

Title	Channels for Agent Communication
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Citation	
Issue Date	2007-03-07
Type	Presentation
Text version	publisher
URL	<a href="http://hdl.handle.net/10119/8298">http://hdl.handle.net/10119/8298</a>
Rights	
Description	4th VERITE : JAIST/TRUST-AIST/CVS joint workshop on VERification TEchnologyでの発表資料, 開催 : 2007年3月6日 ~ 3月7日, 開催場所 : 北陸先端科学技術大学院大学・知識講義棟 2 階中講義室

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# Channels for Agent Communication

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March 7, 2007

- Institutions for Agent Communication
- Formalizing Institutions
  - Channel Theory
  - Institutions in Channel Theory

# Agent Communication Languages

- ▶ Multiagent systems as a “*technological extension of human society*” ([2])
- ▶ Many aspects of agent societies and interaction modeled after the “real” world
  - Epistemic logic, belief revision, ...
- ▶ Protocols (ACLs) for agent interaction
  - Theory of Speech acts (Austin, Searle)

# ACLs and Speech Acts

ACL semantics usually defined in terms of agents' **mental attitudes** (beliefs, intentions, desires, ...)

**Example:** FIPA definition of the *inform* speech act:

$\langle i, \text{inform}(j, \phi) \rangle$

[**FP**]  $B_i\phi \wedge \neg B_i(B_j\phi \vee B_j\neg\phi)$

[**RE**]  $B_j\phi$

# Mentalistic Semantics of Speech Acts

Problems with this approach (Singh, Colombetti et al.)

- ▶ Long-standing problems with the formalization of intensional concepts like belief
- ▶ Tension between **public** nature of communication and **private** nature of agent beliefs
  - FP and RE should be *verifiable* and *transparent*
  - Belief updates do not capture the *social* updates triggered by speech acts
- ▶ Speech acts as moves in a **dialogue game**

## Social Semantics for Speech Acts

**But:** social semantics for actions is substantially different!

- ▶ Requires *collective intensionality*

Given in terms of normative and constitutive rules

- ▶ Normative rules
  - **Regulate** *existing* forms of behaviour
  - E.g. “ $\text{inform}(i, j, \phi) \rightarrow \mathcal{O}_i(\text{defend}(i, j, \phi))$ ”
- ▶ Constitutive rules
  - **Establish** *new* social realities
  - Often classificatory in nature:  
“ $\text{assert}(i, j, \phi) \rightarrow \text{inform}(i, j, \phi)$ ”

# Social Semantics for Speech Acts

## Institutions

- ▶ [...] “institutions” are systems of constitutive rules. Every institutional fact is underlain by a (system of) rule(s) of the form “X counts as Y in context C”. (J. Searle, [3]:)
- ▶ Constitutive rules as “count-as” conditionals:

$$X \Rightarrow_c Y$$

- ▶ Virtual institutions in normative MAS



# Institutions

## Logical Properties

Multiple levels of **context dependence** in a statement

“ $X \Rightarrow_c Y$ ”

- ▶  $X$  stems from an ontology of so-called “brute facts”
- ▶  $Y$  denotes some “social” aspect of reality
- ▶  $C$  lives in the realm of “institutions”

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## Preliminaries: Channel Theory

- ▶ *Qualitative* information theory
- ▶ Born out of situation semantics in 1990's
- ▶ *Information Flow: The Logic of Distributed Systems*  
(Barwise and Seligman, [1])

# Classifications

A **classification**  $\mathcal{C} = \langle S, \Sigma, \models \rangle$  consists of

- ▶ A non-empty set  $S$  of situations (events, actions, ...)
- ▶ A non-empty set  $\Sigma$  of situation *types* (attributes, properties, ...),
- ▶ A classification relation  $\models \subseteq S \times \Sigma$ , such that  $s \models \sigma$  when  $s$  is of type  $\sigma$ .

