

*Citation for published version:*

Dalin, P, Suzuki, H, Pertsev, N, Perminov, V, Efremov, D, Voelger, P, Narayanan, VL, Mann, I, Häggström, I, Zalcik, M, Ugolnikov, O, Hedin, J, Gumbel, J, Latteck, R & Baumgarten, G 2022, 'Studies of noctilucent clouds from the stratosphere during the SONC balloon-borne experiment in 2021', *Journal of Atmospheric and Solar-Terrestrial Physics*, vol. 240, 105959. <https://doi.org/10.1016/j.jastp.2022.105959>

*DOI:*

[10.1016/j.jastp.2022.105959](https://doi.org/10.1016/j.jastp.2022.105959)

*Publication date:*

2022

*Document Version*

Peer reviewed version

[Link to publication](#)

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1 **Published in**

2 **Journal of Atmospheric and Solar-Terrestrial Physics,**

3 **240, 105959, doi.org/10.1016/j.jastp.2022.105959, 2022**

4  
5 **Studies of noctilucent clouds from the stratosphere during the SONC**  
6 **balloon-borne experiment in 2021**

7  
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**Abstract**

On the night 16-17 August 2021, a balloon-borne experiment called Stratospheric Observations of Noctilucent Clouds (SONC) was successfully performed. A big scientific balloon, having onboard three automated cameras for studies of noctilucent clouds (NLC), was launched to 32.7 km altitude from Esrange (northern Sweden). All three NLC cameras and electronics were completely operational in the stratosphere for more than 10 hours at low temperatures of about 630°C. Two wide angle cameras registered an extended NLC field of about 1700 km long in the twilight sky sector from the north-west to the north-east of Esrange. NLC were of a moderate brightness and were located at high latitudes between 68° and 71°N. The NLC field was located in a cold area (1386142 K) below the frost point temperature (1456148 K) in the mesopause region that was confirmed by Aura/MLS satellite and Esrange lidar measurements. The balloon-borne NLC measurements were accompanied by ground-based lidar and radar measurements. The latter have registered Polar Mesosphere Summer Echoes (PMSE) in the same volume of the summer mesopause along with NLC observed from the stratosphere that has been performed for the first time above northern Scandinavia. We describe the technique and method of the NLC observation from the stratosphere as well as present the first scientific results of the SONC experiment.

*Keywords:* noctilucent clouds, balloon-borne stratospheric observations, mesospheric dynamics, atmospheric gravity waves

**Highlights:**

1. Balloon experiment was successfully realized to study NLC from the stratosphere
2. NLC field had horizontal scales of about 1700 km long from east to west
3. NLC and PMSE were simultaneously observed in a common mesopause volume
4. NLC field was located in a cold area of the mesopause

## 51 **1. Introduction**

52 Noctilucent clouds (NLC) are the highest clouds in the Earth's atmosphere. They can be  
53 observed in the summer mesopause region between 80 and 90 km altitude at middle and polar  
54 latitudes of both hemispheres. NLC are composed of water-ice particles of 300-100 nm in  
55 radius that scatter sunlight and thus the clouds are observed against the dark twilight arc from  
56 May until September in the Northern Hemisphere and from November to February in the  
57 Southern Hemisphere (Bronshten and Grishin, 1970; Gadsden and Schröder, 1989; Liu et al.,  
58 2016). NLC have also been observed from space and in this case they are usually called Polar  
59 Mesospheric Clouds (PMC) (Thomas, 1984).

60 Noctilucent clouds often exhibit a wave structure due to a complex interplay between  
61 small-scale turbulence processes of 10-1000 metres, atmospheric gravity waves (GW) with  
62 wavelengths of 10-1000 km, planetary waves, solar thermal tides and lunar gravitational tides  
63 of about 10000 km wavelength (Witt, 1962; Fritts et al., 1993; Rapp et al., 2002; Kirkwood  
64 and Stebel, 2003; Chandran et al., 2009; Dalin et al., 2010; Fiedler et al., 2011; Taylor et al.,  
65 2011; Pertsev et al., 2015). Sometimes, distinct non-linear mesospheric phenomena like  
66 mesospheric fronts can be found with temperature gradients of 20-25 K over a few km,  
67 clearly separating two volumes of the mesopause having cold and warm air masses (Dubietis  
68 et al., 2011; Dalin et al., 2013; Thurairajah et al., 2021).

69 NLC/PMC are systematically studied from the ground by means of optical imagers and  
70 lidars as well as from space using dedicated satellites and instruments (The Aeronomy of the  
71 Ice in the Mesosphere (AIM), Odin, Solar Backscatter Ultraviolet Radiometer (SBUV)  
72 instruments) (e.g., Karlsson and Gumbel, 2005; Dalin et al., 2008; Bailey et al., 2009; Fiedler  
73 et al., 2011; DeLand and Thomas, 2015). Additionally, irregular (campaign-based) NLC  
74 observations have been conducted by using sounding rockets and aircraft (Zadorozhny et al.,  
75 1993; Gumbel and Witt, 2001; Reimuller et al., 2011; Suzuki et al., 2022). All of these  
76 techniques have both advantages and disadvantages. In particular, ground-based  
77 measurements provide a high horizontal resolution of ~20 m and high temporal resolution of  
78 ~1 second using optical NLC imagers (Dalin et al., 2010; Baumgarten and Fritts, 2014) as  
79 well as high vertical resolution of 50-150 metres using lidars (Baumgarten et al., 2009) but  
80 are limited by tropospheric weather conditions and are restricted to a certain small region  
81 above the Earth's surface. Satellite measurements, on the other hand, provide global PMC  
82 coverage, but have low spatial horizontal resolution (~1 km) and low temporal resolution of  
83 several minutes. Additionally, they have large spatial measurement gaps of several hundreds  
84 of km between adjacent orbits at middle and subpolar latitudes (45-60°N) due to a spacecraft

85 orbiting the Earth. Thus, there is no perfect technique to observe and study microphysical and  
86 dynamical processes of NLC/PMC so far.

87 Propagating gravity waves induce dynamical and microphysical changes in the NLC  
88 genesis, evolution and their optical properties, which have been intensively studied with  
89 model simulations (i.e., Witt, 1962; Turco et al., 1982; Jensen and Thomas, 1994;  
90 Klostermeyer, 1998; Rapp et al., 2002; Fritts et al., 2014; Dong et al., 2021). The main  
91 mechanism provides changes in the particle size distribution by a propagating wave due to ice  
92 sublimation / condensation. In general, microphysical models (Turco et al., 1982; Jensen and  
93 Thomas, 1994; Rapp et al., 2002) demonstrate that gravity waves with periods less than a few  
94 hours tend to decrease the size of ice particles, whereas waves with periods more than 6.5 h  
95 tend to amplify NLC due to a sufficient growth of ice particles in a cold phase of a wave.  
96 However, model simulations made for short-period waves (Shevchuk et al., 2020) show that  
97 such waves can provide wavelike patterns of more bright crests and less bright wave troughs  
98 due to a wave modulation of an NLC layer without notable changes in sizes of ice particles  
99 and in their number density.

100 At the same time, balloon-borne observations made from stratospheric altitudes (25-40  
101 km) have a potential for comprehensive studies of NLC on a regular basis. Among others,  
102 observations from the stratosphere have the following advantages: no dependence on  
103 tropospheric weather conditions, the closest location to an NLC layer compared to ground and  
104 space observations (making the highest possible spatial resolution from the stratosphere),  
105 observing NLC both at very large scales up to 2500 km (due to the Earth's curvature) and at  
106 very small scales down to 5 metres. A balloon being located above the highest ozone  
107 concentration (between 20 and 25 km at high latitudes) provides NLC observations almost  
108 free from ozone absorption in the Chappuis absorption of the scattered light between 400 and  
109 650 nm as it has to travel a long path through the Earth's atmosphere when observing NLC in  
110 the twilight sky.

111 So far, there have been conducted three experiments providing NLC observations from  
112 stratospheric balloons (Miller et al., 2015; Dalin et al., 2019, 2020; Fritts et al., 2019). These  
113 experiments have provided unique materials for studies of both large-scale and small-scale  
114 wave dynamics and turbulent processes in NLC layers. In the present paper, we report on a  
115 new balloon-borne experiment dedicated to studies of wave processes in NLC as well as  
116 microphysical properties of ice particles in the high latitude mesopause region. We describe  
117 the technique, methods of NLC observations from the stratosphere and present first scientific  
118 results of this balloon-borne experiment. The stratospheric measurements were accompanied

119 by lidar and radar measurements from the ground in the vicinity to the balloon trajectory. We  
 120 also use Aura/MLS satellite water and temperature measurements to analyze the environment  
 121 situation in the mesopause region during the experiment.

122

## 123 2. Technique and method

### 124 2.1. Technical characteristics of the imager

125 The Stratospheric Observations of Noctilucent Clouds (SONC) experiment is a scientific  
 126 balloon-borne experiment dedicated to studies of large-scale (30 ó 2500 km) and small-scale  
 127 atmospheric dynamics (5 m ó 30 km) in the summer mesopause as well as microphysics of  
 128 NLC ice particles. In order to achieve these goals, three high-resolution, high-sensitivity  
 129 cameras (Sony 7 Mark III), having a full frame size of 35 mm and 24 megapixel sensor  
 130 (6000 x 4000 pixels), are utilized. Two cameras are equipped with wide-angle lens (field of  
 131 view (FoV) is  $105.4^\circ \times 81.8^\circ$ ) while one camera is fitted with a narrow-angle lens having a  
 132 FoV of  $15.0^\circ \times 10.1^\circ$ . This combination of two wide-angle cameras and one narrow-angle  
 133 camera yields on the one hand, the horizontal coverage of  $180^\circ$  allowing observing NLC at  
 134 large scales up to 2500 km in the mesopause, and, on another hand, provides very high  
 135 horizontal resolution of ~6 m when looking at 83 km from 33 km altitude at elevation angle of  
 136  $35^\circ$ . Note that this unique combination is impossible to achieve currently when observing  
 137 NLC from either the ground or space. These three SONC cameras comprise the SONC imager  
 138 shown in Fig.1 and its characteristics are presented in Table 1.

