1	Evaluation of antifungal activity and potential application as fluorescent
2	probes of indolenine and benzo[<i>e</i>]indole-based squarylium dyes
3	
4	Vanessa S. D. Gomes ^{a,b} , João C. C. Ferreira ^{b,c,d} , Renato E. Boto ^e , Paulo Almeida ^e , José R. Fernandes ^f ,
5	Maria João Sousa ^{c,d} , Lucinda V. Reis ^{a,*} and M. S. T. Gonçalves ^{b,*}
6 7	^a Centre of Chemistry -Vila Real (CQ-VR) / Department of Chemistry, University of Trás-os-Montes and Alto Douro, Quinta de
8	Prados, 5001-801, Vila Real, Portugal.
9	^b Centre of Chemistry (CQ-UM) / Department of Chemistry, University of Minho, Campus of Gualtar, 4710-057 Braga, Portugal
10	^c Centre of Molecular and Environmental Biology (CBMA) / Department of Biology, University of Minho, Campus of Gualtar,
11	4710-057 Braga, Portugal.
12	^d Institute of Science and Innovation for Bio-Sustainability (IBS), University of Minho, Campus of Gualtar, 4710-057 Braga.,
13	Portugal.
14	^e Health Sciences Research Centre (CICS-UBI) / Department of Chemistry, University of Beira Interior, Av. Infante D. Henrique,
15	6201-506 Covilhã, Portugal.
16	^f Centre of Chemistry -Vila Real (CQ-VR) / Physical Department, University of Trás-os-Montes and Alto Douro, Quinta de
17	Prados, 5001-801, Vila Real, Portugal
18	* Correspondence: lucinda.reis@utad.pt; msameiro@quimica.uminho.pt
19	
20	Keywords: • Squarylium dyes • Singlet oxygen • Photostability • Antifungal agents • Photodynamic Effect
21	Fluorescence probes •Human Serum Albumin
22	
23	Abstract: The antifungal performance and the possible use as fluorescent probes of a series of squarylium
24	dyes derived from indolenine and benzo[e]indole previously synthesized was evaluated. Some
25	photophysical properties were performed in ethanol and phosphate buffer, and the type of aggregates form
26	in phosphate buffer was analyzed. Using the 1,3-diphenylisobenzofuran assay, a qualitative assessment of
27	the capacity of dyes to produce singlet oxygen after irradiation was performed. Regarding the antifungal
28	activity, this was studied through a broth microdilution assay using Saccharomyces cerevisiae PYCC 4072
29	as a biological model. The effect of irradiation of the dyes, with an appropriate light emitting diode system,

on the antifungal activity was also evaluated, and it was verified that some of the dyes improve their activity after irradiation. Using fluorescence microscopy techniques, the colocalization of dyes in *S. cerevisae* cells was investigated and it was possible to verify that some of the squarylium dyes with a barbituric moiety in the four-membered central ring stained and accumulated preferentially in the mitochondrial web and perinuclear membrane of the cells. The possible use as a fluorescent probe for the detection of HSA was also evaluated for one of the dyes of the series, demonstrating a linear variation of the fluorescence intensity accompanied by the increase of the protein concentration.

37

38

1. Introduction

Since the first reports in the history of humanity, society has suffered from various diseases of infectious origin constituting one of the main causes of mortality. Currently, fungal infections continue to be an emerging problem that has increased exponentially in recent decades, and is still responsible for over one-third of deaths worldwide [1, 2]. A healthy individual is able to cohabitate with disease-causing fungal agents, but when there is a breakdown of the immune system or high exposure to these agents, an infectious disease is triggered that can be asymptomatic or can rapidly progress to a lethal systemic disease [3-5].

The successful treatment of these diseases is essentially based on rapid diagnosis and the application of an antifungal agent that acts quickly and effectively. Despite the existence of clinically approved antifungal agents for the treatment of infectious diseases, they reveal some disadvantages that are based on the long duration of the treatments, the high toxicity that leads to undesirable side effects also the development of fungal resistance to the action of these antifungal agents [6, 7]. Faced with this problem, the search for antifungal agents or combination therapies for the treatment of infectious diseases is a challenging and essential area in constant development.

A class of dyes that has been developed in order to be applied in both biological and technological applications are the squarylium dyes [8, 9]. As a result of the conjugated π -system these dyes present some photophysical features such as narrow and intense bands ranging from the red to near infrared (NIR) region [10-12], moderate fluorescence quantum yields, high molar extinction coefficients [13, 14] and the moderate ability to generate singlet oxygen [15] make these dyes so applied in the most diverse areas.

One of the applications of compounds of the squarylium dye class that has been widely explored is 57 their use as photosensitizers in photodynamic therapy [16, 17]. Based on this type of application, some 58 authors have tested the photosensitizing character of squarylium dyes with different structural alterations 59 against tumor cells [12, 18, 19] and bacteria [20, 21] demonstrating the strong potential of this class of dyes 60 in these types of photodynamic therapy. The use of squaraine dyes in antifungal photodynamic therapy is 61 still little explored, but the need for new antifungal agents and the good results showed by squaraine dyes 62 in types of photodynamic therapy previously addressed, suggest that they may also be effective like 63 antifungal agents. 64

The dyes presented in this work are shown in Figure 1 and their synthesis has already been reported by our research group [22-25]. The activity as antifungal agents against *Saccharomyces cerevisiae* of some of the presented dyes (**SQ5**, **6** and **SQ8**, **9**) has also been evaluated in previously published studies [25]. Thus, in this work, the evaluation of antifungal activity of dyes **SQ1-4** and **SQ7** against *S. cerevisiae* yeast will be carried out, as well as other complementary studies such as photosensitivity and singlet oxygen production, in order to be able to compare the different response caused by changes in the structure of the dyes.

The detection of bovine serum albumin (HSA) using squarylium dyes as fluorescent probes is also one of the applications already investigated for the presented dyes, except dye **SQ7**, which was studied as a potential fluorescent probe for the detection of HSA for the first time, in order to be able to compare its structure-activity relationship with the other dyes already evaluated [23-25].

A new study was also introduced to evaluate the location and distribution of dyes in cells in order to understand if the antifungal activity of each dye is related to its different distribution at the cellular level, thus allowing to obtain more information about the structural-activity relationship. On the other hand, another objective is to evaluate the possible use of these dyes as fluorescent markers of specific cellular organelles, and in this way introduce a new potential application of these dyes.

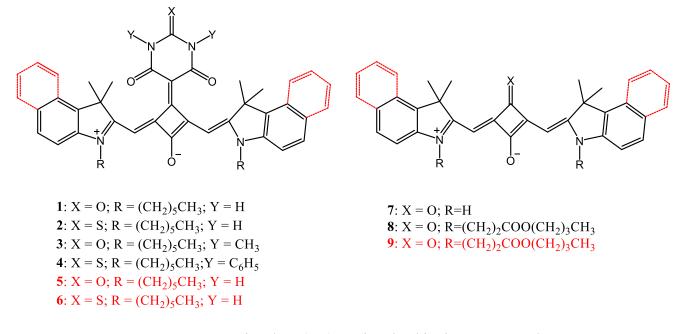


Figure 1. Squaraine dyes (SQ) 1-9 involved in the present study.

