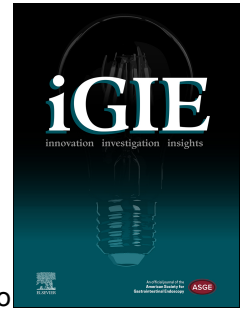


# Journal Pre-proof

Implementation of Endoscopic Submucosal Dissection in Europe: Survey after ten ESD Expert Training Workshops 2009 – 2018

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# Implementation of Endoscopic Submucosal Dissection in Europe: Survey after ten ESD Expert Training Workshops 2009 – 2018

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## Running Head

Survey of ESD implementation

# Implementation of Endoscopic Submucosal Dissection in Europe: Survey after ten ESD Expert Training Workshops 2009 – 2018

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## Running Head

Survey of ESD implementation

## Author's contribution

The work examines the outcome (= implementation of ESD) of 10 years of intercontinental transfer of novel endo-surgical skills (ESD) and endo-diagnostic competence (indication for ESD vs. alternative resection procedures) to Western endoscopy experts (topic review Oyama T. et al., 2015, World J Gastroenterol).

1. **Conception** (OT 35%, YN 15%, BF 10%, KT 10%, SF 10%, FM 5%, ND 5%, KS 5%, MA 5%) & **design** (PT, TT, UT, TA, WA, ZA, SH, KG, MH, HJ, each 10%).  
**Acquisition of data** (ESD Workshop Training Group; SH, ZA, MA, BF, each 1.35%).  
**Analysis of Data** (BF 40%, KT 30%, WA 20%, ND 10%).  
**Interpretation of Data** (OT 20%, YN 10% BF 20%, KT 10%, WA 10%, SH 10%, HJ 20%).
2. **Drafting and revising**: (BF 30%, OT 20%, YN 10% KT 15%, HJ 15% [drafting], all others 10% [revising])
3. **Final approval of work** (manuscript) by the 19 authors and the entire ESD Workshop Training Group.
4. **Agreement to be accountable** for the work by all authors (as related to their contributions).

## Abstract

**Background and aims:** Transfer of ESD technique for early gastrointestinal cancer from Japan requires expert-supervised experimental training before unsupervised implementation of clinical ESD.

**Aims:** To evaluate unsupervised implementation of ESD-intention-to-treat (-ITT).

**Methods:** ESD Workshops (in-vivo porcine model) lasted 3.3 days including one day theory for 177 participants from 135 *Western* referral centers. A questionnaire was sent to the senior participant of all 135 centers.

**Design:** Cross-sectional questionnaire survey.

**Main outcome measurements:** performance, organ distribution, severe adverse events of ESD-ITT.

**Results:** Feedback was received from 113 centers (84%), i.e. 73 (54%) ESD centers and 40 centers (30%) with zero ESD; 10 (7%) had published ESD; no feedback from 12 (9%) centers with unknown status. Altogether, 83 centers (61.5%) perform ESD: 21 (16%) had >150 ESD (professional category), 33 (24%) had 31-150 ESD (competent category), and 29 (21.5%) had ≤ 30 ESD (initial learning category). Most implemented ESD centers (91%, 72 of 79) were analyzed: Centers on initial learning (420 ESD) compared to centers with >30 ESD (5676 ESD) performed en-bloc ESD in 64% vs. 84%, hybrid-ESD in 26% vs. 11% and piecemeal-EMR in 10% vs. 5.2%. Majority of ESD (66-68%) were in colorectum, overall with low risk (30-day mortality 0.03%, surgical repair 3.5% vs. 1.7%) and satisfactory outcome (oncosurgery 7.4% vs. 5.2%, local recurrence 1.5% vs. 0.3%).

**Conclusions:** Beyond guideline recommendations, unsupervised implementation of ESD was successful in colorectum with step-up approach. Now, Western ESD centers have to aim for professional (i.e. >80%) curative ESD.

