

Title: Undrained cyclic loading behavior of stiff Eocene-to-Jurassic plastic, high OCR, clays

K. Pan, G. Y. Zhou, Z. X. Yang, and R. J. Jardine

Author 1: K. Pan, PhD

Associate Professor, College of Civil Engineering, Zhejiang University of Technology, Zhejiang, P. R. China

Author 2: G. Y. Zhou

Formerly, Graduate student, Polytechnic Institute, Zhejiang University, Zhejiang, P. R. China

Author 3: Z. X. Yang, PhD

Professor, Department of Civil Engineering, Zhejiang University, Zhejiang, P. R. China

Author 4: R. J. Jardine, PhD

Professor, Department of Civil and Environmental Engineering, Imperial College London, London, UK

Correspondence can be addressed to:

Z.X. Yang, PhD, Professor

Department of Civil Engineering,

Zhejiang University,

B712 Anzhong Building, Zijingang campus,

Hangzhou 310058, China

Email: zxyang@zju.edu.cn

Tel: +86 571 88208476

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Abstract

Assessing foundation response to cyclic loading is vital when designing transport infrastructure, such as road pavements and rail tracks, as well as offshore, port, and tall tower structures. While detailed guidance is available on characterizing many soil types' cyclic behavior, relatively few studies have been reported on stiff, geologically aged, plastic clays. This paper addresses this gap in knowledge by reporting cyclic loading experiments on three natural stiff UK clays that were deposited and buried between the Jurassic Age and Eocene Epoch before geological unloading to their currently heavily over-consolidated states. High-quality samples taken at relatively shallow depths were reconsolidated to nominally in-situ K_0 stresses in triaxial and hollow cylinder apparatus before imposing cyclic loading. The completely stable, metastable, or unstable outcomes invoked by different levels of undrained cyclic loading are interpreted within a kinematic yielding framework that is compatible with monotonic control experiments' outcomes. The cyclic limits marking the onset of significant changes in permanent strain accumulation, pore pressure development, and stress-strain hysteresis demonstrate that the weathered Gault clay offers the lowest cyclic resistance. The experiments show that energy considerations provide a promising way of evaluating undrained pore pressure generation and stiffness degradation. They also provide a basis for developing cyclic constitutive models and analysis procedures for cyclic foundation design in stiff, high OCR, plastic clay strata.

Keywords: Stiff clay; cyclic response; yielding; K_0 consolidation; stiffness degradation

1 Introduction

2 Assessment of how subgrade soils respond to cyclic loading is vital to earthquake resistant
3 design, see Idriss et al (1978) or Ishihara (1993). It is also often important to foundation
4 analyses of transport infrastructure, such as railways, highways, and metro lines as well as
5 offshore, port and other structures; Brown (1996), Gräbe and Clayton (2009), Andersen
6 (2009), Jardine et al. (2012) or Wichtmann et al. (2013). Cyclic loading generally leads to the
7 accumulation of permanent strains and, if undrained, excess pore-water pressure generation
8 in soils which degrade shear strength and stiffness and may reduce foundation bearing
9 capacity. The effects of undrained cyclic shearing on sands (e.g., Georgiannou and
10 Konstadinou 2014; Pan et al. 2022) and low OCR clay sediments (e.g., Chu et al. 2002;
11 Andersen 2015) have been investigated extensively through stress-path laboratory
12 experiments. These studies, along with others, have identified how the cyclic responses of
13 soils are governed by their composition (grading characteristics, plasticity), micro-structures,
14 and state (void ratio, effective stress levels, over-consolidation ratio OCR) as well as the
15 loading paths, including degrees of Principal Stress axis Rotation (PSR). Various permanent
16 accumulation or degradation models have been developed considering the impacts of
17 varying cyclic stress/strain levels and continuing to large numbers of load cycles (Vucetic and
18 Dobry 1988; Matasovic and Vucetic 1995; Puppala et al. 2009; Tsai et al. 2014; Cai et al.
19 2018). For example, Cai et al. (2018) proposed a novel experimental approach to explore the
20 cyclic responses of intact soft clay to traffic loading over many repeated cycles and reported
21 high-quality data that could explain the observed settlement trends of ground.

22

23 The above listed experimental studies focused principally on soft postglacial, low to medium

24 OCR clays consolidated to $K_0 (= \sigma_h'/\sigma_z') \leq 1$, where σ_h' and σ_z' are the in-situ horizontal and
25 vertical effective stresses, respectively. While studies have been reported recently on the
26 cyclic behaviour of stiff low plasticity glacial tills (Ushev and Jardine 2022a, b), far less
27 attention has been given to how regular undrained cycling influences high OCR, stiff plastic,
28 geologically old clays, which are encountered worldwide. Examples include the Pleistocene
29 clays found in the Merkel valley of Northern Alberta (Nasmith 1964), the Palaeogene Femern
30 clays of Denmark and Germany (Heilmann-Clausen et al. 1984), the scaly clays of California,
31 Italy, Malaysia, and elsewhere (Vannucchi et al. 2003), the Beaumont clays of Texas (Focht
32 and Sullivan 1969), and the clay softrocks of Japan (Tatsuoka et al. 1997). Wilkinson (2011)
33 and Brosse (2012) noted that stiff to hard clays deposited from the Triassic to the Eocene
34 cover approximately 50% of the southern UK and outcrop under sections of the west-east
35 and north-south high-speed railway lines and major highways that radiate out of London.
36 These strata are also found at multiple UK and Belgian offshore windfarm sites and extend
37 onshore over much of Northern France and Belgium. These stiff plastic clay strata
38 experienced heavy prior loading due to their deposition and burial under potentially
39 hundreds of meters of sediment, followed by marked erosion-induced over-consolidation,
40 weathering in-situ and often more recent re-loading combined with vegetation. The layers
41 encountered at shallow depths are usually considered to have in-situ K_0 values exceeding
42 unity, i.e., $\sigma_h' > \sigma_z'$, rather than the $K_0 < 1$ conditions expected in low OCR strata.

43

44 It is well known that PSR can affect soil responses very significantly; see Hight et al. (1983); Li
45 and Selig (1996); Chai and Miura (2002); Nishimura et al. (2007); Xiao et al. (2014); Cai et al.
46 (2017) or Brosse et al (2017a, b). PSR is implicit in many types of infrastructure loading and is
47 particularly important when exploring the K_0 -consolidated stiff clays' responses to vehicle

48 traffic loading. Experimental options when undertaking cyclic wheel loading studies include
49 applying either (i) simplified triaxial normal stress waveforms or (ii) more complex cyclic
50 paths that match the recent work by Pan et al. (2021a) on the stiff Cretaceous (Gault) clay.
51 Considering both the $K_0 (> 1)$ effect and wheel loading stress path provides better insight
52 into the permanent cyclic strain development and resilient behavior expected with such soils.
53 However, a consistent and comprehensive experimental study of such clays' cyclic behavior
54 has yet to be presented.

55

56 This paper contributes investigations into the cyclic behaviors of the London and
57 Kimmeridge stiff, plastic, high-OCR clays, deposited in the Eocene Epoch (49–56 million years
58 ago) and the upper Jurassic Age (151–156 million years ago), respectively, and integrates
59 these with a related study of the similarly classified lower Cretaceous Gault clay (Pan et al.
60 2021a). These strata's geological and geotechnical characteristics were established by
61 Gasparre et al. (2007a), Wilkinson (2011), and Brosse (2012) through systematic laboratory
62 and field experiments run at Imperial College London. Oedometer and triaxial shear tests by
63 Hosseini Kamal (2012) showed these clays' broadly comparable compression and shear
64 behaviors appeared relatively insensitive to their ages or burial depths. Instead, Hollow
65 Cylinder Apparatus (HCA) and stress path triaxial tests showed how the natural clays'
66 directionally oriented meso-structures and fissure discontinuities can affect their peak
67 strength and post-peak brittleness profoundly (Nishimura et al. 2007; Gasparre et al. 2007b;
68 Hosseini Kamal et al. 2014; Brosse et al. 2017b). Their nominally plane HCA tests identified
69 how Lode's angle and anisotropy affect the clays' peak undrained shear strength S_u and
70 Mohr-Coulomb failure parameters, while large displacement experiments with ring-shear
71 apparatus confirmed the marked brittleness of all three strata. Gasparre et al. (2007a) and

72 Brosse et al. (2017a) also showed that the clays' stiffnesses are markedly anisotropic, as
73 illustrated by advanced small-strain triaxial monotonic and dynamic probing experiments
74 and also HCA tests that cover the full non-linear range from very small strains to failure.
75 Undrained horizontal loading leads to a far stiffer response than vertical loading, or
76 horizontal shear loading.

77

78 The three stiff clays' monotonic shear response, especially their stiffness characteristics,
79 have been interpreted within the kinematic yielding plasticity framework proposed by
80 Jardine (1992), who identified two kinematic surfaces (Y_1 and Y_2) within the classical main
81 yield surface (Y_3). The Y_1 surface marks the end of the linear-elastic region, within which the
82 soil strains are perfectly linear without any movements at the particle contacts.
83 High-resolution, locally instrumented, monotonic triaxial probing tests by Gasparre et al.
84 (2007a) and Hosseini Kamal (2012) showed that the Y_1 region has approximately elliptical
85 shape in p' - q stress space and extends from about 0.001% to 0.002% axial strain. The Y_2
86 surface encompasses an area within which the stress-strain curve is non-linear but complete
87 loading-unloading paths manifest both hysteresis and largely recoverable behavior. The
88 energy dissipated with such hysteresis loops is attributed to small scale inter-particle yielding.
89 Following research by Smith et al. (1992) on low OCR Bothkennar clay and Kuwano and
90 Jardine (2007) on sands, Gasparre et al. (2007a) interpreted Y_2 yielding as occurring when
91 strain increment directions changed during drained probing tests on London clay after
92 achieving $\approx 0.04\%$ axial strain when probing from K_0 conditions. The outer Y_3 surface
93 corresponds to the conventional large-scale soil mechanics yield locus, which is associated
94 with a significantly accelerated development of permanent strain, marked reduction in
95 stiffness and often a marked tendency to dilate or contract (Jardine 1992).