81

82

84 **2.** Experimental section

85 **2.1. Photophysical studies**

Some absorption and emission parameters in phosphate-buffered (PB, pH 7.3) and ethanol have been 86 determined for dyes **SQ1-4** and **SQ7**. The preparation of the phosphate buffer with a concentration of 0.05 87 M and pH 7.3 was carried out from Na₂HPO₄·7H₂O (2.270 g) and NaH₂PO₄ (0.565 g) which were dissolved 88 in 500 mL of ultrapure water. To obtain the absorption spectra, a Lambda 25 UV/Vis spectrophotometer 89 90 (Perkin Elmer, Waltham, United States of America), operating between 500-900 nm and at room temperature (r.t.) was used. The emission measurements were carried out using a Varian Cary Eclipse 91 fluorescence spectrophotometer (Agilent Technologies, Santa Clara, United States of America) which was 92 programmed to work with 10 nm slits for excitation and emission and an excitation wavelength (λ_{exc}) of 93 580 nm. 94

95 Trough equation 1 and using zinc phthalocyanine (ZnPh, $\Phi_F = 17\%$ in dimethylformamide (DMF)) 96 [26] as reference the relative fluorescence quantum yields (Φ_F) were calculated.

97
$$\Phi_F = \Phi_{Fref} \left(\frac{F_{dye}}{F_{ref}} \right) \left(\frac{A_{ref}}{A_{dye}} \right) \left(\frac{n_{media}^2}{n_{DMF}^2} \right)$$
(1)

99 where the Φ_F is the relative fluorescence quantum yield, F is the integrated emission intensity, A the 100 absorbance, n is the refractive index. The subscripts dye and ref are relative to the dye and reference, 101 respectively.

102

103 **2.2.** Photosensivity study

Dyes SQ1-4 and SQ7 were dissolved in dimethylsulfoxide (DMSO) in order to obtain solutions with a concentration of 1 mM that were later diluted in PB to a concentration of 25 μ M. In a quartz cuvette and under continuous agitation, each solution was subjected to irradiation for 20 minutes using a lamp with emission in the UV/Vis region and a power of 150 W. At each minute, measurements of absorption between 500-800 nm were obtained using a Carv 50 Bio spectrophotometer.

109

110 **2.3.** Dyes' singlet oxygen (¹O₂) production ability

To assess the capacity of dyes to generate singlet oxygen, DMSO solutions of dyes **SQ1-4** and **SQ7** and methylene blue with a concentration of 6.7×10^{-4} M and a 1 mM DMSO solution of 1,3diphenylisobenzofuran (DPBF) were prepared and then diluted in DMSO or PB in order to obtain a concentration of 20 mM and 0.1 mM, respectively. In a 96-well microplate each solution was added in quadruplicate and irradiated with a light emitting diode (LED) system centered at 653 nm. Using a spectrophotometer, absorbance measurements were taken at 410 nm after each irradiation interval up to a total irradiation time of 600 seconds.

118

119 **2.4.** Antifungal activity assays

Saccharomyces cerevisiae PYCC 4072 was used as a biological model for the evaluation of the antifungal performance of dyes SQ1-4 and SQ7. Using a broth microdilution method (M27-A3, CLSI – *Clinical and Laboratory Standards Institute*) [27], the minimum inhibitory concentration (MIC) of dyes, the concentration that causes an >80% decrease in yeast growth, was determined. For each experiment a fresh culture was prepared in YPD agar plates and then diluted in Roswell Park Memorial Institute (RPMI) 1640 medium, buffered to pH 7.0 with 0.165 M morpholenepropanesulfonic acid (MOPS) in order to obtain

126	a concentration of 2.25×10^3 cells/mL that was cultivated in 96-well microplates. Dyes solutions in DMSO
127	with a concentration of 10 mM were dissolved in RPMI 1640 medium and added to each well to have a
128	dye concentration of 0, 6.25, 12.5, 25, 50 and 100 μM and a DMSO concentration of 0.5 $\%$ (v/v) per well.
129	After preparation of the plates, two experimental conditions were applied, some plates were protected from
130	ambient light and the others subjected to irradiation for 30 minutes using an LED system and then incubated
131	for 48 hours, at 30 °C. A LED system with an emission wavelength centered at 653 nm, radiant flux of 3.8
132	\pm 0.3 mW, irradiance of 5.4 \pm 0.5 mW/cm² and a fluence of 9.7 J/cm² for an exposure time of 30 minutes
133	was used. Through absorbance readings at 640 nm in a microplate photometer (Molecular Devices
134	SpectraMax Plus) it was possible to assess the state of cell growth. The assays are performed in triplicate
135	in at least two independent experiments.

137 **2.5.** Evaluation of squaraine dyes intracellular distribution

Agar plates with YEPD (1% yeast extract, 2% peptone, 2% glucose) medium are used to grown new 138 cultures of Saccharomyces cerevisiae W303-1A strain for each experiment performed. From this culture 139 and using liquid YEPD medium, cell suspensions were prepared and subsequently incubated at 30 °C and 140 120 rpm in a Certomat H incubator, in order to reach an optical density between 0.5-0.6 at a wavelength of 141 640 nm. Solutions of each dye (50-100 µM) in DMSO and 4',6-diamidino-2-phenylindole (DAPI) (0.2 142 mg/ml) were added to aliquots of this suspension and incubated for 30 minutes at 30° C. After this time 143 144 cells are centrifuged for a period of 3 minutes and 3000 rpm. The resulting pellet was resuspended in 20 µL of PBS and prepared for observation on a Leica MicrosystemsDM-5000B microscope with 100× oil 145 immersion objective and properly adjusted red, blue, green and differential interference contrast (DIC) 146 filters. 147

All images shown were obtained from a Leica DFC350 FX Digital Camera and processed with LAS AF Microsystems software. Control assays were performed only with the addition of DMSO in order to analyze if this solvent affect the cellular morphology. The images presented are the representative result of three independent experiments.

2.6. Dye-protein interaction assays

To analyze the potential use of dye SQ7 as fluorescent probe for protein detection, a DMF stock solution of dye $(6.7 \times 10^{-4} \text{ M})$ and a HSA solution (14 mM) in PB were prepared.

A protocol that allowed to keep the dye concentration constant $(2 \mu M)$ varying the HSA concentration (0-3.5 μ M) was applied and after 1 hour of incubation the fluorescence intensity was measured, using a Varian Cary Eclipse fluorescence spectrophotometer (Agilent Technologies, Santa Clara, United States of America) programmed to work with an excitation slit of 5 nm, an excitation slit of 10 nm and an excitation wavelength of 580 nm.

161

162 2.7. Binding and sensing parameters determination

163 Some binding parameters of dye **SQ7** after interaction with HSA were determined.

164 Through the Benesi-Hildebrand equation (Equation 2)[28]:

165
$$\frac{1}{F_X - F_0} = \frac{1}{F_{\infty} - F_0} + \frac{1}{K_b (F_{\infty} - F_0)} \left(\frac{1}{[HSA]}\right)$$
(2)

166

where *F* is the fluorescence intensities and the subscripts 0, $x \in \infty$ are relative to the absence of HSA, the presence of a given concentration of HSA, and the concentration at which a complete interaction occurs, respectively, was possible obtained the binding constant (*Kb*).

