

Maintenance therapy for early loss of B-cell aplasia after CD19 CAR T-cell therapy

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Abstract:

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1 **Title**

2 **Maintenance therapy for early loss of B-cell aplasia after CD19 CAR T-cell therapy**

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41 **To the Editor:**

42

43 Chimeric antigen receptor (CAR) T-cell therapy has transformed the landscape of
44 relapsed/refractory (r/r) B-cell acute lymphoblastic leukaemia (ALL) in children and young
45 adults,^{1,2} with a 3-year relapse free survival of 52% for tisagenlecleucel in the pivotal ELIANA
46 trial.³ B-cell aplasia (BCA) is an indirect measure of anti-CD19 CAR T-cell presence. Early
47 (≤ 6 months from infusion) loss of BCA (LBCA) was associated with high relapse risk in
48 studies with tisagenlecleucel or other 41BBz anti-CD19 CAR T products.⁴⁻⁹ However, with
49 different anti-CD19 CAR T-cells (eg: CD28-containing brexucabtagene), the long-term
50 persistence of CAR T-cells seems not required for durable remission.¹⁰

51 The optimal therapeutic strategy for patients with early LBCA after tisagenlecleucel is
52 unclear: good outcomes have been achieved with consolidative hematopoietic stem cell
53 transplant (SCT).^{3,9} However, SCT is associated with significant mortality, especially for
54 patients with prior SCT within 12 months,¹¹ and long term side effects.¹² Indeed, a benefit of
55 consolidative SCT after CAR T was not demonstrated for patients with prior transplant.¹³
56 Moreover, not all patients have a suitable donor and some are precluded from SCT due to
57 co-morbidities. At our centre, children who received tisagenlecleucel and presented early
58 LBCA with a contraindication to SCT were treated with a maintenance chemotherapy
59 regimen for 2 years with promising early outcomes.¹⁴ Here, we report on the longer follow-up
60 of a larger cohort of children and young adults from across the United Kingdom (UK)
61 receiving maintenance chemotherapy or SCT after early LBCA.

62 We retrospectively collected data on patients treated either with tisagenlecleucel or
63 experimental 41BBz anti-CD19 and anti-CD19/anti-CD22 CAR T-cells (NCT02443831) in the
64 UK from June 2017 until June 2022. The data cut-off date was 20th March 2023. Data were
65 collected on a health service evaluation basis on the basis of outcomes assessment
66 following CAR T cell therapy. Consent for data collection was obtained by patients, parents
67 or legal guardians. Inclusion criteria were early LBCA (≤ 6 months from infusion) without
68 evidence of disease, defined as morphological complete remission (CR) and negative

69 minimal residual disease (MRD) by polymerase chain reaction (PCR). LBCA was defined as
70 peripheral B-cell count $\geq 0.10 \times 10^9/L$ and/or bone marrow CD19+ events $\geq 0.1\%$, measured
71 on more than one occasion at least 2 weeks apart. Peripheral lymphocyte subsets were
72 monitored monthly, while marrow aspirate was checked at 1, 3, 6, 9 and 12 months post
73 CAR T-cell infusion. MRD negativity was defined at a lower limit of at least 1×10^{-4} by PCR for
74 leukaemia-specific immunoreceptor gene rearrangements. Maintenance was administered
75 as per UKALL2011 protocol (Eudract 2010-020924-22): oral mercaptopurine (75
76 $\text{mg}/\text{m}^2/\text{daily}$) with weekly oral methotrexate (20 mg/m^2) and three-monthly intrathecal
77 methotrexate (age-adjusted doses) for 2 years. Patients were also treated with or without
78 monthly pulses (vincristine 1.5 mg/m^2 iv day 1, dexamethasone 6 $\text{mg}/\text{m}^2/\text{day}$, days 1-5)
79 depending on prior toxicity to these agents. Allogeneic SCT was performed according to
80 institutional guidelines and donor availability.

81 Categorical variables were compared with two-tailed Fisher's test, continuous variables with
82 the Wilcoxon test for unpaired data. Survival was calculated by Kaplan-Meier analysis and
83 group comparison by Log Rank test. Overall survival (OS) was defined as time from LBCA
84 until death, patients were censored at last follow-up. Event-free survival (EFS) was defined
85 as time from LBCA until death or relapse, whichever occurred first. Significance was set at p
86 value of < 0.05 (two-sided).

