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**Ethical considerations and change recipients reactions: ‘it’s not all about me’.**

**Gabriele Jacobs and Anne Keegan\***

**Abstract**

An implicit assumption in most work on change recipient reactions is that employees are self-centred and driven by a utilitarian perspective. According to large parts of the organizational change literature, employees’ reactions to organizational change are mainly driven by observations around the question ‘what will happen to me?’ We analysed change recipients’ reactions to 26 large-scale planned change projects in a policing context. Our data shows that change recipients drew on observations with three foci (me, colleagues, and organization) to assess change, making sense of change as multidimensional and mostly ambivalent in nature. In their assessment of organizational change, recipients care not only about their own personal outcomes, but go beyond self-interested concerns to show a genuine interest in the impact of change on their colleagues and organization. Meaningful engagement of employees in organizational change processes requires recognising that reactions are not simply ‘all about me’. We add to the organizational change literature by introducing a behavioural ethics perspective on change recipients’ reactions highlighting an ethical orientation where moral motives that trigger change reactions get more attention than is common in the change management literature. Beyond the specifics of our study, we argue that the genuine concern of change recipients for the wellbeing of others, and the impact of the organisations’ activities on internal and external stakeholders, needs to be considered more systematically in research on organizational change.

**Keywords**

behavioural ethics; change management; change recipients; change resistance; deontic justice; policing

\*Both authors contributed equally and are listed alphabetically.

*I can only answer the question 'What am I to do?' if I can answer the prior question 'Of what story or stories do I find myself a part?'* Alasdair MacIntyre (1981) *After Virtue*, p. 201

## **Introduction**

A vast amount of theorising and research focuses on the management of organisational change. Change of all kinds (e.g. mergers, acquisitions, reorganisations, continuous improvement initiatives) has provided a visible backdrop for day-to-day individual and collective experiences of work and organisational life in the past three decades. Everybody needs to be change ready and change resilient if they want to be part of the contemporary workforce (Abrahamson, 2000; Huy and Mintzberg, 2003). The change literature deals with context, content, process and outcomes at both the organisational (Rafferty et al., 2013) and individual level (Armenakis and Bedeian, 1999; Herold et al., 2008; Oreg et al., 2011;) and recent years have seen a growing interest in the role of change recipients' reactions in organisational change processes.

That change agents try to determine 'how will I this get accomplished?' and change recipients try to figure out 'what will happen to me?' (Ford et al., 2008) is a widely shared assumption in research on managing planned organisational change (Kotter and Schlesinger, 1979). It has inspired important studies in the rich field of research on change recipients (see Oreg et al., 2011; Rafferty et al., 2013) as well as produced some blind spots as leading commentators suggest. The prevalent tendency to describe change processes and their effects in overly linear, simplistic ways needs to be supplemented by a nuanced perspective on organizational change and to better account for the ambivalent nature of many change reactions (Bartunek et al., 2006; Maitlis and Sonenshein, 2010; Piderit, 2000). Scholars argue that a systematic understanding of the sources of ambivalent reactions of change recipients can improve change implementation (Ford et al., 2008). Given the managerial and theoretical

relevance of better understanding of change reactions, it is surprising that empirical studies addressing this issue are still rare.

Most current conceptualizations of recipient's reactions ignore an essential source of ambivalence which is rooted in justice and moral arguments regarding the impact of change on others. The widespread assumption prevails that change reactions are mainly driven by self-interested motives. Job-level impacts of change (Herold et al., 2008), personal advantages (Holt et al., 2007) or the threat to power, prestige, and job security (Oreg, 2006) are all typical factors in research on change reactions. This results in a focus on self-interested individuals requiring cajoling about the impact of change on 'me' and overlooking the possibility that they may have genuine and broader concerns about change processes. Even though scholars have advocated the relevance of a multi-level perspective on reactions to organizational change (Rafferty et al., 2013) research into how losses and gains of colleagues and of organizational outcomes influence change reactions are still limited.

Research inspired by deontic justice (Folger, 2001) stresses that individuals prefer to live in ethical social systems, that they value justice (also) for its own sake and that – at least – “from time to time, we do find ourselves caring about the lives of others” (O'Reilly et al., 2016, p. 171). While the organizational justice literature is largely unconcerned with ethical questions (for an exception see Schminke et al., 2015), deontic justice explores the ethical value of a concern for justice and is interrelated with the behavioural ethics literature (Crawshaw et al., 2013; Folger et al., 2005; O'Reilly et al., 2016). There is broad empirical support for the importance of moral motives for organizational behaviour (e.g. Cropanzano et al., 2003; O'Reilly and Aquino, 2011; Schminke et al., 2015), yet the genuine concern for the wellbeing of others or the societal impact of the organisations activities (Dunford et al., 2015; Hansen et al., 2011) is not well recognized in research on organizational change.

Situations of organizational change are situations of high uncertainty and therefore trigger justice concerns (Brockner et al., 1994; Van den Bos and Lind, 2002). Oreg and Sverdlik (2011) show that a source of resistance to change is when employees realize that they need to carry a higher burden for the change than their colleagues. Yet, evidence that change recipients do not only care about how they are treated, but also how their colleagues are treated, comes from the literature on downsizing operations. The decision to downsize and the company's treatment of downsized employees are not morally neutral incidents, but need to be judged in ethical terms (Van Buren, 2000). Employees observe organizational reactions not only towards themselves, but also towards their colleagues (Van Dierendonck and Jacobs, 2012). In times of major restructuring, 'survivors' lower their organizational commitment (Datta, et al., 2010) as a reaction to the perceived injustice towards the 'victims' of downsizing (Skarlicki et al., 1998). Victims and survivors also consider the overall picture of the organization in order to assess downsizing operations. In cases where layoffs are perceived as purely driven by profit concerns, survivors are more inclined to react to unfair layoff-procedures with lowered organizational commitment than when the layoffs are perceived as occurring due to economic necessity (Van Dierendonck and Jacobs, 2012).