To determine the dissociation constant (K_d) and the Hill coefficient (n_H) equation 3 was applied:

171
$$\log \frac{Q}{1-Q} = n_H \log[HSA] - \log K_d \tag{3}$$

172 where $Q = F/F_{max}$, is the fraction of sites occupied with the HSA.

173

170

Bases on equation 4 ($DL = 3\sigma/k$) and equation 5 ($QL = 10\sigma/k$), where σ is the standard deviation of blank and k is the slope between the fluorescence intensity and protein concentration, it was possible to determined sensitivity (S), detection limit (DL) and quantification limit (QL).

177

- 179
- 3. Results and discussion

180 **3.1.** Photophysical studies

Fundamental photophysical studies of squaraine dyes **SQ1-4** and **SQ7** were carried out in ethanol and phosphate buffer and the results are present in Table 1 and Figure 2. Photophysical studies, in this solvents, of dyes **SQ5**, **6** and **SQ8**, **9** dyes have been recently reported in the literature [25].

In ethanol, the dyes showed maximum absorption wavelengths (λ_{abs}) between 635-649 nm and 184 maximum emission wavelengths (λ_{em}) between 655-663 nm, with moderate stokes shifts ($\Delta \lambda = 14-24$ nm) 185 being obtained. The pattern of absorption and emission is similar to other dyes of this family already 186 studied, with sharp and narrow bands being obtained in an organic solvent. Also, the high molar extinction 187 coefficients (\mathcal{E}) between 1.04 × 10⁵ - 1.99 × 10⁵ M⁻¹ cm⁻¹ obtained for the studied dyes are characteristic of 188 this class of dyes. The structural changes of the SQ1-4 dyes did not cause significant changes in the 189 absorption and emission wavelength since they present very similar values with a variation of only 1 nm 190 in the absorption values and 3 nm in the emission values. 191

To obtain the values of the relative fluorescence quantum yields a DMF solution of zinc phthalocyanine was used as reference. Dye **SQ7** showed the highest value with a value exceeding 100%, followed by dye **SQ4** (30.1%), **SQ3** (26.6%), **SQ2** (24.3%) and **SQ1** (23.9%).

Regarding the photophysical assays in PB, absorption and emission wavelengths between 633-654 nm 195 and 648-712 nm, respectively, were obtained. The λ_{abs} and λ_{em} of the **SQ1-4** dyes in PB are already reported 196 in the literature [23, 24]. In the present study, complementary studies were carried out, such as the 197 determination of molar extinction coefficients and the relative fluorescence quantum yield in this solvent. 198 In aqueous medium the SQ1-4 dyes showed higher absorption and emission wavelengths than those 199 obtained in ethanol, with values between 645-712 nm respectively. The SO7 dve showed a different 200 behavior from the other dyes, presenting lower absorption and emission wavelengths in PB (633-648 nm) 201 than those obtained in ethanol. 202

203

C - I 4		Squaraine dye					
Solvent	-	SQ1	SQ2	SQ3	SQ4	SQ7	
EtOH	$\lambda_{abs} (nm)$	636	635	635	635	649	
	$\lambda_{em}(nm)$	660	657	656	655	663	
	Δλ (nm)	24	22	21	20	14	
	ε (M ⁻¹ cm ⁻	1.98×10^{5}	1.99×10^{5}	1.64×10^{5}	1.86×10^{5}	1.06 × 1	
	$\Phi_{\rm F}(\%)$	23.9	24.3	26.6	30.1	>100	
PB	λ_{abs} (nm)	650*	645*	654**	650*	633	
	$\lambda_{em}(nm)$	708*	700*	712**	708*	648	
	Δλ (nm)	58*	55*	58	58*	15	
	ε (M ⁻¹ cm ⁻	4.31×10^4	5.76×10^4	4.17×10^4	6.61×10^{4}	4.71 × 1	
	$\Phi_{\rm F}(\%)$	1.95	1.46	1.26	1.63	>100	

RPMI

*[23]; **[24]. ** excitation and emission slit of 10 nm, λ_{exc} 580 nm,

 λ_{abs} (nm)

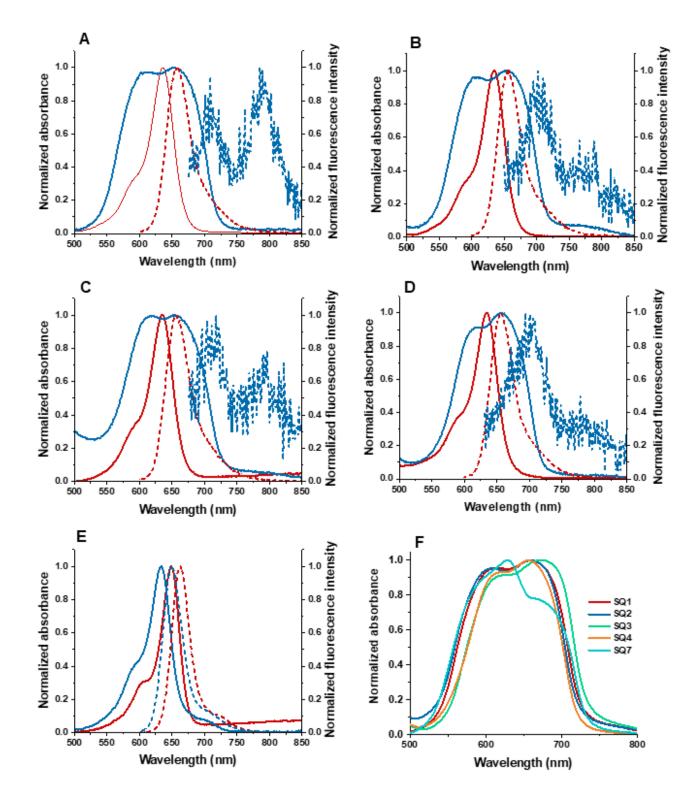




Figure 2. Absorption (solid lines) and emission (dash lines) pattern of SQ1-4 and SQ7, A, B, C, D and E,
respectively, in ethanol (-) and PB (-). F- Absorption spectra of SQ1-4 and SQ7 in RPMI.

Through the analysis of Figure 3 (dash lines) it is possible to verify that the absorption spectra in PB 215 of the dyes SQ1-4 and SQ7 present a band overlapping bands, one assigned to the monomer and other 216 related to aggregates. H-aggregates and J-aggregates [29] are the type of aggregates that can formed and 217 to realize which type is present in the aqueous solutions of the dyes tested, an absorption spectrum was 218 obtained with the addition of Triton X-100. This reagent is a surfactant that prevent the formation of 219 aggregates, thus making it possible to observe only the peak relative to the monomer. These tests revealed 220 that the band positioned at greater wavelength is relative to the monomer band, and the other at a shorter 221 wavelength can be attributed to H-aggregates. The low fluorescence quantum yields obtained in PB (1.26-222 1.95%) for dves SO1-4 are due to the high insolubility and therefore a strong tendency to form H-223 aggregates, known as non-fluorescent aggregates. However, for the dye SQ7, the fluorescence quantum 224 yield, as occurred in ethanol, exceeds 100%, which is related to the higher solubility of the dye in PB and 225 a lower trend to form non-fluorescent aggregates. It is possible to observe in Figure 3E (dash line) that 226 there seems to be a small band to the right of the monomer band that could indicate the formation and J-227 aggregates. These aggregates are characterized by emitting fluorescence, which may also be contributing 228 to the high fluorescence quantum vield obtained for dve SO7. 229

The absorption wavelengths in RPMI (Figure 2F, Table 1) were determined in order to choose the most suitable wavelength to perform the irradiation in biological assays. The absorption profile is similar to that obtained in PB with only small variations in the absorption wavelength value.

