1	Squaraine dyes derived from indolenine and benzo[<i>e</i>]indole as
2	potential fluorescent probes for HSA detection and antifungal
3	agents
4	
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25 ABSTRACT

Four squaraine dyes derived from 2,3,3-trimethylindolenine and 1,1,2-trimethyl-1H-26 benzo[e]indole with different combinations of barbituric groups attach to the central ring, 27 having ester groups and alkyl chains in the nitrogen atoms of heterocyclic rings were 28 synthesized. These dyes were fully characterized and their photophysical behavior was 29 studied in ethanol and phosphate-buffered saline solution. Absorption and emission bands 30 between 631 and 712 nm were detected, with the formation of aggregates in aqueous media, 31 which is typical of this class of dyes. Tests carried out with 1,3-diphenylisobenzofuran 32 allowed us to verify the ability of the dyes to produce singlet oxygen. The interaction of 33 synthesized dyes with human serum albumin (HSA) was also evaluated, being demonstrated 34 a linear correlation between fluorescence intensity and protein concentration. The antifungal 35 potential of the dyes against the yeast Saccharomyces cerevisiae was evaluated using a broth 36 microdilution assay. In order to test the photosensitizing capacity of the synthesized dyes, 37 38 tests were carried out in the dark and with irradiation, using a custom-built light-emitting diode that emits close to the absorption wavelength of the studied dyes. The results showed 39 that the interaction of dyes with HSA and the antifungal activity depends on the different 40 41 structural modifications of the dyes.

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Keywords: Squaraine dyes; Singlet oxygen; Photostability; Photodynamic activity;
Fluorescence probes; Human Serum Albumin; Antifungal activity

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50 **INTRODUCTION**

The first synthetic organic dye was discovered by William Perkin in 1865, which led to the beginning of the production of dyes on a global scale [1, 2]. The color emitted by these compounds results from the ability to absorb light in the visible and near-infrared (NIR) range, the presence of a chromophore group in their structure, the existence of a conjugated π -system and the presence of a resonance structure [3]. The rigid planar structure of most organic dyes reduces energy losses from non-radiative processes, causing these compounds to exhibit significant fluorescence [4].

Initially, organic dyes were applied essentially in the textile industry, for dyeing fabrics and
skins and also for coloring other objects [5]. Over the years, its applications have become
more and more varied, having come to be used in dye-sensitized solar cells [6], in organic
light-emitting diode [7] and in field-effect transistors [8]. Various organic molecules are also
used in biomedical applications ranging from diagnosis to treatment of a particular pathology
[9].

Squaraine dyes are one family of functional organic dyes that due to their appealing 64 photophysical and photochemical characteristics have received significant attention in recent 65 decades. These compounds have in their general structure two electron donor groups on both 66 sides of the squaric acid core as an electron acceptor group [10, 11]. This zwitterionic 67 structure, together with the rigidity and planarity conferred by the central ring, lead the 68 69 squaraine dyes to present absorption in the visible to NIR range, high molar extinction coefficients, good photoconductivity, good chemical/photochemical stability, moderate 70 fluorescence quantum yields, and long fluorescence lifetime [12-15]. 71

There are several applications in which these compounds can be used, from sensitizers in solar cells [16, 17], and in photovoltaic devices [18, 19], to applications aimed at biological areas such as fluorescent probes for the detection of biomolecules [20-22] and fluorescence bioimaging [23, 24]. In addition, the application of these dyes as photosensitizers in
photodynamic therapy (PDT) has been reported, and their efficacy *in vivo* and *in vitro* in
anti-cancer and antimicrobial treatment has been proved [25-28].

78 The use of squaraine dyes as fluorescent probes for the detection and quantification of biomolecules is well known. Recently, studies have focused on the detection of bovine serum 79 albumin (BSA) and human serum albumin (HSA), using squaraine dyes, verifying that these 80 dyes change their fluorescence patterns when in the presence of these proteins, showing, in 81 most cases, a linear relationship between the fluorescence intensity emitted and the amount 82 83 of protein present [29-34]. The results obtained revealed a promising future for the possible application of squaraine dyes in standardized fluorescent methods for the detection of these 84 proteins. However, structural improvements are still required to promote some parameters in 85 86 order to make the dyes ideal for the considered use.

87 Given the importance of these dyes previously mentioned and in order to find new potential structural alternatives, in this work is reported the synthesis of four squaraine dyes and 88 89 performed the evaluation of their interaction with HSA and thus determine its effectiveness in detecting and quantifying this protein. The antiproliferative activity of squaraine dyes 90 using Saccharomyces cerevisiae yeast as a biological model was also assessed. The 91 minimum inhibitory concentration (MIC) of the dyes was determined, in the dark and with 92 irradiation, using a LED system, in order to verify if the compounds exhibited better activity 93 94 after photoactivation.

All compounds tested were shown to interact with HSA, and also showed considerable
antifungal activity, with some of the compounds improving their effectiveness after
irradiation.

98 MATERIALS AND METHODS

99 Synthesis general: All the reagents and solvents used in the synthesis process, including 100 2,3,3-trimethylindolenine (1a), 1,1,2-trimethyl-1*H*-benzo[*e*]indole (1b), 3-bromopropionic 101 acid (2), 3,4-dihydroxycyclobut-3-ene-1,2-dione (4), barbituric acid (9a), thiobarbituric acid 102 (9b) were purchased from commercial suppliers and used without further purification; the 103 intermediate 3,4-dibutoxycyclobut-3-en-1,2-dione (7) was prepared according to the 104 literature procedure [35].

All reactions were monitored by thin-layer chromatography (TLC) on aluminium plates with 105 106 0.25 mm of silica gel (Merck 60 F254). Melting points (m.p.) were measured in a hot plate binocular microscope apparatus (URA Technic, Oporto, Portugal) and were uncorrected. 107 Absorption spectra were recorded on a Lambda 25 UV/Vis spectrophotometer (Perkin 108 109 Elmer, USA) in the spectral range 500-900 nm, at room temperature. Emission spectra were performed using a Varian Cary Eclipse fluorescence spectrophotometer (Agilent 110 Technologies, USA), with excitation and emission slits of 10 nm and an excitation 111 wavelength of 580 nm. All the spectroscopic measurements were performed in a quartz 112 cuvette with a 1 cm path length. The infrared (IR) spectra were recorded on a IRAffinity-1S 113 FTIR spectrophotometer (Shimadzu, Kyoto, Japan) using KBr pellets, at room temperature 114 in the 4000-500 cm⁻¹ range by averaging 64 scans at a spectral resolution of 2 cm⁻¹. The 115 bands were described as s (strong), m (medium) or w (weak). The proton nuclear magnetic 116 resonance (¹H NMR) and carbon-13 nuclear magnetic resonance (¹³C NMR) spectra were 117 obtained at 298.15 K on a NMR Brucker Avance III 400 spectrometer operating at 9.4 T, 118 observing ¹H at 400.13 MHz or on a NMR Brucker Avance III 600 spectrometer operating 119 at 14.09 T observing ¹H at 600.10 MHz and ¹³C at 150.91 MHz. The solutions were prepared 120 in hexadeuterodimethyl sulfoxide (DMSO- d_6) and deuterated chloroform (CDCl₃) and the 121 chemical shifts are expressed as δ (ppm) relative to tetramethylsilane (internal standard) or 122 to residual solvent signals; the coupling constant (J) values are given in hertz (Hz). Splittings 123

were described as s (singlet), d (doublet), t (triplet), qt (quintet), st (sextet), m (multiplet), bs
(broad singlets), bt (broad triplet). The assignments of the carbon were made based on DEPT
135 spectra. High resolution electrospray ionization time-of-flight mass spectra (HRESITOFMS) were recorded using a microTOF (focus) Brucker Daltonics spectrometer
(C.A.C.T.I. at the University of Vigo, Spain).

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Synthesis of 1-(2-carboxyethyl)-2,3,3-trimethyl-3H-indol-1-ium bromide (3a). The 130 quaternary ammonium salt 3a was synthesized using a similar procedure to that described 131 132 by Boto et al. [36]. A mixture of 2,3,3-trimethylindolenine (1a) (1.108 g, 6.95 mmol) and 3bromopropionic acid (2) (1.064 g, 6.95 mmol) was heated at 80°C, under stirring, for 1 hour. 133 After cooling to room temperature, methanol was added to ensure complete solubilization of 134 135 the resulting solid. The mixture was cooled on an ice bath to allow the product to precipitate by the addition of CH₂Cl₂. After successive washings with CH₂Cl₂ the light pink precipitate 136 was collected and dried under reduced pressure. Yield: 51 %; m.p.: 163-167°C (dec.); IR 137 138 umax (KBr): 3460 (s, OH), 3028 (w, Ar-CH), 2982 (w, CH), 2929 (w, CH), 1718 (s, C=O), 1622 (m, C=C), 1603 (m, C=C), 1589 (m, C=C), 1476 (m), 1457 (m), 1409 (m), 1364 (m), 139 1331 (w), 1299 (w), 1271 (m), 1233 (m), 1224 (m), 1123 (w), 1047 (w), 1007 (w), 934 (w), 140 915 (w), 884 (w), 840 (w), 817 (w), 785 (m) cm⁻¹; ¹H NMR (600.10 MHz, DMSO- d_6) δ : 141 8.00-7.97 (1H, m, ArH), 7.85-7.82 (1H, m, ArH), 7.63-7.59 (2H, m, ArH), 4.66 (2H, t, J = 142 6.9, NCH₂CH₂COOH), 2.98 (2H, t, *J* = 6.9, NCH₂CH₂COOH), 2.86 (3H, s, CCH₃), 1.52 143 (6H, s, C(CH₃)₂) ppm; ¹³C NMR (150.91 MHz, DMSO-*d*₆) δ: 197.95, 171.51, 141.77, 144 140.82, 129.35 (ArCH), 128.92 (ArCH), 123.49 (ArCH), 115.58 (ArCH), 54.28 (C(CH₃)₂), 145 43.58 (NCH₂CH₂COOH), 31.14 (NCH₂CH₂COOH), 21.90 (C(CH₃)₂), 14.44 (CCH₃) ppm; 146 HRESI-TOFMS m/z: 232.1332 [M]⁺ (C₁₄H₁₈NO₂ calc. 232.1327). 147

