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# New Integrated Long-Term Glimpse of RC4

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**Abstract.** RC4, which was designed by Ron Rivest in 1987, is widely used in various applications such as SSL/TLS, WEP, WPA, etc. In 1996, Jenkins discovered correlations between one output keystream and a state location, known as Glimpse Theorem. In 2013, Maitra and Sen Gupta proved Glimpse Theorem and showed correlations between two consecutive output keystreams and a state location, called long-term Glimpse. In this paper, we show a new long-term Glimpse and integrate both the new and the previous long-term Glimpse into a whole.

**Keywords:** RC4, correlation, long-term Glimpse

## 1 Introduction

RC4, which was designed by Ron Rivest in 1987, is widely used in various applications such as Secure Socket Layer/Transport Layer Security (SSL/TLS), Wired Equivalent Privacy (WEP) and Wi-fi Protected Access (WPA), etc. Due to its popularity and simplicity, RC4 has become a hot cryptanalysis target since its specification was made public on the internet in 1994. For example, typical attacks on RC4 are distinguishing attack [3, 4, 10], state recovery attack [1, 6, 9] and key recovery attack [2, 8, 11].

In 1996, Jenkins discovered correlations between one output keystream and a state location, which is known as Glimpse Theorem [5]. These correlations have biases with the probability about  $\frac{2}{N}$  higher than that of random association  $\frac{1}{N}$  using the knowledge of one output keystream. In 2013, Maitra and Sen Gupta presented the complete proof of Glimpse Theorem and showed  $S_r[r+1] = N-1$  occurs with the probability about  $\frac{2}{N}$  when two consecutive output keystreams  $Z_r$  and  $Z_{r+1}$  satisfies  $Z_{r+1} = Z_r$ , where  $S_r[r+1]$  is the  $r+1$ -th location of the state array in the  $r$ -th round as usual. They also showed the probability of  $S_r[r+1] = N-1$  is further increased to about  $\frac{3}{N}$  when  $Z_{r+1} = r+2$  as well as  $Z_{r+1} = Z_r$  occurs. Here, we call correlation with a probability significantly higher or lower than  $\frac{1}{N}$  (the probability of random association) *positive bias* or *negative bias*, respectively. Then, their results of  $S_r[r+1] = N-1$  with the probability about  $\frac{2}{N}$  correspond to cases with positive biases. Note that Theorem 2 implicitly means that there exists a value of  $S_r[r+1]$  with negative bias since  $S_r[r+1]$  varies in  $[0, N-1]$  when  $Z_{r+1} = Z_r$  has happened. We often assume uniform randomness of other certain events to prove bias of a certain event.

Therefore, it is important to prove the existence of a value with negative bias explicitly. We also call such a case with negative bias to *dual case* of a positive bias.

In this paper, we first show a dual case of  $S_r[r+1] = N-1$ , that is  $S_r[r+1] = 0$ , occurs with the probability about  $\frac{1}{N^2}$  when  $Z_{r+1} = Z_r$ , which will be shown as Theorem 4. Then, Theorem 5 will give each probability of  $S_r[r+1] = 0$  when  $Z_{r+1} = r+x$  ( $\forall x \in [0, N-1]$ ) as well as  $Z_{r+1} = Z_r$  occurs. Furthermore, during our careful observation of the dual case, we also find a new positive bias on  $S_r[r+1]$ , which will be shown in Theorem 6. Our results show that, giving two consecutive keystreams  $Z_r$  and  $Z_{r+1}$  satisfying with  $Z_{r+1} = Z_r$  and  $Z_{r+1} = r+1+x$  ( $x \in [2, N-1]$ ), the probability of  $S_r[r+1] = N-x$  is about  $\frac{2}{N}$ , which is significantly higher than random association  $\frac{1}{N}$ . Note that the previous results are limited to a value of  $S_r[r+1] = N-1$ , but our results varies  $S_r[r+1] \in [0, N-2]$ . Furthermore, both our new and the previous results are integrated into long-term Glimpse of  $Z_{r+1} = Z_r$  in Theorem 7.

This paper is organized as follows. Section 2 briefly summarizes notation and RC4 algorithms. Section 3 presents the previous works on Glimpse Theorem [5] and long-term Glimpse [7]. Section 4 first discusses positive and negative biases, and shows Theorems 4 to 7. Section 5 demonstrates experimental simulations. Section 6 concludes this paper.

## 2 Preliminary

The following notation is used in this paper.

