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# A physics-based machine learning technique rapidly reconstructs the wall-shear stress and pressure fields in coronary arteries

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# 2 ABSTRACT

3 With the global rise of cardiovascular disease including atherosclerosis, there is a high demand for accurate diagnostic tools that can be used during a short consultation. In view of pathology, 4 abnormal blood flow patterns have been demonstrated to be strong predictors of atherosclerotic 5 lesion incidence, location, progression, and rupture. Prediction of patient-specific blood flow 6 7 patterns can hence enable fast clinical diagnosis. However, the current state of art for the technique is by employing 3D-imaging-based Computational Fluid Dynamics (CFD). The high 8 computational cost renders these methods impractical. In this work, we present a novel method 9 to expedite the reconstruction of 3D pressure and shear stress fields using a combination of 10 a reduced-order CFD modelling technique together with non-linear regression tools from the 11 Machine Learning (ML) paradigm. Specifically, we develop a proof-of-concept automated pipeline 12 13 that uses randomised perturbations of an atherosclerotic pig coronary artery to produce a large dataset of unique mesh geometries with variable blood flow. A total of 1407 geometries were 14 generated from seven reference arteries and were used to simulate blood flow using the CFD 15 solver Abagus. This CFD dataset was then post-processed using the mesh-domain common-16 base Proper Orthogonal Decomposition (cPOD) method to obtain Eigen functions and principal 17 coefficients, the latter of which is a product of the individual mesh flow solutions with the POD 18 Eigenvectors. Being a data-reduction method, the POD enables the data to be represented using 19 only the ten most significant modes, which captures cumulatively greater than 95% of variance 20 of flow features due to mesh variations. Next, the node coordinate data of the meshes were 21 embedded in a two-dimensional coordinate system using the t-distributed Stochastic Neighbor 22 Embedding (t-SNE) algorithm. The reduced dataset for t-SNE coordinates and corresponding 23 vector of POD coefficients were then used to train a Random Forest Regressor (RFR) model. 24

25 The same methodology was applied to both the volumetric pressure solution and the wall shear

stress. The predicted pattern of blood pressure, and shear stress in unseen arterial geometries

27 were compared with the ground truth CFD solutions on 'unseen' meshes. The new method was

able to reliably reproduce the 3D coronary artery haemodynamics in less than 10 seconds.

29 Keywords: Arterial Blood Flow, Shear stress, Pressure drop, Reduced Order Modelling, Machine Learning

#### **1 INTRODUCTION**

Atherosclerosis is the leading cause of death in the developed world, accounting for more than 40% of 30 total mortalities per year. While it has been accepted that risk factors like hypertension, high cholesterol 31 and diabetes play a pivotal role in the progression of the disease, they do not explain the prediliction of 32 atherosclerotic plaque formation near sites of arterial bifurcation, side branching and curvature (Wentzel 33 34 et al., 2005). These predilection sites have been associated with disturbed blood flow and endothelial shear stress patterns (Siasos et al., 2018). Numerous experimental and clinical studies in the last few decades have 35 posited an essential role for disturbed shear stress in initiating atherosclerosis, in progression from simple 36 to advanced plaques, and in rupture of advanced, vulnerable plaques (Siasos et al., 2018). Furthermore, 37 38 disturbed shear stress patterns are also associated with in-stent restenosis and atherosclerosis (Chiu and Chien, 2011). Despite the overwhelming number of studies demonstrating the decisive role of blood flow in 39 clinical atherosclerosis, disturbed shear stress patterns have not yet been considered whilst making clinical 40 decisions during catheterization or surgery. This is mainly due to the high computational cost and long 41 convergence times required for sufficiently accurate numerical solutions. Several propositions have been 42 made to reduce time requirements, of which one of the earliest attempts was by applying supercomputers 43 to the numerical solvers (Krievins et al., 2020). While this reduced convergence time from a full day 44 to a few hours, a condition now met by standard modern computers, this is still not sufficient to aid in 45 diagnostics. Clinical decisions depend on data which can be reliably obtained within minutes, preferably 46 seconds. Hence, newer statistical modelling methods were used to further reduce convergence time of 47 48 Computational Fluid Dynamics (CFD) simulations based on machine learning (Arzani et al., 2022). These 49 can roughly be divided into two categories, the classical machine learning methods and physics-based machine learning methods. Classical machine learning methods use the power of deep learning to estimate 50 wall shear stress profiles (Arzani et al., 2022). The advantage of these methods is the flexibility of the 51 feature space to predict these wall shear profiles primarily due to the high expressivity of Deep Neural 52 53 Networks (DNN) and their ability to identify high dimensional features. However, such methods are not based on capturing the inherent physical conservation laws of the governing fluid flow. Consequently, any 54 55 change in feature space will necessitate a DNN recalibration cycle.

