

Chronic traumatic encephalopathy – current state of knowledge

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Abstract

Introduction and purpose. Chronic traumatic encephalopathy (CTE) is a tauopathy caused by repetitive, mild head injuries. It is characterized by perivascular accumulation of hyperphosphorylated tau protein in the neurons and astrocytes. CTE leads to changes in central nervous system, both on microscopic and macroscopic level. The aim of the study was to present the current knowledge on chronic traumatic encephalopathy among athletes, its predisposing factors, symptoms and consequences, as well as diagnostic methods and treatment.

Description. CTE occurs among contact sport players, such as American football, ice hockey, soccer, baseball, box and MMA (mixed martial arts), as well as among soldiers and victims of domestic violence. Repetitive head injuries and long career duration increase the risk of CTE. Symptoms of chronic traumatic encephalopathy include a commonly occurring triad: cognitive disturbances, behavioral problems and mood disturbances. Other symptoms include memory loss, parkinsonism, headaches, speech and walking problems. Currently, the only

diagnostic method of CTE is a posthumous detection of neuropathological markers. Methods such as detection of exosomal tau protein in plasma and imaging techniques give hope to diagnose CTE in alive patients. Treatment methods of CTE, such as LIPUS (low intensity pulsed ultrasound) therapy are currently being developed.

Conclusions. Chronic traumatic encephalopathy among athletes is a serious problem that affects multiple people due to the popularity of contact sports. Thus, an emphasis should be put on prevention, raising awareness and appropriate protection of athletes through changes in regulations and improvement of protective equipment.

Key words: chronic traumatic encephalopathy; athletes

Introduction and purpose

Chronic traumatic encephalopathy (CTE) is a neuropathological disease associated with changes that occur as a result of mild, repetitive brain injuries [1]. CTE was first described in 1928 by Harrison Stanford Martland, a pathologist from Newark, New Jersey. In his article, Martland described cases of 23 boxers and introduced a term “punch drunk” into medical terminology which was used to describe experienced boxers who had a tendency to instability, slower movement, slower thinking, confusion and trembling [2,3]. The exact term of „chronic traumatic encephalopathy” was firstly introduced in 1949 by doctor Macdonald Critchley [4]. A pathognomonic trait that accompanies CTE and allows to differentiate it from other neurodegenerative diseases consists of perivascular accumulation of hyperphosphorylated tau protein in neurons and astrocytes. CTE leads to changes in central nervous system, both on microscopic and macroscopic level. In case of mild CTE, only subtle changes are visible, such as changes in lateral ventricles and third ventricle and occasionally also pallor of the locus coeruleus and substantia nigra. In more advanced cases one can notice a decrease in brain mass, frontal and temporal atrophy with significant atrophy of medial temporal part with compensatory expansion of lateral ventricles and third ventricle. There also occurs an atrophy of the thalamus, hypothalamus and mamillary body, as well as thinning of the corpus callosum and reduction of the subcortical white matter. A cavity or a fenestration in the septum pellucidum is also common [5]. It is important to remember that the brain might be seemingly unchanged on the macroscopic level. Whereas in the microscopic changes one can include intracellular aggregates of the phosphorylated tau protein, axonal damage and, in

advanced cases, loss of neurons and precipitation of DNA binding protein TAR 43 (TDP-43) in neurons and glial cells. The perivascular accumulation of hyperphosphorylated tau protein in neurons and astrocytes is considered a pathognomonic trait of CTE [6]. The prevalence of CTE among professional boxers is estimated to be around 17%, whereas at least 3.7% of NFL (National Football League) players will develop CTE during their lifetime [7]. The typical age at the onset of symptoms is between 30 and 65 years, although evidence for CTE was found as early as in 14 year old American high schoolers who played American football [5]. 4 stages of CTE are distinguished; from the first one, where only microscopic changes are visible, there is a gradual proceeding of microscopic and macroscopic pathological changes, up to the fourth stage, where the brain mass significantly decreases which is caused by atrophy. Atrophic brains often reach a mass of under 1000 grams [8].