These so-called 'third party' reactions (O'Reilly and Aquino, 2011; Skarlicki et al., 1998) present an interesting twist to the current discussion on change recipients' reactions. The third-party perspective allows us to go beyond the dyadic perspective (organization – employee, or change agent – change recipient) and to explicitly include the observation of the experiences of colleagues (De Cremer & Van Hiel, 2006; Skarlicki & Kulik, 2005). It also allows us to consider the role of recipients' perceptions of overall organizational gains and losses in forming reactions to organizational change (Lavelle et al., 2007). In an organizational change context, compared to a stable context, it is more likely that leaders cannot live up to their former commitments and are prone to violate psychological contracts

(Morrison and Robinson, 1997; Van Buren, 2000). Employees are likely to observe violations of psychological contracts towards themselves, and also towards others. As a consequence of this, change recipients are also more likely to consider the broader organizational picture, to reflect on the organizational vision (Jacobs et al., 2006; Jacobs et al., 2008) and to search for deviations from the organizational goals and values during times of change.

Our main argument therefore is that the change recipient literature fails to acknowledge that employees might not only be self-interested in times of change, but may also be genuinely focussed on other- or organization related outcomes, losses as well as gains. Based on findings from an in-depth qualitative study of change recipients in the German police, we argue in line with the ambivalence literature (Piderit, 2000), that researchers need to focus more on the social setting of the change recipient as a source of multi-focused (me, colleagues, organisation) observations of change. Based on our study we propose that change recipients might resist (or embrace) change, not because it threatens (or enriches) them individually, but because change recipients worry about (or applaud) change effects as these relate to their colleagues or organisational outcomes.

Drawing inspiration from sensemaking (Weick, 1995) and deontic justice (O'Reilly and Aquino, 2011) perspectives, we add to the organizational change literature by proposing that moral explanations should be explicitly incorporated into change theories. According to these views, the social setting of organizational change acts as a rich basis for multi-focussed observations (Lavelle et al., 2007) that emerge from interactions between change actors as change unfolds. The meaning that emerges from these interactions is based on foci of the change that all participants, not just change agents, bring forth for attention (Sandberg and Tsoukas, 2014; Thomas and Hardy, 2011; Uhl-Bien and Marion, 2009; Weick et al., 2005). Framing the social setting in this way might explain that change reactions are not 'all about

me' but are perhaps a tapestry woven from observations about and genuine interest in what change means to me, to colleagues, and to the organisation.

### **The role of others in organizational change reactions: Is it all about me?**

Rafferty et al., (2013) show the relevance of group level and organizational level influence factors on individual reactions to change. At the organizational level, cognitive and emotional processes such as attraction-selection-attrition (Schneider, 1987) suggest change can induce that people leave the organization who perceive a misfit between their own personal characteristics and the new attributes of the changed organization. Studies have shown how organizational level charismatic leadership can facilitate a shared positive emotional reaction that elicits individuals to embrace change (Herold, et al., 2008). The influence of social factors on the group and work-unit level has also been posed as an antecedent of reactions to change (Wanberg and Banas, 2000). Drawing on social information processing theory, it is held that individual perceptions are shaped by thoughts and feelings expressed by others (Salancik and Pfeffer, 1978). Social influences on change reactions have been studied including whether other colleagues and managers view the change as positive or negative overall. When an employee's social environment (i.e., colleagues, supervisors) tends to resist a change, the employee is also more likely to resist (Oreg, 2006). Rumours in the workgroup (Isabella, 1990) and emotional contagion (Sanchez-Burke and Huy, 2009) influence individual responses to change and perceived experiences of colleagues are considered a cognitive and emotional influence factor on change recipients.

Still, a common assumption in these approaches is that change recipients assess change related information from the self-directed utilitarian perspective of what it means for them personally, what it means to 'me'. This prevalence of the fundamental assumption that the ultimate goals of individuals are self-directed is not limited to organizational change

research, but rooted in the history of ethics scholarship (Cropanzano et al., 2016).

Instrumental and relational justice models assume that when change leads to the loss of colleagues during downsizing operations, recipient resistance is explained by the unwillingness of individuals to invest in new relationships (Liu and Perrewé, 2005) or the potential threat to one's own position (Cropanzano et al., 2011). Fedor and colleagues (2006) argue that conceptualizations of change as broad initiatives, such as layoffs or reorganizations, actually mask the real effect change has on individuals, since it is mainly work unit and individual job level changes that represent change for individuals. Thus, "the change situation faced by employees is probably best represented by the new demands placed on employees' work units in conjunction with those demands affecting their own jobs" (p.7).

Findings from deontic justice research suggest that this may not be an accurate construction of how people judge situations. The way we observe others being treated in our immediate work setting or more distal setting of other organisational units, can enable and constrain positive cognitive and affective assessments of the organisation (Dunford et al., 2015; O'Reilly and Aquino, 2011; Rodell and Colquitt, 2009; Skarlicki and Kulik, 2005). These studies extend the organisational justice debate, which had long explained the justice process exclusively from a utilitarian or social exchange perspective. Instrumental or interpersonal justice perspectives entail that people care about justice for instrumental reasons, because justice 'serves' the self beyond justice as such (Tyler and Lind, 1992). The deontic justice approach suggests, however, that justice is valued in and of itself (Folger et al., 2005; O'Reilly and Aquino, 2011; Turillo et al., 2002). Proponents argue that people, in addition to self-directed interests, sometimes have other-directed fairness as an ultimate goal. Evidence from neuroscience shows, that employees can indeed be concerned with the plight and needs of others. Deontic justice judgements are heavily influenced by cognitive (understanding others' minds) and affective (experiencing others' pain) empathy of



individuals. Moreover, individual differences in the scope and intensity of moral assessments of situations are reflected in neural differences among people (Cropanzano et al., 2016). Such findings indicate, that deontic justice is to a certain degree hard wired. The core argument, that there is a basis for human motivation, beyond that of self-interest, fits well with studies on morality and virtue as legitimate variables for organisational analysis (e.g., Cropanzano and Rupp, 2001; De Cremer et al., 2010; Wright and Goodstein, 2007). A deontic justice perspective suggests that observations rooted in the experience of ‘others’ provides information that can influence reactions to change even if the observations have no direct consequences, positive or negative, for the change recipient. Employees react favourably to fairness, even when they are disadvantaged by just decisions (e.g. Greenberg, 2002; Turillo et al., 2002).