- $K, l$  : secret key, the length of secret key (bytes)
- $r$  : number of rounds
- $N$  : number of arrays in state (typically  $N = 256$ )
- $S_r^K$  or  $S_r$  : state of KSA or PRGA after the swap in the  $r$ -th round
- $i_r, j_r$  : indices of  $S_r$  for the  $r$ -th round
- $Z_r$  : one output keystream for the  $r$ -th round
- $t_r$  : index of  $Z_r$

RC4 consists of two algorithms: Key Scheduling Algorithm (KSA) and Pseudo Random Generation Algorithm (PRGA). KSA generates the state  $S_N^K$  from a secret key  $K$  of  $l$  bytes as described in Algorithm 1. Then, the final state  $S_N^K$  in KSA becomes the input of PRGA as  $S_0$ . Once the state  $S_0$  is computed, PRGA generates one output keystream  $Z_r$  of bytes as described in Algorithm 2. The output keystream  $Z_r$  will be XORed with a plaintext to generate a ciphertext.

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### Algorithm 1 KSA

```

1: for  $i = 0$  to  $N - 1$  do
2:    $S_0^K[i] \leftarrow i$ 
3: end for
4:  $j \leftarrow 0$ 
5: for  $i = 0$  to  $N - 1$  do
6:    $j \leftarrow j + S_i^K[i] + K[i] \pmod l$ 
7:    $\text{Swap}(S_i^K[i], S_i^K[j])$ 
8: end for

