## Absolute agreement and consistency of the OptoGait system and Freemed platform for measuring walking gait

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## **3 ABSTRACT**

The gait cycle can be divided into four functional rocker units. Although the widespread use of 4 5 the OptoGait (OG) system and the Freemed (FM) platform, their accuracy has not been tested. An observational study was completed with eighteen healthy volunteers to determine the accuracy 6 7 of OG and FM for overground walking gait analysis. The pairwise comparison between data 8 obtained from OG, FM and high-speed video analysis revealed significant differences for most of the measurements (p < 0.05). ICCs revealed an excellent absolute agreement between 9 measurements (ICCs > 0.94) for all measures for OG systems compared to video-analysis. When 10 11 considering FM vs. video-analysis, ICCs showed good absolute agreement for rocker 1 (ICC = 12 (0.86) and 3 (ICC = 0.82), excellent for rocker 2 (ICC = 0.93) and poor (ICC < 0.5) for rocker 4. 13 Bland-Altman plots (95% limits of agreement) revealed heteroscedasticity of error for OG in all variables for foot rockers  $(r^2 > 0.1)$  while no heteroscedasticity of error was found when using 14 15 FM (r2<0.1). This study indicates that the OG system and the FM platform can provide consistent 16 foot rockers values when walking at a constant velocity. The differences between the systems assessed and their agreement and consistency values advise against their interchangeable use. 17

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## 19 KEYWORDS

20 heel-off, pressure platform, rockers, testing

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## 22 **1. Introduction**

Human gait occurs in a variety of patterns that are determined by elements such as the presence
or absence of constant floor contact (i.e., walking or running, respectively) [1]. The normal
walking gait is referred as a way of locomotion which involves the alternative use of the two legs,
being at least one foot in contact with the ground, to offer support and propulsion [2].

27 Two different phases (i.e., stance and swing) constitute the normal gait cycle, which have been subdivided for analysis purposes. Whereas a single gait cycle begins when the foot first hits the 28 29 ground (i.e., initial contact), a whole cycle of gait is completed when the same foot makes contact 30 with the ground again [3]. The stance phase (which includes initial contact, loading response, and 31 mid and terminal stance) is roughly 60% of the time is spent in the stance phase, while the swing 32 phase is 40% (identifying initial, mid and terminal swing) [4]. During normal walking, both feet 33 are in contact with the ground at two stages in the walking gait cycle: at the beginning and finish 34 of the stance phase. These are known as 'double stance phase' and they make up around 10% of a 35 gait cycle [3]. The gait cycle, particularly its stance phase, can also be thought of in terms of three 36 functional rocker units, each with a distinct fulcrum, and the rockers are another way of thinking 37 about the stance sub-phases [1].

38 The first rocker happens during the initial contact and loading response of the stance phase.

39 During this initial phase, the heel functions as a fulcrum around which the foot 'rotates' in terms 40 of forward movement allowing the body to move forward [5]. The second rocker takes place at 41 the mid-stance. The limb is moved over the foot, and the ankle, taken over as the fulcrum, is 42 passively dorsiflexed [5]. During the terminal phase of the gait cycle, the third and fourth (toe-43 only) rockers occur. Here, the fulcrum has shifted to the metatarsal heads. The mid-tarsal joints lock, transforming the foot from a fluid structure to a stiff lever capable of propelling the body 44 45 forward. The fourth (i.e., toe-only) rocker loads the weight-bearing portion of the foot closest to the metatarsal heads, providing a steady midstance and reducing toe shock on toe-off. [5]. 46

47 Foot rockers analysis is not only key for gait acquisition, development, and retraining [6], but also

48 it helps identify the severity of idiopathic toe walking [7]. Although the assessment of such events

seems to be important for clinicians in revealing variations between pathological and non-pathological gait, it has received very little attention from the scientific community.

51 When analysing gait and related parameters, different technologies such as 3D motion capture systems, high-speed video analysis or wearable sensor are used [8, 9]. Commercially available 52 systems for such analysis have limitations such as limited accessibility, high cost, sensory 53 fragility, and operating complexity. Moreover, they are mostly used in research rather than 54 55 therapeutic settings. It has been demonstrated that high-speed video analysis, as well as a 3-D 56 motion capture device, is a reliable and valid method for measuring gait kinematics [10]. 57 However, gait analysis and consequently foot rocker measurements employing the devices 58 mentioned above is time consuming and needs highly trained users for a proper data collection 59 and interpretation. This may result in a drawback for the everyday routine of clinicians. Here, less-time consuming and the user-friendly portable floor-level, high-density photoelectric cells 60 61 (OptoGait, Microgate, Bolzano, Italy) and baropodometric platforms (Freemed, SensorMedica, Roma, Italy) are used in clinical settings to identify and quantify foot rockers of gait on most flat 62 63 surfaces [11-14].

Previous research on the OptoGait<sup>TM</sup> system (OG) has considered its reliability when assessing 64 65 kinematics walking and running gait variables [11, 15, 16]. Likewise, the Freemed<sup>™</sup> 66 baropodometric platform (FM) has been used for other purposes [12-14] and its validity has been 67 proved for measuring spatiotemporal parameters and walking speed [17]. Despite the widespread 68 use of both systems, their accuracy and consistency for measuring and identifying foot rockers during walking is still unknown, requiring further research. Thus, the aim of this study is to assess 69 70 both the absolute agreement and consistency of both systems in comparison with high-speed video 71 analysis for the measurement of foot rockers parameters while overground walking in healthy 72 adults. It is hypothesised that both systems provide precise values when comparing with high-73 speed video analysis.

74 **2. Methods** 

## 75 2.1. Experimental Approach to the problem

An observational study was carried out to determine absolute agreement and consistency of OG and FM compared with high-speed video-analysis when evaluating gait foot rockers following the STROBE guidelines [18]. The duration of the foot rockers (in ms) during walking was measured: (i) rocker 1 (R1); (ii) rocker 2 (R2); (iii) rocker 3 (R3); and (iv) rocker 4 (R4). This study was approved by the local bioethics committee (No. 009-19/20).

## 81 2.2. Participants

A total of eighteen men (age: 25±7 years; height: 1.72±0.06 m; weight: 70.3±9 kg), volunteered to participate in the present study meeting the inclusion criteria: i) older than 18 years, and ii) not suffering from any injury in the last 6 months before the data collection. Participants who presented any pathological gait were excluded. Before taking part in the study, all participants signed an informed consent in accordance with the ethical standards of the World Medical Association's Declaration of Helsinki (2013). The recruitment was done by convenience.