To overcome the above, physics-based machine learning technologies have raised interest recently. 56 These methods are predicated on capturing the underlying physics either via incorporation of the actual 57 conservation laws (Raissi et al., 2018) or by data-driven extraction of physically interpretable flow 58 characteristics (Wolf and Lele, 2012) as features for regression. For instance, Reduced-order modelling 59 of CFD simulations are motivated by the presence of coherent structures, identified from their statistical 60 moments in the datasets available from short duration simulations (Sieber et al., 2016; Towne et al., 2018). 61 By applying orthogonal decomposition theory, it is possible to identify high energy Eigenvectors, also 62 known as modes, of these coherent structures using essential information of the flow solution field (e.g. 3D 63 velocity and pressure) while reducing dimensionality of the data. Initial studies used both temporal and 64 spatial information of the velocity field to reduce its dimensions in non-health related areas (Wolf and Lele, 65 2012). The first health applications used these methods to study coherent structures in the velocity field of 66

67 idealised phantoms of bifurcations, saccular and aortic aneurysm (Pegolotti et al., 2021). Patient-specific

applications, which are noisier, have been successfully studied by accounting for such noise in the signal(Girfoglio et al., 2022). In order to apply these reduced order flow solution fields to novel objects, an

70 interpolation needs to be carried out.

In light of these advances in closely related fields of research, this paper establishes the foundation of our novel method amalgamating these techniques and applies it to a well-characterised experimental dataset of atherosclerotic pig coronary arteries (Pedrigi et al., 2015). We will show how to modify classical POD, introduce a shape optimizer for blood vessels, and present a suitable Random Forest Regressor (RFR) model to predict flow fields in novel arteries.

# 2 OUTLINE OF METHODOLOGY

We have developed an automatic pipeline which generates synthetic data from existing 3D reconstructed 76 77 blood vessels (Pedrigi et al., 2015), performs proper orthogonal decomposition (POD) on the shear stress and pressure field solutions, and t-distributed Stochastic Neighbour Embedding (t-SNE) on the mesh 78 coordinate data to enable feature reduction. The reduced mesh and flow parameter fields are then used 79 to train, validate and test a RFR model to perform interpolation; thereby enabling a fast reconstruction 80 of CFD solution in a given geometry. In the case of an unseen geometry as test input, the position of 81 the corresponding geometry in the t-SNE space is calculated analytically, and the mode coefficients are 82 83 predicted using RFR. Recombination of the previously extracted mesh-wise modes along with the newly 84 predicted POD mode coefficients is then used to produce the flow field solutions for the new geometry. The 85 pipeline is summarised in the form of a flowchart as shown in Figure 1, and the methods are described in 86 sections 3, 4, 5 and 6.

#### **3 CREATING A WELL-ANNOTATED SYNTHETIC DATA REPOSITORY**

Synthetic data has been proposed to meet the huge data requirement of artificial intelligence (AI) (Savage, 87 2023). Here, we developed a hybrid technique which uses a combination of realistic and synthetic data. 88 The realistic data was obtained from a validated 3D reconstruction method of coronary arteries based 89 upon a pullback of OCT images and angiography (Figure 2). This 3D vessel anatomy was then used as a 90 seed to generate synthetic data by applying random spatial perturbations to the original mesh. To prevent 91 92 unnatural, discontinuous geometric differences within each mesh phantom, the perturbations are based on the amplitude of a sinusoid, which distributed the perturbation lengthwise. The sinusoid components 93 have independently randomised amplitude, frequency, phase and vertical offset. With this method, 200 94 95 phantom meshes per each of the 7 unique blood vessels available were generated. Including the 7 natural artery shapes, this results in a total of 1407 3D meshes in this preliminary dataset. These geometries were 96 97 then input to the CFD solver Abaqus (v16.2) to obtain the pressure and shear stress field by solving the 98 governing steady-state incompressible Navier-Stokes equations. In the solver, the governing equations were discretised on  $\sim$ 100,000 mixed hexahedral and triangular prismatic elements in accordance with 99 the second order of approximation. The advection term in the momentum equation was discretised using 100 101 second-order least squares. To accelerate convergence of the steady solution with imposing the divergence free velocity field, the pressure-correction method (SIMPLE) was used with an efficient solution of the 102 103 Poisson pressure equation. Boundary conditions were imposed as constant inflow (100 cm/s), and zero 104 pressure outflow. On all vessel walls, a zero velocity and logarithmic wall function boundary condition was 105 specified. Blood rheology was modelled as a non-Newtonian fluid following the Carreau-Yasuda model,