### **Broadening the scope of observations of change recipients: inspiration from sensemaking**

Following Maitlis (2005) sensemaking is seen as a process of social construction, denoting efforts by members of an organisation to interpret and create an order for occurrences (Weick, 1995). A sensemaking view implies that members collectively construct and interpret the meaning of change to create a workable reality (Lüscher and Lewis, 2008) that both enables and constrains further cycles of interaction about change and how it should be dealt with. Change recipients do not so much encounter social information in change processes as much as enact it (Tsoukas and Chia, 2002). Maitlis and Christianson (2014) argue that sensemaking should not be reduced to merely interpretation but also covers processes where actors’ create and bring forth aspects of the environment for ‘noticing’ as well as for interpretation. This indicates that change recipients do not passively receive clear information on how others feel about change and react on this but *co-construct* the meaning

of change (Thomas et al., 2011; Tsoukas and Chia, 2002). The involvement of change actors in co-constructing meaning (Weick et al 2005) exposes actors to narratives of change from multiple perspectives (Cunliffe and Coupland, 2012) not all of which refract only individual level 'me' oriented views of change.

Change processes are multi-authored (Buchanan and Dawson, 2007) where interaction in the social setting provides different 'lines of sight' to the change process (Sandberg and Tsoukas, 2014; Thomas and Hardy, 2011) and different ways of observing and deriving meaning from change related events. Based on this sensemaking inspired view, recipients are likely confronted with different, sometimes contradictory and complex interpretations of change from colleagues, the work group, and the broader organizational setting. The multi-focussed nature of observations that recipients have of change processes supports those who challenge a view of recipients as mainly throwing up unreasonable obstacles in order to screw up well-orchestrated change endeavours (Ford et al., 2008; Thomas and Hardy, 2011). Theorizing on recipients' reactions to change has broadened recently to consider the complexity of reactions and resistance (Sonenshein, 2010) but while this perspective acknowledges tensions, it is still largely rooted in a 'what does it mean for me' perspective on change reactions.

We add to this discussion by showing that the mainly self-interested 'me' focus in the description of change recipients' reactions to change obscures complex reactions of change recipients rooted in multi-focussed social observations and paradoxes that result. Employees make sense of their organization, by including observations on how external parties (such as customers, community members or the general public) (Dunford et al., 2015) and internal parties (e.g. colleagues and superiors) are treated (O'Reilly et al., 2016). The moral identity of employees (Aquino et al., 2009) can lead people to experience a relatively large circle of moral regard. This implies that employees consider under certain circumstances the moral

implications of events for their direct colleagues, but also for the wider organization including its external stakeholder (O'Reilly and Aquino, 2011). Due to the high likelihood of psychological and social contract breaches in times of organizational change (van Buren, 2000), moral sensemaking processes might be triggered, which encompass the implications of the change for a larger circle than just 'me'.

### **Police stories: making sense of change as a recipient**

We carried out this exploratory qualitative study in the German Police. We aim to contribute a novel and nuanced perspective on change recipients' reactions and in this way, improve theorizing on this important issue. While data generalizability is not typically the aim of exploratory qualitative research (Guest et al., 2006; Miles and Huberman, 1994), the German police may be an exemplary setting (Eisenhardt, 1989) for studying reactions to change given the different types of change projects occurring in the police context all over Europe since the rise of New Public Management in the 1980s. As such, the insights we generate in this paper are potentially of relevance for other settings where change projects are common and effect large numbers of organizational members. It is also important that we consider change not only in large, private sector firms which tend to be over-represented in studies of important organizational phenomena at the expense of alternative contexts like public and voluntary sector organizations (Keegan and Boselie, 2006). The number, scale and scope of projects reflects responses by leaders to new forms of crime (cross-border criminality, international terrorism and advanced forms of organized criminality) and pressures on the police force to operate with higher levels of efficiency, cost effectiveness and customer-orientation. It is important to point out that there was not one but rather many different types of (often overlapping) changes occurring when we studied these change projects.

**Descriptions of change projects.** We analysed recipients' reactions to large-scale change projects that ranged from the relatively focussed (e.g. re-structuring of a department of about 400 employees) to the relatively broader and more ambitious (the reassignment of tasks or roles of police units on a country-wide basis). We asked respondents to describe the change projects and what they understood to be the goals of these change projects. The changes cover the typical forms of intervention in public sector organizations (Bejerot and Hasselbladh, 2013) including political intervention, intervention by laws, regulations, audit and inspection, intervention by management and by rationalizing professional practice. Among the goals described by interviewees were the more effective handling of personnel resources, the introduction of more efficient work procedures, and the readjustment of organizational structures to suit a changing political and social environment. General themes included the desire for more 'cops on the beat'. In a context of shrinking resources and smaller budgets for public expenditure this led to initiatives including the merger of police districts, the introduction of more flexible shift-work models, the decentralization of managerial tasks and creation of larger spans of control. A second commonly cited trigger for changes was the need for better knowledge sharing in the field of investigative policing which led to the introduction of shared service centres and greater specialization in police work. Other changes were initiated as a result of new laws created by the Ministries of the Interior leading to an increase in the proportion of positions within the police requiring higher-level educational qualifications impacting the career prospects of many incumbent police officers. This is the general setting for the study of recipients' reactions to change.

**Data Collection.** We considered only respondents who were clearly change recipients and not change agents. While recognising the fluidity of social identities in organisational settings (Sandberg and Tsoukas, 2014), here we follow Ford et al. (2008) in defining change recipients as those responsible for implementing, adopting or adapting to changes which

others, typically known as change agents, identify as necessary, and who define and specify the desired outcomes. The interviewees did not take part in defining the aims or goals of these projects or in policy discussions on the initiation of changes.

