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7 **A Correlation of Tumor Budding and Tumor Stroma Ratio with**  
8 **Clinicopathological Factors in Oral Squamous Cell Carcinoma**

9 **Leena Verma,<sup>1</sup> \*Kanika Singh,<sup>2</sup> Mukta Pujani,<sup>2</sup> Manjula Jain,<sup>2</sup> R.K. Chandoke,<sup>2</sup>**  
10 **Charu Agarwal,<sup>2</sup> Varsha Chauhan,<sup>2</sup> Sujata Raychaudhuri,<sup>2</sup> Avani Jain<sup>3</sup>**

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12 *Departments of<sup>2</sup>Pathology and<sup>3</sup>Otorhinolaryngology, <sup>1</sup>ESIC Medical College, Faridabad, India.*

13 *\*Corresponding Author's e-mail: [kanikasingh263@gmail.com](mailto:kanikasingh263@gmail.com)*

14  
15 **Abstract**

16 **Background:** Squamous cell carcinoma is the most common carcinoma in the head and neck region.  
17 Both tumor budding and tumor stroma ratio are being studied in the recent years in various solid tumors  
18 for their role as a prognostic marker, however the studies in oral squamous cell carcinoma are limited.

19 **Methods:** A total of 50 patients of oral squamous cell carcinoma proved histologically were included in  
20 the study over a period of 4 months (July 2022-October 2022). Tumor budding(TB) and Tumor stroma  
21 ratio (TSR) were evaluated on routine hematoxylin and eosin stained sections and these were correlated  
22 with clinicopathological parameters. Statistical analysis was done using Chi-square test and p value <.05

23 considered significant. **Result:** The mean age was 52.72 +\_ 13.16 and M: F of 7.1:1. Most of the tumors  
24 were located on tongue (46%) followed by buccal mucosa (26%), gingivobuccal sulcus (12%) and  
25 retromolar trigone (8%). Palate and alveolus were the other sites involved constituting 4% each. Both  
26 TB and TSR were found to be significantly associated with grade of the tuumor, lymph node metastasis  
27 and size of the tumor. A highly significant correlation was also found between Tb and TSR with a p value  
28 <.001. **Conclusion:** Both TB and TSR can be easily evaluated on routine H&E sections and are highly  
29 reproducible and found to be reliable independent prognostic markers in OSCC. Thus, this simple and  
30 cost-effective method of prognostification which is currently lacking will help in identifying patients  
31 with poor prognosis and thus, individualise the treatment plan.

32 **Keywords:** Tumor Budding; Oral squamous cell carcinoma; Tumor stroma ratio.

33

### 34 **Advances in Knowledge**

35 1. Tumor Budding and Tumor stroma ratio are a topic of recent interest and it is being studied in a number  
36 of tumors.

37 2. Our study aims to provide an insight about Tumor budding and tumor stroma ratio in Oral squamous  
38 cell carcinoma.

39

### 40 **Application to patient care**

41 1. Both Tumor budding and Tumor stroma ratio are recently being used as an additional prognostic  
42 marker in many tumors.

43 2. Tumor budding and Tumor stroma ratio can be easily performed on routine H&E sections and thus  
44 cost effective.

45 3. Tumor budding and Tumor stroma ratio can be used for prognostication of patients with oral squamous  
46 cell carcinoma and thus may also help in deciding the treatment including chemotherapy.

