



Title	Differences in removal rates of virgin/decayed microplastics, viruses, activated carbon, and kaolin/montmorillonite clay particles by coagulation, flocculation, sedimentation, and rapid sand filtration during water treatment
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Citation	Water Research, 203, 117550 https://doi.org/10.1016/j.watres.2021.117550
Issue Date	2021-09-15
Doc URL	http://hdl.handle.net/2115/90373
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Rights(URL)	http://creativecommons.org/licenses/by-nc-nd/4.0/
Type	article (author version)
File Information	HUSCAP Matsui WR 2021 MP removal.pdf



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1 **Differences in removal rates of virgin/decayed microplastics, viruses,**
2 **activated carbon, and kaolin/montmorillonite clay particles by coagulation,**
3 **flocculation, sedimentation, and rapid sand filtration during water treatment**

4

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20

21 **Abstract**

22 One of the main purposes of drinking water treatment is to reduce turbidity originating from
23 clay particles. Relatively little is known about the removal of other types of particles, including
24 conventionally sized powdered activated carbon (PAC) and superfine PAC (SPAC), which are
25 intentionally added during the treatment process; microplastic particles; and viruses. To address
26 this knowledge gap, we conducted a preliminary investigation in full-scale water treatment
27 plants and then studied the removal of these particles during coagulation-flocculation,
28 sedimentation, and rapid sand filtration (CSF) in bench-scale experiments in which these
29 particles were present together. Numbers of all target particles were greatly decreased by
30 coagulation-flocculation and sedimentation (CS). Subsequent rapid sand filtration greatly
31 reduced the concentrations of PAC and SPAC but not the concentrations of viruses, microplastic
32 particles, and clay particles. Overall removal rates by CSF were 4.6 logs for PAC and SPAC,
33 3.5 logs for viruses, 2.9 logs for microplastics, and 2.8 logs for clay. The differences in removals
34 were not explained by particle sizes or zeta potentials. However, for clays, PAC and SPAC, for
35 which the particle size distributions were wide, smaller particles were less efficiently removed.
36 The ratios of both clay to PAC and clay to SPAC particles increased greatly after rapid sand
37 filtration because removal rates of PAC and SPAC particles were about 2 logs higher than
38 removal rates of clay particles. The trend of greater reduction of PAC concentrations than
39 turbidity was confirmed by measurements made in 14 full-scale water purification plants in
40 which residual concentrations of PAC in treated water were very low, 40–200 particles/mL.
41 Clay particles therefore accounted for most of the turbidity in sand filtrate, even though PAC
42 was employed. The removal rate of microplastic particles was comparable to that of clays.
43 Sufficient turbidity removal would therefore provide comparable removal of microplastics. We
44 investigated the effect of mechanical/photochemical weathering on the removal of
45 microplastics via CSF. Photochemical weathering caused a small increment in the removal rate

46 of microplastics during CS but a small reduction in the removal rate of microplastics during
47 rapid sand filtration; mechanical weathering decreased the removal rate via CS but increased
48 the removal rate via rapid sand filtration. The changes of removal of microplastics might have
49 been caused by changes of their zeta potential.

50

51

52 *Keywords:* Log-removal, Number concentrations, MS2, PMMoV, Weathering

53 Abbreviations

Shortened word	Meaning
AC	activated carbon
PAC	powdered activated carbon
SPAC	superfine powdered activated carbon
GAC	granular activated carbon
MP	microplastic
PA	polyamide
PA-pw	photochemically weathered PA
PA-md	mechanically damaged PA
PSi	polysilicone
PE	polyethylene
PMMoV	pepper mild mottle virus
PACl	poly-aluminum chloride
CSF	coagulation-flocculation, sedimentation, and rapid sand filtration
CS	coagulation-flocculation and sedimentation
PCR	polymerase chain reaction
ZP	zeta potential
D50	volume median diameter
FTIR	Fourier transform infrared spectroscopy
UV	ultraviolet
VUV	vacuum ultraviolet

54

55

56 **1. Introduction**

57 The primary objective of drinking water treatment via coagulation-flocculation,
58 sedimentation, and rapid sand filtration (CSF) is to reduce turbidity by removing suspended
59 solids, which consist primarily of clay particles. Turbidity reduction via CSF has been
60 thoroughly studied (Edzwald 2011). CSF has proven effective in removing clay particles (WHO
61 2019), but the behavior of particles in CSF and the residual of low concentrations of suspended
62 solids in sand filtrate have not been adequately discussed. The sensitivity of turbidity
63 measurements is insufficient to evaluate trace concentrations of particles and their high removal
64 rates (log reduction) (Cho *et al.* 2020). In contrast, the removal of viruses (particle sizes: 30–
65 100 nm) via CSF has been studied by quantifying their number concentrations with results using
66 the real-time polymerase chain reaction (PCR), and removals of 0.8–2.5 log levels via normal
67 CSF operations have been reported (Asami *et al.* 2016, Kato *et al.* 2018, Shirasaki *et al.* 2018).
68 Activated carbon (AC) particles, which are usually dosed before coagulation and are expected
69 to be removed by CSF, are black in color; however, if they remain in treated water, they tend
70 to be conspicuous and cause consumer dissatisfaction. There is hence a need to reduce the
71 concentrations of AC particles to extremely low levels that would have no detectable effect on
72 turbidity. Our research group has also examined the removability of AC particles (particle sizes
73 1.0–14 μm) by counting the numbers of carbon particles under a microscope (Nakazawa *et al.*
74 2018).

75 Recently, microplastic (MP) particles have drawn attention throughout the world because
76 they have been found to be widely distributed in the ocean, freshwater, air, and soil (Dris *et al.*
77 2016, Freeman *et al.* 2020, Iqbal *et al.* 2020, Iwasaki *et al.* 2017, Koelmans *et al.* 2019,
78 Rodrigues *et al.* 2018, Uurasjärvi *et al.* 2020, Wang *et al.* 2021, Wong *et al.* 2020, Wu *et al.*
79 2019, Zhou *et al.* 2020). Because MPs $<10 \mu\text{m}$ in size have been reported to adversely affect
80 human health (Kögel *et al.* 2020, Revel *et al.* 2018), their fate in drinking water treatment should

81 be well understood (Syberg *et al.* 2015, WHO 2019). Among available drinking water treatment
82 processes, membrane filtration can separate MPs entirely (Ma *et al.* 2019). However, CSF is
83 still the major water treatment process in Japan and other countries, and there is concern that
84 MPs may pass through CSF treatment systems and enter the distribution system because
85 particles are probabilistically separated and removed in CSFs.

86 MPs have actually been detected in treated water as well as raw water of some full-scale
87 water treatment plants (Kosuth *et al.* 2018, Mintenig *et al.* 2019, Pivokonsky *et al.* 2018, Shen
88 *et al.* 2020, Wang *et al.* 2020b). Whereas MPs are present in treated groundwater in extremely
89 low concentrations (0–1 particles/m³) (Mintenig *et al.* 2019), the MP concentrations in treated
90 river water are much higher. When river water has been treated by coagulation, floatation, sand
91 filtration, and passage through granular activated carbon (GAC), the residual MP concentration
92 in the finished water has been 628 particles/L, which is slightly lower than the concentration
93 associated with ecological risks (Elizalde-Velázquez and Gómez-Oliván 2021), and the percent
94 removal of MPs has been 83% (Pivokonsky *et al.* 2018). The detected MPs had shapes like
95 fragments and fibers, and most of them were <10 µm in size. Wang *et al.* (2020b) have
96 investigated a water treatment plant (CSF and ozonation combined with GAC filtration) and
97 have reported a similar concentration and removal rate of MPs.

98 Quite recently, the effects of water treatment conditions on the treatability of MPs have been
99 investigated by using waters spiked with MPs (Ma *et al.* 2019, Rajala *et al.* 2020, Skaf *et al.*
100 2020, Wang *et al.* 2020c, Zhou *et al.* 2021). However, those studies have used water spiked
101 with MPs at unrealistically high concentrations. There is a need to evaluate removal
102 performances with trace MP concentrations that reflect actual levels of contamination. In
103 addition, some studies have used fluorescent MPs that have larger specific gravities than naked
104 MPs (Skaf *et al.* 2020), but the changes due to fluorescent marking of the physical properties of
105 the MPs (Zhou *et al.* 2021), including their specific gravities, may have affected the removal

106 efficiencies. Zhang *et al.* (2020) have used fluorescent particles at concentrations similar to
107 those observed in river water (1800–9500 particles/L) and have reported removal rates of
108 86.9–99.9%. However, Zhang *et al.* (2020) used a filter that consisted of cheesecloth and
109 anthracite, which is not widely used in water treatment compared with a sand filter composed
110 of quartz sand. Moreover, it has been reported that industrially produced MPs are
111 mechanically/photochemically weathered after being emitted to the environment (Sun *et al.*
112 2020), and the hydrophobicity and chemical composition of weathered MPs (WHO 2019) differ
113 from those of MPs prior to weathering (Lin *et al.* 2020, Naik *et al.* 2020, Wang *et al.* 2020a,
114 Zhu *et al.* 2020a, Zhu *et al.* 2020b). The changes related to weathering of MPs might affect the
115 efficiency of their removal in water treatment.

116 Collectively, the above-mentioned studies suggest that the removal rates of particles that
117 contaminate raw water to be treated for drinking purposes may differ greatly as a function of
118 the type of particles: ~1 log removal for MPs 0.2–100 μm in size (Zhang *et al.* 2020), 0.8–2.5
119 log removal for viruses in the size range 30–100 nm (Asami *et al.* 2016, Kato *et al.* 2018,
120 Shirasaki *et al.* 2018), and 5–6 log removal for AC particles 1.0–14 μm in size (Nakazawa *et*
121 *al.* 2018).

122 However, these removal rates vary as a function of treatment conditions, and no studies
123 have compared the removal rates of various types of particles in a mixture of particle types.
124 Moreover, no information of log particle removal is available for clay causing turbidity,
125 although the efficiency of removal of clay may be roughly inferred from changes of turbidity,
126 and there have been no comparisons of the removal efficiencies of particles of all sizes by CSF
127 under the same conditions and at concentrations representative of those encountered in the
128 treatment of public water supplies. For example, even the basic question of whether MPs and
129 viruses are more easily removed than clay particles has not been answered.

130 Accordingly, the objective of the present study was to determine the fates and removal
131 efficiencies of MPs, clay, AC, and virus particles during CSF. Clay, AC, and viruses are
132 conventional targets of CSF removal, and MPs are emerging targets of micropollutant removal.
133 Our application of counting methods to identify and quantify clay and AC particles as well as
134 MP particles enabled us to carry out the first comparative analysis of their removal by CSF
135 based on the number concentrations of all particles, including viruses.

136

137 **2. Materials and methods**

138

139 *2.1. Target particles*

140

141 *2.1.1. MPs*

142 Microspheres of nylon composed of polyamides (NYLON 12, SP-500; D50 (volume median
143 diameter), 4.8 μm ; density = 1.02 g cm^{-3} ; hereafter 'PA') were provided by Toray Industries,
144 Inc. (Tokyo, Japan). Polysilicone microspheres (KMP-600; D50, 4.8 μm ; 0.99 g cm^{-3} ; hereafter
145 'PSi') were provided by Shin-Etsu Chemical Co., Ltd. (Tokyo, Japan). Polyethylene
146 microspheres (LE-1080; D50, 6.5 μm ; 0.92 g cm^{-3} ; hereafter 'PE') were provided by Sumitomo
147 Seika Chemicals Company, Ltd. (Osaka, Japan).

148 Before their use in experiments, these MPs were prepared as suspensions via the following
149 procedure. First, each type of MP was added to pure water (Milli-Q water; Milli-Q Advantage
150 A10 System; Merck KGaA, Darmstadt, Germany) at a concentration of 100 mg/L . To
151 sufficiently disperse the MPs in the water, the MP suspension was exposed to ultrasonic
152 treatment (FU-180C, Tokyo Garasu Kikai Co., Ltd., Tokyo, Japan) for 24 h and then stored at
153 4 $^{\circ}\text{C}$ before use. Before raw water was prepared for the CSF tests, the MP suspension was
154 diluted with Milli-Q water to a concentration of 23.2 $\mu\text{g/L}$ and then exposed to ultrasonic

155 treatment (CPX8800h-J, Yamato Scientific Co., Ltd., Tokyo, Japan) for 30 min. Before
156 measuring the and zeta potential (ZP) (see also Section 2.7), the MP suspension was exposed
157 to ultrasonic sound waves (CPX8800h-J) for 30 min and diluted appropriately for the
158 measurements.

159 Photochemical weathering was simulated on PA as follows. First, a PA suspension was
160 prepared by addition of PA to Milli-Q water contained in a clear glass bottle at a concentration
161 of 50 mg/L and then exposed to ultrasonic treatment (FU-180C, Tokyo Garasu Kikai Co., Ltd.)
162 for 24 h. The bottle containing the PA suspension was then placed by a window and inverted
163 each day to expose the PAs to sunlight for 68 days from 18 August to 24 October 2020.
164 Henceforth, this photochemically weathered PA is denoted as PA-pw.

165 Mechanical weathering of PA was simulated as follows. First, PA (10 g) was transferred to
166 a closed-chamber, ball-mill pot that contained 5- and 10-mm-diameter Al₂O₃ balls as the
167 disintegrating medium. After the pot had been rotated at 94 rpm for 24 h, the PA was retrieved.
168 This mechanically damaged PA is henceforth denoted as PA-md.

169

170 2.2.2. Activated carbon

171 Conventionally sized PAC (hereafter PAC; D50, 14 μm) and superfine PAC (SPAC; D50, 0.81
172 μm) were used as target ACs. The PAC was a commercially available, wood-based PAC (Taiko
173 W; Futamura Chemical Co., Ltd., Nagoya, Japan). SPAC was prepared from the PAC by wet-
174 mode ball milling (Nikkato, Osaka, Japan) followed by wet-mode bead milling (LMZ015;
175 Ashizawa Finetech, Ltd., Chiba, Japan) in our laboratory. Details of the preparation procedure
176 have been reported previously (Pan *et al.* 2017). Determination of the volume median diameter
177 (D50) is described in Section 2.7.