139

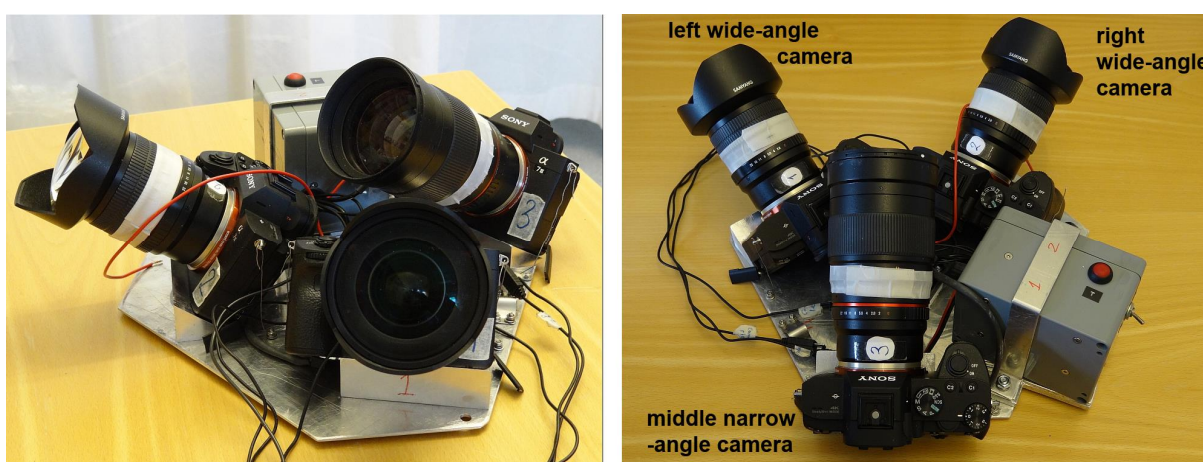
140 **Table 1.** Technical characteristics of the SONC imager composed of two wide-angle Sony  
 141 7 Mark III cameras and one narrow-angle Sony 7 Mark III camera.

	Field of view and focal length	Sensor type and size, pixels	Bit depth, image format	Spatial resolution at 83 km as seen from 33 km at $35^\circ$ elevation angle
Wide-angle camera	$105.4^\circ \times 81.8^\circ$ 14 mm	CMOS 6000 x 4000	14 raw	63 m
Narrow-angle camera	$15.0^\circ \times 10.1^\circ$ 135 mm	CMOS 6000 x 4000	14 raw	6 m

142

143 The performance of the cameras was tested in a thermal chamber at the Swedish Institute  
 144 of Space Physics (IRF-Kiruna) before the balloon launch. The thermal tests demonstrated that  
 145 the cameras can operate in a stable way for 24 hours at temperatures between  $-65^\circ$  and  
 146  $+50^\circ\text{C}$ , which is sufficient for the cameras to operate in the troposphere-stratospheric

147 environment. The electronic unit (developed by Aerospace laboratory "Stratonautica" in  
 148 Moscow, Russia) distributes the power to all cameras and controls synchronous operation of  
 149 all three cameras during the whole balloon flight. This high time resolution allows estimating  
 150 temporal evolution of small-scale waves and turbulent structures in the NLC layer. The  
 151 optical axes of two wide-angle cameras are inclined at  $36^\circ$  to the horizontal plane in order to  
 152 avoid registering the Earth's surface below (along with bright tropospheric clouds) and bright  
 153 balloon envelope above. The optical axis of the narrow-angle camera is inclined at  $35^\circ$  to the  
 154 horizontal plane in order to resolve fine structures of noctilucent clouds with a horizontal  
 155 resolution of about 6 m. All images are stored on two SD cards (512 GB each) in each  
 156 camera.



157  
 158  
 159 **Figure 1.** The SONC instrument is composed of three SONY 7 Mark III cameras and the  
 160 electronic unit (the grey box with the red button), which was used for observing noctilucent  
 161 clouds on the XENON stratospheric balloon on the night 16-17 August 2021. Two cameras  
 162 are equipped with wide-angle lenses and one camera has a narrow-angle lens (located in  
 163 between two wide-angle cameras).

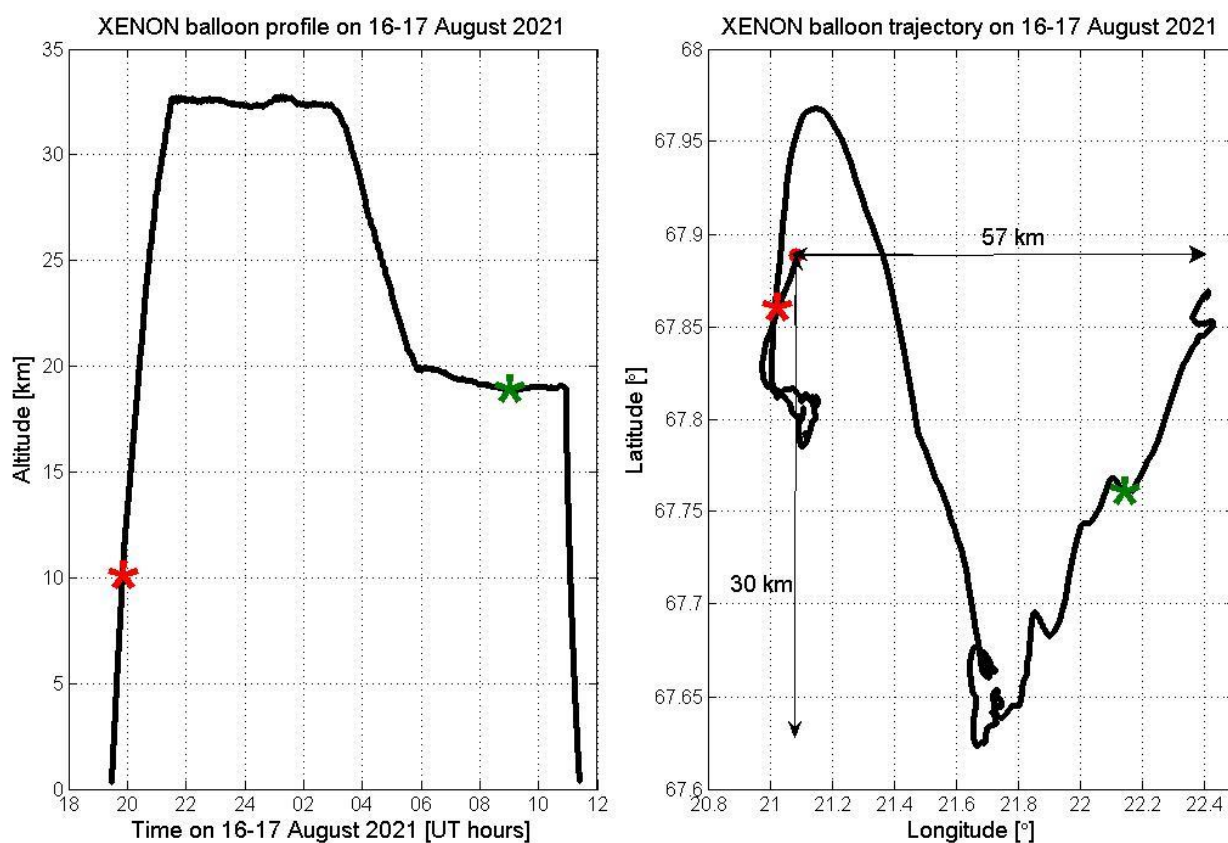
## 164 165 2.2. The technical characteristics of the balloon experiment

166 HEMERA is a balloon infrastructure project, funded by the European Commission within  
 167 its programme the Horizon 2020 (<https://www.hemera-h2020.eu/>). The project is coordinated  
 168 by the French space agency CNES and involves a consortium of 13 partners from six  
 169 European countries and Canada. The HEMERA project offers free of charge balloon flights to  
 170 the user community. Zero Pressure Balloons (ZPB), each carrying around 150 kg of payload,  
 171 are launched from either Esrange (Sweden) or Timmins (Canada).

172 The 2020 balloon campaign KLIMAT was unfortunately cancelled due to the global  
 173 impact of COVID-19 pandemic. It was instead conducted during the summer of 2021.

174 The SONC experiment was installed on a scientific ZPB called XENON. The XENON  
 175 balloon has a 3-axis motorized gimbal stabilized platform, with the elevation angle stability of  
 176  $0.15^\circ$  as well as the absolute azimuth pointing accuracy of  $1^\circ$  and stability of 10 arcmin  
 177 around it. This is important for the SONC experiment to constantly examine a potential  
 178 presence of NLC in the twilight sector of the sky and obtaining high quality images without a  
 179 smearing effect.