47

### 48 **Introduction**

49 The cancer of the oral cavity and the pharynx ranks sixth worldwide. India contributes to one-third of  
50 the total oral carcinoma cases globally.<sup>1</sup> Squamous cell carcinoma (SCC) is the most common  
51 carcinoma in the head and neck region. These carcinomas consist of both carcinomas and stroma like  
52 other solid carcinomas.<sup>2</sup> The stroma prevents the spread of tumor in normal tissues, however, in the  
53 tumor tissue it could lead to tumor progression. The tumor associated stroma and cancer associated  
54 fibroblasts are implicated in the tumor progression phases. Recently, several studies are done for the  
55 evaluation of tumor stroma ratio (TSR) in Esophageal cancer, Breast cancer, Colon cancer, and Cervical  
56 cancer and found to be an independent prognostic factor. However, the role of TSR in oral squamous cell  
57 carcinoma is still not clear.<sup>3</sup>

58

59 Tumor budding signifies a pattern of invasion where either isolated tumor cells or tumor cells in small  
60 clusters (up to 5 cells) are seen within the stroma. Tumor budding is associated with poor prognosis and  
61 aggressive behaviour of the tumor.<sup>4</sup> Tumor budding has been studied in several malignancies  
62 including esophageal carcinoma,<sup>5</sup> colorectal cancer,<sup>6</sup> breast cancer<sup>7</sup> and pancreatic ductal  
63 adenocarcinoma.<sup>8</sup> Besides the various histological markers, like tumor differentiation, thickness of  
64 tumor, pattern of invasion, perineural invasion, extracapsular spread in lymph nodes, numerous  
65 molecular studies are done to identify the prognostic biomarkers in Oral SCC. But none of them has been

66 proven to be of significance to be used in routine practice.<sup>4</sup> Thus, there is still lacunae in our knowledge  
67 and the need for reliable prognostic markers for oral carcinomas still needs to be addressed.<sup>4</sup>

68

69 Thus in this study we aim to analyse the association of TSR and TB with the clinicopathological  
70 parameters which can be easily done on routine Hematoxylin and eosin stained slides, providing an easy  
71 and cost effective method for prognostication of Oral SCC.

72

### 73 **Methods**

74 The study was conducted in the Department of Pathology and Department of Otorhinolaryngology, ESIC  
75 Medical College and Hospital, Faridabad within a period of four months (July 2022-October 2022).

76

77 Inclusion criteria - All the cases of histologically proven oral squamous cell carcinoma in a period of 4  
78 months were included in the study (July 2022-October 2022). Exclusion criteria -. The patients with a  
79 history of chemotherapy or radiotherapy and all the patients who did not give consent were excluded  
80 from the study.

81

82 Hematoxylin and eosin stained slides were used for the assessment of TSR and TB in biopsy proven  
83 cases of OSCC. Tumor budding is small tumor nests composed of <5 tumor cells. For evaluating tumor  
84 budding, tumor slides were scanned at 10× objective. Subsequently, tumor budding was counted at the  
85 most invasive area in 10 fields at ×400 magnification. The tumor budding was analyzed by two ways:  
86 the total numbers of tumor budding under 10 HPFs and the maximum numbers per field among 10  
87 HPFs. >10 tumor budding/10 HPFs was defined as high total tumor budding. 4-9/10 HPF as intermediate  
88 and <4 tumor budding/10 HPFs as low total tumor budding.

89

90 For TSR assessment, the most tumor areas were selected with 4× objective, then, TSR scoring were  
91 evaluated using 10× objective. Stromal cells ratio ≤50% were taken as low stroma ratio (low TSR)  
92 and >50% as high stroma ratio (high TSR).

93

94 The clinical details including the age (<50 years and >50 years) and gender of the patient, site of the  
95 lesion, size of the lesion (<2 cm, 2-4, >4 cm) along with pathological details like grade of the tumor  
96 (well differentiated, moderately differentiated and poorly differentiated) and lymph node involvement  
97 was recorded.