96

97 Load cycling that engages the Y_2 kinematic yield surface leads to opened-up hysteretic loops
98 and significant permanent straining. Pan et al. (2021a) correlated Y_2 yielding to the boundary
99 between the stable and metastable cyclic responses shown by Gault clay. Ushev and Jardine
100 (2022) reported similar outcomes from experiments on stiff low-plasticity glacial till and
101 concluded that cycling within the Y_2 surface could lead to stable outcomes with negligible
102 stiffness degradation and energy dissipation. This study considers the cyclic yielding
103 characteristics of the stiff plastic London and Kimmeridge stiff clays through undrained cyclic
104 triaxial (CT) and cyclic HCA (CHCA) tests reconsolidated to nominally in-situ stresses and
105 synthesises the outcomes with Gault clay experiments by Pan et al. (2021a) and earlier
106 studies of the clays' monotonic shearing behavior. The clays' cyclic permanent strain, pore
107 pressure, and hysteretic stress-strain responses are classified as being either fully stable,
108 metastable, or unstable, and cyclic Y_2 and Y_3 yielding are identified. The study provides a
109 basis for constitutive modelling and analysis of cyclic design problems involving geologically
110 aged, highly over-consolidated, stiff plastic clays.

111

112 **Sampling and soil properties**

113 The Heathrow Airport Terminal 5 (London clay), Willow Brook Farm (Kimmeridge clay), and
114 High Cross (Gault clay) sampling sites selected for this study cover strata deposited in
115 broadly similar marine environments. Wilkinson (2011) summarized the detailed geological
116 settings of the sampling locations. The samples were retrieved from blocks cut carefully in
117 excavations or continuous wireline (triple barrel) Geobore-S rotary boreholes at different
118 depths; Gasparre et al. (2007a) and Pan et al. (2021a) gave further details of the sampling
119 procedures. Hosseini Kamal et al. (2014) showed that the two sampling methods lead to

120 high-quality samples giving similar outcomes in parallel monotonic triaxial tests. The
121 examined block London samples were taken 1.2 m below a clay surface from which 6 m of
122 Quaternary gravel had been removed some decades earlier. The rotary core Kimmeridge
123 samples ranged in depth from 8.56 to 13.08 m, respectively, while the Gault blocks were
124 taken at 3.0 m, along with deeper rotary cores from 5.41 to 8.30 m depth. Table 1
125 summarizes the London and Kimmeridge clays' index properties and estimated S_u from
126 K_0 -consolidated triaxial compression tests; the key aspects of the shallow and deep Gault
127 samples' descriptions can be found in Pan et al. (2021a). While there are variations in index
128 properties, the most significant differences relate to the soils' fabrics and meso-structures.
129 The shallow Gault samples have the most intensive meso-fissures and discontinuities due to
130 their additional desiccation by tree root action and chemical weathering, which is not
131 evident in the more deeply buried Kimmeridge and London clay sampling locations.
132 Wilkinson (2011) noted a major reduction in the degree of preferred particle orientation
133 caused by weathering in the shallow Gault clay specimens.

134

135 Figure 1 presents, after Gasparre (2002) and Hosseini Kamal (2012), oedometer test results
136 for natural samples derived from different depths that are compatible with the main study
137 depth for each soil outlined above. The initial states of the compression curves reflect their
138 in-situ void ratios. The oldest Kimmeridge clay (9.2 m depth) shows the lowest in-situ void
139 ratio and compressibility, reflecting its plasticity and age (Table 1); while the weathered
140 shallow (3.5 m) Gault clay has the highest compressibility and swelling coefficients. Hosseini
141 Kamal (2012) identified the vertical yield stress σ_{zy}' for the tested shallow/deep Gault and
142 Kimmeridge samples as approximately 1.6, 2.0, and 2.2 MPa, respectively, while Gasparre
143 (2005) estimated 1.0 MPa for the youngest (London clay) sample. Fig. 2 further compares

144 the clays' monotonic undrained triaxial compression behaviors, reporting stress-strain curves
145 and effective stress paths based on a London clay test conducted by the Authors and tests on
146 the Kimmeridge and Gault clays by Hosseini Kamal (2012). The sampling depths and testing
147 procedures were fully compatible for the four experiments considered. The shallow Gault
148 sample was sheared after isotropic reconsolidation, while the others were reconsolidated to
149 their in-situ K_0 states, as described subsequently, prior to undrained shearing. The test on
150 London clay was reconsolidated to the effective stresses acting before the (fully drained)
151 overlying gravel had been removed; it has both the highest effective stresses and S_u of
152 around 162 kPa. The Kimmeridge and Gault samples' S_u correlate less directly with their
153 effective stress levels or sampling depths, which were more affected by variations in the
154 patterns of fissuring (Hosseini Kamal et al. 2014).

155

156 **Cyclic test procedures**

157 The cyclic experiments were performed with an advanced electromechanical triaxial testing
158 system and a dynamic HCA manufactured by GDS Ltd; further apparatus details can be found
159 in Wang et al. (2013) and Guo et al. (2018). The CT and CHCA tests employed 38 mm
160 diameter, 76 mm high, specimens while those for HCA testing had 100 and 60 mm outer and
161 inner diameters and were 200 mm high. The specimen preparation procedures followed Pan
162 et al. (2021a). Gasparre et al. 2007(b) and Hosseini Kamal et al. (2014) addressed the
163 specimen-size effects of natural stiff clays and concluded that triaxial and HCA tests on
164 specimens with these diameters could offer acceptable consistency between their
165 respective measurements. Fully saturated specimens were obtained by applying a back
166 pressure of 600 kPa for 48 h while maintaining an effective confining pressure of 25 kPa.

167

168 The in-situ K_0 values assessed by Hosseini Kamal et al. (2014) and Brosse et al. (2017b) for
169 the heavily over-consolidated Kimmeridge and Gault clays potentially varied from 3 to 1.5.
170 However, the maximum K_0 that could be applied in laboratory experiments without
171 generating excessive straining during triaxial reconsolidation was 1.8 and this value was
172 adopted by Gasparre et al. (2007a) for London clay tests at comparable depths. Applying the
173 same K_0 in conjunction with the measured water table depth and unit weight for each soil
174 leads to the average in-situ effective stresses as depicted in Fig. 3 as points A', B', C', and D'
175 in p' - q coordinates. As noted by Gasparre et al. (2007a), the estimated in-situ stresses for the
176 London sample take into account the 6 m of Quaternary Thames River Terrace gravels that
177 had been removed prior to sampling. As shown in Fig. 3, specimens were first isotropically
178 consolidated to their initial mean effective stress p_0' levels and then extended to their
179 estimated anisotropic stress points following constant p' and drained paths. In both stages,
180 sufficient pause periods were imposed until the axial creep strain rates stabilize to fall below
181 the adopted 0.002%/h limit.

182

183 After anisotropic consolidation, cyclic loading waveforms outlined in Fig. 4 were applied at 1
184 Hz under undrained conditions. Following Li and Selig (1996) and Chai and Miura (2002), the
185 CT experiments involved only the vertical stress waveform cycling sinusoidally from minima,
186 representing in-situ σ_z' stress, to maxima value of $\sigma_z' + \sigma_z^{cyc}$. In CHCA tests, the inner and
187 outer cell pressures were kept constant, while the torsional shear stress $\tau_{z\theta}$ and vertical
188 stress σ_z were varied to follow the waveforms exemplified by Fig. 4 to match the cardioid
189 $(\tau_{z\theta} - (\sigma_z - \sigma_\theta)/2)$ incremental stress path shape considered by (1996) and Ishikawa et al. (2011)
190 as matching wheel loading, where σ_θ is the circumferential stress. Tables 2 and 3 summarize
191 the cyclic stress conditions applied in the CT and CHCA tests, respectively, as denoted by the

192 normalized cyclic stress ratio CSR ($= \sigma_z^{cyc}/2p_0'$). All specimens were subjected to large
193 numbers ($N = 10,000$ to $50,000$) of cycles, unless they failed with vertical strains exceeding
194 10% at earlier stages of cycling.

195

196 **Permanent vertical strain and pore pressure**

197 Figure 5 illustrates how vertical strains ε_z , which is the primary cause of highway surface
198 settlement, developed in three of the seven CT tests and one CHCA experiment on London
199 clay; also shown are the permanent strains ε_z^p that developed over each cycle. The
200 application of purely positive cycles of compressive stress in CT tests naturally leads to the
201 specimens compressing axially. Figs. 6(a) and (b) bring together the London and Kimmeridge
202 clays' ε_z^p-N trends, respectively, considering all 14 CT-I and CT-II tests. The compressive
203 strains developed in the three lowest CSR London clay and five lowest Kimmeridge cases
204 tended to stabilize with N over their later cyclic stages. The two highest CSR cycles applied to
205 both clays led to marked strain accumulation and unstable responses after $2 < N < 300$ cycles
206 in all four cases, with this being accompanied by shear band formation. The London clay CT
207 tests conducted at CSR = 0.3 and 0.4 showed higher (although still comparatively modest)
208 degrees of late-stage axial straining than the equivalent Kimmeridge cases.

209

210 It is notable that CHCA specimens subjected to the cardioid 'wheel loading' stress paths
211 tended to extend axially rather than compress. Comparison of the equivalent CT and CHCA
212 tests shown in Figs. 5(b) and (d) with identical CSRs indicate that cyclic CSR increases the
213 absolute magnitudes of vertical straining as well as changing its sign. Gräbe and Clayton
214 (2009) and Cai et al. (2017) reported similar trends from equivalent tests on low OCR
215 sediments. This is because the initial decreasing σ_z segment of each CHCA stress cycle (Fig. 4)

216 brings the effective stress path first towards extension failure.
217
218 Figure 7(a) synthesizes the ε_z^p strains developed at the $N = 100$ and 50,000 stages in Fig. 6
219 and reveals three distinct deformation patterns, namely stable, metastable, and unstable.
220 The ε_z^p strains accumulated in CT-II tests on Kimmeridge samples appear negligibly small
221 until CSR increases to 0.14, the upper cyclic threshold condition for the stable response,
222 which is associated with Y_2 yielding (Jardine 1992). Cycles that engage the Y_2 surface lead to
223 permanent straining and a metastable response that generates a linear growth of ε_z^p with
224 CSR up to CSR = 0.5, after which the ε_z^p trend becomes sharply steeper, showing an unstable
225 response. In the present study, the lower unstable threshold is interpreted as the Y_3 limit
226 corresponding to the markedly accelerated accumulation rate of permanent (plastic) strain.
227 Comparatively low threshold CSR values (0.1 and 0.45) are interpreted for the London clay,
228 confirming lower cyclic resistance than that of the Kimmeridge clay. The CT-III and CT-IV
229 tests' ε_z^p -CSR trends with respect to N are further synthesized in Fig. 7(b), showing similar
230 stable-to-metastable and metastable-to-unstable responses. As expected, the desiccation
231 and weathering lead to the shallow weathered Gault clay exhibiting the lowest CSR limits
232 (0.06 and 0.35), while marginally higher values (0.16 and 0.55) apply in the deep
233 un-weathered Gault than to either the London or Kimmeridge specimens. The equivalent
234 CHCA-III tests on deep Gault specimens (Fig. 7(c)) confirm that the deep Gault clay is more
235 resistant to cyclic loading than the Kimmeridge, although the limited varying CSRs in CHCA-II
236 tests preclude identifying clear region boundaries. However, the CHCA-III tests indicate
237 distinctly lower CSR limits (0.1 and 0.25) than the corresponding triaxial tests because, as
238 noted earlier, cyclic PSR leads to accelerated (and extensile) vertical straining. Cai et al. (2017)
239 and Guo et al. (2018) conducted equivalent tests on low OCR, soft Wenzhou clay under

240 identical cyclic loading conditions and reported distinctly lower threshold VCSRs (0.03 and
241 0.16) than the clays examined in this study. Their experiments confirmed that the Holocene
242 Wenzhou clay has significantly lower cyclic resistance than the stiff, geologically aged, high
243 OCR clays. Furthermore, the stiff clays were brittle and prone to develop shear bands at
244 relatively low shear strength, a feature that was not observed in the Wenzhou clay, or the
245 stiff glacial tills considered by Ushev and Jardine (2022a, b).