180 The XENON balloon was launched from Esrange ( $67.89^\circ\text{N}$ ,  $21.08^\circ\text{E}$ ) at 19:27 UT (21:27  
 181 LT) on 16 August 2021. The height and horizontal profiles of the XENON flight are  
 182 illustrated in Figure 2.



183  
 184 **Figure 2.** (Left) The XENON balloon height profile on the night 16-17 August 2021. (Right)  
 185 The horizontal trajectory of the XENON flight. The red dot marks the position of the balloon  
 186 launch at Esrange. The vertical and horizontal arrows indicate horizontal scales in km. The  
 187 red and green asterisks on the both panels indicate the start and end of the SONC experiment  
 188 operation, respectively.

189



190 Due to low wind speed in the stratosphere on that night, the XENON balloon was staying  
191 rather close to Esrange, at the beginning swinging to the south and north of Esrange and then  
192 slowly moving to the southeast and finally to the northeast of Esrange. The travelled distance  
193 to the east of Esrange was about 57 km for 16 hours of the flight. The height profile  
194 demonstrates a rapid balloon ascent to 32.7 km altitude for 2 hours, the first floating trajectory  
195 at about 32.5 km, then the first descent, the second floating trajectory at about 19 km and  
196 finally the second rapid descent. The flight height trajectory satisfied different scientific  
197 experiments onboard the XENON balloon.

198 The NLC imager started to operate at 10 km altitude (as planned) at 19:50 UT on 16  
199 August and ended at 09:13 UT on 17 August 2021. The 3D stabilization of the gondola and  
200 the NLC imager occurred at 21:35 UT at 32.6 km altitude. There was no telemetry of images  
201 to the ground (as designed) but there was a power interface at the CNES control centre which  
202 demonstrated the currents supplied by three NLC cameras that was indicative of cameras  
203 operation conditions. During the balloon flight in the stratosphere, the temperature varied  
204 between  $630^{\circ}$  and  $632^{\circ}\text{C}$ . All three cameras and the electronic unit were completely  
205 operational without any interruption during the balloon flight. Each camera took images with  
206 6 sec resolution for 13 hours. Automatic exposure bracketing was used to take 5 images in  
207 sequence with different exposure times varying between  $1/2000$  and 2 sec, allowing us to  
208 register various NLC brightnesses from very bright to very faint as well as faint and bright  
209 stars. This is an important information for the image processing and georeference procedure  
210 of the images taken from the stratosphere. For the NLC image analysis, we use two short  
211 exposure times ( $1/250$  and  $1/30$  sec) to minimize the smearing effect due to NLC motion  
212 during an exposure time. In total, each camera took about 40,000 images during the flight in  
213 the stratosphere.

214 The landing of the XENON gondola was safe and no payload was damaged.  
215 Summarizing, the SONC experiment was successfully realized in the stratosphere,  
216 demonstrating its perfect technological performance.

217

### 218 **2.3. Image processing**

219 The optical calibration of three SONY cameras, comprising the NLC imager, was  
220 performed before the SONC experiment by analyzing reference images of a clear night sky  
221 with stars. During the pre-analysis, the camera model was chosen to be described by the 3rd  
222 order polynomial. By comparing theoretical horizontal coordinates of reference stars (more  
223 than 200 reference stars have been identified in the reference images) with actual horizontal

224 coordinates of reference stars, ten coefficients of the 3rd order polynomial were calculated.  
225 These ten coefficients describe all possible distortions in the camera optical path. In other  
226 words, relative horizontal coordinates (elevation and azimuth angles relative to the centre of  
227 the image) of each pixel in the camera array were calculated. During the balloon flight, about  
228 ten reference stars have been identified to find the horizontal coordinates of the centres of the  
229 analyzed NLC images of two wide-angle cameras. Then the absolute horizontal coordinates  
230 of each pixel were calculated followed by the georeference procedure to project each pixel on  
231 the Earth's surface. For this, the mean NLC altitude of 85 km was chosen as will be discussed  
232 below. Detailed information on the optical calibration, georeference procedure and error  
233 analysis can be found in Dalin et al. (2015).

234 The gravity wave analysis (identifying and tracing wave crests in a sequence of images)  
235 was manually done by measuring pixel coordinates of well-defined wave crests. Pre-analysis  
236 of NLC images was done in order to trace several wave crests as long as possible (at least for  
237 5 minutes) in a sequence of images. Then horizontal wavelengths of gravity waves were  
238 estimated using the abovementioned georeference procedure. The NLC motion (mean speed  
239 and direction) as the whole was estimated by tracing small-scale features (nodules) in NLC,  
240 which are supposed to move together with the prevailing horizontal wind in a given space and  
241 time, avoiding the analyzed wave crests.

242 Absolute calibration of the cameras and flat-field correction of the images have not been  
243 done so far since these procedures are not required at the present stage of the image analysis.

244

### 245 **3. Results**

#### 246 **3.1. NLC imager**

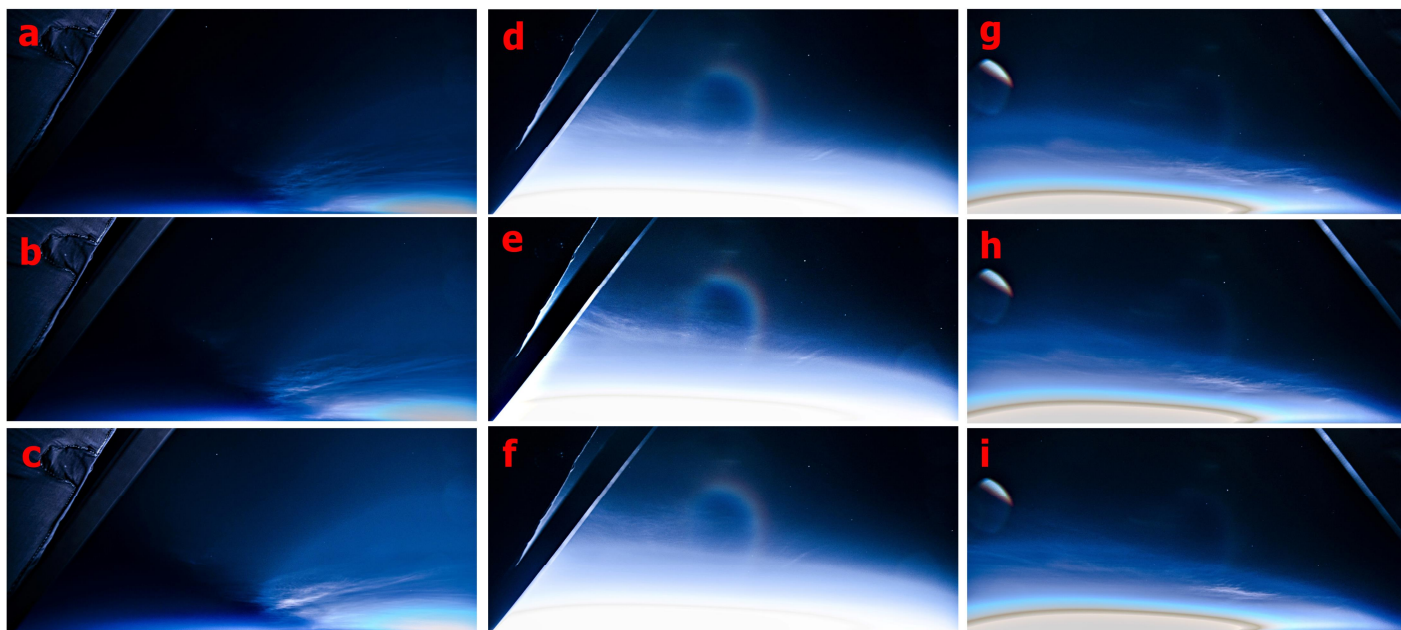
247 A careful inspection of images obtained from the stratosphere has demonstrated the  
248 following. Noctilucent clouds were registered between 21:38 UT on 16 August and 00:56 UT  
249 on 17 August 2021, with the total duration of 3 hours 18 min. An example of NLC images  
250 taken by two wide-angle cameras is shown in Figure 3. The NLC were of moderate brightness  
251 and were located at low elevation angles less than  $24^\circ$  as viewed from the balloon horizon.  
252 Due to this fact, the narrow-angle camera (pointing to  $35^\circ$  elevation angle) did not register  
253 any NLC during the balloon flight, i.e., the NLC were located below the FoV of the narrow-  
254 angle camera. On future flights we plan to install a small preview GoPro camera (with data  
255 downlink) in order to overview a presence of NLC in the field of view of wide-angle cameras.  
256 If NLC would be seen then the gondola and the imager could be azimuthally rotated to  
257 capture NLC in the field of view of the narrow-angle camera.

258 In Figs. 3 and 4, one can see wave structures of various scales in noctilucent clouds. The  
259 image analysis has demonstrated that there were prevailing medium-scale gravity waves with  
260 horizontal wavelengths of  $24.4 \pm 1.6$  km,  $34.3 \pm 2.1$  km,  $51.1 \pm 4.6$  km and  $103.4 \pm 6.6$  km as well  
261 as small-scale waves (billows) with horizontal wavelengths of about  $11.1 \pm 1.4$  km. The  
262 billows were observed in the FoV of the left wide-angle camera for a short period of about 15  
263 minutes within larger gravity wave bands. The NLC field as the whole moved to the south-  
264 west, with the average observed (ground) speed of  $53.4 \pm 14.2$  m/s and with the average  
265 azimuth of  $235.6 \pm 5.3^\circ$  (counting clockwise from the north). When calculating NLC  
266 velocities, the horizontal velocity of the balloon motion relative to the ground of 4 m/s with  
267 the azimuth of  $10^\circ$  was taken into account at times of the analyzed NLC images. The mean  
268 NLC altitude was assumed to be equal to 85 km based on lidar and radar measurements as  
269 will be demonstrated below. The total visual extension of the observed NLC field was about  
270 1700 km stretching from the west to the east and about 300 km from the north to the south,  
271 i.e., the visible part of the NLC field were located between  $68^\circ\text{N}$  and  $71^\circ\text{N}$ , and between  $7^\circ\text{W}$   
272 and  $40^\circ\text{E}$ . Detailed studies of the wave dynamics and NLC ice particles microphysics will be  
273 addressed in future papers.