149 Synthesis of 1-(2-carboxyethyl)-2,3,3-trimethyl-1H-benzo[e]indol-1-ium bromide (3b). The quaternary ammonium salt 3b was synthesized using a similar procedure to that 150 described for **3a**. A mixture of 1,1,2-trimethyl-1*H*-benzo[*e*]indole (**1b**) (1.720 g, 8.22 mmol) 151 152 and 3-bromopropionic acid (2) (1.257 g, 8.22 mmol) was heated at 120°C, under stirring, for 1.30 hours. After cooling to room temperature, methanol was added to ensure complete 153 solubilization of the formed solid. The mixture was cooled on an ice bath to allow the product 154 to precipitate by the addition of CH₂Cl₂ and diethyl ether. After successive washings with 155 CH₂Cl₂ the light pink precipitate was collected and dried under reduced pressure. Yield: 30 156 157 %; m.p.: 105-107 °C; IR v_{max} (KBr); 3400 (s, OH), 3067 (w, Ar-CH), 2972 (w, CH), 1729 (s, C=O), 1637 (m), 1617 (m), 1584 (m), 1522 (m), 1466 (m), 1405 (m), 1342 (m), 1278 (w), 158 1253 (w), 1227 (w), 1020 (w), 929 (w), 873 (m), 824 (m), 792 (m), 776 (m) cm⁻¹; ¹H NMR 159 (600.10 MHz, DMSO-*d*₆) δ: 8.36 (1H, d, *J* = 8.4, ArH), 8.27 (1H, d, *J* = 9.0, ArH), 8.21 (1H, 160 d, J = 8.4, ArH), 8.17 (1H, d, J = 8.4, ArH), 7.78 (1H, t, J = 7.5, ArH), 7.72 (1H, t, J = 7.5, 161 ArH), 4.78 (2H, t, *J* = 6.9, NCH₂CH₂COOH), 3.05 (2H, t, *J* = 6.9, NCH₂CH₂COOH), 2.97 162 (3H, s, CCH₃), 1.75 (6H, s, C(CH₃)₂ ppm; ¹³C NMR (150.91 MHz, DMSO-*d*₆) δ: 197.77, 163 171.52, 138.33, 136.81, 133.00, 130.64 (ArCH), 129.72 (ArCH), 128.42 (ArCH), 127.26 164 (ArCH), 127.20, 123.40 (ArCH), 113.44 (ArCH), 55.60 $(C(CH_3)_2),$ 165 43.83 (NCH₂CH₂COOH), 31.38 (NCH₂CH₂COOH), 21.48 (C(CH₃)₂), 14.26 (CCH₃) ppm; 166 HRESI-TOFMS m/z: 282.1489 [M]⁺ (C₁₈H₂₀NO₂ calc. 282.1480). 167

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169Synthesisof4-[(1-(2-butoxycarbonylethyl)-3,3-dimethyl-3H-indol-1-ium-2-170yl)methylene]-2-[(1-(2-butoxycarbonylethyl)-3,3-dimethylindolin-2-ylidene)methyl]-3-

oxocyclobut-1-en-1-olate (5a). Dye 5a was synthesized from a reaction of the quaternary
salt 1-(2-carboxyethyl)-2,3,3-trimethy-3*H*-lindol-1-ium bromide (3a) (0.400 g, 1.28 mmol)
and squaric acid 4 (0.073 g, 0.640 mmol) in a mixture (1:1 v/v) of *n*-butanol/toluene (10

174 mL), stirred for 6 h at reflux in a Dean-Stark apparatus. Cold distilled water was added to the reaction mixture and after separation by decantation, the organic layer was dried with 175 anhydrous Na₂SO₄ and the solvent removed under reduced pressure. After successive 176 177 recrystallizations from CH₂Cl₂/MeOH/petroleum ether/diethyl ether, light blue crystals were recoiled and dried under reduced pressure. Yield: 17 %; m.p.: 156-157 °C; IR v_{max} (KBr): 178 179 3049 (w, Ar-CH), 2958 (m, CH), 2932 (m, CH), 2871 (w, CH), 1727 (m, C=O), 1596 (s), 1507 (s), 1497 (s), 1454 (m), 1428 (m), 1393 (w), 1355 (m), 1300 (m), 1199 (m), 1164 (m), 180 1096 (m), 1057 (m), 1020 (m), 962 (m), 912 (m), 847 (w), 785 (m) cm⁻¹; ¹H NMR (600.10 181 MHz, CDCl₃) δ: 7.35 (2H, d, *J* = 7.2, ArH), 7.31 (2H, t, *J* = 7.5, ArH), 7.14 (2H, t, *J* = 7.2, 182 ArH), 7.08 (2H, d, J = 7.8, ArH), 5.93 (2H, s, CH=C), 4.34 (4H, bs, OCH₂(CH₂)₂CH₃), 4.05 183 (4H, t, *J* = 6.6, NC<u>H</u>₂CH₂COO(CH₂)₃CH₃), 2.82 (4H, t, *J* = 7.5, NCH₂C<u>H</u>₂COO(CH₂)₃CH₃), 184 1.78 (12H, s, C(CH₃)₂), 1.54 (4H, qt, J = 7.0, OCH₂CH₂CH₂CH₃), 1.31 (4H, st, 185 $OCH_2CH_2CH_2CH_3$, 0.89 (6H, t, J = 7.5, $O(CH_2)_3CH_3$ ppm; ¹³C NMR (150.91 MHz, CDCl₃) 186 δ:182.22, 180.93, 170.88, 170.16, 142.08, 127.99 (ArCH), 124.12 (ArCH), 122.48 (ArCH), 187 109.68 (ArCH), 86.93 (CH=C), 65.25 (CH₂), 49.51 C(CH₃)₂, 39.40 (CH₂), 31.75 (CH₂), 188 30.57 (CH₂), 27.25 C(<u>C</u>H₃)₂, 19.17 (CH₂), 13.78 (N(CH₂)₅<u>C</u>H₃) ppm; HRESI-TOFMS m/z: 189 652.3507 [M]⁺ (C₄₀H₄₈N₂O₆ calc. 652.3487). 190

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192Synthesisof2-[(1-(2-butoxycabonylethyl)-1,1-dimethyl-2H-benzo[e]indol-2-193ylidene)methyl]-4-[(1-(2-butoxycabonylethyl)-1,1-dimethyl-1H-benzo[e]indol-3-ium-

2yl)methylene]-3-oxocyclobut-1-en-1-olate (5b). Dye 5b was synthetized from a reaction of
the quaternary salt 3-(2-carboxyethyl)-1,1,2-trimethyl-1*H*-benzo[*e*]indol-3-ium bromide
(3b) (0.427 g, 1.18 mmol) and squaric acid 4 (0.067 g, 0.591 mmol) in a mixture (1:1 v/v)
of *n*-butanol/toluene (10 mL), stirred for 7 h at reflux in a Dean-Stark apparatus. After workup in the same way as dye 5a, the obtained residue was successively recrystallized from

199 CH₂Cl₂/diethyl ether/petroleum ether. Light blue crystals were recoiled and dried under reduced pressure. Yield: 59 %; m.p.: 117-118 °C; IR v_{max} (KBr): 3035 (w, Ar-CH), 2957 (m, 200 CH), 2930 (m, CH), 2868 (m, CH), 1735 (m, C=O), 1595 (m), 1526 (m), 1488 (s), 1455 (m), 201 202 1430 (m), 1286 (m), 1253 (m), 1187 (m), 1097 (m), 1042 (m), 1014 (m), 930 (m), 837 (w), 806 (w) cm⁻¹; ¹H NMR (600.10 MHz, CDCl₃) δ : 8.20 (2H, d, J = 8.4, ArH), 7.89 (2H, d, J = 203 7.8, ArH), 7.87 (2H, d, J= 9.0, ArH), 7.57 (2H, t, J = 7.5, ArH), 7.42-7.39 (4H, m, ArH), 204 6.00 (2H, s, CH=C), 4.47 (4H, bs, $OCH_2(CH_2)_2CH_3$), 4.05 (4H, t, J = 6.6, 205 NCH₂CH₂COO(CH₂)₃CH₃) 2.90 (4H, t, *J* = 7.2, NCH₂CH₂COO(CH₂)₃CH₃), 2.07 (12H, s, 206 C(CH₃)₂), 1.52 (4H, qt, *J* = 6.8, OCH₂CH₂CH₂CH₃), 1.28 (4H, st, OCH₂CH₂CH₂CH₃), 0.84 207 $(6H, t, J = 7.5, O(CH_2)_3CH_3 \text{ ppm}; {}^{13}C \text{ NMR} (150.91 \text{ MHz}, CDCl_3) \delta: 182.44, 179.38, 171.46,$ 208 209 170.89, 139.25, 134.36, 131.48, 129.84 (ArCH), 129.82 (ArCH), 128.79, 127.44 (ArCH), 210 124.51 (ArCH), 122.67 (ArCH), 110.31 (ArCH), 86.67 (CH=C), 65.26 (CH₂), 51.36 C(CH₃)₂, 39.52 (CH₂), 32.01 (CH₂), 30.55 (CH₂), 26.95 C(CH₃)₂, 19.13 (CH₂), 13.70 211 (N(CH₂)₅CH₃) ppm; HRESI-TOFMS m/z: 752.3820 [M]⁺ (C₄₈H₅₂N₂O₆ calc. 752.3811). 212