98

99 Lymph node involvement in cases of incisional biopsies of primary oral SCC where histological  
100 examination was not possible was assessed by using other investigation modalities including fine needle  
101 aspiration cytology (FNAC) from palpable lymph node if present, and radiological assessment through  
102 PET CT and features highly suggestive of lymph node metastasis on radiology was considered positive.  
103

104 Ethical clearance was taken from the Institutional ethical committee. A written informed consent was  
105 taken from the patients.  
106

107

### 107 **Statistical analysis**

108 Chi-squared method was used for evaluation of association of TSR and TB with clinicopathological  
109 parameters.  
110

111

### 111 **Result**

112 A total of 50 patients were include in the study with mean age  $61 \pm 12.72$  and M: F of 7.1:1. Majority of  
113 Most of the tumors were located on tongue (46%) followed by buccal mucosa (28%), gingivobuccal  
114 sulcus(10%), retromolar trigone (8%) and tonsil (8%). Palate and alveolus were the other sites involved  
115 constituting 4% each.(46%) followed by larynx (26%) then buccal mucosa (16%) and (4%) in palate,  
116 tonsil and alveolus each (Figure 1).The maximum number of cases belonged to histological grade 2  
117 (60%), followed by grade 1 (26%) and grade 3 (14%). Out of the total 50 cases 11 cases were modified  
118 radical neck dissection (MRND) specimen and the rest of the cases were incisional biopsies.  
119

120

120 We found no significant correlation between TSR & TB with age and gender. (table 1). TB and TSR in  
121 OSCC was found to be significantly associated with histological grade of tumor with p value<0.5 (table  
122 1) where higher TB ( fig 2) and low TSR (fig 3 A) was seen in higher grade of tumor.  
123

124

124 Metastasis to lymph node was found in 21 cases with significant p value of (<0.05). Out of these 21cases,  
125 11 cases were MRND specimen , 3 cases were positive on FNAC from palpable cervical lymph nodes  
126 and the rest (7) were considered positive based on radiological findings on PET-CT highly suggestive of  
127 metastasis. A significant association of TB & TSR was found with lymph node metastasis. Similarly, the  
128 association of TB & TSR with size of tumor significantly found with p value (<0.5). A highly significant  
129 association was present between TSR &TB(P=0.001) (table 2). We found that those with low tumor  
130 budding have high TSR (fig 3B), and vice versa.  
131

131

132 **Discussion**

133 The various histopathological factors currently being used to assess the prognosis and select the initial  
134 treatment, adjuvant therapy and follow up of OSCC include tumor grade, mode of invasion, pattern of  
135 invasion, lymphovascular invasion, perineural invasion, depth of invasion, extracapsular lymph node  
136 invasion, and resection margin status.<sup>9</sup>

137  
138 In the recent years the invasive tumor front is being studied. The cancer cells at the invasive front, in  
139 comparison to the cells present in the superficial or central regions of the tumor mass are more  
140 aggressive.<sup>9</sup>

141  
142 Tumor Budding (TB) is process where the tumor cells either singly or clusters of upto five tumor cells  
143 detach from the tumor mass and invade the surrounding normal tissue. It has been studied in colorectal  
144 tumor and has been found to be a reliable and reproducible prognostic factor in these tumors.<sup>10</sup>

145  
146 TB may be due to its association with the nuclear location of b-catenin which in turn is connected to E-  
147 cadherin aberrations. Also, a loss of expression of epithelial cell adhesion molecule is present. The loss  
148 of intercellular adhesions are responsible for these alterations.<sup>11</sup>

149  
150 A meta-analysis by Almangush et al. including 16 studies evaluated the prognostic significance of TB  
151 and found higher TB to be significantly associated with lymph node metastasis, disease free survival and  
152 overall survival.<sup>12</sup>

