoints and dispersion of the experimental definition and the scheme
302 of construction of quasi-SMILES.

303

ID	Darkness or Irradiation	Mix S9	dose	TA100	WP2uvrA/pKM101
1	0	+	A	146±4	113±7
2	0	+	B	141±24	106±19
3	0	+	C	159±8	112±15
4	0	+	D	160±21	115±15
5	0	+	E	177±8	145±13
6	0	-	A	143±14	160±15
7	0	-	B	139±22	162±21
8	0	-	C	169±20	174±26
9	0	-	D	168±7	179±15
10	0	-	E	152±15	220±6
11	1	+	A	129±6	114±10
12	1	+	B	131±4	105±12
13	1	+	C	138±12	113±16
14	1	+	D	137±14	110±18
15	1	+	E	160±6	123±8
16	1	-	A	136±11	127±25
17	1	-	B	136±6	133±12
18	1	-	C	138±7	121±15
19	1	-	D	164±16	117±29
20	1	-	E	172±14	138±19

304

305 Table 3

306 Experimental and calculated with Eq. 4,5, and 6 values of the TA100 for fullerene

307 nanoparticles impact under different conditions

ID	1*	2	3	Quasi-SMILES	Expriment	Eq.4	Eq.5	Eq.6	1*	2	3
1	V	V	V	0+A	146	132.8046	115.3775	143.2652	Y	Y	Y
2	T	C	V	0+B	141	145.8861	121.3559	144.0029	N	Y	Y
3	T	C	C	0+C	159	157.1709	136.5559	157.8729	N	Y	Y
4	V	C	V	0+D	160	162.0685	142.5034	161.6878	Y	Y	Y
5	T	V	T	0+E	177	165.3027	143.7145	165.5465	Y	N	Y
6	C	C	C	0-A	143	130.9643	134.7925	147.8862	Y	Y	Y
7	T	T	C	0-B	139	144.0458	140.7708	148.6238	N	Y	N
8	V	T	T	0-C	169	155.3307	155.9708	162.4939	N	Y	Y
9	T	V	C	0-D	168	160.2283	161.9183	166.3087	Y	Y	Y
10	T	T	T	0-E	152	163.4625	163.1294	170.1675	Y	N	N
11	C	V	T	1+A	129	116.4477	113.3044	130.1540	Y	Y	Y
12	T	C	T	1+B	131	129.5292	119.2827	130.8917	N	Y	Y
13	V	T	T	1+C	138	140.8141	134.4827	144.7618	N	Y	Y
14	T	T	T	1+D	137	145.7117	140.4303	148.5766	Y	Y	Y
15	C	V	T	1+E	160	148.9459	141.6413	152.4354	Y	N	N
16	V	T	T	1-A	136	114.6075	132.7193	134.7750	Y	Y	Y
17	T	T	V	1-B	136	127.6890	138.6977	135.5127	N	Y	Y
18	T	T	C	1-C	138	138.9739	153.8977	149.3827	N	Y	Y
19	C	T	T	1-D	164	143.8715	159.8452	153.1976	Y	Y	Y
20	C	T	V	1-E	172	147.1057	161.0562	157.0563	Y	N	N

308

309 *) Split 1, 2, and 3; T=training set; C=calibration set; and V=validation set; Y=quasi-SMILES

310 falls into Domain of applicability (otherwise "N").

311

312 Table 4
 313 Correlation weights for symbols which represent conditions of impact of fullerene C60
 314 nanoparticles (Eq. 4,5, and 6 for TA100)

Symbols of quasi-SMILES, S_k	$CW(S_k)$ <i>run 1</i>	$CW(S_k)$ <i>run 2</i>	$CW(S_k)$ <i>run 3</i>
+	1.06698	0.47336	0.19086
-	0.99597	1.77789	0.56606
0	1.37861	0.96051	1.93566
1	0.74747	0.82121	0.87109
A	0.0	0.0	-0.05990
B	0.50476	0.40170	0.0
C	0.94020	1.42303	1.12619
D	1.12918	1.82266	1.43594
E	1.25398	1.90403	1.74925

315
 316
 317

318

319 Table 5

320 An example of calculation of optimal descriptor with correlation weights for fullerene C60

321 nanoparticle represented by code "0+B" ; $DCW(2,2) = 2.9504$;

322 $TA_{100} = 69.4243 + 25.9161 * 2.95036 = 145.8861$

323

324

S_k	$CW(S_k)$
0	1.3786
+	1.0670
B	0.5048

325

326

327 Table 6

328 Experimental and calculated with Eq. 7,8, and 9 values of the WP2uvrA/pKM101 for fullerene
 329 nanoparticles impact under different conditions

330

ID	1*	2	3	Quasi-SMILES	Experiment	Eq.7	Eq.8	Eq.9	1*	2	3
1	T	C	T	0+A	113	118.9590	95.2449	133.2322	Y	Y	Y
2	T	V	C	0+B	106	118.9590	127.8341	126.7746	Y	N	Y
3	V	T	V	0+C	112	118.9590	127.9124	126.7746	Y	Y	Y
4	T	T	C	0+D	115	118.9590	95.2449	126.7746	Y	Y	Y
5	T	C	T	0+E	145	152.9472	169.4336	144.8871	Y	Y	Y
6	C	T	T	0-A	160	159.2997	136.8401	162.2816	Y	Y	Y
7	V	T	C	0-B	162	159.2997	169.4294	155.8240	Y	N	Y
8	C	V	C	0-C	174	159.2997	169.5076	155.8240	Y	Y	Y
9	V	V	T	0-D	179	159.2997	136.8401	155.8240	Y	Y	Y
10	T	T	V	0-E	220	193.2879	211.0289	173.9365	Y	Y	Y
11	V	C	T	1+A	114	84.2194	53.6913	104.3638	Y	Y	Y
12	C	V	V	1+B	105	84.2194	86.2806	97.9062	Y	N	Y
13	V	C	V	1+C	113	84.2194	86.3588	97.9062	Y	Y	Y
14	T	V	C	1+D	110	84.2194	53.6913	97.9062	Y	Y	Y
15	C	T	T	1+E	123	118.2076	127.8801	116.0187	Y	Y	Y
16	T	V	T	1-A	127	124.5601	95.2866	133.4132	Y	Y	Y
17	C	T	T	1-B	133	124.5601	127.8759	126.9556	Y	N	Y
18	T	T	V	1-C	121	124.5601	127.9541	126.9556	Y	Y	Y
19	C	C	T	1-D	117	124.5601	95.2866	126.9556	Y	Y	Y
20	T	C	T	1-E	138	158.5483	169.4754	145.0681	Y	Y	Y

331

332 *) Split 1, 2, and 3; T=training set; C=calibration set; and V=validation set; Y=quasi-SMILES

333 falls into Domain of applicability (otherwise "N").

334

335

336 Table 7

337 Correlation weights for symbols which represent conditions of impact of fullerene C60

338 nanoparticles (Eq. 5, for WP2uvrA/pKM101)

Symbols of quasi-SMILES, S_k	$CW(S_k)$ <i>run 1</i>	$CW(S_k)$ <i>run2</i>	$CW(S_k)$ <i>run 3</i>
+	0.50000	0.69675	0.39982
-	0.94039	1.60115	2.20288
0	1.12778	1.60305	2.19630
1	0.74854	0.69727	0.40447
A	0.0	0.0	0.40082
B	0.0	0.99558	0.0
C	0.0	0.70300	0.0
D	0.0	0.0	0.0
E	0.37104	1.59979	1.12422

339