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Synthesis of 3-hexyl-1,1,2-trimethyl-1H-benzo[e]indol-3-ium iodide (6). The quaternary 214 ammonium salt 6 was synthesized using a procedure similar to that described by Pardal et 215 216 al. [37] A solution of 1,1,2-trimethyl-1H-benzo[e]indole (2) (4.036 g, 19.0 mmol) and 1iodohexane (8.54 mL, 57 mmol) in acetonitrile was stirred under reflux for 10 days. After 217 218 cooling, diethyl ether was added to allow the product precipitation and the desired quaternary salt collected by filtration under reduced pressure and washed several times with diethyl 219 ether. Yield: 90 %; m.p.: 164-165 °C; IR vmax (KBr): 3055 (w, Ar-CH), 3011 (w, Ar-CH), 220 2967 (w, CH), 2943 (w, CH), 2924 (m, CH), 1634 (w), 1614 (w), 1580 (m), 1522 (w), 1470 221 (s), 1445 (m), 1375 (w), 1368 (w), 1339 (w), 1217 (w), 1196 (w), 1161 (w), 1130 (w), 1040 222 (w), 1024 (w), 995 (w), 939 (w), 907 (w), 889 (w), 864 (m), 827 (s), 789 (w) cm⁻¹. ¹H NMR 223

224 (600.10 MHz, CDCl₃) δ: 8.13-8.06 (3H, m, ArH), 7.77-7.67 (3H, m, ArH), 4.82 (2H, t, J = 7.8, NCH₂(CH₂)₄CH₃), 3.20 (3H, s, CCH₃), 1.99 (2H, qt, J = 7.7, NCH₂CH₂(CH₂)₃CH₃), 225 1.90 (6H, s, C(CH₃)₂), 1.50 (2H, qt, J = 7.3, N(CH₂)₂CH₂(CH₂)₂CH₃), 1.42-1.28 (4H, m, 226 $N(CH_2)_3(CH_2)_2CH_3$, 0.89 (3H, t, J = 7.0, $N(CH_2)_5CH_3$) ppm; ¹³C NMR (150.91 MHz, 227 CDCl₃) *δ*: 195.21, 138.29, 137.25, 133.79, 131.57 (ArCH), 130.16 (ArCH), 128.77 (ArCH), 228 229 127.94, 127.94 (ArCH), 127.74 (ArCH), 122.95 (ArCH), 112.56 (ArCH), 56.02 (C(CH₃)₂), 50.50 (NCH₂(CH₂)₄CH₃), 31.29 (NCH₂CH₂(CH₂)₃CH₃), 28.23 (N(CH₂)₂CH₂(CH₂)₂CH₃), 230 26.55 (N(CH₂)₃CH₂CH₂CH₃), 22.83 (C(CH₃)₂), 22.44 (N(CH₂)₄CH₂CH₃), 16.98 (CCH₃), 231 232 13.97 (N(CH₂)₅CH₃) ppm.

233

Synthesis 3-butoxy-4-[(3-hexyl-1,1-dimethyl-2H-benzo[e]indol-2-234 of 235 ylidene)methyl/cyclobut-3-ene-1,2-dione (8). Precursor 8 was synthesized using a procedure similar to that described by Lima et al. [26]. A solution of the quaternary 236 ammonium salt 6 (1.151 g, 2.73 mmol) and dibutylsquarate (7) (0.618 g, 2.73 mmol) in 237 238 ethanol (20 mL) in the presence of triethylamine (1.515 mL, 10.9 mmol) was stirred for 15 min. and left to rest overnight at room temperature. Cold distilled water and CH₂Cl₂ were 239 added to the reaction mixture and after separation by decantation, the organic layer was dried 240 241 with anhydrous Na₂SO₄ and the solvent removed under reduced pressure. The obtained residue was purified by successive recrystallizations from CH₂Cl₂/petroleum ether. Yellow 242 crystals were recoiled and dried under reduced pressure. Yield: 53 %; m.p.: 91-92 °C; IR 243 umax (KBr): 3074 (w), 2957 (m, Ar-CH), 2935 (m, Ar-CH), 2871 (m, Ar-CH), 1767 (s, C=O), 244 1715 (s, C=O), 1626 (w), 1588 (m), 1545 (s), 1518 (m), 1471 (w), 1442 (w), 1421 (m), 1339 245 (m), 1305 (m), 1259 (w), 1208 (w), 1184 (m), 1140 (w), 1119 (w), 1096 (w), 1047 (w), 1017 246 (w), 949 (m), 892 (w), 859 (w), 825 (w), 815 (w), 792 (w), 785 (w) cm⁻¹; ¹H NMR (600.10 247 MHz, CDCl₃) δ : 8.09 (1H, d, J = 8.4, ArH), 7.87 (1H, d, J = 8.0, ArH), 7.84 (1H, d, J = 8.8, 248

249 ArH), 7.54 (1H, t, *J* = 7.4, ArH), 7.34 (1H, t, *J* = 7.4, ArH), 7.23 (1H, d, *J* = 8.8, ArH), 5.45 (1H, s, CH=C), 4.91 (2H, t, J = 6.8, OCH₂(CH₂)₂CH₃), 3.92 (2H, t, J = 7.4, 250 $NCH_2(CH_2)_4CH_3$, 1.93-1.86 (8H, m, $OCH_2CH_2CH_2CH_3 + C(CH_3)_2$), 1.79 (2H, qt, J = 7.5, 251 252 $NCH_2CH_2(CH_2)_3CH_3$, 1.54 (2H, st, $OCH_2CH_2CH_2CH_3$), 1.44 (2H, qt, J = 7.3, $N(CH_2)_3CH_2CH_2CH_3$, 1.39-130 (4H, m, $N(CH_2)_3(CH_2)_2CH_3$), 1.02 (3H, t, J = 7.4, 253 $O(CH_2)_3CH_3$, 0.89 (3H, t, J = 6.8, $N(CH_2)_5CH_3$) ppm; ¹³C NMR (150.91 MHz, CDCl₃) δ : 254 192.92, 187.38, 187.34, 173.22, 170.35, 139.95, 132.59, 130.92, 129.85 (ArCH), 129.68 255 (ArCH), 128.73, 127.32 (ArCH), 123.89 (ArCH), 122.34 (ArCH), 109.87 (ArCH), 80.98 256 (CH=C), 73.85 (CH₂), 49.95 (C(CH₃)₂), 43.24 (CH₂), 32.33 (CH₂), 31.56 (CH₂), 26.82 257 (C(CH₃)₂), 26.77 (CH₂), 26.74 (CH₂), 22.62 (CH₂), 18.89 (CH₂), 14.09 (O(CH₂)₃CH₃), 13.87 258 (N(CH₂)₅<u>C</u>H₃) ppm. HRESI-TOFMS m/z: 446.2690 [M+H]⁺ (C₂₉H₃₆NO₃ calc. 446.2696). 259

260

Synthesis triethylammonium 2-[(3-hexyl-1,1-dimethyl-2H-benzo[e]indol-2-261 of *ylidene)methyl]-4-oxo-3-(2,4,6-trioxotetrahydro-5-pyrimidinyliden)cyclobut-1-en-1-olate* 262 263 (10a). The semisquaraine 10a was prepared by a reaction of a suspension of 8 (0.519 g, 1.17 mmol), barbituric acid (9a) (0.522 g, 4.08 mmol) and triethylamine (0.161 mL, 1.17 mmol) 264 in ethanol (15 mL). The mixture was stirred under reflux for 9 h and then was cooled on an 265 ice bath to allow the product to precipitate by the addition of diethyl ether. The resulting 266 residue was washed with diethyl ether, filtered under reduced pressure, dried in a vacuum 267 268 pump and used in the next step without further purification.

269

Synthesis of triethylammonium 2-[(3-hexyl-1,1-dimethyl-2H-benzo[e]indol-2- ylidene)methyl]-4-oxo-3-(2,6-dioxo-4-thioxohexahydro-5-pyrimidinyliden)cyclobut-1-en- 1-olate (10b). The semisquaraine 10b was prepared by a reaction of a suspension of 8 (0.680
g, 1.53 mmol), thiobarbituric acid (9b) (0.440 g, 3.05 mmol) and triethylamine (0.211 mL,

1.53 mmol) in ethanol (20 mL). The mixture was stirred under reflux for 6 h and then was
cooled on an ice bath to allow the product to precipitate by the addition of diethyl ether. The
resulting residue was washed with diethyl ether, filtered under reduced pressure, dried in a
vacuum pump and used in the next step without further purification.

278

279 Synthesis of 2-[(3-hexyl-1,1-dimethyl-2H-benzo[e]indol-2-ylidene)methyl)]-4-[(3-hexyl-

280 1,1-dimethyl-1H-benzo[e]indol-3-ium-2-yl)methylene]-3-(2,4,6-trioxotetrahydro-5-

pyrimidinylidene)cyclobut-1-en-1-olate (11a). The squaraine dye 11a was prepared by 281 282 reaction from the semisquaraine dye 10a (0.494 g, 0.822 mmol) and the quaternary ammonium salt 6 (0.572 g, 1.36 mmol) in *n*-butanol (15 mL), stirred for 10 h at reflux. The 283 284 reaction mixture then was cooled on an ice bath to allow the product to precipitate by the 285 addition of diethyl ether. The obtained residue was purified by alumina column chromatography (2% MeOH/CH₂Cl₂). Dark green crystals were recoiled and dried under 286 reduced pressure. Yield: 18 %; m.p.: 261-263 °C (dec.); IR vmax (KBr): 3442 (w, NH), 3193 287 288 (w, ArCH), 3072 (w, ArCH) 2954 (m, CH), 2930 (m, CH), 2857 (m, CH), 2351 (w), 1720 (m, C=O),1710 (m), 1603 (m), 1576 (m), 1525 (m), 1489 (s), 1481 (s), 1454 (s), 1435 (m), 289 1336 (m), 1249 (m), 1208 (m), 1178 (m), 1152 (m), 1153 (m), 1124 (m), 1072 (m), 1014 (m) 290 981 (w), 933 (m), 891 (w), 829 (w), 800 (w), 779 (w), 745 (w) cm⁻¹; ¹H NMR (600.10 MHz, 291 DMSO-*d*₆) δ: 10.00 (2H, s, NH, change with D₂O), 8.30 (2H, d, *J* = 8.4, ArH), 8.05 (4H, t, *J* 292 293 = 7.5, ArH), 7.74 (2H, d, *J* = 8.4, ArH), 7.65 (2H, t, *J* = 7.8, ArH), 7.50 (2H, t, *J* = 7.5, ArH), 6.56 (2H, s, CH=C), 4.20 (4H, bt, J = 6.6, NCH₂(CH₂)₄CH₃), 1.98 (12H, s, C(CH₃)₂), 1.78 294 (4H, qt, J = 7.5, NCH₂C<u>H₂(CH₂)₃CH₃), 1.38 (4H, qt, J = 7.2, N(CH₂)₂C<u>H₂(CH₂)₂CH₃), 1.32-</u></u> 295 1.22 (8H, m, N(CH₂)₃(CH₂)₂CH₃), 0.81 (6H, t, J = 6.9, N(CH₂)₅CH₃) ppm; ¹³C NMR (100.6 296 MHz, DMSO-*d*₆) δ: 179.89, 175.68, 172.55, 169.71, 163.01, 139.32, 134.03, 131.24, 129.94 297 (ArCH), 129.77 (ArCH), 127.66 (ArCH), 124.68 (ArCH), 122.55 (ArCH), 111.65 (ArCH), 298