## 88 2.3. Procedures

This study was developed in a single session where participants completed an overground walking 89 90 test at a comfortable speed. A researcher asked them to walk over a 10m walkway at a comfortable 91 velocity [19]. Participants then started walking at a distance of 2m from the recording space and 92 stopped 2m behind, reducing therefore both acceleration and deceleration effects. When 93 participants reached that point, they turned around and walked back to the start [11]. They 94 repeated this procedure for 3 minutes. Data from one step were collected for processing in the space between both photoelectric cells bar of the Optogait<sup>TM</sup>, positioned one in front of the other, 95 and on the Freemed<sup>™</sup> baropodometric platform (Figure 1). 96

97

### \*\*\*FIGURE 1 ABOUT HERE\*\*\*

98 The high-speed video camera was located parallel to the set-up (Optogait on Freemed) from a 99 sagittal view, one meter away and at a height of 0.05 meters to record the same steps. This way, 100 the very same steps were obtained from all the systems. During analysis, all the steps occurred in 101 the sensor area.

102 2.4. Material and Testing

103 Height (cm) and weight (kg) were measured utilising a stadiometer (SECA 222; SECA Corp.,

Hamburg, Germany) and a weighing scale (Tanita BC-601; TANITA Corporation, Maeno-Cho,
Itabashi-ku, Tokyo, Japan), respectively, for each participant.

106 The foot rockers parameters were measured first using the 1-meter bar of OptoGait Photoelectric 107 Cell system (OptoGait, Microgate, Bolzano, Italy). The OptoGait system calibration was done by 108 the manufacturer and consisted of two transmitting-receiving bars placed parallel to one another. 109 The OptoGait system was connected to a computer through a USB cable, and the manufacturer's 110 software was used (Version 1.12.1.0, Microgate, Bolzano, Italy). The filter parameters GAitR-In and GAitR-Out were both set at 1\_1 to minimise the systematic bias [20, 21]. The data was 111 112 recorded at 1,000 Hz sample frequency, encrypted, and saved on a computer. Thereafter, foot 113 rockers were also measured using Freemed<sup>™</sup> platform (Freemed, SensorMedica, Roma, Italy). 114 The entire surface area of the platform is 635 x 700mm and it offers an active sensors area of 500 115 x 600 mm. The platform is capable of recording data at a sampling frequency of 350 Hz [22] and 116 it was calibrated following manufacturer's recommendations and linked to a computer via USB. 117 The manufacturer's software (Freestep v. 2.00.013, SensorMedica, Roma, Italy) was employed 118 to analyse data.

For high-speed video analysis (VA), two-dimensional video data (at 1,000 Hz) were collected simultaneously using a high-speed camera (Imaging Source DFK 33UX174, The Imaging Source Europe GmbH; Germany) as previous studies have shown its validity [10, 23] and reliability [24] for measuring gait related parameters and thus served as a gold standard. The range of interest was adjusted to obtain 1,000 frames per second (784x144 resolution). One step per subject was recorded following the two-step method [25]. In order to control potential confounding factors (i.e., asymmetries) only the data of the right leg were considered [26].

For this particular study, each rocker was determined by identifying the initial and final framesand counting frames in-between for the following sequencies (Figure 2):

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129

## \*\*\*FIGURE 2 ABOUT HERE\*\*\*

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131 (i)	Ro	cker 1: From	initial o	contact to	flat foot	(ms)

- 132(ii)Rocker 2: Flat foot total time (ms)
- 133 (iii) Rocker 3: From heel-off to toe-off (ms)
- 134 (iv) Rocker 4: only-toe rocker (ms)

135 Of note, OG divides the foot rockers into three (R1, R2, and R3+R4), while the FM splits them

136 into four as shown in Figure 2.

137 Data were analysed using the open license software Kinovea (version 0.8.27).

## 138 2.5. Statistical analysis

139 Mean standard deviation (±SD) is used to represent descriptive statistics. All data were subjected 140 to normal distribution and homogeneity tests, as established by the Saphiro-Wilk and Levene's 141 tests, prior to analysis. A pairwise mean comparison (t-test) was performed comparing data from 142 the OptoGait and Freemed systems as well as the high-speed video analysis. To evaluate 143 consistency of the values, a Pearson correlation analysis was done between each rocker measured 144 by OptoGait (R1-R2-R3) and Freemed (R1-R2-R3-R4) and VA. The following criteria were used 145 to interpret the level of correlation between measurements: <0.1 (trivial), 0.1-0.3 (small), 0.3-0.5 (moderate), 0.5-0.7 (large), 0.7-0.9 (very large), 0.9-1.0 (almost perfect) [27]. Furthermore, intra 146 147 class correlation coefficients (ICC) for rockers during walking were evaluated between systems 148 (i.e., OG vs VA and FM vs VA). Following the principles stated by Koo and Li [28] and based 149 on the characteristics of this experimental design, the authors conducted a "two-way random-150 effects" model (ICC [2,1]), "single measurement" type, and "absolute agreement" definition for 151 the ICC measurement. To analyse absolute agreement, the benchmarks reported in [28] were 152 considered to interpret the ICC: ICC < 0.5 reflects 'poor', 0.5-0.75 'moderate', 0.75-0.90 'good', 153 and > 0.90 'excellent' reliability. The magnitude of the differences was interpreted using Cohen's 154 d effect size (ES) [29], being reported as: trivial (<0.2), small (0.2-0.49), medium (0.5-0.79), and 155 large ( $\geq 0.8$ ) [29]. To analyse differences in foot rockers features between measurements (i.e., OG, 156 FM, VA) and between systems (i.e., OG vs. VA and FM vs. VA), the Bland-Altman [30] limits

of agreement method (mean difference  $\pm$  1.96 SD) was used. Heteroscedasticity of error was defined as an  $r^2>0.1$ . All the statistical analyses have been done following Atkinson and Nevill recommendations for assessing reliability [31]. The level of significance used was p<0.05. Data analysis was performed using the SPSS (version 21, SPSS Inc., Chicago, II.).