We recruited participants from a training centre for police officers deemed as high potentials for theoretical and practical reasons. Out of roughly 270,000 police officers about 150 are sent to the Police Leadership Academy by sixteen German states (Länder) and two federal police forces each year. The academy recruits officers from all over Germany and from every department and sector of the organization providing us access to people from across the organization who have participated in different change initiatives. This gave us access to respondents in one location to carry out face-to-face interviews that would be difficult to achieve in any other way. Police officers are drawn evenly from the various police organizations and represent an interesting cohort to study as they are themselves likely, one day, to lead change in the organization. Interviews were conducted in German, transcribed and analysed (by one of the authors, a native German speaker) in German. We asked every interviewee to select specific change projects to consider while answering our questions, projects in which they recently participated and we explored two broad themes in all of the interviews. Firstly, all interviewees were asked for their assessment of their focal change project(s) and if they thought it was successful or unsuccessful. The definition of (lack of) success was explicitly left up to the interviewees to avoid imposing any framing of success criteria on the interviewee. If their assessment overall was negative (or positive), we asked them to tell us what they saw, observed, or experienced that made them come to that assessment. We did not provide prompts as to what types of observations they should focus on, this was entirely up to them. In this we align with others who suggest that theorists should explore how change recipients understanding change (Sonenshein, 2010).

**Data Analysis.** Three of our respondents report two change projects, meaning that we analysed a total of 26 accounts of planned structural change projects from the perspective of 23 interview participants. We transcribed the recorded interviews verbatim and uploaded interview transcripts to Nvivo version 10 for analysis. An overall summary was made of each interview in German and professionally translated into English and used as a way of familiarising ourselves with key aspects of the different change projects (Cresswell and Miller, 2000). Having analysed the summaries of the interviews and identified main themes through processes of inter- and intra –interview analysis, we then coded the original German transcripts line by line (Miles and Huberman 1994). We coded for every observation or experience that respondents offered as a basis for their assessment of the change as having been successful or not and these were translated from German into English. Wherever we felt the German expression did not have a direct equivalent in English we also retained the original German expression. This phase was highly inductive and iterative, involving multiple coding cycles, and resulted in an initial 98 separate codes covering observations made by interview participants in coming to their change assessments.

## **Results**

We first categorised the data in terms of whether the interviewee assessed the change, from an overall perspective, as successful or unsuccessful. We then looked for reasons given. These covered issues pertaining to the individual change recipient, to others in their work environment (peers, managers) and to the impact on the organisation including the impact on service quality and public perceptions. We coded both ‘gains’ and ‘losses’ that police officers observed from each of the three foci of me, colleagues and organisation when describing why change projects were successful or successful. Then, using a process usually described as axial coding whereby data are put back together in new ways by making connections between

categories (Corbin and Strauss, 1990), we compared and contrasted all the coded utterances relating to the how change recipients made sense of change.

This process resulted in three broad themes and their related sub-themes based the different observations made by the interview participants in terms of the impact of change on ‘me’, on ‘colleagues and on ‘work, organization and policing’ and their overall assessment of the (lack of) success of the change project. We present these themes and sub-themes in Table I.

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Insert Table I about here

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The main themes cover observations of the impact of change on ‘me’, ‘colleagues, and ‘work, organization and policing’. We added sub-themes to capture the fact that when observing the impact of change on different levels, interviewees observed both losses and gains. Some general comments on the patterns in the coding are required before we discuss the themes in more detail. When distinguishing between the three foci ‘me’, ‘colleagues’ and ‘organisation’ we are aware of the fact that these foci are typically nested. The ‘me’ is nested within the group of colleagues, and the ‘colleagues’ are nested within the organisation. In our coding we referred to the main focus of the respective utterance. Thus when the ‘me’ is explicitly considered, we coded this utterance as a ‘me’ observation, when the utterance is explicitly discussing consequences for ‘colleagues’ we coded this as a ‘colleague’ focus. In total we coded 7 pure utterances relating to ‘impact of change on me’ in terms of losses (5) and gains (2). We coded a total of 136 utterances relating to ‘impact of change on colleagues’ in terms of losses (99) and gains (37). Finally we coded a total of 223 utterances relating to

‘impact of change on work, organization and policing’ in terms of losses (148) and gains (75).

**Theme 1: Observing impact of change on ‘me’: Losses.** Coding data for what change means to the individual – to the ‘me’ – we observed that respondents described losses incurred as a result of change in terms of the impact of change on them personally. However, there are few cases among the total number of coded utterances where individuals described losses at the “me” level. We now describe the patterns in the “me” reactions.

The first sub-theme refers to how change meant loss for ‘me’ in terms of ‘position, career, prospects’ of the change recipient. For example ‘I had a position which did not exist anymore after the change’ (13nn) is coded at the “me” level. A sub-subtheme of the ‘Loss-me’ subtheme builds the observations of the mixed impact of change on the individual, referring to both ‘me’ and ‘colleagues’ such as in these quotes where the ‘me’ focus is explicitly linked with ‘colleagues’: ‘People who had been on the beat for ten years, and were hoping to get criminal investigation tasks, had to give up on this dream and continue walking the beat. Actually this also happened to me. ... and this destroyed a lot....The careers of people involved in the project have reached a dead-end because in the new position structure, old skills that they have are not needed anymore. This happened to people throughout the whole organization, but it also happened to me’ (21np).

***Observing impact of change on ‘me’: Gains.*** Our respondents also observe gains from the ‘me’ or a mixed ‘me’ and ‘colleagues’ focus. ‘The career chances of those like me on the higher tracks increased since the proportion of higher qualified people should be enhanced’ (12nn).

**Theme 2: Observing impact of change on ‘colleagues’**

***Observing impact of change on ‘others’: Losses.*** By far the majority of the observations of loss for individuals as a result of the changes refer to what happened to



‘colleagues’, peers and managers and to their families. These observations pervade the data, and vivid examples are given in nearly all interviews. Coding for observations of loss to colleagues includes loss of positions, careers, prospects, status, feelings of belongingness, or predictability and convenience of work routines and practical issues such as commuting distance. We coded these to a number of subthemes. The first subtheme covers losses in terms of ‘position, career, prospects’: ‘Leadership positions were taken away. People lost their status and positions and former tasks. This led to serious frustration, which sometimes manifested in open complaints. These people were put on lower hierarchical positions. Suddenly they found themselves in positions they had had years before. This was a clear demotion, not in salary but in tasks and status. People were sent into personal crisis’ (10fp). Coding for observations of ‘losses of colleagues’ also showed the impact of change on people’s feelings of belongingness and social aspects of working. We aggregated data coded on these dimensions into sub-theme 2 on the ‘social side of work’: ‘Many people were dissatisfied’. [Interviewer: ‘How did you see this?’]. ‘Just those things that were previously typical for what you do in your work unit, such as togetherness, sometimes sitting together in the evening, just these social things. Now people just worked-to-rule. And even admitted it’. (13nn).