178

179 2.2.3. Clay

180 Commercially available kaolin (D50, 5.6 μm ; FUJIFILM Wako Pure Chemical Corp., Osaka,
181 Japan) and montmorillonite (D50, 12 μm ; Sigma-Aldrich Co., LLC., St. Louis, MO, USA) were
182 used as the targets for clay removal. Kaolin and montmorillonite are the major clay minerals in
183 most soils (Adamis and Williams 2005). These clay minerals were added to Milli-Q water at 10
184 g L^{-1} to prepare stock suspensions. D50 determination is described in Section 2.7.

185

186 2.2.4. Viruses

187 F-specific RNA bacteriophage MS2 [diameter, 0.026 μm (Shirasaki *et al.* 2017); NBRC 102619,
188 National Institute of Technology and Evaluation Biological Research Center, Kisarazu, Japan]
189 and pepper mild mottle viruses [rod-shaped particles with diameter 0.018 \times length 0.3 μm
190 (Shirasaki *et al.* 2017); PMMoV pepIwateHachiman1 strain, MAFF 104099, National Institute
191 of Agrobiological Sciences Genebank, Tsukuba, Japan] were used as the targets for virus
192 removal after being propagated in *Escherichia coli* bacterial hosts (NBRC 13965) and
193 *Nicotiana benthamiana*, respectively, followed by purification. The concentrations were
194 quantified by the real-time polymerase chain reaction (PCR). Details of the procedures for the
195 propagation, purification, and quantification of the viruses have been described previously
196 (Shirasaki *et al.* 2016, Shirasaki *et al.* 2018).

197

198 2.3. Coagulant

199

200 Commercially available, conventional poly-aluminum chloride [PACl; basicity of 1.5
201 (basicity of 50%), sulfate ion 2.9 wt%], provided by Taki Chemical Co., Ltd. (Hyogo, Japan),
202 was used as a coagulant.

203

204 2.4. Bench-scale CSF tests

205

206 Water taken from the Toyohira River (Table S1 for water quality) at the Moiwa Water
207 Purification Plant (Sapporo, Japan; sampled on 24 June 2020) was filtered through a membrane
208 filter (pore diameter 0.2 mm; PTFE; Toyo Roshi Kaisha, Ltd., Tokyo, Japan) to remove
209 suspended matter. For the CSF tests, this filtered water was supplemented with stock
210 suspensions of PAC (10 mg/L, 7–8 NTU, 4.0×10^6 particles/mL), SPAC (2.0 mg/L, 7–8 NTU,
211 5.1×10^6 particles/mL), MP (1.0 $\mu\text{g/L}$, 11 particles/mL), viruses (10^7 copies/mL), and/or clay
212 particles (10 mg/L, 7–8 NTU, 8.7×10^5 particles/mL, for kaolin; 10 mg/L, 1.8 NTU, 2.2×10^4
213 particles/mL, for montmorillonite). The concentrations of clay and AC particles were
214 comparable to the concentrations that might be found in typical raw water. Because we assumed
215 that MPs and viruses were present at low concentrations in river water, their concentrations
216 were set to be as low as possible for measurement. The theoretical increase of dissolved organic
217 carbon (DOC) by the addition of the virus stock was 0.05 mg-C/L, which was much smaller
218 than the DOC of water from the Toyohira River (0.8 mg/L). We therefore assumed that the
219 addition of MPs and viruses had little effect on the removal of other particles. We prepared 17
220 kinds of water (Table S2), each of which contained either kaolin or montmorillonite, either PAC
221 or SPAC, and one kind of MP. Two of the 17 raw waters contained viruses in addition to PAC,
222 kaolin, and PE particles. The removal rates of the different kinds of particles were compared in
223 water subject to the same CSF.

224 The CSF test was conducted in a rectangular beaker containing 11 L of raw water (Fig. S1).
225 The dosage of the coagulant PACl was predetermined to be 3.0 mg-Al/L (unless otherwise
226 noted). Under these conditions, visible floc particles were formed, and the turbidity of the
227 supernatant after 60 min of sedimentation was < 0.2 NTU. The coagulant was injected into the
228 raw water after adding NaOH to bring the coagulation pH to 7.0. Rapid mixing for coagulation
229 was conducted at a G value of 600 s^{-1} for 100 s and was followed by slow mixing for

230 flocculation at a G value of 12.5 s^{-1} for 2400 s. After the slow mixing, the water was allowed
231 to remain quiescent for 60 min to allow sedimentation to proceed. Virus concentrations in the
232 supernatant were determined directly without further treatment. A portion of the supernatant
233 was subjected to centrifugation pretreatment or ultrasonication pretreatment before
234 determination of the concentrations of AC, MP, and clay particles (see Section 2.6). Ten liters
235 of the sedimentation supernatant was transferred to another beaker, and the supernatant in the
236 beaker was pumped to a sand filter (effective diameter and uniformity of sand grains: 0.94 mm
237 and 1.24, sand depth 50 cm, column inner diameter 3.6 cm). Rapid sand filtration was performed
238 in the down-flow direction at a rate of 90 m d^{-1} . The sand filtrate was collected from 0 to 50
239 min to determine the turbidity and concentration of each kind of particle. After each filtration
240 run, the sand filter was backwashed with tap water and then forward washed with Milli-Q water.
241 After each test, instruments that had contacted viruses were exposed to chlorine and washed
242 with Milli-Q water to prevent viral contamination during the next run.

243

244 *2.5. Centrifugation and ultrasonication pretreatments*

245

246 A portion of the supernatant from the sedimentation was pretreated by centrifugation to
247 determine the concentrations of stray, un-flocculated AC and clay particles that were not
248 incorporated into floc particles. Aliquots (45 mL) of a supernatant sample collected after
249 sedimentation were dispensed into four 50-mL glass tubes. The tubes were centrifuged (CT6E;
250 Koki Holdings Co., Ltd., Tokyo, Japan) in a swing rotor (T5SS; Koki Holdings Co., Ltd.) at
251 3990 g for 10 min, halted for 2 min, and then re-centrifuged for 10 min (Nakazawa *et al.* 2021).
252 The concentrations of particles in the centrifugal supernatant were then determined by
253 membrane filtration and microscopic image analysis (see Section 2.6).

254 Ultrasonication pretreatment was used to disperse and determine the concentrations of AC,
255 clay, and MP particles in the supernatant. The supernatant sample collected after sedimentation
256 was transferred to a glass bottle, and HCl was added to bring the pH to < 3. The resultant water
257 was exposed to ultrasonic treatment (CPX8800h-J, Yamato Scientific Co., Ltd.) for 1 h to
258 disperse particles suspended in the water. The concentrations of particles in the sonicated water
259 were then determined by membrane filtration and microscopic image analysis (see Section 2.6).

260

261 *2.6. Measurement of particle concentrations*

262

263 MP, AC, and clay particles in each water sample were captured by filtering the water
264 through a white membrane filter (nominal pore diameter, 0.1 μm ; membrane diameter 25 mm;
265 polytetrafluoroethylene; Merck KGaA, Darmstadt, Germany) and, separately, through a black
266 membrane filter (nominal pore diameter, 0.45 μm ; membrane diameter 25 mm; mixed cellulose
267 esters; Merck KGaA). The filters were dried at room temperature.

268 AC particles were detected on color photomicrographs taken of the surface of the white
269 membrane filter by a digital microscope (VHX-2000; Keyence Corp., Osaka, Japan) at 1000 \times
270 magnification by using the image analysis software associated with the microscope, and then
271 their particle number concentration and particle size were calculated. MP particles were
272 detected on the color photomicrographs taken of the surface of the white membrane filter at
273 500 \times magnification and quantified. Clay particles were detected on the surface of the black
274 membrane filter at 1000 \times magnification and quantified. The minimum sizes of detectable
275 particles were 0.23 μm at 1000 \times magnification and 0.47 μm at 500 \times magnification.

276 Virgin MP particles (spherical) and clay particles (non-spherical) in the same
277 photomicrographs were identified and detected separately based on their shape. However,

278 because most PA-md particles were non-spherical, no CSF test using the mixture of PA-md and
279 clay particles was conducted.

280

281 2.7. Other analysis of particles

282

283 Determination of the D50s of MP, AC, and clay particles in a completely dispersed form
284 was carried out using a laser-light-diffraction and scattering method (Microtrac MT3300EXII;
285 MicrotracBEL Corp., Osaka, Japan) after adding a dispersant (Triton X-100; Kanto Chemical
286 Co., Inc., Tokyo, Japan; final concentration, 0.08% w/v) and exposing a 50-mL particle
287 suspension to ultrasonication (US-300E; Nihonseiki Kaisha Ltd., Tokyo, Japan) for 1 min (Fig.
288 S2 and Table 1).

289 The ZPs of AC, MP, and clay particles as functions of pH were determined as follows.
290 Filtered water from the Toyohira River (Sapporo) was supplemented with a stock suspension
291 of PAC (10 mg/L), SPAC (2.0 mg/L), MP (1.0 mg/L), or clay particles (10 mg/L). Either NaOH
292 or HCl was added to the resulting water to adjust the pH to a value in the range 1.5–11.5, and
293 the ZP was measured (Zetasizer Nano ZS; Malvern, United Kingdom).

294 Fourier transform infrared spectroscopy (FTIR) analysis of MPs was conducted with an
295 FTIR spectrophotometer (IR-7500S, Shimadzu, Kyoto, Japan) at a resolution of 4 cm⁻¹
296 (Ninomiya *et al.* 2020) with KBr pellets containing PA or PA-pw particles at 0.25 wt%.

297 PA, PA-pw, and PA-md particles were collected on a membrane filter (nominal pore
298 diameter, 0.45 μm; diameter 25 mm; mixed cellulose esters; Merck KGaA). The particles on
299 the filter were observed using a field-emission scanning electron microscope (JSM-7400F,
300 JEOL, Ltd., Tokyo, Japan).

301

302

303 **Table 1**
 304 Diameters and zeta potentials of particles.
 305

particle	Volume median diameter (D50)	ZP at pH 7
	μm	mV
PAC	14	-23
SPAC	0.81	-20
kaolin	5.6	-24
montmorillonite	12	-18
PA	4.8	-19
PA-pw	4.9	-16
PA-md	5.0	-22
PE	6.5	-15
PSi	4.8	-16
PMMoV	diameter 0.018, length 0.3 ^a (rod-shaped particle)	-26 ^b
MS2	diameter 0.026 ^a (spherical particle)	-51 ^c

306 ^a Shirasaki et al. (2017).

307 ^b Calculated by using Henry equation with an electrophoretic mobility (Shirasaki et al. 2017).

308 ^c Calculated by using Henry equation with an electrophoretic mobility (Shirasaki et al. 2016).

309

310

311 2.8. Sampling of sand filtrates in full-scale water purification plants

312

313 Sampling of sand filtrates was conducted at 14 full-scale water-treatment plants in Japan
 314 that used the CSF process (Table S3) and were using PAC to treat the water. The samples were
 315 quantified for residual black-particle concentrations by using membrane filtration and
 316 microscopic image analysis as described in Section 2.6.

317

318 3. Results and Discussion

319

320 3.1. Residual carbon particles in full-scale CSF plants

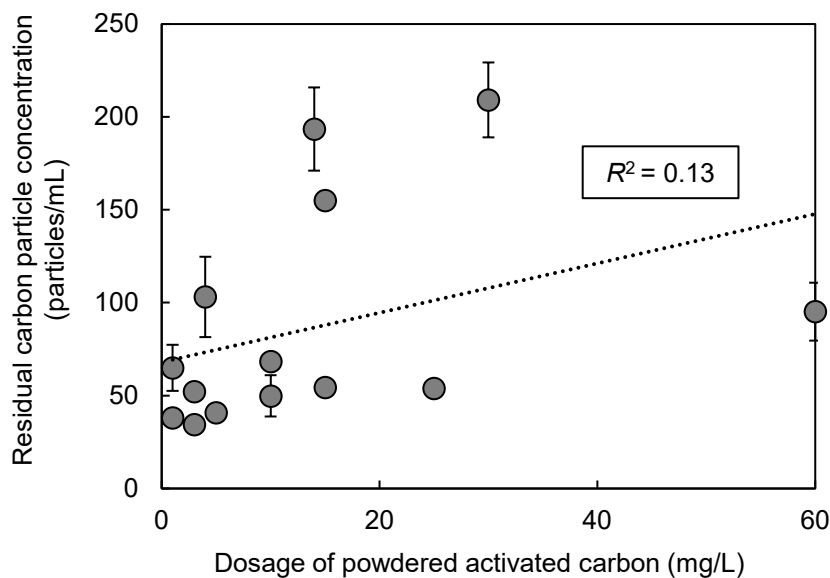
321

322 Fig. 1 shows the residual concentrations of carbon particles in sand filtrates in the 14 full-
323 scale water purification plants that treated their raw water by adding PAC followed by the CSF
324 process. The residual concentrations of carbon particles were plotted against the PAC dosages,
325 but there was little correlation ($R^2=0.13$, Fig. 1). This result was reasonable because plant
326 configurations and operations differed. There was a weak correlation between the residual
327 concentrations of carbon particle and the turbidities of the sedimentation supernatants ($R^2=0.44$,
328 Fig. S3, Supplementary Information [SI]). The concentrations of residual carbon particles in
329 sand filtrates were in the range 40–200 particles/mL (n.b., There were no reports of customer
330 complaints about black particles in the waters supplied from these plants.). The highest
331 concentration (200 particles/mL) with no customer complaints could be considered a rough
332 indication for the goal of treatment with SPAC, which is not used in conventional water
333 treatment consisting of CSF because of concern over its leakage. Turbidities of the sand filtrates
334 were not different from those observed when the same plants were operated without PAC
335 addition (data not shown).

336 There was no correlation between the turbidities and concentrations of PAC particles in
337 sand filtrates (Fig. S4). The PAC particles were removed more efficiently than the usual
338 turbidity components, most of which were probably clay particles. The turbidity of the raw
339 water before the addition of PAC was 1.9–18 NTU; the turbidity removal rates were estimated
340 to be in the range of 1–4 logs when calculated from the turbidity of the water after CSF treatment.
341 PAC removals by the CSFs were 3.4–4.9 logs (4.2 ± 0.4 logs, average \pm SD) in terms of particle
342 number concentrations (Fig. S5). Consequently, the hypothetical turbidity originating from the
343 PAC particles in sand filtrates, that was estimated to be 4.7×10^{-6} to 6.7×10^{-5} NTU (Kissa
344 1999, Nakazawa et al. 2018), was much smaller than the observed turbidity. Therefore, not only

345 do the concentrations of suspended particles vary greatly depending on the particle type, such
346 as clay or PAC, but also their removal rates are likely to vary greatly. As a result, the observed
347 turbidity could be unrelated to the concentrations of other type of particles, such as PAC
348 particles.

