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## Review

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# Microglia-Astrocyte Communication in Alzheimer's Disease

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**Abstract.** Microglia and astrocytes are regarded as active participants in the central nervous system under various neuropathological conditions, including Alzheimer's disease (AD). Both microglia and astrocyte activation have been reported to occur with a spatially and temporarily distinct pattern. Acting as a double-edged sword, glia-mediated neuroinflammation may be both detrimental and beneficial to the brain. In a variety of neuropathologies, microglia are activated before astrocytes, which facilitates astrocyte activation. Yet reactive astrocytes can also prevent the activation of adjacent microglia in addition to helping them become activated. Studies describe changes in the genetic profile as well as cellular and molecular responses of these two types of glial cells that contribute to dysfunctional immune crosstalk in AD. In this paper, we construct current knowledge of microglia-astrocyte communication, highlighting the multifaceted functions of microglia and astrocytes and their role in AD. A thorough comprehension of microglia-astrocyte communication could hasten the creation of novel AD treatment approaches.

**Keywords:** Alzheimer's disease, astrocyte, cellular crosstalk, microglia, neuroinflammation

## ALZHEIMER'S DISEASE

Alzheimer's disease (AD), a very common progressive neurodegenerative disorder, is generally categorized as early-onset AD (prior to age 65) and late-onset AD (65 years or more). In the clinic, AD is perceived as a disease continuum consisting of three phases: preclinical AD, mild cognitive impairment (MCI), and dementia [1]. Although individuals with preclinical AD have yet to develop symptoms, biomarker testing shows measurable brain changes, including decreased cerebrospinal fluid and plasma amyloid- $\beta$  ( $A\beta$ ), increased global signal on amyloid positron emission tomography (PET) scans, as

well as early neuroinflammatory changes (such as microgliosis as detected by PK11195 PET imaging [2]). People with MCI due to AD show subtle symptoms, for example, memory and thinking problems that do not impair their ability to perform during day-to-day activities. Besides that, they have AD-related biomarker changes in the brain. The hallmark of AD dementia is biomarker evidence of AD brain changes in addition to noticeable memory, thought, or behavioral symptoms that interfere with everyday activities. Since AD affects people in different ways, each person may have different symptoms or progress differently through the stages.

Glener and Wong [3] initially identified the  $A\beta$  peptide as a primary component of meningeovascular amyloid in 1984, and then Masters and co-authors [4] identified the  $A\beta$  peptide as an essential constituent of  $A\beta$  plaques in 1985. Similarly, tau was first

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demonstrated to represent the cause of AD in 1988 [5]. As first observed over 100 years ago, the presence of intracellular accumulation of neurofibrillary tangles (NFTs) formed by hyperphosphorylated tau and senile plaques made of extracellular A $\beta$  peptides as the key pathological features of AD are required for diagnosis [6]. The A $\beta$  accumulation and NFTs lead to synaptic and neuronal loss. The degree of neuronal loss in the brain, especially in the hippocampus and the cerebral neocortex, is considered to be involved in the clinical manifestation of AD. In AD, it is reported that the reduction of the number of neurons is moderate in cortical structures (26–30%), while the reduction in the number of pyramidal neurons is up to 45%, which correlates with the density of NFTs and senile plaques [7–10]. Cortical atrophy is a result of neuronal loss and typically starts at the mesial temporal lobe. On macroscopic inspection, it is possible to determine that AD is present because of the obvious features of gross brain shrinkage and the loss of neuromelanin pigmentation in the locus coeruleus.

Over the past few years, the tau and amyloid hypotheses have become the dominant fundamental hypotheses for explaining pathogenic mechanisms. However, an emerging amount of literature confirms the opinion that inflammation is the principal player orchestrating the pathophysiology of AD. Examples include increased production of pro-inflammatory cytokines in the central nervous system (CNS), especially by microglia and astrocytes [11]. The neuroinflammatory response is responsible for the “two-edged sword” effect in AD. Neuroinflammation, in the early stages of AD, serves as a self-defense mechanism to safeguard the brain by accelerating tissue repair and the rapid removal of potentially damaging stimuli. As the disease continues to advance, however, an ongoing inflammatory response results in adverse outcomes, fueling neurodegeneration [12, 13]. Considering that immune dysfunction starts early in the disease course, perhaps even before relevant pathogenic brain changes, it is significant to underline the neuroinflammatory processes are not confined to the brain alone [14–21]. The major immune component of the intact CNS is composed of glia, primarily microglia, and astrocytes, involves tight and fine-tuned crosstalk, and acts as a main actor in the ongoing neuroinflammatory response in AD [22]. Strikingly, activated microglia and reactive astrocytes are especially detected in high numbers in close proximity to senile plaques in the AD brain, indicating their crucial implication in the pathogenesis of AD [23–25].

## MICROGLIA IN THE CNS

Microglia, the brain-resident macrophages, make up about 10%–15% of the total adult CNS cells. They are predominately located within the gray matter than the white matter, with the basal ganglia, hippocampus, olfactory telencephalon, and substantial nigra having the highest levels [26, 27]. Despite being extensively studied, the origin of microglia remains a subject of debate. The early 21st century was definitively defined [28] by the description in the 1990s [29, 30] that microglia originated from primitive yolk sac macrophages.

In physiological conditions, microglia are considered to be in a resting or quiescent state, they represent a ramified phenotype characterized by long branching processes and a small cellular body [31]. A major function of resting microglia is that they constantly and vigilantly surveil the cerebral parenchyma for any changes in brain homeostasis that may occur using their dynamic and motile cellular processes as sentinels [32–34]. Microglia undergo a remarkable transformation from their stationary to an active state in response to certain cues, such as brain injury [35] or immunological stimuli, and adopt a less ramified morphology and a more amoeboid morphology as their soma grows and their cellular processes shorten [36]. At the site of the lesion, activated microglia start the processes necessary for tissue repairs, such as the phagocytosis of pathogens and the removal of cellular debris and degenerated cells [37, 38].

An extensive body of *in vitro* studies has classically described microglial activation states as being either classical activation (M1, “pro-inflammatory”) or alternative activation (M2, “anti-inflammatory”) on the basis of the pattern of cytokine secretion or alterations in cellular gene expression. In general, M1 microglia is characterized by excessive production of a variety of potentially harmful pro-inflammatory mediators, such as interleukin-1 $\beta$  (IL1 $\beta$ ), IL6, IL12, IL18, tumor necrosis factor- $\alpha$  (TNF $\alpha$ ), nitric oxide (NO), and prostaglandins, and are relatively poor phagocytes, resulting in an exacerbation of inflammation. These characteristics are driven by interferon  $\gamma$  (IFN- $\gamma$ ) and lipopolysaccharide (LPS) stimulation, according to evidence from *in vitro* investigations [39, 40]. In contrast, IL4 or IL13-induced M2 microglia are characterized by cellular debris clearance and the important release of numerous trophic factors, including growth and neurotrophic factors like brain-derived neurotrophic factor (BDNF), insulin-like growth factor-1 (IGF1), nerve growth factor,

transforming growth factor- $\beta$  (TGF- $\beta$ ), and vascular endothelial growth factor [39, 40] (see Fig. 1A). M2 microglia can be further characterized into subcategories, M2a, M2b, and M2c based on their distinctive profiles of pro-inflammatory cytokines. M2a microglia, considered to be the alternatively activated microglia, is associated with neuroinflammation resolution and phagocytosis; M2b microglia, also known as the type II alternative activated microglia, is related to the increased phagocytic and immunomodulatory activity; M2c microglia referred to as the acquired deactivated microglia, is involved in anti-inflammatory actions [41].

