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Description	



Formation of micrometer-order-thick poly-Si films on textured glass substrates by flash lamp annealing of a-Si films prepared by catalytic chemical vapor deposition

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We investigate the microstructures of polycrystalline silicon (poly-Si) films formed by flash lamp annealing (FLA) of 4.5- μm -thick precursor a-Si films prepared by catalytic chemical vapor deposition (Cat-CVD) on Cr-coated textured glass substrates. Crystallization of a-Si is performed, keeping the dome-shaped structure formed during deposition of a-Si. The poly-Si film consists of densely-packed fine grains with sizes on the order of 10 nm. The grain size tends to increase approaching the Si/Cr interface, which can be understood as the result of solid-phase nucleation and following crystallization. Minority carrier lifetimes of the poly-Si films are worse than those formed on flat substrates. This degradation might be due to gaps in the Si layer

formed during a-Si deposition or FLA.

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1. Introduction

For realization of high-efficiency, highly stable thin-film silicon (Si) solar cells, utilization of polycrystalline Si (poly-Si) formed by post-annealing of precursor amorphous Si (a-Si) has been extensively investigated [1-4]. One of the most advanced products is a solar cell consisting of poly-Si formed by furnace annealing of a-Si prepared on a glass substrate, which demonstrates conversion efficiency of over 10% [1]. There have been other approaches utilizing large-grained poly-Si layers formed through aluminum-induced crystallization (AIC) as seed layers for epitaxial growth of thick poly-Si films [3,4]. These crystallization processes require hour-order processing time or high temperature over 1000 °C. Rapid crystallization of precursor a-Si, instead of conventional furnace annealing, will realize more cost-effective and productive fabrication of thin-film poly-Si solar cells. For selective heating of micrometer-thick a-Si without thermal damage to glass, annealing time should be on the order of millisecond, judging from thermal diffusion length of glass and a-Si. By using flash lamp annealing (FLA), with millisecond pulse duration, we have succeeded in forming 4.5- μm -thick high-quality poly-Si on glass substrates, and also have demonstrated solar cell operation using the poly-Si films [5, 6]. Although the crystallization mechanism has already been partially clarified [7], more in-depth

understanding will lead to improvement of solar cell efficiency and more productive fabrication processes. In particular, crystallization of a-Si on textured glass might be effective in light trapping, and result in efficiency improvement.

In this study, we have compared the microstructures of poly-Si films formed on textured glass substrates to those formed on flat substrates for an understanding of the crystallization mechanism on textured surface by FLA. Quality of the poly-Si films has also been investigated, aiming at solar cell application.

2. Experimental details

For fundamental understanding of the crystallization mechanism, we used quartz glass substrates, instead of glass which has poor thermal resistivity, of a 20×20×0.7 mm³ size. Prior to preparation of precursor a-Si, the quartz surfaces were treated by reactive ion etching (RIE) using CF₄ gas for texture formation. The surface roughness was controlled by changing RIE processing time. Cr films 200 nm in thickness were formed on the textured glass by sputtering at room temperature for the improvement of adhesiveness [5]. Precursor a-Si films with a thickness of 4.5 μm were prepared on the Cr-coated glass substrates by catalytic chemical vapor deposition (Cat-CVD). Detailed deposition conditions have been summarized elsewhere [8]. No

dehydrogenation process was performed prior to FLA. This is one of the advantages of Cat-CVD, providing a-Si films with relatively low hydrogen content of about 3%.

FLA was performed under fixed duration of 5 ms, and at various irradiances (several tens of J/cm^2). Only one pulse was provided for each sample. The microstructures of the poly-Si films formed were characterized by atomic force microscopy (AFM), scanning electron microscopy (SEM), and transmission electron microscopy (TEM). High-pressure water vapor annealing (HPWVA) was performed aiming at defect termination of the poly-Si films before estimation of minority carrier lifetime under the optimized condition of 0.9 MPa, 400 °C, and 10 min [9]. The minority carrier lifetime was measured by microwave photoconductivity decay (μ -PCD) using a pulse laser with a wavelength of 349 nm, and with a photon density of $2 \times 10^{13} / \text{cm}^2$ for carrier excitation. The poly-Si surface was passivated by iodine/ethanol solution during μ -PCD measurement. Considering actual solar cell application, 10-nm-thick n^+ -Si layers were inserted between i-Si and Cr films in the poly-Si films for μ -PCD measurement. We have confirmed there is no significant effect on crystallization triggered by n^+ -Si layer insertion.

