RMD Open

Rheumatic & Musculoskeletal Diseases

ORIGINAL RESEARCH

Mechanisms of action of therapeutic exercise for knee and hip OA remain a black box phenomenon: an individual patient data mediation study with the OA Trial Bank

Jos Runhaar ¹, ¹ Melanie A Holden,² Miriam Hattle,² Jonathan Quicke,^{2,3} Emma Louise Healey,² Danielle van der Windt ¹, ² Krysia S Dziedzic,² Marienke van Middelkoop,¹ Sita Bierma-Zeinstra,^{1,4} Nadine E Foster,^{2,5} The STEER OA Patient Advisory Group, The OA Trial Bank Exercise Collaborative

ABSTRACT

To cite: Runhaar J, Holden MA, Hattle M, *et al.* Mechanisms of action of therapeutic exercise for knee and hip OA remain a black box phenomenon: an individual patient data mediation study with the OA Trial Bank. *RMD Open* 2023;**9**:e003220. doi:10.1136/ rmdopen-2023-003220

Additional supplemental material is published online only. To view, please visit the journal online (http://dx.doi.org/10. 1136/rmdopen-2023-003220).

Received 11 April 2023 Accepted 24 July 2023



© Author(s) (or their employer(s)) 2023. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by BMJ.

For numbered affiliations see end of article.

Correspondence to

Dr Jos Runhaar; j.runhaar@erasmusmc.nl **Objectives** To evaluate mediating factors for the effect of therapeutic exercise on pain and physical function in people with knee/hip osteoarthritis (OA). Methods For Subgrouping and TargetEd Exercise pRogrammes for knee and hip OsteoArthritis (STEER OA), individual participant data (IPD) were sought from all published randomised controlled trials (RCTs) comparing therapeutic exercise to nonexercise controls in people with knee/hip OA. Using the Counterfactual framework, the effect of the exercise intervention and the percentage mediated through each potential mediator (muscle strength, proprioception and range of motion (ROM)) for knee OA and muscle strength for hip OA were determined. Results Data from 12 of 31 RCTs of STEER 0A (1407 participants) were available. Within the IPD data sets, there were generally statistically significant effects from therapeutic exercise for pain and physical function in comparison to non-exercise controls. Of all potential mediators, only the change in knee extension strength was statistically and significantly associated with the change in pain in knee OA (β –0.03 (95% CI -0.05 to -0.01), 2.3% mediated) and with physical function in knee OA (β –0.02 (95% CI –0.04 to -0.00), 2.0% mediated) and hip OA (β -0.03 (95% CI -0.07 to -0.00), no mediation).

Conclusions This first IPD mediation analysis of this scale revealed that in people with knee OA, knee extension strength only mediated $\pm 2\%$ of the effect of therapeutic exercise on pain and physical function. ROM and proprioception did not mediate changes in outcomes, nor did knee extension strength in people with hip OA. As 98% of the effectiveness of therapeutic exercise compared with non-exercise controls remains unexplained, more needs to be done to understand the underlying mechanisms of actions.

WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Therapeutic exercise is consistently recommended for people with knee and/or hip osteoarthritis in all international guidelines. Nevertheless, the mechanisms of action for therapeutic exercise are largely unknown.

WHAT THIS STUDY ADDS

⇒ Despite indirect evidence for a mediating effect through lower limb muscle strength, proprioception and range of motion among people with knee osteoarthritis and through limb muscle strength among people with hip osteoarthritis, the current state-ofthe-art mediation analyses on individual participant data from multiple randomised controlled trials showed only a small mediation effect through knee extension strength for therapeutic exercise for people with knee OA.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ A better understanding of the mechanisms of action of therapeutic exercise for people with knee and/or hip osteoarthritis is required, so that future interventions can be designed to better target these mechanisms and potentially improve patients' outcomes.

INTRODUCTION

Worldwide, over 250 million people (5%) suffer from symptomatic osteoarthritis (OA) of the hips and knees.^{1 2} Estimated annual total medical costs per patient are approximately \$8500 to \$10000 in the US and €1000 to €2000 in Europe.^{3–5} Due to the ageing population and increase in obesity, OA has had one of the largest increases in years lived

with disability at the global population level over the past three decades. $^{\rm 6}$

Currently, there is no cure for OA. Treatment is focused on improving physical function and managing pain. In this context, therapeutic exercise (ie, participation in physical activity that is planned, structured, repetitive and purposeful for the improvement or maintenance of OA symptoms, including general aerobic exercise, strengthening, flexibility, balance or bodyregion-specific exercises⁷⁸) is recommended in all international guidelines.⁹¹⁰ However, randomised controlled trials (RCTs) investigating therapeutic exercise have demonstrated that effect sizes for improvements in pain and physical function are on average small-to-moderate can decline over time, and approximately only 50% of participants with knee OA and 30% of people with hip OA achieve a clinically important treatment response.^{11–14}

Knowledge about which mechanisms explain the effect of therapeutic exercise on pain and physical function in OA could help to better target future exercise programmes and potentially lead to improvements in patient outcomes. A systematic review of possible biomechanical and physiological mechanisms suggested increased muscle strength could be a potential mediator of the treatment effect (ie, pathway through which the treatment affects the outcome) of therapeutic exercise for people with knee OA and hip OA, while improved proprioception and reduction of knee joint extension deficits were suggested as potential mechanisms of therapeutic exercise only for knee OA.¹⁵ Unfortunately, all available studies used simple, unadjusted correlation analyses, inadequate for the investigation of presumed causal pathways.¹⁵ Additionally, meta-analyses at the study level (using aggregated study results) have been prone to aggregation (ecological) bias and study-level confounding, potentially providing misleading estimates of individual-level effects.¹⁶

The optimal meta-analysis approach to examine effects at the individual level is the synthesis of individual participant data (IPD). IPD provides participant-level information about the characteristics and outcomes of each individual, and so it allows the relationship between participant-level factors and outcomes to be modelled directly. Furthermore, compared with a metaanalysis of published results, IPD meta-analysis facilitates standardisation across studies, allows direct derivation of desired information independent of significance or reporting and may provide longer follow-up, more participants and more outcomes than were considered in original publications.^{16 17} Therefore, our objectives were to evaluate the mediating effects of (1) lower limb muscle strength, knee joint proprioception and knee joint range of motion (ROM) among people with knee OA and (2) lower limb muscle strength among those with hip OA.