274 In the stratosphere, there were several rotations of the gondola due to various scientific  
275 experiments onboard. At the beginning of the NLC observation (21:38 UT), the gondola  
276 pointed to the north that corresponds to the pointing direction of the narrow-angle camera of  
277 the SONC imager. At 22:37 UT, the gondola was rotated in the north-east direction ( $60^\circ$  from  
278 the north), allowing us to entirely observed the eastern part of the NLC field. At 23:42 UT,  
279 the gondola was rotated in the north-west direction ( $315^\circ$  from the north). The rotation  
280 actually benefited our experiment: the western part, which was the brightest part of the  
281 observed NLC field, demonstrating interesting small-scale dynamics, was completely  
282 captured in the field of view of the left wide-angle camera. Because of this, we show three  
283 most interesting sequences during the NLC display viewed from the stratosphere in Figure 3:  
284 the right-side NLC images were taken by the right wide-angle camera pointing to the north-  
285 east ( $38^\circ$  from the north), the images in the middle panels were taken by the left wide-angle  
286 camera looking to the NNW ( $20^\circ$ ), and the left-side NLC images were taken by the left wide-  
287 angle camera pointing to the WNW ( $278^\circ$ ) after the rotation of the gondola to the north-west.

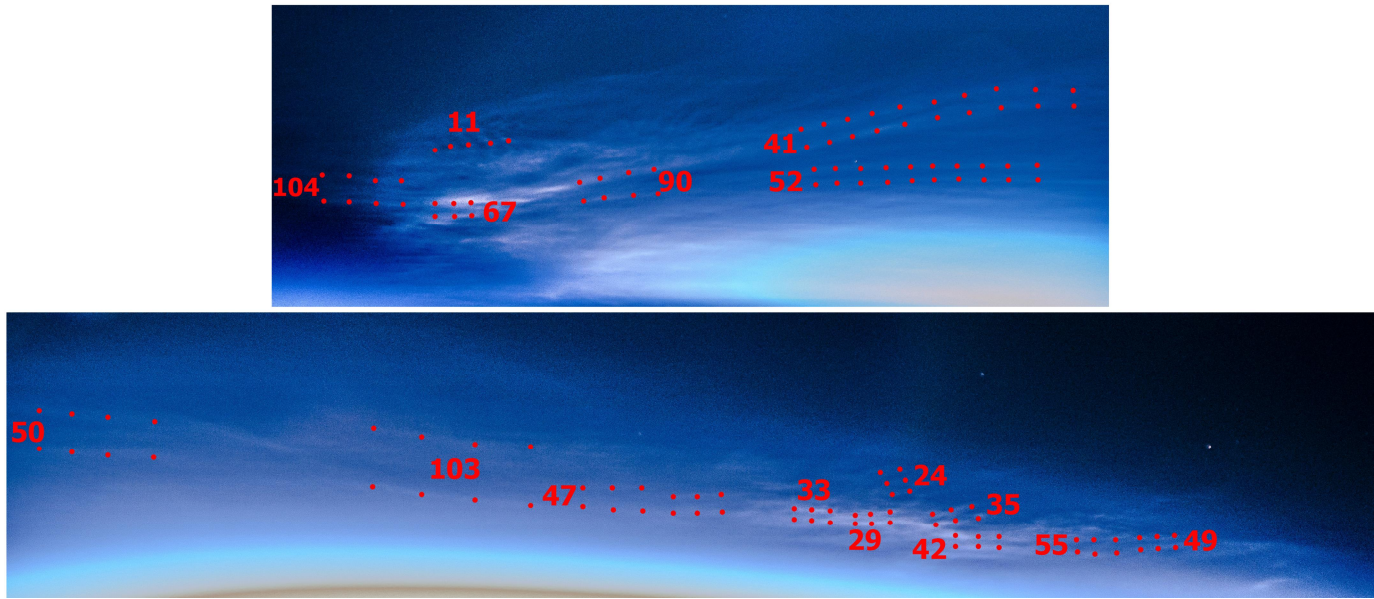
288 It is interesting to note an atmospheric effect caused by the Earth's shadow: on the left-  
289 side images of Figure 3 one can see the NLC field located at the edge of the shadow (the dark  
290 area in the left part of the left hand side images); in other words, the location of the Earth's

291 shadow limited the observation of the whole field of NLC in this particular area. Figure 5  
 292 shows the geospatial projection of the NLC images displayed in Figure 3.  
 293



294  
 295 **Figure 3.** Noctilucent clouds observed from the stratosphere during the SONC balloon-borne  
 296 experiment on the night 16-17 August 2021. Examples of images of noctilucent clouds taken  
 297 by two wide-angle cameras of the SONC experiment from the stratosphere at about 32.5 km:  
 298 Left-panel images were taken at 23:45:41, 23:55:41, 00:05:41 UT by the left wide-angle  
 299 camera (see Figure 1), pointing to 278° from the north. Middle-panel images were taken at  
 300 22:37:13, 22:47:13, 22:57:13 UT by the left wide-angle camera, pointing to 20°. Right-panel  
 301 images were taken at 22:04:02, 22:14:02, 22:24:07 UT by the right wide-angle camera,  
 302 pointing to 38° from the north. For the geometry of the cameras the reader is referred to  
 303 Figure 1.

304



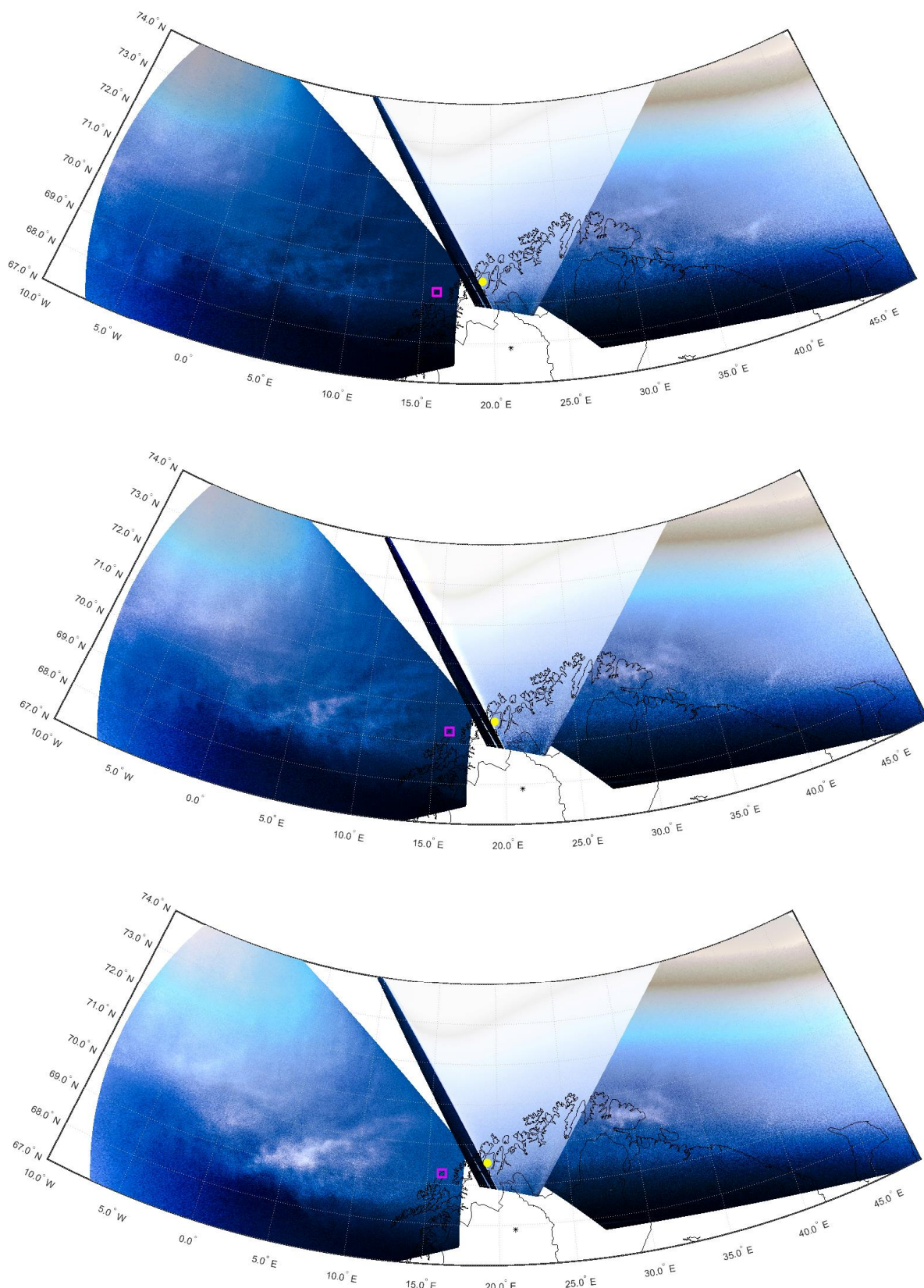
305

306

307

308

**Figure 4.** The upper panel is the enlarged part of Figure 3c. The lower panel is the enlarged part of Figure 3g. The dots and corresponding numbers mark gravity wave crests and their horizontal wavelengths in km being analyzed in the paper.