161 **3. Results** 

162 Normal distribution and homogeneity, determined by the Shapiro-Wilk and Levene's test, 163 respectively, were confirmed on all data before analysis (p > 0.05). The pairwise comparison 164 between data obtained from OG, FM and VA revealed significant differences for most of the 165 measurements (Table 1). Despite OG seems to significantly overestimate rocker 1 and rocker 3+4 166 when comparing to VA (p < 0.05), the effect size for rocker 1 was trivial (0.14) and for rocker 167 3+4 was large (0.81). When comparing FM vs. VA, it revealed significant differences for all the 168 measurements (p < 0.05) and an effect size for rocker 1 considered trivial, medium for rocker 2, 169 small for rocker 3, and large for rocker 4.

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## \*\*\*TABLE 1 ABOUT HERE\*\*\*

However, the consistency between measurements (Table 2) was almost perfect (r > 0.9) when comparing rocker 1 and 2 values obtained with OG and VA and when comparing values for rocker 2 and 3 using FM and VA. Moreover, very large (r > 0.7) agreements were found for rocker 3+4 when comparing OG vs. VA and for rocker 1 when FM vs. VA were considered. The ICCs also revealed a 'good' to 'excellent' association between measurements (ICCs > 0.84) for all the values for both systems compared to VA, excepting rocker 4 when considering FM vs. VA, which exhibited 'poor' agreement (ICC < 0.5).

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### \*\*\*TABLE 2 ABOUT HERE\*\*\*

Through Bland-Altman plots, figure 3 and figure 4 show the differences between the
measurements obtained from OG and VA, and FM and VA, respectively, as well as the degree
of agreement (95% limits of agreement) (Table 3). When using OG, heteroscedasticity of error

182	was found in all variables for foot rockers ( $r^2 > 0.1$ ) (Figure 3). However, no heteroscedasticity
183	of error was found when using FM ( $r^2 < 0.1$ ) (Figure 4).
184	***TABLE 3 ABOUT HERE***
185	***FIGURE 3 ABOUT HERE***
186	***FIGURE 4 ABOUT HERE***

## 187 **4. Discussion**

This study, to the best of the authors' knowledge, is the first study to evaluate the absolute 188 189 agreement and consistency of both OptoGait system and Freemed platform for measuring foot 190 rockers in overground walking. Here, 18 healthy participants were tested to pursue such aim. 191 OptoGait showed the highest levels of absolute agreement for all the rockers (i.e., rocker 1, 192 rocker 2, and rocker 3+4) exhibiting an excellent absolute agreement (ICC > 0.9 for all 193 variables) when comparing to VA. However, although the levels of absolute agreement for rockers 1 and 3 showed good (ICC = 0.857 and 0.816, respectively) and rocker 2 exhibited an 194 excellent absolute agreement (ICC = 0.930) when comparing FM to VA, poor absolute 195 196 agreement was shown for rocker 4 (ICC = 0.253). Considering the information offered above, 197 this section seeks to provide insights into the accuracy of both systems.

198 Absolute agreement and consistency are essential for a gait analysis system. These allow to 199 distinguish whether discrepancies in gait parameters are either due to gait alterations or data 200 collection errors. The results indicate that the values obtained from both OG and FM for foot 201 rockers analysis were accurate, showing OG higher levels of agreement. The Bland-Altman analysis, on the other hand, sheds light on the systematic differences between the 202 203 measurements. When OG was taken into account, all of the measured variables showed 204 heteroscedasticity of error. On the other hand, none of the variables showed comparable 205 heteroscedasticity when FM was considered except for rocker 2.

OG validity for assessing spatiotemporal variables for both treadmill walking [32] and running [20], and overground walking [33, 34] has been previously investigated. These studies dealt with the OG's validity to measure spatiotemporal parameters such as contact time, which is directly related to the stance phase in walking and, thus, to the different foot rockers here 210 mentioned. Although gait analysis was assessed employing OG and comparing values against 211 different reference systems (i.e., VA and instrumented treadmills), the analysis of foot rockers 212 was omitted. Despite the fact that in the present study foot rockers were analysed during 213 overground walking, our findings (ICC > 0.9) are endorsed by those found in a previous study 214 [20] were the authors reported high ICCs (ICC = 0.981) when identifying contact time 215 employing VA. The slightly differences between the values obtained in both studies may be 216 attributed to the different protocols. While in our study participants were asked to walk 217 overground, participants ran on a treadmill in the previous study [20]. When measuring foot 218 rockers using FM and comparing to those measurements recorded with VA, good to excellent 219 absolute agreement (ICC > 0.81) was found for rockers 1, 2 and 3.

220 The observed findings are consistent with results previously reported for spatiotemporal 221 characteristics in healthy individuals [11, 35]. While Gomez-Bernal et al. evaluated the OG's 222 reliability for spatiotemporal parameters analysis in treadmill walking [11] and Lee et al. asked 223 their participants to walk three times at a comfortable speed on a sidewalk, the current study 224 shows the of the OG system for foot rockers analysis in overground walking (rocker 1: r =0.98, ICC = 0.98; rocker 2: r = 0.91, ICC = 0.95; rocker 3+4: r = 0.88, ICC = 0.94). Similarly, 225 FM seems to provide 'good' to 'excellent' accurate measures for the analysis of foot rockers, 226 227 except for rocker 4 (i.e., 'poor' = ICC < 0.5). Therefore, the accuracy of FM seems to be lower than the exhibited by the OG system. This might be explained given the differing frequencies 228 the systems are able to use when recording data (OG = 1000 Hz and FM = 350 Hz). Based on 229 the discrepancies between systems and their accuracy values, their interchangeable use when 230 231 analysing gait parameters should be avoided.

Even though the current study gives some light on the usage of the OG and FM systems as accurate instruments for the evaluation of foot rockers, there are certain limitations to consider. First, although participants were asked to walk overground at their desired speed, the laboratory setting should be taken into account when analysing these results. Then, on one side, the 'almost perfect' reliability of the OptoGait system showed by the current results will provide future researchers enough evidence to use such system for the accuracy diagnosis of foot rockers in gait. On the other side, although the 'substantial' to 'almost perfect' reliability of the FM pressure found in this study might formulate some questions about its actual reliability, it establishes the first scientific evidence to keep developing research on such overspread-used pressure platform. This way of measuring rocker duration has not yet been used in the pathological population so there are no clinically meaningful measures to compare.