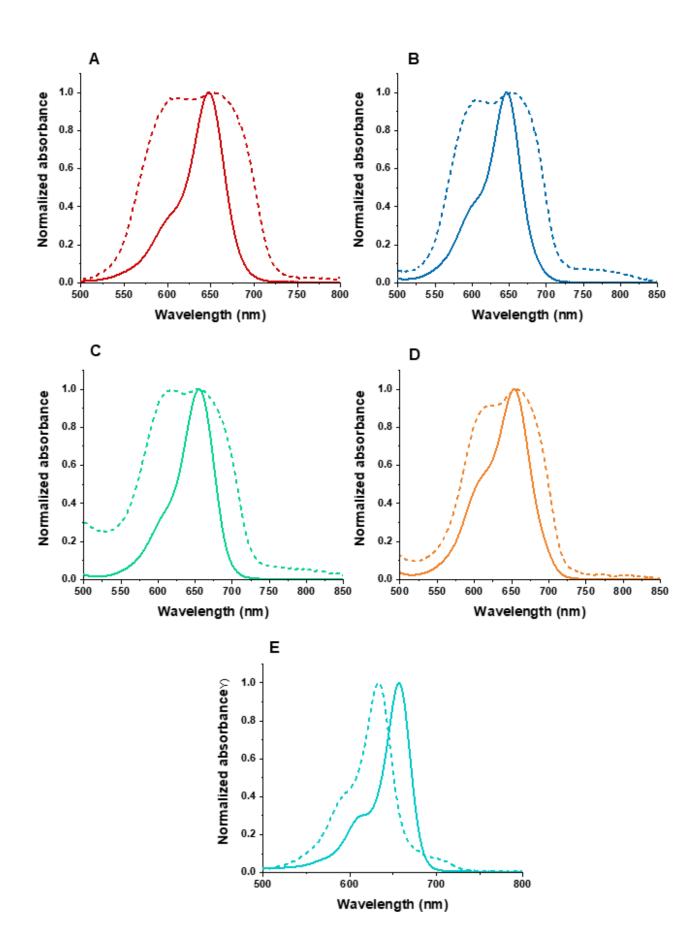
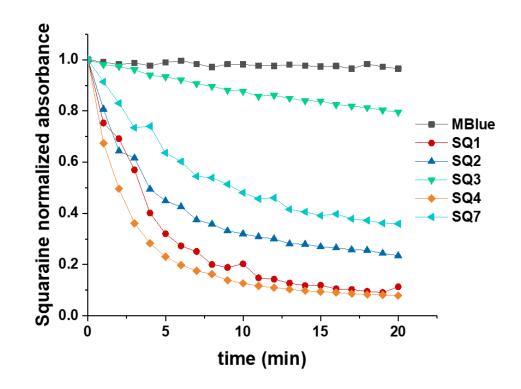


Figure 3. Absorption spectra of dyes SQ1-4 and SQ7 in PB, A, B, C, D and E, respectively, in absence (dash lines) and presence (solid lines) of Triton X-100.

3.2. Photostability analysis

Photostability is an important parameter that must be evaluated in order to understand what effect light has on a substance [30]. The objective is to understand if, when irradiated, this substance undergoes changes or even forms photoproducts that will affect or contribute to its effectiveness. Photostability was studied in PB to simulate the behavior of dyes in biological media and the results obtained were compared with those obtained for methylene blue (tested under the same conditions) known for its high resistance to photodegradation. A lamp with a power of 150 W and emission in the UV/Vis region was used and the results are shown in Figure 4.



244

Figure 4. Photostability of SQ1-4 and SQ7 and MBlue in PB as a function of irradiation time (min).

246

Among the SQ1-4 squaraine dyes, all derived from indolenin, the dye with a dimethylbarbituric acid group in the central ring (SQ3) proved to be the most photostable with only a small decrease in its absorbance. This dye demonstrated a light response similar to the benzo[e]indole-derived dye SQ6 and indolenine derived dye SQ8 whose photostability was already reported by Gomes *et al.* [25]. The lower

photostability is attributed to dye **SO1** and **SO4**, which was almost completely degraded, losing their ability 251 to emit color, as observed for dyes SQ5 and SQ9. Comparing dye SQ1 with SQ2 it is possible to verify 252 that the substitution of an oxygen atom for a sulfur atom contributed to a small improvement in 253 photostability. Observing the results of dye SQ1 and SQ3, the great difference that occurs in terms of 254 photostability is evident, proving that the replacement of hydrogen atoms by methyl groups is quite 255 favorable for the photostability of the dye. This may be related to the hydrophobic character of the methyl 256 groups that make the dye SQ3 less soluble in aqueous media, that is, it has a weak interaction with the 257 water presence, which allows it to maintain its stability in this environment. The opposite occurs with dve 258 **SQ1**, in which the presence of the hydrogen atom instead of the methyl group makes the dye more soluble 259 in aqueous media and, consequently, less stable. 260

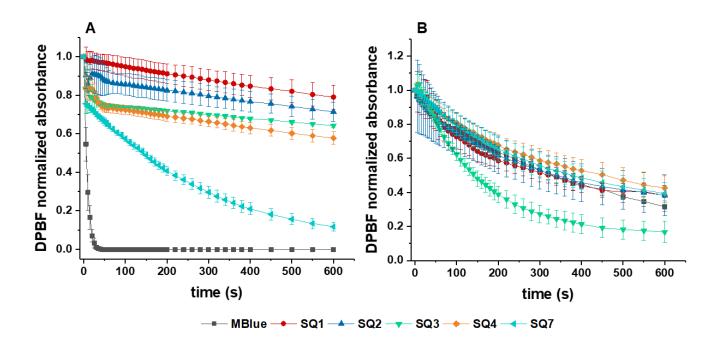
Dye SQ1 and SQ5 are analogs, one derived from indolenine and the other is derived from benzo[e] indole. This structural change does not seem to significantly affect photostability. The same happens with the dyes SQ2 and SQ6, however in this case the dye derived from benzo[e]indole (SQ6) proved to be much more photostable.

This proves that the photostability parameter is not only related to the introduction of a specific substituent group but to the different combination of substituents that the molecule presents.

267

268 **3.3. Singlet Oxygen Formation Ability**

The ability of SQ1-4 and SQ7 dyes to produce singlet oxygen was evaluated by performing a DPBF 269 assay. This reagent strongly absorbs at 410 nm and when interacting with the singlet oxygen generated by 270 the irradiation of the dyes, breaks its π -system forming a colorless compound, incapable of absorbing and 271 emitting light [31]. To evaluate qualitatively the singlet oxygen generation of the squaraine dye, the DMSO 272 solutions of dyes and DPBF (Figure 5A) and PB (Figure 5B) was irradiated with a LED system with an 273 emission at 660 nm and the absorbance at 410 nm monitored. This parameter was evaluated in DMSO and 274 also in PB to mimic the biological conditions. Methylene blue was used for comparison as its ability to 275 generate singlet oxygen is already known [32]. 276



278

Figure 5. Decay of the 1,3-diphenylisobenzofuran (DPBF) 410 nm-absorbance in presence of SQ1-4 and
 SQ7 and MBlue in DMSO (A) and PB (B). Data are presented as mean ± standard deviation as a result of
 experiments performed in quadruplicates.