METHODS

This mediation analysis is reported according to the Guideline for Reporting Mediation Analyses of Randomized Trials and Observational Studies¹⁸ and is part of the Subgrouping and TargetEd Exercise pRogrammes for knee and hip OsteoArthritis (STEER OA) project in collaboration with the OA Trial Bank, for which a detailed protocol has been published.^{8 19} In short, after an updated systematic search of the literature (eight electronic databases, searched up to February 2019) for RCTs comparing therapeutic exercise to a non-exercise control for the outcomes of pain and/or physical function among people with knee and/or hip OA, all leads of eligible RCTs were contacted to request the sharing of IPD. After signing a data sharing agreement, IPD were securely transferred to the STEER OA project team. Next, transferred data were assessed for integrity and published results were reproduced. Discrepancies in results or missing information were discussed and clarified with RCT leads. If discrepancies could not be resolved, the RCT data were disregarded.

RCTs shared as part of the STEER OA project were eligible for the mediation analyses on knee OA if they included IPD of individuals with knee OA and if preexercise and postexercise intervention measures of lower limb muscle strength, knee joint proprioception and/ or ROM were available. For the mediation analyses on hip OA, RCTs needed to include people with hip OA and preintervention and postintervention measures of lower limb muscle strength.

Changes in self-reported pain and physical function from preintervention to immediately postintervention served as the primary outcomes in the current analyses. If more than one measure of self-reported pain and physical function were included in an RCT, we choose the highest in the hierarchy of outcome measures, as recommended by the Cochrane Musculoskeletal Review Group^{8 20}.

Data management

For each eligible RCT that shared IPD, preintervention and postintervention pain and physical function scores (measure closest to the end of the intervention period) were rescaled to a scale from 0 to 100, where higher scores represented more pain/functional limitations, by dividing the score for each individual by the maximal score of the scale and multiplying it by 100. The rescaled outcome measures were used to calculate the absolute change over the intervention period by deducting the rescaled preintervention scores from the rescaled postintervention scores.

For each RCT, the relative change of each potential mediator was calculated. For this, the absolute change in the mediator was divided over the baseline score and multiplied by 100. When available, the measurement of the mediator prior to the establishment of the outcome measurement was used to calculate its change (because of causality principles). If not available, the change from

baseline to the end of the exercise intervention period was used.

Data analyses

Data were extracted into tables to provide an overview of eligible RCTs, including a short description of exercise intervention group(s), description of control group, number of participants per group, duration of the exercise intervention, timing of the outcome measures and availability, timing and measurement method of all potential mediator variables (lower limb muscle strength, knee joint proprioception and knee joint ROM).

Normal distribution was assessed and confirmed for all mediators and outcome measures. Available IPD were first analysed for each individual mediator separately, for both pain and physical function outcomes. After that, a multimediator model was developed combining all potential mediators for the effect of exercise therapy on pain and physical function among people with knee OA. A multimediator model was not developed for hip OA as only a single potential mediator was evaluated.

Single mediator models

In the presence of a significant intervention effect on pain/physical function beyond a minimal clinical important difference, mediation analyses can provide insight into the extent to which this effect is explained by changes in the potential mediator. In the absence of such clinically relevant intervention effects on pain/physical function, the evaluation of the intervention effect on changes in the potential mediator may provide insight into the potential reasons for the absence of effect. See figure 1A for the directed acyclic graph²¹ of the proposed analyses.

The effect of the exercise intervention (a) on the absolute change in outcome (Y) was determined, controlling for the relative change in mediator (m) under investigation and potential mediator-outcome confounders (c), using the 'counterfactual framework'²²:

(1) E[Yla,m,c] = $\Theta_0 + \Theta_1 a + \Theta_9 m + \Theta_3 am + \Theta'_4 c$

As only RCTs evaluating therapeutic exercise therapy versus non-exercise controls were included, interaction between the intervention and mediator was ignored. Hence, $\Theta_{a}=0$.

Next, the effect of the exercise intervention on the relative change in the mediator was determined:

(2) E[Mla,c] = $\beta_0 + \beta_1 a + \beta'_2 c$

A single covariate was added to both regression models to indicate each RCT, to adjust for possible residual confounding by RCT differences. Separate models were run for the outcomes of pain and physical function.

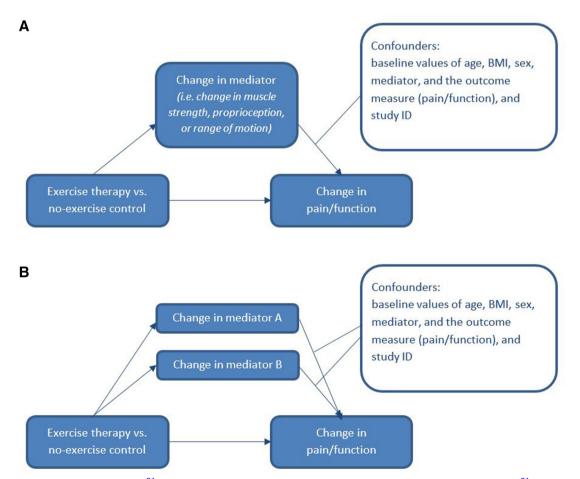


Figure 1 (A) Directed acyclic graph²¹ for the proposed single mediator models. (B) Directed acyclic graph²¹ for the proposed multimediator models. BMI, body mass index.

Potential mediator-outcome confounders were defined as baseline values of age, body mass index (BMI), sex, radiographic OA (Kellgren and Lawrence (KL) grade \geq 2), the potential mediator, and pain/physical function scores.

Using models defined above, the natural direct effect (NDE) and natural indirect effect (NIE) were defined as:

(3) NDE = $(\Theta_1 + \Theta_3(\beta_0 + \beta_1 a + \beta'_2 c))$; as $\Theta_3 = 0$, this becomes: (4) NDE = Θ_1

(5) NIE = $(\Theta_2\beta_1 + \Theta_3\beta_1a)$; as $\Theta_3=0$, this becomes: (6) NIE = $\Theta_2\beta_1$

and the total effect (TE) was equal to the sum of NDE and NIE. The percentage mediated was calculated by dividing NIE by TE and then multiplying this by 100%.