3. Results and discussion

Figure 1(a) shows the AFM image of a quartz surface after 30-min RIE, showing successful texturing of the surface corresponding to root mean square (RMS) roughness of 125 nm. Precursor a-Si deposited on the Cr-coated textured quartz, and poly-Si films formed by FLA of the precursor film are shown in Figs 1 (b) and (c), respectively. Crystallization of the Si films has been confirmed by Raman spectroscopy [10]. Dome-shaped structures are clearly observed in the a-Si image. These are characteristic structures due to surface roughness of the substrate, and similar structures have already been reported elsewhere [11]. Microstructure of the poly-Si is therefore mainly affected by this initial a-Si structure. Additional structures are seen on the dome surface in the AFM image, which are probably formed by FLA crystallization. The finer structures are clearly observed in the SEM image shown in Fig. 2. This structure might reveal partial peeling of Si due to stress relaxation.

These microstructures are greatly different from the poly-Si formed by FLA on a flat glass substrate. The AFM image of the poly-Si formed on a Cr-coated flat quartz substrate is shown in Fig. 3(a). As has been already reported, periodic roughness with a pitch of about 1 μm is spontaneously formed by crystallization in spite of an originally flat a-Si surface [7]. Similar structure is seen in the AFM image of the poly-Si formed on a Cr-coated quartz substrate with a textured surface having RMS roughness of 46 nm

formed by 10-min RIE, shown in Fig. 3(b). This fact indicates that a-Si films on a surface with relatively little roughness can crystallize through a similar mechanism as those on flat substrates.

Figure 4 shows the cross-sectional TEM image of the poly-Si on a Cr-coated quartz substrate textured for 30 min by RIE. The poly-Si consists of grains with sizes of the order of 10 nm. Interestingly, the grain size tends to increase approaching the Si/Cr interface. This phenomenon can be understood by considering solid-phase nucleation and following solid-phase growth. Temperature of Si during FLA must be higher in the upper part than at the bottom because more flash lamp light is absorbed in the surface region, although the difference is likely to be significantly relaxed due to thermal diffusion. According to classical nucleation theory, solid phase nucleation occurs more rapidly under higher temperature, with strong temperature dependence of $\exp\left\{-\left(E_f + E_m + \Delta G^*\right)/kT\right\}$, where E_f , E_m , and ΔG^* represent the activation energy for defect generation, that for defect jump, and the maximum value of the cluster free energy curve, respectively [12]. Furthermore, considering an undercooling temperature of 269 K (from the difference between crystalline and a-Si melting points of 1414 and 1145 °C, respectively), nucleation rate from molten Si is negligible during millisecond treatment [13]. This grain size distribution can therefore be explained

only by the difference of solid-phase nucleation rate due to temperature distribution during FLA.

The cross-sectional TEM image shown in Fig. 4 is significantly different from that of poly-Si formed on a flat substrate, showing the alternative features of relatively large (>100 nm) grain regions and fine (~10 nm) grain regions connecting to the periodic surface roughness [14]. This difference probably comes from different crystallization mechanisms. We have understood the characteristic crystallization of a-Si on a flat glass substrate as the repeated effect of thermal generation due to crystallization and transfer of the generated heat to neighboring a-Si, resulting in lateral growth from edge to center of the sample, and consequent surface roughness perpendicular to the lateral growth direction [14]. This thermal transfer from crystallized to uncrystallized parts seems to be less effective in the case of a-Si deposited on a textured substrate, because of the initial dome-shaped structure. a-Si on a textured substrate therefore receives thermal energy mainly from direct flash lamp light, and the cross-sectional image of the poly-Si shows no evidence of lateral crystallization.

Finally, we discuss the potential of the poly-Si films as solar cell material. Figure 5 shows reflectance spectra of the poly-Si films formed on the textured substrates. Reduction of the reflectance occurs with increase in the texturing time, indicating more

effective light trapping on the poly-Si film surfaces formed on the textured substrates. On the other hand, the minority carrier lifetimes of the poly-Si films shows significant reduction with increasing RIE time as shown in Fig. 6. Note that the poly-Si on the 10-min RIE textured glass substrate, showing similar surface morphology to that on a flat substrate, also reflects a worse lifetime. This degradation might be due to gaps in the Si films formed during a-Si deposition or crystallization by FLA, particularly observed close to Cr in the TEM image (Fig. 4). Based on these results, further structural improvement, such as utilization of less sharpened textured substrates, will be necessary for solar cell application of the poly-Si films formed on textured substrates.