Various CNS injury model studies illustrate that most newly recruited microglia at the injured site are the M2 phenotype in the early stages, but gradually switch to the M1 phenotype approximately a week after the injury [42–46]. This phenotype shifts from M2 to M1 resulting in the exacerbation of the inflammatory response. However, the M1-M2 dichotomy for categorizing the microglial phenotype is an oversimplification as it fails to recapitulate fully microglial functions. For instance, microglia expressed M1 and M2 phenotypic markers in the same cell across multiple time points in the context of traumatic brain injury [47]. More importantly, M2 microglia are not always beneficial [48]. An example can be seen in the work undertaken by Chakrabarty et al. [49] where exacerbated A $\beta$  deposition was observed in the TgCRND8 mice injected with adeno-associated virus serotype 1 expressing murine IL4 in the CNS. As their findings were in conflict with other published *in vivo* studies [50], the authors claimed that the increase in amyloid pathology was caused by the inability of microglia to successfully clear A $\beta$  [49].

Recently, a novel subset of microglia known as disease-associated microglia (DAM), a fraction of microglia with a distinctive transcriptional and functional signature, has been observed in immune cells of the CNS of neurodegenerative diseases, including AD [51]. DAM is identified molecularly as immune cells that display the typical microglial markers Iba1, Cst3, and Hexb, together with the upregulation of “neurodegeneration” genes, including numerous recognized AD risk genes (e.g., Apoe, Lpl, Trem2, Tyrobp, and Ctsd), and the downregulation of “homeostatic” gene set (e.g., P2ry12/P2ry13, Cx3cr1, Cst3, Cd33, Csf1r, and Tmem119) [51–53]. It should be noted that DAM cells grow in number as amyloidosis progresses, are located in close proximity to amyloid plaques, and exhibit signs of A $\beta$  uptake. Lysoso-

mal, phagocytosis, lipid metabolism, and immune response pathways are highlighted by DAM gene analytics. In the first stage of DAM activation, which is independent of, the triggering receptor expressed on myeloid cells 2 (TREM2), microglia engage and negatively regulate inhibitory receptors. The second stage, which is dependent on TREM2 and is required for full phagocytic capacity, appears to occur after the first stage [51]. The stage 1 DAM transition is necessary for the subsequent activation of the stage 2 DAM program; however, it is yet unclear how microglia move from stage 1 to stage 2 and activate the expression of TREM2 [51, 54–56]. It is interesting that one subtype of microglia seems to be beneficial for AD. The inconsistent evidence regarding microglia activation, phagocytosis, A $\beta$  clearance, and the toxic versus beneficial effects attributed to microglia in AD could be explained in part by the existence of a microglia subtype showing beneficial impacts on the development of the disease [56].

## THE BIPHASIC ROLE OF MICROGLIA IN AD

Inflammatory markers have been consistently detected in AD brains for many decades. Microglia, which represent the major source of inflammatory factors have been determined to perform an essential role in orchestrating neuroinflammation. Inflammatory substances generated by microglia and astrocytes may harm nearby tissues and, when combined with disease-associated molecular patterns (DAMPs) that have been released, may aggravate neuroinflammation and activate glia, resulting in a vicious cycle of neuroinflammation. The effects of chronic neuroinflammation on the CNS can be severe, including synapse loss, cognitive impairment, and overt neurodegeneration [57–60]. It is possible that this shift away from reparative reactions may be the result of an M2 that does not respond efficiently. Since fewer M2 microglia result in lower amounts of neuroprotective substances such as IGF1 and BDNF, which are produced by microglia, the absence of M2 cells can also make it more difficult for neuroinflammation to be regulated. Therefore, a key factor driving neurodegeneration may be the absence of a proper M2 reaction [48].

## MICROGLIA AND A $\beta$

The first groundbreaking finding concerning microglial involvement in the progression of AD was