Future research should establish normative values of these gait phases with these systems in order to compare with pathological gait (neurological gait, idiopathic toe walking, clubfoot, and others). Because this study focused on young, healthy, male adults, future research should consider the evaluation of the systems with children, women, elderly and populations with pathological disorders.

## 248 **5.** Conclusion

249 The current study indicates that the OptoGait system and the Freemed platform can accurately 250 assess foot rockers in young, healthy men walking at a constant speed. The findings presented here might be extremely useful for therapists working on both gait retraining and identification 251 252 of pathologies. The user-friendliness of both the OptoGait system and the Freemed pressure platform, as well as their proven accuracy vs. high-speed video analysis for foot rockers 253 254 analysis, gives clinicians a precise tool to make decisions about the degree of change due to the normal variability of measuring between trials or sessions, which is especially important for 255 256 early detection of walking pathologies.

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## TABLES

 Table 1. Mean measurements and effect size of foot rockers in gait measured with OptoGait and Freemed and compared against values obtained with high-speed video analysis.

Variable	OG (±SD)	FM (±SD)	VA (±SD)	OG vs VA	OG vs VA	FM vs VA	FM vs VA
				$\Delta$ (%)	p-value^ (ES)	$\Delta$ (%)	p-value <sup>^</sup> (ES)
Rocker 1 (ms)	116.66 (45.25)	155.22 (56.61)	123.39 (49.6)	-6.72 (13)	0.026* (0.14)	31.83 (17)	0.000* (0.07)
Rocker 2 (ms)	448.44 (104.49)	399.28 (101.305)	426.00 (122.28)	22.44 (50)	0.056 (0.26)	-26.72 (47)	0.047* (0.69)
Rocker 3+4 (ms)	329.75 (75.81)		348.72 (64.23)	-18.97 (37)	0.014* (0.81)		
Rocker 3 (ms)		323.33 (57.54)	275.44 (55.59)			47.89 (35)	0.000* (0.34)
Rocker 4 (ms)		36.50 (19.28)	73.28 (18.44)			-36.78 (1)	0.000* (2.46)

OG: OptoGait; FM: Freemed; VA: high-speed video analysis; SD: Standard deviation; Δ: Difference between measurements obtained from both systems; ES: Cohen's d effect size

^ calculated by pairwise mean comparison (t-test)

\* p < 0.05

Variable		OG_R1	OG_R2	OG_R3+4	FM_R1	FM_R2	FM_R3	FM_R4
VA_R1	Pearson coefficient (r)	0.980*			0.793*			
	Sig.	0.000			0.000			
	ICC (95% CI)	0.980 (0.934 - 0.993)			0.857 (0.028 - 0.962)			
VA_R2	Pearson coefficient (r)		0.907*			0.915*		
	Sig.		0.000			0.000		
	ICC (95% CI)		0.949 (0.852 - 0.982)			0.930 (0.795 - 0.975)		
VA_R3+4	Pearson coefficient (r)			0.884*				
	Sig.			0.000				
	ICC (95% CI)			0.939 (0.777 – 0.979)				
VA_R3	Pearson coefficient (r)						0.912*	
	Sig.						0.000	
	ICC (95% CI)						0.816 (-0.136 - 0.959)	
VA_R4	Pearson coefficient (r)							0.382
	Sig.							0.117
	ICC (95% CI)							0.253 (-0.191 – 0.645)

Table 2. Pearson coefficients and intraclass correlation coefficients (ICC [2,1]) for comparisons between foot rockers obtained from OptoGait and Freemed against high-speed video analysis.

VA\_R1: first rocker measured with high-speed video analysis; VA\_R2: second rocker measured with high-speed video analysis;VA\_R3+4: third and fourth rockers measured with high-speed video analysis; VA\_R3: third rocker measured with high-speed video analysis; VA\_R4: fourth rocker measured with high-speed video analysis; OG\_R1: first rocker measured with OptoGait; OG\_R2:second rocker measured with OptoGait; OG\_R3+4: third and fourth rockers measured with OptoGait; FM\_R1: first rocker measured with Freemed; FM\_R2: second rocker measured with Freemed; FM\_R3: third rocker measured with Freemed; FM\_R4: fourth rocker measured with Freemed; ICC: intraclass correlation coefficient; CI: Confidence interval

\* p < 0.05

 Table 3. Bland & Altman Bias and 95% Limits of Agreement.

Parameter		OG vs VA		FM vs VA		
	Bias (SD)	Lower LOA	Upper LOA	Bias (±SD)	Lower LOA	Upper LOA
Rocker 1 (ms)	6.72 (±11.69)	68.3722	255.3722	-31.83 (±26.19)	97.8798	312.3798
Rocker 2 (ms)	-22.44 (±46.51)	357.0104	797.0104	26.72 (±52.88)	330.7540	778.2540
Rocker 3+4 (ms)	18.97 (±29.42)	284.3362	563.5862	-	-	-
Rocker 3 (ms)	-	-	-	-47.89 (±20.66)	256.3245	445.8245
Rocker 4 (ms)	-	-	-	36.78 (±20.39)	67.7896	126.7896

OG: OptoGait; FM: Freemed; VA: high-speed video analysis; SD: Standard deviation; LOA: 95 Limit of agreement

## FIGURE CAPTIONS

Figure 1. Picture of OptoGait system on Freemed baropodometric platform for data collection.

Figure 2. Foot rockers diagram for analysis.

Figure 3. OG vs. VA differences between the measurements (systematic bias and random error) and the degree of agreement (95% limits of agreement) for rockers 1 (3.A), 2 (3.B) and 3+4 (3.C). The plot includes the mean difference (dotted line) and 95% limits of agreement (dashed line), along with the regression line (solid line).

Figure 4. FM vs. VA differences between the measurements (systematic bias and random error) and the degree of agreement (95% limits of agreement) for rockers 1 (4.A), 2 (4.B), 3 (4.C) and 4 (4.D). The plot includes the mean difference (dotted line) and 95% limits of agreement (dashed line), along with the regression line (solid line).