349 On the other hand, the turbidity data could not be used to exactly compare the removals of
350 turbidity components (clay particles) with AC particles because the principles associated with
351 quantification of turbidity are quite different from those associated with quantification of
352 particle counts. Also, the limit of quantification of turbidity is insufficient to accurately estimate
353 log removals: e.g., a log removal > 3 is hard to estimate precisely when the initial turbidity is
354 10 NTU and the turbidity quantification limit is 0.01, which is a typical value. The bench-scale
355 experimental testing in the subsequent sections attempted to elucidate different removal rates
356 and behaviors of particles in the unit processes of CSF by applying particle counting methods.
357



358
359 **Fig. 1.** Residual carbon particle concentration in sand filtrate vs. the dosage of powdered
360 activated carbon. The sample waters were obtained from the 14 full-scale water purification
361 plants employing the CSF process when powdered activated carbon was being injected for
362 adsorption. The dotted line indicates the regression line. Error bars indicate standard deviations

363 of three measurements, but some of them are hidden behind the symbols.

364

365

366 3.2. Comparison of AC and clay particles during the CSF process

367

368 After finding that the removal rate of AC particles was very different from that of turbidities
369 in full-scale plants, we conducted bench-scale CSF experiments with water containing either
370 kaolin or montmorillonite and either PAC or SPAC, to compare the fates and efficiencies of
371 removal of AC and clay particles (Fig. 2 and Fig. 3). Initial concentrations of kaolin,
372 montmorillonite, and PAC were set at 10 mg/L, and the initial concentration of SPAC was set
373 at 2 mg/L. These concentrations corresponded to 1.2×10^6 , 2.3×10^4 , 4.0×10^6 , and 4.0×10^6
374 particles/mL, respectively. The reason for the low concentration of SPAC was the low
375 concentration required for its adsorption and the low removability of SPAC itself (Bonvin *et al.*
376 2016, Nakazawa *et al.* 2018).

377 PAC particles were removed largely by coagulation-flocculation and sedimentation (CS).
378 The concentration of PAC particles in the sedimentation supernatant was 2.2 logs lower than
379 the initial PAC concentration (Fig. 2). The fact that the concentration of stray, un-flocculated
380 PAC particles in the supernatant, which was determined after centrifuging the supernatant, was
381 1.8 logs lower than the total PAC in the supernatant indicated that most of particles in the
382 supernatant were coagulated to some extent, though the degree of coagulation was low. Such
383 PAC particles in the supernatant were therefore adequately removed via rapid sand filtration.
384 The total removal rate of PAC by CSF was 4.7 logs, which was within the range observed for
385 the full-scale water treatment plants (Section 3.1); therefore, the conditions under which our
386 laboratory bench-scale experiments were carried out were realistic. The overall removal rate by

387 CSF was therefore similar for SPAC and PAC, but removal of SPAC versus PAC was slightly
388 higher via CS and slightly lower via rapid sand filtration (Fig. 2).

389 Most kaolin particles were removed by CS (Fig. 2). The fact that kaolin particles in the
390 sedimentation supernatant were not as efficiently removed by centrifugation as PAC and SPAC
391 particles, however, indicated that the proportion of stray, un-flocculated kaolin particles among
392 the kaolin particles in the sedimentation supernatant was high. The removal rate via rapid sand
393 filtration of kaolin particles versus PAC and SPAC particles in the sedimentation supernatant
394 was not high because the stray, un-flocculated kaolin particles in the supernatant were not likely
395 to be removed efficiently (Nakazawa et al. 2021). The total removal rate of kaolin particles by
396 CSF was consequently 2.8 logs, which was much lower than those of PAC and SPAC ($4.6 \pm$
397 0.2 logs; from comparison of numbers [CNs] 1, 2, and 3 in Table 2). The total removal rate of
398 montmorillonite clay particles by CSF in the presence of SPAC was 2.9 logs, which was also
399 lower than that of the SPAC in the same water (4.5 logs) (Fig. 3). The total removal rate of
400 montmorillonite was higher than that of kaolin because of its higher removal rate via rapid sand
401 filtration. The removal rates by CS were consequently about the same for PAC, SPAC, kaolin,
402 and montmorillonite, but the removal rates by rapid sand filtration were higher for PAC and
403 SPAC than for montmorillonite, and the removal by rapid sand filtration was the lowest for
404 kaolin. This trend was consistently observed in the 17 experimental runs that were conducted
405 in duplicate with water containing either PAC or SPAC, either kaolin or montmorillonite, one
406 kind of MP, and viruses (Fig. S6 and Table 2, where the removal rates are summarized).

407 The removal efficiency of suspended particles has conventionally been evaluated based on
408 turbidity. Because turbidity is proportional to the surface-area concentration of a given material
409 (Kissa 1999, Nakazawa et al. 2018), we calculated turbidity-equivalent removal rates based on
410 surface-area concentrations. The results were consistent with the trend of lower removal of

411 kaolin and montmorillonite (3.2 ± 0.3 logs) versus PAC and SPAC (5.0 ± 0.1 logs) when
412 concentrations were evaluated in terms of turbidity equivalents (Figs. S7 and S8 of SI).

413 The size and ZP of particles are widely recognized to be related to their removal by
414 coagulation. We also measured particle sizes and ZPs (Table 1). The particle sizes of PAC and
415 SPAC are different, but their removal by CSF followed almost similar trends. The particle sizes
416 of PAC and montmorillonite were similar (Fig. S2 and Table 1), but the trends of their removal
417 via CSF differed. The sizes of the residual particles of PAC, SPAC, and kaolin after CSF
418 treatment all fell in the range $0.2\text{--}5\ \mu\text{m}$, though the associated particle size distributions differed
419 (Fig. S9). Therefore, differences of particle sizes are unlikely to account for the lower removal
420 rates of kaolin and montmorillonite versus PAC and SPAC particles. The ZPs were all in the
421 range of -18 to -24 mV, and the ZP of kaolin, which was removed with the lowest efficiency,
422 was in the middle in this range. The ZP results therefore did not explain the lower removal rates
423 of kaolin and montmorillonite versus PAC and SPAC (Table 2 and Fig. S10). The smaller sizes
424 of particles of PAC, SPAC, kaolin, and montmorillonite after versus before CSF treatment
425 indicated the preferential removal of large particles (Fig. S9). This preferential removal of large
426 particles was consistent with our previous observations of PAC and SPAC removal (Nakazawa
427 et al. 2021, Nakazawa et al. 2018).

428 The results in Section 3.1 showed that the concentrations of AC particles in sand filtrate
429 were very low compared to the turbidities of the same water, and turbidity removal was not
430 correlated with AC removal. These patterns were caused by the low removal rates of clay
431 particles by rapid sand filtration (2.8 ± 0.2 logs; from CNs 4, 5, and 6 in Table 2) versus AC
432 particles (4.6 ± 0.2 logs; from CNs 1, 2, and 3 in Table 2). Fig. 4 shows the calculated ratios of
433 clay particles to AC particles in raw water before treatment, sedimentation supernatant, and
434 sand filtrate in terms of turbidity equivalents (surface area concentrations). In raw water and

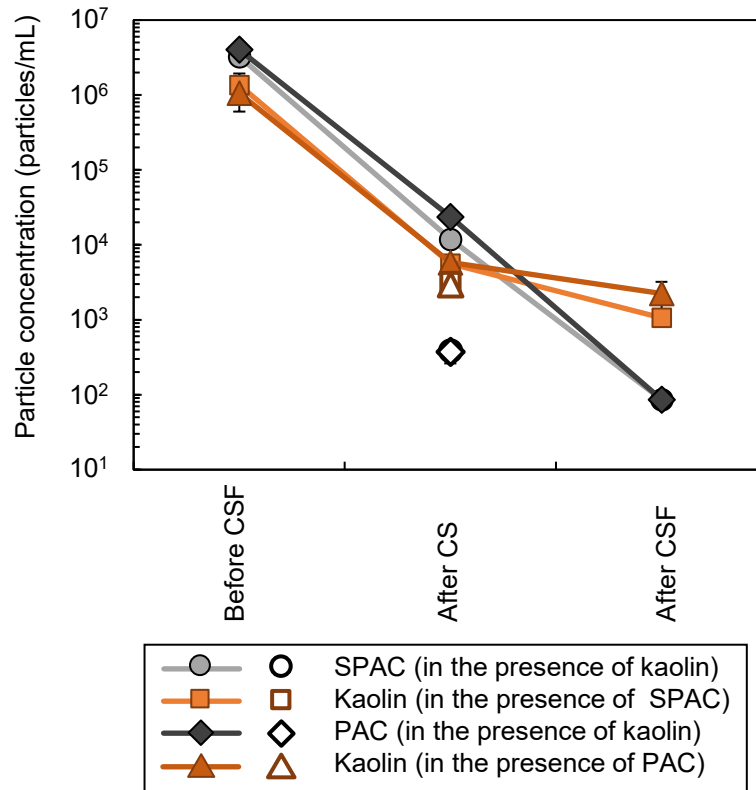
435 sedimentation supernatant, clay particles and AC particles were present in similar ratios, but in
436 sand filtrate, the very high ratio of clay particles to AC particles indicated that clay particles
437 accounted for the turbidity of the sand filtrate.

438 In the practice of water treatment, AC are added with great care to ensure that there is no
439 leakage. However, this study showed that AC particles were two orders of magnitude more
440 removable than clay particles; most of the particles remaining after filtration were probably clay
441 particles, and only a small portion (on the order of 1%) could have been AC particles (Fig. 4).
442 The apparent problem of leakage of AC particles is not their leakage rate but rather the fact that
443 AC particles are black and conspicuous. As a result of the Cryptosporidium problem (Medema
444 *et al.* 2009), the turbidity of water after CSF treatment is now strictly controlled, and the number
445 of particles is monitored besides the turbidity. The concentration of particles after CSF
446 treatment has been reported to be 1000 to 10,000 particles/mL (Watanabe and Ogino 2006).
447 One percent of these concentrations (i.e., 10–100 particles/mL) is the same order of magnitude
448 as the measured concentrations of AC particles (Section 3.1). If the particle concentration is
449 maintained at less than 10,000 particles/mL, the concentration of residual AC particles when
450 AC is injected will be less than 100 particles/mL, a level that will not cause any problem
451 associated with leakage of carbon particles based on the plant data reported in Section 3.1.

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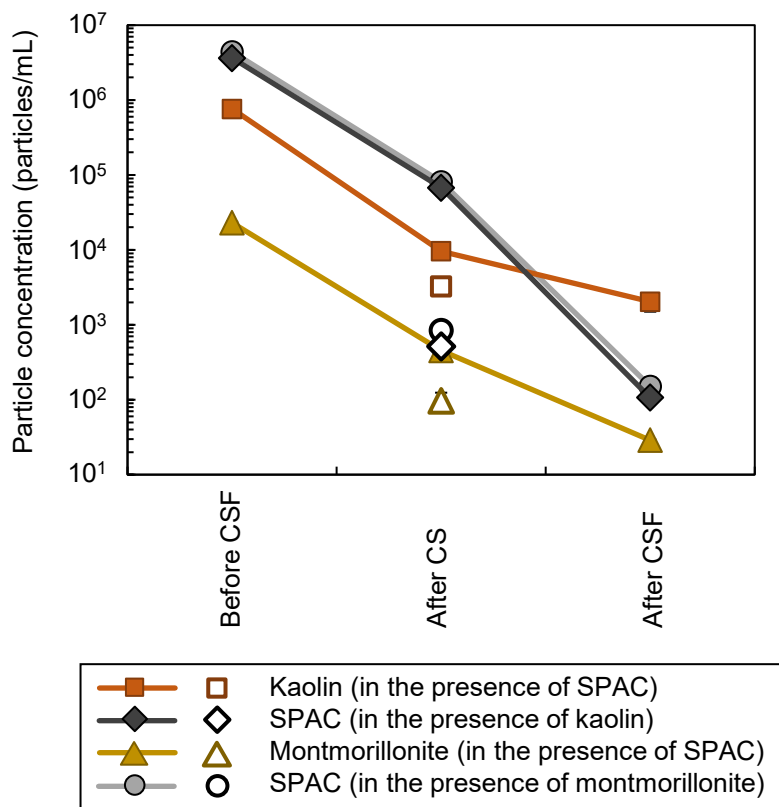
455

456 **Fig. 2.** Behaviors of PAC, SPAC, and kaolin during the CSF process. Kaolin and either PAC
 457 or SPAC were added simultaneously. The open symbols indicate the data measured after
 458 centrifugation. Data from Run 1 and Run 2. Details of the experimental condition are presented
 459 in Table S2. Error bars indicate standard deviations of measurements, but some of them are
 460 hidden behind the symbols.

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464
 465 **Fig. 3.** Behaviors of kaolin, montmorillonite, and SPAC during the CSF process. Either kaolin
 466 or montmorillonite and SPAC were added simultaneously. The open symbols indicate the data
 467 measured after centrifugation. Data from Runs 3, 4, 5, and 6. Details of the experimental
 468 conditions are presented in Table S2. Error bars indicate standard deviations of experiments,
 469 but some of them are hidden behind the symbols.