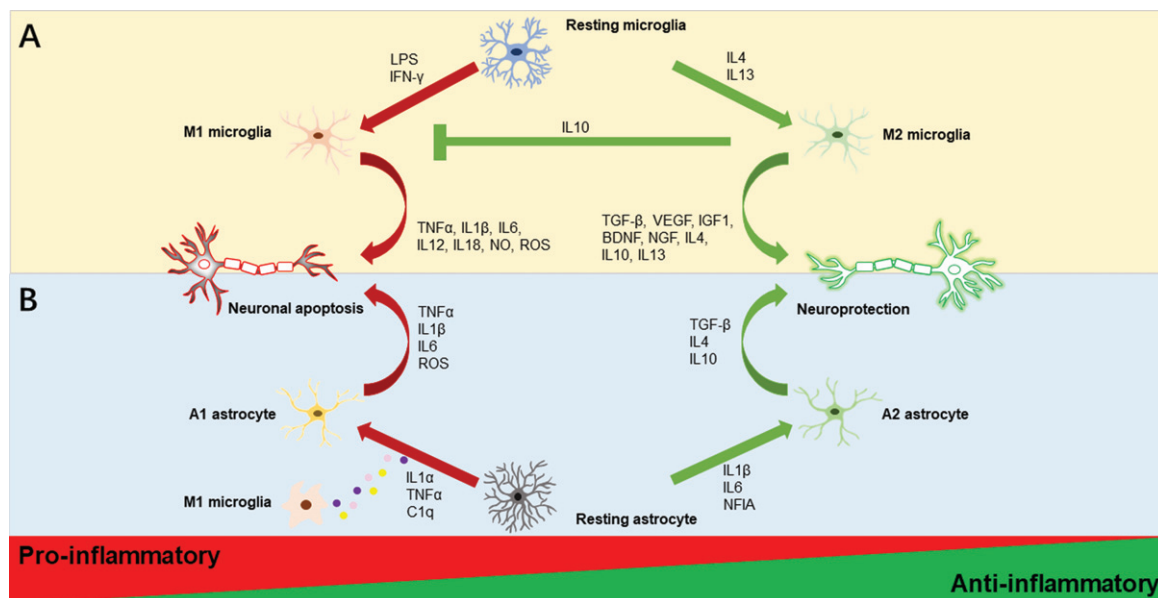


Fig. 1. **Illustrations of microglia and astrocyte polarization.** Activated microglia are often classified as M1 or M2 phenotypes as displayed in the upper half of Fig. 1. Resting microglia polarize to the M1 phenotype and produce pro-inflammatory substances such as TNF $\alpha$ , IL1 $\beta$ , IL6, IL12, IL18, NO, and ROS, when LPS and IFN- $\gamma$  are present. In contrast, IL4 and IL13 stimulation causes M2 polarization, which increases the secretion of anti-inflammatory substances such as TGF- $\beta$ , VEGF, IGF1, BDNF, NGF, IL4, IL10, and IL13. Additionally, M2 microglia could promote the inhibition of M1 microglia by the anti-inflammatory cytokine IL10. Activated astrocytes are usually divided into A1 and A2 phenotypes as depicted in the lower half of Figure 1. Astrocytes may transform into different reactive astrocyte phenotypes depending on the stimulus. The M1 microglia's production of the pro-inflammatory cytokines IL1 $\alpha$ , TNF $\alpha$ , and C1q causes the A1 neurotoxic phenotype and encourages the secretion of TNF $\alpha$ , IL1 $\beta$ , IL6, and ROS. Meanwhile, IL1 $\beta$ , IL6, and NFIA trigger the A2 phenotypic change with neuroprotective effects that increase anti-inflammatory molecules TGF- $\beta$ , IL4, and IL10. BDNF, brain-derived neurotrophic factor; C1q, complement component 1q; IFN- $\gamma$ , interferon-gamma; IGF1, insulin-like growth factor 1; IL, interleukin; LPS, lipopolysaccharide; NFIA, nuclear factor IA; NGF, nerve growth factor; NO, nitric oxide; ROS, reactive oxygen species; TGF- $\beta$ , transforming growth factor-beta 1; TNF- $\alpha$ , tumor necrosis factor-alpha; VEGF, vascular endothelial growth factor.

published at the beginning of the 1990s reporting that microglia were closely related to A $\beta$  plaques in the brains of people who have AD [61, 62]. In most cases, microglia focally aggregate around the dense-core plaques in human postmortem brain tissue slices. Some are also observed in clusters adjacent to diffuse plaques [63, 64]. Numerous studies have shown that A $\beta$  itself attracts microglia in both human samples [65, 66] and mouse transgenic models of AD [67–70], which may reflect the interaction between A $\beta$  and both microglia and astrocytes, stimulating chemokines secretion [71]. From *in vivo* imaging investigations, it is evident that plaque development is extremely rapid, and microglia react to A $\beta$  plaques by extending their processes and migrating toward the initial plaque shortly after it forms [72, 73]. The entire amyloid surface area is extensively covered by microglial processes, but some of them have less microglial coverage. These plaques are the ones that have tended to increase in volume in the course of a month [73]. Additionally, there was a highly propor-

tional correlation between microglia and A $\beta$  plaques, with the number and size of microglia changing as the size of plaques did, regulating plaque dynamics [73].

Meanwhile, microglia depletion studies have demonstrated that microglia contribute to plaque formation, compaction, and growth, neuritic dystrophy mitigation, and hippocampal neuronal gene expression regulation in response to A $\beta$  pathology, implicating the link between microglia and the development and progression of various aspects of AD [74, 75]. Furthermore, investigations utilizing microglia-deficient AD mice by Kiani Shabestari et al. [76] proved that the hereditary microglia deficiency in AD models in mice results in a switch from parenchymal amyloid plaques to cerebral amyloid angiopathy, brain calcification and hemorrhages, and early death. Transplantation of adult microglia reverses these pathological alterations, demonstrating that microglia defend the brain from harmful co-pathologies associated with AD [76].

A $\beta$  is toxic to neurons, with the oligomeric forms being more harmful than the fibrils [77]. For instance, in an *in vivo* investigation, only in the presence of amyloid aggregation does the injection of amyloid peptides into the dorsal dentate gyrus of rats cause spatial working memory deficit, synaptic dysfunction, cell death, and glial activation [78]. Meanwhile, the neurotoxicity of A $\beta$  peptides is related to their aggregation propensity [79]. One possible factor related to oligomer toxicity is the exposed hydrophobic amino acid. As demonstrated in an *in vitro* study by Yoshiike et al. [80], exposed amino acids, in particular lysine and arginine, cause electrostatic and hydrophobic interactions with cells that may be reduced by covering or altering these amino acids. Another explanation is that the molecular shedding of oligomers from injected fibrils in model organisms may lead to fibrillar amyloid toxicity. Thus, the oligomer is an underlying driver of toxicity [81].

In many respects, A $\beta$  is toxic to neurons. It may form ion-permeable pores, disturb intracellular calcium homeostasis, and induce membrane potential loss. It may also result in apoptosis, synapse loss, and cytoskeletal disruption [79]. Piling up studies indicates that, prior to neuronal death, synaptic dysfunction is vitally important in the initial phase of AD pathogenesis [82]. But how A $\beta$  mediates its impacts on synaptic plasticity can take several years to figure out. For instance, in an *ex vivo* study, the protein interacting with C kinase 1 might help explain the impact of A $\beta$  on synapses [83]. One possible cause of synaptic dysfunction in AD is glutamatergic neurotoxicity. Glutamate exerts its activity through ionotropic receptors (iGluRs) and metabotropic receptors (mGluRs). The iGluR family includes the N-methyl-D-aspartate receptor (NMDAR), the  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor (AMPA), and the kainate receptor [84]. The effects of A $\beta$  on NMDAR and AMPAR have been intensively investigated. For instance, long-term depression, spine shrinkage, and loss of synapses are all associated with AD and are driven by glutamate excitotoxicity as a consequence of A $\beta$ -induced activation of the GluN2B NMDAR [85–87].