## Absolute agreement and consistency of the OptoGait system and Freemed platform for

measuring walking gait

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# Absolute agreement and consistency of the OptoGait system and Freemed platform for measuring walking gait

## 3 ABSTRACT

4 The gait cycle can be divided into three four functional rocker units. Although the widespread use 5 of the OptoGait (OG) system and the Freemed (FM) platform, their accuracy has not been tested. 6 An observational study was completed with eighteen healthy volunteers to determine the accuracy 7 of OG and FM for overground walking gait analysis. The pairwise comparison between data 8 obtained from OG, FM and high-speed video analysis revealed significant differences for most 9 of the measurements (p < 0.05). ICCs revealed an excellent absolute agreement between 10 measurements (ICCs > 0.94) for all measures for OG systems compared to video-analysis. When considering FM vs. video-analysis, ICCs showed good absolute agreement for rocker 1 (ICC = 11 0.86) and 3 (ICC = 0.82), excellent for rocker 2 (ICC = 0.93) and poor (ICC < 0.5) for rocker 4. 12 13 Bland-Altman plots (95% limits of agreement) revealed heteroscedasticity of error for OG in all 14 variables for foot rockers  $(r^2 > 0.1)$  while no heteroscedasticity of error was found when using 15 SM-FM (r2< 0.1). This study indicates that the OG system and the FM platform can provide 16 adequate consistent foot rockers values when walking at a constant velocity. The differences 17 between the systems assessed and their accuracy agreement and consistency values advise against 18 their interchangeable use.

2

19

### 20 KEYWORDS

21 heel-off, pressure platform, rockers, testing

22

### 23 1. Introduction

28

Human gait occurs in a variety of patterns that are determined by elements such as the presence
or absence of constant floor contact (i.e., walking or running, respectively) [1]. The normal
walking gait is referred as a way of locomotion which involves the alternative use of the two legs,
being at least one foot in contact with the ground, to offer support and propulsion [2].

Two different phases (i.e., stance and swing) constitute the normal gait cycle, which have been

29 subdivided for analysis purposes. Whereas a single gait cycle begins when the foot first hits the ground (i.e., initial contact), a whole cycle of gait is completed when the same foot makes contact 30 31 with the ground again [3]. The stance phase (which includes initial contact, loading response, and mid and terminal stance) is roughly 60% of the time is spent in the stance phase, while the swing 32 phase is 40% (identifying initial, mid and terminal swing) [4]. During normal walking, both feet 33 34 are in contact with the ground at two stages in the walking gait cycle: at the beginning and finish 35 of the stance phase. These are known as 'double stance phase' and they make up around 10% of a gait cycle [3]. The gait cycle, particularly its stance phase, can also be thought of in terms of three 36 functional rocker units, each with a distinct fulcrum, and the rockers are another way of thinking 37 38 about the stance sub-phases [1]. The first rocker happens during the initial contact and loading response of the stance phase. 39

40 During this initial phase, the heel functions as a fulcrum around which the foot 'rotates' in terms of forward movement allowing the body to move forward [5]. The second rocker takes place at 41 the mid-stance. The limb is moved over the foot, and the ankle, taken over as the fulcrum, is 42 passively dorsiflexed [5]. During the terminal phase of the gait cycle, the third and fourth (toe-43 only) rockers occur. Here, the fulcrum has shifted to the metatarsal heads. The mid-tarsal joints 44 lock, transforming the foot from a fluid structure to a stiff lever capable of propelling the body 45 46 forward. The fourth (i.e., toe-only) rocker loads the weight-bearing portion of the foot closest to the metatarsal heads, providing a steady midstance and reducing toe shock on toe-off. [5]. 47 Foot rockers analysis is not only key for gait acquisition, development, and retraining [6], but also

48 Foot rockers analysis is not only key for gait acquisition, development, and retraining [6], but also

49 it helps identify the severity of idiopathic toe walking [7]. Although the assessment of such events

50 seems to be important for clinicians in revealing variations between pathological and nonpathological gait, it has received very little attention from the scientific community.

52 When analysing gait and related parameters, different technologies such as 3D motion capture 53 systems, high-speed video analysis or wearable sensor are used [8, 9]. Commercially available systems for such analysis have limitations such as limited accessibility, high cost, sensory 54 fragility, and operating complexity. Moreover, they are mostly used in research rather than 55 therapeutic settings. It has been demonstrated that high-speed video analysis, as well as a 3-D 56 57 motion capture device, is a reliable and valid method for measuring gait kinematics [10]. However, gait analysis and consequently foot rocker measurements employing the devices 58 59 mentioned above is time consuming and needs highly trained users for a proper data collection 60 and interpretation. This may result in a drawback for the everyday routine of clinicians. Here, 61 less-time consuming and the user-friendly portable floor-level, high-density photoelectric cells (OptoGait, Microgate, Bolzano, Italy) and baropodometric platforms (Freemed, SensorMedica, 62 Roma, Italy) are used in clinical settings to identify and quantify foot rockers of gait on most flat 63 64 surfaces [11-14].

Previous research on the OptoGait<sup>TM</sup> system (OG) has considered its reliability when assessing 65 66 kinematics walking and running gait variables [11, 15, 16]. Likewise, the Freemed<sup>™</sup> baropodometric platform (FM) has been used for other purposes [12-14] and its validity has been 67 68 proved for measuring spatiotemporal parameters and walking speed [17]. Despite the widespread use of both systems, their accuracy and consistency for measuring and identifying foot rockers 69 70 during walking is still unknown, requiring further research. Thus, the aim of this study is to assess 71 both the absolute agreement and consistency of both systems in comparison with high-speed video 72 analysis for the measurement of foot rockers parameters while overground walking in healthy adults. It is hypothesised that both systems provide precise values when comparing with high-73 74 speed video analysis.

75 2. Methods

76 2.1. Experimental Approach to the problem

An observational study was carried out to determine absolute agreement and consistency of OG
and FM compared with high-speed video-analysis when evaluating gait foot rockers following
the STROBE guidelines [18]. The duration of the foot rockers (in ms) during walking was
measured: (i) rocker 1 (R1); (ii) rocker 2 (R2); (iii) rocker 3 (R3); and (iv) rocker 4 (R4). This
study was approved by the local bioethics committee (No. 009-19/20).

### 82 2.2. Participants

A total of eighteen men (age: 25±7 years; height: 1.72 ±0.06 m; weight: 70.3±9 kg), volunteered to participate in the present study meeting the inclusion criteria: i) older than 18 years, and ii) not suffering from any injury in the last 6 months before the data collection. Participants who presented any pathological gait were excluded. Before taking part in the study, all participants signed an informed consent in accordance with the ethical standards of the World Medical Association's Declaration of Helsinki (2013). The recruitment was done by convenience.