In DMSO, as expected, methylene blue leads to an almost instantaneous decay of DPBF (after 30s of 283 irradiation). The dye SQ7 proved to be the most efficient in the production of singlet oxygen, causing a 284 DPBF degradation of more than 80% after 600 seconds of irradiation. The barbiturate derivatives showed 285 a similar behavior with dye SQ4 showing slightly higher singlet oxygen production than their analogues. 286 In PB the SQ1-4 dyes improved their ability to generate singlet oxygen, causing a greater degradation of 287 DPBF than that observed in DMSO. Once again, the results for these dyes are very similar to each other, 288 highlighting the dye SQ3 with a decrease in DPBF absorbance of more than 80%. In aqueous medium, the 289 SQ3 dye obtained a result similar to that already reported for the SQ8 dye [25], being the dyes most 290 efficients in terms of singlet oxygen production. 291

The greater or lesser efficiency in the production of singlet oxygen is related to the solubility and stability that a given compound presents in different solvents, which in turn is influenced by the different substituents present in each dye. In the case of **SQ3** it improved its activity in PB while the **SQ7** dye decreased its capacity to generate singlet oxygen also in PB. The results are in agreement with the results obtained in the photostability where it was observed that the presence of the methyl groups of the dye **SQ3** contributed to the good photostability of the dye, most likely due to the type of interactions that the dye established with the medium. Dye **SQ7** proved to be significantly more efficient in the DMSO assays, perhaps because it has a high solubility that allows it to establish some kind of interaction with the medium, which favors the production of singlet oxygen. The opposite occurs in PB, where this dye diminishes its ability to generate singlet oxygen.

302

303 3.4. Antifungal activity

To test the potential of the dyes as antifungal agents, assays were performed using the *Saccharomyces cerevisiae* PYCC 4072 strain. Through the application of a broth microdilution method, five concentrations of dyes (0, 6.25, 12.5, 25, 50, 100 μ M) were tested in an attempt to determine which of the concentrations corresponds to the MIC, the concentration responsible for limiting growth by at least 80% compared to a condition without dye. Table 2 compiles the MIC values obtained in the dark and after irradiation and the logarithms of the partition coefficient (Log *P*) theoretically obtained through structural analysis with an appropriate software [33].

For dye **SQ1** the lowest MIC value was obtained, 25 μ M, followed by dye **SQ2** with an MIC of 50 μ M and by dyes **SQ3** and **SQ4** with an MIC of 100 μ M. The concentrations tested did not allow determining the MIC value of the dye **SQ7**, and it was not possible to test higher concentrations due to the limited solubility of the dye. Dyes **SQ1-4** and **SQ7** are all derived from indolenine, and it is possible to verify that alterations only at the level of the four-membered central ring led to different response to the light and consequently to a different antifungal activity of the dyes.

The squaraine dyes are known for their photosensitizing activity, so tests were carried out with irradiation to try to understand if it improves the antiproliferative activity. The LED system with emission at 653 nm used was composed of several LEDs, each one illuminating a single well of the microplate. The microplates were irradiated for 30 minutes, which corresponds to an irradiation dose of 9.7 J/cm².

321

Table 2. Antifungal performance of dyes SQ1-4 and SQ7 against *Saccharomyces cerevisiae* PYCC 4072
 strain and the respective MIC values and Log *P* values. MIC values are present in μM.

Dye	MIC (dark) (µM)	MIC (irrad) (µM)	Log P	
SQ1	25	50	3.30	
SQ2	50	>100	3.65	
SQ3	100	50	3.44	
SQ4	100	50	6.33	
SQ7	>100	50	2.61	

The results showed that irradiation improved the activity of **SQ3** and **SQ4** dyes, with a decrease in the MIC to 50 μ M, half the concentration obtained in the assays carried out in the dark. Due to the irradiation is possible to obtain a MIC value for the dye **SQ7** (50 μ M), which was not possible under conditions of absence of light.

The SO1 and SO2 dyes increased the MIC value after irradiation, which could be related to the fact 329 that the light causes the dyes to degrade and they lose their antifungal properties at the concentrations 330 tested. These results are in agreement with those obtained in the photostability tests in which both dyes 331 were shown to be quite photounstable. The introduction of barbiturate groups in the dyes SO1 and SO2 did 332 not prove to be advantageous in terms of increasing the photosensitizing capacity of the dyes. Dye SQ4 333 also showed a low resistance to photodegradation, but in the light tests this dye proved to be more effective 334 than in the dark tests, which may be related to the fact that the dye, in response to light absorption, produces 335 subproducts with a greater antiproliferative capacity. Dve SO3 presented the same results as SO4, but in 336 the photostability test it revealed a good light tolerance, suffering little photodegradation, which will 337 indicate that its antifungal activity is due to its structure and there is no formation of secondary products. 338

In the dark, the better antiproliferative capacity, is attributed to the **SQ1**. As already mentioned in the photostability tests, the different structure of the dyes, with the different substituents, will influence their different response to light, being able to attribute to the dyes a greater or lesser photostability. The same

can be observed in the antifungal activity tests in which it was possible to verify different responses of the 342 dves in the tests carried out in the dark and after irradiation. It is the different structural changes that will 343 give a more lipophilic or hydrophilic character to the dye molecules, and thus influence the way these 344 molecules interact with the environment that surrounds them and consequently the response they will give. 345 Log P values range from 2.61-6.33 and correspond to an estimated value of the dye's hydrophobicity. 346 Low Log P values are attributed to more water-soluble compounds and higher values to compounds with a 347 greater affinity for the cell membrane. In this case, the differences between the obtained Log P values do 348 not allow correlation with the MIC values. 349

350

351 **3.5. Fluorescence microscopy assays**

The location of dyes at the cellular level is essential in understanding the possible mechanisms of action, also related to their greater or lesser effectiveness in various biological applications. The squaraine dye's cellular location is not only a result of the heterocyclic groups presents but also of the entire combination of substituents of the dye structure.

Colocalization experiments were performed using DAPI, a probe that allows the labeling of organelles 356 with high DNA content, nuclei, and mitochondria. Our colocalization results suggest that squaraine dyes 357 derived from indolenine with a barbituric (SQ1), thiobarbituric (SQ2) and dimethylbarbituric (SQ3) 358 accumulate preferentially in mitochondrial web and perinuclear membrane. The introduction of two phenyl 359 groups into the thiobarbiturate group led dye SQ4 to accumulate in the cell vacuole. The dye derived from 360 benzo[*e*]indole with a thiobarbituric fraction (SQ6) and the dye benzo[*e*]indole-based with an ester group 361 in the N-chain (SQ9) also demonstrated a preferential accumulation in cells vacuole. The weak staining 362 intensity will be due to their low solubility in a biological medium. 363

Comparing the barbiturated benzo[e]indole-based dyes, it is possible to verify that the dye with the barbiturate group (**SQ5**) is distributed throughout the perinuclear membrane and the dye with the thiobarbiturate group (**SQ6**) is colocalized in cell vacuoles. This different cellular distribution is only related to the substitution of an oxygen atom for a sulfur atom. 368 Dyes **SQ7** and **SQ8**, both derived from indolenine and varying only in the *N*-chain substituents, 369 showed a similar distribution pattern with an accumulation in the perinuclear membrane and also in a 370 cellular structure that could not be identified.