93.35 (<u>CH</u>=C), 86.72, 50.60 (<u>C(CH₃)₂</u>), 43.96 (N<u>C</u>H₂), 30.70 (CH₂), 26.61 (CH₂), 25.96
(C(<u>CH₃)₂</u>), 25.66 (CH₂), 21.98 (CH₂), 13.78 (N(CH₂)₅<u>C</u>H₃) ppm. HRESI-TOFMS m/z:
775.4218 [M+H]⁺ (C₅₀H₅₅N₄O₄ calc. 775.4214).

302

303 Synthesis of 2-[(3-hexyl-1,1-dimethyl-2H-benzo[e]indol-2-ylidene)methyl)]-4-[(3-hexyl-304 1,1-dimethyl-1H-benzo[e]indol-3-ium-2-yl)methylene]-3-(4,6-dioxo-2-

thioxotetrahydropyrimidinylidene)cyclobut-1-en-1-olate (11b). The squaraine dye 11b was 305 prepared by reaction from the semisquaraine dye 10b (0.598 g, 0.970 mmol) and 6 (0.408 g, 306 0.970 mmol) in a mixture (1:1 v/v) of *n*-butanol/toluene (20 mL), stirred for 4 h at reflux. 307 The reaction mixture was quenched with cold distilled water, and the organic layer, after 308 309 separation by decantation, dried with anhydrous Na₂SO₄ and the solvent removed under 310 reduced pressure. The obtained residue was purified by alumina column chromatography 311 (2% MeOH/CH₂Cl₂). Dark green crystals were recoiled and dried under reduced pressure. Yield: 9%; m.p.: 255-257 °C (dec.); IR v_{max} (KBr): 3440 (w, NH), 3069 (w, ArCH), 2928 312 (w, CH), 2855 (w, CH), 1734 (m, C=O), 1578 (m), 1524 (m), 1483 (s), 1454 (s), 1433 (s), 313 1348 (w), 1333 (w), 1287 (s), 1250 (m), 1207 (m), 1179 (m), 1119 (s), 1065 (m), 1015 (m), 314 978 (w), 932 (w), 891 (w), 826 (w), 804 (w), 781 (w) cm⁻¹; ¹H NMR (400.13 MHz, DMSO-315 d_6) δ : 11.26 (2H, s, NH, change with D₂O), 8.30 (2H, d, J = 8.8, ArH), 8.06 (2H, d, J = 6.0, 316 ArH), 8.04 (2H, d, *J* = 5.6, ArH), 7.75 (2H, d, *J* = 9.2, ArH), 7.65 (2H, t, *J* = 7.6, ArH), 7.50 317 318 (2H, t, *J* = 7.4, ArH), 6.44 (2H, s, CH=C), 4.20 (4H, t, *J* = 7.0, NCH₂(CH₂)₄CH₃), 1.98 (12H, s, C(CH₃)₂), 1.78 (4H, qt, J = 7.2, NCH₂CH₂(CH₂)₃CH₃), 1.38 (4H, qt, J = 7.1, 319 $N(CH_2)_2CH_2(CH_2)_2CH_3)$, 1.33-1.21 (8H, m, $N(CH_2)_3(CH_2)_2CH_3)$, 0.82 (6H, t, J = 7.0, 320 N(CH₂)₅CH₃) ppm; ¹³C NMR (100.6 MHz, DMSO-*d*₆) δ: 180.11, 175.54, 174.62, 172.88, 321 169.70, 160.84, 139.24, 134.15, 131.30, 129.96 (ArCH), 129.76 (ArCH), 127.67 (ArCH), 322 124.75 (ArCH), 122.55 (ArCH), 111.68 (ArCH), 93.19 (CH=C), 89.05, 50.66 (C(CH₃)₂), 323

44.04 (N<u>C</u>H₂), 30.68 (CH₂), 26.59 (CH₂), 25.85 (C(<u>C</u>H₃)₂), 25.63 (CH₂), 21.94 (CH₂), 13.79
(N(CH₂)₅<u>C</u>H₃) ppm. HRESI-TOFMS m/z: 791.3989 [M+H]⁺ (C₅₀H₅₅N₄O₃S calc.791.3978).

Photophysical measurements: Some photophysical properties of the synthesized dyes
were determined in ethanol and in phosphate-buffer (PB, pH 7.3). Phosphate buffer (PB,
0.05 M, pH 7.3) was prepared by dissolving Na₂HPO₄·7H₂O (4.540 g) and NaH₂PO₄ (1.130
g) in 1.0 L of milipore water.

The fluorescence quantum yield (Φ_F) was determined according to the equation 1 [29], using zinc phthalocyanine as reference ($\Phi_F = 0.17$ in dimethylformamide (DMF)) [38].

333

334
$$\Phi_F = \Phi_{F(ZnPh)} \left(\frac{F_{Dye}}{F_{(ZnPh)}} \right) \left(\frac{A_{ZnPh}}{A_{Dye}} \right) \left(\frac{n_{media}^2}{n_{DMF}^2} \right)$$
(1)

335

where $\Phi_{F(\text{ZnPh})}$ is the quantum yield of zinc phthalocyanine, *F* represent the integral areas of the emission spectra, A the absorbances and *n* the refractive indices of DMF and the solvent where the dye under examination is dissolved. The subscripts *ZnPh* and *Dye* refers to the reference zinc phthalocyanine and the dye under study, respectively.

340

Photostability analysis: From stock solutions of dyes in dimethyl sulfoxide (DMSO) at 1 mM, working solutions of each studied dye at a concentration of 25 μ M were prepared by diluting them in PB. The solutions were transferred to a standard quartz cell (1 cm path length) and irradiated continuously during 20 minutes with a 150 W lamp with an emission in the UV/Vis range. Every 1-minute absorption spectra between 500-800 nm were recorded using a Cary 50 Bio spectrophotometer.

Qualitative evaluation of singlet oxygen (¹O₂) generation: Solutions of each 348 squaraine dye, methylene blue (MB) $(6.7 \times 10^{-4} \text{ M})$ and 1.3-diphenylisobenzofuran (DPBF) 349 350 (1 mM) were prepared in DMSO and subsequently diluted in PB (pH 7.3) or DMSO to obtain working solutions of 20 µM and 0.1 mM, for dyes and DPBF, respectively. Solutions were 351 352 added in quadruplicate to a 96-well microplate and irradiated using an LED system with emission at 660 nm (described below). After periods of 5 s of irradiation up to 60 s, every 353 10 s up to 200 s, every 20 s up to 400 s, and every 50 s up to 650 s, absorbance readings at 354 410 nm (maximum absorption wavelength of the singlet oxygen indicator) were taken using 355 a Thermo Scientific Multiskan GO microplate spectrophotometer. The experiments were 356 carried out in DMSO and PB and the qualitatively analysis of the singlet oxygen production 357 ability of the dyes was achieved by subtracting the absorbance at the 410 nm wavelength of 358 the dyes in each solvent from the absorbance of the dyes incubated with DPBF. 359

360

361 Dye/ HSA interaction study: To investigate the interaction of the synthesized squaraine dyes with HSA, stock solutions of each dye in dimethylformamide (DMF) with a 362 concentration of 6.7×10^{-4} M were prepared. The HSA solution at 14 μ M were prepared by 363 dissolving the protein in PB (0.05 M, pH 7.3). The working solutions were prepared by 364 adding the dye stock solutions to the protein stock solutions, to obtain a dye concentration 365 366 of 2.0 µM and a protein concentration between 0 and 3.5 µM. All solutions were prepared immediately before the experiments start. After 1 hour of incubation an emission spectrum 367 was collected using a Varian Cary Eclipse fluorescence spectrophotometer (Agilent 368 Technologies, Santa Clara, United States of America), operating with an excitation 369 wavelength (λ_{exc}) of 580 nm and an excitation and emission slit of 10 nm and 20 nm 370 371 respectively for dyes 11a, 11b and 5b, and an excitation and emission slit of 5 nm and 10 372 nm for dye 5a.

Binding and sensing parameters determination: From the data obtained in the dye/HSA interaction assays, the binding parameters were determined. Binding constants (K_b) for each dye were determined according to equation 2 (Benesi–Hildebrand equation) [39]:

378
$$\frac{1}{\Delta F} = \frac{1}{\Delta F_{max}} + \left(\frac{1}{K_b \Delta F_{max}}\right) \left(\frac{1}{[P]}\right)$$
(2)

379

where $\Delta F = F_x - F_0$, $\Delta F_{max} = F_\infty - F_0$, and F_0 , F_x and F_∞ are the fluorescence intensities of dyes in the absence of protein, at a certain concentration, and at a concentration of complete interaction, respectively, and [*P*] is the protein concentration.