$\nu_{\infty}, \mathrm{m}^2/\mathrm{s} \times 10^6$	$\nu_0, \mathrm{m}^2/\mathrm{s} \times 10^6$	$ au,\mathrm{s}$	$\alpha$	n
3.45	56	3.313	2	0.3568

 Table 1. Parameters of the Carreau-Yasuda model

106 which at high strain rates incorporates the effect of shear thinning in the definition of kinematic viscosity107 as:

$$\nu = \nu_{\infty} + (\nu_0 - \nu_{\infty}) \left( 1 + (\tau \dot{\gamma})^{\alpha} \right)^{\frac{n-1}{\alpha}}.$$

108 where  $\dot{\gamma} = \frac{\partial u}{\partial y}$  is the flow shear gradient near the wall, and the model coefficients are summarised in 109 Table 1. For turbulence modelling, the standard k-  $\varepsilon$  RANS (Reynolds Averaged Navier Stokes) model was 110 used. All calculations were performed using APOCRITA, the HPC cluster of Queen Mary University of 111 London (King et al., 2017).

#### 4 DATA REDUCTION OF THE CFD SOLUTION FIELDS USING PROPER ORTHOGONAL DECOMPOSITION

POD is a tool in CFD post processing and is derived from the Singular Value Decomposition (SVD) method 112 for matrix factorisation commonly used in statistical analysis. The method finds correlations in the vector 113 flow solution field, which contains small linear perturbations, to obtain an Eigenbasis onto which the 114 mesh flow data can be projected. In classical POD, the correlations are obtained in the time domain to 115 identify flow structures that are most dynamically important in time during the evolution of turbulence. 116 117 The same methodology is also extended to varying flow cases based on different experimental setups (e.g. considering a number of unsteady flow experiments performed on the same CFD mesh), this is known 118 as common base POD (cPOD) (Kriegseis et al., 2010). In our methodology for obtaining common mode 119 functions underlying multiple meshes, the time domain is replaced with the domain of the mesh geometries. 120 It is assumed that a few smoothly varying variables can be used to represent the mesh cases. The goal 121 is to obtain the hidden common modes in the stationary solutions, on multiple meshes, while the mesh 122 is smoothly varied. To obtain the modes underlying the variations in pressure and shear stress fields, we 123 use the method of SVD. We begin with a dataset of CFD simulated steady-state flow solutions. For one 124 simulation, the chosen output variable (e.g. pressure and wall shear) is organised into a N-length vector, 125 where N is the number of nodes in the mesh. These vectors are oriented horizontally and then stacked 126 vertically. With M meshes, the resulting 2D solution matrix A has the dimensions M x N. Our application 127 of SVD follows the theory of snapshots (Weiss, 2019), similar to other use cases. However, each snapshot 128 129 (stacked vector) in our solution matrix is not a different time frame of the same simulation, but rather a steady state solution ran with identical conditions on a different, uniquely shaped mesh. SVD factors the 130 matrix into a product of three matrices  $A = UDV^T$ , where the columns of U and V are orthonormal (V 131 is transpose), and the singular matrix D is diagonal with positive real numbers, organised by magnitude 132 in descending order. The sum of the singular values represents the total amount of information in the 133 system. They are analogous to the Eigenvalues of the Eigen decomposition, and represent the magnitude, 134 or significance, of each Eigenvector, or POD mode. The singular values can then be used to estimate the 135 136 number of modes needed to reconstruct the flow solutions without significant loss of information (Weiss, 2019). Both vector matrices U and V are organised in terms of the singular values, from most to least 137

significant. The summed energy of each leading mode, being their corresponding singular values, are then 138 139 used to define a tolerance threshold for information loss. Due to spatial coherence of particular modes of variation of the flow with respect to the mesh shape, the number of modes that capture the majority of 140 141 useful information are the first few, as compared to the full dataset. Modes that fall outside of a chosen 142 threshold in terms of correlative significance can be truncated from the dataset, drastically reducing the dimensionality of the data whilst incurring a tolerable underestimation of the concerned node-wise flow 143 144 parameter. Additionally, although not implemented in the current case, explicit smoothing can also be 145 applied in the correlation matrix space to enhance numerical properties of the meh-wise POD coefficients 146 (Sieber et al., 2016). In this case, the leading 10 modes were found to capture >95% of total information 147 about both the pressure and wall shear stress, and thus were deemed sufficient for accurate reconstruction.