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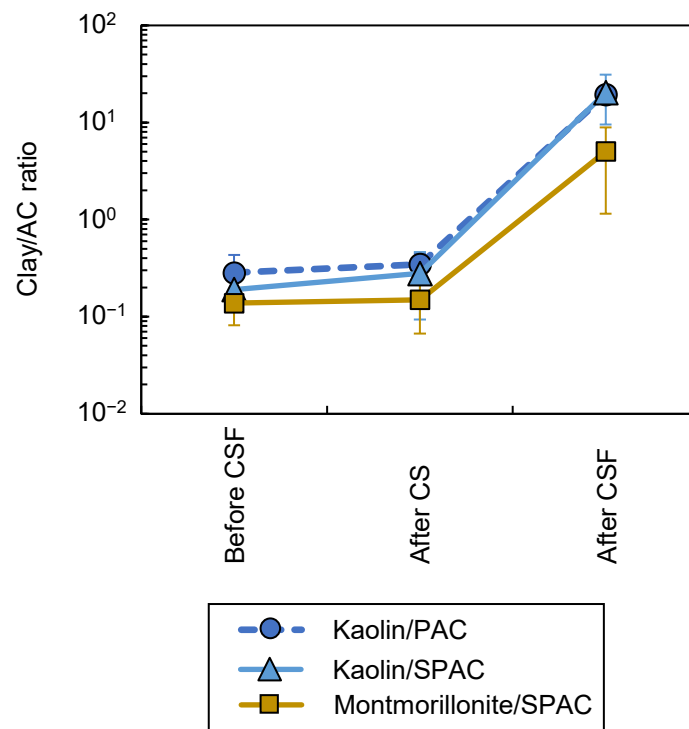
Table 2

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Removal rates of particles and experimental conditions.

Comparison number (CN)	Number of experimental runs	Target particle	Average Log-removal rate \pm Log-SD of target particle by CS	Average Log-removal rate \pm Log-SD of target particle by CSF			Initial concentration of target particle		Major coexisting substance with concentration > 1 mg/L	Coagulant dose mg-Al/L	Run No.	
							mg/L	particles/mL or copies/mL				
1	4	PAC	2.2 \pm 0.1	4.7 \pm 0.1		4.6 \pm 0.2	10	4.0 \times 10 ⁶	Kaolin 10 mg/L	3	2, 8, 11, and 12	
2	7	SPAC	2.1 \pm 0.3	4.7 \pm 0.2			2	4.9 \times 10 ⁶	Kaolin 10 mg/L	3	1, 3, 4, 7, 9, 10, and 13	
3	2	SPAC	1.7 \pm 0.1	4.5 \pm 0.0			2	4.4 \times 10 ⁶	Montmorillonite 10 mg/L	3	5 and 6	
4	4	kaolin	2.1 \pm 0.1	2.7 \pm 0.0		2.8 \pm 0.2	10	8.1 \times 10 ⁵	PAC 10 mg/L	3	2, 8, 11, and 12	
5	7	kaolin	2.2 \pm 0.2	2.8 \pm 0.2			10	9.5 \times 10 ⁵	SPAC 2 mg/L	3	1, 3, 4, 7, 9, 10, and 13	
6	2	Montmorillonite	1.7 \pm 0.0	2.9 \pm 0.0			10	2.3 \times 10 ⁴	SPAC 2 mg/L	3	5 and 6	
7	1	PA	ND	2.9		2.9 \pm 0.2	0.001	6	PAC 10 mg/L, Kaolin 10 mg/L	3	8	
8	3	PA	1.4 \pm 0.1	2.7 \pm 0.2			0.001	7	SPAC 2mg/L, Kaolin 10 mg/L	3	3, 4, and 7	
9	2	PA	2.0 \pm 0.0	3.1 \pm 0.0			0.001	17	SPAC 2mg/L, Montmorillonite 10 mg/L	3	5 and 6	
10	2	PE	2.4 \pm 0.1	3.2 \pm 0.0		3.2 \pm 0.1	0.001	11	PAC 10 mg/L, Kaolin 10 mg/L	3	11 and 12	
11	1	PE	2.5	3.3			0.001	10	SPAC 2mg/L, Kaolin 10 mg/L	3	13	
12	1	PSi	ND	2.6			0.001	5	PAC 10 mg/L, Kaolin 10 mg/L	3	1	
13	1	PSi	ND	2.5		2.6 \pm 0.1	0.001	5	SPAC 2mg/L, Kaolin 10 mg/L	3	2	
14	2	PMMoV	3.3 \pm 0.1	3.6 \pm 0.1			3.5 \pm 0.3	Not applicable	10 ⁷ -10 ⁸	PAC 10 mg/L, Kaolin 10 mg/L	3	11 and 12
15	2	MS2	2.6 \pm 0.3	3.4 \pm 0.4				Not applicable	10 ⁷ -10 ⁸	PAC 10 mg/L, Kaolin 10 mg/L	3	11 and 12
16	2	PA	1.7 \pm 0.1	2.6 \pm 0.0		0.001		14	SPAC 2 mg/L	1.5	14 and 15	
17	2	PA-pw	1.7 \pm 0.1	2.2 \pm 0.2			0.001	16	SPAC 2 mg/L, Kaolin 10 mg/L	3	9 and 10	
18	2	PA-md	1.1 \pm 0.0	2.6 \pm 0.1			0.001	12	SPAC 2 mg/L	1.5	16 and 17	

474



476

477 **Fig. 4.** Ratio of clay particles to activated carbon particles quantified by surface-area
 478 concentrations. Either kaolin or montmorillonite and either PAC or SPAC were added
 479 simultaneously. Data from Runs 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 and 13. Details of the
 480 experimental condition are presented in Table S2. Error bars indicate standard deviations of
 481 experiments, but some of them are hidden behind the symbols.

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484 3.3. Comparing MP versus clay/AC particles during the CSF process

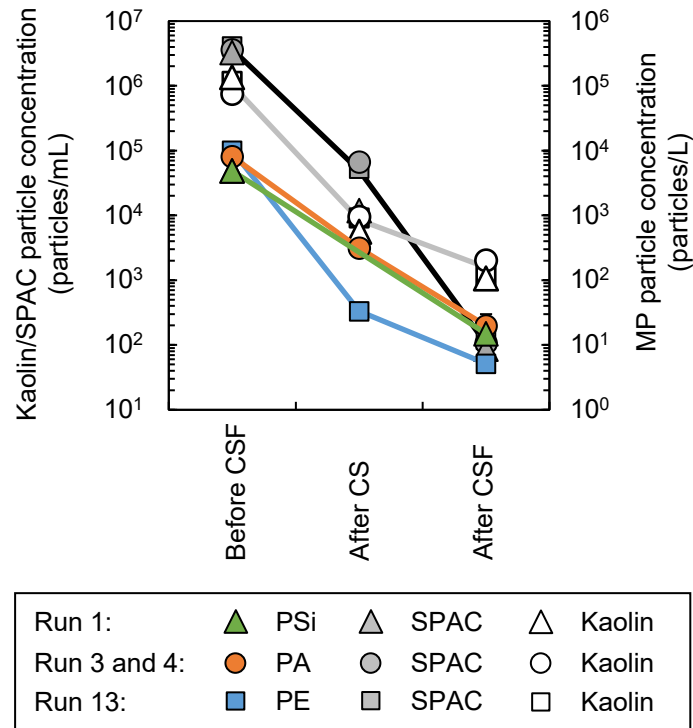
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486 This section focuses on the concentrations of MPs. Total removal rates of PE, PA, and PSi
 487 by CSF were almost the same— 2.9 ± 0.3 logs (from CNs 7, 8, 9, 10, 11, 12, and 13 in Table
 488 2)—a rate that was much lower than those of SPAC and PAC particles but similar to that of
 489 kaolin particles (Fig. 5 and Fig. S11). PA removal was lower than PE removal by CS, but PA
 490 removal by rapid sand filtration was higher. As a result, the total removals of PA and PE by
 491 CSF were similar. These trends were almost unchanged by conversion to turbidity equivalents

492 using surface-area concentrations (Fig. S12). Zhou et al. (2021) have reported that MPs with
493 relatively high densities are removed more efficiently by CS. However, we did not observe such
494 a trend: in our experiments, PE particles, which have a lower density than PA particles, were
495 removed with higher efficiency than the latter. Zhou et al. (2021) used waters containing only
496 extremely high concentration of MPs (100–1000 mg/L). Floc particles should therefore have
497 been formed mainly by MPs, and the density of the floc particles would have been largely
498 determined by the density of the MPs. In contrast, we mimicked a normal water treatment
499 situation wherein MPs were present in trace amounts compared to clay and ACs. Under these
500 conditions, the density of floc particles was determined by clay and AC particles rather than by
501 MP particles. The density of the MPs would consequently not have influenced their removal by
502 CS. We feel that the less negative ZP of PA compared to PE was related to its higher removal
503 by CS because the same trend was observed before/after PA weathering (detailed in Section
504 3.5). It should be noted, however, that ZP could not explain the differences in removal rates
505 between very different types of particles (clay versus AC, see section 3.2).

506 The observed removal rate of PE, PA, and PSi by CSF, 2.9 ± 0.3 logs, exceeded rates
507 reported previously (~ 1 log) (Pivokonsky et al. 2018, Wang et al. 2020b, Zhang et al. 2020),
508 but direct quantitative comparisons between our data and previous data are inappropriate
509 because particle removal rates by CSF are highly dependent on the design of the CSF and
510 operating conditions such as coagulant dose and filtration speed. This study showed that a rate
511 of removal of MPs as high as ~ 3 logs (99.9%) can be achieved. More importantly, the fact that
512 the removal rate of MP particles was comparable to that of clay particles (kaolin and
513 montmorillonite) means that if satisfactory removal of turbidity—the principal metric of the
514 adequacy of drinking water purification—is consistently achieved, a similar level of removal
515 can be expected for MP particles. In other words, MP particles are not unusually difficult to

516 remove. Of course, the removability of particles depends on the properties of the particles, but
 517 this trend of removal was also observed for MPs after weathering, as described in Section 3.5.
 518



519 **Fig. 5.** Behavior of PE, PA, and PSi during the CSF process. PA, Psi, and PE were each treated
 520 by CSF in the presence of SPAC and kaolin. Data from Runs 1, 3, 4, and 13. Details of the
 521 experimental condition are presented in Table S2. Error bars indicate standard deviations of
 522 experiments, but some of them are hidden behind the symbols.
 523
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 525

526 3.4. Comparison of viruses versus clay/AC particles during CSF processes

527
 528 Overall removal rates of viruses by CSF were 3.6 logs for the PMMoV strain and 3.4 logs
 529 for the MS2 strain (Fig. 6). These rates were higher than those for kaolin and montmorillonite
 530 particles but lower than those for PAC and SPAC particles (Fig. 6 and Table 2). The fact that
 531 the abundances of both PMMoV and MS2 decreased greatly after CS (3.3 logs and 2.6 logs,

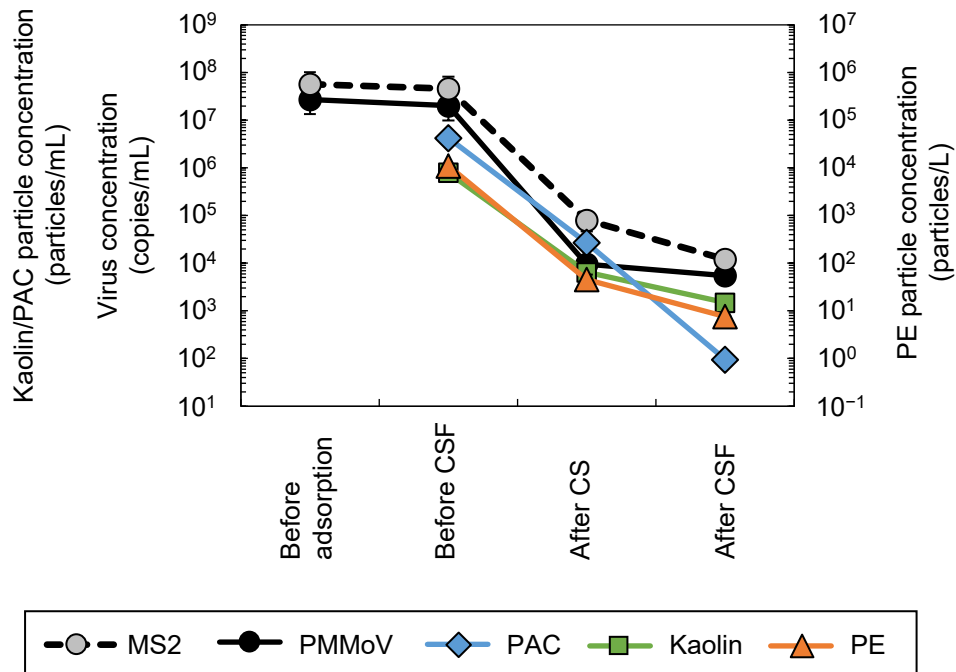
532 respectively) compared with the other types of particles (clay, AC, and MPs) resulted in high
533 total removals of viruses by CSF, though the removal by rapid sand filtration was not high (<0.8
534 log) (Fig. 6).

535 The relationship between the size of particles and their removal by a sand filter has been
536 studied theoretically, and it is commonly understood that the removal rate of particles around 1
537 μm in size is the lowest. The removal of smaller particles as well as larger particles is higher
538 because of Brownian transport (Edzwald 2011). The particle sizes of the MS2 and PMMoV
539 strains are diameter 26 nm (spherical particle) and diameter $18\times$ length 300 nm (rod-shaped
540 particle), respectively, and according to this filtration theory, these viruses should have been
541 removed with higher efficiency than SPAC, which has a particle diameter of $\sim 1\ \mu\text{m}$ (Figs. S2
542 and S9). However, the removal rate of viruses by rapid sand filtration was clearly lower than
543 that of SPAC (Figs. 2 and 6). We feel that most of the viruses remaining after CS were single-
544 strand, un-flocculated particles with insufficient charge neutralization and consequently a small
545 probability of attachment onto sand grains, as described in Section 3.2 in the case of kaolin
546 particles.