Both oligomeric A $\beta$  and fibrillary A $\beta$  have been shown to stimulate microglial synthesis as well as the release of pro-inflammatory cytokines such as IL1, IL6, and TNF $\alpha$ ; chemokines including macrophage inflammatory protein-1 and monocyte chemoattractant-1; free radicals such as reactive oxygen species, including superoxide anions and hydroxy radicals;

complement components [77]. In addition, glutamate has been shown to contribute to microglia neurotoxicity in AD. The iGluRs and the mGluRs are both expressed in microglia, in which they play a significant role in the interaction between neurons and microglia and their activities. NMDARs, for example, are abundantly expressed on microglia, and their excessive activation can enhance A $\beta$  and tau production. Furthermore, activation of microglial AMPARs contributes to the reduction in the pro-inflammatory cytokine TNF $\alpha$  as well as the upregulation of the anti-inflammatory cytokine IL-10 [84, 88]. The absence of microglial GluA2 (an AMPAR subunit), leads to the entry of Ca<sup>2+</sup> into microglia in response to glutamate, and secretes pro-inflammatory cytokines, thereby increasing the toxicity of glutamate to neurons [84]. Regarding the mGluR family, seven mGluRs are expressed on microglia including mGluR 1–6 and 8 [84, 88]. Stimulating microglia via group-I and group-III mGluRs can result in a protective or detrimental phenotype [84, 89, 90]. For example, mGlu5 provides neuroprotection to inhibit the production of NO and TNF $\alpha$  and attenuate microglia-mediated neurotoxicity [84, 88]. Furthermore, the activation of Group-II mGluRs in microglia causes neurotoxic phenotypes, such as an increase in TNF $\alpha$  production, mitochondrial depolarization, and cell death. When A $\beta$  stimulates microglia, glutamate is released and can generate autologous feedback that further activates microglia via the mGluRs of group II [84, 91, 92]. Meanwhile, the activation of group-II mGluRs leads to increased microglial A $\beta$  uptake and clearance [84].

A $\beta$  assemblies have various properties including monomers, oligomers, and fibrils. Microglia detect and bind to soluble A $\beta$  oligomers, protofibrils, and insoluble fibrils through a variety of cell surface pattern recognition receptors, including the cell surface cluster of differentiation (CD) markers CD14, CD36, CD47,  $\alpha$ 6 $\beta$ 1 integrin, class A1 scavenger receptor (SCARA1) and Toll-like receptors (TLRs). This binding leads to a transformation of resting microglia into activated cells, limiting plaque growth and accumulation. Internalization of A $\beta$ -binding methoxy-X40 dye systemically injected at a higher rate near the plaque demonstrated the uptake of A $\beta$  by microglia [73]. Because of microglial neuroprotective capability in A $\beta$  clearance and degradation, as well as the production of antioxidants and neurotrophic factors, A $\beta$  neurotoxicity is attenuated [77].

Therefore, early activation of microglia is beneficial since it eliminates A $\beta$  plaques as well as

dying or dead cells through phagocytosis [93]. Activated microglial phagocytosis of A $\beta$  preventing plaque formation and deposition. However, chronic microglial activation may have harmful effects including the exacerbation of neuroinflammation, an increase of A $\beta$  accumulation, and accentuation of neurodegeneration as a result of ineffective phagocytosis.

## MICROGLIA AND TAU PATHOLOGY

Although the amyloid hypothesis is confirmed in AD, A $\beta$  deposition is believed to be a required but insufficient prerequisite for the progression of AD [94]. The presence of tau has been shown to be necessary for A $\beta$  toxicity [95, 96]. It is also worth noting that aggregation and spread of tau have been demonstrated to be significantly exacerbated by A $\beta$ -induced microglial activation [97]. Activated microglia have been discovered to play a role in tau pathology either directly by causing neuroinflammation or indirectly by interfering with the homeostasis around the neurons [98]. Furthermore, the association between the quantity of activated microglia and the number of NFTs was stronger than the link between the activation of microglia and the distribution of amyloid plaques [99].

Microglia carry out a dual function in the pathology of tau. On the one hand, pathologically accumulated tau may be phagocytosed by microglia [100–103]. A study by Bolos et al. [101] reported that microglia colocalized with NFTs in postmortem brain tissue from AD patients. Aggregated tau was also internalized by these cells *in vivo* as well as *in vitro* [101]. In addition, microglia can internalize and degrade hyperphosphorylated tau that has been isolated from the brain tissue of postmortem AD patients or P301S transgenic mice's brain tissue [100]. Aberrant activation of microglia, on the other hand, promotes tau pathology [104–107]. The CX3C chemokine receptor 1 (CX3CR1) has been found to possess a key function in tau pathology mediated by microglia [104, 105]. The hippocampus and frontal cortices of AD brains were shown to have considerably lower levels of CX3C chemokine ligand 1 (CX3CL1) and CX3CR1 compared to controls [105], suggesting that signaling through CX3CL1/CX3CR1 is impaired in AD. Moreover, CX3CR1-deficient AD transgenic mice displayed elevated tau phosphorylation [105]. Alterations in microglial activation have been consistently noted in tauopathy animals [104]. In animals

with tauopathies, deletion of CX3CR1 results in an even greater elevation in microglial activation and phosphorylation of microtubule-associated protein tau. Furthermore, neurodegeneration induced by tau is also influenced by variations in genes expressed by microglia, such as colony-stimulating factor 1 receptor (*CSF1R*) [107–109], *APOE* [110, 111], and *TREM2* [112].

Aging is the primary risk factor for AD [113]. In this setting, microglia are thought to contribute to the development of the pathology by losing their neuroprotective capabilities, becoming more toxic, and altering how they respond to various stimuli, leading to the emergence of a senescent phenotype [114]. These age-related modifications in microglia have previously been identified which involve changes in cytokine release [115], increased expression of activation markers [116], and emergence of dystrophic morphologies [117]. It has been suggested that the removal of senescent microglia and their replacement by young microglia capable of performing the functions of the former may offer an effective treatment for AD [118]. To this end, it has been demonstrated that tau propagation and neurodegeneration can be blocked by pharmacologically depleting microglia [108, 119]. Further investigation also showed that glial cells from P301S mice contain senescent markers. According to this paradigm, the elimination of senescent cells inhibited gliosis, tau hyperphosphorylation, and neuronal degeneration, protecting cognitive function [120]. Similar to how it affected A $\beta$  mouse models, this approach decreased the generation of senile plaques [75, 121].

The microglia not only internalize and degrade hyperphosphorylated tau but also participate in its spread [106, 107, 119]. Dujardin and Hyman [122] reported that tau proteins have been demonstrated to exhibit prion-like spreading abilities, either by active transmission from neuron to neuron or by infecting secondary cells via a seeding process. Microglia's contribution to the spread of tau is still up for debate, though. In recent work, Wang et al. [123] looked at the function of microglial nuclear factor kappa-light-chain-enhancer of activated B cells (NF- $\kappa$ B) signaling in tau processing, seeding, and spreading as well as tau toxicity using behavioral studies in conjunction with genetic deletion or activation of I $\kappa$ B kinase kinase in microglia. Their findings revealed that microglial NF- $\kappa$ B activation contributes to disease progression in tauopathy [123].

## ASTROCYTES IN THE CNS

Astrocytes, originating from neuroepithelium-derived radial glial cells [124], are the most numerous cell type in the brain comprising between 20% and 40% of all the cells. By the end of the 19th century, astrocytes have been already recognized as a morphologically heterogeneous population and classified as protoplasmic and fibrous based on their differences in cellular morphology and anatomical locations [125]. The substantial morphological variations between these two subpopulations of astrocytes were originally described using Golgi staining in combination with electron microscopy. This revealed that protoplasmic astrocytes are complicated cells with abundant fine processes that are localized in gray matter. Conversely, fibrous astrocytes are localized within the white matter, and they are less complex with little to moderate branching processes [126]. In addition to this classical morphological division, Emsley and Mackilis [127] categorized astrocytes into nine subtypes by using three complementary methods for labeling astrocytes (transgenic hGFAP-GFP mice, GFAP immunostaining, and S100 $\beta$  immunostaining), including Bergmann glia, ependymal glia, “fibrous”, marginal glia, perivascular, “protoplasmic”, “radial”, tanycytes, and “velate”.