371

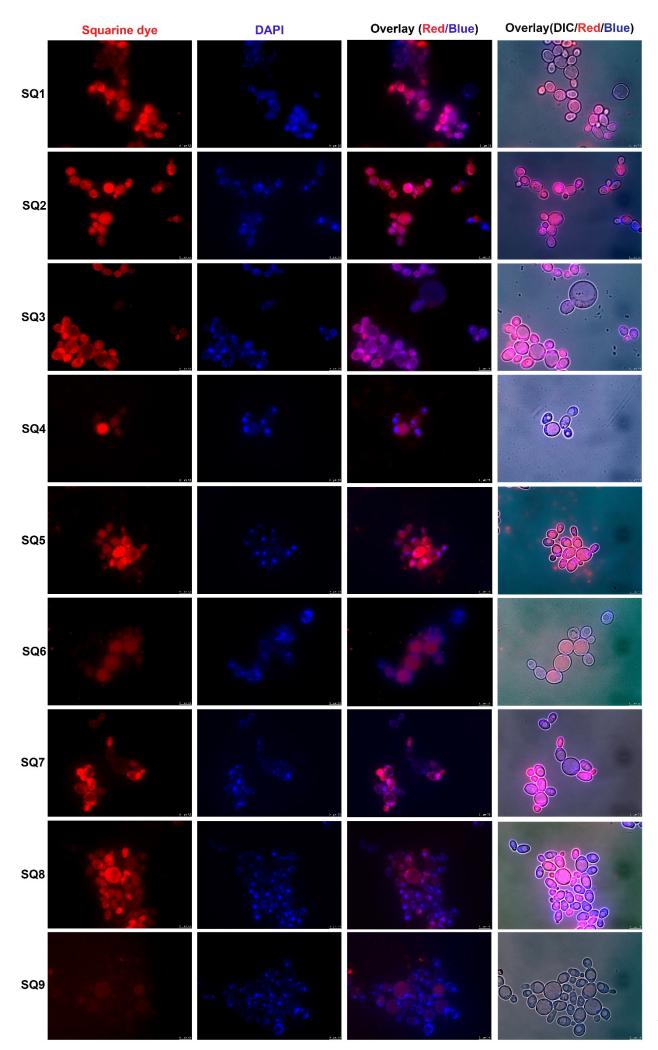


Figura 6. Intracellular distribution of SQ1-9 after incubation with SQ1-3, 5, 7, 8 (50 μM) and SQ4, 6,

 $9 (100 \ \mu\text{M})$ at 30° C for 30 minutes. Images were obtained at 100× magnification.

376

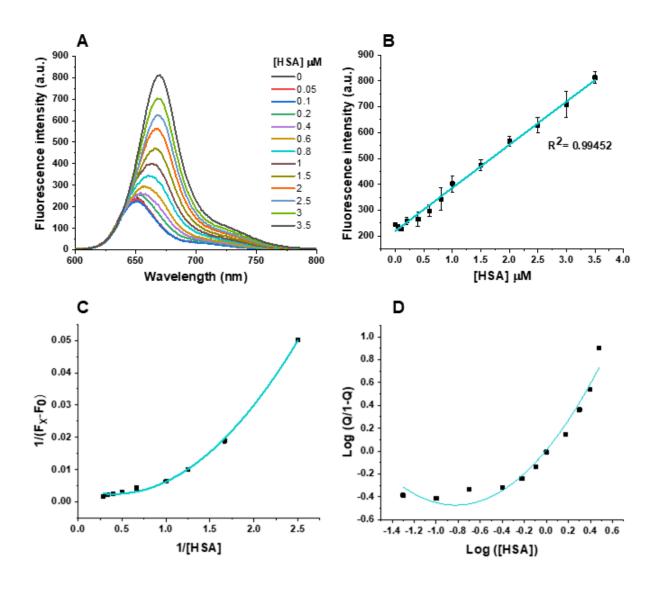
377 3.6. HSA interaction studies

Interaction studies were performed between dye SQ7 and HSA to ascertain its potential application as a fluorescent probe for the detection of this protein. Dyes SQ1-6 and SQ8-9 have already been studied in terms of interaction with HSA [23-25], and now only studies with SQ7 are carried out so that a comparison of the results can be made.

As observed for other squaraine dyes, an increase in fluorescence intensity is the response to increased concentration of HSA (Figure 7A). In the case of the **SQ7** dye, a bathochromic shift of the maximum emission wavelength of about 20 nm, between emission in the absence of HSA and in the presence of 3.5 μ M HSA is revealed. The increase in fluorescence intensity with the increase of protein concentration is described by a linear function presenting a square of correlation coefficient (R²) very close to unity (Figure 7B).

From the Benesi–Hildebrand equation (Equation 2) it is possible to plot a graph of $1/(F_x-F_0)$) against 1/[HSA] from which the binding constant is calculated (Figure 7C). A binding constant of 3.25×10^5 M (Table 3) was obtained, whose order of magnitude indicates the high affinity between the dye and the protein. The Benesi–Hildebrand plot also provides information on the stoichiometry of complexation between dye and protein, which in this case suggests 1:2 due to the non-linear trend of the plot.

The graph obtained through equation 3 was plotted in an attempt to obtain the dissociation constant and the 393 Hill constant, which was not possible due to the fact that the graph (Figure 7D) obtained is not linear, as 394 already reported in other articles by some of us [23-25]. However, it is possible to draw other conclusions 395 about the mode of binding of the dye to the protein that is related to the various binding sites that HSA 396 makes available for the dye. Depending on the structure of the dye, it will bind to different sites with 397 different affinities, that is, the dye has a higher affinity for a particular binding site and will only bind to 398 another site after the first one is saturated. This will lead to each binding site having a different dissociation 399 constant depending on the concentration of dye that reacts with the protein [34]. 400



402

Figure 7. A- Fluorescence spectra of SQ7 after one hour of incubation with different concentrations of HSA (0-3.5 μ M). B- Linear correlation between fluorescence intensity and protein concentration with the respective square of the correlation coefficient (R²). C- Benesi-Hildebrand plot for the binding of squaraine dye SQ7 with HSA. D- Hill's plots obtained for the interaction of squaraine dye SQ7 with HSA. The fluorescence intensity values obtained result from the application of an excitation slit of nm and an emission slit of 10 nm and an excitation wavelength of 580 nm.

Table 3 presents some parameters resulting from the dye-protein interaction studies. In the absence of BSA a fluorescence intensity (F₀) of 244.24 a.u. was reached, after addition of a concentration of 3.5 μ M of HSA the fluorescence intensity value (F) was 812.96 a.u.. The SQ7 dye showed the highest fluorescence

413	intensity value but as in the absence of HSA the dye showed considerable fluorescence with quantum yields
414	of fluorescence exceeding 100% the difference between values resulted in an increase rate of only 3-fold,
415	the lowest among dyes SQ1-6,8,9. Fluorescence linear response of dye to the increasing amounts of HSA
416	is an extremely relevant factor with regard to the use of squarylium dyes as a quantitative fluorescent probe.
417	The detection limit and quantification limit of 113 nM and 376 nM, respectively, are within the order of
418	magnitude of those obtained for the other dyes, with the best value assigned to the dye SQ3 with DL of 51
419	nM and QL of 171 nM [24], which indicates a greater sensitivity to variations in HSA concentration.