87 We retrospectively collected data on paediatric and young adult patients (up to 25 years)
88 treated in the UK for ALL with tisagenlecleucel, AUTO1 or AUTO1/22 (experimental 41BBz
89 anti-CD19 or anti-CD19-CD22 CAR T-cell product, respectively, NCT02443831). Of 151
90 patients infused (125 tisagenlecleucel, 14 AUTO1, 12 AUTO1/22), 137 (90.7%) (115
91 tisagenlecleucel, 12 AUTO1, 10 AUTO1/22) achieved CR with onset of BCA and were
92 evaluable up for longer term outcomes. Of these, 32 patients (21.2%) (27 tisagenlecleucel,
93 3 AUTO1 and 2 AUTO1/22) developed early LBCA with no evidence of detectable disease.
94 In 11/32 (34.3%) allogeneic SCT was undertaken, 8 (25%) were started on maintenance
95 therapy, 6 (18.7%) had no further therapy, 4 (12.5%) received a second tisagenlecleucel
96 infusion, 2 (6.2%) had other treatment, 1 (3%) missing data. Out of the 6 patients that
97 received no immediate treatment for early LBCA, 5 had a frank relapse: 2 are alive in CR, 2
98 died of disease, 1 died after achieving another remission (Supplementary table).

99 For the purposes of this report, the study population comprised the 11 patients who received
100 SCT and the 8 patients who received maintenance. These included 17 receiving
101 tisagenlecleucel and 2 receiving experimental CAR T-cell products (1 AUTO1, 1 AUTO1/22).
102 Reasons for being treated with maintenance rather than SCT were: prior total body
103 irradiation (TBI)-based SCT (3 patients), absence of well-matched SCT donor (2), patient co-

104 morbidities (2) and family preference (1). The baseline characteristics of patients in each
105 treatment cohort were well-matched (Table 1). All patients achieved CR/CRi at day 30 post
106 CAR T and the median time to LBCA was similar in the 2 cohorts. Median follow-up time
107 from LBCA was 21.5 months (95%CI: 12.3,-) for the SCT and 23 months (95%CI: 14.7,-) for
108 the maintenance group. There was no significant difference between the survival of patients
109 receiving either SCT or maintenance: the 1-year OS was 80.8% (95%CI: 60-100) and 75%
110 (95%CI 50.3-100), respectively; 1-year EFS was 80.8% (95%CI 60-100) and 75% (95%CI
111 50.3-100), respectively ($p>0.05$) (Figure 1). In the transplant group, 2 patients relapsed with
112 CD19-negative disease one and 2 years post-SCT, both are alive receiving further
113 treatment. Two patients died of transplant-related mortality (TRM). Seven patients (63.6%)
114 remain alive in molecular remission without further treatment. In the maintenance group, 3/8
115 (37.5%) patients relapsed with CD19-positive disease at a median 76 days (95%CI: 60,-)
116 after LBCA: 1 patient was transplanted, had a further relapse and is currently alive with
117 disease; 2 patients died of disease; no patients died of treatment-related toxicity. Five
118 patients (62.5%) remain alive in molecular remission: 2 have completed 2 years of
119 maintenance and 3 remain on maintenance therapy. Of these patients, 4 had favourable
120 cytogenetics (1 ETV6-RUNX1 and 3 high hyperdiploid), 1 had KMT2A rearrangement and 1
121 had complex cytogenetics.

122 Early LBCA after tisagenlecleucel is associated with a high risk of relapse: cumulative
123 incidence of CD19+ relapse approached 65% at 2 years in a French cohort,⁷ while the 2-
124 year EFS was 15% for patients with LBCA <6 months in a recent study.⁵ Treatment
125 strategies for this group remain limited. Infusion of a further dose of the same CAR T-cell
126 product re-induced BCA in approximately half of patients without detectable disease, but the
127 3-year disease-free survival (DFS) was only 33%.¹⁵ Most patients have been treated with
128 SCT in this context. A study from the National Institute of Health reported a 5-year EFS of
129 61.9% in 21 patients who received consolidative SCT after non-persisting anti-CD19-CD28z
130 CAR T-cells.⁹ However, whether SCT confers a definitive survival advantage in this setting is
131 as yet unclear. A Seattle group reported that SCT led to improved DFS among 23 patients
132 with short persistence of experimental anti-CD19 41BBz CAR T; however, this did not
133 translate into a better OS and the benefit of consolidative SCT was only seen in patients
134 without a prior history of SCT.¹³

135 In a single-centre setting, we noted good outcomes from maintenance therapy in preliminary
136 patients with a contra-indication to SCT.¹⁴ As a UK pediatric ALL CAR T consortium, we
137 therefore collected data on patients with early LBCA to compare the impact of maintenance
138 versus SCT. Our analysis shows that maintenance was a safe alternative for these patients.
139 Despite a slightly higher number of relapses in the maintenance group, there were no TRM

140 events in this group, compared to 2 TRM deaths following SCT. As a result, both groups had
141 similar OS and EFS. This highlights the toxicity of SCT in heavily pre-treated patients.