```

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### Algorithm 2 PRGA

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1:  $r \leftarrow 0, i_0 \leftarrow 0, j_0 \leftarrow 0$ 
2: loop
3:    $r \leftarrow r + 1, i_r \leftarrow i_{r-1} + 1$ 
4:    $j_r \leftarrow j_{r-1} + S_{r-1}[i_r]$ 
5:    $\text{Swap}(S_{r-1}[i_r], S_{r-1}[j_r])$ 
6:    $t_r \leftarrow S_r[i_r] + S_r[j_r]$ 
7:   Output:  $Z_r \leftarrow S_r[t_r]$ 
8: end loop

```

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In this paper, we focus on PRGA and investigate correlations between two consecutive output keystreams and a state location. The probability of one location by random association is  $\frac{1}{N}$  and uniform randomness of the RC4 stream cipher is assumed if there are no significant biases.

### 3 Previous works

In 1996, Jenkins discovered correlations between one output keystream and a state location [5], which is proved as Glimpse Theorem in [7]. Glimpse Theorem is given as follows.

**Theorem 1.** [7] *After the  $r$ -th round of PRGA for  $r \geq 1$ , we have*

$$\Pr(S_r[j_r] = i_r - Z_r) = \Pr(S_r[i_r] = j_r - Z_r) \approx \frac{2}{N}.$$

In 2013, Maitra and Sen Gupta discovered other correlations between two consecutive output keystreams and the  $r + 1$ -th location of the state array in the  $r$ -th round, which is called long-term Glimpse [7]. Long-term Glimpse is given as follows. Note that Theorem 3 is a special case of Theorem 2.

**Theorem 2.** [7] *After the  $r$ -th round of PRGA for  $r \geq 1$ , we have*

$$\Pr(S_r[r + 1] = N - 1 | Z_{r+1} = Z_r) \approx \frac{2}{N}.$$

**Theorem 3.** [7] *After the  $r$ -th round of PRGA for  $r \geq 1$ , we have*

$$\Pr(S_r[r + 1] = N - 1 | Z_{r+1} = Z_r \wedge Z_{r+1} = r + 2) \approx \frac{3}{N}.$$

## 4 New results on long-term Glimpse

### 4.1 Observation

Let us investigate the previous results (Theorems 2 and 3) in detail. Here, we call correlation with a probability significantly higher or lower than  $\frac{1}{N}$  (the probability of random association) to *positive bias* or *negative bias*, respectively. Theorems 2 and 3 give cases with positive biases. Then, Theorem 2 implicitly means that there exists a value of  $S_r[r + 1]$  with negative bias since  $S_r[r + 1]$  varies in  $[0, N - 1]$  even when  $Z_{r+1} = Z_r$  has happened. We often assume uniform randomness of other certain events to prove bias of a certain event. Therefore, it is important to prove the existence of a value in  $S_r[r + 1]$  with negative bias explicitly. We also call such a case with negative bias a *dual case* of a positive bias.

One of our motivation is to find a dual case of Theorem 2, which will be shown as Theorem 4. Then, we will also prove a special case of Theorem 4 in the same way as Theorem 3 to Theorem 2, which will be shown as Theorem 5. Furthermore, during our careful observation of the dual case, we also find a new positive bias on  $S_r[r + 1]$ , which will be shown in Theorem 6. Our new results can integrate long-term Glimpse when  $Z_{r+1} = Z_r$ . The previous results are limited to the case of  $S_r[r + 1] = N - 1$  when  $Z_{r+1} = Z_r$ . Our results are not limited to  $S_r[r + 1] = N - 1$  but varies  $S_r[r + 1] \in [0, N - 2]$ . Finally, both results can be integrated in Theorem 7.

## 4.2 New negative biases

First, Theorem 4 shows a dual case of Theorem 2 as follows.

**Theorem 4.** *After the  $r$ -th round of PRGA for  $r \geq 1$ , we have*

$$\Pr(S_r[r+1] = 0 | Z_{r+1} = Z_r) \approx \frac{2}{N^2} \left(1 - \frac{1}{N}\right).$$

*Proof.* We define main events as follows:

$$A := (S_r[r+1] = 0), B := (Z_{r+1} = Z_r).$$

We first compute  $\Pr(B|A)$ , and apply Bayes' theorem to prove the claim. Assuming that event  $A$  happened, we get