246

247 The excess pore-water pressure u generated during undrained cycling is another important
248 contributor to the (gradually draining) long-term service settlement of foundations, as
249 background drainage of excess pore pressures lead to additional volumetric strains and
250 settlements. The pore pressure development trends of three Kimmeridge specimens that
251 exhibited typical stable, metastable, and unstable responses are presented in Fig. 8, in which
252 the pore pressure ratio is normalized as $r_u = u/p_0'$ and the permanent r_u^p is determined at
253 the end of each cycle. Fig. 9 illustrates the corresponding changes in effective stress paths
254 tracked at specified N values, also showing the Kimmeridge clay's peak strength envelope
255 identified by Hosseini Kamal (2012) from triaxial compression tests. Pore pressure
256 measurement time lag effects make it unlikely that the effective stress path peaks and
257 troughs were recorded accurately within any given cycle (see Ushev and Jardine 2022a), the
258 paths plotted are considered indicative of the stress paths' directions of travel.

259

260 The stable strain response outlined previously manifests as a steady r_u-N trend with only
261 slight overall growth in r_u^p (< 0.05 , Fig. 8(a)) and relatively tight effective stress path loops
262 with very limited reduction in p' ($\Delta p' < 10$ kPa, Fig. 9(a)). Undrained cycling that engages the
263 Y_2 yield surface provokes a distinct increase in r_u^p that appears to slow as N grows (Figs. 8(b))

264 and 9(b)) and lead to only slowly changing metastable effective stress paths. More
265 significant pore pressure developments are found in tests involving higher CSRs that
266 progress to unstable outcomes (Fig. 8(c)). The initial cyclic effective stress path moves
267 leftward towards the compressive failure line, showing contractive behavior over the first
268 10,000 cycles (Fig. 9(c)). A rightward dilative shift follows after reaching $N = 10,000$, leading
269 to a slight decrease in the generated r_u^p . This behavior is consistent with the stiff high OCR
270 clays' tendency to dilate when sheared monotonically to large strains under undrained
271 conditions, with the effective stress path rotating markedly, as shown more clearly by Ushev
272 and Jardine (2022a) for stiff glacial clay till through fully reliable local pore pressure
273 measurements.

274

275 Figure 10 illustrates how the trends for r_u^p with N shown by each test series indicate three
276 styles of pore pressure responses that match the CSR thresholds identified for the straining
277 responses. Generally, pore pressure accumulation remains modest ($r_u^p < 0.05$) within the
278 stable regions and changes to increase approximately linearly with CSR after Y_2 yielding,
279 indicating a metastable response. However, the r_u^p -CSR trends indicate less systematic
280 trends over the unstable range of CSRs. The development rates of r_u^p with respect to CSR can
281 either accelerate or decelerate, or even change to negative, as is seen more clearly in the
282 CHCA tests (Fig. 10(c)). As discussed previously, this is primarily due to the onset of dilatancy
283 as cyclic failure approaches.

284

285 **Cyclic secant stiffness**

286 Gasparre et al. (2007a) reported how the natural London clay's non-linear monotonic
287 stiffness degrades with strain after undergoing Y_1 yielding and shows more marked

288 degradation with strain once the Y_2 surface is engaged. Rapid stiffness degradation after
289 undergoing Y_2 yielding is also seen in the cyclic hysteretic stress-strain loops presented in Fig.
290 11. For the cyclic stress conditions considered herein, the stiffness represented by the
291 undrained Young's (E_{sec}^u) and shear (G_{sec}^u) moduli are defined as the secant slope of the
292 vertical and shear stress-strain backbone curves, respectively. The 'overall' strains presented
293 in Figs. 11(a) and (c) represent the permanent strain trends, while the strain scales adopted
294 in Figs. 11(b) and (d) have their strain origins re-zeroed at specified cycle numbers (i.e., $N = 1,$
295 100, 1,000, 10,000, 50,000) to highlight changes in cyclic secant stiffness with increasing N .
296 Stable tests that manifest nearly linear stress-strain curves display no significant hysteresis
297 (Fig. 11(b)), while metastable tests provoke opened-up loops that rotate clockwise as N
298 increases, reflecting the marked stiffness degradation after Y_2 yielding (Fig. 11(d)).

299

300 Figure 12 illustrates directly how E_{sec}^u (or G_{sec}^u) degrades with N in different test series,
301 showing both engineering unit and normalized degradation index δ , which is defined as the
302 ratio of Young's or shear moduli at cycle N to their initial values at the first cycle (Idriss et al.
303 1978). The maximum E_{sec}^u observed in the CT-I (= 125 MPa, Fig. 12(a)) and CT-II (= 137 MPa,
304 Fig. 12(c)) tests, which did not involve high resolution local strain sensors, are marginally
305 lower than the elastic E_v^u obtained from static triaxial probing tests by Gasparre (2005) on
306 the London clay (= 133 MPa) and by Brosse et al. (2017a) on the Kimmeridge (= 147 MPa) in
307 tests employing high resolution local measurements. While applying higher CSRs in CT tests
308 generates progressively lower E_{sec}^u values and accelerates stiffness degradation, their
309 tendency to fall with N remains relatively modest. However, the CHCA-II tests shown in Fig.
310 12(e) reflect much steeper decays of G_{sec}^u with increasing N , and the maximum value (= 56
311 MPa) found in the lowest CSR (= 0.074) test falls more significantly below the elastic G_{hv} (=

312 70 MPa) reported by Brosse et al. (2017a). A generally linear relationship between δ and N is
313 found in Figs. 12(b), (d), and (f), which can be matched by the following semi-logarithmic
314 expression:

$$315 \quad \delta = 1 - a \ln N \quad (1)$$

316 where a is a parameter dependent on the soil type and CSR. As depicted in Figs. 12(b) and
317 (d), the parameter a obtained from CT tests has an increasing trend under the stable and
318 metastable ranges of CSR; similar increasing trends have been reported by Lee and Sheu
319 (2007), Tsai et al. (2014), and Leng et al. (2017) on soft postglacial clays. However, it tends to
320 significantly decrease as CSR further increases to induce cyclic failure under triaxial
321 conditions. This behavior is not observed in the CHCA tests (see Fig. 12(f)), which indicate
322 higher a values. Another striking feature of the unstable CT tests' outcomes is that the
323 dilatancy induced at the later cycling stages appears to significantly stiffen the clays' vertical
324 cyclic response and consequently causes the δ - $\ln N$ trends to deviate from linearity.

325
326 The synthesized δ values applying over the last cycle plotted against CSR in Fig. 13 confirm
327 the above noted distinctive styles of strain and pore pressure response. Stable tests show a
328 slight degradation of nonlinear vertical or shear stiffness ($\delta > 0.93$) under the applied cyclic
329 loading within the Y_2 surface. While the trends developed in metastable or unstable CT tests
330 show δ either decreasing gradually with CSR or falling modestly before stabilising, the CHCA
331 experiments confirm more marked reductions of cyclic shear stiffness with N .

332

333 **Damping ratio and dissipated energy**

334 Figures 14(a) and (b) show the variations of damping ratio β , which is defined as the
335 hysteretic area of the stress-strain loop (i.e., dissipated energy) to the equivalent elastic

336 energy stored in each individual cycle, in CT-I and CT-II tests, respectively. Stable and
 337 metastable tests indicate a continuous falling trend of β with respect to N that can be
 338 expressed by the following power function:

$$339 \quad \beta = b(N)^{-c} \quad (2)$$

340 The parameter b depicted in Fig. 14 tends to increase with CSR, as symptomized by the
 341 upward-shifting of the best-fit (solid) lines in these logarithmic plots, while the exponent c is
 342 decreasing with CSR and far below unity. Unstable tests exhibit relatively high β values that
 343 have an overall decreasing trend with the applied N but occasionally rise as the accelerated
 344 strain development with shear bands forming. The corresponding dissipated energy per unit
 345 volume W in each cycle is plotted in Fig. 15 with respect to N and CSR, showing comparable
 346 trends to those plotted for β in Fig. 14. Cycling within the Y_2 limit leads to a modest and
 347 stable energy dissipation rate ($< 10^{-3}$ kJ/m³ per cycle), while unstable tests show very high
 348 dissipation rate (> 1 kJ/m³). The metastable cases fall between these limits.

349
 350 The increasing energy dissipation resulting from breakdown of fabric is a recognised feature
 351 of the cyclic degradation of soils (Kokusho 2013; Pan et al. 2021b). Figs. 16(a) and (b)
 352 present the variations of E_{sec}^u and r_u^p , respectively, versus the corresponding cumulative
 353 energy W from CT-I and CT-II tests at specified N values (of 1, 100, and 10,000). The
 354 normalized E_{sec}^u - W relationships plotted with semi-logarithmic axes (Fig. 16(a)) generally
 355 follow the linearly decreasing trend given by Eq. (3) for all three clays.

$$356 \quad E_{sec}^u / p_0' = d - f \ln(W / p_0') \quad (3)$$

357 The approximately parallel trends show parameter f (≈ 69) over the whole cyclic loading
 358 process, while parameter d increases with N . In Fig. 16(b), the cumulative energy associated

359 with the generated pore pressure is expressed by the following power function:

$$360 \quad r_u^p = m(W/p_0')^n \quad (4)$$

361 Although there is experimental scatter, the parameters m (= 0.15) and n (= 0.32) appear to
362 fit the tests irrespective of the clay stratum and N value. Noting that a similar relationship
363 between cyclic pore pressure and normalized energy has been reported for cohesionless
364 soils by Konstadinou and Georgiannou (2013) and Pan and Yang (2020), the average r_u^p curve
365 from tests on isotropically and anisotropically consolidated Toyoura sand has been included
366 for reference in Fig. 16(b). Considering energy dissipation appears to be an appropriate and
367 fundamental step when characterising cyclic pore pressure generation in soils, adding to the
368 conventional perspectives that employ stress and strain variables.