Chronic traumatic encephalopathy may also co-occur with other diseases of central nervous system, such as: Lewy body dementia, which was diagnosed in 17% of patients with CTE; motor neuron disease, which developed in about 11% of patients with CTE; Alzheimer disease which was diagnosed in 12% of patients with CTE (there is also evidence for head injury to be a risk factor for Alzheimer disease) and frontotemporal degeneration, which was found in 6% of patients with CTE. It was also found that alpha-synuclein accumulates in the axons after traumatic brain injury and it is suggested that its accumulation might synergistically influence the aggregation of the tau protein [9].

The aim of the study was to present the current knowledge on chronic traumatic encephalopathy among athletes, its predisposing factors, symptoms and consequences, as well as on the diagnostic methods and treatment.

Predisposing factors

Chronic traumatic encephalopathy is found in contact sport players. One of the groups at risk of CTE are American football players. A research conducted among 202 deceased former American football players through a retrospective clinical study and neuropathological evaluation showed that 87% (n = 177) of them obtained a positive diagnosis of CTE [10]. Others sports that increase the risk of the occurrence of CTE are: ice hockey, soccer, baseball, rugby, box, wrestling and MMA (Mixed Martial Arts) [11,12]. What is interesting, in a group of American high school students, ice hockey and lacrosse are considered to be the sports that carry the highest risk for development of CTE among boys, whereas among girls soccer,

lacrosse and volleyball are the most risky [13]. The all above-mentioned groups of sports players have in common an exposure to mild, repetitive head injuries which are the main risk factor for CTE [14]. The other important risk factor is long career duration. A research carried out on brains of 266 deceased American football players showed a positive correlation between duration of the sports career and risk of CTE – the risk increases by 30% with every year of playing, doubles every 2.6 years and increases 10 times every 9 years. Additionally, among the participants of the study a relationship was found between longer duration of career and the occurrence of severe CTE; each year of playing was increasing the risk of the occurrence of severe CTE at the moment of death by 14% [15]. Another risk factor for CTE was genetic predisposition. An example of this is the presence of isoforms of the ApoE protein. The ApoE gene is located on the chromosome 19 and is polymorphic. The products of this gene are endogenous immunomodulators which are synthesized in response to injury. Contrary to the isoforms *APOE* ϵ 2 and *APOE* ϵ 3, *APOE*- ϵ 4 due to its structure doesn't have a capacity to detoxify cytotoxic products of lipid peroxidation. The isoform *APOE*- ϵ 4 is proven to have an influence on the development of Alzheimer disease and is considered to have an influence on CTE; however, this issue requires further research [16]. Research is also being conducted on the influence of genetic variants MAPT and TMEM106B [17]. It is also worth noting that not only athletes are a group at risk of CTE, but also professional soldiers and veterans which is caused by exposure to an explosion of improvised explosive devices [18,19]. Another group at risk for CTE are women exposed to domestic violence. The term „battered woman syndrome” was firstly described by JJ Gayford in 1975 [20].

Symptoms and consequences of CTE

Symptoms of chronic traumatic encephalopathy include a commonly occurring triad: cognitive disturbances, behavioral problems and mood disturbances. The presence of two different types of CTE is suggested. The first one is characterized by the onset at younger age, behavioral and mood disturbances, but minimal cognitive and motor disturbances. The second one is distinguished by the onset at older age, but bigger impairment of cognitive functions, often with motor disturbances [21]. 3 stages of deterioration of clinical state have been described. The first stage is characterized by affective disturbances and psychotic symptoms. During the second stage, antisocial behavior, memory loss and initial symptoms of Parkinson's disease occur. In the third stage of CTE a patient has overall cognitive