$$j_{r+1} = j_r + S_r[i_{r+1}] = j_r + S_r[r+1] = j_r.$$

Then,  $\Pr(B|A)$  is computed in three paths:  $j_r = r$  (Path 1),  $j_r = r+1$  (Path 2) and  $j_r \neq r, r+1$  (Path 3). These paths include all events in order to compute  $\Pr(B|A)$ . Let  $X = S_r[r]$  and  $Y = S_r[j_r]$ .

**Path 1.** Fig. 1 shows a state transition diagram in Path 1. First, we prove  $t_r \neq t_{r+1}$ . After the  $r$ -th round,  $t_r = 2X$  holds since  $i_r = j_r = r$ . In the next round,  $t_{r+1} = X$  holds since  $j_{r+1} = j_r = r$  and  $i_{r+1} = r+1$ . Thus, we get  $t_r \neq t_{r+1}$  with probability 1 since  $X \neq 0$ . Then, if event  $B$  occurs,  $t_{r+1}$  must be swapped from  $t_r$ . This is why  $\Pr(\text{Path 1}) = \Pr(B|A \wedge j_r = r)$  is computed in two subpaths:  $i_r = 1 \wedge t_{r+1} = 1$  (Path 1-1) and  $i_r = 254 \wedge t_{r+1} = 255$  (Path 1-2).

**Path 1-1.** Fig. 2 shows a state transition diagram in Path 1-1. Then, we get event  $B$  since  $Z_{r+1} = S_{r+1}[1] = 0$  and  $Z_r = S_r[2] = 0$ . Thus, we can compute the probability of Path 1-1 as follows.

$$\Pr(\text{Path 1-1}) = \Pr(\text{Path 1} \wedge i_r = 1 \wedge t_{r+1} = 1) = 1.$$

**Path 1-2.** Fig. 3 shows a state transition diagram in Path 1-2. Then, we get event  $B$  since  $Z_{r+1} = S_{r+1}[255] = 255$  and  $Z_r = S_r[254] = 255$ . Thus, we can compute the probability of Path 1-2 as follows.

$$\Pr(\text{Path 1-2}) = \Pr(\text{Path 1} \wedge i_r = 254 \wedge t_{r+1} = 255) = 1.$$

Therefore, the probability of Path 1 is computed as follows.

$$\begin{aligned} \Pr(\text{Path 1}) &= \Pr(\text{Path 1-1}) \cdot \Pr(i_r = 1 \wedge t_{r+1} = 1) \\ &\quad + \Pr(\text{Path 1-2}) \cdot \Pr(i_r = 254 \wedge t_{r+1} = 255) \\ &\approx 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) + 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) = \frac{2}{N^2}. \end{aligned}$$

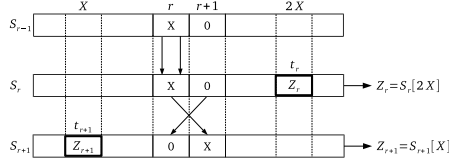


Fig. 1. Path 1

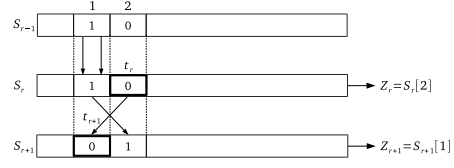


Fig. 2. Path 1-1

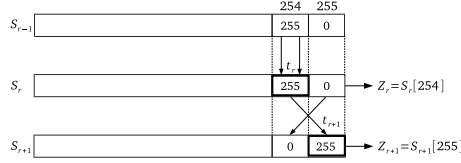


Fig. 3. Path 1-2

**Path 2.** Fig. 4 shows a state transition diagram in Path 2. We get  $t_r \neq t_{r+1}$  in the same way as Path 1. Then, event  $B$  never occurs because  $t_{r+1}$  can not be swapped from  $t_r$ . Therefore, the probability of Path 2 is computed as follows.

$$\Pr(\text{Path 2}) = \Pr(B|A \wedge j_r = r + 1) = 0.$$

**Path 3.** Fig. 5 shows a state transition diagram in Path 3. We get  $t_r \neq t_{r+1}$  in the same way as Path 1. Then, if event  $B$  occurs,  $t_{r+1}$  must be swapped from  $t_r$ . This is why  $\Pr(\text{Path 3}) = \Pr(B|A \wedge j_r \neq r, r + 1)$  is computed in two subpaths:  $t_r = j_r \wedge t_{r+1} = r + 1$  (Path 3-1) and  $t_r = r + 1 \wedge t_{r+1} = j_{r+1}$  (Path 3-2).

**Path 3-1.** Fig. 6 shows a state transition diagram in Path 3-1. Then, we get event  $B$  since  $Z_{r+1} = S_{r+1}[r + 1] = r + 1$  and  $Z_r = S_r[j_r] = r + 1$ . Thus, we can compute the probability of Path 3-1 as follows.

$$\Pr(\text{Path 3-1}) = \Pr(\text{Path 3} \wedge t_r = j_r \wedge t_{r+1} = r + 1) = 1.$$

**Path 3-2.** Fig. 7 shows a state transition diagram in Path 3-2. Then, we get event  $B$  since  $Z_{r+1} = S_{r+1}[j_{r+1}] = 0$  and  $Z_r = S_r[r + 1] = 0$ . Thus, we can compute the probability of Path 3-2 as follows.

$$\Pr(\text{Path 3-2}) = \Pr(\text{Path 3} \wedge t_r = r + 1 \wedge t_{r+1} = j_r) = 1.$$

Therefore, the probability of Path 3 is computed as follows.

$$\begin{aligned} \Pr(\text{Path 3}) &= \Pr(\text{Path 3-1}) \cdot \Pr(t_r = j_r \wedge t_{r+1} = r + 1) \\ &\quad + \Pr(\text{Path 3-2}) \cdot \Pr(t_r = r + 1 \wedge t_{r+1} = j_{r+1}) \\ &\approx 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) + 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) = \frac{2}{N^2}. \end{aligned}$$