369

370 **Summary of cyclic yielding characteristics**

371 The cyclic test results outlined above can be classified as stable, metastable or unstable and
372 be related to the clays' Y_2 and Y_3 yield surfaces. Y_2 yielding has been associated in this study
373 with the onset of opening-up hysteretic loops that induce significant permanent straining. It
374 is shown that tests involving cyclic stress that remain within the Y_2 surface tend to exhibit
375 low and stable degrees of pore pressure generation ($r_u^p < 0.05$), stiffness degradation ($\delta >$
376 0.93), and energy dissipation ($W < 10^{-3}$ kJ/m³ per cycle). The cyclic Y_3 limit is interpreted as
377 the threshold CSR corresponding to rapid development of unstable irrecoverable straining
378 and also rightward dilative shift of effective stress path during later cycling stages.

379

380 Figure 17 marks the loci followed (for each clay) by the peak points of the triaxial cyclic
381 loading stress paths in batches of tests conducted with increasing CSR values as they rise
382 from K_0 conditions to reach Y_2 and Y_3 yielding points. Also shown as solid lines are the

383 characteristic points at which the undrained effective stress path changes from contractive
384 to markedly dilative directions in monotonic tests. Cycling above the Y_3 yield points induces
385 unstable outcomes and, given enough cycles, probably cyclic failure. When cycled from $K_0 =$
386 1.8 conditions, the deep Gault clay CT-III tests show the highest q/p' ratios at cyclic Y_3
387 yielding, while the shallow Gault shows the lowest, presumably as a result of its desiccation
388 and weathering.

389

390 The effective stress conditions at which undrained CHCA tests developed full cyclic failure
391 are reported in Fig. 18 by plotting (as solid symbols) for each clay type the maximum ratios
392 of $t (= (\sigma_1' - \sigma_3')/2)$ to $s' (= (\sigma_1' + \sigma_3')/2)$ and equivalent values of $\phi' (= \sin^{-1}(t/s'))$ against α ,
393 defined as the σ_1' orientation relative to the vertical axis. These failure points may be
394 compared with the solid curves proposed from monotonic nominally plane strain HCA tests
395 by Brosse et al. (2017b) on intact samples taken from ≈ 10 m depth. While the peak t/s'
396 conditions identified at cyclic failure for the Gault and Kimmeridge clays match the
397 monotonic trends closely, the CHCA London clay test's failure point plots at a lower t/s' than
398 expected; this may be a consequence of its sampling depth or the presence of a sandy
399 interlayer within this specimen.

400

401 **Conclusions**

402 Several series of undrained cyclic tests have been conducted on high-quality K_0 -consolidated
403 samples of natural stiff Eocene-to-Jurassic clays to explore their deformation, pore pressure,
404 and hysteretic responses to cyclic loading, including tests that apply 'wheel loading' stress
405 paths involving PSR. These experiments provide comprehensive information on cyclic
406 degradation behavior of stiff, high OCR clays. The main findings are summarized as follows.

407

408 1) The cyclic test outcomes can be interpreted within a kinematic yielding framework. While
409 the stiff clays' responses are only truly elastic within a small Y_1 regions, their responses
410 remain stable within far larger Y_2 surfaces, showing little discernible permanent straining
411 and only minor pore pressure development. While stiffnesses degrade with number of cycles
412 N , the damping ratios and dissipated energies remain low. Cycles that engage the Y_2 surface
413 lead to metastable outcomes that generate progressively growing permanent strains and
414 pore pressure, with more marked stiffness degradation and energy dissipation.

415

416 2) Once the cyclic paths engage their Y_3 yield surface, the stiff clays exhibit significantly
417 accelerated permanent strain accumulation, rightward changes of effective stress path
418 direction, or even cyclic failure. This style of response is deemed unstable. The cyclic Y_2 and
419 Y_3 limits, which are interpreted as threshold cyclic stress ratios CSRs that divide the three
420 main response patterns, fall within the yield surface identified from monotonic tests on the
421 examined clays. The Kimmeridge clay shows greater resistance to cyclic loading than the
422 London clay, while the shallow Gault appears to be the most prone to cyclic instability due to
423 weathering effects.

424

425 3) Cyclic principal stress rotation significantly influences the stiff clays' metastable and
426 unstable responses, leading to more rapid strain accumulation and stiffness decay in CHCA
427 than in equivalent CT tests. In particular, the CHCA experiments conducted from $K_0 = 1.8$
428 conditions lead to axial extension rather than the compressive straining seen in CT tests.

429

430 4) The stiffness degradation and damping ratio trends of CT tests on the London and

431 Kimmeridge clays can be fitted over their stable and metastable ranges by empirical
432 relationships that employ CSR-dependent parameters. The energy dissipated in specimens is
433 also mathematically related to non-linear cyclic stiffness and pore pressure; the latter is
434 uniquely correlated with cumulative energy during the whole loading process and is not
435 affected by the applied CSR.

436

437 5) The cyclic tests also confirm the high OCR, stiff clays' tendency to undergo brittle failures
438 involving shear band formation and a dilative tendency when sheared to large strains. The
439 effective stress ratios t/s' at which cyclic failure occurred in the CHCA tests were compatible
440 with the outcomes of monotonic HCA experiments for two of the three tested clays. Once
441 fully formed, the shear bands offer low shear resistances that must be recognized in practical
442 engineering design.

443

444 Finally, the cyclic loading tests outlined above provide a basis for the analysis of cyclic
445 problems involving geologically aged, high OCR, stiff plastic clays, which occur worldwide.
446 They help guide the optimal design of foundations and drainage systems to reduce excessive
447 ground movements during the service lives of highways, railtracks, and airport runways.
448 Further studies are recommended to investigate how the dissipation of the cyclic pore
449 pressures generated by wheel loading impacts the overall ground movements under
450 long-term cyclic service loading. Additional laboratory testing on a wider range of
451 geomaterials is also required to test the general applicability of the hypotheses proposed in
452 this study regarding the application of kinematic-yielding and energy-based interpretive and
453 modeling frameworks. However, the studies reported above provide general insights that
454 can aid cyclic foundation design, as described for example by Andersen (2009), for other

455 types of onshore and offshore structure built on comparable stiff, plastic clay strata.

456

457 **Declaration of competing interest**

458 The authors declare that they have no known competing financial interests or personal

459 relationships that could have appeared to influence the work reported in this paper.

460

461 **Data availability**

462 Some or all data, models, and code that support the findings of this study are available from

463 the corresponding author upon reasonable request.

464

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469

470 **Notations**

a, b, c, d, f, m, n empirical parameters

E_{sec}^u, E_v^u undrained cyclic secant and vertical Young's moduli, respectively

e void ratio

G_{sec}^u undrained shear secant modulus

G_{hv} shear modulus in vertical plane

K_0 coefficient of earth pressure

N number of loading cycles

p', p_0'	mean effective stress and initial mean effective stress, respectively
q, q_0	deviatoric stress and initial deviatoric stress, respectively
r_u, r_u^p	pore pressure ratio and permanent pore pressure ratio, respectively
S_u	peak undrained shear strength
u	excess pore-water pressure
W	dissipated energy per unit volume
w_n	natural water content
α	orientation of major principal stress relative to vertical axis
β	damping ratio
δ	stiffness degradation index
$\varepsilon_z, \varepsilon_z^p$	vertical strain and permanent vertical strain, respectively
σ_1', σ_3'	major and minor effective principal stresses, respectively
σ_h', σ_z'	horizontal and vertical effective stresses, respectively
$\sigma_z, \sigma_\theta, \tau_{z\theta}$	vertical, circumferential, and shear stresses, respectively
$\sigma_z^{cyc}, \tau_{z\theta}^{cyc}$	cyclic vertical and shear stress amplitudes, respectively
σ_{zy}'	effective vertical yield stress
ϕ'	mobilized shear angle

471

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589

590 **Figure captions**

- Fig. 1 Oedometer compression curves for natural samples from different depths
- Fig. 2 (a) Stress-strain curves and (b) effective stress paths for natural clays in triaxial compression
- Fig. 3 Reconsolidation stress path of specimens to their in-situ K_0 states
- Fig. 4 Cyclic stress waveforms in CT and CHCA tests
- Fig. 5 Vertical strain evolutions of London clay: (a) CT test with CSR = 0.115; (b) CT test with CSR = 0.250; (c) CT test with CSR = 0.558; (d) CHCA test with CSR = 0.250
- Fig. 6 Permanent vertical strain of (a) London clay in CT-I tests and (b) Kimmeridge clay in CT-II tests
- Fig. 7 Synthesis of ε_z^p at specified N versus CSR: (a) CT-I and CT-II tests; (b) CT-III and CT-IV tests; (c) CHCA-II and CHCA-III tests
- Fig. 8 Pore pressure development of Kimmeridge clay in CT-II tests: (a) CSR = 0.135; (b) CSR = 0.368; (c) CSR = 0.567
- Fig. 9 Effective stress path of Kimmeridge clay in CT-II tests: (a) CSR = 0.135; (b) CSR = 0.368; (c) CSR = 0.567
- Fig. 10 Synthesis of r_u^p at specified N versus CSR: (a) CT-I and CT-II tests; (b) CT-III and CT-IV tests; (c) CHCA-II and CHCA-III tests
- Fig. 11 Typical hysteretic response of Kimmeridge clay in CT-II tests: (a) and (c) complete vertical stress-strain loops; (b) and (d) re-zeroed vertical stress-strain loops at specified N
- Fig. 12 Variation of cyclic secant modulus and stiffness degradation index with N : (a) and (b) London clay in CT-I tests; (c) and (d) Kimmeridge clay in CT-II tests; (e)

and (f) Kimmeridge clay in CHCA-II tests

Fig.13 Variation of stiffness degradation index at the last cycle with CSR: (a) CT-I and CT-II tests; (b) CT-III and CT-IV tests; (c) CHCA-II and CHCA-III tests

Fig. 14 Variation of damping ratio with N : (a) London clay in CT-I tests; (b) Kimmeridge clay in CT-II tests

Fig. 15 Variation of dissipated energy per unit volume with N : (a) London clay in CT-I tests; (b) Kimmeridge clay in CT-II tests

Fig. 16 (a) Normalized relationship between cyclic secant stiffness and cumulative dissipated energy; (b) permanent pore pressure ratio against normalized cumulative energy

Fig. 17 Summary of cyclic yield limits interpreted from CT tests

Fig. 18 Variations of maximum stress ratio t/s' with σ_1' axis orientation α covering both monotonic and cyclic HCA tests

