



Using the Colloidal Method to Prepare Au Catalysts for the Alkylation of Aniline by Benzyl Alcohol

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Abstract: Using the colloidal method, attempts were made to deposit Au NP's on 7 different materials supports (TiO₂, α and γ -Al₂O₃, HFeO₂, CeO₂, C and SiO₂). Deposition of between 0.8–1 wt% of Au NP's can be generally achieved, apart from SiO₂ (no deposition) and α -alumina (0.3 wt%). The resultant sizes of the Au NP's were dependent on the nature as well as the surface area of the support. The catalytic activity and selectivity of the supported Au catalysts were then compared in the alkylation of aniline by benzyl alcohol. Correlations were made between the nature of the support, the Au NP size and H-binding energy. A minimum H-binding energy of 1100 μ V K⁻¹ was found to be necessary for high selectivity for the secondary amine. Comparisons of the TEM images of the pre- and post-reaction catalysts also revealed the extent of Au NP agglomeration under the reaction conditions.

Keywords: Au-supported catalyst; structure-activity-relationship; H-borrowing catalysis; alkylation of amine

1. Introduction

Secondary amines are an industrially important feedstock used in the synthesis of fine chemicals, surfactant, dyes, agrochemicals, functionalized materials, and biologically active compounds. Secondary amines are mostly derived from primary amines; either by the reaction with a reactive alkyl halide, or reductive amination with a carbonyl compound (Scheme 1, routes 1 and 2, respectively). These reactions utilize stoichiometric amounts of toxic alkyl halides or hazardous hydridic reductants, which also generate stoichiometric amounts of by-products that require complex workup procedures for product purification.

$$R^{1}NH_{2} + R^{2} O \xleftarrow{[2]}{H} R^{1} N R^{2} \xrightarrow{[1]}{H} R^{1}NH_{2} + R^{2}CH_{2}X$$

$$\downarrow [3]$$

$$R^{1}NH_{2} + R^{2}OH$$

Scheme 1. Common (retro)synthetic routes for transforming primary amines to secondary amines.

In comparison, catalytic alkylation of amines using a primary alcohol (Scheme 1, route 3), also known as 'H-auto transfer' or 'hydrogen-borrowing' reactions, is considered to be a 'greener' method for the synthesis of secondary amines. This methodology does



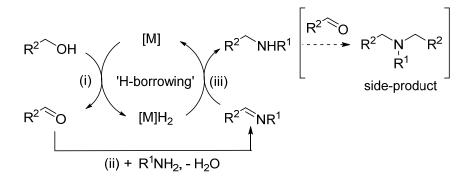
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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). not require extraneous reagents, and only water is produced as the by-product; resulting in a more sustainable and scalable process [1,2]. The reaction broadly follows the following elementary steps (Scheme 2): (i) dehydrogenation/oxidation of alcohol to the corresponding aldehyde or ketone, (ii) condensation of carbonyl group with amine to form an imine intermediate, which is (iii) reduced by the metal-hydride formed in step (i) to the amine product.



Scheme 2. Alkylation of amine by an alcohol by the H-borrowing mechanism.

To date, a plethora of homogeneous and heterogenous metal catalysts had been reported for the H-auto transfer reaction, and the subject has been covered by a number of comprehensive reviews [3–5]. Often, the imine, $R^2CH=NR^1$ and the tertiary amine $(R^2CH_2)_2NR^1$ were observed as side products, necessitating the addition of a base to suppress these impurities. However, the inclusion of a base in such reactions can be problematic, as it is known that these type of reactions can, indeed, proceed under basic conditions without metal catalysts [6,7].

In earlier work, we demonstrated that the reaction can be performed with very high selectivity, in the absence of additives or base, by using a commercially available Au/TiO_2 catalyst (AUROliteTM) in a packed bed reactor [8]. Subsequently, we initiated a study to examine how metal support interactions (MSI) may affect the catalyst performance in these reactions. At a very basic level, MSI reduce the mobility of metal nanoparticles on the catalyst support and their tendency to agglomerate, enhancing catalytic activity and stability by the maintenance of particle sizes during catalytic turnover [9]. However, MSI may also be attributed to the presence of special sites at the perimeter of metal particles, where chemical and electronic properties of both the metal and support atoms can influence the adsorbed species, thus bestowing added catalytic activity [10]. In an earlier study by Ishida et al. [11]. Au catalysts supported on 9 metal oxides were prepared by different methods (milling, co-precipitation and DP), and utilized in the reaction of aniline with benzyl alcohol. It was found that while basic and neutral supports promote catalytic activity (measured by alcohol conversion), the selectivity to secondary amine is attributed to the adsorption of aniline by hydrogen bonding with Lewis acidic sites on the surface of the metal oxides. However, the study did not include any analysis of of Au nanoparticles (size and distribution), which can result from the different methods of operation.