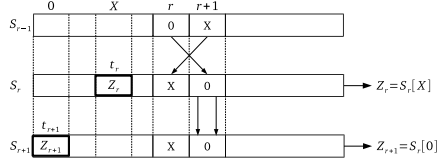


Fig. 4. Path 2

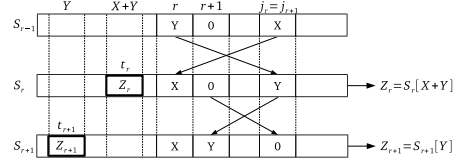


Fig. 5. Path 3

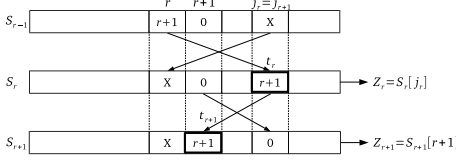


Fig. 6. Path 3-1

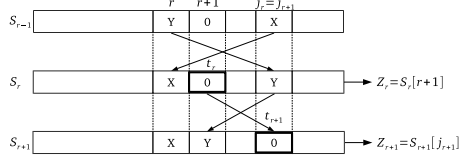


Fig. 7. Path 3-2

From these results,  $\Pr(B|A)$  is computed as follows.

$$\begin{aligned} \Pr(B|A) &= \Pr(\text{Path 1}) \cdot \Pr(j_r = r) + \Pr(\text{Path 2}) \cdot \Pr(j_r = r + 1) \\ &\quad + \Pr(\text{Path 3}) \cdot \Pr(j_r \neq r, r + 1) \\ &\approx \frac{2}{N^2} \cdot \frac{1}{N} + 0 \cdot \frac{1}{N} + \frac{2}{N^2} \cdot \left(1 - \frac{2}{N}\right) = \frac{2}{N^2} \left(1 - \frac{1}{N}\right). \end{aligned}$$

$\Pr(A|B)$  is computed as follows by applying Bayes' theorem since events  $A$  and  $B$  occur with the probability of random association  $\frac{1}{N}$ .

$$\Pr(A|B) = \frac{\Pr(B|A) \cdot \Pr(A)}{\Pr(B)} \approx \frac{\frac{2}{N^2} \left(1 - \frac{1}{N}\right) \cdot \frac{1}{N}}{\frac{1}{N}} = \frac{2}{N^2} \left(1 - \frac{1}{N}\right). \quad \square$$

Next, Theorem 5 shows a special case of Theorem 4 as follows.

**Theorem 5.** *After the  $r$ -th round of PRGA for  $r \geq 1$  and  $\forall x \in [0, N - 1]$ , we have*

$$\Pr(S_r[r + 1] = 0 | Z_{r+1} = Z_r \wedge Z_{r+1} = r + x) \approx \begin{cases} \frac{1}{N} \left(1 - \frac{2}{N^2}\right) & \text{if } x = 1 \\ \frac{2}{N^2} \left(1 - \frac{1}{N}\right) & \text{if } x = 255 \\ \frac{1}{N^2} \left(1 - \frac{2}{N}\right) & \text{if } x = N - r \\ & (x \neq 1, 255). \end{cases}$$

*Proof.* We define main events as follows.

$$A := (S_r[r + 1] = 0), B := (Z_{r+1} = Z_r), C := (Z_{r+1} = r + x).$$

$\Pr(A|B \wedge C)$  is difficult to compute because events  $B$  and  $C$  are not independent. To avoid this problem, we define a new event  $B' := (Z_r = r+x)$ . Then,  $\Pr(A|B \wedge C) = \Pr(A|B' \wedge C)$  since  $B \wedge C$  and  $B' \wedge C$  are the same event.  $\Pr(A|B' \wedge C)$  is decomposed as follows by using Bayes' theorem:

$$\Pr(A|B' \wedge C) = \frac{\Pr(A \wedge B' \wedge C)}{\Pr(B' \wedge C)} = \frac{\Pr(C|B' \wedge A) \cdot \Pr(B'|A) \cdot \Pr(A)}{\Pr(B' \wedge C)}.$$

We first compute  $\Pr(C|B' \wedge A)$  in three paths:  $j_r = r$  (Path 1),  $j_r = r+1$  (Path 2) and  $j_r \neq r, r+1$  (Path 3). These paths are the same as in Theorem 4, and thus the proof itself is similar to Theorem 4. Let  $X = S_r[r]$  and  $Y = S_r[j_r]$ .

**Path 1.** Fig. 1 shows a state transition diagram in Path 1. Note that  $t_r \neq t_{r+1}$  from the discussion of Path 1 in Theorem 4, and that event  $C$  is limited to two subpaths:  $i_r = 1$  for  $r+x = 0$  (Path 1-1) and  $t_{r+1} = 255$  for  $r+x = 255$  (Path 1-2).

**Path 1-1.** Fig. 2 shows a state transition diagram in Path 1-1. Then, event  $C$  holds under event  $B' \wedge A$  since  $Z_{r+1} = S_{r+1}[1] = 0$  and  $Z_r = S_r[2] = 0$ . Note that  $i_r = 1$  and  $r+x = 0$  hold if and only if  $x = 255$ . Thus, we can compute the probability of Path 1-1 as follows.

$$\Pr(\text{Path 1-1}) = \Pr(\text{Path 1} \wedge i_r = 1) = 1 \quad \text{if } x = 255.$$

**Path 1-2.** Fig. 3 shows a state transition diagram in Path 1-2. Then, event  $C$  holds under event  $B' \wedge A$  since  $Z_{r+1} = S_{r+1}[255] = 255$  and  $Z_r = S_r[254] = 255$ . Note that  $i_r = 254$  (see Fig. 3) and  $r+x = 255$  hold if and only if  $x = 1$ . Thus, we can compute the probability of Path 1-2 as follows.

$$\Pr(\text{Path 1-2}) = \Pr(\text{Path 1} \wedge t_{r+1} = 255) = 1 \quad \text{if } x = 1.$$

Therefore, the probability of Path 1 is computed as follows.