Table 1 Index properties of test materials

Index property	London clay	Kimmeridge clay
Specific gravity, G_s	2.74	2.51
Unit weight, γ (kN/m ³)	19.8-20.1	20.3-20.7
Natural water content, w_n (%)	20.5-23.6	22.6-26.8
Plastic limit, w_p (%)	25	23
Liquid limit, w_l (%)	69	54
Plasticity index, I_p	44	31
Clay fraction (%)	50-53	57-60
Undrained shear strength, S_u (kPa)	\approx 162	\approx 82 ^a

^a after Hosseini Kamal (2012)

Table 2 Summary of cyclic triaxial (CT) tests

Series	Depth (m)	w_n (%)	σ_z^{cyc} (kPa)	CSR	N	Response pattern
CT-I	1.2	20.5	60	0.115	50000	Metastable
(London clay)	1.2	23.6	104	0.200	50000	Metastable
	1.2	21.6	130	0.250	50000	Metastable
	1.2	22.2	156	0.300	50000	Metastable
	1.2	23.1	208	0.400	50000	Metastable
	1.2	21.7	290	0.558	50000	Unstable
	1.2	22.4	380	0.730	47	Unstable
CT-II	13.08	26.8	30	0.074	50000	Stable
(Kimmeridge	12.77	25.8	55	0.135	50000	Stable
clay)	13.08	25.0	105	0.257	50000	Metastable
	12.77	24.9	150	0.368	50000	Metastable
	12.77	24.2	200	0.490	50000	Metastable
	13.08	26.3	230	0.567	50000	Unstable
	13.08	24.6	315	0.772	18	Unstable
CT-III	7.01	30.6	15.9	0.067	50000	Stable
(deep Gault clay)	7.01	30.5	21	0.088	50000	Stable
	7.01	30.5	30	0.127	50000	Stable
	7.38	27.1	60.5	0.256	50000	Metastable
	8.30	22.3	81	0.343	50000	Metastable
	7.38	27.3	88.5	0.375	50000	Metastable
	7.38	26.9	118.5	0.502	50000	Metastable
	8.30	22.8	141.6	0.600	50000	Unstable
	8.30	21.9	165	0.699	50000	Unstable
CT-IV	3.0	23.7	10.5	0.088	10000	Metastable
(shallow Gault	3.0	23.9	19.8	0.165	10000	Metastable
clay)	3.0	23.6	24.6	0.205	10000	Metastable
	3.0	23.2	29.4	0.245	10000	Metastable
	3.0	23.3	34.3	0.286	10000	Metastable
	3.0	22.8	36	0.300	10000	Metastable
	3.0	22.8	48	0.400	10000	Unstable
	3.0	23.6	54	0.450	10000	Unstable
	3.0	22.9	66	0.550	10000	Unstable
	3.0	23.3	78	0.650	10000	Unstable
	3.0	23.7	96	0.800	2280	Unstable

Table 3 Summary of cyclic hollow cylinder apparatus (CHCA) tests

Series	Depth (m)	w_n (%)	σ_z^{cyc} (kPa)	$\tau_{z\theta}^{cyc}$ (kPa)	CSR	N	Response pattern
CHCA-I (London clay)	1.2	20.7	130	43.3	0.250	36	Unstable
CHCA-II	11.82	24.2	30	10	0.074	50000	Metastable
(Kimmeridge clay)	13.08	22.6	96	32	0.235	50000	Unstable
	8.56	24.6	183	61	0.449	308	Unstable
CHCA-III	7.38	22.4	15.9	5.3	0.067	50000	Stable
(deep Gault clay)	5.66	22.2	30	10	0.127	50000	Metastable
	5.41	22.1	53.1	17.7	0.225	50000	Metastable
	7.74	25.6	67.5	22.5	0.286	50000	Unstable
	7.99	30.2	81	27	0.343	50000	Unstable
	8.30	27.2	94.5	31.5	0.400	50000	Unstable
	7.01	29.8	106.2	35.4	0.450	5020	Unstable

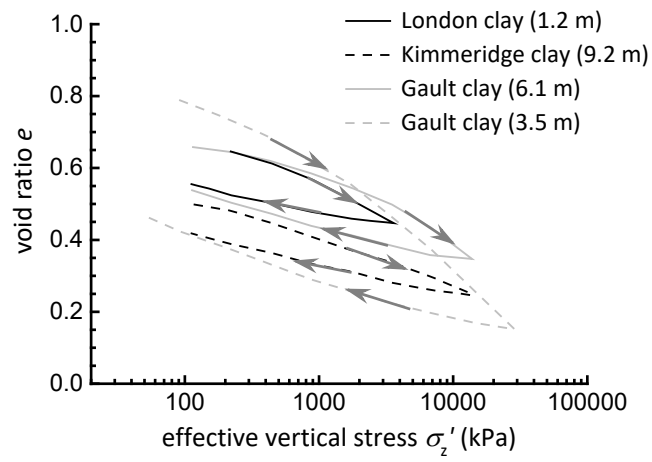


Fig. 1 Oedometer compression curves for natural samples from different depths (London clay data from Gasparre (2005); Kimmeridge and Gault clay data from Hosseini Kamal (2012))

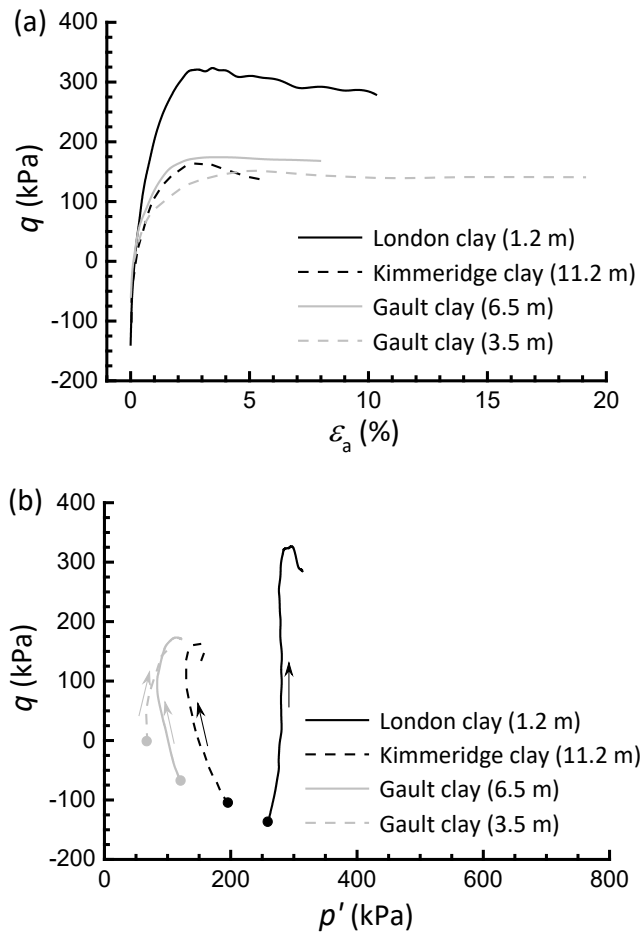


Fig. 2 (a) Stress-strain curves and (b) effective stress paths for natural clays in triaxial compression (Kimmeridge and Gault clay data from Hosseini Kamal (2012))

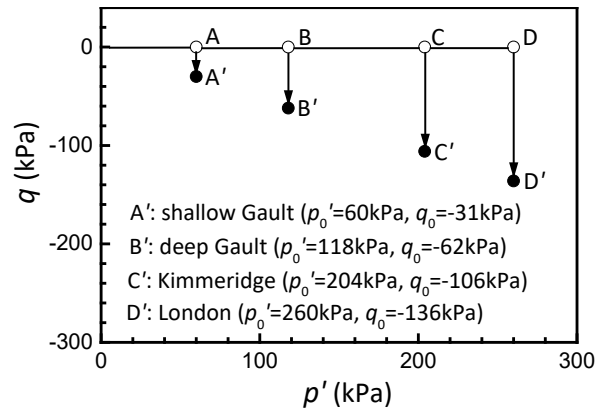


Fig. 3 Reconsolidation stress path of specimens to their in-situ K_0 states

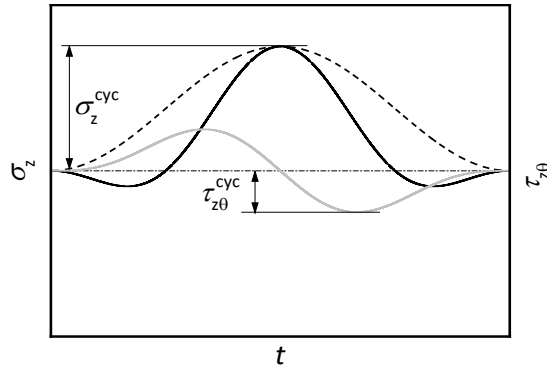


Fig. 4 Cyclic stress waveforms in CT and CHCA tests (Note: dash line represents σ_z in CT test and solid lines represent σ_z and $\tau_{z\theta}$ in CHCA test; the cyclic stress amplitude $\sigma_z^{\text{cyc}}/\tau_{z\theta}^{\text{cyc}} = 3$ in CHCA test)

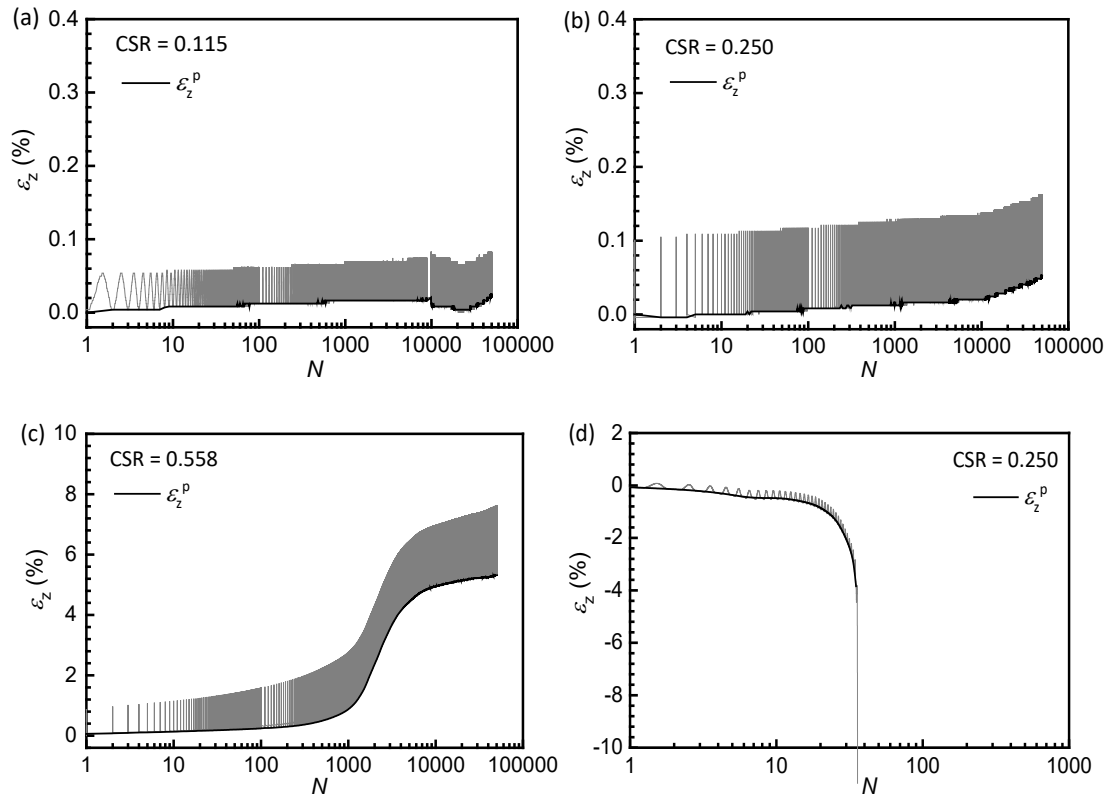


Fig. 5 Vertical strain evolutions of London clay: (a) CT test with CSR = 0.115; (b) CT test with CSR = 0.250; (c) CT test with CSR = 0.558; (d) CHCA test with CSR = 0.250