153  
154 In the current study also we found a significant association of TB with lymph node metastasis. Xie et al  
155 found a correlation between tumor budding and occult lymph node metastasis in early stage OSCC which  
156 is the most common reason for relapse and poor prognosis in early stages.<sup>12</sup> A study by Angadi et al  
157 included 75 cases of OSCC and found high intensity tumor budding to be an independent prognostic  
158 factor of lymph node metastasis similar to our study.<sup>13</sup> However, they found no significant association  
159 of TB with age, gender, site, size, grade and stage of the tumor. In addition to that we also found that  
160 advanced tumor grade and size of tumor was also found to be significantly associated with higher TB  
161 which was in accordance with a study by Jensen et al<sup>14</sup> and Zhang et al.<sup>11</sup> Zhang et al. also found that  
162 high-grade tumor budding was associated with higher T stage, smaller nest size, larger nuclear diameter,  
163 advanced clinical stage, worse poorly pathological differentiation and higher TSR.<sup>11</sup> The meta-analysis  
164 of cases of oral tongue cancer suggested high TB index had a poorer overall survival.<sup>17</sup>

165

166 In our study the majority of samples were incisional biopsies (40/50). Few studies had evaluated the  
167 prognostic value of TB in biopsy specimens of OSCC.<sup>15,16</sup>

168

169 The accurate assessment of the biopsy may be limited by the small sample size, lack of the infiltrative  
170 front, fragmentation, artifacts, and extensive necrosis. However, preoperative assessment of TB may be  
171 helpful for determining the prognosis where TB was correlated with grading, depth of invasion, lymph  
172 vascular invasion.<sup>17</sup> Therefore, for proper evaluation, it is suggested that the biopsy should include  
173 clinically healthy tissue with a horizontal margin of  $p \geq 8$  mm and a vertical margin of  $\geq 5$  mm or perform  
174 several incisional biopsies.<sup>17</sup> In several epithelial cancers TSR has been found to be an independent  
175 prognostic factor. TSR is a simple, reliable and inexpensive procedure as it can be easily evaluated on  
176 H&E stained slides and thus TSR scoring can be a part of routine histopathological report. Zhang et al  
177 found that the patients with higher TSR showed a worse prognosis in laryngeal SCC.<sup>13</sup> We found a  
178 significant association of TSR with the size of the tumor and lymph node metastasis where cases with  
179 lower TSR had higher tumor size and risk of lymph node metastasis.

180

181 The review by Wu et al in solid tumors suggested that the higher proportion of stroma was associated  
182 with adverse features like advanced depth of invasion, tumor aggressiveness in the form of advanced  
183 clinical stage and positive lymph node metastasis.<sup>18</sup>

184

185 The adverse prognosis in patients with tumors having a higher proportion of stroma may be due to the  
186 interactions between tumor cells and cancer-associated fibroblasts (CAF). The role of CAFs in the  
187 progression of OSCC and metastasis have been reported.<sup>10</sup>

188

189 Another review by Almangush et al on the studies involving head and neck cancers suggested a  
190 significant correlation with features of aggressive tumor behavior like perineural invasion, depth of  
191 infiltration, cell-in-cell invasion, advanced stage and treatment resistance.<sup>19</sup> Rani et al found a significant  
192 correlation between TSR and size of the tumor and advanced stage.<sup>20</sup>

193

194 However, Masciti et al found no significant association of TSR and clinicopathological parameters.<sup>21</sup>  
195 Similarly, Ünlü et al. also found no association of TSR with the clinical parameters like tumor location,  
196 histological grade, clinical stage, or perinodal invasion.<sup>22</sup> In the current study we found low TSR was

197 significantly associated with higher histological grade and also larger size of the tumor and positive  
198 lymph node metastasis.

199

200 Also, Ablahad et. al reported no significant correlation of TSR with the age, gender, site and grade of the  
201 tumor in cases of oral squamous cell carcinoma.<sup>23</sup> We found no significant association of TSR with age  
202 and gender in the current study.

203

204 We found a highly significant correlation between TSR and TB where higher TB was associated with  
205 higher stroma i.e. lower TSR.

206

207 The limitations of the current study is smaller sample size, therefore, more multi-institutional studies  
208 with a larger sample size are required.