In this work, we aim to prepare a series of Au NP's supported on different supports using the colloidal method, in an attempt to study how thenature of the support may influence the size of the Au nanoparticles and their catalytic activity in H-auto transfer reactions.

2. Results and Discussion

2.1. Catalyst Preparation

The sol immobilization method (colloidal synthesis) was chosen for the preparation of the Au catalysts. The procedure deposits pre-formed Au NP's onto a solid support. As the metal nanoparticles are formed independently of the support, it can offer more consistent results in terms of control of particle sizes [12]. The colloidal method was deployed successfully by Hutchings and co-workers to produce 1 wt% Au/TiO₂ catalysts using

polyvinyl alcohol (PVA) as a stabilizer [13]. In this procedure, the resultant catalyst particles were heated in water at 90 °C for 2 h to remove the water-soluble stabilizer (Figure 1). As the catalyst was not exposed to very high temperatures, the size of the Au nanoparticles can be preserved (2.9 nm). However, calcination of the catalyst at temperatures \geq 300° can also lead to significant sintering of the metallic NP's.

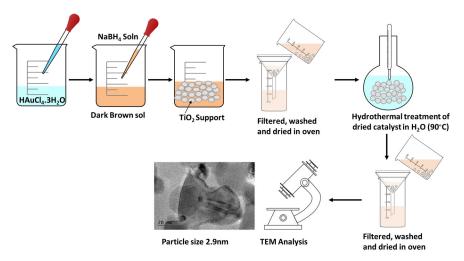


Figure 1. Colloidal synthesis and hydrothermal treatment of Au/TiO₂.

In the present work, we deployed the colloidal method to deposit Au NP's onto seven different supports: titania (TiO₂, P25), carbon (C), ferric oxyhydroxide (HFeO₂), ceria (CeO₂), γ -alumina (γ -Al₂O₃) and silica (SiO₂). Following the treatment with water, the 'as-prepared' catalysts were air-dried in an oven at 100 °C for 24 h. The samples were subjected to analyses by ICP-OES (wt% Au), TEM (average particle size and distribution, Figure 2—vide infra) and BET (surface area, Appendix A). To assess the removal of PVA stabilizer, the amount of residual C on the catalysts were also quantified by combustion analysis. The results are summarised in Table 1.

Table 1. Physical properties of Au catalysts ('as-prepare)	ed').
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Entry	Catalyst	Au Loading ^[a] /wt%	Av. Part. Size ^[b] /nm	Surface Area ^[c] /m ² g ⁻¹	Carbon/wt%
1	Au/TiO ₂	0.9	2.9	55 (±0.20)	<0.1
2	$Au/g-Al_2O_3$	0.6	2.6	141 (±0.20)	< 0.1
3	$Au/a-Al_2O_3$	0.3	6.5	13 (±0.01)	< 0.1
4	Au/C	0.9	3.4	689 (±7.00)	n.d.
5	Au/HFeO ₂	1.0	3.7	$16(\pm 0.03)$	0.4
6	Au/CeO_2	0.8	n.d. ^[d]	242 (±1.25)	0.5
7	Au/SiO_2	0.0	-	-	n.d.

^[a] Determined by ICP-OES. ^[b] Determined by TEM (average of 200 particles), particle distribution graphs are provided in Figure 2. ^[c] Determined by BET. ^[d] Not determined due to poor contrast.

With the exception of SiO₂ (entry 7) deposition of AuNP was achieved with varying degrees of success on the supports. The method successfully reproduced Au/TiO₂ with very similar properties as that reported before, with close to 1 wt% loading of Au and average particle sizes of 2.9 nm (entry 1) with a narrow distribution (Figure 2). The method also provided an even distribution of very small Au NP's on γ -Al₂O₃ (2.6 nm), with a lower 0.6 wt% catalyst loading (entry 2). In contrast, the deposition of Au NP's on α -Al₂O₃ was poor, with very low loading (0.3 wt%) and very broad distribution of large particle sizes (entry 3 and Figure 2). We attribute this to the small surface area afforded by this material, with limited availability of sites for the pre-formed Au NP's to adhere to. The supported Au NP's on the surface are also likely to be in close proximity, which can agglomerate, even under mild thermal treatment conditions, to form large NP's with very wide size