309

310 **Figure 5.** Projection of the images shown in Figure 3 on the Earth's surface. When projected  
 311 on the surface, the mean NLC altitude was equal to 85 km. The black asterisk, the magenta  
 312 square and the yellow dot show the positions of Esrange, Andøya and Tromsø, respectively.

313

### 314 **3.2. Lidar and Aura/MLS satellite measurements**

315 During the balloon launch on the night 16-17 August 2021, complementary lidar  
316 measurements were performed at Esrange. A Rayleigh/Mie/Raman backscatter lidar was  
317 developed by the Bonn University to monitor aerosols in the troposphere, stratosphere and  
318 mesosphere as well as to determine temperature profiles in the aerosol-free part of the  
319 atmosphere (Blum and Fricke, 2005). We use lidar backscattered signal from the 532-nm  
320 wavelength channel to estimate a potential presence of an NLC layer over Esrange and to  
321 calculate an atmospheric temperature profile in the mesopause region. The vertical and time  
322 resolutions of the obtained measurements are 150 m and 4.2 min, respectively.

323 The results of the lidar measurements are shown in Figure 6. The left panel of Fig. 6  
324 illustrates the lidar count data profile integrated over 2 hours (between 22:30 and 00:30 UT)  
325 when the NLC observed from stratosphere had their highest elevation angles, i.e., closest  
326 position to Esrange. One can see two small but defined peaks in the lidar count profile at 84  
327 km and 86 km (marked with the red arrows) which might be attributed to the presence of  
328 NLC over Esrange. As will be shown below, these lidar peaks are within altitude ranges of  
329 polar mesosphere summer echoes registered by two radars. Based on this integrated count  
330 profile, a temperature profile has been calculated assuming atmospheric hydrostatic  
331 equilibrium. At the upper end of the profile (at 95 km) a seed temperature equal to 140 K was  
332 taken from Aura/MLS satellite measurements. The lidar temperature profile, smoothed by  
333 using a 1 km running average in height, is shown by the blue line on the right panel of Figure  
334 6. One can see large temperature variations of 15 $\pm$ 20 K in the mesopause between 84 and 90  
335 km, which were caused by gravity waves having vertical wavelengths of about 2 km. Similar  
336 temperature disturbances due to gravity waves (10 $\pm$ 20 K at 85 $\pm$ 95 km) were measured during  
337 summer rocket campaigns conducted at Andøya (Rapp et al., 2002).

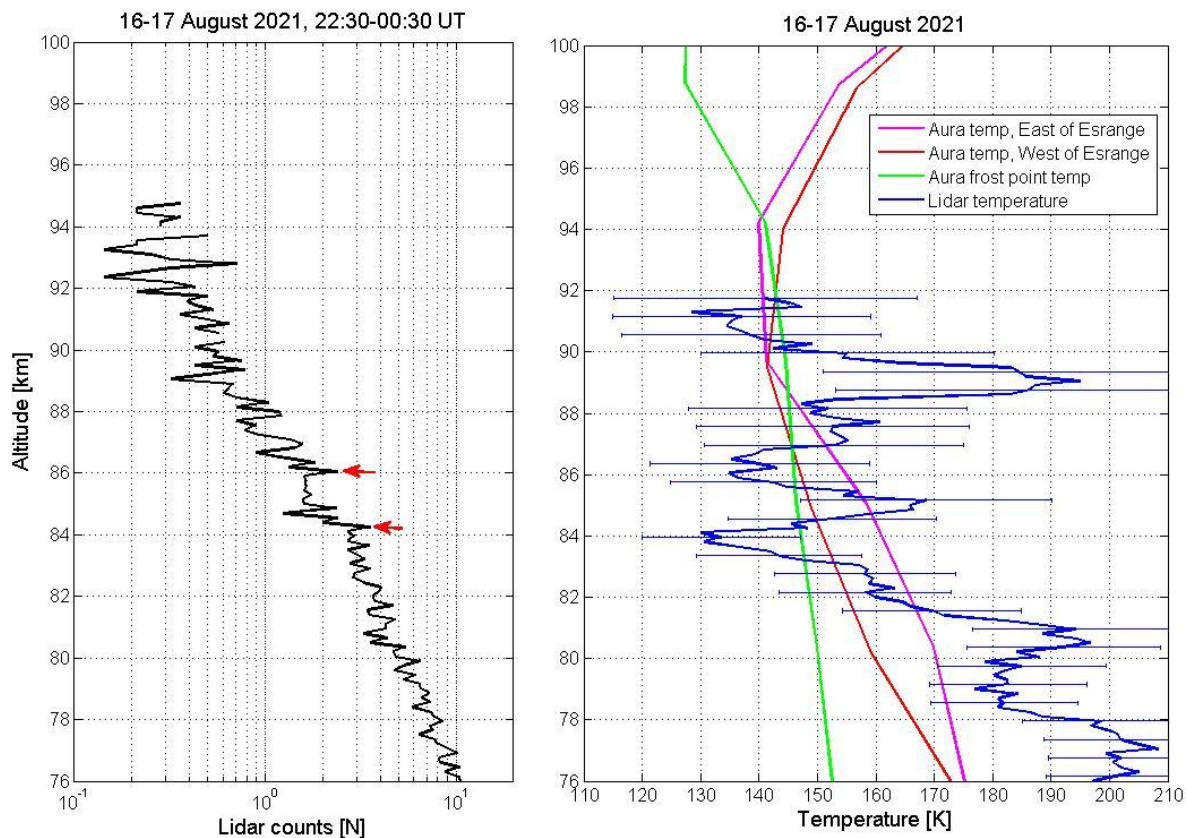
338 The Aura/MLS temperature and water vapor measurements have been used to order to  
339 obtain a comprehensive picture of the mesopause environment in the region of the NLC  
340 observation. Aura/MLS temperature and water vapor measurements of ver.5.0 and level 2  
341 data quality were obtained from the NASA public web-site:  
342 [https://acd-disc.gesdisc.eosdis.nasa.gov/data/Aura\\_MLS\\_Level2/](https://acd-disc.gesdisc.eosdis.nasa.gov/data/Aura_MLS_Level2/). The description on the MLS  
343 temperature product and its validation can be found in Froidevaux et al. (2006) and Schwartz  
344 et al. (2008). The validation of water vapor data is described in detail by Read et al. (2007)  
345 and Lambert et al. (2007).

346 We have analyzed all available temperature and water vapor profiles in the northern  
347 Scandinavia region and selected two profiles of temperature and water vapor closest to the  
348 position of Esrang (67.89°N, 21.08°E). Unfortunately, there were no Aura/MLS  
349 measurements directly over Esrang but to the north-east of Esrang (68.90°N, 36.66°E) and  
350 to the north-west of Esrang (68.90°N, 11.94°E), which are away from Esrang by 648 km  
351 and 392 km, respectively. Also, the Aura temperature and water vapor profiles were measured  
352 at 00:45 UT (north-east of Esrang) and 02:24 UT (north-west of Esrang) on 17 August  
353 2021, which are outside the integrated lidar temperature measurement. These spatial and  
354 temporal differences might provide differences in the temperatures as measured from the  
355 ground and space. At the same time, the right panel of Figure 6 demonstrates that the  
356 temperature profiles show similar mean values between 82 and 88 km, and between 90 and 92  
357 km. The magenta and red profiles are as measured by the Aura/MLS instrument to the north-  
358 east and to the north-west of Esrang, respectively. Having low vertical resolution of  
359 Aura/MLS measurements of about 10612 km in the mesopause region, the Aura/MLS  
360 temperature profiles cannot resolve large temperature variability as measured by the lidar, but  
361 their mean values are consistent. The green line is the frost point temperature as calculated  
362 from Aura/MLS water vapor data based on thermodynamics of the vapor pressure of ice  
363 (Murphy and Koop, 2005). One can see that the lidar temperature (the blue line) was below  
364 the frost point temperature (1456148 K) between 83.3 and 84.3 km, and between 85.7 and  
365 86.8 km, confirming the principal possibility of the existence of ice particles in these height  
366 ranges. At the same time, large variability of the temperature around the freezing point did not  
367 allow ice particles to grow to large sizes since ice particles evaporate much quicker in a warm  
368 phase of a wave than they grow again in a cold phase on time scales of less than 400 min  
369 (Rapp et al., 2002). This led to the formation of smaller ice particles and, as a result, to little  
370 backscattered signal peaks registered by the Esrang lidar at 84 km and 86 km.

371 Note that the ALOMAR lidar (Baumgarten, 2010) located at Andøya (northern Norway)  
372 was prepared to conduct measurements in the mesopause during the SONC experiment, but  
373 poor weather conditions over Andøya did not allow us to perform the planned lidar  
374 measurements.