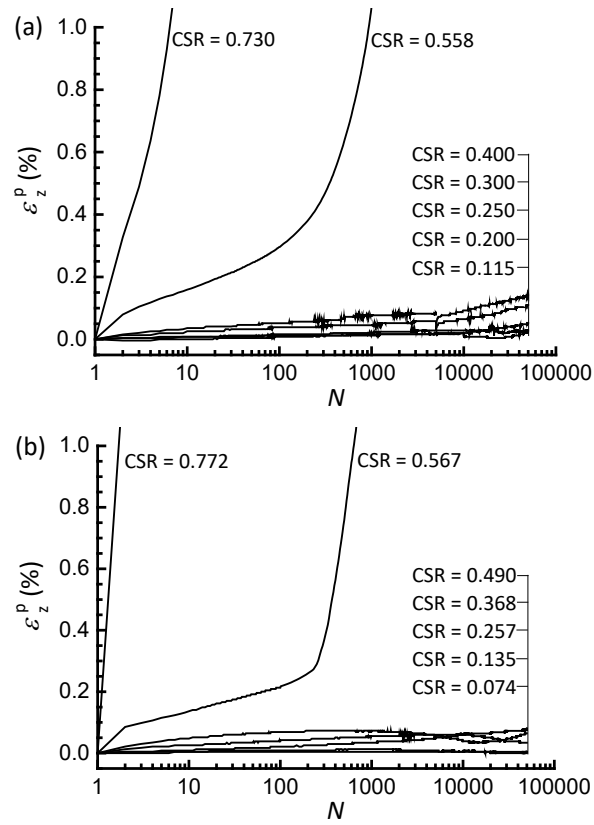


Fig. 6 Permanent vertical strain of (a) London clay in CT-I tests and (b) Kimmeridge clay in CT-II tests

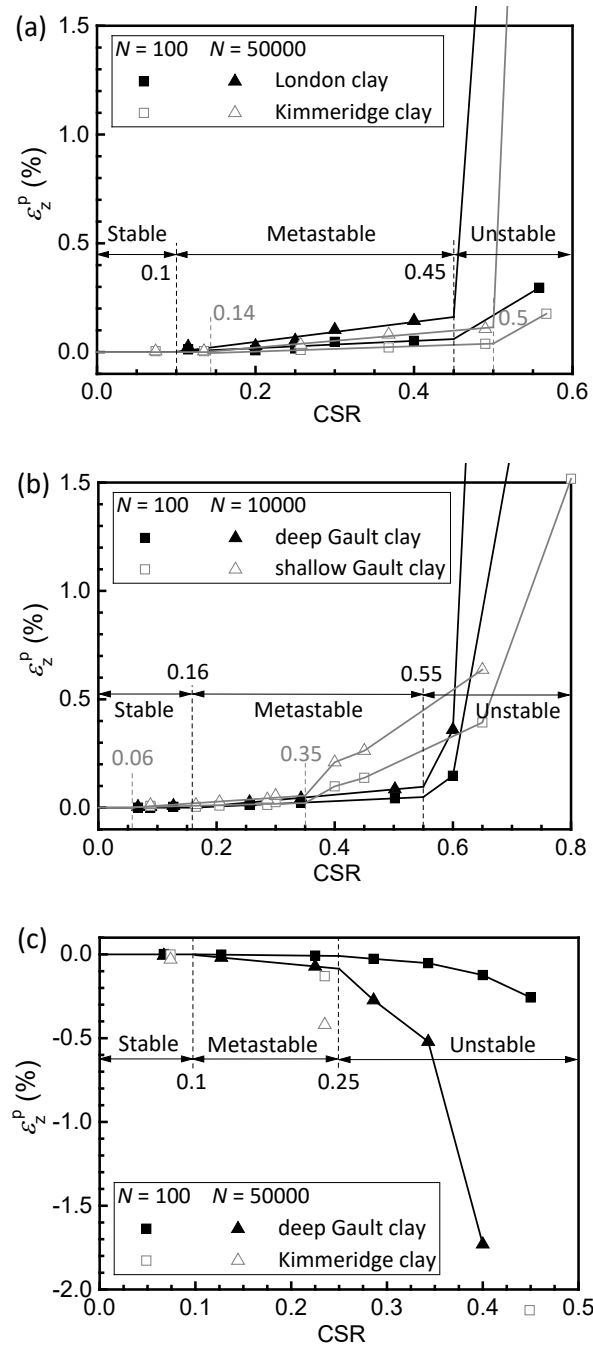


Fig. 7 Synthesis of ε_z^p at specified N versus CSR: (a) CT-I and CT-II tests; (b) CT-III and CT-IV tests; (c) CHCA-II and CHCA-III tests

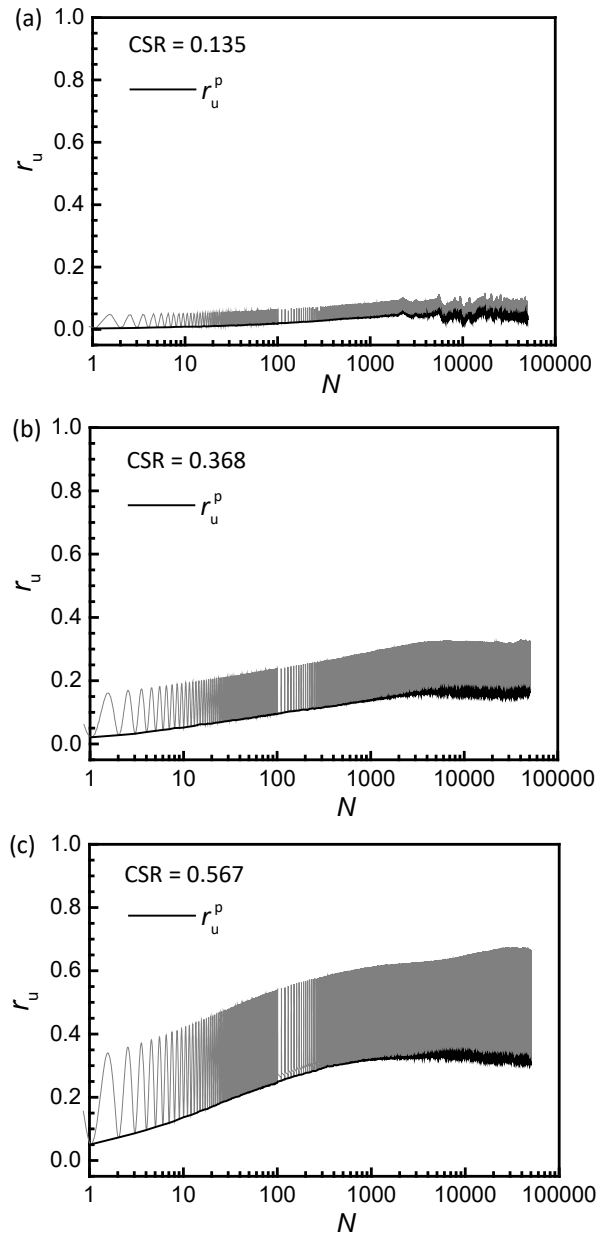


Fig. 8 Pore pressure development of Kimmeridge clay in CT-II tests: (a) CSR = 0.135; (b) CSR = 0.368; (c) CSR = 0.567

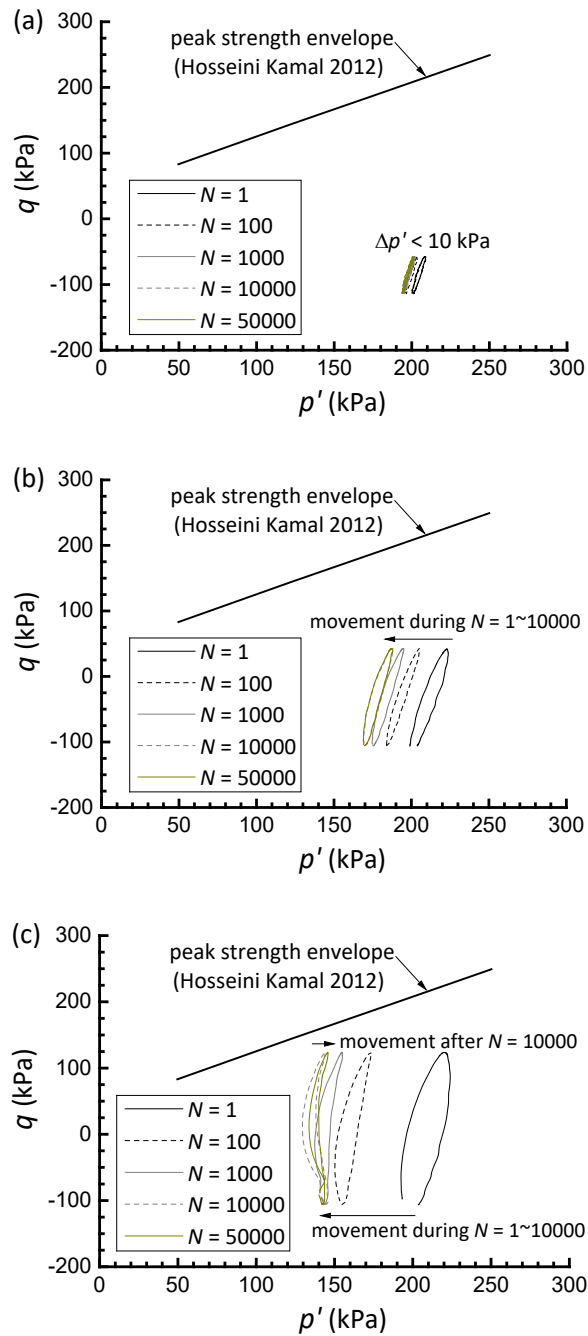


Fig. 9 Effective stress path of Kimmeridge clay in CT-II tests: (a) CSR = 0.135; (b) CSR = 0.368; (c) CSR = 0.567 (also shown is peak strength envelope identified by Hosseini Kamal (2012) from triaxial compression tests)