209

#### 210 **Conclusion**

211 Both TB and TSR can be used to evaluate the prognosis of patients with OSCC. In the current study, TB  
212 and TSR showed a significant association with lymph node metastasis and size of the tumor. TB was  
213 also found to be significantly associated with the grade of the tumor. However, no significance was found  
214 between TB and TSR with the parameters like age and gender of the patients. Both TB and TSR can be  
215 easily evaluated on routine H&E sections and they are highly reproducible and found to be reliable  
216 independent prognostic markers in OSCC. Thus, this simple and cost-effective method of  
217 prognostification which is currently lacking will help in identifying patients with poor prognosis and  
218 thus, individualise the treatment plan.

219

#### 220 **Funding**

221 No funding was received for this study.

222

#### 223 **Conflicts of interest**

224 The authors declare no conflicts of interest.

225

#### 226 **Authors' Contribution**

227 KS conceptualized and designed the study. LV conducted the literature review. LV and AJ collected the  
228 data. LV and KS drafted the manuscript. MP, MJ, RKC, CA, VC, SR and AJ edited the manuscript and  
229 all authors critically reviewed the manuscript. All authors approved the final version of the manuscript.

230

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292

293 Table 1: Correlation of TB and TSR with clinicopathological parameters.

294 (TB- tumor budding, TSR- Tumor stroma ratio)

		TB			P (chi square)	TSR		P (chi square)
		Low (0-4)	Intermediate (4-9)	High (>10)		Low	High	
Age	<50 (23)	12	4	7	0.560	7	16	0.202
	>50 (27)	10	6	11		13	14	
Gender	Male	19	8	16	0.808	18	25	0.505
	Female (7)	3	2	2		2	5	
Grade	1 (Well differentiated) (13)	10	2	1	0.0281	1	12	0.010
	2 (Moderately differentiated) (30)	11	7	12		14	16	
	3 (Poorly differentiated) (7)	1	1	5		5	2	

N Stage (LN Metastas is) (21)	Present	5	6	10	<b>0.05</b>	14	7	<b>0.001</b>
	Absent	17	4	8		6	21	
Size of tumor	<2 (22)	15	2	5	<b>0.019</b>	3	19	<b>0.003</b>
	2-4 (12)	2	5	5		7	5	
	>4 (16)	5	3	8		10	6	