Multiple mediator models

Based on the availability within the RCT data obtained, any combination of two or more potential mediators was evaluated (see figure 1B). For each combination of mediators, a linear regression model was calculated for the effect of the exercise intervention and each mediator on the primary outcomes, assuming no interaction between the intervention and the potential mediators:

(7) $E[Yla,m_i,c] = \Theta_0 + \Theta_1 a + \Theta_{2i}m_i + \Theta'_4 c$

As for the single mediator models, all analyses were adjusted for the same potential mediator-outcome confounders and possible residual confounding by RCT differences. All analyses were conducted using IBM SPSS V.25, using p<0.05 to determine statistical significance. Percentages mediated and accompanying CIs were calculated using *mediation* software in R Studio²³ in case of statistically significant exposuremediator and mediator-outcome associations.

RESULTS

The systematic search of the literature conducted as part of the STEER OA project yielded 91 unique and eligible RCTs that compared therapeutic exercise to non-exercise controls among people with knee and/ or hip OA.¹⁹ Of these, usable IPD were obtained from 31 RCTs. Of these, 19 were ineligible as they did not measure any of the selected potential mediators and 12 were eligible for one or more mediation analyses. In summary, eight RCTs included people with knee OA only,²⁴⁻³¹ one included people with hip OA only³² and three RCTs included people with knee and/or hip OA.³³⁻³⁵ One RCT had an exercise intervention duration of 52 weeks, while all other RCTs had interventions lasting between 8 and 20 weeks. Among the RCTs including people with knee OA (1113 individuals from 11 RCTs), all had a measure of lower limb muscle strength, three RCTs had a measure of knee joint proprioception,^{24 27 28} and one RCT measured knee joint ROM.³⁵ Within the RCTs including people with hip OA (294 individuals from four RCTs), all had

a measure of lower limb muscle strength. See table 1 for more details.

Single mediator models

Lower limb muscle strength in knee OA

Only knee extension strength was available in all eligible RCTs and was, therefore, used as the measure of lower limb muscle strength. To minimise heterogeneity between RCTs, where possible, knee extension strength was obtained in Nm/kg or converted to Nm/kg, using strength (Nm) and body weight (kg) measures within the IPD.

Among the eligible RCTs on knee OA that measured knee extension strength, 70% of participants were women, mean age was 66.5 ± 7.7 years, mean BMI was 30.1 ± 5.8 kg/m² and baseline scores of the primary outcomes were 39.5 ± 21.4 for pain (N=1113) and 38.6 ± 22.0 for physical function (N=1062, see table 1), respectively. In 9 out of these 11 trials ($\pm90\%$ of randomised participants), knee muscle strengthening was part of the intervention protocol. Radiographic OA was not available or missing for 72% of cases and, therefore, omitted as a confounder.

Using the available IPD, there was a statistically significant effect of the rapeutic exercise on pain (β –9.0 (95%) CI -10.8 to -7.1)) and physical function (β -6.7 (95%) CI -8.2 to -5.1) compared with non-exercise controls, as measured directly after the exercise intervention (on a 0-100 scale). There was a statistically significant association between the change in knee extension strength and changes in pain (β -0.03 (95% CI -0.05 to -0.01)) and physical function (β -0.02 (95% CI -0.04 to -0.00)). In both models, there was a statistically significant effect of therapeutic exercise on the change in knee extension strength (β 8.0 (95% CI 2.8 to 13.1) for pain and β 8.0 (95% CI 2.7 to 13.3) for physical function). The percentages of the effects of therapeutic exercise on pain and physical function mediated through knee extension strength were, however, small, at only 2.3% (95% CI 0.4%) to 6.0%) and 2.0% (95% CI 0.0% to 5.0%), respectively.

Proprioception in knee OA

Among the three RCTs that measured knee joint proprioception (N=163), 74% of participants were women, mean age was 65.1 ± 7.9 years, mean BMI was 33.3 ± 7.4 kg/m², 61% of the knees had KL-grade ≥ 2 and baseline scores of the primary outcomes were 52.5 ± 22.8 and 39.7 ± 21.9 for pain and physical function, respectively (see table 1).

There was a statistically significant effect of the rapeutic exercise on pain (β –17.3 (95% CI –23.3 to –11.4)) and physical function (β –14.1 (95% CI –18.8 to –9.4)) compared with non-exercise controls, as measured directly after the intervention. There was no statistically significant association between the change in knee joint proprioception and changes in pain (β 0.00 (95% CI –0.06 to 0.06)) or physical function (β –0.01 (95% CI –0.06 to 0.04)). In both models, there was no statistically significant effect of the rapeutic exercise on the change in knee joint proprioception (β 3.4 (95%

	AO	OA		Intervention	Timing	Timing	Muscle					
RCT author	joint	Group A	Group B			function	strength	Timing	Timing Proprioception Timing	Timing F	ROM Timinę	Timing Confounders
Bennell <i>et al</i> ³⁰	Knee	Hip strengthening (N=45)	No exercise control (N=44)	12 weeks	BL, 3M	BL, 3M	Maximal isometric strength	BL, 3M	1	I		Age, BMI, sex
Cochrane & Davey ³³	Hip and knee	Aquatic lower limb exercise therapy (N=153)	No exercise control (N=159)	52 weeks	BL, 12M	BL, 12M	Maximal isometric strength	BL, 12M	1	1		Age, BMI, sex
De Rooij <i>et al²⁴</i> Knee	Knee	Exercise therapy (N=63)	No exercise control (N=63)	20 weeks	BL, 6M	BL, 6M	Mean isokinetic muscle strength	BL, 3M	Proprioceptive accuracy	BL, 3M -		Age, BMI, sex, ROA
Fernandes <i>et</i> al ³²	Hip	Education+exercise (N=55)	Education (N=54)	12 weeks	BL, 3M	BL, 3M	Muscle strength	BL, 3M	I	I		Age, BMI, sex
Hinman e <i>t al</i> ³⁴	Hip and knee	Aquatic exercise (N=36)	No exercise control (N=35)	6 weeks	BL, 3M	BL, 3M	Maximal isometric muscle strength	BL, 6W	I	I		Age, BMI, sex
Hopman-Rock et al ³⁵	Hip and knee	Education+exercise (N=60)	No exercise control (N=60)	6 weeks	BL, 3M	BL, 3M	Mean isometric muscle strength	BL, 3M	1	~ Ц	Active BL, 3N ROM	BL, 3M Age, BMI, sex
Hurley <i>et al</i> ²⁵	Knee	UC+exercise (N=278)	UC (N=140)	6 weeks	BL, 3M	BL, 3M	Maximal muscle strength	BL, 3M	I	1		Age, BMI, sex
Lim e <i>t al</i> ³¹	Knee	Strength training (N=53)	No exercise control (N=54)	12 weeks	BL, 3M	BL, 3M	Maximal isometric muscle strength	BL, 3M	I	I		Age, BMI, sex, ROA
Munukka et al ²⁶ 2016	Knee	Aquatic resistance training (N=43)	No exercise control (N=44)	16 weeks	BL, 3M	BL, 3M	lsometric muscle strength	BL, 3M	1	I		Age, BMI, sex, ROA
Levinger ²⁷	Knee	UC+exercise therapy (N=20)	No exercise control (N=10)	8 weeks	BL, 3M	BL, 3M	3RM	BL, 3M	Proprioception test	BL, 3M -		Age, BMI, sex