We also identified observations relating to the impact of change on others with implications for more practical aspects such as commuting distance to work, work-life balance, predictability of rosters. We coded observations of this nature and aggregated these codes into the subtheme ‘job conditions’. For example: ‘Now the shift rota is very driven by work needs....so if there is an incident I call my people to come in. We have four week planning ranges but this can be changed within an hour. Well, my colleagues who are fathers and mothers, this is seriously impacting their lives’ (20np).

***Observing impact of change on ‘colleagues’: Gains.*** Observations supporting the general assessments as to whether change achieved its goals also relate to the gains for others. The first sub-theme related gains for colleagues ‘position, career, prospects’: ‘Many colleagues now had the opportunity to take over other areas. Now they could cover different and new areas. Most of my colleagues took this as something very positive’ (3un). Gains for colleagues were also observed in terms of the quality of the social environment at work. These observations relate to gains in terms of the ‘social side of work’: ‘The spirit was positive and motivated. People liked it and felt better, since they also had more colleagues to help them with all the operational tasks’ (11fp).

**Theme 3: Observing the impact of change on ‘Work, Organization and Policing’**

Observations supporting the general assessments of change also relate to the impact of change on ‘Work, Organization and Policing’. We coded more data relating to observations with this focus than at the first two levels. These observations relate to observations of what change means for policing, for standards of service to citizens, for the quality of work, and for implementation aspects of change. As with the first two broad themes, the observations here also relate to the impact of both losses and gains observed by change recipients to result from change processes.

***Observing the impact of change on ‘Work, Organization and Policing’: Losses.*** We coded data at four subthemes. Subtheme 1 aggregates data coded for observations of losses in terms of Work, Organization and Policing: ‘Idea behind change is not sensible’: ‘Really, I never got this. When you do a reorganization, why do you not first get a clear picture of the current situation and then of the situation you want to move to? Only then you can say this is a success or not. It drove us crazy that there were never clear numbers about the current situation’ (14nn). Subtheme 2 relates to observations of losses as a result of ‘ineffective change implementation’: ‘The internal goals clashed with each other. One goal was to handle

the change in a socially adequate way, the other one to do the change as effectively as possible. But many of those people who needed to get positions for social reasons just did not have the expert knowledge, e.g. for airport or railway police. Sometimes up to 50% of the new personnel was just not able to work since they were missing expert knowledge' (24np). The data shows that losses were perceived to flow from the change, whether it was assessed overall as successful or unsuccessful, because the change led to alterations in work, organization and policing which were inferior to the local practices that employees already had. These changes being pushed through led to poor implementation. At sub-theme 3 we aggregated data coded for losses in terms of work, organizing and policing that arise from 'deterioration in policing': 'We were asked to prioritize work and there I am not always sure if we took the right decisions. Just to give an example, we could say that we do not have a big problem with right-wing terrorism, and therefore we do not focus so much on this. Instead we focus on other topics and do them on a high quality level. Still, this means that we would neglect right wing terrorism just to name something' (4up).

***Observing impact of change on 'Work, Organization and Policing': Gains.***

Respondents also observed the gains associated with changes in terms of their impact on Work, Organization and Policing. We gather data coded on these observations at three sub-themes. The first related subtheme for 'Gains Work, Organization and Policing' is 'Idea behind change is sensible' and gathers the data coded for observations by change recipients that the change makes sense and is well conceived: 'I feel the police education reform was really needed. We worked much better in this more modern and better system which is quite close to any school or university system and we had to get rid of this old, very closed system which had come long ago from paramilitary structures' (14nn). A second sub-theme relates more closely to observations made that the change was implemented well by change agents and that the correct decisions were taken during the change implementation processes which

improved police work and organization. This is subtheme 2: 'Effective change implementation': 'The right signals were sent. It was clearly not to your disadvantage to be flexible and mobile. Those who had to be pushed on their chair out of the office were not the winners. And I think this is right. When you are as flexible as a steel rod you do not belong in this job anyway' (7fn). A final subtheme used to aggregate data coded for observations of gains for work, organization and policing is subtheme 3: 'improvements in policing'. This subtheme emerged as very important in the data and we coded many observations here (53 of our 75 positive utterances in this theme): 'The improvements are straight forward and for everybody to see. The investigative police can now focus on major crime. But the uniform police can now also handle more interesting cases, not always only the easy and not promising ones. And for the public it is also easier. When I report a burglary, I can now be sure that the whole case will be handled at the same station. Our work quality really improved on many levels' (15nn).

**Making sense of change: mixed reactions, ambivalence, and a focus on the other**

In Table II we summarise the intra-interview patterns of how respondents observed the consequences of organizational change in terms of losses/gains by focus. We show the multidimensional pathways through which our respondents narrated their experiences of the change as achieving its goals or not, and as rooted in observations in terms of the 'me', the 'others' and 'work, organization and policing'. As Table II shows, when assessing change outcomes, change recipients consider information from several foci, reactions are not always clearly positive or negative, and it is never simply 'all about me'.

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Insert Table II about here

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## Discussion

Our findings suggest that recipients' assessments of change are rooted partly in ethical considerations of change whereby gaining from the change personally, while colleagues suffer and the organization deteriorates, or vice versa, leads to ambivalence. Ambivalent employee reactions have been acknowledged in the literature as important sources of constructive criticism in times of change (Eisenhardt, 2000; Ford et al, 2008; Ford and Ford, 2010; Sonenshein, 2010). A thorough understanding of the sources of ambivalence, and the importance of ethical considerations as one of these sources, is important for two reasons. First, organizations can only appropriately address ambivalence when they understand the sources and motivations behind it and second, ambivalence can provide valuable insights on how change recipients both understand and implement change (Oreg and Sverdlik, 2011).