## Keywords

ESD implementation, ESD training workshop, adverse events of ESD, ESD outcome

## Introduction

To transfer endoscopic submucosal dissection (ESD) of early gastrointestinal (GI) cancer from Japan to Europe requires expert-supervised experimental training of experienced endoscopists, before they can implement human ESD in Europe. In 2008, a structured approach had been proposed by Japanese experts, including observation of expert ESD procedures and performance of five ESD procedures under supervision of leading Japanese experts in an *in vivo* experimental model before starting to perform unsupervised ESD procedures on patients [1, 2]. From 2009 to 2018, Paracelsus Medical University (PMU) Salzburg had set up 10 such annual ESD EXPERT TRAINING WORKSHOPS (2.3 days hands-on plus 1 day theory seminar) to allow for an average of 4–5 supervised ESD procedures per participant in a porcine model [3], complemented with a third day (UPDATE seminar) on clinical case studies and theory presented by the Japanese and Western experts. The strategy of this ESD training program, how to implement and advance ESD centers in Western countries, has been detailed in a topic article [2].

**Objective of the questionnaire survey:** To evaluate in the participating centers the extent and severe adverse events (SAE) of unsupervised implementation of clinical ESD intention-to-treat (ESD-ITT) – that is any resection planned and started as en-bloc ESD.

## Background

En-bloc ESD allows precise histopathologic staging of tumor category, grading, and resection margin status and provides cure of early gastrointestinal (GI) cancer [4-9]. Japan Gastrointestinal Endoscopy Society (JGES) has validated classical criteria of histopathologic curative ESD (zero risk of metastasis) in the entire GI tract, and expanded criteria (risk <4% of regional metastasis) in stomach and esophagus [7, 10, 11]. Endoscopic classifications (macroscopic, microsurface and microvessel types) predict with high accuracy (> 85-90%) premalignant and malignant lesions in the early stage and define indications for ESD vs. piecemeal endoscopic mucosal resection (PM-EMR) vs. surgery with lymphadenectomy [12-16]. Professional ESD centers in Japan achieve >80% curative resection (CR) of ESD [4, 10, 11].

Hence, implementation of ESD requires two learning curves for: 1) accurate endoscopic prediction of pT linked tumor category using image-enhanced endoscopy (IEE) which is the basis for correct differential indication of ESD vs. surgery with lymphadenectomy, - and 2) highly skilled endoscopic electrosurgical resection. In Japan, ESD has rapidly been adopted through thoroughly designed clinical training system based on direct day-to-day individual supervision of the learners by the top Japanese experts. Such expertise was not yet available in Europe in the year 2009 [1]. European interventional endoscopists had to master the learning curve for ESD in an unsupervised fashion [1, 2].

## Methods

### Ethics Committee

For the questionnaire survey, ethics committee approval was not required, because the feedback of participating 135 centers on implementation of clinical ESD has been used for anonymized descriptive analyses, and all 73 centers providing quantitative data have approved the manuscript and listing of the senior participant by name and affiliation. Each annual ESD Workshop has been a priori approved by the governmental Ethics Committee and Animal Ethics Committee (BMWF 66.012/0006-II/10b/2009 through 66.019/0029-WF/V/3b/2018), was led by veterinarian teams and monitored for compliance with European regulations for protection of animals in experimental research. All ESD Workshop and Update events have been endorsed by the European Society of Gastrointestinal Endoscopy (ESGE) including the ESD LIVE events [17], and since 2016 for educational credits by European Union of Medical Specialists (<https://eaccme.uems.eu>).