142 Maintenance was well-tolerated, low-cost, easy to deliver, with a good quality of life reported
143 informally by patients and their families. Due to the small size of the cohort, it was not
144 possible to identify predictive factors for patients with a good outcome from maintenance,
145 e.g. characteristics suggesting particularly chemosensitive disease, however 4 out of 6
146 patients had good risk cytogenetics at diagnosis.

147 Our preliminary study is limited by the retrospective design and, despite being population-
148 based, by the small cohort size; moreover, we recognise that longer term follow-up will be
149 needed to capture late relapses seen in some genetic subtypes e.g. ETV6-RUNX1 and high
150 hyperdiploid ALL.

151 Our data show that maintenance chemotherapy could have a potential benefit in patients
152 with LBCA who cannot proceed to SCT or second CAR T infusion, either because of
153 contraindications, or because of limited resources. A prospective clinical trial comparing
154 SCT versus maintenance is needed to clearly define outcomes of these therapies in this
155 setting.

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157 **Authorship**

158 M.G., M. O-E, P.A. and S.G designed the research study and wrote the manuscript, M.G and
159 M. O-E. collected and analyzed the data; all authors looked after patients, provided essential
160 data, reviewed and approved the final manuscript.

161

162 **Disclosure of interests**

163 **P.J.A.:** *UCL Business:* Patents & Royalties; *Autolus:* Patents & Royalties, Research
164 Funding; *Beam therapeutics:* Consultancy

165 **S.G.:** *Novartis:* Honoraria, Speakers fees; *UCLB:* Patents & Royalties.

166 All other authors report no conflict of interests.

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168 **Data availability statement**

169 The datasets are available from the corresponding author on reasonable request:
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244 **Table I**

245 **Characteristic of patients, disease and treatment.**

246 Abbreviations:

247 n number, IQR interquartile range, pts patients, CAR chimeric antigen receptor, WCC white cell count, NCI
248 national cancer institute, CNS central nervous system, EM extra-medullary, SCT stem cell transplantation, MRD
249 minimal residual disease, LBCA loss of B-cell aplasia, TBI total body irradiation, MUD matched unrelated donor,
250 MMUD mismatched unrelated donor, UCB umbilical cord blood, MSD matched sibling donor, Haplo
251 haploidentical, VCR vincristine, Dexa dexamethasone

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Variable	n=19 WHOLE TREATED COHORT		n=11 ALLO-SCT		n=8 MAINTENANCE		P
	n	%	n	%	n	%	
	or median	or IQR	or median	or IQR	or median	or IQR	
Male	6	31.60%	3	27.30%	3	37.5%	1
Age at CAR T-cell infusion	7.9 years	6.1-12.6	7.9	6.3-12.8	8.75	4.9-12.2	0.8043
<u>Characteristics of initial diagnosis</u>							
Age 0-1 years (n of pts)	3	15.80%	1	9.10%	2	25%	0.5459
Median WCC	10	9.4-99.8	10	9.9-44	40	8.5-128.5	0.8729
NCI risk							
High	7	36.80%	2	27.30%	4	50%	0.37
Standard	9	47.40%	7	54.50%	3	37.50%	
Not known	3	15.80%	2	18.20%	1	12.50%	
Cytogenetic risk							
Good risk	9	47.40%	5	45.50%	4	50%	
ETV6-RUNX1	3	15.80%	2	18.20%	1	12.50%	
High hyperdiploid	6	31.60%	3	27.30%	3	37.50%	
Intermediate risk	1	5.30%	1	9.10%	0		
IKZF1 deletion	1	5.30%	1	9.10%	0		
High risk	5	26.30%	3	27.30%	2	25%	
KMT2Ar	3	15.80%	1	9.10%	2	25%	1
BCR-ABL	1	5.30%	1	9.10%	0		
t(17;19)/ TCF3-HLF	1	5.30%	1	9.10%	0		
Uninformative cytogenetics*	4	21.10%	2	18.20%	2	25%	
Unknown	1	5.30%	1	9.10%	0		
Other	3	15.80%	1	9.10%	2	25%	
<u>Characteristics of relapsed/refractory disease</u>							
Indication for CAR T							
Primary refractory	1	5.30%	1	9.10%	0		1
After relapse	18	94.70%	10	90.90%	8	100%	
Refractory status							
At any time point	11	57.90%	7	63.60%	4	50%	0.6577
N° of relapses	1	1.0-2.0	1	1.0-1.5	1.5	1.0-2.0	0.2754
CNS and extramedullary disease							
CNS at any point	11	57.90%	5	45.50%	6	75%	0.3521
EM non-CNS relapses (both isolated and combined)	2	10.50%	1	9.10%	1	12.50%	1