- 420
- 421

Table 3. Some parameters obtained for the interaction between dye SQ7 and HSA. F_0 is the fluorescence intensity of dye in absence of HSA, F is the fluorescence intensity of dye at the highest concentration of HSA, F/F_0 is the ratio between these last two parameters.

Dye	F ₀ (a.u.) —	Dye-protein complex fluorescence proper				properties	
Dye		F (a.u.)	F/F ₀	S (nM)	DL (nM)	QL (nM)	K _b (M ⁻¹)
 SQ7	244.24	812.96	3.33	1.67×10^{5}	113	376	3.25×10^{5}

426

427 **4.** Conclusions

A comparison study was carried out between dyes derived from benzo[*e*]indole and indolenine regarding their application as antifungal agents and fluorescent probes. The synthesis of the dyes referred here as well as some of the studies discussed has already been published, however it is referred here to complement the studies and to be able to make a comparison under the influence of the different structure of the dyes in the applications evaluated.

The tendency of dyes to form aggregates in aqueous media coincides with all dyes and the tests carried out with the addition of Triton X-100 showed that the aggregates formed are responsible for the dyes presenting low fluorescence quantum yields in PB. 436 Considering the photosensitive character attributed to the squarylium dyes class, it has been shown437 that small structural changes lead to considerable differences in the response of dyes to light.

The dyes ability to generate singlet oxygen is directly related to the good performance of the dyes as photosensitizers and all dyes showed to be able to generate this reactive species in a more or less efficient way depending on the dye structure. However, it was not possible to relate the efficiency in producing singlet oxygen with the performance of the dyes as antifungal agents, perhaps due to the different solubilities and also the different affinity of the dyes to the various cell structures.

443 Antifungal assays led to obtaining MIC values between 25->100 μ M and trough irradiation with 444 adequate wavelength, it was possible to improve the performance of some of the dyes, while in other dyes, 445 the inverse effect was observed, a factor perhaps related to photodegradation or solubility in a biological 446 medium.

The colocalization assays showed that small variations in the structure of the dyes lead to their tendency to accumulate in different cellular structures.

Regarding the interaction with HSA, **SQ7** showed a behavior similar to that observed for the other dyes being obtained quantification and detection limit values that validating the possible use of these dye as a method to detect and quantify HSA. All dyes compared showed a moderate to good interaction with HSA, the most abundant protein in human blood, which may suggest that this albumin could be one of the main biotransporters of these dyes in a living system.

454

455 Acknowledgements

Our thanks go to Fundação para a Ciência e Tecnologia (FCT), Comissão de Coordenação e 456 Desenvolvimento Regional do Norte (CCDR-N) and FEDER (European Fund for Regional Development)-457 COMPETEQREN-EU for financial support to the research centers CQ/UM (UIDB/00686/2020), CBMA 458 (UID/BIA/04050/2020), CO/VR (UID/QUI/UI0616/2019) and CICSUBI (POCI-01-0145-FEDER-459 007491), as well as PhD grants to V.S.D.G. (UMINHO/BD/43/2016) and J.C.C.F. 460 (SFRH/BD/133207/2017). 461

463 **5. References**

- [1] Kauffman, C. A., "*Fungal infections*", in *Infectious Disease in the Aging: A Clinical Handbook*, D.
 Normanand T. Yoshikawa, Editors. 2009, Humana Press: Totowa, NJ. 347-366.
 <u>https://doi.org/10.1007/978-1-60327-534-7 22.</u>
- 467 [2] Chimelli, L. and Mahler-Araújo, M. B., "Fungal infections", *Brain Pathology*, 1997, 7, 613-627.
 468 <u>https://doi.org/10.1111/j.1750-3639.1997.tb01078.x</u>
- [3] Pauw, B. E., "What are fungal infections?", *Mediterr J Hematol Infect Dis*, 2011, *3*, e2011001-e2011001.
 <u>https://doi.org/10.4084/MJHID.2011.001</u>
- [4] Baltazar, L. M., Ray, A., Santos, D. A., Cisalpino, P. S., Friedman, A. J. and Nosanchuk, J. D.,
 "Antimicrobial photodynamic therapy: an effective alternative approach to control fungal infections", *Frontiers in Microbiology*, 2015, 6, <u>https://doi.org/10.3389/fmicb.2015.00202</u>
- [5] Dai, T., Fuchs, B., Coleman, J., Prates, R., Astrakas, C., St Denis, T., Ribeiro, M., Mylonakis, E., Hamblin,
 M. and Tegos, G., "Concepts and principles of photodynamic therapy as an alternative antifungal
 discovery platform", *Frontiers in Microbiology*, 2012, *3*, <u>https://doi.org/10.3389/fmicb.2012.00120</u>
- [6] Bouz, G. and Doležal, M., "Advances in antifungal drug development: An up-to-date mini review",
 Pharmaceuticals, 2021, 14, 1312. <u>https://doi.org/10.3390/ph14121312</u>
- 479 [7] Campoy, S. and Adrio, J. L., "Antifungals", *Biochemical Pharmacology*, 2017, 133, 86-96.
 480 <u>https://doi.org/10.1016/j.bcp.2016.11.019</u>
- [8] He, J., Jo, Y. J., Sun, X., Qiao, W., Ok, J., Kim, T.-i. and Li, Z. a., "Squaraine dyes for photovoltaic and biomedical applications", *Advanced Functional Materials*, 2021, 31, 2008201.
 <u>https://doi.org/10.1002/adfm.202008201</u>
- [9] Qiao, W. and Li, Z. a., "Recent Progress of Squaraine-based fluorescent materials and their biomedical applications", *Symmetry*, 2022, *14*, 966. <u>https://doi.org/10.3390/sym14050966</u>
- [10] Markova, L. I., Terpetschnig, E. A. and Patsenker, L. D., "Comparison of a series of hydrophilic squaraine
 and cyanine dyes for use as biological labels", *Dyes and Pigments*, 2013, 99, 561-570.
 <u>https://doi.org/10.1016/j.dyepig.2013.06.022</u>
- [11] Kim, S. H. and Son, Y. A., "18 Near-infrared dyes", in Handbook of Textile and Industrial Dyeing, M.
 Clark, Editor. 2011, Woodhead Publishing. 588-603. <u>https://doi.org/10.1533/9780857093974.2.588</u>.
- [12] Lima, E., Barroso, A. G., Sousa, M. A., Ferreira, O., Boto, R. E., Fernandes, J. R., Almeida, P., Silvestre, 491 S. M., Santos, A. O. and Reis, L. V., "Picolylamine-functionalized benz[e]indole squaraine dyes: 492 Synthetic approach, characterization and *in vitro* efficacy as potential anticancer phototherapeutic 493 European Medicinal Chemistry, agents", Journal of 2022, 229, 114071. 494 https://doi.org/10.1016/j.ejmech.2021.114071 495
- [13] Ilina, K., MacCuaig, W. M., Laramie, M., Jeouty, J. N., McNally, L. R. and Henary, M., "Squaraine dyes:
 molecular design for different applications and remaining challenges", *Bioconjugate Chem.*, 2020, 31,
 194-213. <u>https://doi.org/10.1021/acs.bioconjchem.9b00482</u>
- [14] Xia, G. and Wang, H., "Squaraine dyes: The hierarchical synthesis and its application in optical detection", *Journal of Photochemistry and Photobiology C: Photochemistry Reviews*, 2017, 31, 84-113. <u>https://doi.org/10.1016/j.jphotochemrev.2017.03.001</u>
- [15] Santos, P. F., Reis, L. V., Almeida, P., Oliveira, A. S. and Vieira Ferreira, L. F., "Singlet oxygen generation ability of squarylium cyanine dyes", *Journal of Photochemistry and Photobiology A: Chemistry*, 2003, *160*, 159-161. <u>https://doi.org/10.1016/S1010-6030(03)00203-X</u>
- [16] Dereje, D. M., Pontremoli, C., Moran Plata, M. J., Visentin, S. and Barbero, N., "Polymethine dyes for
 PDT: recent advances and perspectives to drive future applications", *Photochemical & Photobiological Sciences*, 2022, *21*, 397-419. <u>https://doi.org/10.1007/s43630-022-00175-6</u>
- [17] Wei, Y., Hu, X., Shen, L., Jin, B., Liu, X., Tan, W. and Shangguan, D., "Dicyanomethylene substituted benzothiazole squaraines: The efficiency of photodynamic therapy *in vitro* and *in vivo*", *EBioMedicine*, 2017, 23, 25-33. <u>https://doi.org/10.1016/j.ebiom.2017.08.010</u>
- [18] Lima, E., Ferreira, O., Silva, J. F., Santos, A. O., Boto, R. E., Fernandes, J. R., Almeida, P., Silvestre, S.
 M. and Reis, L. V., "Photodynamic activity of indolenine-based aminosquaraine cyanine dyes: Synthesis and *in vitro* photobiological evaluation", *Dyes Pigments.*, 2020, 174, 108024.
 <u>https://doi.org/10.1016/j.dyepig.2019.108024</u>