# 5 DATA REDUCTION OF THE SYNTHETIC MESHES OF CORONARY BLOOD VESSELS.

148 Several shape optimizers have been proposed in the literature, of which t-SNE has acquired a lot of attention (Hao et al., 2022). The t-SNE is a statistical method for visualising high-dimensional data by embedding 149 each N-dimensional data point in a reduced space, typically of two or three dimensions. A higher number 150 151 of embedding dimensions will retain a greater accuracy of clustering, but also increase the sparsity of data within the space. More specifically, t-SNE generates the joint Gaussian distribution of the conditional 152 chance that a nearby mesh coordinate is sufficiently close in terms of Euclidean distance to an initial mesh 153 154 coordinate. The unknown variance of the Gaussian distribution is obtained from the Shannon entropy. This step creates a matrix of each mesh coordinate with all other mesh coordinates where a chance is provided 155 on the basis of distance. 156

157 As a next step, a reduced order mapping is obtained by minimizing the Kullback-Leibler divergence 158 between the Gaussian distribution of the original points and a Student's t-distribution of points in a reduced 159 dimensional space. The resulting vectors are then used to fill the feature space. In a sense, the space is "seeded" with the meshes produced from the natural OCT images. The space around each image is then 160 161 populated with the synthetic mesh vectors, which have a small but significant geometrical difference from 162 the parent mesh. The goal being to fill the feature space and bridge the empty regions between the clusters. Given that the principal coefficients are physics-based, they should maintain a causal link to the values of 163 164 the embedding coordinates, which represent variability in mesh shape. A filled feature space with an intact 165 causal link will aid an interpolative machine learning model to make accurate coefficient predictions for an unseen geometry (figures 5 and 6). It is worth noting that what constitutes a "filled" feature space is 166 highly dependent on the chosen t-SNE parameters and the natural limits of the data that is being reduced. 167 The "natural limit" is in reference to the fact that a hypothetical dataset containing all possible natural 168 variations of the artery shape will produce a "filled" feature space, and the regions that are not populated 169 170 will represent shapes that do not occur naturally, and thus may not be useful for a diagnostic tool. Hence, we aim to produce synthetic data, which is not so different from the natural data as to have its shape fall 171 172 outside of this hypothetical set. It is for this same reason that it is better to bolster the dataset with natural shapes wherever possible, with synthetic data playing a supplemental role. Integration of human OCT 173 patient data is forthcoming in future research. 174

# 6 RANDOM FOREST REGRESSOR AND REGRESSOR CHAIN

SVD re-organizes the modes based on their energy level content and the number of modes are truncated when >95% of the variance of the field is preserved. This resulted in the first 10 modes for the pressure field and the shear stress field for the dataset we use for this study, which when used for reconstructing the solution leads to a root mean squared error less than 5%. In order to interpolate the POD principal coefficient field that enables predictions of future objects, simple feed-forward neural networks and classical machine learning methods were compared. It was found that the RFR algorithm combined with the Regressor Chain algorithm were best suited for this task.

The RFR algorithm is a supervised machine learning technique that integrates multiple independent 182 decision trees on a training data set: the obtained results are ensembled to obtain a more robust single 183 model compared to the results of each tree separately (Breiman, 2001). RFR is a supervised learning 184 method in the sense that during training it identifies mappings between inputs and outputs. In our setup, 185 the t-SNE coordinates of the meshes are the input and the cPOD principal coefficients are the output. In 186 our approach, an independent RFR regressor is employed for each of the 10 coefficients. The Random 187 Forest Regression algorithm utilised in our work is obtained from the popular Machine Learning library 188 Scikit-learn. Scikit-learn is built to facilitate the use of Artificial Intelligence and Machine Learning 189 190 algorithms, and is used in regression, classification, and clustering tasks. The model is imported as "sklearn.ensemble.RandomForestRegressor". Additionally, a Regressor Chain architecture is used to obtain 191 a multiple output model that organises the regression of individual modes in a chained fashion. Thus, RFR 192 creates a regression model for each pressure coefficient, where each model makes a prediction for its 193 coefficient specified by the chain by using all the t-SNE features provided to the model and the predictions 194 of previous outputs in the chain. This ensures that the correlation between the features are taken into 195 account to enhance the regression. 196