4. Conclusion

We have clarified crystallization mechanism of a-Si deposited on textured glass substrates by FLA from the microstructure of the formed poly-Si. Crystallization occurs, keeping the dome-shaped structure formed during a-Si deposition. The grain size tends to increase approaching the Si/Cr interface, which is a clear indication of solid-phase nucleation. Minority carrier lifetimes of the poly-Si films formed on textured substrates tend to degrade, which might be due to gaps in the Si film generated during a-Si deposition or FLA.

Acknowledgments

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Figure captions

Fig. 1 Surface AFM images of (a) quartz textured by 30-min RIE, (b) a-Si deposited on glass, and (c) poly-Si formed by FLA of the a-Si.

Fig. 2 SEM image of the poly-Si surface formed on the quartz substrate textured for 30 min.

Fig. 3 AFM images of poly-Si films formed on (a) flat and (b) 10-min RIE-textured quartz substrates.

Fig. 4 Cross-sectional TEM image of the poly-Si formed on the quartz substrate textured for 30 min.

Fig. 5 Reflectance spectra of the poly-Si films as a function of RIE time.

Fig. 6 Minority carrier lifetimes of the poly-Si films as a function of RIE time.

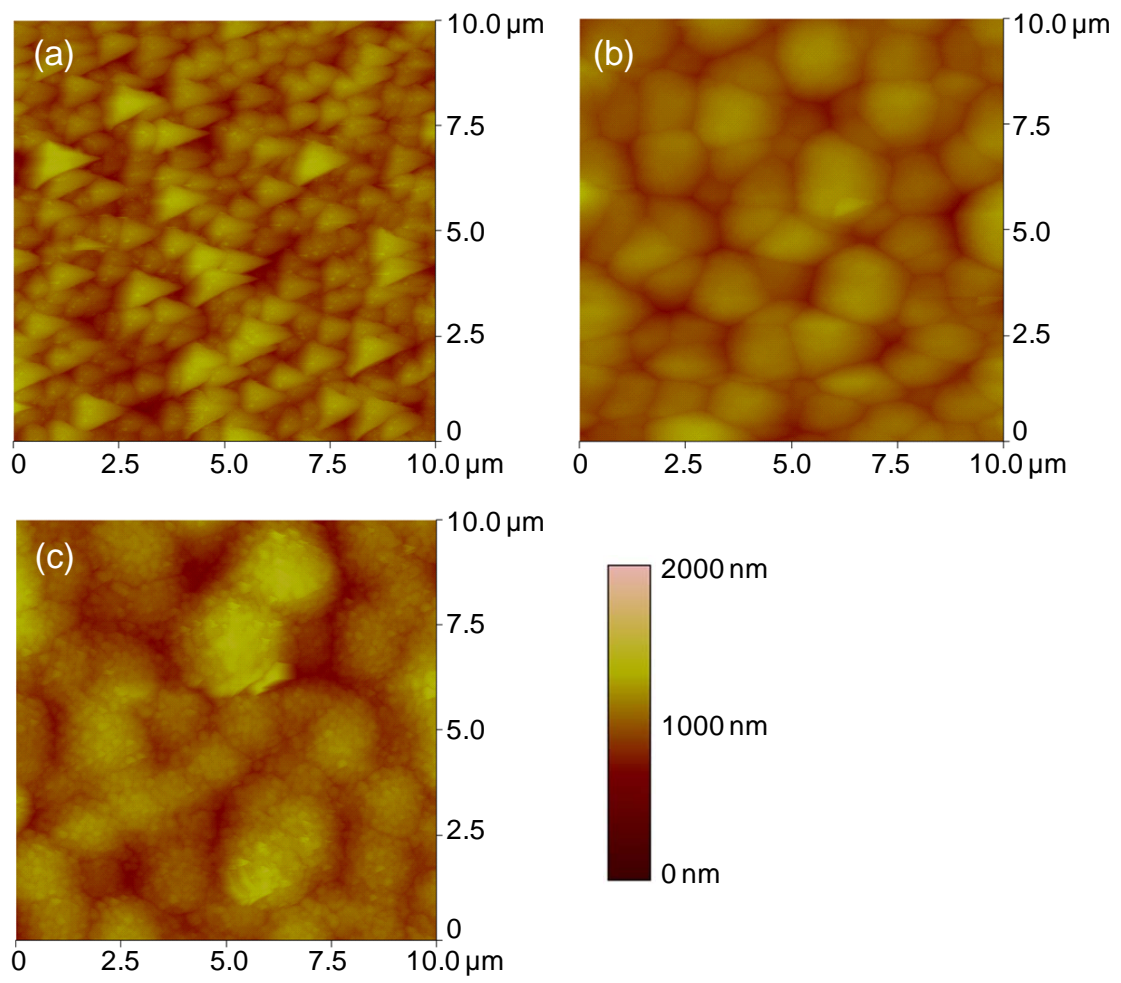


Fig. 1 K. Ohdaira *et al.*,

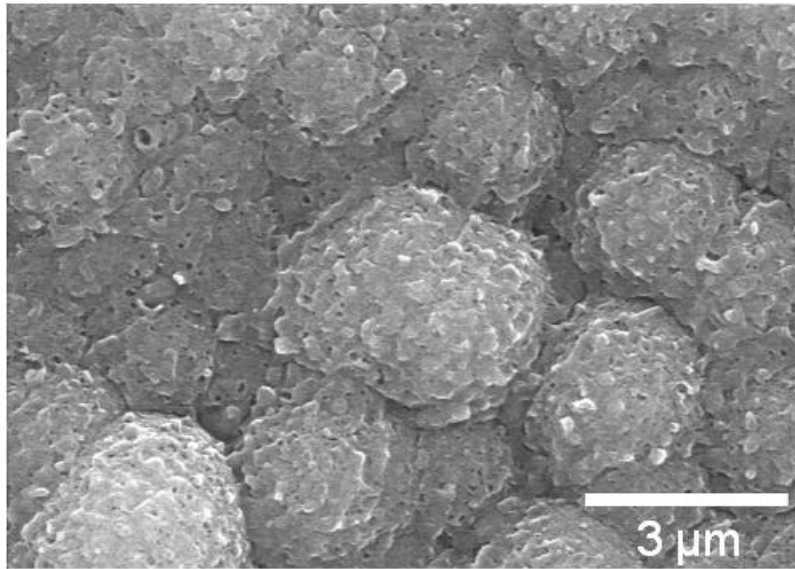


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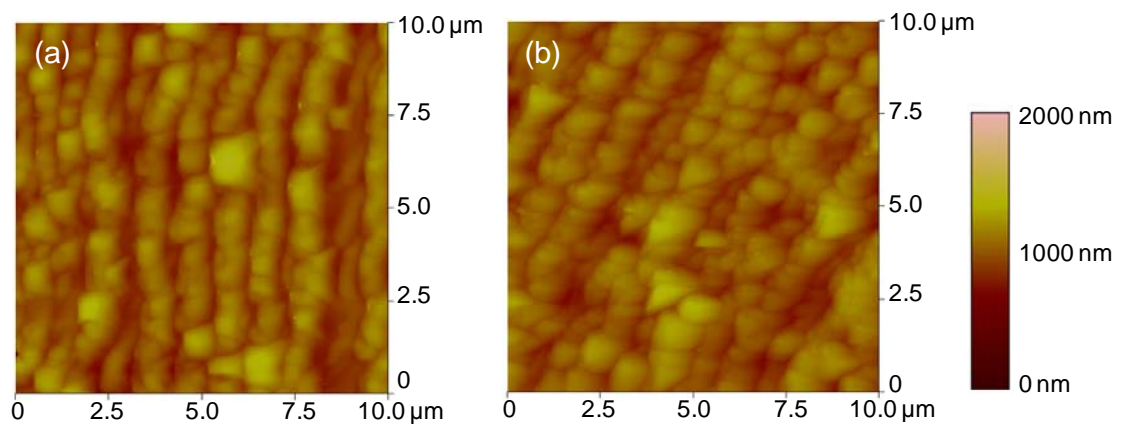