383 Dissociation constant (K_d) and the Hill coefficient (n_H)were calculated based on equation 3 384 [40]:

385

$$386 \quad \log \frac{Q}{1-Q} = n_H \log[P] - \log K_d \tag{3}$$

387

388 where $Q = F/F_{max}$, is the fractional binding saturation, fraction of sites occupied with the 389 ligand, [*P*] is the protein concentration.

390 Sensing parameters as detection limit (DL), quantification limit (QL) and sensitivity (S) were

also calculated from fluorescence protein assays and based in equation 4 and 5 [31]:

392

$$393 \qquad DL = \frac{3\sigma}{k} \tag{4}$$

394

$$395 \quad QL = \frac{10\sigma}{k} \tag{5}$$

397 where σ is the standard deviation of blank, *k* is the slope between the fluorescence intensity 398 *versus* protein concentration.

399

Biological activity assays: Minimum Inhibitory Concentration (MIC) of growth for the 400 401 synthesized dyes 5a,b and 11a,b was determined against Saccharomyces cerevisiae strain PYCC 4072 using a broth microdilution method for the antifungal susceptibility assessment 402 (M27-A3, CLSI-Clinical and Laboratory Standards Institute) [41]. PYCC 4072 cells were 403 404 grown in YPD agar plates and a fresh culture was prepared for each experiment. The cells were cultivated in 96-well plates after dilution in Roswell Park Memorial Institute (RPMI) 405 406 1640 medium, buffered to pH 7.0 with 0.165 M morpholenepropanesulfonic acid (MOPS) buffer, in order to present an initial concentration of 2.25×10^3 cells/ mL. The stock solutions 407 of the synthesized squaraine dyes were prepared in dimethyl sulfoxide (DMSO) at the 408 409 concentration of 10 mM and a final dilution was carried out in RPMI 1640 medium (DMSO concentration of 0.5% per well, v/v, before each experiment. After adding the dye solutions, 410 the microplates were subjected to two different conditions: without irradiation (protected 411 from ambient light) and under 30 minutes of irradiation with an LED system centered at 640 412 413 or 660 nm, chosen according to the maximum dye absorption wavelength in RPMI medium, 414 being subsequently incubated at 30 °C for 48 hours. Growth was assessed by measuring the 415 absorbance at 640 nm in a microplate photometer (Molecular Devices SpectraMax Plus). 416 The obtained values allowed the determination of MICs, which corresponds to the lowest 417 concentration of dye that causes a growth inhibition of >80 %, when compared to a control. Five concentrations of each dye were tested, each in triplicate in at least two independent 418 experiments. 419

421 **LEDs systems:** Two led systems with wavelength centered at 640 and 660 nm were used. The device with emission peak at 640 nm, measured using the Ocean Optics HR4000CG 422 423 CCD spectrometer, were constructed using aluminium gallium indium phosphide (AlGaInP) and aluminium gallium phosphide (AlGaP) LEDs respectively, with clear epoxy lenses of 5 424 425 mm diameter and viewing angle of 30°. The radiant flux was measured to be P=7.3 \pm 0.4 mW, operating at 20 mA using an UDP Instruments S350 Optometer coupled with a UDT 426 Instruments S5124A sensor and a S2575 integrating sphere. For a 30-minute exposure time 427 the fluence is 13.1 J/cm². The device was placed over the 96-well plates, with the LEDs facing 428 the cells, and each LED illuminated a single well. The light system with a wavelength of 660 429 nm uses LEDs manufactured by Kingbright (model: L-53SRC-F) and are GaAlAs based 430 emitters, with water clear lens type with the diameter of 5 mm and viewing angle of 30°. The 431 radiant flux and irradiance were measured using a Thorlabs PM100USB power meter 432 433 coupled to a S120C calibrated head, with an applied forward DC current of 20 mA and a distance between LED and detector of 1.5 cm. The measured value was 3.8 ± 0.3 mW for 434 the radiant flux and 5.4 ± 0.5 mW/cm² for the irradiance. For a 30-minute exposure time the 435 fluence for this LED system is 9.7 J/cm². A CCD spectrometer from Ocean Optics (model 436 HR4000CG) was used to measure the spectral emission. Using the spectra data obtained for 437 10 LEDs, representative of the emitters assembled in the system; the spectral emission peak 438 was found to be at 653 ± 1 nm, with a full width at half maximum (FWHM) of 21.1 ± 0.2 439 440 nm.

441

442 **RESULTS AND DISCUSSION**

443 Synthesis of squaraine dyes 5a,b and 11a,b

Four squaraine dyes derived from indolenine and benzo[*e*]indole containing an ester groupor alkyl chains at the nitrogen atoms of heterocyclic rings, and groups derived from barbituric

and thiobarbituric acid in the central four-membered ring were successfully synthesized and
their synthesis are schematically represented in Schemes 1 and 2, respectively. According to
the knowledge of the authors, and as far as they were able to ascertain, dyes 5a,b and 11a,b
are new, so their synthesis and complete spectroscopic characterization are detailed here for
the first time.

451 The synthesis of dyes 5a and 5b (Scheme 1) begins with the preparation of quaternary ammonium salts 1-(2-carboxyethyl)-2,3,3-trimethyl-3H-indol-1-ium bromide (3a) or 1-(2-452 carboxyethyl)-2,3,3-trimethyl-1*H*-benzo[*e*]indol-1-ium bromide (**3b**) through the reaction of 453 454 2,3,3-trimethylindolenine (1a) or 1,1,2-trimethyl-1*H*-benz[*e*]indole (1b) with 3bromopropionic acid (2). Then, the quaternary salts are subjected to a condensation reaction 455 with squaric acid (4) in the presence of *n*-butanol and toluene at reflux using a Dean-Stark 456 apparatus. During the condensation reaction, esterification of the chains occurred, which was 457 confirmed by the analysis of ¹H and ¹³C NMR spectra of dyes. 458

459

<Scheme 1>

The barbiturate squaraine dyes 11a and 11b (Scheme 2) were synthesized using a multistep 460 procedure similar to the previously described by some of us [31, 32] for that type of dyes. In 461 462 a first step and through an alkylation reaction between 1,1,2-trimethyl-1*H*-benz[*e*]indole (1b) and an excess of iodohexane in presence of acetonitrile, the quaternary ammonium salt 6 was 463 obtained, which in turn reacting with dibutylsquarate (7), resulted from the reaction of 464 squaric acid with *n*-butanol at reflux, allowed to obtain the monosubstituted intermediate 8. 465 The later, was reacted with the respective barbiturate derivative (9a,b) in the presence of 466 467 ethanol and triethylamine giving rise to semisquaraines 10a and 10b that were used in the next step without prior purification. The final dyes **11a**,**b** were obtained by a condensation 468 reaction between the intermediates 10a,b and the quaternary ammonium salt 6 in a n-469 470 butanol/toluene (1:1 v/v) mixture and using a Dean-Stark system. In this way, the desired

dyes were obtained in the form of dark blue (5a,b) and dark green (11a,b) solids, with low
and moderate yields, being subsequently subjected to respective characterization through
standard spectroscopic methods. All the spectra are shown in the supporting material (Fig.
S1-33).

By analyzing the ¹H NMR spectra it is possible to verify that dye **5a** presents the aromatic 475 476 protons of the heterocyclic ring, in the form of duplets and triplets at δ 7.08-7.35 ppm, while dye **5b** present the signals at δ 7.42-8.20 ppm, in the form of duplets, triplets and a multiplet. 477 Squaraine dyes 11a and 11b, where the difference between them is based only on the 478 479 presence of an oxygen and sulfur atom attached to the barbiturate group, present the aromatic protons signals at exactly the same shift, at δ 7.50-8.30 ppm. Table 1 summarizes the most 480 481 relevant ¹H and ¹³C signals. The methine protons of this class of dyes emerge in the form of singlets at approximately δ 6.00 ppm. For **5a**, this signal appears at δ 5.93 ppm, however 482 when we replace the heterocycles derived from indolenine to heterocycles derived from 483 benzo[*e*]indole (5b), the signal appears at a slightly higher chemical shift (δ 6.00 ppm). In 484 485 the case of **11a** and **11b**, both derived from benzo[*e*]indole, the signal appears at a higher chemical shift compared to dyes 5a and 5b, which should be caused by the presence of the 486 barbiturate group. Dye **11a**, whose signal arises at δ 6.56 ppm, and **11b** with the signal arise 487 at δ 6.44 ppm, show a small difference in chemical deviations, which in this case may be 488 justified by the greater electronegativity of the oxygen atom present in the molecule of dye 489 490 **11a.** It is important to mention that, as expected, the signals of the methine groups only present a single signal, which is in accordance with the symmetrical character of the 491 molecules presented. 492

The methylene protons bound to the nitrogen (NCH₂-), appear at δ 4.05 ppm for **5a**,**b**, while for **11a**,**b** this signal appears at δ 4.20 ppm. This shift can be justified by the fact that dyes **5a**,**b** have an ester group on the *N*-alkyl chain. The terminal methyl groups present in this 496 same chain emerged thereabout δ 0.87 ppm for compound **5a**,**b** and about δ 0.82 ppm for 497 **11a**,**b**. Once again, the chain esterification in dyes **5a**,**b** will be the justification for this slight 498 deviation observed.

The ¹³C NMR spectra exhibited aromatic carbons in the form of four signals at δ 109.68-499 127.99 ppm, for dye 5a and six signals at δ 110.31-129.84 ppm, at δ 111.66-129.94 ppm and 500 501 at δ 111.68-129.96 ppm, for dye **5b** and **11a,b**, respectively. The existence of a single signal at about δ 90 ppm, related to the methine carbons (<u>CH</u>=C) confirms the symmetrical 502 character of the synthesized dyes. The IR spectra of dyes 5a,b and 11a,b showed a signal 503 near 1700 cm⁻¹ resulting from asymmetric stretching vibrations of carbonyl groups present 504 in all the dyes. In IR spectra of dyes **11a**,**b** the band relative to the stretching vibrations of 505 506 N-H bonds of the barbituric group is observed near to 3400 cm⁻¹.