375

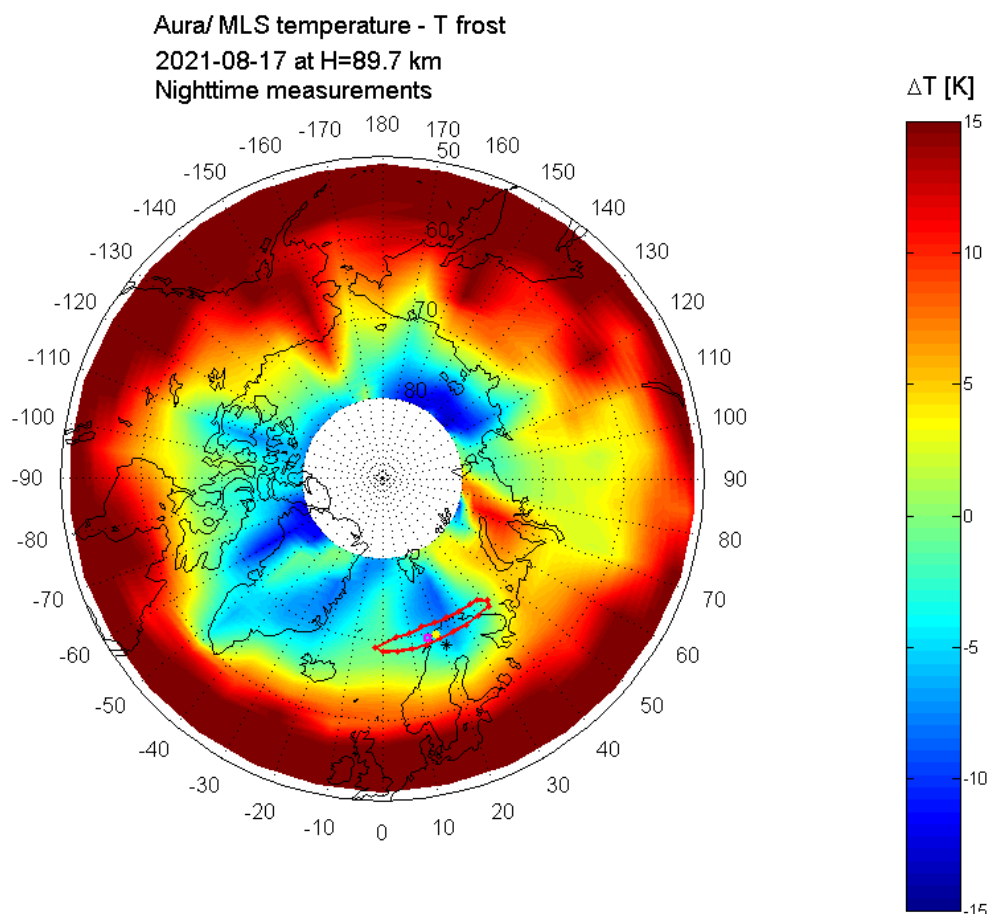




376  
 377 **Figure 6.** (Left) The lidar count profile integrated over 2 hours from 22:30 UT to 00:30 UT  
 378 on the night 16-17 August 2021. Two small peaks in the profile which might be attributed to  
 379 NLC layers are marked with the red arrows. (Right) Temperatures profiles as measured by  
 380 Aura/MLS spectrometer: the magenta profile is to the north-east of Erange measured at  
 381 00:45 UT, the red profile is to the north-west of Erange measured at 02:24 UT, the green  
 382 profile is the Aura frost point temperature estimated from water vapor measurements. The  
 383 blue profile is the mean Erange lidar temperature as estimated from the lidar count profile  
 384 and the blue horizontal bars show uncertainties (1 standard deviation) of the mean  
 385 temperature profile.

386  
 387 The overview of the temperature situation around the globe is shown in Figure 7, which  
 388 demonstrates the difference between the actual temperature and the frost point temperature at  
 389 about 90 km altitude as measured and estimated from Aura/MLS data. Having a low vertical  
 390 resolution in the mesopause (10612 km), this particular level at about 90 km demonstrated the  
 391 lowest temperatures on this particular day in a given volume of the mesopause where NLC  
 392 were observed. These data are shown for 17 August 2021, selecting nighttime measurements  
 393 only (daytime measurements have been ignored) to obtain the most realistic temperature

394 situation in relation to the observed nighttime NLC display. The red oval shows the observed  
 395 NLC position as seen from the balloon. We can see that the NLC location fits well to a  
 396 relatively small area (comparing to global scales) of low temperatures which were below the  
 397 frost point temperature by 367 K. This supports the assumption that low temperatures play an  
 398 important role in the formation of noctilucent clouds in this particular case. Note that the  
 399 northernmost edge of the observed NLC field was limited by the bright twilight sky at the  
 400 very horizon.



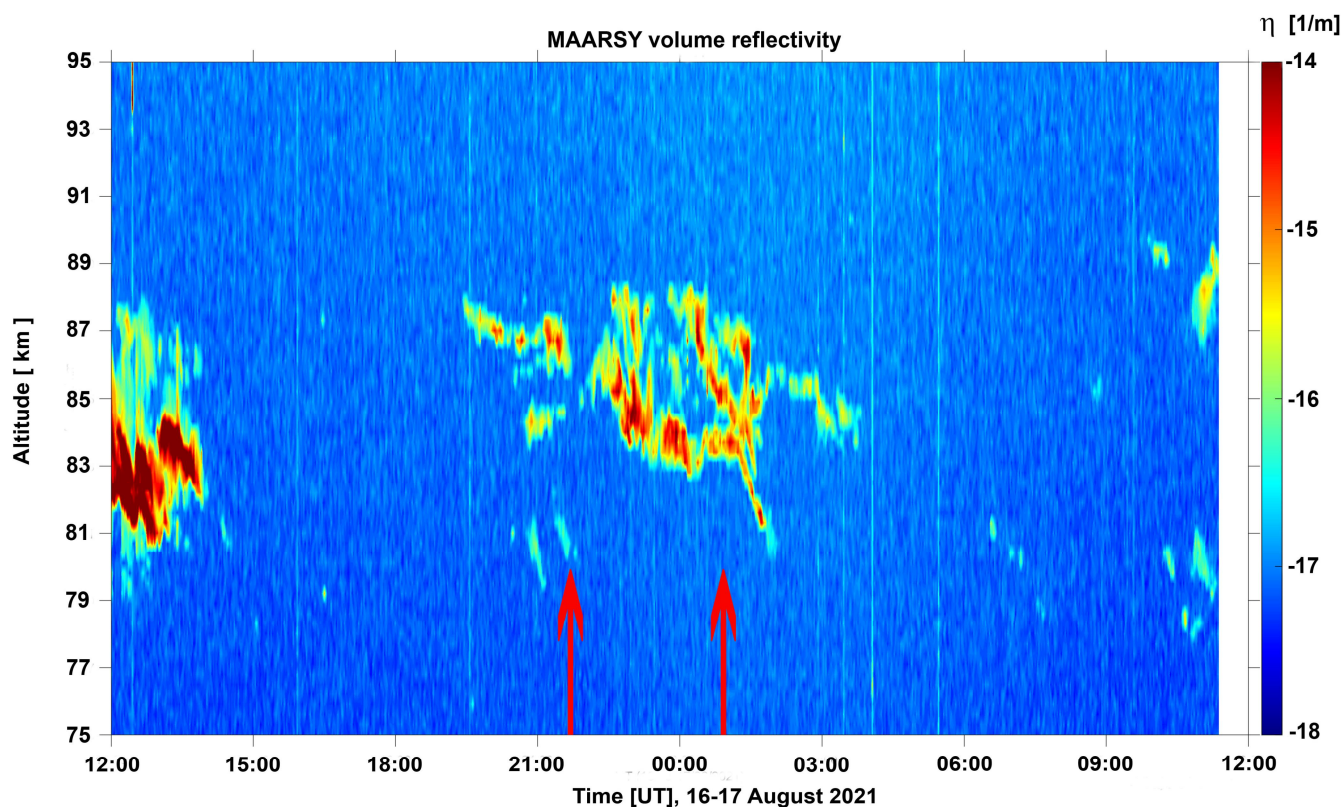
401  
 402 **Figure 7.** A map of the difference between Aura/MLS temperature and the frost point  
 403 temperature at about 90 km altitude on 17 August 2021. The blue color code (negative values)  
 404 means the temperature is below the frost point temperature allowing the existence of ice  
 405 particles. The red oval shows the location of the NLC field as observed from the stratosphere.  
 406 The black asterisk, the magenta square and the yellow dot show the positions of Esrange,  
 407 Andøya and Tromsø, respectively.

408

409 **3.3. Radar measurements**

410 Complementary radar measurements were performed during the SONC balloon  
 411 experiment on the night 16-17 August 2021. Two radars were operational during the  
 412 experiment: EISCAT 224 MHz radar located at Tromsø, northern Norway, and MAARSY 53  
 413 MHz located at Andøya, northern Norway (Latteck et al., 2012). These radars are capable of  
 414 measuring Polar Mesosphere Summer Echoes (PMSE) which are strong radar returned  
 415 signals. PMSE are induced by the combined effect of a turbulent medium and plasma  
 416 processes, in which electrically charged aerosols of several nanometer size play a dominating  
 417 role (Cho and Röttger, 1997; Rapp and Lübken, 2003; Mann et al., 2019; Narayanan et al.,  
 418 2022).