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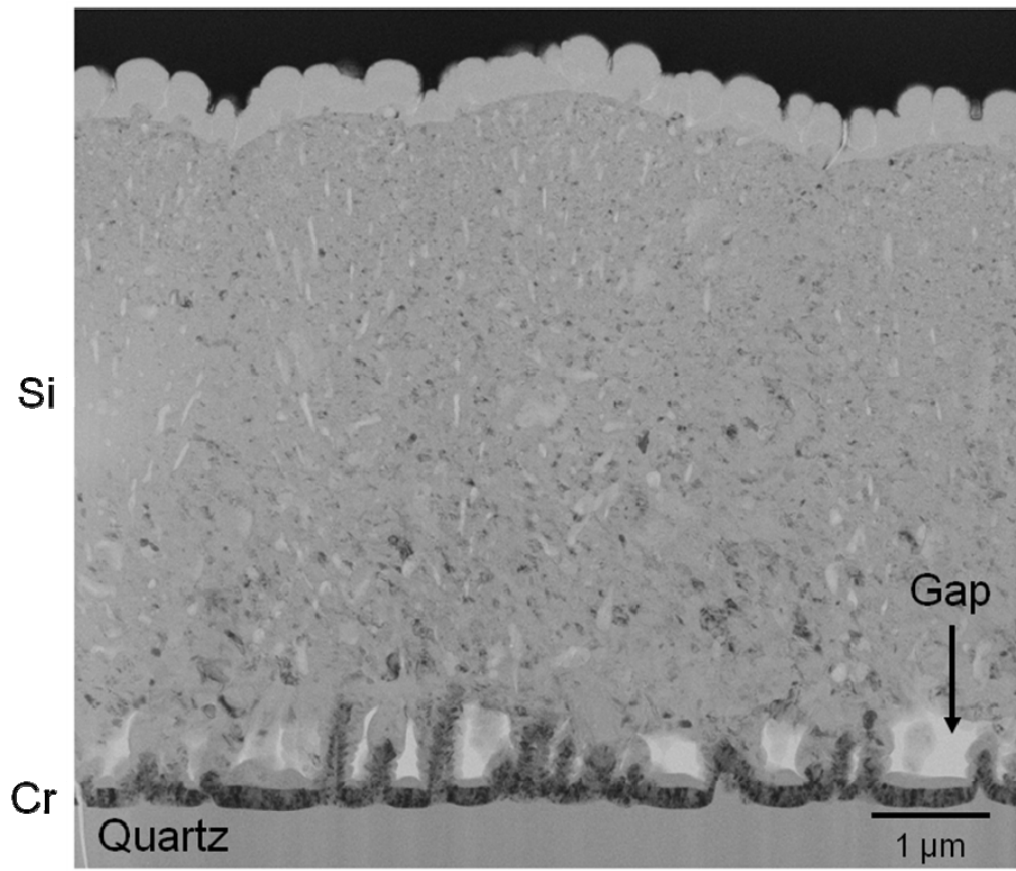