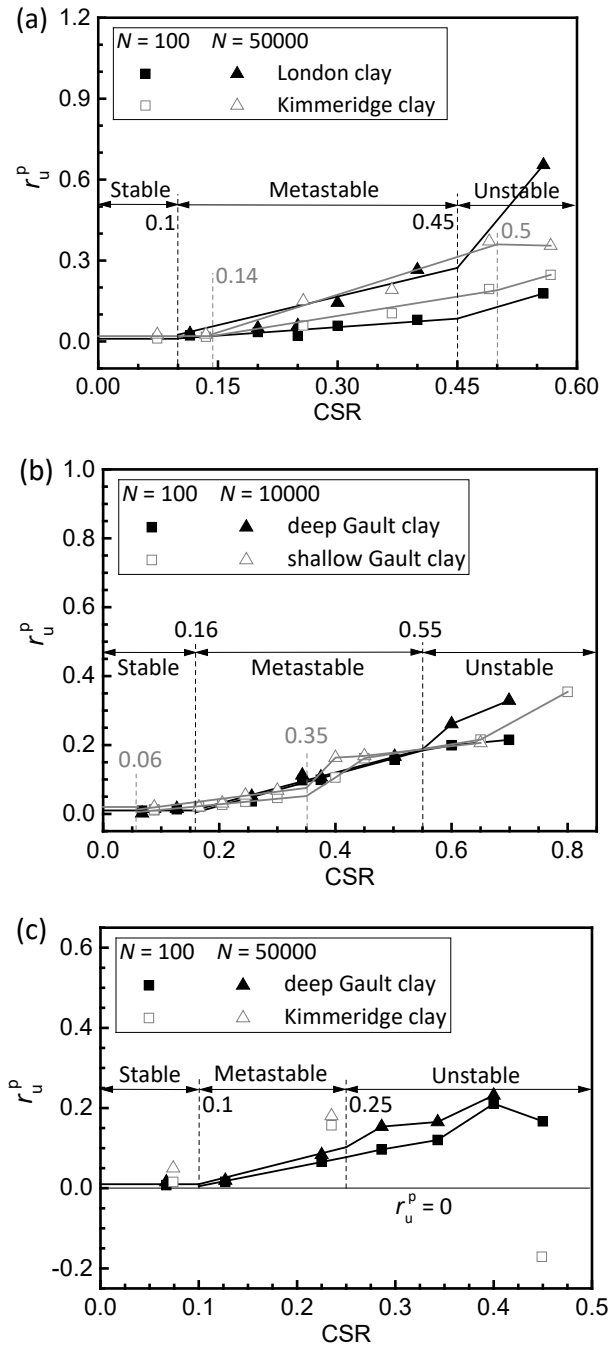


Fig. 10 Synthesis of r_u^p at specified N versus CSR: (a) CT-I and CT-II tests; (b) CT-III and CT-IV tests; (c) CHCA-II and CHCA-III tests

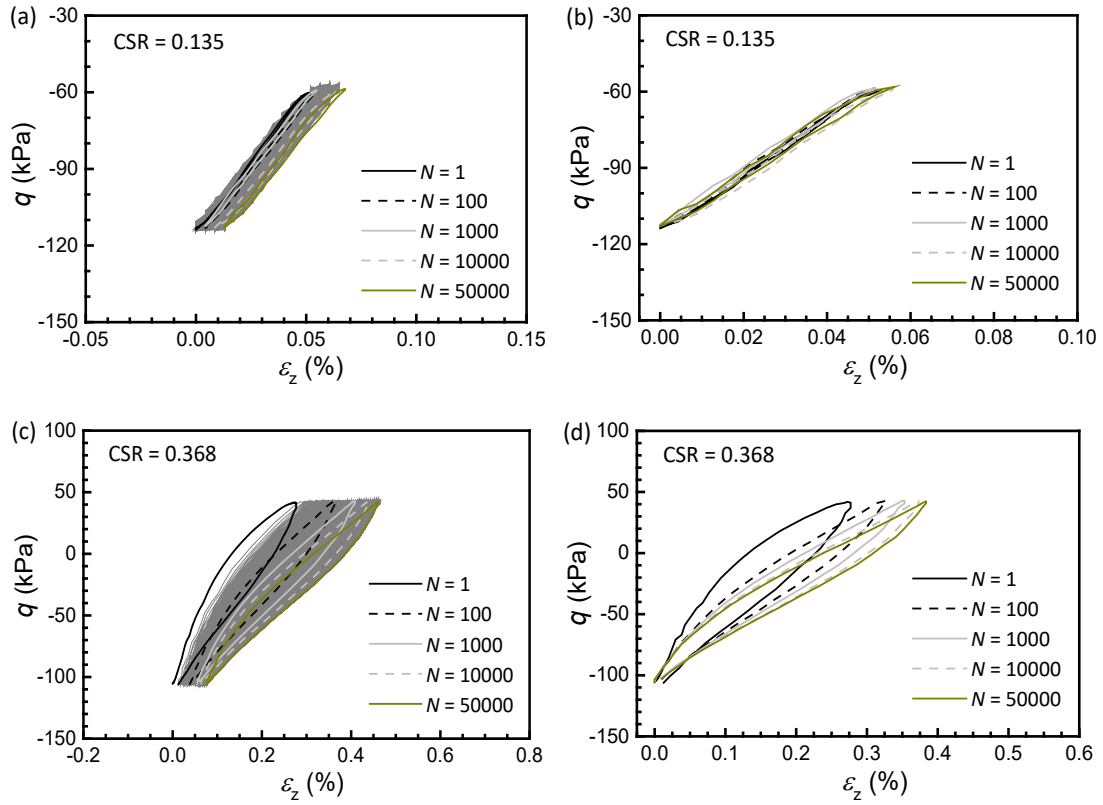


Fig. 11 Typical hysteretic response of Kimmeridge clay in CT-II tests: (a) and (c) complete vertical stress-strain loops; (b) and (d) re-zeroed vertical stress-strain loops at specified N

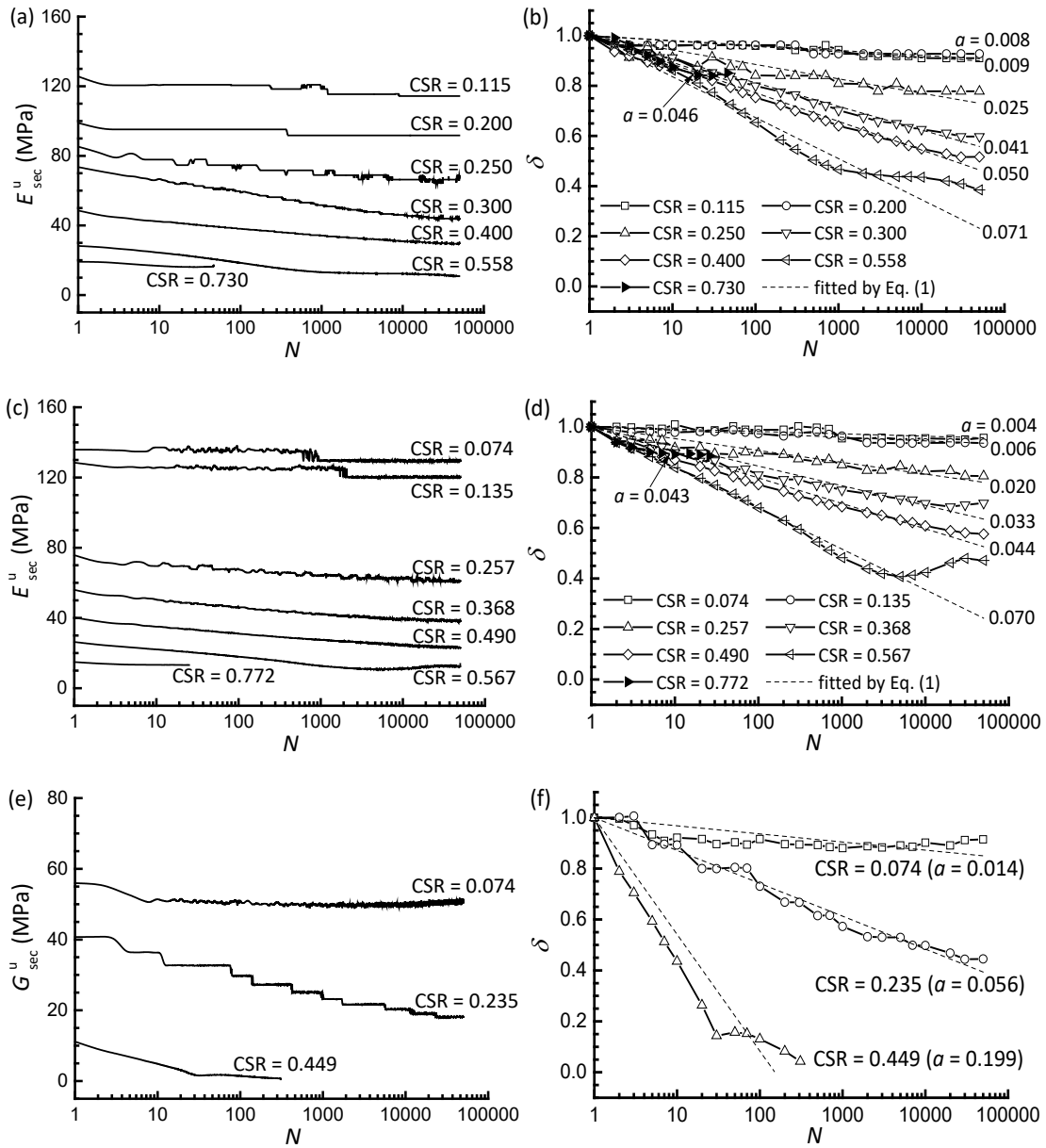


Fig. 12 Variation of cyclic secant modulus and stiffness degradation index with N : (a) and (b) London clay in CT-I tests; (c) and (d) Kimmeridge clay in CT-II tests; (e) and (f) Kimmeridge clay in CHCA-II tests