Our interviewees draw on observations of losses and gains, at times simultaneously from different foci, to assess change outcomes. They observe colleagues and the organisation and themselves suffering, sacrificing and losing out alongside observations of colleagues, the organisation and themselves gaining, winning and experiencing improvements as part of these change projects. However, the focus is rarely if ever on losses and gains exclusively in terms of the impact on 'me'. The way change recipients assess change rather represents ambivalence as defined by Eisenhardt as 'both positive and negative (as well as intended and unintended) outcomes for employees and organizations' (2000: 703) and described by Piderit (2000) as cognitive and emotional responses to change. Change is observed as *simultaneously* delivering losses and gains whether or not it is perceived overall as successful or unsuccessful, and this is a tension that pervades these accounts by police officers.

Our respondents were generally in agreement that the beneficial effects of organizational change always come with costs and negative outcomes, while change that they

assess as unsuccessful is seen as delivering benefits at different levels for different parties:

‘What would be different when the project would have been perfect?’ (Interviewer) ‘I don’t know, I have never seen an ideal change project in my life. What do we do with your question now? (laughs)’ (22np).

Though theorizing on recipients’ reactions to change and sensemaking about change has developed substantially in recent years (Sonenshein, 2010), the complexity we observed in the data seems to go beyond prior findings. Theorists take into consideration ambivalence based on differences between reactions that are rooted in cognitive as opposed to emotional responses of individuals (Piderit 2000), the conflict between dispositional and attitudinal orientations (Oreg and Sverdlik, 2010) and consider reactions that are based on multi-level analysis by recipients (Rafferty et al., 2013) or sensemaking that is based on different, opposing narratives of change (Sonenshein 2010). Our findings suggest that most of the change recipients in our study also make sense of change based on ethical considerations, namely their observations and experiences of the impact of change – in positive as well as negative ways - on themselves, but to an even greater extent on ‘colleagues’ and on general issues of work, organization and policing.

On the few occasions that interviewees discussed their assessment of change as successful or not by drawing on observations and experiences of personal losses and gains, they did not clearly separate themselves from the surrounding social context. When the ‘me’ did come into play, it was often only in relation to colleagues. The questions about “what did you observe” did elicit highly detailed and vivid responses about the impact of change on others and for work and the organization. A possible explanation for the emphasis on ‘colleagues’ and ‘the organization’, in line with the deonance argument (Turillo et al., 2002), is that police officers were genuinely impacted by the losses and gains of their colleagues, managers or subordinates, even when these observations did not provide information that

impacted directly on the change recipient themselves. Observations that people lost their positions, had their careers suddenly truncated, had family difficulties, or became depressed, may have challenged the officers' sense of justice even if there were no obvious short or long term implications anticipated for the change recipient themselves.

More generally, the patterns in our data may reflect the idea that the observation of suffering might trigger justice cognitions, such as severity of harm and deservingness (O'Reilly & Aquino, 2011). Positive accounts on the organizational punishment of people who did not support sensible change initiative's supports this interpretation. Our respondents were not automatically grieving with colleagues who encountered personal losses, but accounted for the severity of the harm (e.g. moving location, or less desirable working conditions which were weighted against change reasons and goals), the attribution of blame (e.g. are the change goals justifiable?) and for the deservingness. For example, employees applauded that a lack of flexibility and willingness to accept personal costs for organizational improvements was sanctioned by the organisation.

Our findings are in line with fairness theory (Folger & Cropanzano, 2001), which states that the sensemaking of losses (own or other) inflicted by an authority is informed by three considerations, namely 1. comparing the current state of well-being to potential other states, 2. elaborating if the authority had other feasible options and 3. if the event violated moral or ethical standards. The multi-dimensional and multi-focus sensemaking of losses and gains of our interviewees can be interpreted as an attempt to find answers to these three questions. Interviewees interpreted negative work behaviour of colleagues (like working-to-rule) as ethically adequate when they felt that the change pressure on them was not appropriate, if the change procedure was not considered as fair or if the overall goals did not make sense to them. In other cases, change recipients came to the conclusion that sensible

change ideas can morally legitimate social losses and short-term deteriorations in organizational performance.

Considering this ethical dimension is therefore especially important given the large amount of change-induced losses reported in our interviews, since ethical considerations are mainly triggered by the observation of potential mistreatments or organizational decisions with negative consequences (O'Reilly and Aquino, 2011; van den Bos and Lind, 2002). Our data provides some clues that the social costs of change are potentially very high if we consider that each and every colleague negatively affected by a change process has in turn many colleagues observing her or his pain. From this perspective the effects of social losses are easily multiplied and extend far beyond any one change recipient to others who may actually not directly lose out because of the change, or may even personally benefit from the change (Skarlicki and Kulik, 2005; O'Reilly et al., 2016). These are the types of losses that may be obscured by a focus on change recipients that looks too one-sidedly at personal losses and gains from change processes.

Observed gains and losses of colleagues and the observation that change implementation might violate fairness standards or lead to a deterioration in policing fuelled concerns about the change process and also reports on resistance. This finding indicates that change resistance also needs to be considered as a form of third-party punishment (O'Reilly and Aquino, 2011). The change recipients in our study did not have high position power, since they were not in charge of the change process themselves. However, they had high resource power, given that change processes are largely dependent on the commitment and enactment of employees (Ford et al., 2008; Rafferty et al., 2013). In the face of the suffering of colleagues and the concern that external stakeholders (e.g. the public) might get poor outcomes, change recipients might be inclined to resist the change out of solidarity with the change victims. Such complexity suggests that we need to develop greater awareness and



understanding of ‘net reactions to change’ if we want to predict how people will react to and behave in response to change or how they will be impacted on by change. Furthermore, as reactions to change cover different foci, it is likely that there is moral dynamism in these reactions and they change throughout the process in response to sensemaking in terms of losses and gains, and focus on me, colleagues or the organisation generally.