# 7 RESULTS

An automatic pipeline was implemented to perform highly accurate 3D reconstruction from biplane 197 angiograms and an OCT pullback (Panda et al., 2022), to automatically generate a mesh and on basis 198 199 thereof, and to generate small perturbations in the topology of meshes. The latter was then used to generate 200 a full stationary solution of the shear stress and pressure fields using the Navier-Stokes solver in Abaqus. 201 The perturbation parameters were bounded to induce small but significant changes in the accompanying 202 geometry of the meshes (Figure 2). This also resulted in appreciable changes to the pressure and wall shear 203 fields (Figure 3). The cumulative wall shear stress and pressure fields were then further analysed with the cPOD procedure. The first 10 modes of the pressure and shear stress fields were sufficient to reproduce 204 205 >95% of the variance of both fields, leading to modest errors in the reproduction of the original fields of 206 <1% (Figures 4 and 5).

Next was a reduction in the dimensions of the mesh topology using t-SNE (Figures 6 and 7) for utilisation in a low-dimensional regression task. The t-SNE algorithm enables control over the clustering behaviour based on similarity through its perplexity parameter. This was fine tuned to obtain an approximately homogeneous distribution of the mesh cases, whilst preserving noticeable clustering features. This allows for a smooth geometrical representation suitable for regression. As can be observed, the t-SNE features resolve to seven clusters corresponding to seven natural artery shapes. To which, random perturbations are introduced to generate quantitatively distinct synthetic datapoints. Additionally, within each of the t-SNE 214 clusters, the variation of the principal coefficients are also smooth and continuous since their values are 215 correlated with variation in mesh shape.

The 1407 t-SNE data points with their respective pressure and shear stress modes were shuffled and divided into a training data set (80% of the overall data) and a validation data set (remaining 20%). The training dataset was used for ten iterations to train the RFR model, where the best maximum tree depth was found to be 20, and the best maximum number of trees for the model was found to be 70. The machine learning model was applied for the test data set as well. Figures 8 and 9 show the results for shear stress and pressure for the two most significant POD modes respectively. The mean Root Mean Square Error (RMSE) of the prediction of the dominant mode coefficient was 15.2% for pressure and 19.7% for shear stress.

223 With the regression for cPOD principal coefficients completed, the mesh-wise modes previously generated 224 by the cPOD method together with the newly predicted coefficients are used to reconstruct the flow field. Results of the 3D reconstruction of the shear stress and pressure fields for the CFD method ("ground truth") 225 the cPOD reconstruction alone, and the RFR prediction are shown in Figure 10. These were used for 226 further error quantification of the flow solution in the physical space, relative  $L_1$  and  $L_2$  norm errors, which 227 are analogues to the normalised mean absolute errors (NMAE) and normalised root mean square errors 228 (NRMSE), respectively, considered in other studies (Liang et al., 2020). The errors were calculated using 229 the dominant 10 POD modes for the test dataset of 20% of the meshes in accordance with the following 230 definitions: 231

$$NMAE(i = 1, ..., imeshmax) = \frac{\sum_{j=1}^{j=jnodemax} \left| f_{ij}^{ML} - f_{ij}^{GT} \right|}{jnodemax \cdot (\max(f) - \min(f))} \cdot 100\%$$
$$NRMSE(i = 1, ..., imeshmax) = \frac{\sqrt{\sum_{j=1}^{j=jnodemax} \left( f_{ij}^{ML} - f_{ij}^{GT} \right)^2}}{jnodemax \cdot (\max(f) - \min(f))} \cdot 100\%$$