RMD Open: first published as 10.1136/rmdopen-2023-003220 on 28 August 2023. Downloaded from http://rmdopen.bmj.com/ on September 6, 2023 at Erasmus University Rotterdam. Protected by copyright.

Osteoarthritis

6

Table 1 Continued	inued												
OA RCT author joint Group A	0A joint	Group A	Interventi Group B duration	uo	Timing pain	Timing function	Muscle strength	Timing	Timing Timing Muscle pain function strength Timing Proprioception Timing ROM Timing Confounders	Timing	ROM	Timing	Confounders
Takacs <i>et al²⁸</i>	Knee	Takacs et al ²⁸ Knee Exercise therapy (N=20)	No exercise control (N=20)	10 weeks	BL, 3M	BL, 3M	Maximal isokinetic muscle strength	BL, 3M	BL, 3M BL, 3M Maximal BL, 3M Average joint isokinetic position sense muscle error strength	BL, 3M	I		Age, BMI, sex, ROA
Talbot <i>et al</i> ²⁹		Knee Education+walking Education 12 weeks exercise (N=17) (N=17)	Education (N=17)		BL, 3M	1	Maximal BL, 3M isometric muscle strength	BL, 3M	I		1		Age, BMI, sex
Defined as KL-grade ≥2. BL, baseline; BMI, body	rade ≥2. 1I, body n	Defined as KL-grade ≥2. BL, baseline; BMI, body mass index; 3M, 3 months; OA, osteoarthritis; RCT, randomised controlled trial; ROA, radiographic OA; ROM, range of motion.	ıs; OA, osteo	arthritis; RCT, rar	domised	controlled tr	ial; ROA, rac	diographic	OA; ROM, range of	f motion.			

CI –14.8 to 21.6) for pain and β 3.0 (95% CI –15.0 to 20.9) for physical function).

ROM in knee OA

Knee joint ROM was only measured in one eligible RCT that shared their IPD (with N=70 participants). In that RCT, 89% of participants were women, mean age was 65.1 ± 5.7 years, mean BMI was 27.9 ± 4.4 kg/m², and baseline scores of the primary outcomes were 46.7 ± 20.7 and 68.1 ± 23.7 for pain and physical function, respectively (see table 1). No measure of radiographic knee OA was available in this RCT.

There was no statistically significant effect of therapeutic exercise on pain (β –7.0 (95% CI –14.8 to 0.8)) or physical function (β 4.3 (95% CI –3.1 to 11.6)) compared with non-exercise controls, as measured directly after the intervention. There was no statistically significant association between the change in knee joint ROM and changes in pain (β –0.2 (95% CI –0.9 to 0.6)) or physical function (β –0.2 (95% CI –0.9 to 0.5)). Also, there was no statistically significant effect of therapeutic exercise on the change in knee joint ROM (β 0.7 (95% CI –2.1 to 3.5) for pain and β –1.0 (95% CI –3.9 to 1.9) for physical function).

Multiple mediator model for knee OA

Among all individuals in whom knee extension strength and knee joint proprioception were measured (N=153), 73% were women, mean age was 65.0 ± 8.0 years, mean BMI was 33.5 ± 7.4 kg/m², 59% of the knees had KL-grade ≥ 2 and baseline scores of the primary outcomes were 52.6 ± 22.7 and 39.0 ± 22.0 for pain and physical function, respectively.

There was a statistically significant effect of the rapeutic exercise on pain (β –17.5 (95% CI –23.7 to –11.3)) and physical function (β –15.1 (95% CI –20.5 to –9.7)) compared with non-exercise controls, as measured directly after the intervention. All mediator-outcome and exposure-mediator associations were small and statistically non-significant (see online supplemental appendix table 1).

Lower limb muscle strength in hip OA

Knee extension strength was the only lower limb strength measure available in all four eligible RCTs that included people with hip OA (N=294). In total, 67% of participants were women, mean age was 65.3 ± 9.0 years, mean BMI was 28.2 ± 5.3 kg/m² and baseline scores were 39.9 ± 19.5 for pain and 42.4 ± 23.0 for physical function (see table 1). Radiographic hip OA scores were unavailable or missing for 72% of cases and, therefore omitted as a confounder.

There was a statistically significant effect of the rapeutic exercise on pain (β –4.8 (95% CI –8.0 to –1.6)) and physical function (β –3.1 (95% CI –6.1 to –0.2)) compared with non-exercise controls, as measured directly after the intervention. There was no statistically significant association between the change in knee extension strength and changes in pain (β –0.01 (95% CI –0.04 to 0.03)), but change in knee extension strength was statistically significantly associated with changes in physical function (β –0.03 (95% CI –0.07 to –0.00)). There was no statistically significant effect of therapeutic exercise on the change in knee extension strength (β –6.4 (95% CI –17.5 to 4.8) for pain and β –5.7 (95% CI –17.1 to 5.7) for physical function).