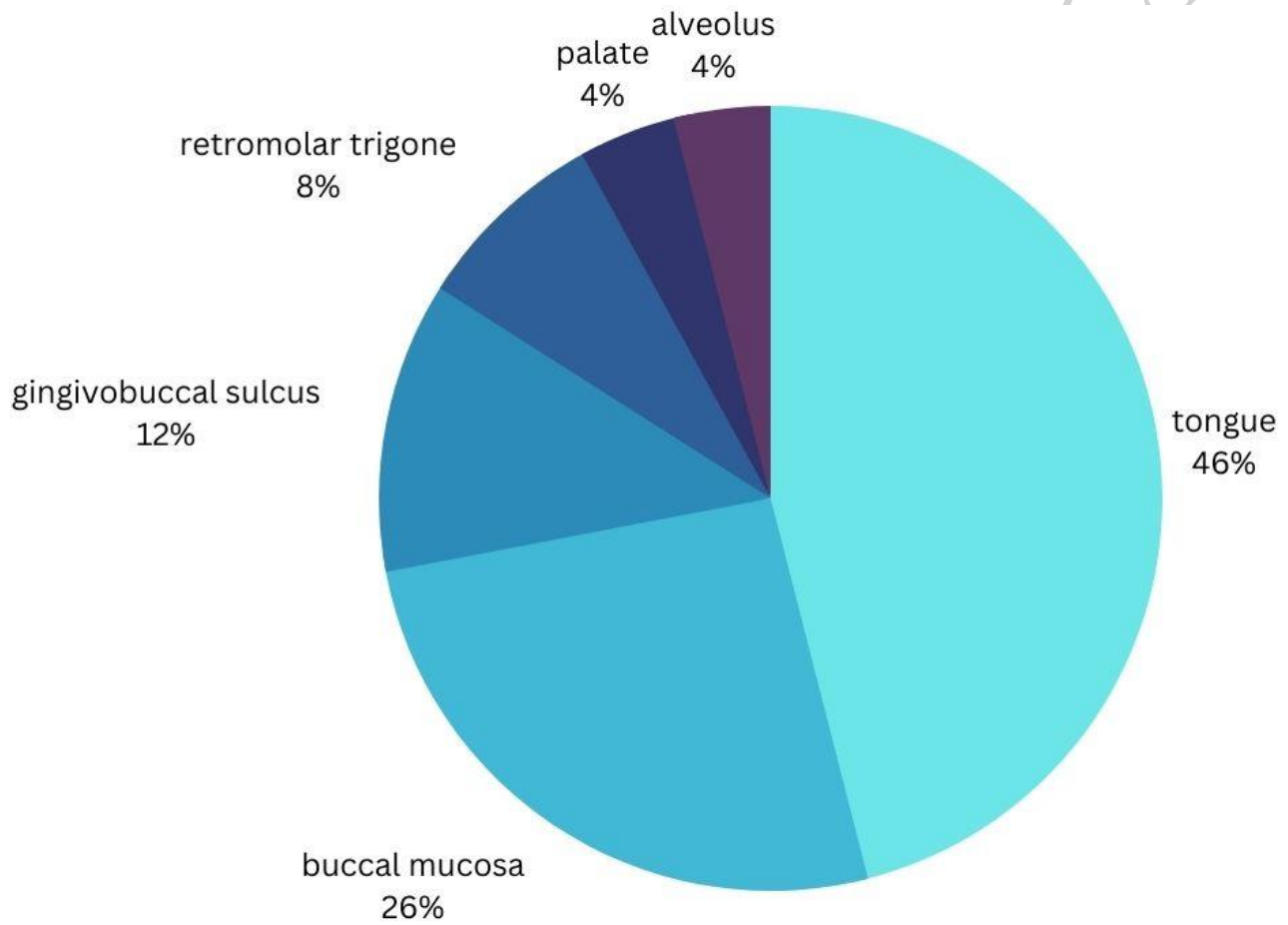
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296 Table 2: A correlation between TB and TSR

	Tsr high	Tsr low	P value (chi square)
<b>TB low</b>	19	3	<b>0.0001</b>
<b>TB intermediate</b>	7	3	
<b>TB high</b>	4	14	

297

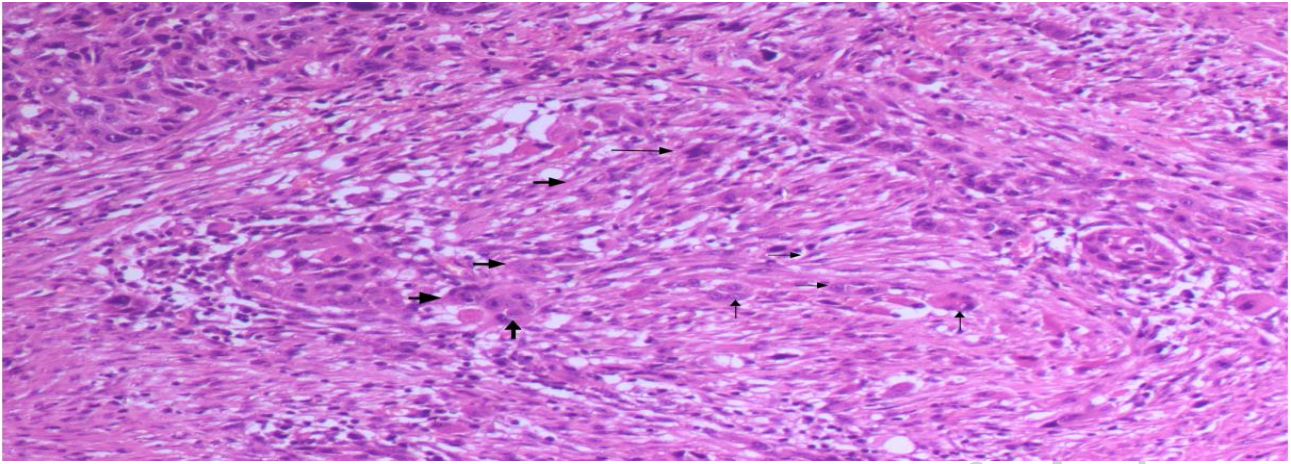
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300 Figure 1: Distribution of OSCC at various sites.