- [19] Lima, E. and Reis, L. V., "'Lights, squaraines, action!' the role of squaraine dyes in photodynamic
 therapy", *Future Medicinal Chemistry*, 2022, *14*, 1375-1402. <u>https://doi.org/10.4155/fmc-2022-0112</u>
- [20] Ramaiah, D., Eckert, I., Arun, K. T., Weidenfeller, L. and Epe, B., "Squaraine dyes for photodynamic therapy: study of their cytotoxicity and genotoxicity in bacteria and mammalian cells", *Photochem Photobiol*, 2002, 76, 672-7. https://doi.org/10.1562/0031-8655(2002)076<0672:sdfpts>2.0.co;2
- [21] Yin, R. and R. Hamblin, M., "Antimicrobial photosensitizers: drug discovery under the spotlight",
 Current Medicinal Chemistry, 2015, 22, 2159-2185.
- [22] Lima, E., Ferreira, O., Gomes, V. S. D., Santos, A. O., Boto, R. E., Fernandes, J. R., Almeida, P., Silvestre,
 S. M. and Reis, L. V., "Synthesis and *in vitro* evaluation of the antitumoral phototherapeutic potential
 of squaraine cyanine dyes derived from indolenine", *Dyes and Pigments*, 2019, *167*, 98-108.
 https://doi.org/10.1016/j.dyepig.2019.04.007
- [23] Gomes, V. S. D., Gonçalves, H. M. R., Boto, R. E. F., Almeida, P. and Reis, L. V., "Barbiturate squaraine
 dyes as fluorescent probes for serum albumins detection", *J. Photochem. Photobiol. A: Chem.*, 2020,
 400, 112710. <u>https://doi.org/10.1016/j.jphotochem.2020.112710</u>
- [24] Gomes, V. S. D., Boto, R. E. F., Almeida, P., Coutinho, P. J. G., Pereira, M. R., Gonçalves, M. S. T. and Reis, L. V., "Squaraine dyes as serum albumins probes: Synthesis, photophysical experiments and molecular docking studies", *Bioorg. Chem.*, 2021, *115*, 105221.
 <u>https://doi.org/10.1016/j.bioorg.2021.105221</u>
- [25] Gomes, V. S. D., Ferreira, J. C. C., Boto, R. E. F., Almeida, P., Fernandes, J. R., Sousa, M. J., Gonçalves,
 M. S. T. and Reis, L. V., "Squaraine dyes derived from indolenine and benzo[*e*]indole as potential
 fluorescent probes for HSA detection and antifungal agents", *Photochemistry and Photobiology*, 2022,
 n/a, https://doi.org/10.1111/php.13624
- [26] Ogunsipe, A., Maree, D. and Nyokong, T., "Solvent effects on the photochemical and fluorescence
 properties of zinc phthalocyanine derivatives", J. Mol. Struct., 2003, 650, 131-140.
 <u>https://doi.org/10.1016/S0022-2860(03)00155-8</u>
- [27] "CLSI. Reference Method for Broth Dilution Antifungal Susceptibility Testing of Yeasts; Approved
 Standard—Third Edition. CLSI document M27-A3. Wayne, PA: Clinical and Laboratory Standards
 Institute; 2008.",
- [28] Das, P., Mallick, A., Haldar, B., Chakrabarty, A. and Chattopadhyay, N., "Fluorescence resonance energy transfer from tryptophan in human serum albumin to a bioactive indoloquinolizine system", *Journal* of Chemical Sciences, 2007, 119, 77-82. <u>https://doi.org/10.1007/s12039-007-0013-9</u>
- [29] Hestand, N. J. and Spano, F. C., "Expanded theory of H- and J-molecular aggregates: The effects of vibronic coupling and intermolecular charge transfer", *Chemical Reviews*, 2018, *118*, 7069-7163.
 <u>https://doi.org/10.1021/acs.chemrev.7b00581</u>
- [30] Ahmad, I., Ahmed, S., Anwar, Z., Sheraz, M. A. and Sikorski, M., "Photostability and photostabilization 549 products", Photoenergy., of drugs and drug Int. J. 2016, 2016, 8135608. 550 551 https://doi.org/10.1155/2016/8135608
- [31] Zhang, X.-F. and Li, X., "The photostability and fluorescence properties of diphenylisobenzofuran",
 Journal of Luminescence, 2011, 131, 2263-2266. <u>https://doi.org/10.1016/j.jlumin.2011.05.048</u>
- [32] DeRosa, M. C. and Crutchley, R. J., "Photosensitized singlet oxygen and its applications", *Coordination Chemistry Reviews*, 2002, 233-234, 351-371. <u>https://doi.org/10.1016/S0010-8545(02)00034-6</u>
- [33] Calculation of molecular properties and drug-likeness-Molinspiration cheminformatics software tool
 (<u>https://www.molinspiration.com</u>).
- [34] Stefan, M. I. and Le Novère, N., "Cooperative Binding", *PLoS Comput. Biol.*, 2013, 9, e1003106.
 https://doi.org/10.1371/journal.pcbi.1003106
- 560