547 The observed virus removal rates (3.5 ± 0.3 logs; from CN 14 and 15 in Table 2) were high
548 compared to those previously reported (Asami et al. 2016, Kato et al. 2018, Shirasaki et al.
549 2018) and could be related to the CSF operating conditions. For example, it is very reasonable
550 that CS removal of particulate matter, including virus particles, would be highly dependent on
551 coagulant dosage (Shirasaki *et al.* 2009, Zhou et al. 2021). Shirasaki et al. (2018) have reported
552 1.5–2.0 log removal of the PMMoV and MS2 strains by CSF, but their filter depth was only 20
553 cm, and their filtration velocity was $120\ \text{m d}^{-1}$, which was higher than ours ($90\ \text{m d}^{-1}$). The
554 coagulant dosages in the CSF experiments of Kato et al. (2018) were 0.8–2 mg/L and therefore
555 lower than our dosage of 3 mg/L, though the DOC of their raw water was higher than that of
556 ours. Asami et al. (2016) have reported a PMMoV removal of 2.4 logs by a full-scale water

557 purification plant employing CSF. The fact that the turbidity of treated waters in that plant after
 558 CSF was not low, 1–3 formazin attenuation units, suggests that clay particle removal by the
 559 plant was not as high as the removal by our CSF plant. Nonetheless, our data were in accordance
 560 with the previous data in two respects. First, the removal of PMMoV was somewhat higher than
 561 removal of turbidity. In the study of Asami et al. (2016), PMMoV removal was 2.4 logs, and
 562 turbidity removal was 1.5–2 logs. In our data, PMMoV removal was 3.6 logs (Fig. 6), and the
 563 turbidity-equivalent removal of kaolin particles was 3.4 logs (Fig. S8). Second, the virus
 564 removal by CSF was accomplished largely by CS, and the contribution of rapid sand filtration
 565 was marginal (Kato et al. 2018). We also feel that the presence of AC may have accelerated the
 566 removal of viruses by coagulation in an synergetic way because AC can to some extent adsorb
 567 and remove viruses (Matsushita *et al.* 2013). In our data, however, the viruses were not removed
 568 by PAC alone (Fig. 6).

569
570



572 **Fig. 6.** Behavior of PMMoV and MS2 during the CSF process. Vertical axis on left side is for
573 PMMoV, MS2, Kaolin, and PAC concentrations, whereas vertical axis on right side is for PE
574 concentration. PMMoV, MS2, kaolin, PAC, and PE were added simultaneously. Data from
575 Runs 11 and 12. Details of the experimental condition are presented in Table S2. Error bars
576 indicate standard deviations of experiments, but some of them are hidden behind the symbols.

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580 *3.5. Effects of weathering on MP removal*

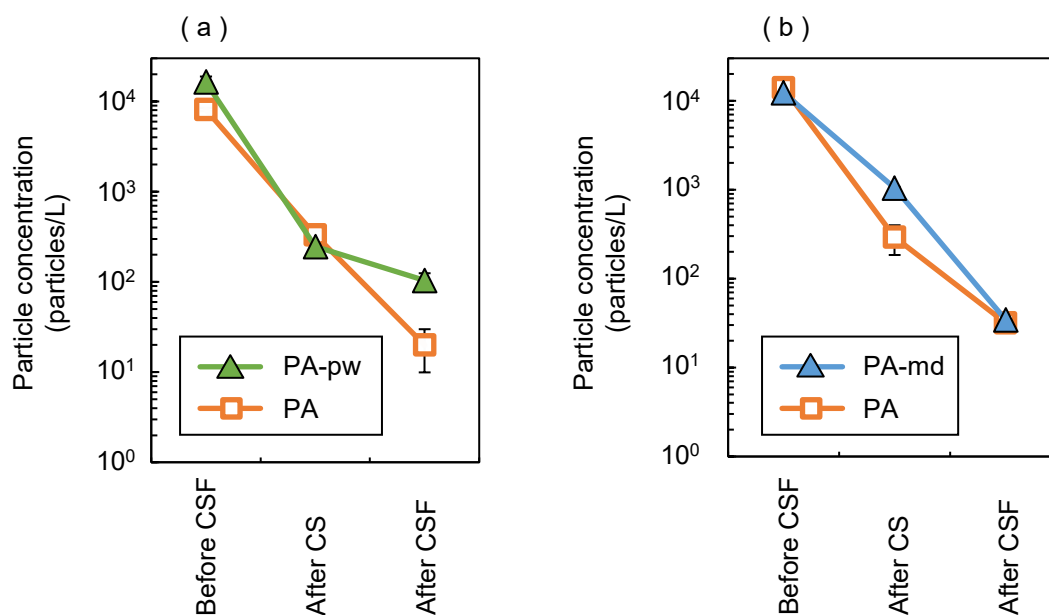
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582 It has been reported that MPs can be mechanically/photochemically weathered after being
583 released to the environment (Sun et al. 2020), but the effect of weathering on the rate of their
584 removal by CSF has been unclear. We began the experiments with photochemical weathering
585 and examined the effects of the weathering by comparing weathered PA particles that had been
586 exposed to the sun for 68 days (PA-pw) with virgin ones. The removal rate of the PA-pw by CS
587 was 1.7 logs, which was slightly higher than that of the virgin PA (1.4 logs) (Fig. 7). However,
588 its removal rate by rapid sand filtration was slightly lower (0.5 log) than that of virgin PA (1.3
589 logs). The result was a higher residual concentration of PA-pw particles than virgin PA particles
590 in sand filtrate. Prior to carrying out these experiments, we thought that the hydrophilicity of
591 PA might be changed by exposure to the ultraviolet (UV) radiation in sunlight, as reported for
592 the UV in artificial light (Lin et al. 2020). With this mind, we compared the physical properties
593 of PA and PA-pw. However, there was no difference in the FTIR spectrum before and after
594 exposure to sunlight (Fig. S13). Exposure of the PA to sunlight for 68 days may not have been
595 sufficient to cause a change of the FTIR spectrum. Lin et al. (2020) used UV and vacuum
596 ultraviolet (VUV) lamps and irradiated polyvinylchloride and polystyrene MPs at 1.8 (UV) or
597 36 (VUV) kJ/m². In contrast, we estimated the UV irradiation intensity associated with the 68

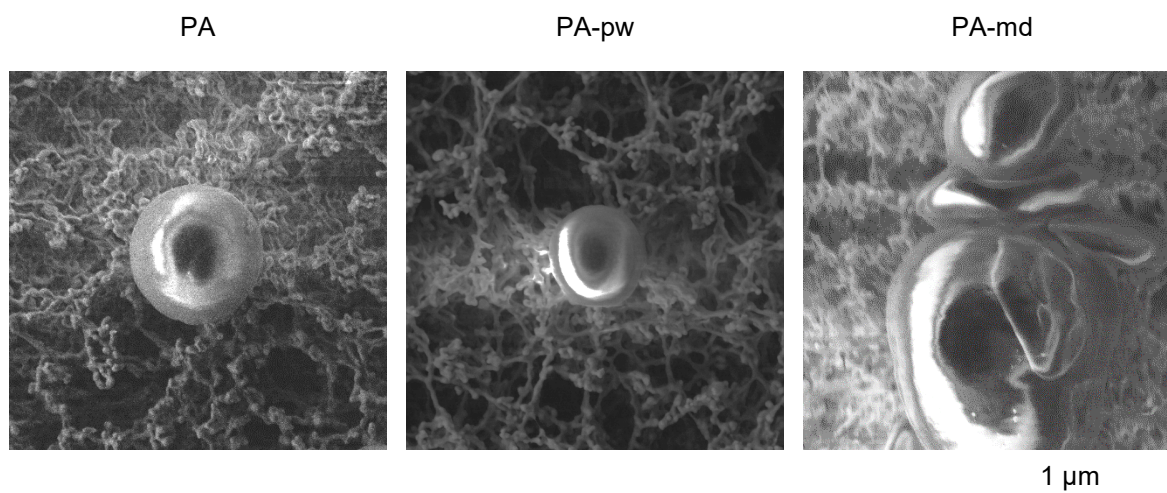
598 days of our experiment to be 0.21 kJ/m² based on the global horizontal intensity of irradiance
599 and glass transmittance. This intensity was merely ~10% of the intensity used by Lin et al.
600 (2020). Particle shape (Fig. 8) and size (Fig. S2 and Table 1) were not changed by the 68-day
601 exposure, but the ZP decreased somewhat after sunlight exposure (Fig. S14). Although it seems
602 unlikely that this change of ZP would have caused a great change in the particle removal rate,
603 it is possible that reducing the magnitude of the negative charge caused a small increase in the
604 removal rate of the PA particles via CS and a small decrease via sand filtration. This
605 interpretation is consistent with the result described in Section 3.3 that the removal rates of the
606 PE particles, which had a less negative ZP than the PA particles, were higher via CS but lower
607 via rapid sand filtration than the removal rates of the PA particles (Table 2).

608 We also examined the effect of mechanical damage on PA removal by placing the PA in a
609 ball-milling. The size of the PA particles was not changed much (Fig. S2 and Table 1). Instead,
610 the particles were abraded or deformed rather than crushed by the ball milling (Fig. 8). The
611 FTIR spectrum was not changed (Fig. S13), but the observed ZP became slightly more negative
612 (Fig. S14). The fate of PA during the CSF process was clearly changed by the mechanical
613 damage (Fig. 7). The removal rate by CS was decreased from 1.7 logs (PA) to 1.1 logs (PA-
614 md). However, the removal rate by rapid sand filtration increased from 0.9 log (virgin PA) to
615 1.5 logs. The overall removal of PA by CSF was unchanged by mechanical damage. This effect
616 of mechanical weathering on the removal rate and ZP of PA was opposite to the effect of
617 photochemical weathering. The negative shift of the ZP of PA caused by mechanical weathering
618 might enhance its removability via rapid sand filtration.

619



620
 621 **Fig. 7.** Effect of photochemical/mechanical weathering on the removal of MP particles during
 622 CSF process. Panel a: PA and PA-pw were each added in the presence of kaolin and SPAC
 623 simultaneously (Run 3, 4, 9, and 10). Panel b: PA or PA-md was each added in the presence of
 624 SPAC simultaneously (Run 14, 15, 16, and 17). Details of the experimental conditions are
 625 presented in Table S2. Error bars indicate standard deviations of experiments, but some of them
 626 are hidden behind the symbols.
 627



628
 629 **Fig. 8.** Field-emission scanning electron microscope images of PA particles before and after
 630 weathering.
 631

632 **4. Conclusions**

633

634 The results of this research led to the following conclusions:

635 1) The present study determined, for the first time, the residual concentrations of carbon
636 particles remaining in sand filtrate in actual, full-scale water purification plants
637 employing a CSF process when PAC was used for the adsorption treatment; the range
638 of the concentrations was < 200 particles/mL. Since no customer complaints, this
639 concentration with could be considered a rough indication for the goal of treatment with
640 SPAC.

641 2) CS greatly decreased the concentrations of all target particles tested (kaolin,
642 montmorillonite, PAC, SPAC, PA, PE, PSi, MS2, and PMMoV). Rapid sand filtration
643 greatly decreased the concentrations of PAC and SPAC, but the decreases in the
644 concentrations of the other particles by rapid sand filtration were not large. In particular,
645 viruses (strains PMMoV and MS2) were greatly removed by CS, but they were removed
646 only a little by rapid sand filtration. In the case of kaolin, the high proportion of stray,
647 un-flocculated particles in the sedimentation supernatant led to low removal by rapid
648 sand filtration of particles in the supernatant.

649 3) The particle removal rates by CSF were 4.6 ± 0.2 logs for PAC and SPAC, 3.5 ± 0.3
650 logs for PMMoV and MS2, 2.9 ± 0.3 logs for PA, PE, and PSi MPs, and 2.8 ± 0.2 logs
651 for kaolin and montmorillonite. The PA, PE, and PSi (particle sizes: $4.8\text{--}6.5 \mu\text{m}$) could
652 be removed by CSF at a rate similar to the rate of removal of clay particles (kaolin: 5.6
653 μm , montmorillonite: $12 \mu\text{m}$). The removal rates of the MPs (2.9 ± 0.3 logs) were
654 slightly lower than that of turbidity caused by clays (3.2 ± 0.3 logs). The removal rate
655 of the viruses by CSF was higher than that of clay particles. The turbidity-equivalent
656 removal rates were 5.0 ± 0.1 logs for PAC and SPAC and 3.2 ± 0.3 logs for kaolin and

657 montmorillonite. These differences in removal rates between particles were not
658 explained by particle size or ZP. However, for kaolin, montmorillonite, PAC, and SPAC,
659 which have wide particle-size distributions, smaller particles of each remained after
660 treatment.

661 4) The ratio of clay/AC particles became very high after CSF treatment because AC
662 particles were removed at rates about 2 orders of magnitude higher than the rates of
663 removal of clay particles. Consequently, clay particles contributed predominantly to the
664 turbidity in sand filtrate, even when PAC was added to the raw water.

665 5) Mechanical/photochemical weathering of PA affected the removal of PA in CS and
666 rapid sand filtration to some extent. PA-pw was removed by CS slightly higher than
667 virgin PA. During rapid sand filtration, PA-pw was removed at a somewhat lower rate
668 than virgin PA. The removal rate of PA-md by CS and rapid sand filtration decreased
669 and increased, respectively, relative to the removal rates of virgin PA. The change of
670 ZP by the mechanical/photochemical weathering may have caused these changes of PA
671 removals by CS and rapid sand filtration. However, no clear difference was apparent in
672 the FTIR spectra of PA, PA-pw, and PA-md.

673

674 **Acknowledgments**

675 This study was supported by a Grant-in-Aid for Scientific Research S (JP16H06362) and a
676 Research Fellowship for Young Scientists (JP19J11070) from the Japan Society for the
677 Promotion of Science. The field samplings were helped by some of the members of a Health
678 and Labour Sciences Research project (Grant number 19LA1005, the Ministry of Health,
679 Labour and Welfare of Japan).