### 89 2.3. Procedures

This study was developed in a single session where participants completed an overground walking 90 91 test at a comfortable speed. A researcher asked them to walk over a 10m walkway at a comfortable 92 velocity [19]. Participants then started walking at a distance of 2m from the recording space and 93 stopped 2m behind, reducing therefore both acceleration and deceleration effects. When 94 participants reached that point, they turned around and walked back to the start [11]. They repeated this procedure for 3 minutes. Data from one step were collected for processing in the 95 space between both photoelectric cells bar of the Optogait<sup>TM</sup>, positioned one in front of the other, 96 97 and on the Freemed<sup>™</sup> baropodometric platform (Figure 1).

98

### \*\*\*FIGURE 1 ABOUT HERE\*\*\*

99 The high-speed video camera was located parallel to the set-up (Optogait on Freemed) from a 100 sagittal view, one meter away and at a height of 0.05 meters to record the same steps. This way, 101 the very same steps were obtained from all the systems. During analysis, all the steps occurred in 102 the sensor area.

103 2.4. Material and Testing

Height (cm) and weight (kg) were measured utilising a stadiometer (SECA 222; SECA Corp.,
Hamburg, Germany) and a weighing scale (Tanita BC-601; TANITA Corporation, Maeno-Cho,
Itabashi-ku, Tokyo, Japan), respectively, for each participant.

107 The foot rockers parameters were measured first using the 1-meter bar of OptoGait Photoelectric Cell system (OptoGait, Microgate, Bolzano, Italy). The OptoGait system calibration was done by 108 the manufacturer and consisted of two transmitting-receiving bars placed parallel to one another. 109 The OptoGait system was connected to a computer through a USB cable, and the manufacturer's 110 software was used (Version 1.12.1.0, Microgate, Bolzano, Italy). The filter parameters GAitR-In 111 and GAitR-Out were both set at 1\_1 to minimise the systematic bias [20, 21]. The data was 112 113 recorded at 1,000 Hz sample frequency, encrypted, and saved on a computer. Thereafter, foot rockers were also measured using Freemed<sup>™</sup> platform (Freemed, SensorMedica, Roma, Italy). 114 The entire surface area of the platform is 635 x 700mm and it offers an active sensors area of 500 115 x 600 mm. The platform is capable of recording data at a sampling frequency of 350 Hz [22] and 116 it was calibrated following manufacturer's recommendations and linked to a computer via USB. 117 118 The manufacturer's software (Freestep v. 2.00.013, SensorMedica, Roma, Italy) was employed 119 to analyse data. For high-speed video analysis (VA), two-dimensional video data (at 1,000 Hz) were collected 120 simultaneously using a high-speed camera (Imaging Source DFK 33UX174, The Imaging Source 121 Europe GmbH; Germany) as previous studies have shown its validity [10, 23] and reliability [24] 122

for measuring gait related parameters and thus served as a gold standard. The range of interest
was adjusted to obtain 1,000 frames per second (784x144 resolution). One step per subject was
recorded following the two-step method [25]. In order to control potential confounding factors
(i.e., asymmetries) only the data of the right leg were considered [26].

127 For this particular study, each rocker was determined by identifying the initial and final frames

and counting frames in-between for the following sequencies (Figure 2):

129

130 \*\*\*FIGURE 2 ABOUT HERE\*\*\*

131

132	(i)	Rocker 1: From initial contact to flat foot (ms)
133	(ii)	Rocker 2: Flat foot total time (ms)
134	(iii)	Rocker 3: From heel-off to toe-off (ms)
135	(iv)	Rocker 4: only-toe rocker (ms)
136	Of note, OG di	ivides the foot rockers into three (R1, R2, and R3+R4), while the FM splits them
137	into four as sho	own in Figure 2.

138 Data were analysed using the open license software Kinovea (version 0.8.27).

139 2.5. Statistical analysis

Mean standard deviation (±SD) is used to represent descriptive statistics. All data were subjected 140 to normal distribution and homogeneity tests, as established by the Saphiro-Wilk and Levene's 141 142 tests, prior to analysis. A pairwise mean comparison (t-test) was performed comparing data from 143 the OptoGait and Freemed systems as well as the high-speed video analysis. To evaluate consistency of the values, a Pearson correlation analysis was done between each rocker measured 144 by OptoGait (R1-R2-R3) and Freemed (R1-R2-R3-R4) and VA. The following criteria were used 145 to interpret the level of correlation between measurements: <0.1 (trivial), 0.1-0.3 (small), 0.3-0.5 146 (moderate), 0.5-0.7 (large), 0.7-0.9 (very large), 0.9-1.0 (almost perfect) [27]. Furthermore, intra 147 class correlation coefficients (ICC) for rockers during walking were evaluated between systems 148 149 (i.e., OG vs VA and FM vs VA). Following the principles stated by Koo and Li [28] and based on the characteristics of this experimental design, the authors conducted a "two-way random-150 effects" model (ICC [2,1]), "single measurement" type, and "absolute agreement" definition for 151 the ICC measurement. To analyse absolute agreement, the benchmarks reported in [28] were 152 153 considered to interpret the ICC: ICC < 0.5 reflects 'poor', 0.5-0.75 'moderate', 0.75-0.90 'good', 154 and > 0.90 'excellent' reliability. The magnitude of the differences was interpreted using Cohen's 155 d effect size (ES) [29], being reported as: trivial (<0.2), small (0.2-0.49), medium (0.5-0.79), and large  $(\geq 0.8)$  [29]. To analyse differences in foot rockers features between measurements (i.e., OG, 156 FM, VA) and between systems (i.e., OG vs. VA and FM vs. VA), the Bland-Altman [30] limits 157

of agreement method (mean difference  $\pm$  1.96 SD) was used. Heteroscedasticity of error was defined as an  $r^2$ >0.1. All the statistical analyses have been done following Atkinson and Nevill recommendations for assessing reliability [31]. The level of significance used was p<0.05. Data analysis was performed using the SPSS (version 21, SPSS Inc., Chicago, II.).