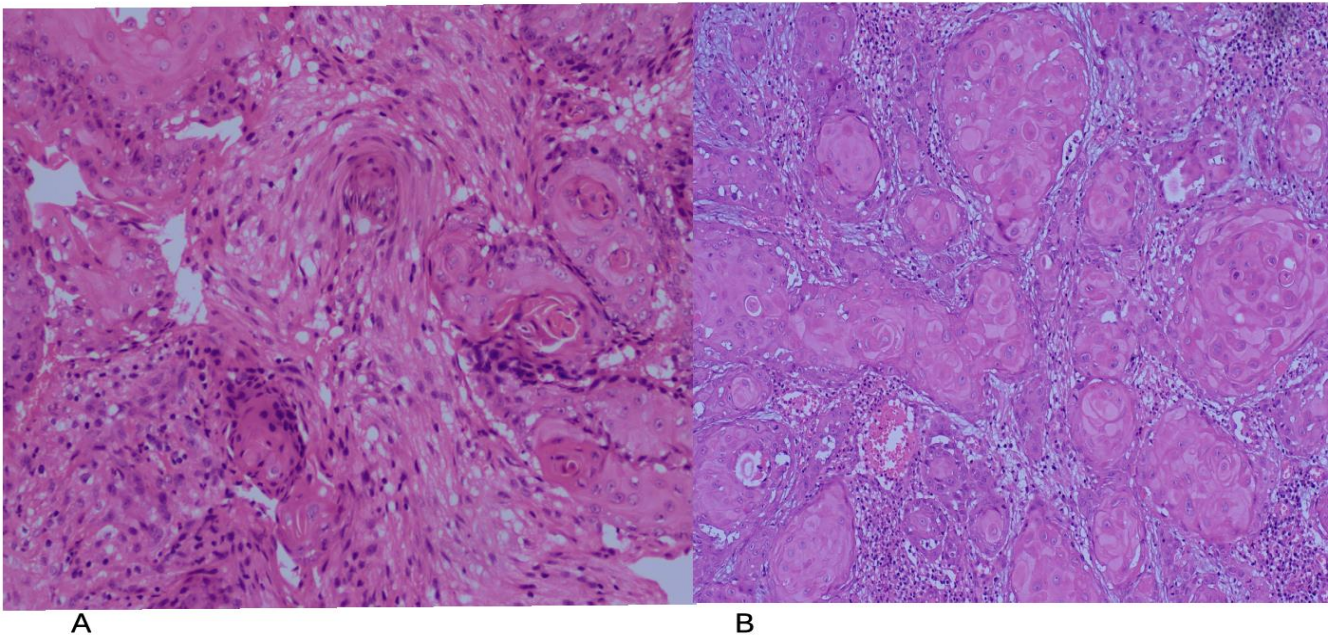
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302

303 Figure 2: H&E stained sections show high Tumor budding (TB) at the invasive front of OSCC.(100X)

304



305

306 Figure 3: A) H&E stained sections shows low Tumor stroma ratio (TSR) in OSCC.(400X). B) H&E  
307 stained sections show high Tumor stroma ratio (TSR) in OSCC.(400X)