$$\Pr(\text{Path 1}) = \begin{cases} \Pr(\text{Path 1-1}) \cdot \Pr(i_r = 1) \approx \frac{1}{N} & \text{if } x = 255 \\ \Pr(\text{Path 1-2}) \cdot \Pr(t_{r+1} = 255) \approx \frac{1}{N} & \text{if } x = 1 \\ 0 & \text{otherwise.} \end{cases}$$

**Path 2.** Event  $C$  never occurs in Path 2 from the discussion of Path 2 in Theorem 4. Therefore, the probability of Path 2 is computed as follows.

$$\Pr(\text{Path 2}) = \Pr(C|B' \wedge A \wedge j_r = r+1) = 0.$$

**Path 3.** Fig. 5 shows a state transition diagram in Path 3. Note that  $t_r \neq t_{r+1}$  from the discussion of Path 3 in Theorem 4, and that event  $C$  is limited to two subpaths:  $t_{r+1} = r+1$  for  $x = 1$  (Path 3-1) and  $t_r = r+1 \wedge t_{r+1} = j_{r+1}$  for  $r+x = 0$  (Path 3-2).



**Path 3-1.** Fig. 6 shows a state transition diagram in Path 3-1. Then, event  $C$  holds under event  $B' \wedge A$  since  $Z_{r+1} = S_{r+1}[r+1] = r+1$  and  $Z_r = S_r[j_r] = r+1$ . Thus, we can compute the probability of Path 3-1 as follows.

$$\Pr(\text{Path 3-1}) = \Pr(\text{Path 3} \wedge t_{r+1} = r+1) = 1 \quad \text{if } x = 1.$$

**Path 3-2.** Fig. 7 shows a state transition diagram in Path 3-2. Then, event  $C$  holds under event  $B' \wedge A$  since  $Z_{r+1} = S_{r+1}[j_{r+1}] = 0$  and  $Z_r = S_r[r+1] = 0$ . Note that  $r+x=0$  ( $\forall r \in [0, N-1]$ ) means  $x = N-r$ . Thus, we can compute the probability of Path 3-2 as follows.

$$\Pr(\text{Path 3-2}) = \Pr(\text{Path 3} \wedge t_r = r+1 \wedge t_{r+1} = j_{r+1}) = 1.$$

Therefore, the probability of Path 3 is computed as follows.

$$\begin{aligned} \Pr(\text{Path 3}) &= \Pr(\text{Path 3-1}) \cdot \Pr(t_{r+1} = r+1) \\ &\quad + \Pr(\text{Path 3-2}) \cdot \Pr(t_r = r+1 \wedge t_{r+1} = j_{r+1}) \\ &\approx \begin{cases} 1 \cdot \frac{1}{N} + 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) = \frac{1}{N} \left(1 + \frac{1}{N}\right) & \text{if } x = 1 \\ 0 \cdot \frac{1}{N} + 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) = \frac{1}{N^2} & \text{if } x = N-r \ (x \neq 1). \end{cases} \end{aligned}$$

From these results,  $\Pr(C|B' \wedge A)$  is computed as follows.

$$\begin{aligned} \Pr(C|B' \wedge A) &= \Pr(\text{Path 1}) \cdot \Pr(j_r = r) + \Pr(\text{Path 2}) \cdot \Pr(j_r = r+1) \\ &\quad + \Pr(\text{Path 3}) \cdot \Pr(j_r \neq r, r+1) \\ &\approx \begin{cases} \frac{1}{N} \cdot \frac{1}{N} + \frac{1}{N} \cdot \left(1 + \frac{1}{N}\right) \cdot \left(1 - \frac{2}{N}\right) = \frac{1}{N} \left(1 - \frac{2}{N^2}\right) & \text{if } x = 1 \\ \frac{1}{N} \cdot \frac{1}{N} + \frac{1}{N^2} \cdot \left(1 - \frac{2}{N}\right) = \frac{2}{N^2} \left(1 - \frac{1}{N}\right) & \text{if } x = 255 \\ 0 \cdot \frac{1}{N} + \frac{1}{N^2} \cdot \left(1 - \frac{2}{N}\right) = \frac{1}{N^2} \left(1 - \frac{2}{N}\right) & \text{if } x = N-r \\ & (x \neq 1, 255). \end{cases} \end{aligned}$$

$\Pr(A|B \wedge C)$  is computed as follows by applying Bayes' theorem since events  $A$ ,  $B'$ ,  $C$  and  $B'|A$  occur with the probability of random association  $\frac{1}{N}$ .

$$\begin{aligned} \Pr(A|B \wedge C) &= \frac{\Pr(C|B' \wedge A) \cdot \Pr(B'|A) \cdot \Pr(A)}{\Pr(B' \wedge C)} \approx \frac{\Pr(C|B' \wedge A) \cdot \frac{1}{N} \cdot \frac{1}{N}}{\frac{1}{N} \cdot \frac{1}{N}} \\ &= \Pr(C|B' \wedge A) \approx \begin{cases} \frac{1}{N} \left(1 - \frac{2}{N^2}\right) & \text{if } x = 1 \\ \frac{2}{N^2} \left(1 - \frac{1}{N}\right) & \text{if } x = 255 \\ \frac{1}{N^2} \left(1 - \frac{2}{N}\right) & \text{if } x = N-r \ (x \neq 1, 255). \end{cases} \quad \square \end{aligned}$$

### 4.3 New positive biases and their integration

Theorem 6 shows a new positive bias on  $S_r[r+1]$  as follows.