We interpret the data as suggesting a strong focus among our interviewees on the broader work environment and the impact of change on organizational performance. One explanation for this is that perceptions of deterioration in organizational performance or the quality of work can undermine the change recipients’ identification with the policing profession or organization (Lavelle, et al., 2007). Negative change related outcomes of this nature are hard to detect but may be quite salient when it comes to understanding why people support change in their organizations or not. We also saw from the data on personal losses that while job loss was not common due to employment protection legislation in the German police, loss of status, position and hopes for better career prospects did occur leading perhaps to a more silent type of suffering with negative effects for the social environment of unhappy demotivated colleagues and leaders. Such negative outcomes from change may also be harder to detect in standard studies of recipients’ reactions to change because these are not direct impacts on change recipients but rather indirect effects on those in the social setting.

We observe that change recipients may be clear in their perception of what the change means to them personally (loss/gain), but still observe that the change process is beneficial or damaging for colleagues and/or the organization or policing more generally. These colleague- and organizationally-rooted observations may explain, wholly or partially, change reactions, even when personal loss/gain is only one outcome of a change process. As far as we know, this particular type of ethical complexity has not been discussed in the change recipient’s reactions to change literature and represents a potential contribution. We need to consider the

interactions of ambivalence at the personal level and ambivalence rooted in observations of what change means for me, colleagues and the organization, suggesting a far more complex scenario underpinning change reactions than is usually assumed in studies of reactions to change whether addressing change resistance, readiness or commitment.

The policing context we studied may be a special case, because police officers rely heavily on ‘colleagues’ not only for work successes, but also for their own safety (Manning, 1997). We saw many instances in the data where the social setting was negatively affected by change, and where colleagues were seen to be damaged by change projects. It may be that change recipients felt their own personal safety was lessened to a much greater extent than when they themselves personally lost something in the change process. The reliability of colleagues’ reactions is important in the uncertain and unsafe situations police officers find themselves in as part of their daily work routines. Yet, the importance of colleagues is not only relevant in the policing sector. Similar dynamics can be observed among fire fighters, miners or workers in the energy and transport sector. All of these work settings have high-level safety implications. Having said that, while acknowledging the police is an extreme case of work interdependence where issues of safety and security are paramount, we suggest that the change consequences for others are likely more relevant and more influential for all change recipients than currently recognized by the change literature. Perhaps, all posturing aside, it really is not all about me.

Simplistic accounts of positive or negative reactions to change are not supported by our case study. When change reactions are ambivalent at an intra-psychological level (Piderit 2000), and also in terms of different foci (impact on me, colleagues, the organization), what reactions determine how people ultimately act or behave? What reactions have lasting effects, and what more generally are the temporal aspects of how these reactions emerge and unfold over time, both during and after the change project? We believe such complexity requires

further theorization so that the different factors influencing how organizational members charged with implementing change can be understood in terms of the richness of these factors firstly, and as a basis for further studies of how these different factors co-mingle, are weighted by change recipients, and how these unfold dynamically and processually over time. Interconnecting the study of organizational change with the field of behavioural ethics is a much warranted, but so far neglected avenue to further our understanding of the successes and failures of organizational change.

Finally, our data suggests that change recipients commented on various very specific issues concerning the content of the change and the implementation of the change. Respondents' stories of this aspect of change suggest that the rather paternalistic tone of work on change recipients, tending to treat recipients rather as children who should listen to elders who know best (Ford and Ford 2010; Ford et al. 2008), might frame change recipients in a way that represents a missed opportunity for those responsible for planning and designing change processes. Change recipients consider a broad moral scope, including their colleagues, the organisation and external stakeholders. The change recipients in our study were deeply involved in the work of their organizations, and they appear to have observed their surroundings intensely during change projects. These change observations need to be taken seriously as change processes are being 'rolled out' because they can potentially provide valuable information about opportunities and obstacles for implementing change. Rather than sanctioning only formal information flows (Bordia et al., 2004) change leaders should facilitate the emergence of socially embedded understandings of change that emerge as organizational members interact and begin to see the consequences of change as it is being implemented.

We are not advocating that every aspect of resistance should be celebrated nor that resistance needs to be demonized (Thomas and Hardy, 2011), rather we are arguing that these

broader other focused and organization-related observations are rooted in a proximity to practical and social factors that seriously impact on the chances of a change process succeeding. Such local knowledge is needed, since change agents have only limited insights into daily work routines. Change reactions generally, and resistance specifically, may arise from the superior 'line of sight' change recipients have to implementation aspects of change. The change recipients we interviewed experienced change processes at close quarters and expressed the finely grained and often ambivalent nature of these change processes that simultaneously created and destroyed thus unleashing effects that were complex and multifaceted especially to their leaders, the change agents who operated at a distance from the change setting. A sensemaking perspective suggests that change is becoming (Tsoukas and Chia, 2002). As such reactions to change also unfold as new and potentially ambivalent facets are revealed through personal interactions in the workplace and sharing of experiences as well as the testing of personal theories about what is going on, and what it means for me, for us, and for the organization. Sensitivity to these insights from knowledgeable, well-informed and morally reflective change recipients should perhaps be a core part of research studies as this could further enhance our understanding of the complex nature of change processes.

### **Limitations and future research**

Our exploratory, qualitative study, like all studies, has limitations which are important to acknowledge. Firstly, we only focused on one specific context, the police context which may limit the generalizability of the findings. However, going beyond the usual focus on large, private sector firms in studies of important organizational phenomena is important. Bamberg and Pratt (2010, p. 666) argue that it is "often only by venturing outside of the monastery that management researchers can observe or gain exposure to phenomena or

relationships playing under- or unrecognized roles in shaping taken-for-granted intra- or interorganizational dynamics”. We started our study with a classic organizational change perspective and were struck by the different picture we encountered in the policing context. We realized however that we could embed, support and explain the findings we got with management theory that was developed mainly in private sector settings while potentially enriching it with emerging insights in this policing context. There are also good ethical arguments for conducting research outside the “happy few” organizations (Keegan and Boselie, 2006) to make sure that we blend insights from multiple contexts (Feldman 2005; Kelman 2005). All this suggests the need for cross fertilisation between what we know from mainstream change theories. We can blend insights from other less well-studied contexts to build overall more robust theories that serve more than private sector contexts. Public organisations have great visibility and symbolic importance for organizations’ ethical standards and the trust we have in the societies in which we live.