### Description of the annual ESD Training Workshop and Update

The basic format of the hands-on training course on piglets (20–25 kg body weight) in general anesthesia has been described in detail - see [3], and for detailed program supporting information 2. All 10 experimental hands-on workshops were held at the anatomic training facilities of the PMU in Salzburg, Austria and included an introductory seminar (2.5 hrs, on course structure and techniques) on the day of arrival, two full days of hands-on training (two 4.5 hrs sessions per day), and the clinical UPDATE seminar on the third day (open to the general audience) with case



studies and interactive presentations by the experts (including 4 ESD LIVE every year since 2012). From 2009 to 2013, there were six working stations - two each for hook-J<sup>®</sup> [6], dual-J<sup>®</sup> [18], and hybrid-knife<sup>®</sup> [19, 20] - and from 2014 to 2018 there were eight working stations – two each for hook-J<sup>®</sup>, dual-J<sup>®</sup> (Olympus Europe, Hamburg, DE), hybrid<sup>®</sup> (ERBE Elektromedizin GmbH, Tübingen, DE), and flush knife BT<sup>®</sup> [21] (FUJIFILM Europe GmbH, Ratingen, DE). Supervision and individualized instruction on the ESD technique was given by three, respectively four top experts from Japan and the same number of Western experts at the parallel training stations. In brief, participants performed hands-on training in teams of three taking turns every 20 min and rotating to another working station after every 60 min. Hence, each participant passed through all 8 training stations and tutors per day. Reference material was an annually updated syllabus on the endoscopic analysis of early GI neoplasias, differential indications (EMR vs. ESD vs. resective surgery), and details on ESD techniques, electrosurgical settings, supply material, training steps, risks for AE and management (under [www.early-cancer.eu](http://www.early-cancer.eu), pass word on request from the corresponding author) and, since 2014, an atlas on endoscopic analysis and differential indication (according to JEGS) for early GI neoplasias [13].

## Participants

All candidates were highly EMR-experienced senior endoscopists from major referral centers, and had to state prior experience with human ESD (if any) in order to match the training teams of each 3 participants with comparable experience. In accordance with an ESGE board member (Th.P.), participating candidates were chosen based on best interventional experience as well as geographical distribution and suitability of their centers.

The ESD Expert Training Workshops 2009-2018 provided 210 trainee slots (5 x 18 (2009-2013) + 5 x 24 (2014-2018)) for 208 trainee participants (& two cancellations). Total of 177 trainees have participated, 27 trainees participated two times and 2 participated three times. The 177 participants came from 144 centers (29 centers had 2 participants and two centers 3 participants). Nine centers (6%) were excluded from analysis because of loss to follow-up of the participant, i.e. 3 in USA, 1 each in England, Argentine, Reunion (FR), New Zealand, Singapore, and Morocco. Altogether, 135 centers were surveyed: 133 from Europe and Mediterranean Near East, and two from USA.



**Course Feedback of Participants.** In all 10 Workshops and Updates, participants have rated the teaching excellent by the leading ESD experts from Japan and by Western experts and the course very helpful to implement the novel ESD procedure in their hospitals (Supporting information 3).

### Questionnaire

A structured questionnaire including the recommended ESD center structure ([2], Supporting information 4) was e-mailed to the *senior participant* of all 144 centers in March 2018 (and in May 2019 for Workshop 2018), to obtain information on implementation of ESD-ITT. The senior participant received a reminder after one month, and by phone after 2 months.

### Statistical Analysis

Altogether 73 centers responded with completed questionnaire data, one (Institute Paoli Calmettes, pre-established ESD center [22]) was excluded, and 72 were included in statistical analysis. Overall mortality was calculated on total number of cases per total number of ESD reported by the 72 centers. All other parameters are given as percentage of total ESD number per center, analyzed for mean  $\pm$  SE and for statistical differences using one-way ANOVA with Bonferroni's adjustment.

For categorical analysis of the supply network of ESD centers, all active ESD centers were categorized as proposed by Oyama et al. [2]: "initial learning category" for the first 30 unsupervised ESD-ITT, 'competent performance category' for 31 – 150 ESD-ITT, and 'professional category' after 150 ESD-ITT. In addition, any human ESD experience of the senior participant prior to this course was accordingly categorized.