In a healthy brain, astrocytes are involved in multifaceted physiological functions determining the normal operation of the nervous tissue, including, but not limited to, modulating the brain microenvironment, maintaining blood-brain barrier integrity, supplying energy substrates to neurons, modulating synaptic activity, and maintaining fluid, ion, pH and neurotransmitter homeostasis [124]. Concurrently, astrocytes communicate with both neural and non-neural cells, including neurons and their synapses, microglia, oligodendrocytes, oligodendrocyte progenitor cells, circulating immune cells, meningeal fibroblasts and various perivascular cells [128].

Astrocytes are implicated in a wide variety of neurological disorders as they can guard the brain against damage and repair the neural tissue after the injury. Astrocytes become reactive in an injured condition or other pathological processes and converted into reactive astrogliosis. Reactive astrocytes undergo complex and conflicting region-specific alterations, including morphological, cellular, and functional changes compared to their normal counterparts [129]. Increased glial fibrillary acid protein (GFAP) expression is a feature of reactive astrocytes

and is frequently used to identify the changes in astrocyte morphology such as hypertrophy [129].

In addition to classifying reactive astrocytes as proliferative broader-forming astrocytes and non-proliferative hypertrophic reactive astrocytes [128], the most well-known categorization of reactive astrocyte subtypes is that of the A1 (“pro-inflammatory”) and A2 (“anti-inflammatory”) phenotypes, which provide neurotoxic and neuroprotective effects, respectively [130] (see Fig. 1B). In a mouse experiment, specific cytokines secreted by microglia exposed to LPS caused A1 astrocytes to lose many of their normal astrocytic functions such as promoting neuronal survival and outgrowth, and significantly upregulate several classical complement cascade genes that have been previously reported as being destructive to synapses. Additionally, they secrete neurotoxins that rapidly kill neurons and mature differentiated oligodendrocytes [130]. In contrast, ischemic stroke-induced A2 astrocytes upregulate neurotrophic or anti-inflammatory genes that promote neuronal survival and tissue repair [130]. The recently published consensus statement, however, emphasizes the gaps in the use of these binary divisions of reactive astrocytes, for instance, A1-versus-A2, good-versus-bad, or neurotoxic-versus-neuroprotective. Furthermore, the authors argue for the promotion of reactive astrocyte research with the evaluation of multiple molecular and functional parameters in conjunction with multivariate statistical methods and the determination of the impact on pathological hallmarks [131]. Moreover, A1 astrocytes are not always harmful such as the deletion of A1 astrocytes in a murine prion disease model results in accelerated neurodegenerative disease progression [132]. Thus, the A1/A2 dichotomy is challenged and the effect of reactive astrogliosis is complicated.

## THE DUAL ROLE OF ASTROCYTES IN AD

Emerging lines of evidence have confirmed that massive reactive astrogliosis is an archetypical morphological feature in the brain of AD mouse models [133] and AD patients [134]. In AD, astrocytes experience remodeling in morphology, transcriptional profile, and function. Morphologically, they may become either atrophy or hypertrophy. Astrocytes located away from the amyloid deposits undergo atrophy, while astrocytes surrounding the plaques develop hypertrophy. Atrophic reactive astrocytes are



found in the CA1 hippocampal region, dentate gyrus, entorhinal cortex, and medial prefrontal cortex in the 3xTg-AD [135–137] and PDAPP-J20 mice [138]. In these mouse models, morphological atrophy of astrocytes occurs even before the emergence of amyloid plaques. Hypertrophic reactive astrocytes are found to accumulate around amyloid plaques with a dense layer of processes as if forming a scar-like physical barrier around them, perhaps acting as neuroprotective barriers [126]. As the disease progresses, the number of reactive astrocytes in close proximity to amyloid plaques increases and is independent of plaque size and the apolipoprotein E (*APOE*) gene [139]. A study conducted by Diaz-Amarilla et al. [140] reported that conditioned media from aged astrocytes (3xTg-AD) that were transgenic for AD displayed neurotoxic effects *in vitro*. As opposed to media derived from young astrocytes [140]. It was discovered that activation of glycogen synthase kinase-3, a kinase that participates in the hyperphosphorylation of tau, is required for these effects, which also resulted in a proinflammatory response. Similar to how IP3 receptor type 2 expression in astrocytes and decreased calcium signaling in astrocytes in human brains from AD patients, in the APPNL-F mouse model for AD [141], these changes were related to the early changes in functional connectivity and network activity. As astrocytes were restored to normal calcium signaling, neuronal hyperactivity, seizure susceptibility, behavioral disturbances, and aberrant functional connections were all addressed.

Unlike microglia, where A $\beta$  itself may be the key chemotactic signal for them, astrocytes might mainly respond to plaque-associated neuritic damage, as shown in AD postmortem human tissue [142]. A major question for astrocytes in AD is whether they are innocent bystanders or pivotal players in the progression of the disease. A plethora of studies have displayed astrocyte involvement in the clearance of A $\beta$  *in vitro*, indicating their role in attenuating neurodegenerative processes in AD [143–145]. For example, it has been demonstrated that astrocytes can internalize A $\beta$ <sub>1–42</sub> in mice [145] and human cells [146, 147] as well as internalize A $\beta$  plaques through enzymatic cleavage [148]. Neprilysin [149] and insulin-degrading enzyme [150] are expressed at higher levels in AD mice, which helps the astrocytes remove A $\beta$ , whereas the elimination of extracellular A $\beta$  is promoted by astrocyte-derived matrix metalloproteinase (MMP)-2 and MMP-9 [148]. Meanwhile, AD conditions may affect astrocytes turning them into A $\beta$  producers. In support, TGF- $\beta$ 1 [151] alone

or interferon- $\gamma$  (IFN- $\gamma$ ) in combination with TNF $\alpha$  [152, 153] or IL1 $\beta$  [152] can drive astrocytes to produce A $\beta$ . In addition, astrocytes may engulf large amounts of partly digested A $\beta$  protofibrils, ultimately resulting in the reduction of astrocytic degradation capacity and apoptosis of neurons, as shown in *in vitro* and *in vivo* studies [154].