680

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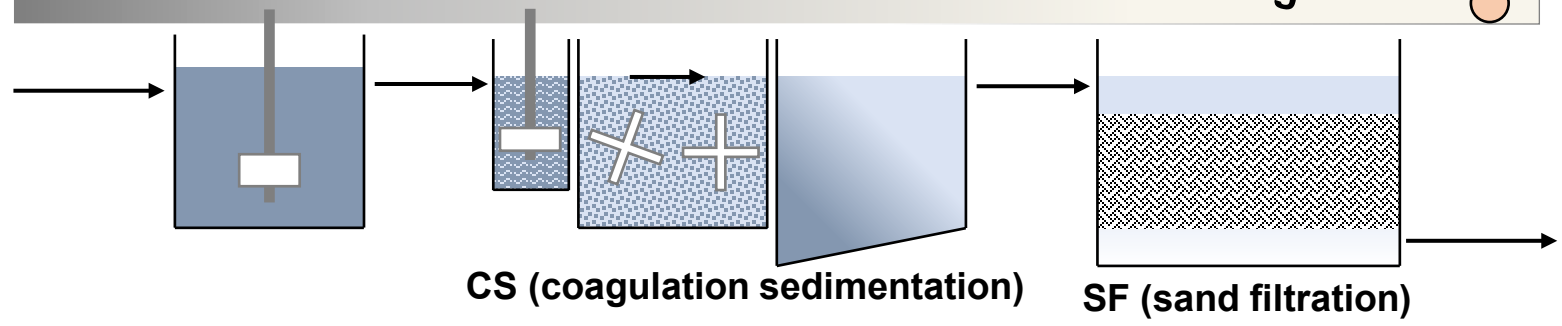
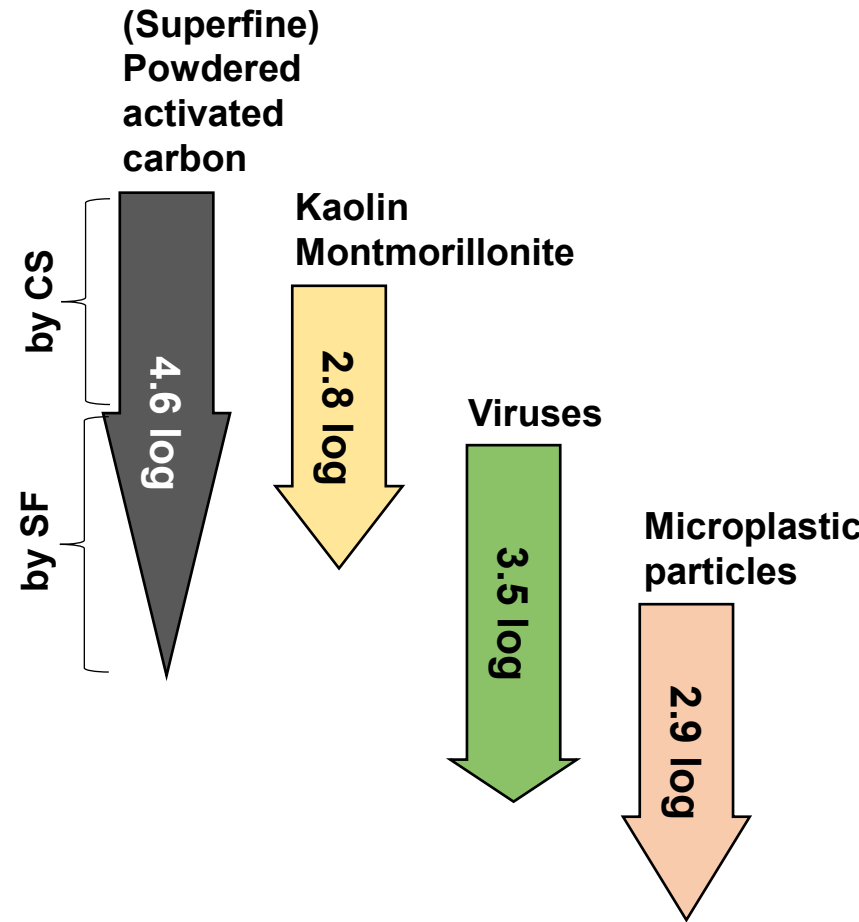
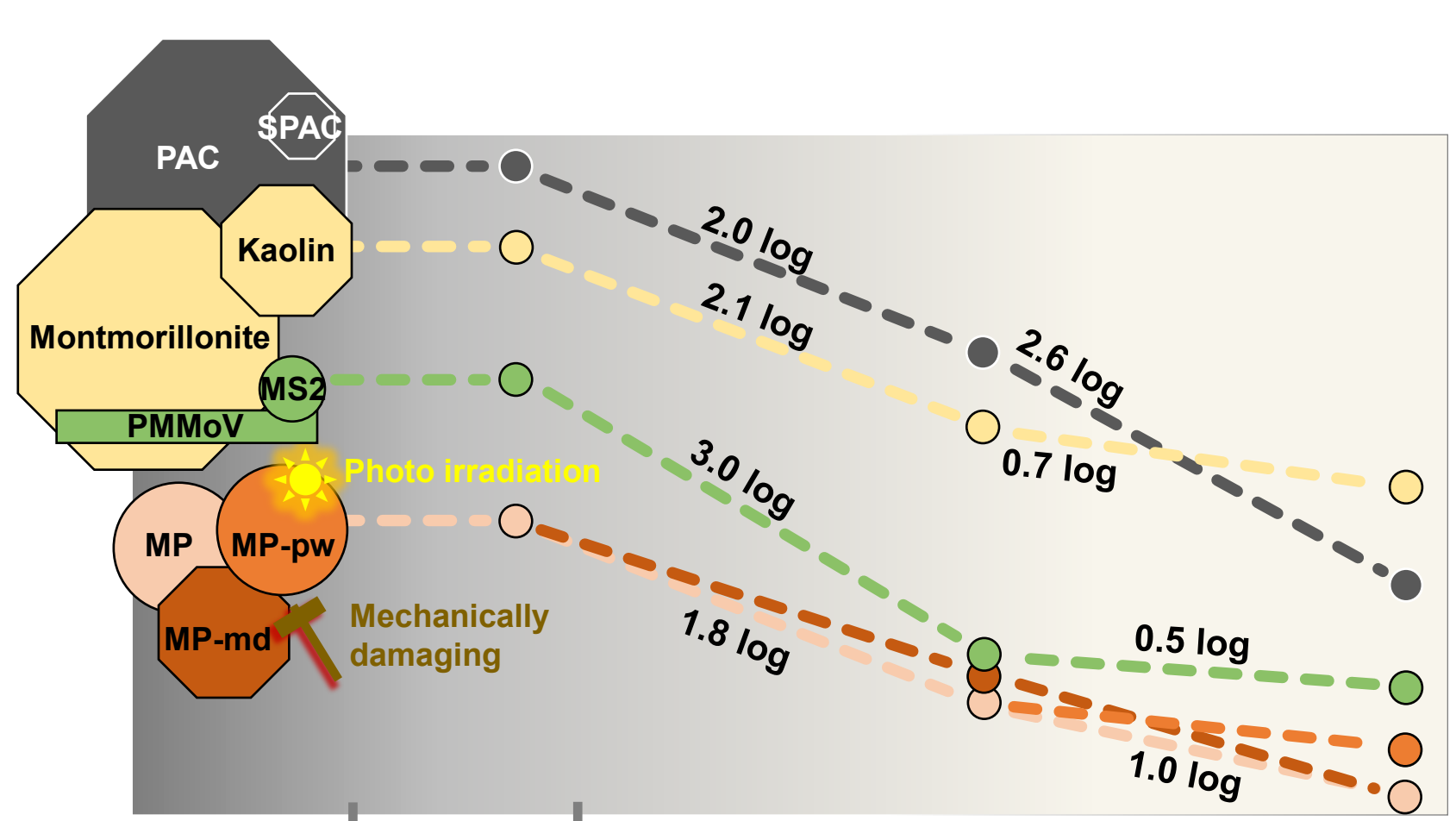
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Highlights

- Activated carbon in water treatment plant sand filtrate was 40–200 particles/mL
- The order of removal rates were activated carbon >> viruses >> clay \approx microplastics
- Coagulation-sedimentation accounted for most virus, clay, and microplastic removal
- These particles were removed by rapid sand filtration less than by activated carbon
- Weathering changed the zeta potential and removal of microplastics a little



Supplementary Information

Differences in removal rates of virgin/decayed microplastics, viruses, activated carbon, and kaolin/montmorillonite clay particles by coagulation, flocculation, sedimentation, and rapid sand filtration during water treatment

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Table S1

Characteristics of raw water used in CSF tests.

Turbidity	DOC	Alkalinity	Na ⁺	K ⁺	Mg ⁺	Ca ²⁺	Cl ⁻	NO ₃ ⁻	SO ₄ ²⁻
NTU	mg/L	mg/L as CaCO ₃	mg/L	mg/L	mg/L	mg/L	mg/L	mg/L	mg/L
2.7	0.8	16	12	2.1	1.8	9.7	22	1.5	20

Table S2

Experimental conditions. PA-pw indicates PA exposed to sunlight for 68 days. PA-md indicates PA mechanically damaged by ball milling. All MP particle initial concentrations were 1 µg/L, clay initial concentrations were 10 mg/L and virus initial concentrations were $10^7 - 10^8$ copies/mL.

Exp. Run No.	AC		MP	Clay	Virus	Coagulant	Figures
	type	Initial concentration (mg/L)	type	type	type	dose (mg-Al/L)	
1	SPAC	2.0	PSi	kaolin		3.0	Fig. 2, Fig. 4, Fig. 5, Fig. S6, Fig. S12
2	PAC	10	PSi	kaolin		3.0	Fig. 2, Fig. 4, Fig. S6, Fig. S8, Fig. S11
3	SPAC	2.0	PA	kaolin		3.0	Fig. 3, Fig. 4, Fig. 5, Fig. 7, Fig. S6, Fig. S7, Fig. S12
4	SPAC	2.0	PA	kaolin		3.0	Fig. 3, Fig. 4, Fig. 5, Fig. 7, Fig. S6, Fig. S7, Fig. S12
5	SPAC	2.0	PA	montmorillonite		3.0	Fig. 3, Fig. 4, Fig. S6, Fig. S7, Fig. S9
6	SPAC	2.0	PA	montmorillonite		3.0	Fig. 3, Fig. 4, Fig. S6, Fig. S7
7	SPAC	2.0	PA	kaolin		3.0	Fig. 4, Fig. S6
8	PAC	10	PA	kaolin		3.0	Fig. 4, Fig. S6, Fig. S11
9	SPAC	2.0	PA-pw	kaolin		3.0	Fig. 4, Fig. 7, Fig. S6
10	SPAC	2.0	PA-pw	kaolin		3.0	Fig. 4, Fig. 7, Fig. S6
11	PAC	10	PE	kaolin	PMMoV, MS2	3.0	Fig. 4, Fig. 6, Fig. S6, Fig. S8, Fig. S9, Fig. S11
12	PAC	10	PE	kaolin	PMMoV, MS2	3.0	Fig. 4, Fig. 6, Fig. S6, Fig. S8, Fig. S11
13	SPAC	2.0	PE	kaolin		3.0	Fig. 4, Fig. 5, Fig. S6, Fig. S12
14	SPAC	2.0	PA			1.5	Fig. 7
15	SPAC	2.0	PA			1.5	Fig. 7
16	SPAC	2.0	PA-md			1.5	Fig. 7
17	SPAC	2.0	PA-md			1.5	Fig. 7

Table S3

List of full-scale water treatment plants where sand filtrates were sampled.

Plant No	Sampling date	Raw water		PAC					
		Turbidity	DOC	Material	D50	Dosage			
		(NTU)	(mg/L)		(μm)	(mg-dry/L)			
1	2018/8/20	4	1.2	Wood	19	5			
2	2018/8/21	2	0.6	Wood	33	3			
3	2018/8/22	2	1.2	Coconut shell	17	1			
4	2018/8/28	5	1.2	Wood	22	4			
5	2018/8/27	7	2.2	Wood	21	14			
6	2018/8/28	8	1.5	Wood	21	15			
7	2018/8/24	9	2.2	Wood	22	30			
8	2018/8/27	5	1.3	Wood	23	10			
9	2018/9/5	14	1.4	Wood	19	10			
10	2018/11/8	2	0.5	no data	5.4	1			
11-1	2018/7/27	no data	0.1	Wood	21	25			
11-2	2018/8/30	no data	no data	Wood	21	3			
12-1	2018/8/27	4	2.5	Wood	17	15			
12-2	2018/8/28	18	2.8	Wood	17	60			

Plant No	Coagulant			Coagulation	Sedimentation	Filtration			
	Type	Basicity	Dosage	pH	Time	Rate	Anthracite	Sand	Time from the last backwash
		%	(mg-Al/L)		(min)	(m/d)	(cm)	(cm)	(min)
1	PACl	67-75	1.2	7.3	374	72	30	40	90
2	PACl	52.8	1.0	6.8	164	67	25	45	213
3	PACl	no data	1.5	6.9	85	71	no data	60	92
4	PACl	59.2	1.3	7.1	240	69	10	60	300
5	PACl	52.2	1.2	7.0	105	120	0	70	no data
6	PACl	51	2.1	6.9	170	120	0	65	540
7	PACl	49	2.9	7.0	240	120	0	80	270
8	PACl	53.1	1.4	7.0	342	120	3	60	243
9	PACl	51-55	2.1	6.9	169	83	0	65	70
10	PACl	54.7	1.1	7.3	209	no data	no data	60	360
11-1	PACl	54	1.1	7.2	47	81	7	53	no data
11-2	PACl	54	1.4	no data	47	no data	7	53	no data
12-1	PACl	51.5	1.3	7.0	139	54	0	60	35.5
12-2	PACl	51.5	2.6	7.1	156	47	0	60	24.4

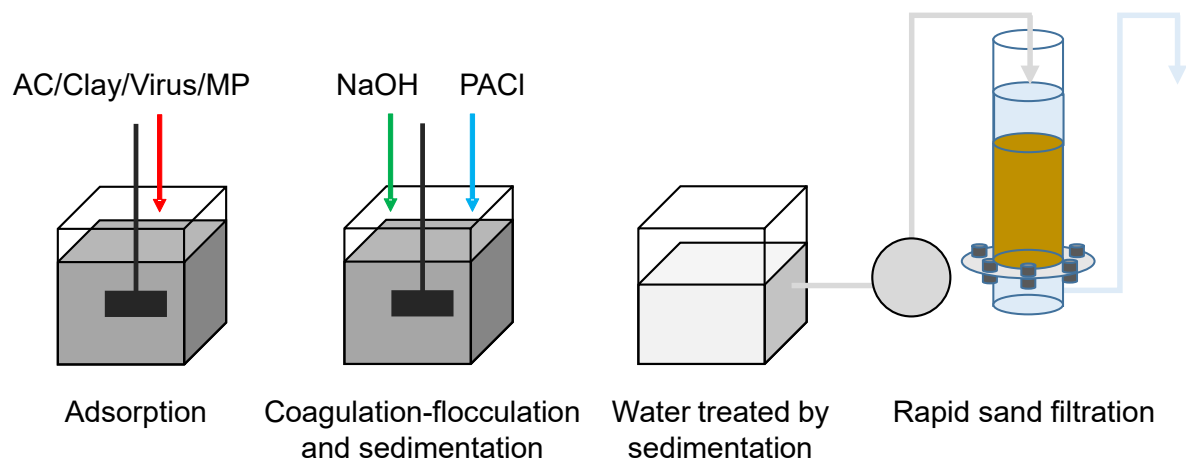


Fig. S1. Schematic diagram of the experimental setup for the coagulation-flocculation, sedimentation, and sand filtration experiment.