## Results

**Survey of centers.** Of all 135 centers, feedback had been obtained by the senior participant for 113 (84%), i.e. 73 responding active ESD centers (54%) and 40 centers (30%) with zero ESD performed. No feedback had been received from 22 centers (16%), i.e. 12 without ESD reputation nor publication and 10 centers with published ESD (6 in professional category in Augsburg, Berlin, Istanbul-Kocaeli, Lyon, Marseille, Porto [22-27] and 4 in competent category in Besançon, Grenoble, Liège, Leiden [28-30]) (Fig. 1A). Total of 83 centers (61%) had been performing ESD,

29 on initial, 33 on competent, and 21 on professional category. The centers of ESD workshop trainees have implemented an ESD supply network (Fig. 1B) – other ESD centers in Europe that had not participated are not shown [31-41] ([31] current affiliation St. Petersburg).

**Centers with implementation of ESD.** Categorical analysis shows 79 implemented and 4 pre-established ESD centers (Fig. 1C). In years 2017-2018, the four pre-established professional centers had delegated two participants on zero experience (Berlin, Istanbul-Kocaeli) [24, 25] or had participated with two advanced experts [22, 27]. The 29 centers on initial learning ( $\leq 30$  ESD) were started by trainees without prior ESD experience ( $< 10$  ESD). The 33 centers on competent category (31-150 ESD) were implemented by senior participants on zero or initial ( $\leq 30$  ESD) prior experience ( $n=29$ ) or competent ( $n=4$ ) prior experience. Trainees on zero or initial prior experience have set up 12 of 17 professional category centers, and trainees on competent ESD prior experience ( $n=5$ ) contributed to the other five (Augsburg, Lodz, Mannheim, Nizhniy Novgorod, Poznan). Hence, 70 (89%) of the 79 started or implemented ESD centers had zero or only initial ESD prior experience.

**Performance of ESD during Implementation.** The survey data includes 72 responding centers only, and none of the pre-established or non-responding published centers. The survey of years 2018-2019 provides a cross-sectional overview on unsupervised implementation of ESD by former participants of this expert training course. All questionnaires stated the data is based on ESD indications according to JGES (Tab 1 in [2]), histologic assessment for grading, extension and invasion of early cancer, and a prospective data register for ESD indication, performance, outcome and follow-up. The annual ESD volume during the past year was  $30 \pm 5$  for competent and  $77 \pm 18$  ESD for professional category centers. Performance of ESD is compared between 29 centers on initial learning ( $n = 420$  ESD, follow-up  $18 \pm 3$  mo), 29 competent ( $n = 2104$  ESD, follow-up  $49 \pm 6$  mo), and 14 professional category centers ( $n = 3572$  ESD, follow-up  $82 \pm 5$  mo) by subgroup analysis with significance levels shown in Fig. 2.

The majority (mean 66 - 72%) of all ESD-ITT were performed on colorectal neoplasias ( $46 \pm 3\%$  malignant), irrespective of the performance category. Nevertheless, some step-up approach is apparent from the data (Fig. 2A): beginners

performed on average 57.5% rectal ESD, 28% gastric, 9% colonic, and 5.5% esophageal ESD. Competent and professional category centers show stepwise less rectal (mean 53% and 39%) and gastric ESD (20% and 14%), and up to threefold more colonic (15% and 32%;  $P < 0.005$ ) and esophageal ESD (13% and 15%). Some of these centers implemented ESD mainly or exclusively with colorectal step-up approach [42-45].

Mean rate of en-bloc ESD was low (64%) for centers on initial learning, but significantly higher on competent (82%) and on professional category (88%) (Fig. 2B). Beginners frequently (26%) used hybrid-ESD as compared to competent (13%;  $P < 0.05$ ) and professional category (7.5%;  $P < 0.02$ ), and conversion to piecemeal EMR in 10% vs. 6% and 4.5%.