A classification  $\mathcal{C}$  is **boolean** when  $\Sigma$  is closed under boolean connectives, and  $\models$  is classical satisfaction inductively defined on the structure of formulae  $\phi \in \Sigma$

# Classifications Support Information

A **sequent**  $\langle \Gamma, \Delta \rangle$  is a pair of sets  $\Gamma, \Delta \subseteq \Sigma$

- ▶  $\Gamma \models_s \Delta$  iff, when  $s \models \gamma$  for **all**  $\gamma \in \Gamma$ , then  $s \models \delta$  for **some**  $\delta \in \Delta$
- ▶ *Theorem:* For situations  $S' \subseteq S$ , the theory of  $S'$  given by  $\{\langle \Gamma, \Delta \rangle \mid \Gamma \models_{S'} \Delta\}$  is **regular**, meaning it satisfies:

**Identity:**  $\sigma \models \sigma$   $(\sigma \in \Sigma)$

**Weakening:** if  $\Gamma \models \Delta$  then  $\Gamma, \Gamma' \models \Delta, \Delta'$   $(\Gamma, \Gamma', \Delta, \Delta' \subseteq \Sigma)$

**Global Cut:** if  $\Gamma, \Sigma_0 \models \Delta, \Sigma_1$  for all partitions  $\langle \Sigma_0, \Sigma_1 \rangle$  of  $\Sigma'$ , then  $\Gamma \models \Delta$   $(\Gamma, \Delta, \Sigma' \subseteq \Sigma)$

# Information Contexts

A **local logic**  $L$  is a tuple  $\langle \mathcal{C}, \vdash, N \rangle$  where

- ▶  $\mathcal{C}$  is a classification,
- ▶  $\vdash \subseteq \mathcal{P}ow(\Sigma_{\mathcal{C}}) \times \mathcal{P}ow(\Sigma_{\mathcal{C}})$  is a regular consequence relation on the types of  $\mathcal{C}$ , and
- ▶  $N \subseteq S$  are called “normal situations”, i.e. situations the theory  $\vdash$  is “about”. Thus,  $\Gamma \models_N \Delta$  when  $\Gamma \vdash \Delta$

$L$  is **sound** when  $N = S_A$

$L$  is (locally) **complete** iff  $\Gamma \vdash \Delta$  whenever  $\Gamma \models_N \Delta$   
(*globally* when  $N = S_A$ )

# Information Contexts

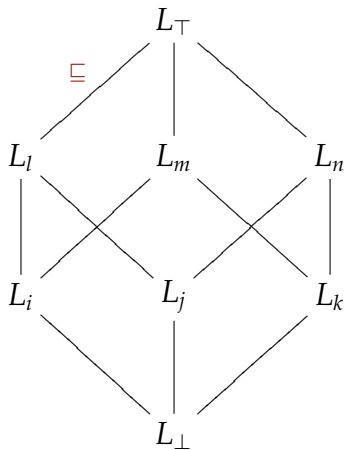
## Properties

Given two contexts  $L_1 = \langle \mathcal{C}, \vdash_1, N_1 \rangle$  and  $L_2 = \langle \mathcal{C}, \vdash_2, N_2 \rangle$

- ▶  $L_1 \sqsubseteq L_2$  iff  $\vdash_1 \subseteq \vdash_2$  and  $N_1 \supseteq N_2$
- ▶  $\langle \text{CXT}(\mathcal{C}), \sqsubseteq \rangle$  forms a complete **lattice** of local logics, with meet and join operations

$$a. L_1 \sqcap L_2 =_{\text{def}} \langle \mathcal{C}, \text{Reg}(\vdash_1 \cap \vdash_2), N_1 \cup N_2 \rangle$$

$$b. L_1 \sqcup L_2 =_{\text{def}} \langle \mathcal{C}, \text{Reg}(\vdash_1 \cup \vdash_2), N_1 \cap N_2 \rangle$$

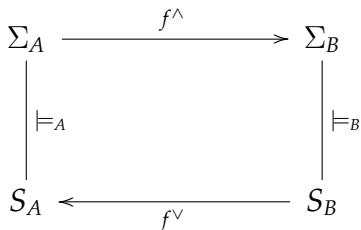
Local Logics on  $\mathcal{C}$  $\langle \text{CXT}(\mathcal{C}), \sqsubseteq \rangle$ 



# Information Flow between Classifications

Given classifications  $A$  and  $B$ , an **infomorphism**  $f : A \rightleftarrows B$  from  $A$  to  $B$  is a pair of contravariant functions  $\langle f^\wedge, f^\vee \rangle$  such that:

$$\forall s \in S_B, \sigma \in \Sigma_A : f^\vee(s) \models_A \sigma \text{ iff } s \models_B f^\wedge(\sigma)$$