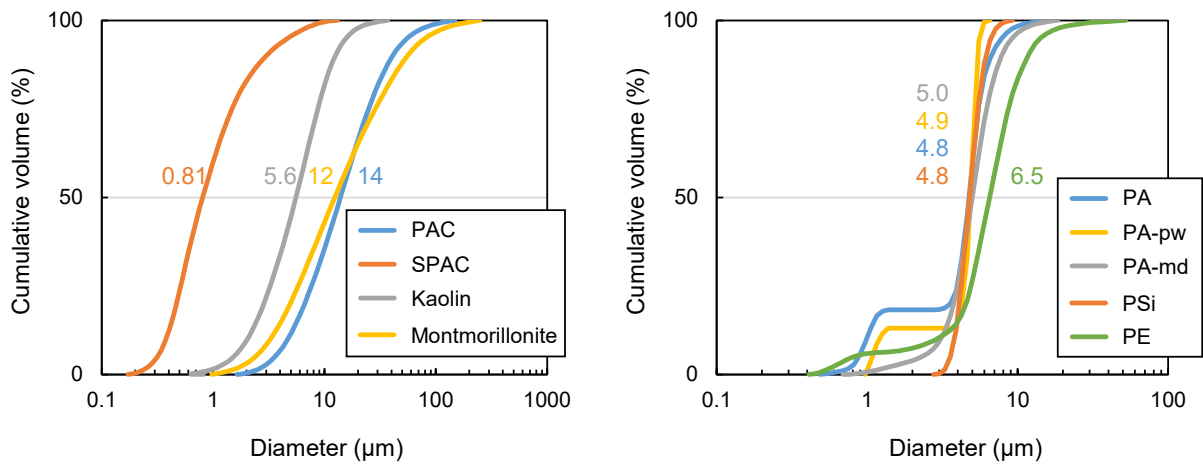


Fig. S2. Particles size distribution of PAC, SPAC, kaolin, montmorillonite, PA, PA-pw, PA-md, PSi, and PE. The numbers indicate D50 (volume median diameter) of particles associated with lines of the same color.

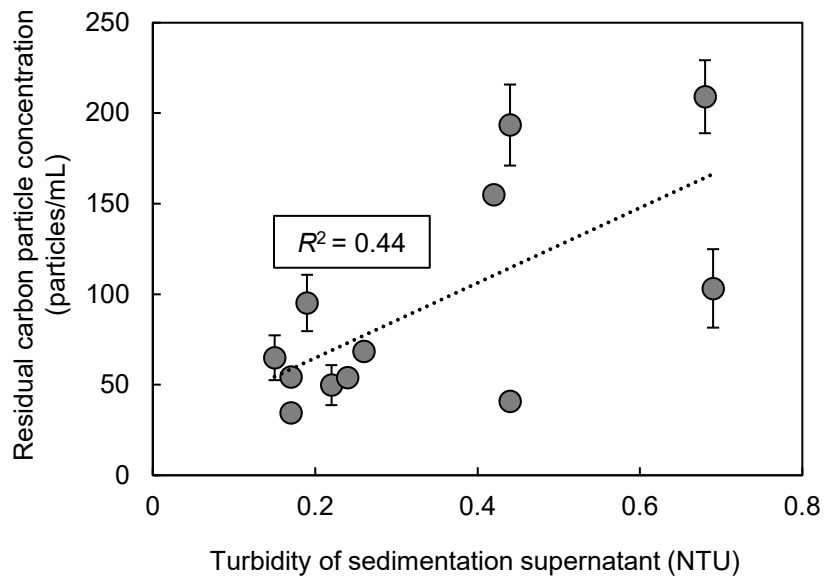


Fig. S3. Regression between the residual carbon particle concentrations and the turbidities of settled waters. The data were obtained from all of the 14 full-scale water purification plants using CSF processes. The dotted line indicates the regression line. Error bars indicate standard deviations of three measurements, but some of them are hidden behind the symbols.

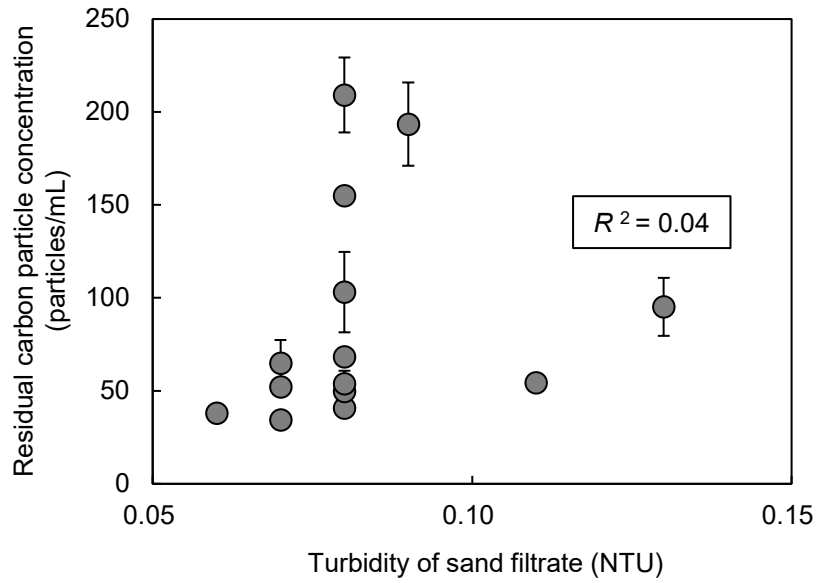


Fig. S4. Correlation between the residual carbon particle concentrations and turbidities of sand filtrate. The data were obtained from all of the 14 full-scale water purification plants using CSF processes. Error bars indicate standard deviations of three measurements, but some of them are hidden behind the symbols.

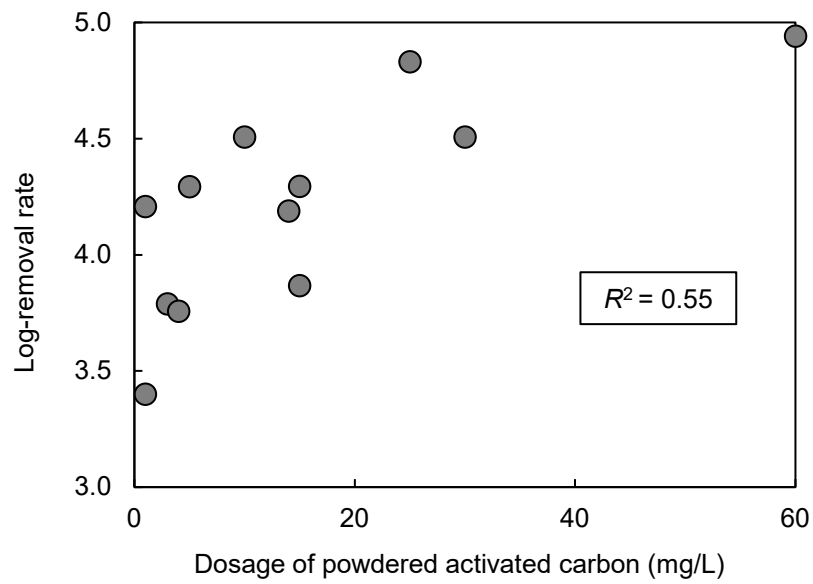


Fig. S5. Correlation between the log-removal rate of carbon particles and the dosage of powdered activated carbon. The data were obtained from the 12 full-scale water purification plants using CSF processes. Two initial carbon particle concentrations of the plants could not obtain and so are excepted.

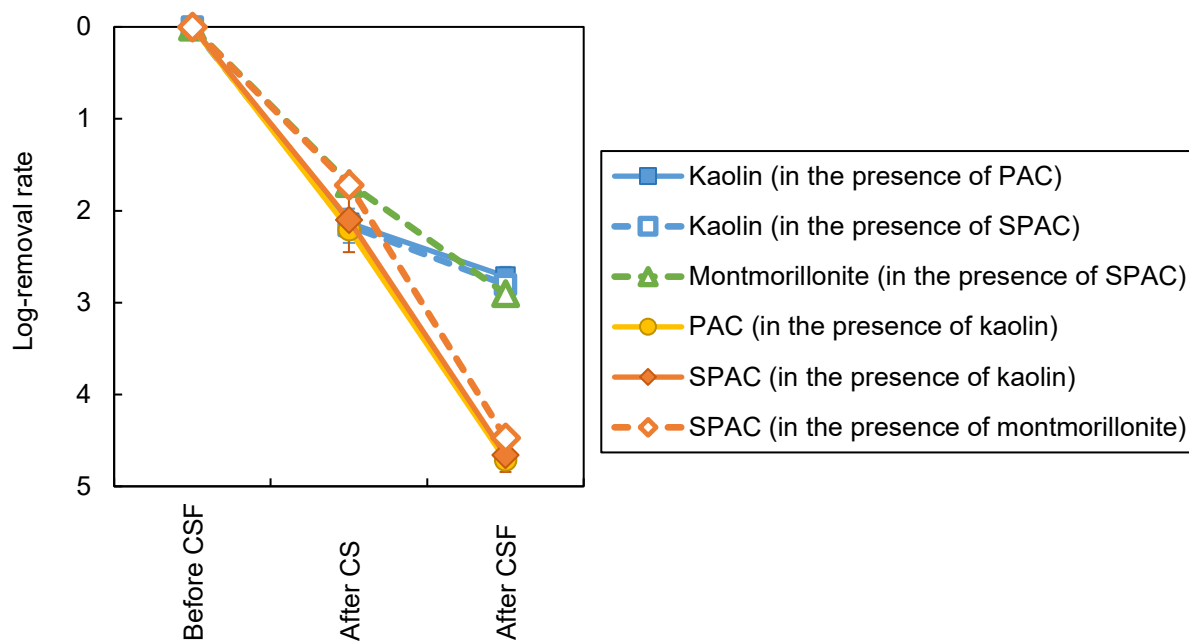


Fig. S6. Log-removal rate comparison between kaolin, montmorillonite, PAC, and SPAC during the CSF process. The data are also listed in Table 1. Data from Runs 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, and 13. Details of the experimental conditions are presented in Table S2. Error bars indicate standard deviations of experiments, but some of them are hidden behind the symbols.

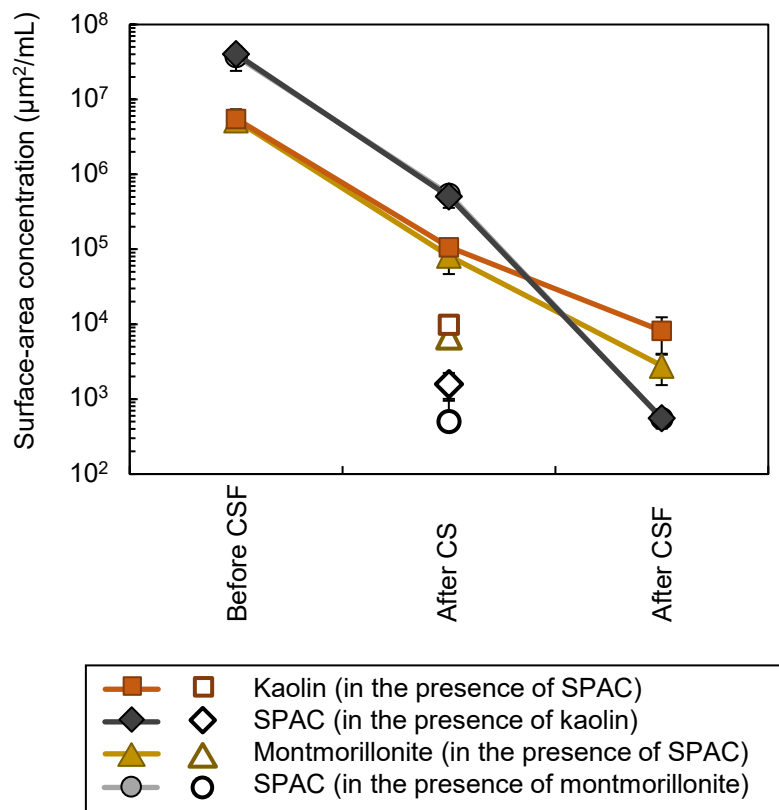


Fig. S7. Comparison of removal rates by CSF between kaolin, montmorillonite, and SPAC quantified in terms of surface-area concentrations. Either kaolin or montmorillonite and SPAC were added simultaneously. The open symbols indicate the data measured after centrifugation. Data from Runs 3, 4, 5, and 6. Details of the experimental conditions are presented in Table S2. Error bars indicate standard deviations of experiments, but some of them are hidden behind the symbols.