**Adverse outcome of ESD-ITT.** Even without supervision during the initial learning curve, clinical ESD has been implemented by course participants with low risk and satisfactory oncological outcome. Overall, 30-day mortality is 0.03% (2 cases in professional centers during initial and competent phase) and long-term morbidity is  $0.7 \pm 0.2\%$  (strictures after esophageal or anorectal ESD) in the 72 ESD centers (6497 ESD; follow-up  $41 \pm 3$  mo). The survey results split into beginners ( $\leq 30$  ESD) and competent to professional category centers ( $>30$  ESD) shows low rates of procedural and oncological sAE of ESD-ITT (Fig. 3): a) surgical repair for ESD AE was needed in  $3.5 \pm 1.5\%$  vs.  $1.7 \pm 0.4\%$ ; b) surgery was indicated for non-curative ESD in  $7.4 \pm 1.9\%$  vs.  $5.2 \pm 0.7\%$ , and, c) local recurrence of cancer was observed in  $1.5 \pm 0.9\%$  vs.  $0.3 \pm 0.1\%$ , and progressive disease in 0 vs.  $0.4 \pm 0.2\%$ . There were no significant differences of sAE rates among both subgroups nor in the comparisons of the 3 subgroups (initial vs. competent vs. professional category).

## Discussion

Main goal of this ESD training program in Salzburg was a high number of referral centers that start and implement ESD with low risk in an unsupervised fashion – according to a later-on detailed strategy [2]. To this end, principles and guidelines developed in Japan were conveyed by leading experts from Japan. This primer course program conveyed awareness for the two learning curves: 1) accurate endoscopic staging of early neoplasias for correct ESD indication, 2) know-how and basic training for acquisition of electrosurgical ESD skills, and for 3) the logistic requirements to implement a new ESD center [2]; the reference material was continuously updated (endoscopic atlas; per website: syllabus, lectures, video cases). We registered only highly experienced senior candidates, to reduce the risk of AE, preferred newly recruited referral centers, to minimize repetitive participation or additional candidates from recruited centers. And to foster ESD skills, we strongly recommended continued hands-on training ex-vivo, expert observation, sabbatical at ESD centers in Japan or ESD performance under teaching assistance by a Japanese expert [2, 46]. As collaborators in a joint effort to establish an ESD supply network (Suppl. Information 1), the participants were encouraged to perform unsupervised implementation of ESD based on best case-volume according to prevalence of indications and step-up of technical challenge [2].

What are the merits of the retrospective survey on this program? Categorical feedback information was available for 91% of 135 participating centers (30% zero ESD, 7% published ESD, and 54% with ESD survey data) showing a supply network of 83 ESD centers (61%). The 79 (59%) started or implemented ESD centers had been set up on zero or initial (89.5%) or early competent (10.5%) prior ESD experience (Fig. 1). Hence, this course program was an effective ESD start-up primer for referral centers.

The questionnaire data on unsupervised implementation comprises 91% (72 of 79) of the started or implemented ESD centers. All stated to use ESD indications according to JGES [2], qualified analysis of specimens, and a prospective register for ESD-ITT. The questionnaire only asked for core parameters of the implementation strategy, such as basic performance (organ distribution, en bloc-ESD / hybrid-ESD /PM-EMR)

and sAE relevant for the patients. Hence, these cross-sectional data appear representative for the implementation of ESD centers.

Unsupervised implementation of clinical ESD was prevalence-based, mainly on colorectal lesions (66%→72%) with a step-up approach on colonic (9%→32%,  $P<0.05$ ) and esophageal neoplasias (6%→15%), and nevertheless with a low risk scenario: low rates of 30-day mortality, long-term morbidity and surgical repair, and satisfactory oncological outcome, such as need for oncosurgery and local recurrence of cancer after ESD-ITT (Fig. 3). Overall, these sAE rates are barely higher than reported from East Asia [47, 48]. - ESGE guidelines reject performance of ESD in the colon during the implementation period, mainly because colonic ESD is more difficult and carries a higher risk of perforation [1, 49, 50]. However, the ESGE curriculum for ESD training did not recognize the safety of prospective European case series of colorectal ESD [42, 43, 45, 50-52]. Most colonic perforations are small and well manageable by endoscopy, whereas rare delayed perforations require surgical repair [43-45, 53-57]. In prevalence-based ESD learning curves, colonic ESD is as safe as rectal and gastric ESD (<1% surgery for overall 8-12% AE) [56, 57](ref 66).