### 162 **3. Results**

Normal distribution and homogeneity, determined by the Shapiro-Wilk and Levene's test, 163 respectively, were confirmed on all data before analysis (p > 0.05). The pairwise comparison 164 165 between data obtained from OG, SM-FM and VA revealed significant differences for most of the 166 measurements (Table 1). Despite OG seems to significantly overestimate rocker 1 and rocker 3+4 167 when comparing to VA (p < 0.05), the effect size for rocker 1 was trivial (0.14) and for rocker 168 3+4 was large (0.81). When comparing FM vs. VA, it revealed significant differences for all the 169 measurements (p < 0.05) and an effect size for rocker 1 considered trivial, medium for rocker 2, 170 small for rocker 3, and large for rocker 4.

171

### \*\*\*TABLE 1 ABOUT HERE\*\*\*

However, the consistency between measurements (Table 2) was almost perfect (r > 0.9) when comparing rocker 1 and 2 values obtained with OG and VA and when comparing values for rocker 2 and 3 using FM and VA. Moreover, very large (r > 0.7) agreements were found for rocker 3+4 when comparing OG vs. VA and for rocker 1 when FM vs. VA were considered. The ICCs also revealed a 'good' to 'excellent' association between measurements (ICCs > 0.84) for all the values for both systems compared to VA, excepting rocker 4 when considering FM vs. VA, which exhibited 'poor' agreement (ICC < 0.5).

179

### \*\*\*TABLE 2 ABOUT HERE\*\*\*

Through Bland-Altman plots, figure 3 and figure 4 show the differences between the
measurements obtained from OG and VA, and FM and VA, respectively, as well as the degree
of agreement (95% limits of agreement) (Table 3). When using OG, heteroscedasticity of error

183 was found in all variables for foot rockers ( $r^2 > 0.1$ ) (Figure 3). However, no heteroscedasticity

184 of error was found when using <u>SM-FM</u> ( $r^2 < 0.1$ ) (Figure 4).

185	***TABLE 3 ABOUT HERE***
186	***FIGURE 3 ABOUT HERE***
187	***FIGURE 4 ABOUT HERE***

### 188 **4. Discussion**

189 This study, to the best of the authors' knowledge, is the first study to evaluate the absolute 190 agreement and consistency of both OptoGait system and Freemed platform for measuring foot 191 rockers in overground walking. Here, 18 healthy participants were tested to pursue such aim. OptoGait showed the highest levels of absolute agreement for all the rockers (i.e., rocker 1, 192 193 rocker 2, and rocker 3+4) exhibiting an excellent absolute agreement (ICC > 0.9 for all variables) when comparing to VA. However, although the levels of absolute agreement for 194 195 rockers 1 and 3 showed good (ICC = 0.857 and 0.816, respectively) and rocker 2 exhibited an 196 excellent absolute agreement (ICC = 0.930) when comparing <u>SM-FM</u> to VA, poor absolute 197 agreement was shown for rocker 4 (ICC = 0.253). Considering the information offered above, 198 this section seeks to provide insights into the accuracy of both systems. 199 Absolute agreement and consistency are essential for a gait analysis system. These allow to 200 distinguish whether discrepancies in gait parameters are either due to gait alterations or data 201 collection errors. The results indicate that the values obtained from both OG and FM for foot rockers analysis were accurate, showing OG higher levels of agreement. The Bland-Altman 202 203 analysis, on the other hand, sheds light on the systematic differences between the 204 measurements. When OG was taken into account, all of the measured variables showed

heteroscedasticity of error. On the other hand, none of the variables showed comparableheteroscedasticity when FM was considered except for rocker 2.

OG validity for assessing spatiotemporal variables for both treadmill walking [32] and running
[20], and overground walking [33, 34] has been previously investigated. These studies dealt

209 with the OG's validity to measure spatiotemporal parameters such as contact time, which is

210 directly related to the stance phase in walking and, thus, to the different foot rockers here

211 mentioned. Although gait analysis was assessed employing OG and comparing values against 212 different reference systems (i.e., VA and instrumented treadmills), the analysis of foot rockers 213 was omitted. Despite the fact that in the present study foot rockers were analysed during 214 overground walking, our findings (ICC > 0.9) are endorsed by those found in a previous study 215 [20] were the authors reported high ICCs (ICC = 0.981) when identifying contact time employing VA. The slightly differences between the values obtained in both studies may be 216 217 attributed to the different protocols. While in our study participants were asked to walk 218 overground, participants ran on a treadmill in the previous study [20]. When measuring foot rockers using FM and comparing to those measurements recorded with VA, good to excellent 219 220 absolute agreement (ICC > 0.81) was found for rockers 1, 2 and 3.

221 The observed findings are consistent with results previously reported for spatiotemporal characteristics in healthy individuals [11, 35]. While Gomez-Bernal et al. evaluated the OG's 222 223 reliability for spatiotemporal parameters analysis in treadmill walking [11] and Lee et al. asked their participants to walk three times at a comfortable speed on a sidewalk, the current study 224 shows the of the OG system for foot rockers analysis in overground walking (rocker 1: r =225 226 0.98, ICC = 0.98; rocker 2: r = 0.91, ICC = 0.95; rocker 3+4: r = 0.88, ICC = 0.94). Similarly, 227 FM seems to provide 'good' to 'excellent' accurate measures for the analysis of foot rockers, 228 except for rocker 4 (i.e., 'poor' = ICC < 0.5). Therefore, the accuracy of FM seems to be lower than the exhibited by the OG system. This might be explained given the differing frequencies 229 the systems are able to use when recording data (OG = 1000 Hz and  $\frac{\text{SM-FM}}{\text{SM-FM}} = 350 \text{ Hz}$ ). Based 230 231 on the discrepancies between systems and their accuracy values, their interchangeable use when 232 analysing gait parameters should be avoided.

Even though the current study gives some light on the usage of the OG and FM systems as accurate instruments for the evaluation of foot rockers, there are certain limitations to consider. First, although participants were asked to walk overground at their desired speed, the laboratory setting should be taken into account when analysing these results. Then, on one side, the 'almost perfect' reliability of the OptoGait system showed by the current results will provide future researchers enough evidence to use such system for the accuracy diagnosis of foot rockers in gait. On the other side, although the 'substantial' to 'almost perfect' reliability of the FM pressure found in this study might formulate some questions about its actual reliability, it establishes the first scientific evidence to keep developing research on such overspread-used pressure platform. This way of measuring rocker duration has not yet been used in the pathological population so there are no clinically meaningful measures to compare.