**Theorem 6.** *After the  $r$ -th round of PRGA for  $r \geq 1$  and  $\forall x \in [2, N-1]$ , we have*

$$\Pr(S_r[r+1] = N-x | Z_{r+1} = Z_r \wedge Z_{r+1} = r+1+x) \approx \frac{2}{N} \left( 1 - \frac{1}{N} + \frac{1}{N^2} \right).$$

*Proof.* We define main events as follows.

$$\begin{aligned} A &:= (S_r[r+1] = N-x), B := (Z_{r+1} = Z_r), \\ B' &:= (Z_r = r+1+x), C := (Z_{r+1} = r+1+x). \end{aligned}$$

The proof itself is similar to Theorem 5. We first compute  $\Pr(C|B' \wedge A)$  in three paths:  $j_r = r$  (Path 1),  $j_r = r+1$  (Path 2) and  $j_r \neq r, r+1$  (Path 3). Let  $X = S_r[r]$ ,  $Y = S_r[j_r]$  and  $W = S_r[j_{r+1}]$ .

**Path 1.** Both  $t_r$  and  $t_{r+1}$  are independent since we get  $t_r = 2X$  and  $t_{r+1} = N-x+W$ . Then, event  $C$  is limited to three subpaths:  $t_{r+1} = r+1$  (Path 1-1),  $N-x = r+1+x \wedge t_{r+1} = j_{r+1}$  (Path 1-2) and  $t_{r+1} = t_r$  except when  $t_r$  equals either  $r+1$  or  $j_{r+1}$  (Path 1-3). We can compute the probability of each subpath as follows.

$$\begin{aligned} \Pr(\text{Path 1-1}) &= \Pr(\text{Path 1} \wedge t_{r+1} = r+1) = 1, \\ \Pr(\text{Path 1-2}) &= \Pr(\text{Path 1} \wedge N-x = r+1+x \wedge t_{r+1} = j_{r+1}) = 1, \\ \Pr(\text{Path 1-3}) &= \Pr(\text{Path 1} \wedge t_{r+1} = t_r) = 1 - \frac{2}{N}. \end{aligned}$$

Therefore, the probability of Path 1 is computed as follows.

$$\begin{aligned} \Pr(\text{Path 1}) &= \Pr(\text{Path 1-1}) \cdot \Pr(t_{r+1} = r+1) \\ &\quad + \Pr(\text{Path 1-2}) \cdot \Pr(N-x = r+1+x \wedge t_{r+1} = j_{r+1}) \\ &\quad + \Pr(\text{Path 1-3}) \cdot \Pr(t_{r+1} = t_r) \\ &\approx 1 \cdot \frac{1}{N} + 1 \cdot \left( \frac{1}{N} \cdot \frac{1}{N} \right) + \left( 1 - \frac{2}{N} \right) \cdot \frac{1}{N} = \frac{1}{N} \left( 2 - \frac{1}{N} \right). \end{aligned}$$

**Path 2.** We get  $t_r \neq t_{r+1}$  since  $t_r = N-x+X$ ,  $t_{r+1} = N-x+W$  and  $X \neq W$ . Then, event  $C$  is limited to two subpaths:  $t_{r+1} = r+1$  (Path 2-1) and  $N-x = r+1+x \wedge t_{r+1} = j_{r+1}$  (Path 2-2). We can compute the probability of each subpath as follows.

$$\begin{aligned} \Pr(\text{Path 2-1}) &= \Pr(\text{Path 2} \wedge t_{r+1} = r+1) = 1, \\ \Pr(\text{Path 2-2}) &= \Pr(\text{Path 2} \wedge N-x = r+1+x \wedge t_{r+1} = j_{r+1}) = 1. \end{aligned}$$

Therefore, the probability of Path 2 is computed as follows.

$$\begin{aligned} \Pr(\text{Path 2}) &= \Pr(\text{Path 2-1}) \cdot \Pr(t_{r+1} = r+1) \\ &\quad + \Pr(\text{Path 2-2}) \cdot \Pr(N-x = r+1+x \wedge t_{r+1} = j_{r+1}) \\ &\approx 1 \cdot \frac{1}{N} + 1 \cdot \left( \frac{1}{N} \cdot \frac{1}{N} \right) = \frac{1}{N} \left( 1 + \frac{1}{N} \right). \end{aligned}$$

**Path 3.** Both  $t_r$  and  $t_{r+1}$  are independent since we get  $t_r = X + Y$  and  $t_{r+1} = N - x + W$ . Then, event  $C$  is limited to three subpaths:  $t_{r+1} = r + 1$  (Path 3-1),  $N - x = r + 1 + x \wedge t_{r+1} = j_{r+1}$  (Path 3-2) and  $t_{r+1} = t_r$  except when  $t_r$  equals either  $r + 1$  or  $j_{r+1}$  (Path 3-3). We can compute the probability of each subpath as follows.

$$\begin{aligned}\Pr(\text{Path 3-1}) &= \Pr(\text{Path 3} \wedge t_{r+1} = r + 1) = 1, \\ \Pr(\text{Path 3-2}) &= \Pr(\text{Path 3} \wedge N - x = r + 1 + x \wedge t_{r+1} = j_{r+1}) = 1, \\ \Pr(\text{Path 3-3}) &= \Pr(\text{Path 3} \wedge t_{r+1} = t_r) = 1 - \frac{2}{N}.\end{aligned}$$

Therefore, the probability of Path 3 is computed as follows.

$$\begin{aligned}\Pr(\text{Path 3}) &= \Pr(\text{Path 3-1}) \cdot \Pr(t_{r+1} = r + 1) \\ &\quad + \Pr(\text{Path 3-2}) \cdot \Pr(N - x = r + 1 + x \wedge t_{r+1} = j_{r+1}) \\ &\quad + \Pr(\text{Path 3-3}) \cdot \Pr(t_{r+1} = t_r) \\ &\approx 1 \cdot \frac{1}{N} + 1 \cdot \left(\frac{1}{N} \cdot \frac{1}{N}\right) + \left(1 - \frac{2}{N}\right) \cdot \frac{1}{N} = \frac{1}{N} \left(2 - \frac{1}{N}\right).\end{aligned}$$