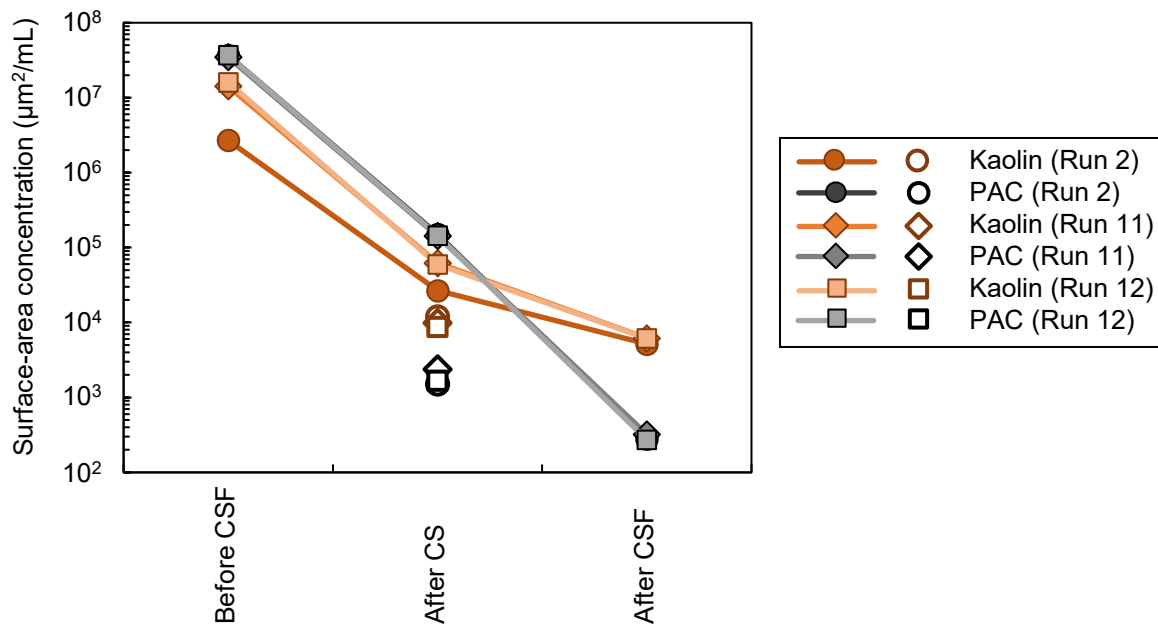


Fig. S8. Comparison of removal rates by CSF between kaolin and PAC quantified in terms of surface-area concentrations. Kaolin and PAC were added simultaneously. The open symbols indicate the data measured after centrifugation. Data from Runs 2, 11, and 12. Details of the experimental conditions are presented in Table S2.

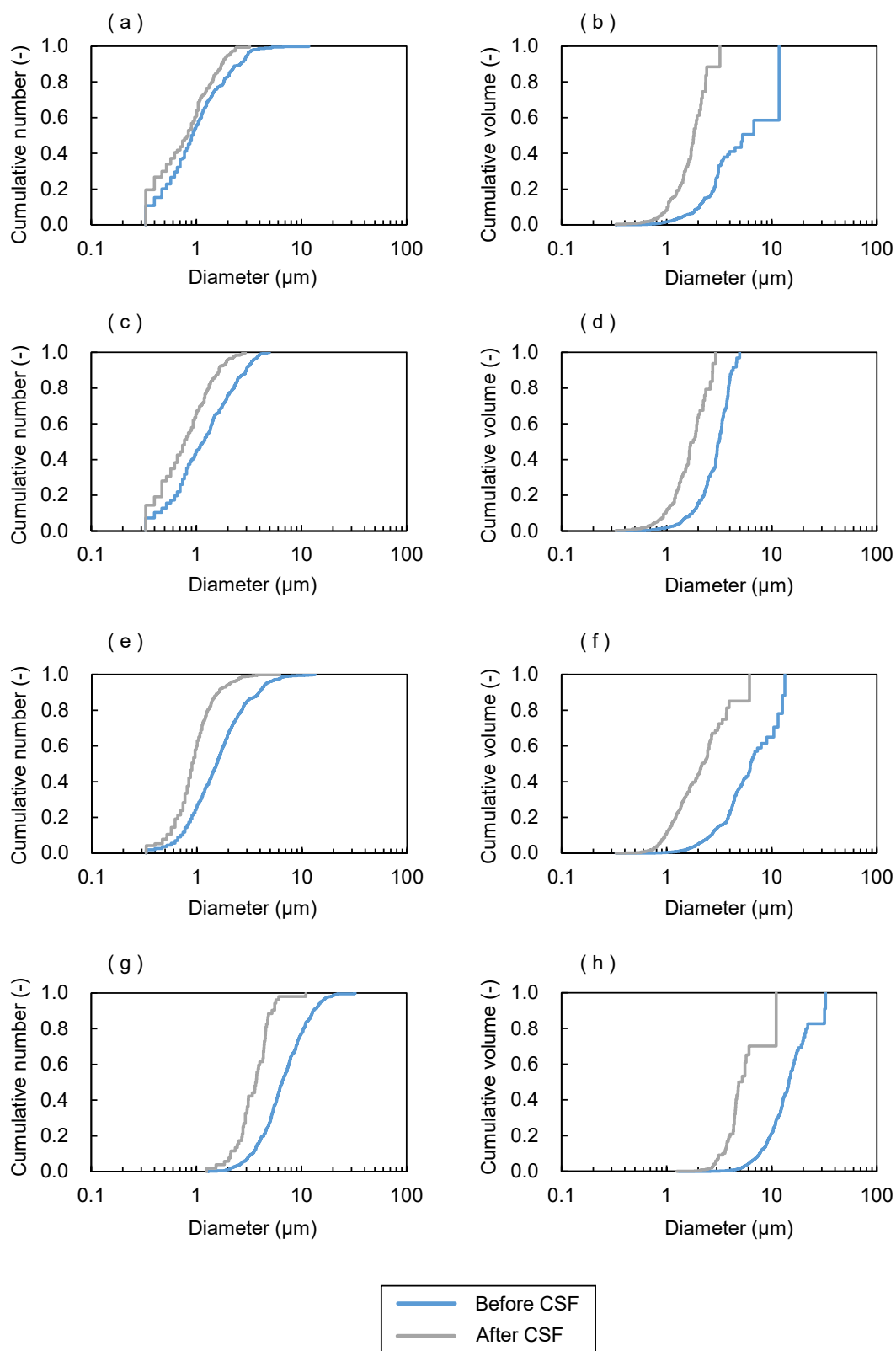


Fig. S9. Particles size distribution of PAC, SPAC, kaolin, and montmorillonite before and after the CSF process. Panels a and b: PAC. Panels c and d: SPAC. Panels e and f: kaolin. Panels g and h: montmorillonite. Panels a, c, e, and g: cumulative numbers. Panels b, d, f, and h: cumulative volumes. Data from Runs 5 and 11. Details of the experimental conditions are presented in Table S2.

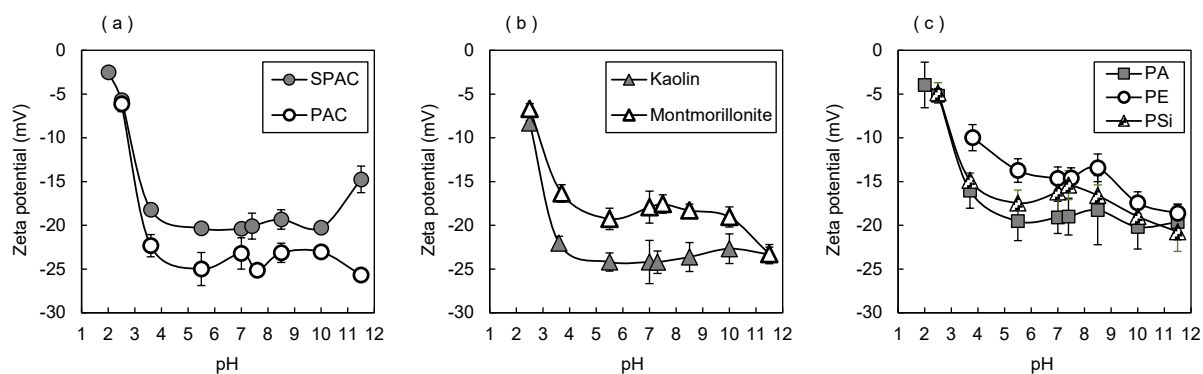


Fig. S10. Comparison of zeta potential between particles. Panel a: activated carbon particles. Panel b: clay particles. Panel c: MP particles. Error bars indicate standard deviations of measurements, but some of them are hidden behind the symbols.

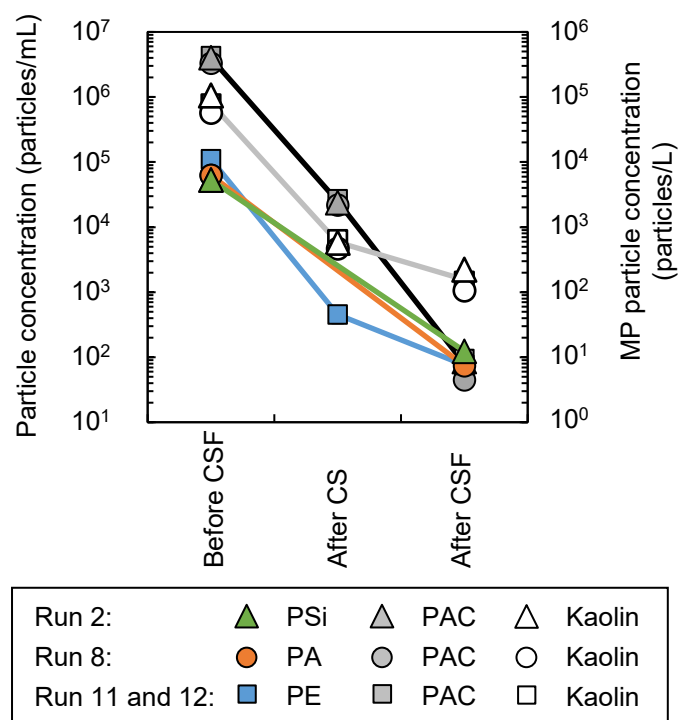


Fig. S11. Behavior of PE, PA, and PSi during the CSF process. PA, PSi, and PE were each treated by CSF in the presence of PAC and kaolin. Data from Runs 2, 8, 11, and 12. Details of the experimental conditions are presented in Table S2. Error bars indicate standard deviations of experiments, but some of them are hidden behind the symbols.

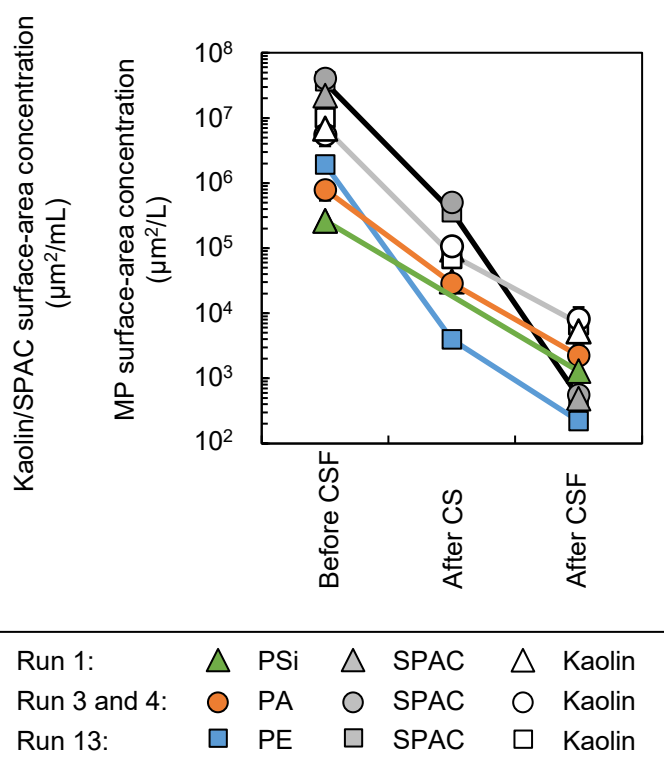


Fig. S12. Behavior of PE, PA, and PSi during the CSF process. PA, Psi, and PE were each treated by CSF in the presence of SPAC and kaolin. Data from Runs 1, 3, 4, and 13. Details of the experimental conditions are presented in Table S2. Error bars indicate standard deviations of experiments, but some of them are hidden behind the symbols.

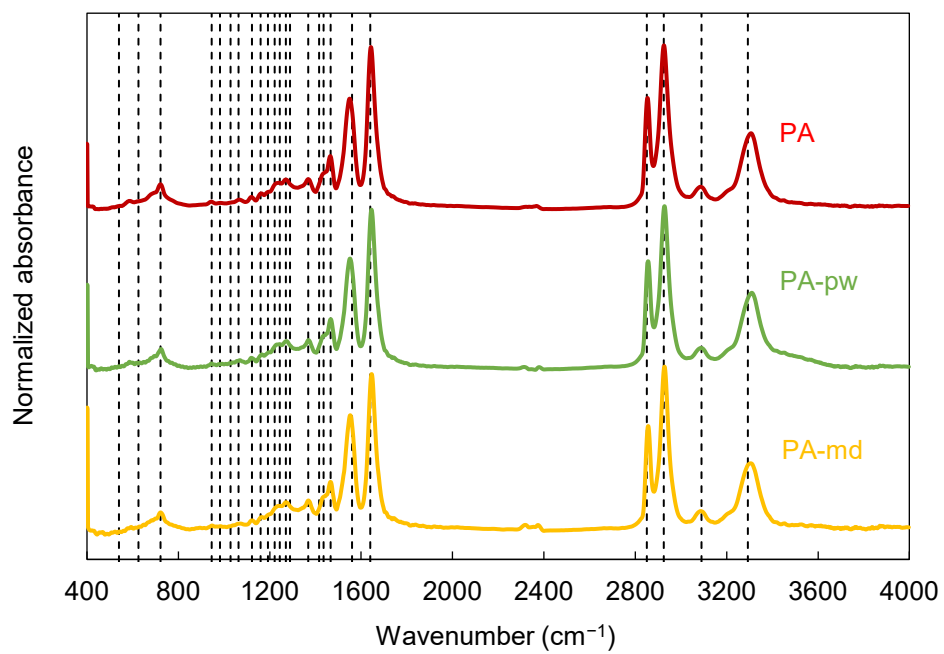


Fig. S13. FTIR of PA particles before and after weathering.

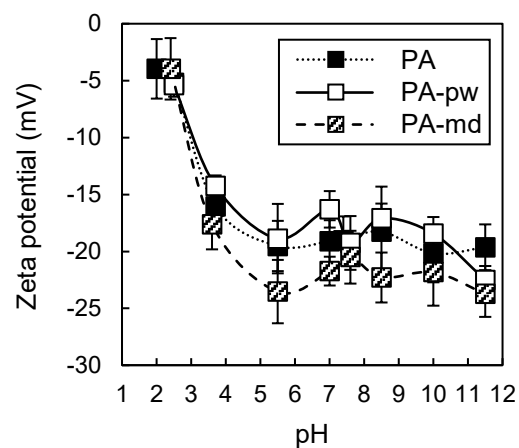


Fig. S14. Zeta potential of PA particles before and after weathering. PA-pw stands for PA exposed to sunlight for 68 days. PA-md stands for PA mechanically damaged by ball milling. Error bars indicate standard deviations of measurements, but some of them are hidden behind the symbols.