Before After No. of Au particles 00 40 07 07 08 $Au/\gamma - Al_2O_3$ °20 Au particle size (nm) Au particle size (nm) **0. of Au particles** 0. **1 0. of Au particles** 0. 05 Au/TiO₂ °2 10 No. Au particle size (nm) Au particle size (nm) of Au particles of Au particles Au/HFeO2 No. No. 1 2 4 5 6 7 8 9 1011 12 13 14 15 16 17 18 Au narticle size (nm) Au particle size (nm) articles ⁰⁷ no No. of Au particles 00 40 07 20 Au/C ofAu °¹⁰ 6 7 8 9 10 11 12 13 14 15 16 17 18 1 2 Au particle size (nm) Au particle size (nm) • of Au particles • 01 Au particles • 02 Au particles • 05 Au particles No. of Au particles No. of Au particles No. 01 Au particles No. 01 Au particles No. 02 Au particles No. 02 Au particles No. 03 Au particles No. 04 Au particles No. 05 Au Au/a-Al₂O₃ ° 10 Late 9 11 13 15 17 19 21 23 25 27 29 31 11 13 15 17 19 21 23 25 27 29 31 Au particle size (nm) particle size (nm)

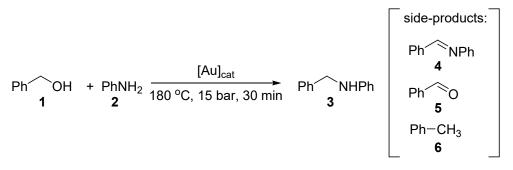
distributions (Figure 2, *vide infra*). The removal of PVA stabilizer from these three catalysts using the hydrothermal treatment was found to be successful, with <0.1 wt% of carbon remaining.

Figure 2. Particle distribution graphs of Au/support before and after catalysis.

The surface of active carbon comprises of polycyclic aromatic groups to which metallic nanoparticles can anchor. However, it was known that the preparation of Au/C by deposition-precipitation methods can be difficult, due to the hydrophobic nature of carbon and low density of surface OH groups [9]. Using the colloidal method, 0.9 wt% Au loading can be achieved (entry 5). The average particle size (3.4 nm) of the Au NP's is slightly bigger than that deposited on TiO₂ and γ -Al₂O₃, but the particle size distribution is reasonably narrow (Figure 2). This shows that fairly good size control can be achieved by the method onto relatively unfunctionalized supports, as long as there is a large surface area for the NP to deposit onto. In comparison, the availability of surface hydroxyl groups in HFeO₂ allowed the target 1 wt% of Au to be achieved (entry 5). Although the average particle size of 3.7 nm was obtained, a wide particle size distribution between 2–10 nm was found (Figure 2), suggesting substantial agglomeration has occurred during the drying process, likely to be also due to the poor distribution of NP on the small surface area. Last but not least, preparation of Au/CeO₂ using the colloidal method was previously described to produce Au NP's of around 3 nm [14]. In this work, we were able to obtain a 0.8 wt% of Au on CeO₂, but we were not able to establish the particle sizes due to poor contrast between Au and the dense support on the TEM grid. For the two catalysts supported on HFeO₂ and CeO₂, 0.4 and 0.5 wt% of residual carbon can be found, signifying that the hot water treatment was not effective for the removal of PVA from these catalysts. Subsequently, these catalysts were calcinated at 200 °C under 5% H₂-N₂ to remove the residual stabilizer. The other Au catalyst supported on a reducible metal oxide—Au/TiO₂—was also subjected to the same thermal treatment to provide a comparison.

2.2. Catalyst Activity

The catalytic activities of the Au catalysts for the H-auto transfer reactions were subsequently assessed. Using benzyl alcohol (1) and aniline (2) as model substrates, the evaluation was conducted in parallel under the same conditions (Scheme 3). Apart from the expected product 3, the reaction mixture may also contain reaction intermediates imine 4, and benzaldehyde 5. Competitive formation of toluene (6) as a side-product is also possible, but this can escape detection as it is often employed as a reaction solvent; in this case, the quantification of 6 can be achieved by using 2-methyl-2-butanol as a solvent.



Scheme 3. The reaction of benzyl alcohol with aniline under catalytic conditions.