- 507
- 508 <Scheme 2>
- 509

<Table 1>

510

511 **Photophysical studies**

512 The fundamental photophysical studies of synthesized dyes are carried out in ethanol and PB (pH 7.3) and are presented in Figure 1 A-D and Table 2. The maxima absorption wavelength 513 (λ_{abs}) of dyes **5a**,**b** and **11a**,**b** in both solvents are located in a range of 631-682 nm and the 514 maximum emission wavelength (λ_{em}) lies between 636-728 nm, with low to moderate Stokes 515 shifts ($\Delta\lambda$, 3–46 nm). The lowest absorption and emission values are assigned to dye 5a in 516 517 the two solvents tested. By comparing the results obtained for dye 5a and 5b it is possible to verify that the fusion of a benzene ring in the heterocyclic bases leads to a bathochromic shift 518 in the maximum absorption wavelengths of 32 nm in ethanol and 49 nm in PB. Dyes 5b and 519

520 11a, b shows very similar absorption and emission wavelengths despite their structural differences. In PB these three dves have the same absorption wavelength (682 nm) while in 521 ethanol the difference is 5 nm for 11a and 4 nm for 11b when compared to dye 5b. It is 522 523 possible to conclude that the barbituric groups present in dyes 11a and 11b slightly affect the absorption wavelengths, which are more affected by the introduction of the group derived 524 from benzo[e]indole. The molar extinction coefficients vary in the range 3.37×10^4 - 3.86×10^5 525 M^{-1} cm⁻¹, with dye **5a** showing the highest values in both solvents, followed by **5b**, **11b** and 526 lastly the dye 11a. The high molar absorptivity ($\varepsilon > 1 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$) in organic solvent 527 528 reveals the strong absorption of the four synthesized dyes at longer wavelengths (> 630 nm), which is an advantage for the use of these dyes as fluorescence probes. 529

530 The relative fluorescence quantum yields ranged from 10.3% to 80.7% in EtOH to <1%-6.3% in PB. Dye **5a** presents the highest fluorescence quantum yield in both solvents. The 531 lowest value in EtOH is attributed to the dye 11a (10.3%) and in PBS is assigned to dye 11b 532 (<1%). Comparing 5a and 5b dyes it is possible to verify that the structural difference 533 between them leads to a decrease of 68 % in the fluorescence quantum yield in EtOH and 86 534 535 % in PB. For 11a and 11b dyes the difference in the values obtained in EtOH is almost insignificant, while in PBS there is a decrease of about 50 %, resulting from the replacement 536 of an oxygen atom with a sulfur atom. 537

- 538 <Figure 1>
- 539 <Table 2>

Through the observation of Figure 1 it is possible to verify that in aqueous media the four dyes tend to form aggregates, which is evidenced by the presence of two slightly overlapping bands, one of which is relative to the monomer and the other to the formed aggregates. This trend is already widely reported in the literature [42-45], and is associated with the low solubility of squaraine dyes in aqueous media. The aggregates can be classified into *J*- 545 aggregates and *H*-aggregates, which is related to the type of alignment of the transition dipole moments on adjacent molecules [46, 47]. One way to determine the formed aggregates type 546 is through the use of Triton X-100. This non-ionic surfactant will attenuate the formation of 547 548 aggregates allowing to obtain an absorption spectrum in which the most evident band corresponds to the monomer band. The analysis of Figure 2 confirms the presence of H-549 aggregates for the four dyes since the solid lines related to the Triton X-100 tests reveal that 550 the band at the higher wavelength is attributed to the monomer and the band with a blue shift 551 of 38, 47, 45, 46 nm for dyes 5a,b and 11a,b, respectively, corresponds to the band 552 553 characteristic of the H-aggregates. The type of aggregates formed also allows us to conclude that the dye molecules establish covalent bonds, acquiring a side-by-side orientation with 554 crossed dipoles [48, 49]. This aggregation behavior of the dyes also justifies the low molar 555 556 extinction coefficients obtained as well as the low fluorescence quantum yields.

557 One of the squaraine dyes family characteristics is their photoactivation capacity, being 558 necessary an efficient light source with a wavelength that falls within the absorption range 559 of the dyes in the medium to be used. To build the most appropriate LED system for cell 560 irradiation, spectra of the synthesized dyes in RPMI media was recorded (Figure 1 E). The 561 absorption pattern is similar to that observed in PB, having broader absorption bands also 562 associated with the formation of aggregates, with the monomer band appearing at a slightly 563 longer wavelength.

564

565

566 **Photostability analysis**

567 Photostability refers to the effect that light has on a substance. Light can cause the 568 photodegradation of a drug and eventually lead to the loss or alteration of its active principle, 569 to the reduction of its potency and effectiveness and also to the formation of degradation products of high toxicity, causing adverse effects at the biological level [50-52]. Monitoring
this parameter is extremely important in order to ensure that the cytotoxic effect produced is
caused only by the molecule and not by products from photodegradation [53].

To assess photostability, solutions of the synthesized dyes were prepared in DMSO with a concentration of 1 mM and then diluted in PB in order to obtain a concentration of 25 μ M. Each solution was irradiated with a 150W lamp with emission in the UV/vis region for 20 minutes, with an absorption spectrum being obtained every minute.

All dyes showed a photostability lower than that observed for MB (Figure 3). Dye **11b** showed high photostability, with a pattern similar to that obtained with MB blue, followed by compound **5a** which showed a decrease in this parameter of about 20% at the end of 20 minutes of irradiation. Compounds **5b** and **11a** showed poor light- stability, being degraded almost entirely, resulting from this photodegradation process the loss of the compounds' ability to emit coloration, called photofading.

Comparing dyes 5a and 5b it is possible to conclude that the dye derived from 1,1,2-583 584 trimethyl-1*H*-benz[*e*]indole has a poor stability to this physical agent while the indolenine derivative has a good response to light. These are in agreement with previously reported 585 586 studies, which demonstrate that indolenine derivatives generally have good photostability [54, 55]. Structurally, dyes 11a and 11b differ only in the presence of an oxygen atom and a 587 588 sulfur atom, respectively. However, the results revealed that the presence of the sulfur atom confers a high photostability to the dye while the oxygen atom makes the dye very 589 photounstable. 590

591

<Figure 3>

592

593 Generation of singlet oxygen

594 Dye's ability to generate singlet oxygen has been qualitatively evaluated in comparison with MB using DPBF. DPBF is a probe which, when react with singlet oxygen, loses its 595 extended π -electron system, forming a *o*-dibenzoylbenzene derivative, a compound without 596 597 capacity to absorb Vis light [56, 57]. The decomposition of DPBF can be followed by monitoring the absorbance at about 410 nm, proceeding simultaneously to irradiation with a 598 599 LED system. The DPBF assay was qualitatively performed in DMSO and PB in order to mimic the physiological conditions that dyes were subjected in the biological tests. The 600 601 decrease in the absorption of DPBF in DMSO as a function of irradiation time (Figure 4A) 602 confirmed the excellent singlet oxygen production capacity of MB [58], with a complete degradation of the DPBF after about 40 s of irradiation. In DMSO, all the dyes evaluated 603 showed an activity lower than MB, with compound 5b presenting the best result, with a 604 605 degradation of DPBF of about 50%, after 650 s of irradiation. The other dyes led to an DPBF absorbance decrease of about 30%. A marked decrease in absorbance was observed in the 606 first 20 s of irradiation caused by dye 11a, and then this decrease was less accentuated in the 607 608 remaining irradiation time. Comparing dyes 5a and 5b it is possible to verify that in DMSO the introduction of heterocyclic bases derived from benzo[*e*]indole is advantageous in terms 609 610 of singlet oxygen production. Dyes 11a and 11b showed a similar result demonstrating that replacing an oxygen atom with a sulfur atom does not cause significant changes. 611

In aqueous medium, the results revealed that the solvent used interferes with the ability to produce singlet oxygen (Figure 4B). In this medium, MB showed a lower photosensitizing capacity, being surpassed by dye **5a**, which led to a degradation of about 80% of the DPBF. The superior oxygen production capacity of squaraine dyes compared to MB has already been reported by other authors [26, 55, 59]. Dyes **5a** and **11a**,**b** did not undergo significant changes in their activity, showing a similar behavior in DMSO and in PB after 650 s of irradiation. However, it is important to mention that in PB, at 100 s of irradiation, a

619	degradation of about 20% of the DPBF was observed for dyes 5b and 11b , while in DMSO,
620	after the same irradiation time, the degradation value was about 10%.
621	<figure 4=""></figure>

622

623 HSA interaction studies

Human serum albumin is the major protein in human blood plasma [60]. This protein 624 plays an essential role in the maintenance of several metabolic processes, such as the 625 regulation of plasma oncotic pressure, the decrease in the activity of some toxins, the control 626 of the antioxidant properties of the plasma, the transport of some drugs, among others [61, 627 628 62]. Changes in the concentration of HSA in biofluids such as saliva, urine and serum are generally associated with serious disease states such as liver damage, kidney failure, diabetes 629 630 and cardiovascular diseases, so methods that allow its rapid detection and quantification are 631 of extreme relevance in clinical diagnosis [63-65].

The interaction of synthesized dyes with HSA was evaluated through the application of a protocol that allows to increase the concentration of protein (0-3.5 μ M) and maintain the concentration of dye (2 μ M).

As previously mentioned, the synthesized dyes, as well as other dyes of the same family already reported in other studies [31, 32], tend to form non-fluorescent aggregates in an aqueous medium. This fact leads the synthesized dyes to present, in buffer solution, a very low fluorescence intensity. However, after addition of HSA, a significant increase in fluorescence intensity is observed, which reveals that a dye-protein complex is formed in which interactions are established through hydrophobic, electrostatic and hydrogen bonds [66].