233 where jnodemax is the total number of CFD data points in the considered volumetric/surface distributions, imeshmax is the number of meshes in the test dataset, ML and GT denote the machine learning and 234 235 the ground truth (CFD) solutions respectively, and f stands for the pressure or wall shear stress solution 236 component. The mean values and the corresponding standard deviations of computed errors are summarised in Tables 2 and 3. It should be noted that the range of NMAE and NRMSE for pressure is within the 237 238 accuracy reported for the machine learning models of pressure in aortic flows based on autoencoders and 239 Deep Neural Networks (DNNs) (Liang et al., 2020). It can also be noticed that the standard deviation and 240 the mean error values are of the same order of magnitude in all cases, which suggests that the populated 241 parameter space for the considered coronary artery problem is relatively sparse. The latter is in agreement 242 with sparsity of the t-SNE maps (Figures 6 and 7). The error variation is particularly large for the shear 243 stresses, which can be explained by a much smaller statistical ensemble of the wall shear surface points in 244 comparison with the volume points where pressure was computed. This is supported by an estimate based 245 on the central limit theorem (Montgomery and Runger, 2010), which suggests that the ratio of statistical errors of the pressure and wall shear stresses should scale as a square root of the ratio of the number of 246 247 surface points to that of the volume points, and which is about 1:4.5 for all considered meshes.

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NMAE, %	NRMSE, %	NMAE, %	NRMSE, %
$2.96 \pm 2.84$	$3.51\pm3.2$	$11.2\pm11.8$	$11.2 \pm 11.8$

Table 2. Mean errors and standard deviations of reconstructed pressure solution (Left), and of the reconstructed shear stress solution (Right).

# 8 **DISCUSSION**

Rheological theories of Atherosclerosis have been shown to successfully predict plaque location, plaque 248 progression, and plaque rupture (Zhou et al., 2023). They have not been used to infer clinical decisions. 249 250 Current developments in physics-based artificial intelligence allow us to accelerate these methods so that clinical interventions in the cath lab can be evaluated on novel parameters such as shear stress, pressure 251 252 drop, and/or velocity field. The main findings of the current paper are that a) synthetic perturbation is an 253 effective way to generate additional surrogate data, which can help satisfy the large volumes required by 254 AI algorithms, b) cPOD, a time-independent variation of POD, can be used to substantially reduce the dimensions of pressure and shear stress field data in simulated blood vessels, c) metrics for quantifying the 255 256 shape of a blood vessel mesh, such as t-SNE, are effective schemes to drastically reduce the degrees of freedom corresponding to variations in vessel geometry, and d) an interpolative method based on a RFR 257 model was able to predict new pressure fields within seconds, with mean relative  $L_1$  and  $L_2$  errors (NMAE) 258 and NRMSE) of 2.96% and 3.51% respectively. The errors of the wall shear stress reconstruction show an 259 approximately 4 times larger scatter in comparison with the pressure calculation, in statistical agreement 260 261 with the smaller number of mesh surface points in comparison with the volume points.

Synthetic manipulations have recently been introduced to Machine Learning to overcome the excessive 262 requirement of well annotated data for AI algorithms (Savage, 2023). We have developed a hybrid 263 approach which took into account the natural variation between blood vessels and applied random synthetic 264 perturbations to produce variants of this original data, with the aim of populating the t-SNE feature space 265 (Figure 2). It was noted that full feature space homogenisation would require significantly more drastic and 266 exotic synthetic manipulation of the OCT data, which would likely negatively impact the ability of the 267 data to represent reality. A better balance between number of real data versus synthetic data is required to 268 bring this technique closer to real-world application. In future, a systematic procedure can be adapted to 269 generate the synthetic meshes in an optimal way by exploiting sensitivity of the coronary flow response to 270 perturbations of the baseline vessel geometry, similar to the deformation matrix method recently developed 271 for aortic flow simulations (Pajaziti et al., 2023). 272

In unsteady fluid mechanics problems on a fixed mesh, a 1D time coordinate is typically used as an 273 evolutionary variable to characterise the snapshots of the POD method. Here, this approach is generalised 274 to a set of 2D t-SNE coordinates, which are cognate with time for the purpose of POD snapshots and 275 were found sufficient to reconstruct the pressure and wall shear stress fields in any specified blood vessel 276 shape. The t-SNE technique was applied to reduce the complexity of each mesh whilst preserving their 277 278 characteristic features. In doing so, their relative similarity necessarily remains intact (Hinton and Roweis, 279 2002) due to the fact that, prior to the embedding step, t-SNE computes the difference between the input meshes based on Euclidean distance between the node coordinates. Therefore, the clustering of the variable 280 phantom meshes around their respective reference shapes arises naturally. Notably, the entire process of 281 282 meshing the OCT contour domain, embedding this geometry in 2D t-SNE space, predicting the coefficients and constructing the pressure and wall shear stress fields cumulatively takes no more than 2 minutes, which 283