Fig. 4 K. Ohdaira *et al.*,

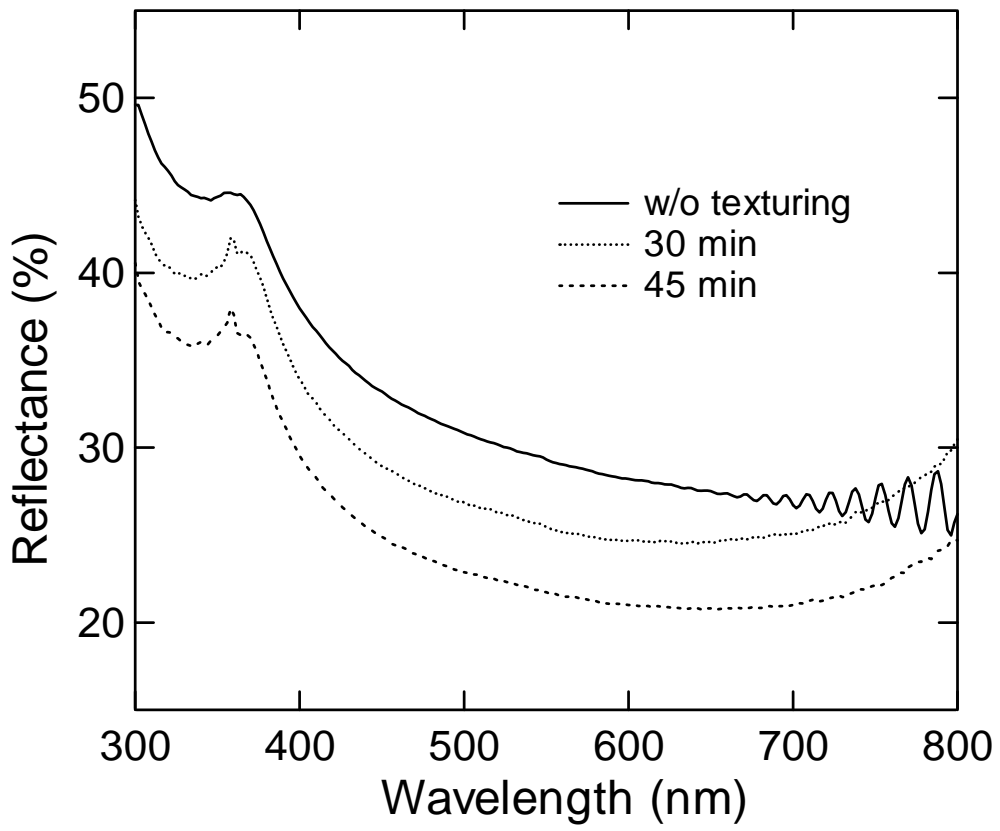


Fig. 5 K. Ohdaira *et al.*,

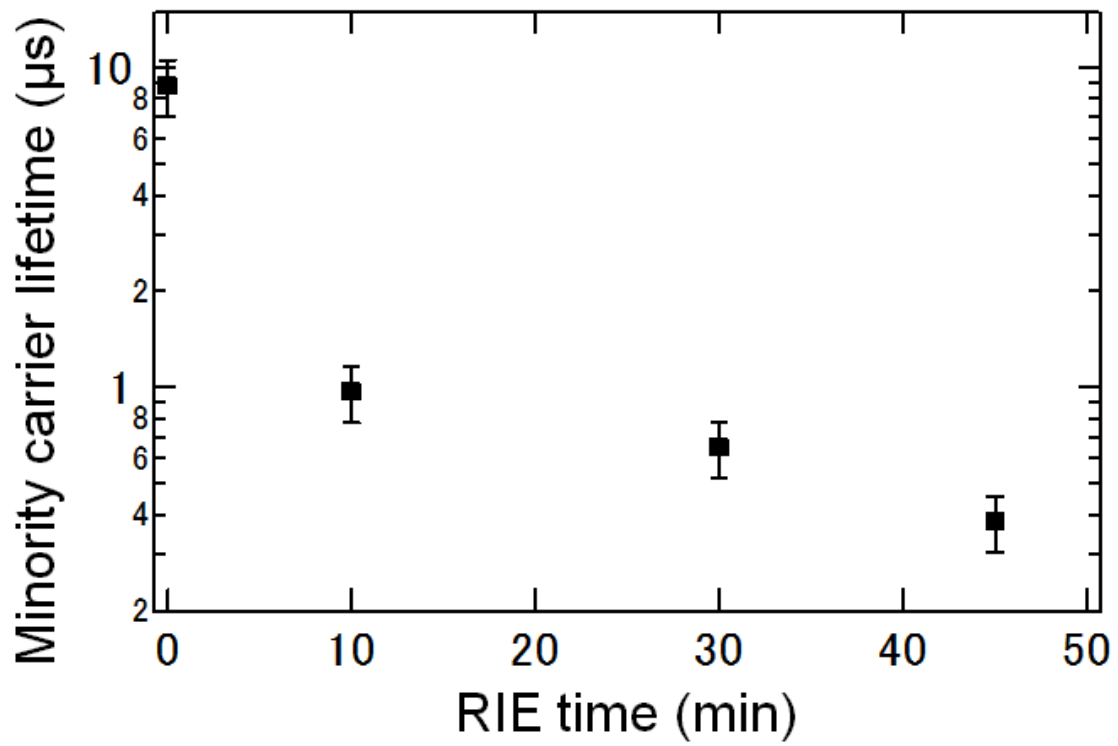


Fig. 6 K. Ohdaira *et al.*,