The catalytic results are presented in Table 2. Turnover frequencies (TOF) were used to compare the catalyst activities to account for the different amount of Au deposited on each support. The performance of Au/TiO₂ prepared by the colloidal method mirrors earlier results obtained with commercially available Au/TiO₂ [8], with very good selectivity for the expected product **3** (entry 1). Au/ γ -Al₂O₃ also performed very well, with very similar outcomes (entry 2). In contrast, the corresponding catalyst supported on the α -allotrope was practically inactive (entry 3), which is perhaps unsurprising, given the much larger particle sizes.

On the other hand, while Au supported on activated carbon and HFeO₂ both afforded similar average NP's of 3.4 and 3.7 nm (Table 1, entries 4 and 5), the former is inactive, compared to the moderate turnover obtained with Au/HFeO₂; even in the presence of residual PVA (Table 2, entries 4 and 5). It is also interesting to see that the formation of the imine intermediate 4 was observed as the major product for the catalyst supported on activate carbon compared to the other metal oxides, which also suggests that the availability of surface hydroxyl groups are important for the H-transfer necessary to convert 4 to 3. To test this further, H₂ temperature-programmed desorption (H-TPD) studies were performed with the different Au catalysts. By multiplying the peak integration value from H-TPD with the desorption temperature, the approximate binding energies can be calculated by multiplying the peak integration values from H-TPD analysis by the peak desorption temperatures. The values were subsequently plotted against the observed amine selectivity (Table 3 and Figure 3). Broadly speaking, there appears to be a direct correlation between the catalyst's ability to bind to H₂, and their catalytic activity; in the increasing order: Au/C, Au/ α -Al₂O₃ (TOF <15 h⁻¹) < Au/HFeO₂, Au/CeO₂ (ca. 90 h⁻¹) < Au/TiO₂, Au/ γ -Al₂O₃

(TOF between 170–180 h⁻¹). This result reveals that a binding energy above 10 mV K⁻¹ is required to maintain a high selectivity (>95%) for the secondary amine **3**.

Table 2. Catalytic performance of Au catalysts in the alkyla	tion of aniline by benzyl alcohol.
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Entry	Catalyst	Thermal Treatment	%Conversion ¹	TOF $^2/h^{-1}$	Selectivity 3:4:5:6 (%)
1	0.9% Au/TiO ₂	'as-prepared'	80	172	96:3:0:1
2	$0.6\% \operatorname{Au}/\gamma - \operatorname{Al}_2O_3$	'as-prepared'	84	181	95:4:1:1
3	$0.3\% \operatorname{Au}/\alpha - \operatorname{Al}_2O_3$	'as-prepared'	6	13	24:0:1:1 ⁴
4	0.9% Au/C	'as-prepared'	1	2	8:64:1:1 4
5	1% Au/HFeO ₂	'as-prepared'	41 ³	88	79:16:2:3
6	$0.8\% \text{ Au/CeO}_2$	'as-prepared'	42 ³	90	96:2:2:0
7	$0.9\% \text{ Au/TiO}_2$	5% H ₂ -N ₂ , 200 °C	66	142	95:3:0:1
8	$1\% \text{ Au/HFeO}_2$	5% H ₂ -N ₂ , 200 °C	54	116	81:12:0:6
9	0.8% Au/CeO ₂	5% H ₂ -N ₂ , 200 °C	28	60	82:13:1:4

¹ Determined by GC using 4-tert-butylphenol as an external standard, based on benzyl alcohol. Results are an average of 2 runs (error \pm 3%). ² TOF (h⁻¹) (moles of benzyl alcohol converted/moles of Au). ³ Residual organic material remaining on material (see Table 1). ⁴ Poor mass balance (of products) observed due to the low conversion.

Table 3. Comparison of binding energy versus selectivity for amine for the Au supported catalysts.

Catalyst	Binding Energy $^{[a]}$ ($\mu V~K^{-1}$)	Selectivity of Amine/%	
Au/C (COL)	0	8	
Au/α - Al_2O_3 (COL)	928	74	
$Au/HFeO_2$ (COL)	4108	79	
Au/CeO ₂ (COL)	11,231	96	
Au/γ - Al_2O_3 (COL)	29,610	95	
Au/TiO ₂ (COL)	36,136	96	

^[a] Binding energy = μ mol H₂ per gram cat \times peak integration.