disturbances that lead to dementia. Development of full-blown parkinsonism, speech and walking impairment are also possible [22]. During CTE, a patient may have behavioral problems, such as impulsiveness and loss of self-control. Other symptoms include memory deficit and impairment of attention. About 45% of patients with CTE develop dementia, 66% of them over the age of 60 have dementia. Chronic headaches are present in 30% of people with CTE. Motor disturbances also develop, including dysarthria (speech disturbance characterized by poor articulation), dysphagia (difficulty in swallowing), coordination problems and symptoms of parkinsonism, such as trembling and mask face. CTE also increases a chance of the occurrence of anxiety and depression [4,23,24,25]. Taking into account a hazard of suicide, research is not consistent and requires further investigation. What is interesting, a research concerning former NFL players showed that suicide ratio in this group is lower than ratio in general population of men. It also seems that causes of suicide are complex and multifactorial, so it is difficult to assess whether patients with CTE have an increased risk of committing suicide [26,27].

Diagnosics, prevention and treatment

Currently, the only method of diagnosing CTE is through a posthumous detection of presence of neuropathological changes and markers in the brain. Clinical criteria are being developed based on retrospective studies, such as speaking with the relatives of a deceased patient. In order to diagnose CTE earlier and implement potential treatment, there is a need to define non-invasive biomarkers of CTE in vivo [28,29]. Detection of ⁸F-flortaucipir (FTP) in positron emission tomography (PET) is one of the potentially useful methods of diagnosing CTE. The use of flortaucipir is practical in another neurodegenerative disease – Alzheimer's disease, where it may serve as a tool that helps in diagnosis, in order to evaluate stage of the disease and to monitor effects of the therapy. In chronic traumatic encephalopathy, binding of FTP in temporal and frontal lobes corresponds with the presence of changes and illustrates distribution of pathological changes in later stages of CTE (stage III and IV). However, the intensity of signal seems to be significantly smaller than in the case of Alzheimer's disease. Also the method itself seems to be ineffective in detecting pathological changes in early stages of the disease which shows that FTP-PET may have limited usefulness in the diagnostic process of CTE [31,32,33]. Another imaging method potentially useful in the diagnostics of CTE is magnetic resonance imaging (MRI) which detects characteristic

changes, such as cavum septum pellucidum. Another potentially useful method in the diagnostics of CTE is diffusion tensor imaging (DTI). To sum up, the development of imaging techniques gives hope to use them in the future in order to diagnose CTE which would enable to implement proper preventive strategies for CTE [33]. Another non-invasive method that could be potentially useful in the diagnostics of CTE is detection of exosomal tau protein in the blood plasma. Exosomes are a type of vesicles freed into the extracellular space by all cells in the body, including brain cells. Their content directly reflects the content of the cell from which they are derived. Measurement of the exosomal tau protein seems to be a promising marker of CTE which may serve in the future as a screening test. A research carried out among former NFL players, who are exposed to CTE, showed increased levels of this protein [34].

The issue of treatment of CTE, as well as diagnostics in alive patients, still remains in the sphere of research. However, there are several interesting therapeutic propositions. One of the ideas is the use of the LIPUS therapy - low intensity pulsed ultrasound – in order to prevent the consequences of head injuries which lead to CTE. The application of this method seems to be helpful, because it stimulates the production of growth factors, including BDNF which decreases the expression of tau protein. The LIPUS therapy also holds back the neuroinflammatory process which takes part in the pathogenesis of CTE. Apart from that, the above-mentioned therapy also decreases the cerebral edema. There is also pre-clinical evidence which suggests that LIPUS therapy improves cognitive function and has antidepressant properties. The therapy itself may find its use in the future in prevention strategy of CTE [35]. In TBI (traumatic brain injury), which increases the risk of CTE, there is a correlation between presence of the cis-tau protein in the cortical axons, as well as in cerebrospinal fluid, and axonal damage and also clinical state of the patient. This creates a possibility of using the antibodies against cis P-tau in detecting cerebral changes, as well as in the treatment of CTE. Research conducted on laboratory animals showed that those antibodies could hold back the subsequent production of the tau protein and atrophy of the brain, as well as prevent the neurodegeneration after an injury and hence hold back the development of CTE [36,37].