642 By analyzing Figure 5, it is possible to verify that the four studied dyes increase their 643 fluorescence intensity due to the interaction with the protein and this variation is directly 644 proportional to the protein concentration, presenting a linear correlation, with a square of 645 correlation coefficient (\mathbb{R}^2) very close to unity (Inset graphs in Figure 5).

Dye **5a** showed the most expressive response with a 43-fold increase in fluorescence intensity, followed by dye **5b** with a 15-fold increase. Despite demonstrating an almost insignificant increase in fluorescence emission, dyes **11a**,**b** also show a linear variation between fluorescence intensity and protein concentration.

Emission spectra in the absence and presence of $3.5 \ \mu$ M of protein (Figure S34) reveal that shifts in emission wavelengths occur upon addition of protein. Dye **5a** undergoes a bathochromic shift of 15 nm, while other dyes show a hypsochromic shift of 43 nm, for dyes **5b** and **11a**, and **51** nm for dye **11b**. These deviations prove the existence of strong interactions between the dyes and the protein.

655 <Figure 5>

As previously discussed, dyes show low fluorescence quantum yields due to their high tendency to form non-fluorescent aggregates in aqueous media. However, after interaction with HSA there is an increase in the value of this parameter, visible in all tested dyes (Table 3), that suggesting the formation of a SQ-BSA/ HSA fluorescent complex. The increase is more pronounced for **5a**, which increases the fluorescence quantum yield to a value greater than 100%. The remaining dyes showed a not so pronounced increase, but which reveal the existence of an interaction between the dyes and the biomolecule used.

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664

665 As reported for other squaraine dyes [32, 67], the fluorescence quantum yields of the dyes 666 in PB presenting similar values and in the same order of magnitude, are insignificant when 667 compared to the fluorescence quantum yields after interaction with HSA. After the 668 interaction with the protein, variations in the results obtained are verified as a consequence of the different structural variations that in turn lead to different dye-protein interactions. 669 Comparing the dyes derived from indolenine (5a) and benzo[*e*]indole (5b) with an ester 670 671 group in the N-alkyl chains it is verified that the introduction of a ring in the heterocyclic bases does not favor the interaction of the dye with the HSA. By comparing the dyes 11a,b 672 673 with their indolenine-derived counterparts [31], it is possible to verify that, once again, the benzo[e]indole-derived dye did not prove to be advantageous in terms of increased 674 fluorescence intensity after interaction with HSA. These results are in agreement with other 675 676 published studies that proved that dyes derived from indolenine are the most suitable for use as fluorescent probes [30, 54, 68, 69]. 677

678 Using equation 2, the Benesi-Hildebrand graphs depicted in Fig. 6 were plotted. The visibly non-linear trend observed for dyes 11a and 11b suggests a 1:2 complexation between 679 680 fluorophore and protein. In contrast, dyes 5a and 5b show a good linear correlation which may indicate a 1:1 complexation. Based on the same graphs, the binding constants were also 681 determined (Table 3), with values with an order of magnitude of 10⁵ being obtained for all 682 683 dyes, which is speculative of the occurrence of an intercalative binding between the dye and the protein [70]. The K_b values obtained are very close to each other indicating that all dyes 684 have similar affinity for HSA. 685

686

<Figure 6>

687

An attempt was made to determine the dissociation constant and the Hill constant through equation 3. The graphs obtained (Fig. 7) reveal a non-linear trend for all evaluated dyes. As already reported in a previously published article by some of us, this fact is due to the different affinity of the dyes for the different binding sites of the protein. More simply, the dye first binds to the site with the highest affinity and will only bind to other sites after the 693 first one is saturated, always in order of affinity [40]. In these cases, it is described that an estimation of these constants for the highest affinity binding site can be performed using the 694 end of the non-linear plot for small values of HSA concentration while using the other end 695 696 of the plot (of HSA concentrations plus high) the constant value for the site of least affinity is obtained [71]. The interactions between the dye and the protein are of greater complexity, 697 which would lead to obtaining non-coherent values because variations in the value of the 698 constants can occur due to changes in the interaction between the different binding sites and 699 the dye after binding and for this reason these parameters have not been determined. 700 701 Although it is not possible to determine these constants, this fact allows for a better understanding of the type of interactions that occur between the dye and the HSA. 702

- 703
- 704

The linearity observed between fluorescence intensity and HSA concentration (Inset graph in Figure 5) allow the determination of parameters such as detection limit, quantification limit and sensitivity (Table 3), essential for the validation of a quantitative method. Based on the results obtained, it is possible to verify that dyes **5a**,**b** have lower values than those obtained for compounds **11a**,**b** which indicates that they will have a more effective response to variations in the concentration of HSA, bringing together better conditions for their application as fluorescent probes for the detection of the protein under study.

<Figure 7>

712

713

714 Biological activity of squaraine dyes 5a,b and 11a,b

Using the yeast *Saccharomyces cerevisiae* PYCC 4072 strain as a model organism and a
broth microdilution method [72, 73], the potential antifungal activity investigation of the

717 synthesized dyes 5a,b and 11a,b was carried out. Table 4 shows the values of the MIC in the two experimental conditions tested and the logarithms of the partition coefficient of the 718 synthesized dyes (LogP), which were theoretically predicted [74]. The tested dyes exhibited 719 720 antiproliferative activity with MIC values of 50 and 100 μ M, with exception of 5a (MIC > 100 μ M), which could not be tested at higher concentrations than 100 μ M due to its limited 721 722 solubility. Dye **5a** has a MIC > 100 μ M whereas for dye **5b** this value is 50 μ M, which allows us to conclude that the substitution of the heterocyclic bases derived from indolenine with 723 bases derived from benzo[e]indole increases the antifungal capacity. With regard to dyes 11a 724 725 and 11b, it can be seen that the presence of a group derived from barbituric or thiobarbituric acid does not cause any change in the antifungal activity of the dyes, since both dyes have 726 an equal MIC value (100 μ M). 727

728 In order to increase the antifungal capacity of the synthesized dyes, tests were carried out using a radiation system with an appropriate wavelength. Considering the absorption 729 wavelength of the dyes in RPMI, a LED system with emission at 640 nm was used for 5a 730 731 and a system with emission at 660 nm in the tests of dyes 5b, 11a and 11b. Dye 5a did not 732 show any response to irradiation while **5b** and **11a**,**b** improve their antifungal response when irradiated for 30 minutes before incubation. Dye 11a showed the most significant decrease 733 in MIC value, going from 100 μ M to 25 μ M. Dye **5b** showed the best antifungal activity in 734 the tests performed in the absence of light and when subjected to the radiation reduced its 735 736 MIC value by half, presenting the same value as that obtained for dye 11a (25 μ M). Irradiation also improved the performance of dye 11b which lowered its MIC value to half 737 that obtained in the dark. The calculated Log P of the dyes, ranged from 1.70 to 5.96 and 738 corresponds to an estimated measure of the dye's hydrophobicity. Dyes with smaller Log P 739 values are more soluble in water while dyes with higher values have greater affinity for 740 741 cellular membrane systems. The low Log P value obtained for **5a** is compatible with its weak antifungal activity. It was expected that the greater biological activity would be attributed to
dye 11b, however, this was not verified, which may be associated with the deficient solubility
of the dye in an aqueous medium that constitutes the cellular environment, as already
reported by Lima *et al.* [26].

746

It is important to mention that three tested dyes showed an MIC value equal or lower than
the fluconazole and miconazole, two reference antifungal compounds, with MIC's of 50 and
100 μM, respectively [75].

750

751 CONCLUSIONS

752 Four squaraine dyes with different modifications at the level of the central ring derived from 753 squaric acid and the N-chains were successfully synthesized. Fundamental photophysics characterization of these dyes revealed absorption and emission bands in the visible and near 754 755 infrared region (631-728 nm). In ethanol the bands are narrow and intense, however when in aqueous media there is a widening of the absorption bands which is an indicator of the typical 756 aggregation tendency of this polymethine dyes. A simple test using Triton X-100 showed 757 that the four dyes form H-aggregates, which reveals that in aqueous media the dyes 758 759 molecules are oriented in a side-by-side position.

The interaction studies with HSA showed that all dyes, in response to the interaction with HSA, increased their fluorescence intensity, with this response showing an increase proportional to the protein concentration. The best response was given by dye **5a** in which it was possible to observe a 43-fold increase in fluorescence emission. Binding constants were also determined which, due to their high value, allow us to conclude that there are strong interactions between the synthesized dyes and HSA. The values of the sensing parameters validating the possible use of these dyes, specially dyes 5a and 5b, as a method to detect andquantify of the protein under study.

Regarding the studies carried out with *Saccharomyces cerevisiae*, MIC values between 50 ->100 μ M were obtained in the dark tests. After using the irradiation system, in order to photoactivate the dyes, it was found that dyes **5b** and **11a,b** decrease in this value, demonstrating better antifungal activity when irradiated. All tested dyes exhibited considerable antiproliferative activity.

Tests using DPBF allowed to verify the ability of dyes to generate singlet oxygen. All dyes showed a good ability to generate this reactive oxygen species, however it was not possible to relate this parameter with the results obtained in terms of antifungal activity. The noncorrelation of these results may be related to the different solubility of the dyes in the cell medium and in their distribution in different cell structures.

In general, regarding the possible application of dyes as fluorescent probes for the detection of HSA, dye **5a**, derived from indolenine, was the most promising one. In potential application as an antifungal agent, the best result without irradiation is attributed to compound **5b**. However, after photoactivation, dyes **5b** and **11a** had the same MIC value, with compound **11a** showing a greater increase in its antiproliferative capacity after irradiation.