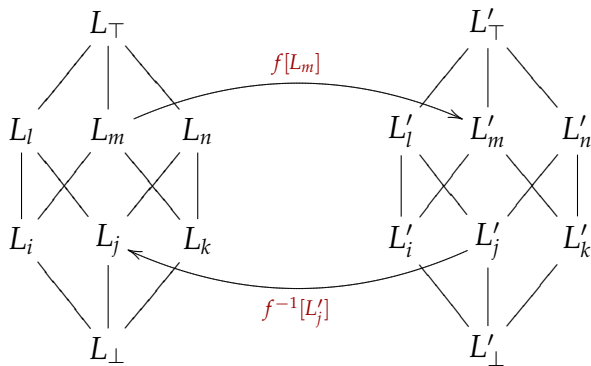


# Moving Logics over Infomorphisms

Given an infomorphism  $f : A \rightleftarrows B$ , and local logics  $L_A = \langle A, \vdash_A, N_A \rangle$  and  $L_B = \langle B, \vdash_B, N_B \rangle$ :

- ▶  $f[L_A] = \langle B, \vdash'_A, N'_A \rangle$ , where
  - a.  $\vdash'_A = \{ \langle f^\wedge(\Gamma), f^\wedge(\Delta) \rangle \mid \Gamma \vdash_A \Delta \}$
  - b.  $N'_A = \{ s \in S_B \mid f^\vee(s) \in N_A \}$
- ▶  $f^{-1}[L_B] = \langle A, \vdash'_B, N'_B \rangle$ , where
  - a.  $\vdash'_B = \{ \langle \Gamma, \Delta \rangle \mid f^\wedge(\Gamma) \vdash_B f^\wedge(\Delta) \}$
  - b.  $N'_B = \{ f^\vee(s) \in S_A \mid s \in N_B \}$

## Moving Logics over Infomorphisms



## Reasoning Across Contexts

$$\frac{\Gamma \vdash_A \Delta}{f^\wedge(\Gamma) \vdash_B f^\wedge(\Delta)} f\text{-Intro} \qquad \frac{f^\wedge(\Gamma) \vdash_B f^\wedge(\Delta)}{\Gamma \vdash_A \Delta} f\text{-Elim}$$

- ▶ ***f*-Intro**: reasoning **in** the direction of  $f$ 
  - Sound
  - Complete when  $f^\vee$  is surjective ( $S_A = f^\vee(S_B)$ )
  
- ▶ ***f*-Elim**: reasoning **against** the direction of  $f$ 
  - Sound when  $f^\vee$  is surjective
  - Complete

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# First Approximation

A given event or situation  $s$  supports an institutional fact  $Y$  in a context  $C$  when:

- i.  $s$  has a physical property  $X$ , such that
- ii.  $X$  is a proxy for  $Y$  by virtue of some institution  $I$ ,  
where
- iii. " $X$  counts as  $Y$  in context  $C$ " is a constitutive rule of  $I$ .

## Example: Classifying “Physical” Reality

A boolean classification  $\mathcal{C}_P = \langle S_P, \Sigma_P, \models_P \rangle$  of physical reality (i.e. *brute facts*), where

- ▶  $S_P$  is a non-empty set of “real-world” situations
- ▶  $\Sigma_P$  is (at least) a propositional language built from types  $\{\text{raiseHand}(x), \text{scratchHead}(y), \dots\}$
- ▶ For  $s \in S_P, \sigma \in \Sigma_P, s \models \sigma$  when  $\sigma$  is true in  $s$
- ▶ E.g.  $s \models_P \text{scratchHead}(x) \vee \neg \text{scratchHead}(x)$

# Classifying “Social” Reality

Another classification  $\mathcal{C}_S = \langle S_S, \Sigma_S, \models_S \rangle$  modeling the *social* dimension, where