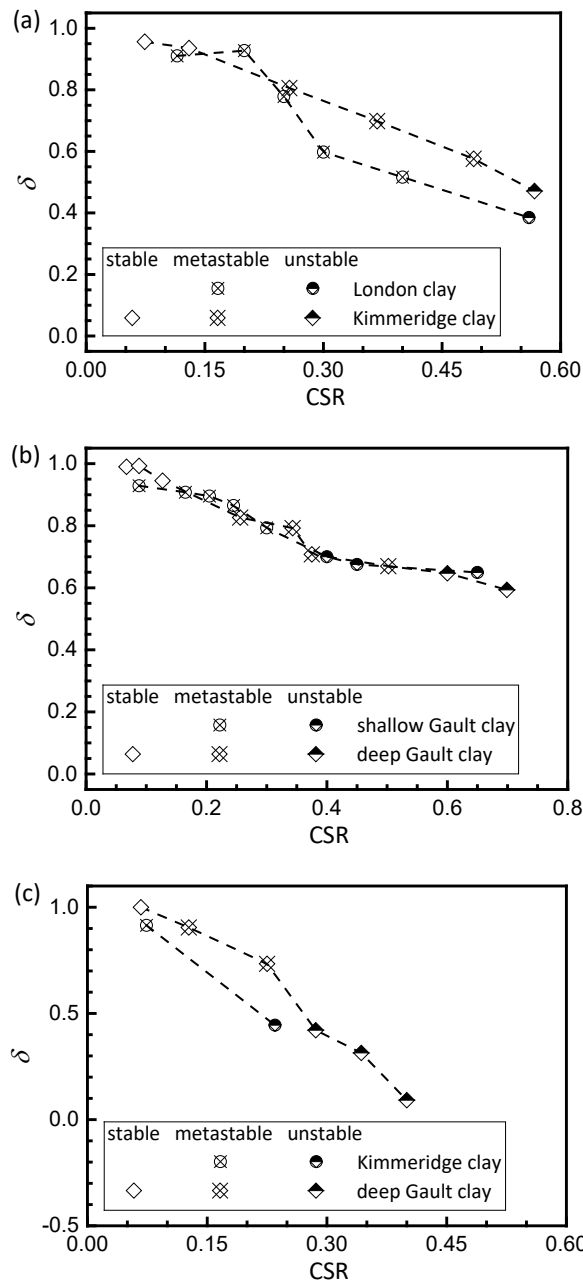


Fig. 13 Variation of stiffness degradation index at the last cycle with CSR: (a) CT-I and CT-II tests; (b) CT-III and CT-IV tests; (c) CHCA-II and CHCA-III tests

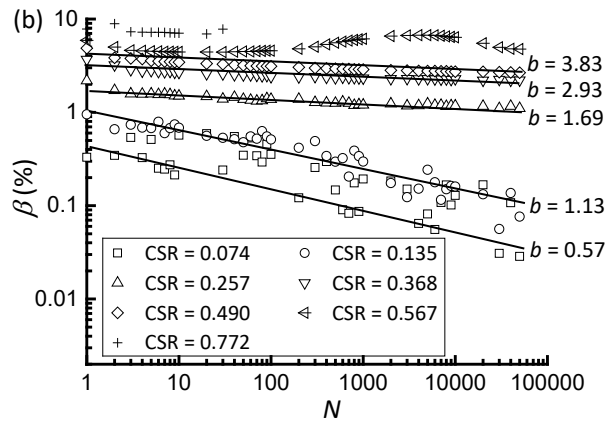
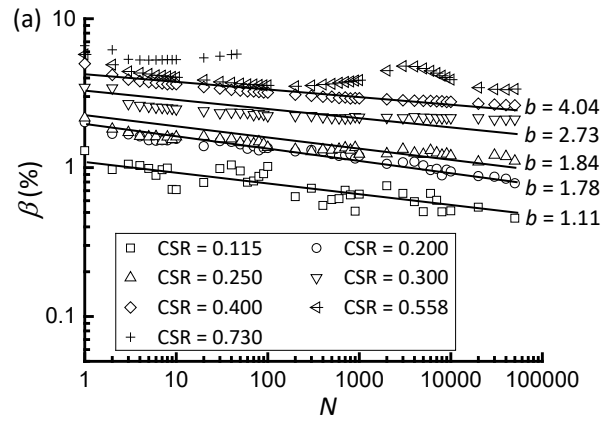


Fig. 14 Variation of damping ratio with N : (a) London clay in CT-I tests; (b) Kimmeridge clay in CT-II tests

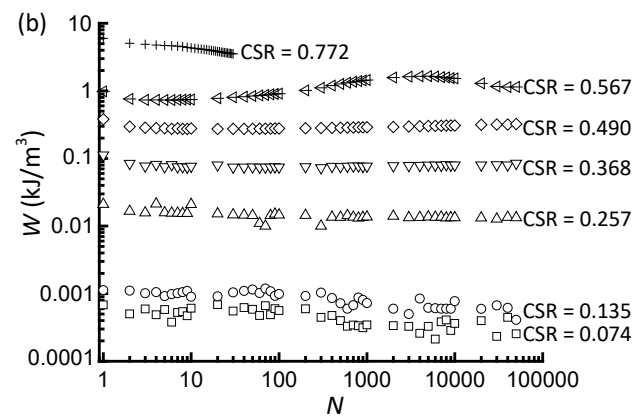
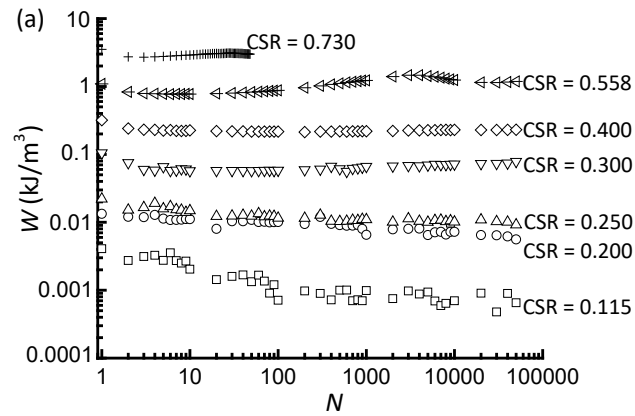


Fig. 15 Variation of dissipated energy per unit volume with N : (a) London clay in CT-I tests; (b) Kimmeridge clay in CT-II tests

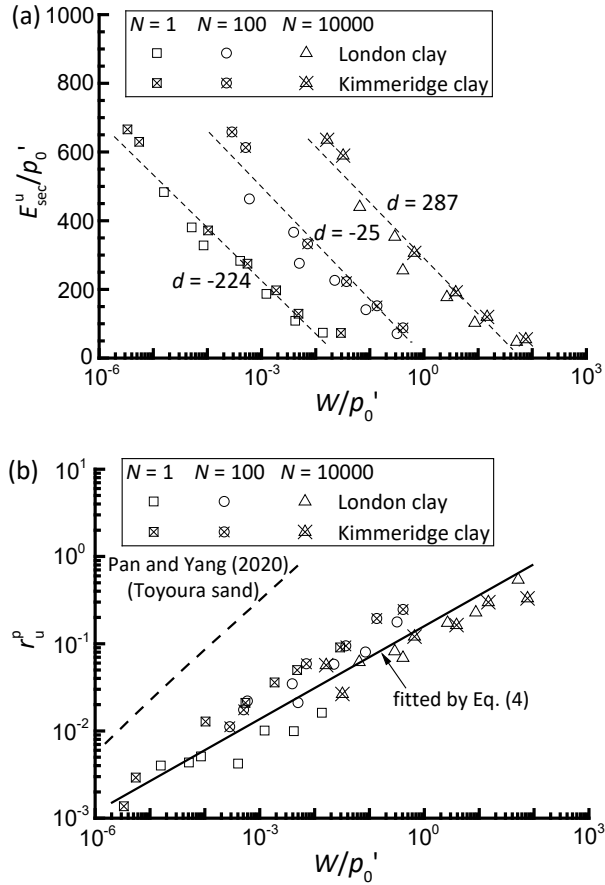


Fig. 16 (a) Normalized relationship between cyclic secant stiffness and cumulative dissipated energy; (b) permanent pore pressure ratio against normalized cumulative energy

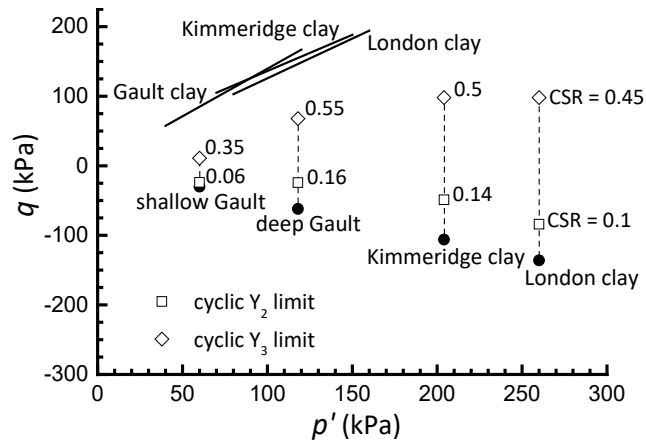


Fig. 17 Summary of cyclic yield limits interpreted from CT tests (Note: solid symbols represent in-situ K_0 stress states, hollow symbols represent cyclic yield points, and solid lines represent monotonic Y_3 yielding conditions)

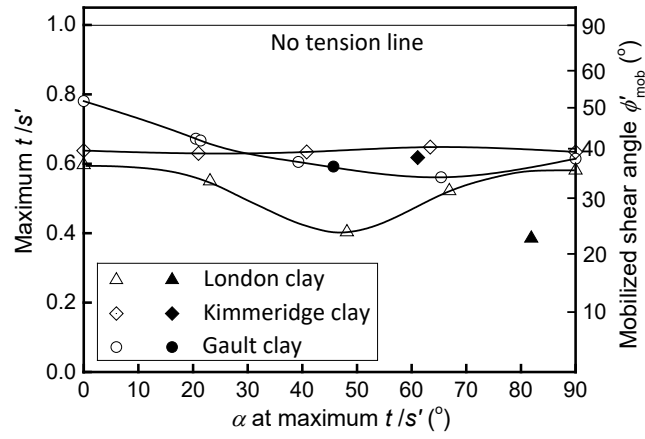


Fig. 18 Variations of maximum stress ratio t/s' with σ_1' axis orientation α covering both monotonic and cyclic HCA tests (Note: solid symbols represent cyclic test data and hollow symbols represent monotonic test data from Brosse et al. (2017b))