underpins the success of this method. Furthermore, the applicability of 2D t-SNE coordinates to describe ~100,000 degrees of freedom corresponding to the number of CFD mesh elements implies a factor of  $10^5$ dimensionality reduction. In the future, to model multiple solution components in space and time, use of a higher dimensional t-SNE space instead of 2D t-SNE may be reconsidered, and the relationship between clustering accuracy and data sparsity will be investigated.

289 The standard RFR algorithm was found to be a suitable option for non-linear regression to reconstruct the POD signals from the t-SNE space. Despite the simplicity of the RFR model, the accuracy of predictions 290 was encouraging. Essentially, the model uses the calculated t-SNE co-ordinates and their associated 291 principal coefficients to interpolate the coefficient values over the whole embedding space. The RFR 292 293 segregates feature data into groups before interpolating within each group, which is particularly suitable for the clustered t-SNE features. Notably, the distribution of mode coefficients in the t-SNE space (Figure 294 295 6 and 7) demonstrates smooth variations due to the inherent correlation between the shape of a mesh and the major flow patterns captured by the dominant POD modes. 296

# 9 LIMITATIONS OF THE METHOD AND CONCLUSION

To translate the current method to clinical applications, several limitations must be addressed. First, the 297 current implementation assumes that shape variations are the most important factor affecting velocity fields 298 and their derived parameters. This is corroborated by theoretical arguments, as well as observations that 299 velocity, shear stress and pressure drop strongly scale with diameter. However, the artery flow field also 300 301 scales with the inflow velocity, which changes throughout the cardiac cycle. To systematically account for the unsteady velocity variation, future developments include extending the scope of the AI model by 302 re-adding the time evolution input. In the meantime, the current simplified steady model may already 303 304 be sufficient if the flow features of interest are slow compared to the viscous effects, i.e. the flow in the coronary vessel is quasi-steady. In this case, the time history of inflow velocity variation can be decoupled 305 into a series of time frames, where each frame may be represented by a steady process at a different inlet 306 307 velocity scale. In turn, the shear stress and pressure fields at each frame can be rapidly reconstructed from the inflow velocity and the shear stress and pressure fields of a baseline dataset using the scaling law 308 introduced by Taylor et al. (2022). 309

A more serious limitation of the current study is the neglect of the natural flexibility and heterogeneity of vessel walls in the flow modelling process. Whilst the rigid wall assumption significantly accelerates the solution of the governing Navier-Stokes equations, modelling of the Fluid Structure Interaction (FSI) is essential to correctly capture the coronary artery flow behaviour (Fogell et al., 2023). Hence, future developments will incorporate the FSI model into the simulation driven dataset of the suggested cPOD-tSNE framework.

Despite the overall salutary results of the RFR method, to further refine accuracy of the machine learning model predictions in future, the RFR algorithm may be replaced by more advanced methods such as those based on Gaussian processes; one advantage of which being uncertainty quantification to provide an overall error estimate for the user. Such estimations would be an invaluable addition to a model that is intended for use as a diagnostic tool for clinicians.

Finally, in line with many recent works devoted to the proof-of-concept data-driven modelling of cardiovascular flows (Liang et al., 2020), we simplified the model by considering the vessel without side branches.
However, it is known that bifurcations occur in the main stem of the left coronary artery, which might
affect the inflow conditions. Hence, to reduce the effect of the bifurcation in the current study, the starting

site of the 7 catheterised segments was deliberately located 5 vessel diameters downstream of the main
stem. Nevertheless, to account for general topology of coronary vessels, which may be of practical interest,
the suggested reduced order modelling approach will be extended to side branches in future work.