DISCUSSION

In the first IPD mediation analysis of this scale, we evaluated to what extent the effects of therapeutic exercise on pain and physical function in knee and hip OA, compared with non-exercise controls, were mediated by factors previously identified as potential mediators based on published meta-data. We used IPD from between 1 and 11 RCTs, across 10 mediation analyses and results showed that most potential mediators were not significantly associated with changes in pain and physical function. Only the change in knee extension strength was statistically significantly associated with pain and physical function in knee OA and with physical function in hip OA. Of note, observed mediating effects were small, with a maximal percentage mediated of 2.3% for overall small-to-moderate effects of therapeutic exercise on pain and physical function. Therefore, the main working mechanisms that explain the effects of therapeutic exercise compared with non-exercise controls for OA remain unknown, and the challenge to optimise the effectiveness of exercise by focusing on key underlying mechanisms continues.

Within the available IPD, knee extension muscle strength was by far the most frequently measured potential mediator and has been suggested as an important mediator for the effect of therapeutic exercise.^{36–38} Nevertheless, to our knowledge, only a single RCT has used mediation analyses to evaluate the mediating effects of knee extension muscle strength in therapeutic exercise for knee OA.³⁹ Data from this one RCT showed a significant and large percentage of the effect on pain was mediated (38%) through the change in knee extension muscle strength. However, when these data were combined with 10 other knee OA RCTs in the current IPD analysis, the overall mediating effect of the change in knee extension muscle strength on OA symptoms reduced to only a small effect of 2.0%. Potentially, differences across the RCTs in measurement protocols (eg, isometric vs isokinetic muscle strength testing, differences in knee joint angles during testing, the use of warm-up protocols) and in strength definitions (eg, mean vs maximal strength over several RCTs, three-repetition maximum) introduced heterogeneity in the measurement and, therefore, reduced the association with outcomes. Moreover, poor reporting of measurement properties and procedures in many of the shared RCTs hampered strong conclusions on the role of knee extension muscle strength as a mediator of the effect of therapeutic exercise for knee OA. We were also unable to draw firm conclusions about the

role of lower limb muscle strength for hip OA, but in that case, due to a lack of RCTs.

The absence of a strong mediating effect through gains in knee extension muscle strength was indirectly supported by a recent and large RCT $(N=377)^{40}$; after an 18-month strength training intervention programme for people with knee OA, there were no significant differences in pain and function between the high-intensity strength training group and the attention control group, despite significant differences in quadriceps strength gains between the groups. Also a meta-regression analysis of RCTs concluded that therapeutic exercise would require considerably large gains in muscle strength (30%–40%) for only small benefits in OA symptoms, and, therefore, the authors deemed that a predominant focus on muscle strength as the mechanism through which to obtain improvements in pain and function from exercise therapy is likely 'inappropriate in clinical practice'.⁴¹

Together with this knowledge, the current results add to the growing debate on the lack of specific effects of exercise therapy for OA and the increasing interest in contextual factors^{42 43}; in the absence of specific effects, it is perhaps unrealistic to find mediating effects of biomechanical and physiological factors. Other mediating factors, potentially related to contextual effects such as psychological or social factors, were not considered in the current analyses. Several previous studies have shown that the effects of therapeutic exercise on pain and physical function are (partly) mediated by factors like pain catastrophising, arthritis-related self-efficacy, fear of movement and pain control cognitions.^{44–49} As education is often part of intervention packages comprising therapeutic exercise, the effect of interventions that target these factors could be of interest for future research.

Our results are consistent with conclusions of previous studies; which all forms of therapeutic exercise, at least those tested in the IPD available for the current analyses, lead to similar effects on OA symptoms.^{11 41} Therefore, other important aspects of therapeutic exercise, such as patients' preferences,⁵⁰ adherence, patient–clinician therapeutic relationship⁵¹ and availability of resources, may need to be considered when deciding on therapeutic exercise for people with knee or hip OA.^{7 50 52}

Although anti-inflammatory effects were previously not identified as potential mediators of the effect of therapeutic exercise for people with OA,¹⁵ new evidence has emerged since that review. In a diet and exercise programme for people with knee OA who were overweight, changes in inflammatory factors (eg, interleukin-6, TNF- α , CRP) mediated 15% of the effect on pain and 29% of the effect on physical function, largely independent of changes in body weight.⁵³ To date, there is only indirect evidence for a mediating effect through anti-inflammatory effects available in the literature.^{54,55} Further mediation analyses on data from therapeutic exercise RCTs for people with knee OA that also measure inflammatory factors are warranted. Especially since the anti-inflammatory effect of exercise can be optimised through, for example, choosing concentric over eccentric exercise, increasing the duration of exercise bouts, targeting large muscle groups and aiming for moderate rather than low or high intensities.^{56–58}

The current analyses have strengths and limitations. A major strength was the use of IPD, which greatly increased the potential for combining data from multiple studies and increased statistical power. Moreover, by using mediation analyses, including adjustments for mediator-outcome confounders, the chance of biased outcomes was reduced. Under the assumption that the selected mediator-outcome confounders appropriately represent all outcome and mediator predictors that are differentially distributed across RCTs, current analyses adequately address potential heterogeneity between included RCTs.¹⁷ The lack of a measure for radiographic OA in several RCTs could obviously challenge these assumptions.

Despite representing the most comprehensive pooling of RCT data to date investigating biomechanical and physiological mechanisms of therapeutic exercise for people with OA, only a small percentage of available RCTs shared their IPD and, as highlighted for the entire field of OA research,⁵⁹ RCTs with people with hip OA were underrepresented. Therefore, the size of IPD was limited and close to the required sample size for mediation analyses (n \approx 74 to 118 for 80% power and a medium effect size for the exposure-mediator and mediator-outcome associations) for some single mediator models.⁶⁰ Also, we were unable to study some intended combinations of potential mediators. Due to differences in the designs of RCTs that shared their IPD, we had to combine RCTs with varying length of follow-up, a mixture of exercise types, heterogeneous comparator groups and substantial variation in outcome measures and potential mediators. Sensitivity analyses only including RCTs directly targeting the mediator of study were disregarded due to limited statistical power. All but two RCTs evaluated the changes in the potential mediators at the time the outcome measures were assessed, rather than prior to the assessment of the outcome. This violates causality principles and, therefore, allows for potential effects of the changes in the outcome on the mediator, which were not taken into account in current analyses. The differences in study design between the two trials who did assess changes in the mediator prior to the assessment of the outcome^{24 34} hampered sensitivity analyses, including these trials only. Finally, current counterfactual approach only allowed for testing (combinations of) prior hypothesised mediators. Future research should also consider more data-driven modelling approaches for generating new hypothesis on working mechanisms of therapeutic exercise for OA.