419 The results of the MAARSY observation is shown in Figure 8. One can see the clear  
 420 presence of the PMSE during the stratosphere based NLC observation marked by two red  
 421 arrows on this plot. The PMSE were mainly located between 83 and 88 km altitude. One can  
 422 also see progressively downward filaments due to propagating gravity waves (Fritts et al.,  
 423 1988). Note that the location of MAARSY coincides with the observed NLC field viewed  
 424 from the stratosphere as shown by the magenta square in Figure 7, i.e., there was a common  
 425 volume observation of both the PMSE and NLC in the mesopause above Andøya.  
 426



427

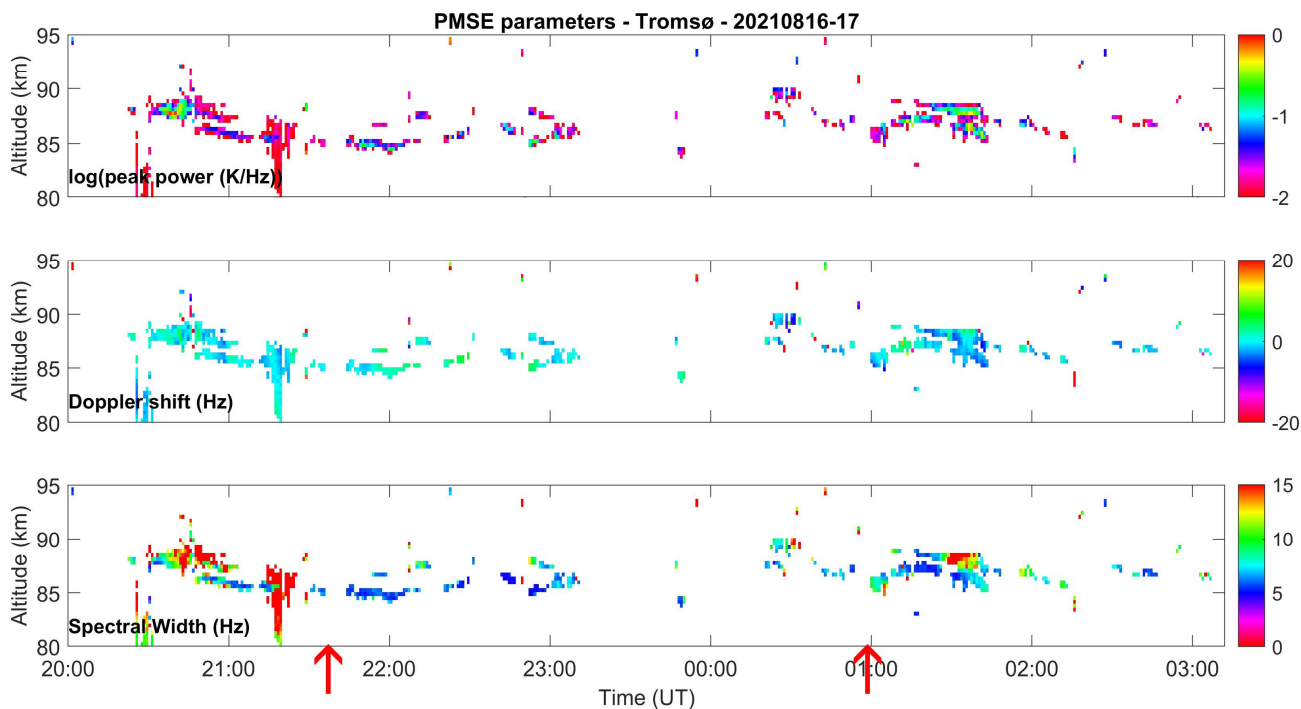
428 **Figure 8.** MAARSY 53 MHz measurements of PMSE volume reflectivity above Andøya  
429 (69.30°N; 16.04°E) during the SONC balloon experiment on the night 16-17 August 2021.  
430 Two red arrows show the beginning and end of the NLC observation from the stratosphere.

431

432 The results of the EISCAT 224 MHz radar operation is illustrated in Figure 9, which  
433 display the PMSE parameters of radar backscattered peak power of the echoes, Doppler shift  
434 and spectral width that were derived following the method described by Mann et al. (2016)  
435 and Narayanan et al. (2022). One can see the PMSE layer was located between 85 and 88 km  
436 that is consistent with the PMSE registered by MAARSY. Doppler shifts varied from  $-10$  to  
437  $+10$  Hz, i.e., approximately within  $\pm 7$  m/s. Spectral widths were in the range of  $2-10$  Hz that  
438 corresponds to typical PMSE spectral widths (Mann et al., 2019; Narayanan et al., 2022).

439 Note that there were intermittent PMSE occurrences throughout the period of the NLC  
440 observation that might be explained by temperature variability around the freezing point due  
441 to propagating gravity waves as was proposed to explain two weak NLC signals observed in  
442 the lidar profile. Besides, PMSE are typically weaker at the 224 MHz EISCAT radar  
443 frequency than at the 53 MHz MAARSY frequency. This is because PMSE decrease steeply  
444 with increasing frequency. Thus, a comparison of EISCAT VHF and UHF observations  
445 shows that the volume reflectivity is inversely proportional to the fourth power of radar  
446 frequency (Ge et al., 2020). The location of the EISCAT radar (the antenna was pointing  
447 vertically) coincides with the observed stratosphere based NLC field observation as shown by  
448 the yellow dot in Figure 7, i.e., there was a common volume observation of both the PMSE  
449 and NLC in the mesopause above Tromsø.

450



451  
 452 **Figure 9.** EISCAT 224MHz radar measurements of PMSE parameters above Tromsø  
 453 (69.58°N; 19.23°E) during the SONC balloon experiment on the night 16-17 August 2021.  
 454 The upper panel shows the radar power, the middle panel is the Doppler shift and the lower  
 455 panel illustrates the radar spectral width. Two red arrows show the beginning and end of the  
 456 NLC observation from the stratosphere.

457

#### 458 **4. Discussion**

459 The average observed velocity ( $\sim 53$  m/s) with the average azimuth ( $\sim 236^\circ$ ) of the whole  
 460 NLC field corresponds well to NLC velocities and wind directions in the summer mesopause  
 461 at high latitudes (Ludlam, 1957; Witt, 1962; Haurwitz and Fogle, 1969; Gadsden and  
 462 Schröder, 1989). The observed gravity waves with horizontal wavelengths of about 24, 34, 51  
 463 and 103 km (shown in Figure 4) are within the range of the gravity wave spectrum estimated  
 464 from previous studies (Witt, 1962; Bageston et al., 2009; Chandran et al., 2009; Pautet et al.,  
 465 2011; Demissie et al., 2014). The observed small-scale gravity waves (billows) with  
 466 horizontal wavelengths of about 11 km are also within the range of wavelengths for small-  
 467 scales waves previously studied in noctilucent clouds (Witt, 1962; Haurwitz and Fogle, 1969;  
 468 Pautet et al., 2011; Baumgarten and Fritts, 2014). The small-scale billows existed for a short  
 469 period of about 15 minutes which is in the range of typical lifetimes of 6624 minutes for NLC  
 470 billows (Haurwitz and Fogle, 1969). The observed billows accompanied larger wave band  
 471 structures and, in this case, billows are thought to be an indication of evolving instability

472 structures generated during larger wave breaking (Fritts et al., 1993; Baumgarten and Fritts,  
473 2014).

474 It is interesting to compare the observed NLC seen from the stratosphere with those seen  
475 by the Aeronomy of Ice in the Mesosphere (AIM) satellite from space (Russell III et al.,  
476 2009). We have analyzed Cloud Imaging and Particle Size (CIPS) images (McClintock et al.,  
477 2009) available at <https://lasp.colorado.edu/aim/browse-images.php?dataset=pmc> and found  
478 the following orbit strips that were closest in time and space to northern Scandinavia: 78497  
479 at 07:52 UT and 78498 at 09:27 UT on 16 August 2021 as well as 78511 at 06:04 UT, 78512  
480 at 07:39 UT and 78513 at 09:14 UT on 17 August 2021 (all these orbit strips are not shown in  
481 the paper). Bright NLC were seen over northern Scandinavia and around it but on the  
482 previous day, 16 August, on two orbit strips 78497 and 78498, i.e., 12613 hours before the  
483 NLC seen from the balloon. On the orbit strips taken at 06:04, 07:39 and 09:14 UT on 17  
484 August there were no NLC close to the location of the NLC observed from the stratosphere.  
485 Thus, the AIM satellite missed the observed NLC due to the difference in the observation  
486 times. It means that the NLC had a rather short lifetime of less than 8.4 hours (difference  
487 between the NLC start at 21:38 UT on 16 August and no NLC at 06:04 UT on 17 August) and  
488 could not be observed by the AIM satellite in this particular case. It is also confirmed by the  
489 short period of the NLC visibility (about 3.3 hours) from the stratosphere.

490 Note that the Swedish Odin satellite was prepared to conduct NLC observations in a  
491 special tomographic mode (Karlsson and Gumbel, 2005) above northern Scandinavia during  
492 the SONC experiment. However due to a number of issues this planned experiment was not  
493 realized.