784

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- 792

793 SUPPORTING MATERIAL

- In the supporting material the ¹H NMR, ¹³C NMR, DEPT-135 and HRESI-TOFMS spectra are presented, as well as emission spectra of the dyes in the presence and absence of HSA.
- 797
- **Figure S1.** ¹H NMR spectrum of intermediate **3a** (600 MHz, DMSO-d₆, ppm).
- **Figure S2.** ¹³C NMR spectrum of intermediate **3a** (150.9 MHz, DMSO- d_6 , ppm).
- Figure S3. DEPT-135 spectrum of intermediate 3a (150.9 MHz, DMSO-*d*₆, ppm).
- **Figure S4.** HRESI-TOFMS spectrum of intermediate **3a**.
- **Figure S5.** ¹H NMR spectrum of intermediate **3b** (600 MHz, DMSO- d_6 , ppm).
- **Figure S6.** ^{13C} NMR spectrum of intermediate **3b** (150.9 MHz, DMSO-*d*₆, ppm).
- Figure S7. DEPT-135 spectrum of intermediate **3b** (150.9 MHz, DMSO-*d*₆, ppm).
- **Figure S8.** HRESI-TOFMS spectrum of intermediate **3b.**
- **Figure S9.** ¹H NMR spectrum of dye **5a** (600 MHz, CDCl₃, ppm).
- **Figure S10.** ¹³C NMR spectrum of dye **5a** (150.9 MHz, CDCl₃, ppm).
- 808 Figure S11. DEPT 135 spectrum of dye 5a (150.9 MHz, CDCl₃, ppm).
- **Figure S12.** HRESI-TOFMS spectrum of dye **5a**.
- **Figure S13.** ¹H NMR spectrum of dye **5b** (600 MHz, CDCl₃, ppm).
- 811 **Figure S14.** ¹³C NMR spectrum of dye **5b** (150.9 MHz, CDCl₃, ppm).
- Figure S15. DEPT-135 spectrum of dye 5b (150.9 MHz, CDCl₃, ppm).
- 813 **Figure S16.** HRESI-TOFMS spectrum of dye **5b**.
- Figure S17. ¹H NMR spectrum of intermediate 6 (400 MHz, CDCl₃, ppm).

- Figure S18. ¹³C NMR spectrum of intermediate 6 (100.6 MHz, CDCl₃, ppm).
- 816 Figure S19. DEPT-135 spectrum of intermediate 6 (100.6 MHz, CDCl₃, ppm).
- Figure S20. ¹H NMR spectrum of intermediate 8 (400 MHz, CDCl₃, ppm).
- **Figure S21.** ¹³C NMR spectrum of intermediate **8** (100.6 MHz, CDCl₃, ppm).
- Figure S22. DEPT-135 spectrum of intermediate 8 (100.6 MHz, CDCl₃, ppm).
- 820 Figure S23. HRESI-TOFMS spectrum of intermediate 8.
- Figure S24. ¹H NMR spectrum of dye 11a (600 MHz, CDCl₃, ppm).
- Figure S25. ¹H NMR spectrum of dye 11a (400 MHz, DMSO- d_6 + D₂O, ppm).
- **Figure S26.** ¹³C NMR spectrum of dye **11a** (150.9 MHz, DMSO-*d*₆, ppm).
- Figure S27. DEPT-135 spectrum of dye 11a (150.9 MHz, DMSO-*d*₆, ppm).
- Figure S28. HRESI-TOFMS spectrum of dye 11a.
- Figure S29. ¹H NMR spectrum of dye 11b (400 MHz, DMSO- d_6 , ppm).
- Figure S30. ¹H NMR spectrum of dye 11b (400 MHz, DMSO- d_6 + D₂O, ppm).
- 828 Figure S31. ¹³C NMR spectrum of dye 11b (150.9 MHz, DMSO-*d*₆, ppm).
- Figure S32. DEPT-135 spectrum of dye 11b (150.9 MHz, DMSO-*d*₆, ppm).
- **Figure S33.** HRESI-TOFMS spectrum of dye **11b.**
- Figure S34. Emission spectra of synthesized dyes 5a,b and 11a,b, A-D, respectively, in
- absence (solid lines) and presence (dash lines) of HSA at a concentration of 3.5μ M.
- 833

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1110 TABLES

Dye	¹ H NMR						
· _	<u>C</u> H=C N <u>H</u>		NC <u>H</u> 2-	R-COO-C <u>H</u> ₂ -	<u>C</u> H=C		
5 a	5.93 (2H, s)	-	4.05	4.34 (4H, bs)	86.93		
5b	6.00 (2H, s)	-	4.05	4.47 (4H, bs)	86.67		
11a	6.56 (2H, s)	10.00 ((2H, s)	4.20	-	93.35		
11b	6.44 (2H, s)	11.26 (2H, s)	4.20	-	93.19		

Table 1. Relevant ¹H and ¹³C NMR spectra signals of **5a**,**b** and **11a**,**b**.

1113 Table 2. Photophysical data of dyes 5a,b and 11a,b in ethanol and PB (λ_{exc} 580 nm,

1114	excitation and emission slits	10 nm).
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Dye	Solvent	λ _{abs} (nm)	$\lambda_{em}(nm)$	Δλ (nm)	ε (M ⁻¹ cm ⁻¹)	${\it I} \!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!$
	EtOH	631	639	8	3.86×10^{5}	80.7
5 a	PB	633	636	3	$7.94 imes 10^4$	6.3
	RPMI	647	-	-	-	-
	EtOH	663	672	9	3.25×10^{5}	25.7
5b	PB	682	715	33	6.82×10^4	1
	RPMI	625/691	-	-	-	-
	EtOH	668	686	18	$1.78 imes 10^5$	10.3
11a	PB	682	726	44	3.37×10^4	1.3
	RPMI	637/689	-	-	-	-
	EtOH	667	685	18	1.87×10^5	10.9
11b	PB	682	728	46	4.36×10^4	<1
	RPMI	632/687	-	-	-	-

1120 **Table 3.** Absorption and fluorescence data of squaraine dyes **5a**,**b** and **11a**,**b** in PB, in the 1121 presence of HSA (3.5μ M).

Deer	HSA presence				
Dye	λ _{abs} (nm)	$\lambda_{em}(nm)$	Δλ (nm)	$\pmb{\Phi}_{\mathrm{F}}(\%)$	
5a	642	647	5	>100	
5b	675	681	6	9.5	
11a	686	690	4	7.7	
11b	684	687	3	2.6	

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1124

1125 Table 4. Binding constant (K_b) , detection limit (DL), quantification limit (QL) and

1126	sensitivity (S)	obtained	for interaction	of squaraine	dyes with HSA.
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Dyes	K_b (M)	DL (nM)	QL (nM)	S (nM)
5a	3.00×10^{5}	128	427	1.63×10^{5}
5b	4.22×10^{5}	108	359	2.88×10^4
11a	4.38×10^{5}	202	673	4.36×10^{3}
11b	3.77×10^{5}	196	653	2.26×10^{3}

1127

1128

1129 Table 5. Activity against Saccharomyces cerevisiae PYCC 4072 strain and Log P values of

1130	squaraine d	lyes 5a,b	and 11a,b .	MIC values are	present in	μМ.
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Dye	MIC (dark)	MIC (irrad)	Log P
5a	>100	>100	1.70
5b	50	25	4.02
11a	100	25	5.62
11b	100	50	5.96

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1133 SCHEMES

1134 Scheme 1. Synthesis of squaraine dyes 5a and 5b. Conditions *i*) heated at 80 °C (3a) / 120

1135 °C (**3b**); *ii*) *n*-butanol/toluene (1:1, v/v), Dean-Stark apparatus 6 h (**5a**) / 7 h (**5b**).

1136

1137 Scheme 2. Synthesis of barbiturate squaraine dyes 11a and 11b. Conditions: *i*) acetonitrile,

1138 reflux for 10 days; *ii*) *n*-butanol, reflux for 4 h; *iii*) Ethanol/triethylamine, r.t., overnight; *iv*)

1139 Ethanol/triethylamine, reflux 9 h (10a) / 6 h (10b), v) n-butanol, reflux 10 h (11a) / n-

1140 butanol/toluene (1:1, v/v), Dean-Stark apparatus, 4 h (11b).

1141

1142 FIGURE CAPTIONS

Figure 1. Absorption (solid lines) and emission spectra (dash lines) in ethanol (–) and PBS

1144 (-) of squaraine dyes **5a**,**b** and **11a**,**b**, A, B, C and D, respectively. E - Absorption spectra

1145 of synthesized dyes in RPMI.

Figure 2. Normalized absorption spectra of synthesized dyes 5a,b and 11a,b in PBS, in
absence (dash lines) and in presence (solid lines) of Triton X-100.

Figure 3. Photostability evaluation of squaraine dyes 5a,b, 11a,b in PBS, using MB as standard reference. Dye solutions were irradiated continuously for 20 minutes with a 150 W lamp with an emission in the UV/Vis range and an absorption spectrum was recorded every minute.

Figure 4. Qualitative evaluation of the singlet oxygen generation capacity of dyes 5a,b,
1153 11a,b in DMSO (A) and in PBS (B) using methylene blue (MB) as standard reference.
Experiments were performed in quadruplicate and data are presented as mean ± standard

1155 deviation.

Figure 5. Fluorescence spectra of dyes **5a**,**b** and **11a**,**b** upon addition of increasing amounts of HSA (0-3.5 μ M) in PB solution, after one hour of incubation. Inset: Plot of variation of maximum fluorescence intensity as a function of protein concentration with the respective

- 1159 R^2 . Data presented are relative to the mean \pm standard deviation of three independent assay.
- 1160 λ_{exc} =580 nm, excitation and emission slits with 10 nm and 20 nm bandwidth, respectively
- 1161 for **5b** and **11a**,**b** and excitation and emission slits with 5 nm and 10 nm bandwidth for **5a**.
- 1162 Figure 6. Benesi-Hildebrand plot obtained for the interaction of squaraine dyes 5a,b and
- 1163 **11a,b** with HSA.
- 1164 Figure 7. Hill's plots obtained for interaction of 5a, 5b, 11a and 11b with HSA.