*APOE*, the most powerful genetic risk factor for AD [155], has three primary isoforms, *APOE* E2, *APOE* E3, and *APOE* E4, with *APOE* E3 being the most widely expressed [156]. Comparatively, to non-carriers, *APOE* E4 carriers have impaired memory function, more rapid cognitive decline, and exacerbated AD pathology [157]. ApoE is principally produced in a subset of astrocytes in the CNS, where it functions as a secreted lipid-transport protein that transports lipids between organs [158, 159]. It is unclear how exactly ApoE variations affect AD pathogenesis, although it is likely to be related to A $\beta$  accumulation and clearance in the brain [155]. Studies in AD transgenic mice have revealed that *APOE* E4 has a pathogenic role in the development of AD by impairing astrocyte activation, which leads to synaptic loss, as well as by gaining harmful activities after interacting with A $\beta$  [160]. Furthermore, compared with individuals with the *APOE* E3 or other isoforms, human carriers of the *APOE* E4 allele exhibit higher levels of A $\beta$  plaques [161]. Interestingly, *APOE* E4 ablation specifically in astrocytes reduces tau that has been found to be phosphorylated and associated with tau's neurodegeneration [162]. The *APOE* E4 allele and the pathogenesis of AD are connected by these findings, implying that *APOE* E4, which is generated from astrocytes, could be a factor in regulating tauopathies [155].

Even while AD mostly exhibits neuronal tau pathology, thorn-shaped astrocytes with perinuclear tau deposits have been observed [163, 164], especially in models of aging-related tau astroglialopathy [165]. Additionally, the correlation between aberrant aggregates of tau on astrocytes and neurodegeneration suggests that astrocytes have the ability to internalize this protein [166]. As astrocytes show enrichment for proteostatic, inflammatory, and metal ion homeostasis pathways, transcriptome analysis of postmortem brains from individuals with AD revealed changes in glial gene expression were linked to levels of amyloid or phosphorylated tau in the tissue [167]. In fact, risk loci related to tauopathy mediated by astrocytes, which include genes for clustering, myocyte enhancer factor 2C, and IQ domain-containing protein K, have been discovered

in postmortem brains of AD patients using single-nuclei RNA-sequencing transcriptomics [167].

The role of astrocytes in the evolution of NFTs in AD has attracted far less attention. However, studies have demonstrated reactive astrocytes can penetrate the extracellular ghost NFTs with their processes in advanced AD [168]. Thus, these NFTs may display both tau and GFAP immunoreactivities [169, 170]. A further finding from postmortem research is that the quantity of reactive astrocytes is correlated with the number of tangles and the stage of NFTs formation in the para-hippocampal cortex [171]. Collectively, these findings indicate that astrocytes participate in NFT progressions in AD.

### **CROSTALK BETWEEN MICROGLIA AND ASTROCYTES**

Major types of glial cells in the brain include microglia, astrocytes, and oligodendrocytes, and the ratio of glia to neurons in the brains of humans and other primates is closer to 1:1 [172]. Glia crosstalk is pivotal for brain development, function, and disease. There is a constant fine and intimate crosstalk between microglia and astrocytes, thus influencing one another's activity. The molecular conversation between them is maintained in part via secreted mediators, such as cytokines, chemokines, growth factors, mitogenic factors, NO, reactive oxygen species, neurotransmitters, gliotransmitters, innate immune mediators, tissue damage molecules such as adenosine triphosphate (ATP), and metabolic mediators such as glutamate, that may involve in cellular metabolism and mediate tissue changes [173]. Additionally, communication among microglia, astrocytes, and neurons is through extracellular vesicles (EVs) release and response. Exosomes and microvesicles are examples of EVs that act as cell communicators and immune response regulators. They may also function as biomarkers for diseases and as components of medicine delivery systems. Due to their ability to be secreted and absorbed by both cell types, EVs are crucial mediators of communication between microglia and astrocytes [174, 175]. EVs, with the capability of transporting cargo packaged by the originating cells, may engage in the pathogenesis of neurodegenerative disorders through the transport and transfer of toxic aggregates, such as tau and A $\beta$  in AD [176]. In a rodent model of AD, for example, microglia were shown to spread tau via exosome secretion

and depletion of microglia dramatically reduced tau propagation [177]. Besides, a recent study described the importance of microglia in A $\beta$  phagocytosis and the propagation of A $\beta$  pathology by invading non-diseased brain tissues [178]. Moreover, an *in vitro* study showed that microglia internalize exosomes released from cells overexpressing A $\beta$ PP. In addition to the ability of exosomes to induce microglial activation and release of proinflammatory cytokines [179]. Furthermore, it has been reported that activated microglia-derived exosomes spread the inflammatory milieu composed of proteins implicated in cellular adhesion/extracellular matrix organization, cellular metabolism, and autophagy-lysosomal pathway, which modulate astrocyte activity [180].

In diverse neuropathologies, microglia are activated earlier than astrocytes. For instance, in cultured human fetal microglia and astrocytes, astrocytes responded to the microglia-secreted product IL1 $\beta$ , but not the primary stimulus LPS [181], indicating that astrocyte activation may be a secondary consequence of microglial activation. In AD, pattern recognition receptors such as TLRs are considered to be implicated in triggering glial activation. To date, ten human TLRs and thirteen murine TLRs have been described, although TLR10 is non-functional in mice [182]. They can recognize both external pathogen-associated molecular patterns (PAMPs) and internal DAMPs. Numerous cytokines and chemokines derived from activated microglia cause an immune response when DAMPs or PAMPs are detected [183]. Human microglia express TLR1-13 except for TLR10 and mouse microglia express TLR1-10 [184], while astrocytes express TLR1-5 and TLR9 in humans as well as TLR1-9 in mice [185]. Probably because astrocytes express comparatively low levels of TLRs, they cannot directly build up responses to pathogens but require the presence of microglia to sense the pathogen and secrete signals to trigger their activation [186]. The lack of response from human astrocytes to LPS stimulation may be explained by the low levels of TLR4 expression in these cells, which are important for detecting LPS from Gram-negative bacteria [186]. These results together imply that microglia appear to be more susceptible to pathogen recognition than astrocytes, and the probable pattern of glial activation is that microglia become activated to develop an innate immune response upon entry of a pathogen into the CNS, activated microglia then send inflammatory cytokine-mediated activation signals to reactive astrocytes [186] (see Fig. 2).