From these results,  $\Pr(C|B' \wedge A)$  is computed as follows.

$$\begin{aligned}\Pr(C|B' \wedge A) &= \Pr(\text{Path 1}) \cdot \Pr(j_r = r) + \Pr(\text{Path 2}) \cdot \Pr(j_r = r + 1) \\ &\quad + \Pr(\text{Path 3}) \cdot \Pr(j_r \neq r, r + 1) \\ &\approx \frac{1}{N} \left(2 - \frac{1}{N}\right) \cdot \frac{1}{N} + \frac{1}{N} \left(1 + \frac{1}{N}\right) \cdot \frac{1}{N} + \frac{1}{N} \left(2 - \frac{1}{N}\right) \cdot \left(1 - \frac{2}{N}\right) \\ &= \frac{2}{N} \left(1 - \frac{1}{N} + \frac{1}{N^2}\right).\end{aligned}$$

As a result,  $\Pr(A|B \wedge C)$  is computed as follows.

$$\Pr(A|B \wedge C) \approx \Pr(C|B' \wedge A) \approx \frac{2}{N} \left(1 - \frac{1}{N} + \frac{1}{N^2}\right). \quad \square$$

Finally, we can integrate long-term Glimpse on  $S_r[r + 1]$  as Theorem 7.

**Theorem 7.** *After the  $r$ -th round of PRGA for  $r \geq 1$  and  $\forall x \in [0, N - 1]$ , we have*

$$\begin{aligned}\Pr(S_r[r + 1] = N - x | Z_{r+1} = Z_r \wedge Z_{r+1} = r + 1 + x) \\ \approx \begin{cases} \frac{1}{N} \left(1 - \frac{2}{N^2}\right) & \text{if } x = 0 \\ \frac{1}{N} \left(3 - \frac{6}{N} + \frac{2}{N^2}\right) & \text{if } x = 1^1 \\ \frac{2}{N} \left(1 - \frac{1}{N} + \frac{1}{N^2}\right) & \text{otherwise.} \end{cases}\end{aligned}$$

<sup>1</sup> The probability of correlation when  $x = 1$  can be precisely revised to  $\frac{1}{N} \left(3 - \frac{6}{N} + \frac{2}{N^2}\right)$  from [7] in the same way as our other cases of  $x \neq 1$ , whose precise proof will be given in the final paper.

## 5 Experimental results

In order to check the accuracy of biases shown in Theorems 4 to 6, the experiments are executed using  $2^{24}$  randomly chosen keys of 16 bytes and  $2^{24}$  output keystreams for each key, which mean  $2^{48}(= N^6)$  trials of RC4. Note that  $\mathcal{O}(N^3)$  trials are reported to be sufficient to identify the biases with reliable success probability since each correlation here is of about  $\frac{1}{N}$  with respect to a base event of probability  $\frac{1}{N}$ . Our experimental environment is as follows: Linux machine with 2.6 GHz CPU, 3.8 GiB memory, gcc 4.6.3 compiler and C language. We also evaluate the percentage of relative error  $\epsilon$  of experimental values compared with theoretical values:

$$\epsilon = \frac{|\text{experimental value} - \text{theoretical value}|}{\text{experimental value}} \times 100(\%).$$

**Table 1.** Comparison between experimental and theoretical values

Results	Experimental value	Theoretical value	$\epsilon(\%)$	
Theorem 4	0.000030522	0.000030398	0.406	
Theorem 5	for $x = 1$	0.003922408	0.003906131	0.415
	for $x = 255$	0.000030683	0.000030398	0.929
	for $x = N - r$ ( $x \neq 1, 255$ )	0.000015259	0.000015140	0.780
Theorem 6	0.007812333	0.007782102	0.387	

Table 1 shows experimental, theoretical values and the percentage of relative errors  $\epsilon$ , which indicates  $\epsilon$  is small enough in each case such as  $\epsilon \leq 0.929$ . Therefore, we have convinced that theoretical values closely reflects the experimental values.

## 6 Conclusion

In this paper, we have shown dual cases of the previous long-term Glimpse. We have also shown a new long-term Glimpse. We note that the previous long-term Glimpse is limited to  $S_r[r + 1] = N - 1$  but that our results varies  $S_r[r + 1] \in [0, N - 2]$ . As a result, these long-term Glimpse can be integrated to biases of  $S_r[r + 1] \in [0, N - 1]$ . These new integrated long-term Glimpse could contribute to the improvement of state recovery attack on RC4, which remains an open problem.

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