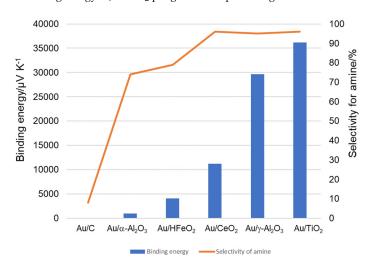


Figure 3. Binding energy versus selectivity for amines for the 'as prepared' Au supported catalysts.

Thermal treatment of Au catalysts supported on reducible metal oxides (including TiO_2 , HFeO₂ and CeO₂) a reducing atmosphere is known to induce strong-metal-support interactions which can affect the catalyst activity, either in a positive or negative way [10]. Conversely, thermal treatment of Au catalysts prepared by the colloidal method is also found to be very susceptible to agglomeration under thermal conditions, leading to reduced catalyst activity in CO oxidation reactions [13]. In the present study, calcination resulted in three different observations: The calcination of Au/TiO₂ led to a decrease in catalyst activity, but did not affect the selectivity for amine **3** (Table 2, entries 1 vs. 7), while the calcination of Au/FeO₂ increased the catalytic activity but did not affect the selectivity

(Table 2, entry 5 vs. 8). Last but not least, the calcination of CeO_2 led to deterioration of both the reactivity and selectivity (entries 6 and 9). These results show that calcinating these catalysts (with corresponding changes in particle size) does not lead to any improvements in the selectivity of the process.

Indeed, agglomeration of the Au NP's may also occur during the catalytic turnover conditions. Following reactions at 180 °C to 30 min, the catalysts were recovered and subject to TEM analyses (Table 4 and Figure 2). In all cases, Au particle sizes increased by between 16–29% for the metal oxide supports (Entries 1, 2, 3 and 5). In contrast, recovered Au/C catalyst was found to be 1.5 times bigger than the 'as-prepared' catalyst (entry 4), with a very dramatic changes in particle distribution (Figure 2). From this, we can surmise that one of the main roles of the (metal oxide) support is to maintain the particle size of the Au NP during the catalytic reaction.

Table 4. Average particle sizes before and after the reaction.

Entry	Catalyst	'as-prepared'/nm	Recovered/nm	Change/%
1	0.9% Au/TiO ₂	2.9	3.4	+17
2	$0.6\% \operatorname{Au}/\gamma - \operatorname{Al}_2O_3$	2.6	3.2	+23
3	$0.3\% \operatorname{Au}/\alpha - \operatorname{Al}_2O_3$	6.5	8.4	+29
4	0.9% Au/C	3.4	8.7	+155
5	1% Au/HFeO ₂	3.7	4.3	+16

3. Materials and Methods

3.1. General

Unless otherwise stated, all chemical precursors, solvents and standards employed in this work were procured commercially and used without further purification. TiO₂ support (P25-Degussa) was employed in this work, containing 25% anatase and 75% rutile phases, and all other catalyst supports were provided by Johnson Matthey plc. The conversion of substrates to products was monitored using a HP6890 Gas Chromatograph, equipped with a H₂ flame ionisation detector and an HP5 Agilent column ($30 \text{ m} \times 320 \text{ µm} \times 0.25 \text{ µm}$). The percentage of conversion and selectivity was determined by comparison with known standards, using calibration plots and 4-tert-butylphenol (50 mM in methanol) as an external standard. TEM images were captured at the Harvey Flowers Electron Microscopy Suite at Imperial College London, using a JEOL 2010 TEM instrument operated at 200 kV, with a probe current of 108 µA, and a Gatan Orios camera. XRD analysis was conducted at Johnson Matthey plc using a Perkin Elmer Optima instrument. Chemisorption studies (H-TPD) were performed at Johnson Matthey plc using Altamira AMI-200 apparatus.

3.2. Preparation of Catalysts

Preparation of Au/support [13]: A colloidal solution of Au was prepared by addition of poly(vinyl)alcohol (PVA, Mw 9000–10,000, 80% hydrolysed, 0.1 wt%) to HAuCl₄.3H₂O (0.50 mmol of Au) solution in 500 mL of H₂O. A freshly prepared solution of aq. NaBH₄ (13 mM) was then added to the mixture to form a dark brown sol. After 30 min, the colloidal solution was added to the requisite support (e.g., TiO₂) with vigorous stirring (500 rpm). The resulting material was collected by filtration and exhaustively washed with deionised H₂O. The catalyst was dried in an oven at 100 °C for 24 h, before it was transferred into a round bottom flask, and heated in deionised H₂O at 90 °C with vigorous stirring (500 rpm) for 2 h to remove the PVA [13]. The resulting solid was collected, washed thoroughly with deionised H₂O and dried in an oven at 100 °C for 24 h.