328 Despite the above-mentioned limitations of the current work, it can be concluded, using t-SNE and cPOD to perform interpolation by Machine Learning was very successful for the proof-of-concept modelling 329 of coronary artery flows. The speed and accuracy obtained were highly motivating and were able to 330 calculate the pressure and shear stress fields of an unknown vessel within seconds. Rheological theories of 331 Atherosclerosis have been shown to successfully predict plaque location, plaque progression, and plaque 332 rupture (Zhou et al., 2023), but they have not been used to infer clinical decisions. Current developments in 333 physics-based AI allow us to accelerate these methods such that clinical interventions in the cath lab can be 334 evaluated on novel parameters such as shear stress, pressure drop and 3D velocity field. 335

To conclude, we developed a method to produce a very fast solution to the Navier-Stokes equations, as we aimed to focus on applying this method in a clinical environment with high demand for rapid solutions. We are currently working towards newer methods enabling time dependent flows that incorporate solid state interactions, as well as higher accuracy AI modelling functions with corresponding error estimates.

#### CONFLICT OF INTEREST STATEMENT

340 The authors declare that the research was conducted in the absence of any commercial or financial 341 relationships that could be construed as a potential conflict of interest.

#### **AUTHOR CONTRIBUTIONS**

342 All authors contributed to manuscript revision, read, and approved the submitted version.

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#### DATA AVAILABILITY STATEMENT

The datasets used in this study are available with the corresponding author and can be shared upon reasonable request.

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# **FIGURE CAPTIONS**



**Figure 1.** The data processing pipeline is summarized in this flowchart. OCT images are obtained in the cath. lab. and used to extrapolate a 3D contour. Mesh generation and Computational Fluid Dynamics are done through an automatic pipeline. The velocity profiles obtained from CFD will act as the ground truth. Synthetic data generation (n=1407) is done by random, but continuous purturbation of the length-wise diameter of each independent blood vessel (n=7). Data reduction is performed on the shear stress, and pressure fields obtained from CFD, via POD (see text for details), and on the input meshes through t-SNE (see text for details). These reduced data sets are used to train (90%) and validate (10%) the machine learning learning module.



**Figure 2.** 10 randomly selected phantom geometries from the dataset are visualised. All phantoms shown were generated from the same OCT image. Variation in shape is due to random synthetic perturbations applied to the artery diameter. The function of which is a composite of two sinusoids with randomised amplitude, frequency, phase and vertical displacement. This ensures smooth, continuous variation along the length of the artery regardless of input parameters.



**Figure 3.** A collection of meshes generated using various OCT images and perturbation parameters, coloured by the pressure (left) and wall shear (right) solutions from CFD simulations. The mesh dimensions are normalised for the sake of visualisation



**Figure 4.** (left) Root-mean-squared error for the reconstruction of the original mesh-wise pressure solution from a truncated set of 10 principal coefficients per mesh. The error is normalised against the range of pressure values across all meshes. (right) Singular values for the decomposition of the pressure solution, normalised against the largest value. These singular values are ordered by magnitude and represent the relative contribution of each POD mode to the energy of the overall pressure solution. Subsequent values quickly decay to <1% of the highest value, as the first several modes represent the overwhelming majority of the information in the pressure field. This indicates that many of these trailing modes can be safely discarded from the dataset without losing a significant amount of information.



**Figure 5.** The mesh-wise reconstruction error for wall shear (left) is much lower than pressure reconstruction using the same number of coefficients. Additionally, the singular values (right) decay to 0 in a fewer number of modes compared to the pressure decomposition. These factors are indicative of the wall shear solution being easier for the POD method to decompose than static pressure, possibly due to the fewer number CFD nodes for which it is computed.



**Figure 6.** The distribution of all meshes in the database embedded in 2D t-SNE space with colours representing the principal coefficients of the static pressure solutions for the first (left) and second (right) mesh wise POD modes.



**Figure 7.** The distribution of all meshes in the database embedded in 2D t-SNE space with colours representing the principal coefficients of the wall shear solutions for the first (left) and second (right) mesh wise POD modes.



**Figure 8.** Predictions of POD principal coefficients of shear stress for first two modes using the proposed framework, compared to the ground truth for the test data set. The first part of the same data set was used for training via the RFR. The regression was performed on the 2D t-SNE representation of the meshes against the principal coefficients.



**Figure 9.** Predictions of POD principal coefficients of pressure for first two modes using the proposed framework, compared to the ground truth for the test data set. Training and testing of the RFR model for pressure utilised the same algorithm, configuration, and optimization as shear stress.



**Figure 10.** A visualisation of the flow field solution for pressure (left) and wall shear (right) of two test meshes. Shown is the ground truth CFD simulation data (top), the reconstructed POD solution using the 10 most dominant coefficients calculated from the CFD solution (middle) and the reconstruction using the RFR predicted coefficients (bottom).