244 Future research should establish normative values of these gait phases with these systems in

245 order to compare with pathological gait (neurological gait, idiopathic toe walking, clubfoot, and

246 others). Because this study focused on young, healthy, male adults, future research should

247 consider the evaluation of the systems with children, women, elderly and populations with

248 pathological disorders.

### 249 **5.** Conclusion

250 The current study indicates that the OptoGait system and the Freemed platform can accurately 251 assess foot rockers in young, healthy men walking at a constant speed. The findings presented 252 here might be extremely useful for therapists working on both gait retraining and identification 253 of pathologies. The user-friendliness of both the OptoGait system and the Freemed pressure 254 platform, as well as their proven accuracy vs. high-speed video analysis for foot rockers 255 analysis, gives clinicians a precise tool to make decisions about the degree of change due to the 256 normal variability of measuring between trials or sessions, which is especially important for 257 early detection of walking pathologies. 258 259 Acknowledgements

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- 261
- 262 Conflicts of Interest: None
- 263 Funding: None
- 264 Ethical Approval: This study was approved by the local bioethics committee (No. 009-19/20)

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### TABLES

Table 1. Mean measurements and effect size of foot rockers in gait measured with OptoGait and Freemed and compared against values obtained with high-speed video analysis.

Variable	OG (±SD)	SM-FM (±SD)	VA (±SD)	OG vs VA	OG vs VA	FM vs VA	FM vs VA
				$\Delta(\%)$	p-value^ (ES)	$\Delta(\%)$	p-value^ (ES)
Rocker 1 (ms)	116.66 (45.25)	155.22 (56.61)	123.39 (49.6)	-6.72 (13)	0.026* (0.14)	31.83 (17)	0.000* (0.07)
Rocker 2 <u>(ms)</u>	448.44 (104.49)	399.28 (101.305)	426.00 (122.28)	22.44 (50)	0.056 (0.26)	-26.72 (47)	0.047* (0.69)
Rocker 3+4 <u>(ms)</u>	329.75 (75.81)		348.72 (64.23)	-18.97 (37)	0.014* (0.81)		
Rocker 3 <u>(ms)</u>		323.33 (57.54)	275.44 (55.59)			47.89 (35)	0.000* (0.34)
Rocker 4 <u>(ms)</u>		36.50 (19.28)	73.28 (18.44)			-36.78 (1)	0.000* (2.46)

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OG: OptoGait; SMFM: Freemed; VA: high-speed video analysis; SD: Standard deviation; Δ: Difference between measurements obtained from both systems; ES: Cohen's d effect size

^ calculated by pairwise mean comparison (t-test)

\* p < 0.05

Variable		OG_R1	OG_R2	OG_R3+4	FM_R1	FM_R2	FM_R3	FM_R4
VA_R1	Pearson coefficient (r)	0.980*			0.793*			
	Sig.	0.000			0.000			
	ICC (95% CI)	0.980 (0.934 - 0.993)			0.857 (0.028 - 0.962)			
VA_R2	Pearson coefficient (r)		0.907*			0.915*		
	Sig.		0.000			0.000		
	ICC (95% CI)		0.949 (0.852 - 0.982)			0.930 (0.795 - 0.975)		
VA_R3+4	Pearson coefficient (r)			0.884*				
	Sig.			0.000				
	ICC (95% CI)			0.939 (0.777 – 0.979)				
VA_R3	Pearson coefficient (r)						0.912*	
	Sig.						0.000	
	ICC (95% CI)						0.816 (-0.136 - 0.959)	
VA_R4	Pearson coefficient (r)							0.382
	Sig.							0.117
	ICC (95% CI)							0.253 (-0.191 – 0.645)

Table 2. Pearson coefficients and intraclass correlation coefficients (ICC [2,1]) for comparisons between foot rockers obtained from OptoGait and Freemed against high-speed video analysis.

VA\_R1: first rocker measured with high-speed video analysis; VA\_R2: second rocker measured with high-speed video analysis; VA\_R3+4: third and fourth rockers measured with high-speed video analysis; VA\_R3:

third rocker measured with high-speed video analysis; VA\_R4: fourth rocker measured with high-speed video analysis; OG\_R1: first rocker measured with OptoGait; OG\_R2:second rocker measured with

OptoGait;OG\_R3+4:third and fourth rockers measured with OptoGait; FM\_R1: first rocker measured with Freemed; FM\_R2: second rocker measured with Freemed; FM\_R3: third rocker measured with Freemed;

FM\_R4: fourth rocker measured with Freemed; ICC: intraclass correlation coefficient; CI: Confidence interval

\* p < 0.05

### Table 3. Bland & Altman Bias and 95% Limits of Agreement.

Parameter		OG vs VA			FM vs VA			
	Bias (SD)	Lower LOA	Upper LOA	Bias (±SD)	Lower LOA	Upper LOA		
Rocker 1 (ms)	6.72 (±11.69)	68.3722	255.3722	-31.83 (±26.19)	97.8798	312.3798		
Rocker 2 (ms)	-22.44 (±46.51)	357.0104	797.0104	26.72 (±52.88)	330.7540	778.2540		
Rocker 3+4 (ms)	18.97 (±29.42)	284.3362	563.5862	-	-	-		
Rocker 3 (ms)	-	-	-	-47.89 (±20.66)	256.3245	445.8245		
Rocker 4 (ms)	-	-	-	36.78 (±20.39)	67.7896	126.7896		

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### FIGURE CAPTIONS

Figure 1. Picture of OptoGait system on Freemed baropodometric platform for data collection.

Figure 2. Foot rockers diagram for analysis.

Figure 3. OG vs. VA differences between the measurements (systematic bias and random error) and the degree of agreement (95% limits of agreement) for rockers 1 (3.A), 2 (3.B) and 3+4 (3.C). The plot includes the mean difference (dotted line) and 95% limits of agreement (dashed line), along with the regression line (solid line).

Figure 4.–<u>\_SFM</u> vs. VA differences between the measurements (systematic bias and random error) and the degree of agreement (95% limits of agreement) for rockers 1 (4.A), 2 (4.B), 3 (4.C) and 4 (4.D). The plot includes the mean difference (dotted line) and 95% limits of agreement (dashed line), along with the regression line (solid line).