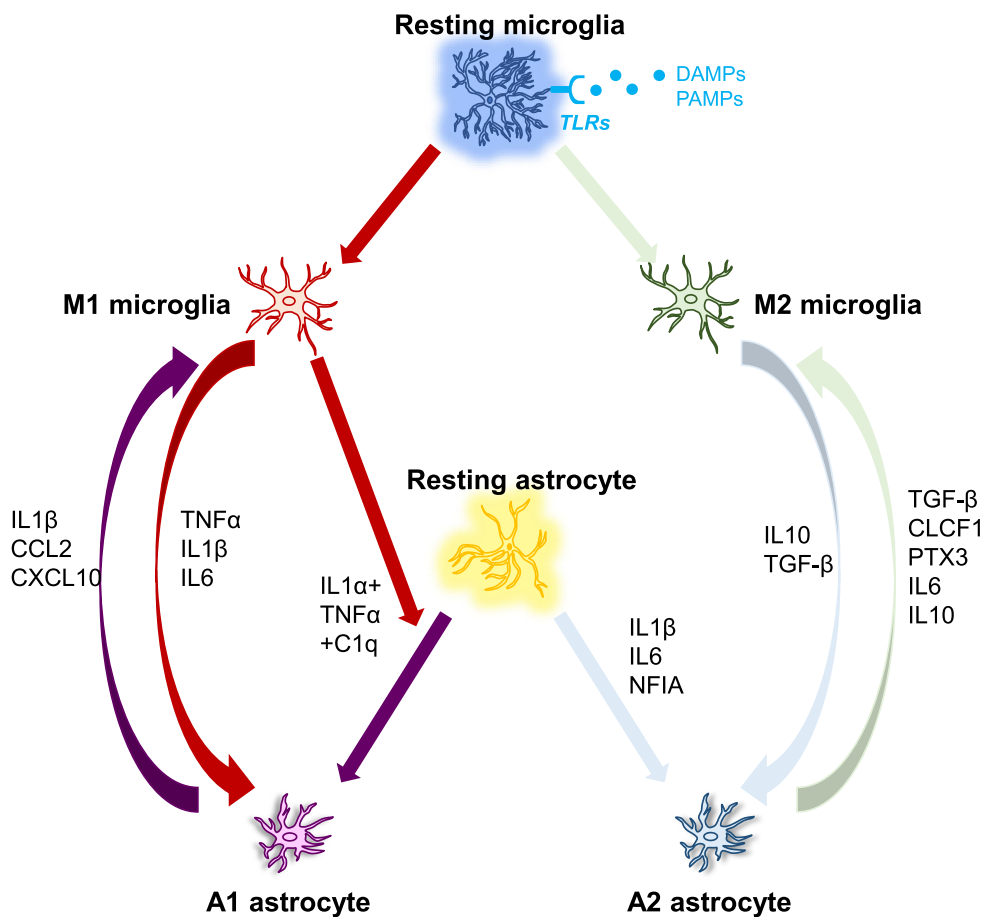


Fig. 2. **The interplay between microglia and astrocytes.** DAMP/PAMP signaling activates microglia via TLR receptors, which then regulate the phenotypes of astrocytes, which can range from neurotoxic to neuroprotective. Microglia and astrocytes can have a direct effect on each other via numerous molecules as demonstrated in Fig. 2. C1q, Complement component 1q; CCL2, C-C motif ligand 2; CLCF1, Cardiotrophin-like cytokine factor 1; CXCL10, C-X-C, motif chemokine ligand 10; IFN- $\gamma$ , Interferon gamma; IL, Interleukin; NFIA, Nuclear factor 1A; PTX3, Pentraxin 3; TGF- $\beta$ , Transforming growth factor-beta 1; TNF $\alpha$ , Tumor necrosis factor alpha.

The  $\beta$ -chemokines are major chemoattractant molecules that affect cell motility, and in addition to their activation, microglia and astrocytes must migrate to the site of the injury. Apart from the variations in their chemokine secretion, both glia exhibit distinct motile responses. Human microglia are stimulated to migrate when CCL2, CCL3, and CCL4 are introduced to chemotaxis chambers, but astrocytes are not [187]. However, one conflicting research reports that CCR2, the main CCL2 receptor that is mainly detected in mouse and human microglia [188], has been identified in cultured human fetal astrocytes and acted as a mediator in their chemotaxis [189].

Astrocytes release a variety of chemokines including CCL2, CXCL1 (GRO- $\alpha$ ), CXCL10 (IP-10), and CXCL12 (SDF-1), as well as microglia expressing certain matching chemokine receptors, such as

CCL2, CXCL12, etc., implying a strong relation may exist between microglia and astrocytes. Changes to chemokine levels may be relevant to AD pathological changes. CCL2 level is correlated with impaired memory [190, 191], and this fact has been evidenced in the plasma of MCI and AD patients with higher CCL2 [192, 193]. Moreover, CCL4 is expressed widely in reactive astrocytes in AD brains, as revealed by immunohistochemical staining [194]. Although it is unknown if CCL4 has any other effects, the absence of a chemotactic response in human astrocytes shows that astrocytes can employ CCL4 to signal to microglia. Human microglia and astrocytes can both express and secrete IL1 $\beta$  and TNF $\alpha$  [195, 196], which suggests that both cells are able to induce chemotaxis of other glial cells through the exchange of activation signals.

The appropriate interrelationship between microglia and astrocytes in the course of the disease has a significant impact on astrocytes, supporting neuronal function and survival after acute injury. On the other hand, dysregulated microglia-astrocyte interactions can result in neuroinflammation in AD. As proinflammatory stimuli cause brain disorders, microglia act as the first line of defense. Activated M2 microglia produce anti-inflammatory properties IL10 that communicates with IL10 receptor (IL10R), which is mostly expressed in A2 astrocytes. This causes the astrocyte to secrete TGF- $\beta$ . TGF- $\beta$  is a neuroprotective molecule that works to reduce inflammation while supporting the M2 noninflammatory phenotype of microglia [197]. TGF- $\beta$  has also been found to protect synapses against the deleterious effect of A $\beta$  oligomers in the AD model [198]. Additionally, impaired TGF- $\beta$  signaling is observed in the AD brain [199, 200]. However, transmitting an inflammatory message to astrocytes can sometimes have an adverse effect on the CNS environment, leading to excessive activation that can cause neurodegeneration instead of protecting it [186]. For instance, cytokines released from activated microglia and comprised of IL1 $\alpha$ , TNF $\alpha$ , and complement factor C1q, polarize astrocytes toward a neurotoxic phenotype (A1). These A1 astrocytes lose many normal functions, including phagocytosis and promoting neuronal survival [186]. TNF $\alpha$  serving as a critical driver of astrocyte activation has also been demonstrated in human-induced pluripotent stem cell-derived astrocytes [201]. A1 reactive astrocytes were also detected in aged brains, but the aging-induced upregulation reactive genes by astrocytes were reduced in knockout mice that genetically ablated the three microglial factors known to induce astrocytic polarization of A1, including TNF $\alpha$  [202]. For most patients affected by neurodegenerative disorders, reactive astrocytes are ubiquitous in the tissue of the CNS [203]. Hence, the interaction of activated microglia with astrocytes is crucial to the neuroinflammatory process. These inflammatory signals may be amplified, and it is possible that neuroinflammation from different neurological illnesses like AD uses similar molecular languages to trigger reactivity astrocytes [204].