The police sector also has much in common with other sectors where safety and security lead to high levels of interdependence. In these contexts, the impact of colleagues’ experiences is also likely to play a role in overall assessments of change. The police context is one in which the meaning of work plays a crucial role. Assessments of the impact of change on the organization in general played an important role for our participants. This may also be the case for other organizations where the mission of the organization is a crucial aspect of the meaningfulness of work for its members.

A second limitation is that we looked at only one type of change, namely large-scale, planned organizational change where employees typically have no voice, limited opportunity to participate, and are also, only to a very limited extent, able to either resist or support the change. It is very possible that other types of changes, such as cultural, more incremental or very local, highly participative change projects, produce different types of recipient

sensemaking. However, as our aim is to contribute a more nuanced perspective on change related ambivalence and ethical aspects of change assessments, we think these issues may be as relevant for other types of change.

A third limitation is that we selected a specific cohort for our interviews, namely change recipients, recruited into a high potential group at the police leadership academy. These change recipients might have a biased (positive) attitude towards their work and stronger capacities for understanding a managerial perspective, when compared to the average change recipient. Given this, we were struck by the prevalence of negative descriptions, and also the intense personal descriptions these recipients gave of suffering and gains, mainly of their colleagues.

A fourth limitation is that we report interview data drawn from interviewees' accounts of previous involvement in change projects which creates difficulties with recollection (Alvesson, 2003). Longitudinal studies involving real-time participant observation and data collection will be valuable in surfacing emergent reactions to change and locating these in organizational aspects that shape sensemaking processes so that richer insights can be generated than we are capable of with this type of data (Oreg et al., 2011). Having said that, our access to participants in many different types of large-scale planned change projects taking place in a police organization undergoing massive transformation can provide valuable insights, which we hope we have demonstrated.

Whether the 'other' and 'organisation' oriented sensemaking is genuine, or simply what interviewees described retrospectively as part of their image management (Alvesson 2004) or based on social desirability motives, is something we cannot answer with this data. Self-interested motives can emerge in several ways, next to self-congratulation, the concern that one day he or she will be a victim of a change process (Cropanzano et al., 2011) can trigger self-centered motives to resist change. Given the finding that there are far more

utterances that cover losses than gains, one explanation for the strong focus on ‘colleagues’ and ‘the organization’ may be that people find it easier to talk about what colleagues or the organization lost as a result of change processes rather than to refer to what they lost themselves. Within this context of police work, ego defence mechanisms may provide an explanation, whereby it is easier to divulge feelings of loss indirectly than directly may provide an explanation (Lazarus, 2000). In the masculine policing context we studied for example (Fletcher, 1996) where personal suffering is not really considered newsworthy but just part of the job (Van Maanen, 1978) as with many ‘hard job’ work cultures (Collinson 1992), respondents may simply not have been willing to discuss the impact of change on ‘me’ as there is little discursive space for talk about what ‘I’ lost.

The limited number of cases we analysed limits the generalizability of the study, and while generalizability is not our main aim (Miles and Huberman, 1994; Guest et al., 2006), future studies of larger numbers of cases might be valuable for confirming the emergent patterns we have surfaced in this in-depth exploratory study. However, the number of interviews we undertook conforms to best practice in qualitative research where saturation of theoretical codes is important (Guest et al, 2006), and the transparent steps we took in coding and interpreting the data may provide a basis for future researchers to build on and confirm the patterns we have described regarding ambivalence and ethical aspects of change recipients reactions.

Finally, our data clearly suggest that when reacting to change, change recipients consider information at several different levels. What clearly needs further research is the question how recipients of change arrive at an overall assessment or weighting of change based on this multidimensional pattern of sensemaking and observations pertaining to different levels. When and why do observations of losses at the organisational level weigh more heavily than observations of gains at the individual level? We are not able to answer

this question on the basis of this study but think it is an issue that does warrant attention in future research.

## **Conclusion**

As organizational scientists we do not do enough justice to the messy, highly complex, often painful and demotivating, often joyful and inspiring ambivalent reality of change processes. We do not systematically consider multiple contexts when studying change, and often fail to blend insights from different types of organizations to build more robust, context sensitive theories. On the one hand, the importance of abstraction and complexity reduction to create meaningful theories is evident. On the other hand, to do justice to the complexities we encountered in our field context, we have to take seriously the importance of telling a more complete and contextualized story about recipients and organizational change. How change recipients make sense of and evaluate change is a critical aspect of understanding how planned organizational change unfolds. In their assessment of change, an important but unexplored question is whether change recipients are also driven by ethical considerations, and do they genuinely consider the pain and joy of their colleagues and the overall losses or gains in terms of organizational outcomes? As knowledgeable organizational participants, change recipients may disagree with change projects that lead to deteriorations in their career prospects and still care about change and want it to succeed because they believe it will lead to better policing. They may dissent and at the same time be deeply committed, and may personally lose or gain from change and still find it simply morally not justifiable because of the effects of change on their colleagues and on the organization.

Reactions to change may be far more ambivalent and multidimensional, than considered in the current literature. Change recipients' reactions to change are based on



observations about change effects on the person themselves, their colleagues and others, and the work and organizational outcomes change recipients observe throughout the process. We conclude therefore by proposing that ambivalence extends beyond self-interested psychological reactions to change, but encompasses reactions that are rooted in conflicting meanings assigned to change based on what it means to me, to others, and to the organization. Acknowledging the complexity and ethical import of change recipients' reactions to change is essential to telling a more complete story and relies on recognizing that it is certainly not 'all about me'.

We started with a quote suggesting that we live our lives also as part of the lives of others. In line with this thought, we suggest that the change literature must add to the question 'what will happen to me?' the questions 'what will happen to my colleagues, and what will happen to my organization?'

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