N° of previous therapy lines (excluding SCT)	2	2.0-2.0	2	2.0-2.0	2	2.0-2.2	0.7737
Prior SCT	5	26.30%	2	18.20%	3	37.50%	0.6027
Blinatumomab exposure	4	21.10%	1	9.10%	3	37.50%	0.2621
Inotuzumab exposure	1	5.30%	0		1	12.50%	0.4211
<u>CAR T -cell therapy</u>							
Status pre-lymphodepletion							
High disease burden (>=5%)	3	15.80%	2	18.20%	1	12.50%	1
Low disease burden (<5%)	11	57.90%	6	54.60%	5	62.50%	
Undetectable by MRD	5	26.30%	3	27.30%	2	25%	
CAR T-cell product							
Tisagenlecleucel	17	89.50%	10	90.90%	7	87.50%	1
Experimental	2	10.50%	1	9.10%	1	12.50%	
Median CAR T-cell dose/kg (n= 17)	2.7E+06/kg	1.8-3.7	3.4E+06/kg	2.5-4.3	2.4E+06/kg	1.5-2.8	0.2069
Time to LBCA							
median	2.66 months	2.3-3.6	2.66	2.07,-	2.64	2.3,-	0.9
0-3 months	13	68.4%	7	63.60%	6	75%	1
3-6 months	6	31.60%	4	34.4%	2	25%	
<u>Post CAR T-cell treatment</u>							
Median time from LBCA to SCT	2.4 months	1.7 – 4.5	1				
SCT conditioning							
TBI-based			8	72.7%			
Non TBI			3	27.30%			
SCT Donor							
MUD			7	72.70%			
MMUD (UCB)			1	9.00%			
MSD			2	18.20%			
Haplo			1	9.00%			
Maintenance with VCR/Dexa pulses							
Yes					4	50%	
No					4	50%	

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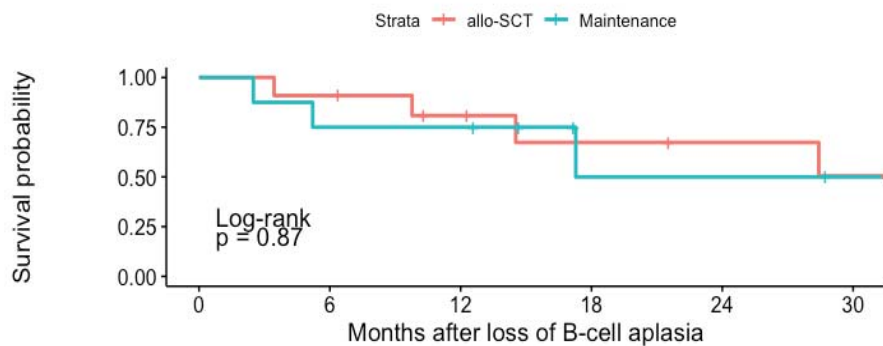
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258 Figure 1: Event-free survival (A) and overall survival (B) for patients treated with allogeneic
259 stem cell transplantation (red line) or maintenance (green line) after early loss of B-cell
260 aplasia post CAR T-cell treatment.

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A)



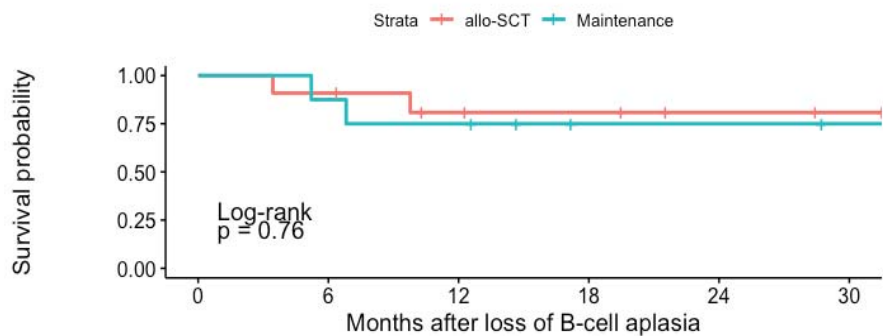
Number at risk

allo-SCT	11	10	7	5	4	3
Maintenance	8	6	6	2	2	1

Cumulative number of events

allo-SCT	0	1	2	3	3	4
Maintenance	0	2	2	3	3	3

B)



Number at risk

allo-SCT	11	10	7	6	4	3
Maintenance	8	7	6	3	3	2

Cumulative number of events

allo-SCT	0	1	2	2	2	2
Maintenance	0	1	2	2	2	2