Thermal treatments (calcination): The 'as-prepared' catalysts (above) were reduced under a flow of N_2/H_2 (200 mL/min), using a Carbolite STF tube furnace, respectively. A temperature ramp of 10 °C/min⁻¹ up to 200 °C was applied, held for 2 h, before cooling to room temperature.

3.3. Catalyst Screening

An Endeavor® catalyst screening system (Biotage) was employed in this part of the work. The reactor consists of 8 parallel reaction vessels with glass inserts (working volume 5 mL). Each reaction vessel was charged with a catalyst (0.9 mol% Au, average particle size 190 μ m), and 2 mL stock solutions of aniline (0.5 M) and benzyl alcohol (0.5 M) in 2-methyl-2-butanol. The reaction vessels were sealed and purged with 3 cycles of N₂, before pressurised to 15 bar. The biphasic mixtures were stirred using paddles (250 rpm) and heated to 180 °C. After 30 min, the reaction mixtures were cooled to room temperature. The reaction aliquots were extracted, diluted and analysed using a HP 6890 Gas Chromatograph equipped with an FID detector and an Agilent HP5 column (30 m \times 320 μ m \times 0.25 μ m). 1 μ L of analyte solution was injected into the inlet, which was heated to 250 °C with a split ratio of 5:1. The system was operated under a constant pressure of 20 psi with an initial column temperature of 50 °C, held for 0.5 min, heated to 65 °C @2.5 °C/min, and finally to 200 °C @25 °C/min. The conversion of benzyl alcohol and selectivity of imines, amines and other intermediates were calculated using known standards and 4-tert-butylphenol (50 mM in methanol) as an external standard. The benzyl alcohol conversion and product selectivity were calculated as follows:

% conversion of benzyl alcohol

$$= \frac{Initial \ moles \ of \ BA - moles \ of \ BA \ in \ reaction \ mixture}{Initial \ moles \ of \ BA} * 100$$
% Selectivity = $\frac{moles \ of \ products \ in \ reaction \ mixture}{moles \ of \ benzyl \ alcohol \ converted} * 100$

4. Conclusions

In this work, attempts were made to deposit pre-formed Au NP's onto six different supports using the colloidal method, followed by a mild hydrothermal treatment to remove the PVA stabilizer and drying (temperatures of <100 °C). The resultant material was found to contain varying amounts of Au (from 0–1 wt%) and the size and distribution of Au NP's are largely dependent on the available surface area, which has a pronounced effect on the agglomeration of deposited NP's, even under the mild conditions. In this regard, γ -Al₂O₃ and TiO₂ offered the smallest average particle sizes (2.6 and 2.9 nm) with a narrow distribution. Subsequently, the catalytic activity was found to be predominantly dominated by particle size—calcination of the reducible metal oxides did not lead to any significant enhancement in catalytic turnover. Agglomeration of Au during the catalytic turnover is highly dependent on the nature of the support, and this will also account for the different catalyst activity. Last but not least, a direct correlation between the H₂ binding efficiency and catalyst activity and selectivity can be observed. Overall, this preliminary study has help us to identify some important selection criterion for future catalyst design and development. These include a better method of catalyst preparation that can improve the thermal stability of the supported nanoparticles, to minimize agglomeration under reaction conditions, and the selection of material with strong H-absorptivity, as established by H-TPD studies, to improve the catalyst activity and selectivity.

Author Contributions: The research work was performed by L.V.H. (née Tallon) in partial fulfilment of her Ph.D. degree. K.H., J.C., P.R.E. and K.K.H. participated in the design of experiments, provision of lab space, resources and interpretation of results. F.P. produced the first draft and produced additional figures for the paper, which was subsequently refined by K.H., J.C., P.R.E. and K.K.H. All authors have read and agreed to the published version of the manuscript.

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Data Availability Statement: All the research data generated from this study are contained within the manuscript.

Conflicts of Interest: The authors declare no conflict of interest.

Appendix A

The surface area of Au/TiO₂ was in good agreement with the reported value of 47 m² g⁻¹ of commercial Au/TiO₂ (Strem Au AUROliteTM). The values for Au/Al₂O₃ (γ and α), Au/CeO₂ and Au/HFeO₂ were in agreement with the values reported in the literature [15–18]. The surface area for Au/C is lower than expected for activated charcoal, which may be due to the residual PVA on the surface.

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