Studies on AD conducted both *in vitro* and *in vivo* have shown that impaired astrocytic activation alters the nature of microglia. For example, in APP/PS1 GFAP<sup>-/-</sup>vim<sup>-/-</sup> mice, poor astrocyte activation increased the abundance of microglia around plaques, which may be a compensatory mecha-

nism for resolving the ineffective role of astrocytes as proposed by the study [205]. Since, astrocytes modulate microglial activation, as described by the study [206], conditioned media obtained from A $\beta$ -induced microglia-astrocyte coculture rather than the A $\beta$ -induced microglia culture lose their neurotoxic potential in hippocampus culture. In addition, Gfa2-VIVIT-mediated suppression of the astrocytic calcineurin/nuclear factor of activated T cells signaling pathway resulted in improved cognition, ameliorated synaptic dysfunction, attenuated glial activation, and diminished A $\beta$  pathology in APP/PS1 mice [207].

The bidirectional communication between microglia and astrocytes may extend to numerous small molecules released by astrocytes (see Fig. 3). For example, neuron-generated A $\beta$  activates NF- $\kappa$ B signaling in astrocytes, releasing complement C3 as a result. Afterward, complement C3 binds with the C3a receptor (C3aR) on microglial and neurons to disrupt cognitive function and impede A $\beta$  phagocytosis. More astrocytes and microglia become activated in response to damaged neurons. The pathogenic cycle is primarily promoted by complement-dependent intercellular crosstalk, and the feedforward loop can be successfully blocked by utilizing a C3aR antagonist. Thus, the astrocytic C3/C3a-microglial C3aR axis has proven to govern A $\beta$  dynamics and AD neuropathology [200, 208]. Another concrete example is the endogenous protein orosomucoid-2 (ORM2) which is predominantly expressed and produced by astrocytes during inflammation. At the same time, astrocytic ORM2 modulates microglial migration and activation through blockage of microglial C-C chemokine receptor type 5, resulting in an anti-inflammatory outcome [172, 209]. Besides, it was noted that plasminogen activator inhibitor type 1 (PAI-1), a physiological inhibitor of tissue type and urokinase-type plasminogen activators (tPA and uPA), function as a major mediator of microglia-to-astrocyte crosstalk. PAI-1 protein levels have been reported to be increased in the plasma and brain tissues of patients with AD [210]. In animal studies, the deletion of PAI-1 markedly reduced cerebral A $\beta$  burden in APP/PS1 mice [211]. Likewise, TM5275, an orally bioavailable small molecule PAI-1 inhibitor, reduced hippocampal and cortical A $\beta$  load as well as improved learning/memory function in double APP/PS1 transgenic mice [212]. In the CNS, astrocytes are the major cellular source of PAI-1. Both microglia and astrocytes have been shown to secrete PAI-1 under inflammatory conditions [172].

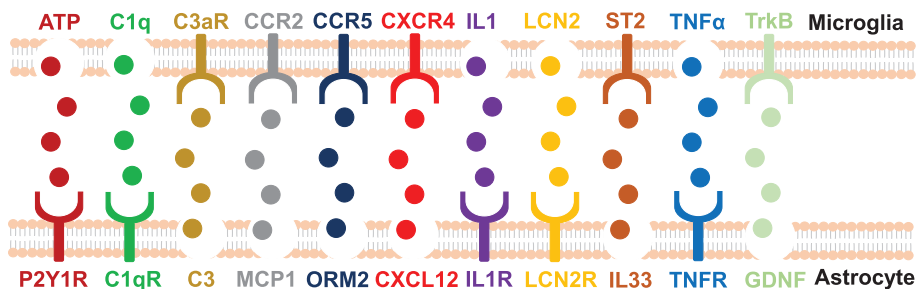


Fig. 3. **Molecular conversation between microglia and astrocytes.** The signaling pathway between microglia and astrocytes is listed here briefly (modified from Li et al. [215]). ATP, Adenosine triphosphate; C1q, Complement component 1q; C1qR, C1q: Complement component 1q receptor; C3aR, C3a receptor; CCR, C-C chemokine receptor; CXCL12, C-X-C motif chemokine ligand 12; CXCR4, C-X-C chemokine receptor type 4; GDNF, Glial cell line-derived neurotrophic factor; IL, Interleukin; LCN2, Lipocalin 2; MCP1, Monocyte chemoattractant protein 1; ORM2, Orosomucoid 2; P2Y, metabotropic; ST2, Suppressor of tumorigenicity 2; TNF, Tumor necrosis factor; TrkB, Tropomyosin-related kinase B.

PAI-1 is known to promote microglial migration through the low-density lipoprotein receptor-related protein (LRP)-1/Janus kinase (JAK)/STAT1 axis. Similarly, PAI-1 modulates the phagocytic activity of microglial cells in a vitronectin- and Toll-like receptor 2/6-dependent manner, indicating that PAI-1 derived from glia (mainly astrocytes) acts as a regulator in the migration and phagocytosis of microglia in a paracrine or autocrine manner [172]. Moreover, glial cell line-released cerebral BDNF, dopamine neurotrophic factor, and neurotrophic factor (GDNF), released from glial cell lines are some of the important astrocyte-derived molecules involved in modulating microglial activation. Recent studies determined that astrocytic GDNF can control the activation of microglia in the midbrain, thereby controlling or slowing neurodegenerative progression by inhibiting neuroinflammation [213, 214]. Taken together, these findings point out a tight correlation between microglia and astrocytes. Furthermore, these molecular mediators involved in the crosstalk between microglia and astrocytes open up new therapeutic opportunities for the treatment of AD.

## CONCLUSION

Studies of the intercommunication between microglia and astrocytes in recent years have offered novel and meaningful insights into the CNS in both health and disease. In normal brain physiology, it is noticeable that the conversation between microglia and astrocytes takes place via secreted molecules, and it is possible that molecular alterations in their interaction may underlie or promote disease states. Both microglia and astrocytes have the ability to con-

trol each other's fate and are actively involved in the close reciprocal regulation of CNS insult and injury. Typically, microglial cells respond early to pathological insults in the brain, followed by astrocytic reactions. Both glial cells release different signaling molecules to establish their mutual communication or to give autoregulatory feedback. Importantly, astrocytes exhibit a dual function in neuroinflammatory disorders, not only can enhance immune responses and postpone restoration but also can limit neuroinflammation and become neuroprotective. The scientific understanding of this bidirectional communication between microglia and astrocytes is changing dramatically, and it is greatly improving our understanding of neuroscience. Current therapeutic approaches for AD and clinical trials in the foundation for suppressing inflammatory immune response are lacking. The microglia-astrocyte dialogue sheds light on important aspects of this intricate system, which is made up of several unidentified functional cells and cells with an unfathomable range of diversity and flexibility. With advances in technology, microglia-astrocyte communication will become an effective and accurate target for future AD treatment.

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## CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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