Also it is considered to use viruses as vectors that carry a gene for monoclonal antibody aimed against the tau protein. Expression of the gene should provide a sufficient level of

antibodies that would prevent its accumulation. A research conducted on mice showed that delivery of AAVrh.10 expression vectors that encoded an antibody against tau protein to the hippocampus resulted in a significant decrease of the tau protein in central nervous system [38]. It is considered that inflammatory process plays an important role in the pathogenesis of CTE. The chemokine CCL2 is an important mediator of the above-mentioned process, because it is responsible for the recruitment of the macrophages and microglia in the place of injury as a result of concussion or a hit. That is why CCL2 may be a target in future therapies in order to decrease an inflammation in the nervous system [39]. Research carried out on mice suggests that docosahexaenoic acid (DHA), due to its capacity to inhibit the tau protein kinase, has a pharmacotherapeutic potential as a preventive measure for the development of CTE. DHA is also a promising treatment agent for CTE [40]. The inhibition of monoacylglycerol lipase (MAGL) in the mice model resulted in a decrease of neuropathological changes similar to CTE which suggests that MAGL may be the target of the therapy in the future [41].

Currently, due to lack of possibilities of treatment, the biggest role is attributed to prevention and early detection of symptoms [42]. It is necessary to educate society, especially parents of the young athletes. An access should be provided to educational materials on symptoms and potential preventive strategies of CTE. It is also crucial to raise awareness of the hazard among coaches of the young athletes [43]. It is equally important to raise awareness and knowledge about CTE among young players, because it gives them a possibility to make a conscious decision whether to continue to play the sport and informs them about a possible risk of developing neurodegenerative diseases. Alternative sports, such as swimming, tennis, basketball and volleyball should be promoted among teenagers, whereas boxing should be unrecommended for children or teenagers and proper healthcare should be provided for those who decide to practice this sport [44,45]. In order to decrease the risk of CTE in contact sports, changes in regulations are being made, such as limiting intentional body contact among players from younger age groups in ice hockey, elimination of hitting the opponent in the head with an upper limb in soccer as well as an introduction of more severe punishments for players who get a red card during a match and being banned from several next games for a deliberate attack for the head of an opponent. Among preventive measures, banning playing ball with the head in schools should be included. It is also important to educate young athletes, so they respect the rules of fair play and don't use potentially dangerous playing techniques [8, 46, 47]. In soccer it is crucial to use appropriate pads, select the equipment adjusted to the age of players and make sure that the size and inflation of the ball is proper, so that the frequency

of head injuries is decreased. It is also considered to introduce suitable head protectors for players [48]. Whereas in American football it is suggested that the currently used helmets don't provide appropriate protection of the head – they prevent skull fracture, but they don't protect against head injuries. Designing helmets that protect against brain injuries requires collaboration between doctors and engineers. One of the solutions suggested in production of the new generation helmets is to use silicone inserts encased with a material that limits friction. This should prevent the hits from affecting the head due to silicon's properties of absorbing the energy of the hit and preventing the conduction of vibration. Despite of new construction, helmets would most probably remain their current appearance [49,50].

Summary

Chronic traumatic encephalopathy among athletes is a serious problem that affects many people due to the popularity of contact sports. It is important to further explore this disease, its pathophysiological mechanism, course, predisposing factors and long-term effects. A development of diagnostic methods gives hope to diagnose CTE during lifetime. A search for new potential treatment methods is also promising. However, currently, due to lack of possibility of detection of CTE in alive patients and lack of treatment, an emphasis should be put on prevention, raising awareness and appropriate protection of the athletes through changes in regulations and improvement of protective equipment.

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