494 The NLC particle size distribution (shape of the particle size distribution, mean radius and  
495 width) can be estimated based on the color ratio technique using multi-wavelengths of the  
496 cameras, i.e., sensor RGB values (von Cossart et al., 1999; Ugolnikov et al., 2017; Ugolnikov,  
497 2021). Also, the color ratio technique provides additional information on the NLC altitude  
498 estimation (Ugolnikov, 2021). The advantage of using balloon-borne NLC measurements is  
499 that such observation, being conducted above the level of the main ozone concentration (206  
500 25 km at high latitudes), provide minimum absorption in the Chappuis absorption bands  
501 between 400 and 650 nm as the scattered NLC light travels a long slant path through the  
502 Earth's atmosphere and through the ozone layer as well. At the same time, the Chappuis  
503 absorption remains significant if NLC are illuminated by the sunlight having a tangent  
504 trajectory passing a long horizontal distance through the ozone layer. The minimum ozone  
505 absorption and analysis of raw image data (RGB values) provides a more accurate estimation

506 of the parameters of NLC ice particle size distribution compared to that obtained from the  
507 ground. We will estimate the NLC particle size distribution based on the RGB color ratio  
508 technique in a future paper.

509

## 510 **5. Conclusions**

511 European balloon infrastructure project HEMERA and CNES balloon campaign KLIMAT  
512 were conducted in August 2021 at Esrange (northern Sweden). The Stratospheric Observation  
513 of Noctilucent Clouds (SONC) balloon-borne experiment was successfully performed  
514 onboard the XENON balloon on the night of 16<sup>th</sup> August 2021, reaching the maximum  
515 altitude of 32.7 km. From the technical point of view we can conclude the following:

- 516 1. All three optical cameras and the electronics were completely operational in the  
517 stratosphere for about 13 hours at low temperatures of about  $-63^{\circ}\text{C}$ .
- 518 2. Each camera took about 40,000 images during the balloon flight in the stratosphere.
- 519 3. The SONC instrument survived and had no damage after the landing of the gondola.

520

521 The first scientific results of the SONC experiment are as follows:

- 522 1. Two wide-angle cameras registered the extended field of NLC in the twilight sky sector.  
523 The NLC were of a moderate brightness and had a rather short lifetime of about 3.3 hours.
- 524 2. The NLC field had horizontal scales of about 1700 km stretching from  $7^{\circ}\text{W}$  to  $40^{\circ}\text{E}$ , and  
525 about 300 km from  $68^{\circ}$  to  $71^{\circ}\text{N}$ . It moved as a whole to the south-west, with the average  
526 observed speed of  $\sim 53$  m/s and with the average azimuth of  $\sim 236^{\circ}$ . It is impossible to  
527 observe an NLC at such large horizontal scales of 1700 km from the ground, revealing  
528 advantages of measurements from stratospheric heights.
- 529 3. The NLC field was modulated by atmospheric gravity waves of various scales: medium-  
530 scale gravity waves with horizontal wavelengths of about 24, 34, 51 and 103 km as well  
531 as short-lived small-scale waves with a horizontal wavelength of about 11 km. The  
532 simultaneous observation of short (11 km) wave scales and the large-scale NLC field at  
533 horizontal distances up to 1700 km has been obtained for the first time.
- 534 4. Esrange lidar as well as Aura/MLS satellite measurements demonstrated low temperatures  
535 of  $135\text{--}142$  K (below the frost point temperature of  $145\text{--}148$  K) in the mesopause region  
536 where the NLC were observed. At the same time, large variability ( $15\text{--}20$  K) of the  
537 temperature around the freezing point did not allow ice particles to grow to large sizes,  
538 leading to the formation of small ice particles and, as a result, to weak backscattered  
539 signal registered by the lidar at 84 km and 86 km above Esrange.

540 5. EISCAT radar (Tromsø) and MAARSY (Andøya) have simultaneously registered a  
541 presence of PMSE in the same mesopause volume together with the NLC seen from the  
542 balloon. The PMSE were located between 83 and 88 km altitudes and were modulated by  
543 propagating gravity waves. The simultaneous registration of NLC, observed from the  
544 stratosphere, and PMSE in the same volume of the mesopause above northern  
545 Scandinavia has been done for the first time.

546

547 Data analysis regarding the wave dynamics and NLC ice particle microphysical properties  
548 will be presented in future papers.

549

### 550 **Acknowledgments**

551 The XENON balloon flight was provided by the French space agency CNES funded by  
552 the European Union's Horizon 2020 research and Innovation programme, and received  
553 support from the European Commission in the frame of the EU grant agreement 730970-  
554 HEMERA. The SONC experiment hardware (the NLC imager and electronic unit) was  
555 funded by the Kempe Foundation (Kempestiftelsen, Sweden) under grant agreement JCK-  
556 1901.4. Ingrid Mann and Viswanathan Lakshmi Narayanan are supported by the Research  
557 Council of Norway project 275503, and their EISCAT observations are supported by the  
558 Research Council of Norway project 245683. The authors thank the Aura/MLS team for  
559 providing temperature and water vapor data around the globe.

560

### 561 **Data availability**

562 Data used in the present paper are available at the HEMERA Data Centre  
563 (<https://data.hemera-h2020.eu/atmospheric-balloonexperiments/#/>) as well as will be made  
564 available on request.

565

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754 **Figure captions:**

755 **Figure 1.** The SONC instrument is composed of three SONY 7 Mark III cameras and the  
 756 electronic unit (the grey box with the red button), which was used for observing noctilucent  
 757 clouds on the XENON stratospheric balloon on the night 16-17 August 2021. Two cameras  
 758 are equipped with wide-angle lenses and one camera has a narrow-angle lens (located in  
 759 between two wide-angle cameras).

760

761 **Figure 2.** (Left) The XENON balloon height profile on the night 16-17 August 2021. (Right)  
 762 The horizontal trajectory of the XENON flight. The red dot marks the position of the balloon  
 763 launch at Esrange. The vertical and horizontal arrows indicate horizontal scales in km. The  
 764 red and green asterisks on the both panels indicate the start and end of the SONC experiment  
 765 operation, respectively.

766

767 **Figure 3.** Noctilucent clouds observed from the stratosphere during the SONC balloon-borne  
 768 experiment on the night 16-17 August 2021. Examples of images of noctilucent clouds taken  
 769 by two wide-angle cameras of the SONC experiment from the stratosphere at about 32.5 km:  
 770 Left-panel images were taken at 23:45:41, 23:55:41, 00:05:41 UT by the left wide-angle  
 771 camera (see Figure 1), pointing to 278° from the north. Middle-panel images were taken at  
 772 22:37:13, 22:47:13, 22:57:13 UT by the left wide-angle camera, pointing to 20°. Right-panel  
 773 images were taken at 22:04:02, 22:14:02, 22:24:07 UT by the right wide-angle camera,  
 774 pointing to 38° from the north. For the geometry of the cameras the reader is refer to Figure 1.

775

776 **Figure 4.** The upper panel is the enlarged part of Figure 3c. The lower panel is the enlarged  
 777 part of Figure 3g. The dots and corresponding numbers mark gravity wave crests and their  
 778 horizontal wavelengths in km being analyzed in the paper.

779

780 **Figure 5.** Projection of the images shown in Figure 3 on the Earth's surface. When projected  
 781 on the surface, the mean NLC altitude was equal to 85 km. The black asterisk, the magenta  
 782 square and the yellow dot show the positions of Esrange, Andøya and Tromsø, respectively.

783

784 **Figure 6.** (Left) The lidar count profile integrated over 2 hours from 22:30 UT to 00:30 UT  
 785 on the night 16-17 August 2021. Two small peaks in the profile which might be attributed to  
 786 NLC layers are marked with the red arrows. (Right) Temperatures profiles as measured by  
 787 Aura/MLS spectrometer: the magenta profile is to the north-east of Esrange measured at

788 00:45 UT, the red profile is to the north-west of Esrange measured at 02:24 UT, the green  
 789 profile is the Aura frost point temperature estimated from water vapor measurements. The  
 790 blue profile is the mean Esrange lidar temperature as estimated from the lidar count profile  
 791 and the blue horizontal bars show uncertainties (1 standard deviation) of the mean  
 792 temperature profile.

793

794 **Figure 7.** A map of the difference between Aura/MLS temperature and the frost point  
 795 temperature at about 90 km altitude on 17 August 2021. The blue color code (negative values)  
 796 means the temperature is below the frost point temperature allowing the existence of ice  
 797 particles. The red oval shows the location of the NLC field as observed from the stratosphere.  
 798 The black asterisk, the magenta square and the yellow dot show the positions of Esrange,  
 799 Andøya and Tromsø, respectively.

800

801 **Figure 8.** MAARSY 53 MHz measurements of PMSE volume reflectivity above Andøya  
 802 (69.30°N; 16.04°E) during the SONC balloon experiment on the night 16-17 August 2021.  
 803 Two red arrows show the beginning and end of the NLC observation from the stratosphere.

804

805 **Figure 9.** EISCAT 224MHz radar measurements of PMSE parameters above Tromsø  
 806 (69.58°N; 19.23°E) during the SONC balloon experiment on the night 16-17 August 2021.  
 807 The upper panel shows the radar power, the middle panel is the Doppler shift and the lower  
 808 panel illustrates the radar spectral width. Two red arrows show the beginning and end of the  
 809 NLC observation from the stratosphere.

810

811 **Table 1.** Technical characteristics of the SONC imager composed of two wide-angle Sony 7  
 812 Mark III cameras and one narrow-angle Sony 7 Mark III camera.

	Field of view and focal length	Sensor type and size, pixels	Bit depth, image format	Spatial resolution at 83 km as seen from 33 km at 35° elevation angle
Wide-angle camera	105.4° x 81.8° 14 mm	CMOS 6000 x 4000	14 raw	63 m
Narrow-angle camera	15.0° x 10.1° 135 mm	CMOS 6000 x 4000	14 raw	6 m

813