- ▶  $S_S$  is a non-empty set of social situations
- ▶  $\Sigma_S$  is a propositional (deontic?) language built from types  $\{\text{makeBid}(x), \text{purchase}(x,y), \dots\}$
- ▶ e.g.  $s \models_S \text{makeBid}(x) \wedge \text{purchase}(x,y)$
- ▶  $\text{CXT}(\mathcal{C}_S)$  is the realm of **normative rules**

$$\text{makeBid}(x,y) \vdash_{AUC} \mathbf{C}_x(\text{purchase}(x,y))$$



# Formalizing Institutions

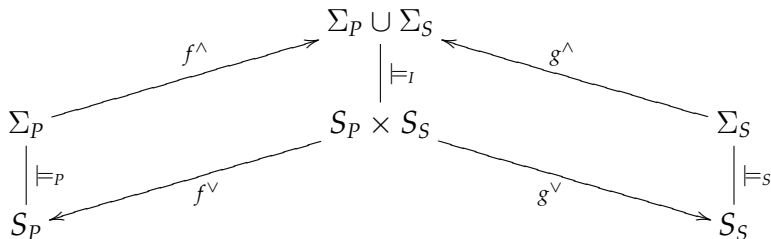
A channel classification  $\mathcal{C}_I$  connecting  $\mathcal{C}_P$  and  $\mathcal{C}_S$

- ▶ **Institutions** as theories on  $\mathcal{C}_I$  about how to align  $\mathcal{C}_P$  and  $\mathcal{C}_S$

# Formalizing Institutions

A channel classification  $\mathcal{C}_I$  connecting  $\mathcal{C}_P$  and  $\mathcal{C}_S$

- **Institutions** as theories on  $\mathcal{C}_I$  about how to align  $\mathcal{C}_P$  and  $\mathcal{C}_S$



# Alignment Semantics

$\mathcal{C}_I = \langle S_I, \Sigma_I, \models_I \rangle$  as the **sum classification**  $\mathcal{C}_P + \mathcal{C}_S$

- ▶ A set of connection tokens  $S_I = S_P \times S_S$
- ▶ Disjoint union  $\Sigma_I = \Sigma_P \cup \Sigma_S$
- ▶ For  $\langle s_0, s_1 \rangle \in S_I$ :

$$\langle s_0, s_1 \rangle \models_I \sigma_P \text{ iff } s_0 \models_P \sigma$$

$$\langle s_0, s_1 \rangle \models_I \sigma_S \text{ iff } s_1 \models_S \sigma$$

... with straightforward infomorphisms  $f$  and  $g$ , e.g.

$$f^\wedge(\sigma) = \sigma_P$$

$$f^\vee(\langle s_0, s_1 \rangle) = s_0$$

# Institutions as Local Logics on $\mathcal{C}_I$

Count-as conditionals defined in terms of constraints:

$$X \Rightarrow_C Y \quad \text{iff} \quad f^\wedge(X) \vdash_{L_C} g^\wedge(Y)$$

- ▶  $\text{raiseHand}(x) \Rightarrow_{Auc} \text{makeBid}(x)$  iff

$$f^\wedge(\text{raiseHand}(x)) \vdash_{L_{Auc}} g^\wedge(\text{makeBid}(x))$$

- ▶  $\text{raiseHand}(x) \Rightarrow_{Vot} \text{vote}(x)$  iff

$$f^\wedge(\text{raiseHand}(x)) \vdash_{L_{Vot}} g^\wedge(\text{vote}(x))$$

# Logical Properties of the Count-as Relation

Generally accepted desirables:

- ▶ Left / right logical equivalence

$$(A \Rightarrow_c B) \wedge (A \equiv A') \vdash A' \Rightarrow_c B \quad / \quad (A \Rightarrow_c B) \wedge (B \equiv B') \vdash A \Rightarrow_c B'$$

- ▶ Left disjunction

$$(A \Rightarrow_c B) \wedge (A' \Rightarrow_c B) \vdash A \vee A' \Rightarrow_c B$$

- ▶ Right conjunction

$$(A \Rightarrow_c B) \wedge (A \Rightarrow_c B') \vdash A \Rightarrow_c B \wedge B'$$

Non-desirables:

- ▶ Left and right logical consequence

$$A \Rightarrow_c B \wedge A \supset A' \not\vdash A' \Rightarrow_c B \quad / \quad A \Rightarrow_c B \wedge B \supset B' \not\vdash A \Rightarrow_c B'$$

- ▶ Left strengthening and right weakening

$$A \Rightarrow_c B \not\vdash (A \wedge A') \Rightarrow_c (B \vee B')$$

# Count-as Conditionals

Nonmonotonicity

## Problems with Weakening

$\text{raiseHand}(x) \Rightarrow_{Auc} \text{makeBid}(x)$

$\text{raiseHand}(x), \text{scratchHead}(x) \not\Rightarrow_{Auc} \text{makeBid}(x)$

# Thank You



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