In conclusion, increased knee extension strength only mediated approximately 2% of the effect of therapeutic exercise for pain and physical function in knee OA compared with non-exercise controls. We observed no such mediating effect of ROM or proprioception in people with knee OA, nor for knee extension strength in people with hip OA. As 98% of the effectiveness of therapeutic exercise compared with non-exercise controls remains unexplained, more needs to be done to understand the underlying mechanisms of action of exercise among people with knee and hip OA.

Author affiliations

¹General Practice, Erasmus MC, Rotterdam, The Netherlands

²Primary Care Centre Versus Arthritis, School of Medicine, Keele University, Keele, UK

³Chartered Society of Physiotherapy, London, UK

⁴Orthopedics & Sports Medicine, Erasmus MC, Rotterdam, The Netherlands ⁵Surgical Treatment and Rehabilitation Service (STARS) Education and Research Alliance, The University of Queensland and Metro North Health, Brisbane, Queensland, Australia

Twitter Jos Runhaar @JosRunhaar

Acknowledgements We would like to acknowledge the members of The STEER OA Patient Advisory Group, namely: Jenny Brown, Carol Ingram, Sheila Hickson, Robert Taylor, Christine Walker for their contribution to the conception and design of the study and interpretation of data. Members of the OA Trial Bank Exercise Collaborative (J Haxby Abbott, Kelli Allen, Michael Hurley, Kim Bennell, David Bossen, Kanda Chaipinyo, Tom Cochrane, Mariëtte de Rooij, May Arna Risberg, Kelley Fitzgerald, Helen French, Leigh Hale, Marius Henriksen, Rana S Hinman, Marijke Hopman-Rock, Justin Keogh, Cindy Veenhof, Jesper Knoop, Inga Krauß, Pazit Levinger, Christopher McCarthy, Stephen P Messier, Ari Heinonen, Havard Osteras, Shahnawaz Anwer, Ana Cristina Rodrigues Lacerda, Ganesh Shankar, Benjamin Steinhilber, Yusuke Suzuki, Michael A Hunt, Laura Talbot, Carolien Teirlinck, Michael Doherty, Pao-Feng Tsai, Jason Wallis, Merve Yilmaz Menek) are acknowledged for sharing their IPD with the Subgrouping and TargetEd Exercise pRogrammes for knee and hip OsteoArthritis (STEER OA) project and for their valuable input. We would also like to thank Marleen Fransen and Elaine Hay who shared their RCT data for inclusion in the STEER OA project.

Collaborators The STEER OA Patient Advisory Group: Jenny Brown, Carol Ingram, Sheila Hickson, Robert Taylor, Christine Walker. The OA Trial Bank Exercise Collaborative: J Haxby Abbott, Kelli Allen, Michael Hurley, Kim Bennell, David Bossen, Kanda Chaipinyo, Tom Cochrane, Mariëtte de Rooij, May Arna Risberg, Kelley Fitzgerald, Helen French, Leigh Hale, Marius Henriksen, Rana S Hinman, Marijke Hopman-Rock, Justin Keogh, Cindy Veenhof, Jesper Knoop, Inga Krauß, Pazit Levinger, Christopher McCarthy, Stephen P Messier, Ari Heinonen, Havard Osteras, Shahnawaz Anwer, Ana Cristina Rodrigues Lacerda, Ganesh Shankar, Benjamin Steinhilber, Yusuke Suzuki, Michael A Hunt, Laura Talbot, Carolien Teirlinck, Michael Doherty, Pao-Feng Tsai, Jason Wallis, Merve Yilmaz Menek.

Contributors All authors contributed to the design of the study, data collection, the analysis plan, and interpretation of the results. Data management was handled by MAH, MH and JR. JR drafted the manuscript together with MH. All authors provided critical feedback to the manuscript and approved the final version for submission. JR is the guarantor for this manuscript.

Funding The STEER OA project, of which this analysis was part, was supported by a Grant from the Chartered Society of Physiotherapy Charitable Trust (grant number PRF/16/A07), and the National Institute for Health Research (NIHR) School of Primary Care Research (grant number 531). JR received a Fellowship from the Foundation for Research in Rheumatology (FOREUM). KD (ID NIHR 200259) and NEF are NIHR Senior Investigators. KD is part funded by the National Institute for Health and Care Research (NIHR) Applied Health Research Collaboration (ARC) West Midlands (NIHR 200165). The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care. JQ was part-funded by an NIHR CRN West Midlands Research Scholarship and part funded by the Haywood Foundation. ELH is part funded by the NIHR Applied Research Collaboration (ARC) West Midlands. The OA Trial Bank receives long-term funding from the Dutch Arthritis Society.

Competing interests None declared.

Patient consent for publication Not applicable.

Ethics approval Anonymised data sharing was approved by Ethical Committees for the original RCTs. Hence no further approval was required for current analyses. Participants gave informed consent to participate in the study before taking part.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available upon reasonable request. All data are handled and stored at the facilities of the OA Trial Bank (www.oatrialbank.com)

9

Osteoarthritis

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/.

ORCID iDs

Jos Runhaar http://orcid.org/0000-0002-6293-6707 Danielle van der Windt http://orcid.org/0000-0002-7248-6703

REFERENCES

- 1 Hunter DJ, Bierma-Zeinstra S. Osteoarthritis. *Lancet* 2019;393:1745–59.
- 2 Cross M, Smith E, Hoy D, et al. The global burden of hip and knee osteoarthritis: estimates from the global burden of disease 2010 study. Ann Rheum Dis 2014;73:1323–30.
- 3 White AG, Birnbaum HG, Janagap C, et al. Direct and indirect costs of pain therapy for osteoarthritis in an insured population in the United States. J Occup Environ Med 2008;50:998–1005.
- 4 Salmon JH, Rat AC, Achit H, et al. Health resource use and costs of symptomatic knee and/or hip osteoarthritis. Osteoarthritis Cartilage 2019;27:1011–7.
- 5 Salmon JH, Rat AC, Sellam J, et al. Economic impact of lower-limb osteoarthritis worldwide: a systematic review of cost-of-illness studies. Osteoarthritis Cartilage 2016;24:1500–8.
- 6 Disease GBD, Injury I, Prevalence C. Global, regional, and national incidence, prevalence, and years lived with disability for 310 diseases and injuries, 1990-2015: a systematic analysis for the global burden of disease study 2015. *Lancet* 2016;388:1545–602.
- 7 Nicolson PJA, Bennell KL, Dobson FL, et al. Interventions to increase adherence to therapeutic exercise in older adults with low back pain and/or hip/knee osteoarthritis: a systematic review and meta-analysis. Br J Sports Med 2017;51:791–9.
- 8 Holden MA, Burke DL, Runhaar J, *et al.* Subgrouping and targeted exercise pRogrammes for knee and hip osteoarthritis (STEER OA): a systematic review update and individual participant data metaanalysis protocol. *BMJ Open* 2017;7:e018971.
- 9 Excellence. National Institute for Health and Care Excellence. Osteoarthritis in over 16S: diagnosis and management. NICE guideline NG226; 2022.
- 10 Bierma-Zeinstra S, van Middelkoop M, Runhaar J, et al. Nonpharmacological and nonsurgical approaches in OA. Best Pract Res Clin Rheumatol 2020;34:101564.
- 11 Fransen M, McConnell S, Harmer AR, et al. Exercise for osteoarthritis of the knee. Cochrane Database Syst Rev 2015;1:CD004376.
- 12 Fransen M, McConnell S, Hernandez-Molina G, et al. Exercise for osteoarthritis of the hip. Cochrane Database Syst Rev 2014;22:CD007912.
- 13 Quicke JG, Foster NE, Ogollah RO, *et al.* Relationship between attitudes and beliefs and physical activity in older adults with knee pain: secondary analysis of a randomized controlled trial. *Arthritis Care Res (Hoboken)* 2017;69:1192–200.
- 14 Teirlinck CH, Verhagen AP, Reijneveld EAE, et al. Responders to exercise therapy in patients with osteoarthritis of the hip: a systematic review and meta-analysis. Int J Environ Res Public Health 2020;17:7380.
- 15 Runhaar J, Luijsterburg P, Dekker J, et al. Identifying potential working mechanisms behind the positive effects of exercise therapy on pain and function in osteoarthritis; a systematic review. Osteoarthritis Cartilage 2015;23:1071–82.
- 16 Riley RD, Lambert PC, Abo-Zaid G. Meta-analysis of individual participant data: rationale, conduct, and reporting. *BMJ* 2010;340:bmj.c221.
- 17 Vo TT, Vansteelandt S. Challenges in systematic reviews and meta-analyses of mediation analyses. *Am J Epidemiol* 2022;191:1098–106.

- 18 Lee H, Cashin AG, Lamb SE, et al. A guideline for reporting mediation analyses of randomized trials and observational studies: the Agrema statement. JAMA 2021;326:1045–56.
- 19 Holden MA, Hattle M, Runhaar J, *et al.* Moderators of the effect of therapeutic execise for knee and/or hip osteoarthritis: a systematic review and individual participant data meta-analysis from the OA trial bank. *Osteoarthritis Cartilage* 2022;30:S400.
- 20 Group CM. Proposed outcomes. 2017. Available: http:// musculoskeletal.cochrane.org/proposed-outcomes
- 21 Höfler M, Wittchen H-U, Lieb R, et al. Association chain graphs: modelling etiological pathways. Int J Methods Psychiatr Res 2003;12:77–84.
- 22 VanderWeele TJ, Vansteelandt S. Mediation analysis with multiple mediators. *Epidemiol Methods* 2014;2:95–115.
- 23 Tingley D, Yamamoto T, Hirose K, et al. Mediation: R package for causal mediation analysis. J Stat Softw 2014;59.
- 24 de Rooij M, van der Leeden M, Cheung J, et al. Efficacy of tailored exercise therapy on physical functioning in patients with knee osteoarthritis and comorbidity. Arthritis Care Res (Hoboken) 2017;69:807–16.
- 25 Hurley MV, Walsh NE, Mitchell HL, *et al.* Clinical effectiveness of a rehabilitation program integrating exercise, self-management, and active coping strategies for chronic knee pain: a cluster randomized trial. *Arthritis Rheum* 2007;57:1220–9.
- 26 Munukka M, Waller B, Rantalainen T, et al. Efficacy of progressive aquatic resistance training for Tibiofemoral cartilage in postmenopausal women with mild knee osteoarthritis: a randomised controlled trial. Osteoarthritis Cartilage 2016;24:1708–17.
- 27 Pazit L, Jeremy D, Nancy B, et al. Safety and feasibility of high speed resistance training with and without balance exercises for knee osteoarthritis: a pilot randomised controlled trial. *Phys Ther Sport* 2018;34:154–63.
- 28 Takacs J, Krowchuk NM, Garland SJ, et al. Dynamic balance training improves physical function in individuals with knee osteoarthritis: a pilot randomized controlled trial. Arch Phys Med Rehabil 2017;98:1586–93.
- 29 Talbot LA, Gaines JM, Huynh TN, et al. A home-based pedometerdriven walking program to increase physical activity in older adults with osteoarthritis of the knee: a preliminary study. J Am Geriatr Soc 2003;51:387–92.
- 30 Bennell KL, Hunt MA, Wrigley TV, et al. Hip strengthening reduces symptoms but not knee load in people with medial knee osteoarthritis and varus malalignment: a randomised controlled trial. Osteoarthritis Cartilage 2010;18:621–8.
- 31 Lim B, Hinman RS, Wrigley TV, et al. Does knee Malalignment mediate the effects of quadriceps strengthening on knee adduction moment, pain, and function in medial knee osteoarthritis? A randomized controlled trial. Arthritis Rheum 2008;59:943–51.
- 32 Fernandes L, Storheim K, Sandvik L, *et al.* Efficacy of patient education and supervised exercise vs patient education alone in patients with hip osteoarthritis: a single blind randomized clinical trial. *Osteoarthritis Cartilage* 2010;18:1237–43.
- 33 Cochrane T, Davey RC, Matthes Edwards SM. Randomised controlled trial of the cost-effectiveness of water-based therapy for lower limb osteoarthritis. *Health Technol Assess* 2005;9:iii–iv,
- 34 Hinman RS, Heywood SE, Day AR. Aquatic physical therapy for hip and knee osteoarthritis: results of a single-blind randomized controlled trial. *Phys Ther* 2007;87:32–43.
- 35 Hopman-Rock M, Westhoff MH. The effects of a health educational and exercise program for older adults with osteoarthritis for the hip or knee. *J Rheumatol* 2000;27:1947–54.
- 36 Beckwée D, Vaes P, Cnudde M, et al. Osteoarthritis of the knee: why does exercise work? A qualitative study of the literature. Ageing Res Rev 2013;12:226–36.
- 37 Fransen M, Crosbie J, Edmonds J. Physical therapy is effective for patients with osteoarthritis of the knee: a randomized controlled clinical trial. *J Rheumatol* 2001;28:156–64.
- 38 Shakoor N, Furmanov S, Nelson DE, et al. Pain and its relationship with muscle strength and proprioception in knee OA: results of an 8-week home exercise pilot study. J Musculoskelet Neuronal Interact 2008;8:35–42.
- 39 Hall M, Hinman RS, Wrigley TV, et al. Knee extensor strength gains mediate symptom improvement in knee osteoarthritis: secondary analysis of a randomised controlled trial. Osteoarthritis Cartilage 2018;26:495–500.
- 40 Messier SP, Mihalko SL, Beavers DP, et al. Effect of high-intensity strength training on knee pain and knee joint compressive forces among adults with knee osteoarthritis: the START randomized clinical trial. JAMA 2021;325:646–57.
- 41 Bartholdy C, Juhl C, Christensen R, *et al.* The role of muscle strengthening in exercise therapy for knee osteoarthritis: a

RMD Open

systematic review and meta-regression analysis of randomized trials. Semin Arthritis Rheum 2017;47:9–21.

- 42 Bandak E, Christensen R, Overgaard A, et al. Exercise and education versus saline injections for knee osteoarthritis: a randomised controlled equivalence trial. Ann Rheum Dis 2022;81:537–43.
- 43 Englund M, Turkiewicz A. The Emperor's new clothes? Osteoarthritis Cartilage 2023;31:549–51.
- 44 Taylor SS, Oddone EZ, Coffman CJ, et al. Cognitive mediators of change in physical functioning in response to a multifaceted intervention for managing osteoarthritis. Int J Behav Med 2018;25:162–70.
- 45 Mihalko SL, Cox P, Beavers DP, et al. Effect of intensive diet and exercise on self-efficacy in overweight and obese adults with knee osteoarthritis: the IDEA randomized clinical trial. *Transl Behav Med* 2019;9:227–35.
- 46 Focht BC, Rejeski WJ, Ambrosius WT, et al. Exercise, self-efficacy, and mobility performance in overweight and obese older adults with knee osteoarthritis. Arthritis Rheum 2005;53:659–65.
- 47 Lima YL, Lee H, Klyne DM, *et al.* How do nonsurgical interventions improve pain and physical function in people with osteoarthritis? A scoping review of mediation analysis studies. *Arthritis Care Res* (Hoboken) 2023;75:467–81.
- 48 Lawford BJ, Hinman RS, McManus F, et al. How does exercise, with and without diet, improve pain and function in knee osteoarthritis? A secondary analysis of a randomized controlled trial exploring potential mediators of effects. *Arthritis Care Res* 2023.
- 49 Rejeski WJ, Ettinger WH Jr, Martin K, et al. Treating disability in knee osteoarthritis with exercise therapy: a central role for self-efficacy and pain. Arthritis Care Res 1998;11:94–101.
- 50 Henriksen M, Nielsen SM, Christensen R, *et al.* Who are likely to benefit from the Good Life with osteoArthritis in Denmark (GLAD) exercise and education program? an effect modifier analysis

of a randomised controlled trial. *Osteoarthritis and Cartilage* 2023;31:106–14.

- 51 Moore AJ, Holden MA, Foster NE, et al. Therapeutic alliance facilitates adherence to physiotherapy-led exercise and physical activity for older adults with knee pain: a longitudinal qualitative study. J Physiother 2020;66:45–53.
- 52 Dobson F, Bennell KL, French SD, *et al.* Barriers and facilitators to exercise participation in people with hip and/or knee osteoarthritis: synthesis of the literature using behavior change theory. *Am J Phys Med Rehabil* 2016;95:372–89.
- 53 Runhaar J, Beavers DP, Miller GD, et al. Inflammatory cytokines mediate the effects of diet and exercise on pain and function in knee osteoarthritis independent of BMI. Osteoarthritis Cartilage 2019;27:1118–23.
- 54 Marriott K, Chopp-Hurley J, Loukov D, et al. Muscle strength gains after strengthening exercise explained by reductions in serum inflammation in women with knee osteoarthritis. *Clinical Biomechanics* 2021;86:105381.
- 55 Zhang S-L, Liu H-Q, Xu X-Z, et al. Effects of exercise therapy on knee joint function and Synovial fluid cytokine levels in patients with knee osteoarthritis. *Mol Med Rep* 2013;7:183–6.
- 56 Petersen AMW, Pedersen BK. The anti-inflammatory effect of exercise. *J Appl Physiol* (1985) 2005;98:1154–62.
- 57 Pedersen BK, Steensberg A, Schjerling P. Muscle-derived Interleukin-6: possible biological effects. J Physiol 2001;536:329–37.
- 58 Runhaar J, Bierma-Zeinstra SMA. Should exercise therapy for chronic musculoskeletal conditions focus on the anti-inflammatory effects of exercise *Br J Sports Med* 2017;51:762–3.
- 59 Hall M, van der Esch M, Hinman RS, et al. How does hip osteoarthritis differ from knee osteoarthritis. Osteoarthritis Cartilage 2022;30:32–41.
- 60 Fritz MS, Mackinnon DP. Required sample size to detect the mediated effect. *Psychol Sci* 2007;18:233–9.

ล