The rate of en-bloc ESD in starting centers (64%) was as low as published for initial learning of colorectal ESD [22, 58](ref 66), but in professional category (88%) nearly reached East Asian standard (>90%) [47, 48]. ESD-ITT included a high rate of hybrid-ESD (26%) on initial vs significantly less on competent (13%) and professional (7.5%) categories. Hybrid ESD is blamed for high rate of AE (as bleeding and perforation) in a meta-analysis that has not distinguished hybrid-ESD performed for technical reasons vs. hybrid-ESD for managing AE of ESD [48]. Our faculty, however, had recommended hybrid ESD for self-completion of difficult ESD-ITT [59]. The high rate of hybrid ESD does not correspond to the low rate of AE, but matches the similar rate of failed self-completion (20-35%) for supervised learning of ESD in Japan [54, 55].

Limitations of this cross-sectional survey are a) *retrospective exploratory design* with potential reporting bias, b) unrevealed additional success factors, and c) missing items such as measured training efficiency, organ-specific ESD subgroup analysis, and curative resection data.

a) The retrospective exploratory design of the survey only shows subsequent successful implementation of ESD centers, but no causal relationship. Course participation (with hands-on training) was priming for the program strategy [2]. Reporting bias is possible in such surveys, but less likely in presence of prospective data registers and match of the survey data with the rates of en-bloc ESD and sAE of Western ESD series [27, 28, 32-34, 43, 48, 52, 56, 60].

b) Caution, the low-risk implementation of ESD centers *depends on additional success factors* [2]: conscious selection of the center's most experienced endoscopist, check list for risk precautions and self-evaluation of performance during unsupervised ESD-ITT (Tabs. 1 in (66) and 4 in [2]).

c) Missing items: The hands-on course was not designed to measure *efficiency* of individual skills training because of the scheduled rotation of the trainees during ongoing ESD procedures (but achieved an average number of 4-5 procedures) [3]. Nevertheless during the first year after the course in 2009, the ESD case load (total, colorectal and esophageal) had more than tripled and the trainees with highest perforation rate did not start clinical ESD [3].

Subgroup analysis only shows a non-significant trend for lower rates of sAE (surgical repair, morbidity; onco-surgery, recurrence of cancer) in competent and professional categories. Organ-specific sAE rates were not significantly different due to small differences and small sample sizes – confirming the overall low risk of sAE during implementation of CR-ESD.

This survey only inquired onco-surgery done for non-curative ESD – as surrogate indicator for correct lesion selection - rather than histologic curative resection (CR). An ESGE curriculum training course program (2013 – 2019) of same hands-on format based on gastric and esophageal hybrid knife-ESD with exclusively European teachers reported more successful implementation of clinical ESD: competence was achieved with 10 ESD followed by professional ESD performance (CR >80%) at 10-20 and 20-30 ESD [61]. That questionnaire was much more detailed, but data is based only on 19 participants in spite of more than 250 training slots (i.e. <10% surveyed) [61]. Such rapid progression to competent and professional ESD contrasts

with much longer ESD learning curves worldwide [2, 25-27, 42-45, 48, 53, 54, 56, 57, 60, 62].

Our survey data supports the feasibility and safety of prevalence-based colorectal implementation of ESD, as demonstrated by individual learning curves of mainly course participants [42, 43, 52, 56, 57, 63]. Beyond that, CR is the main indicator for professional status of ESD centers [2]. The CR rates (65-75%) reported from Europe are significantly lower than for professional centers of Japan (80-89%) [22, 26, 28, 32, 41, 47, 60, 62, 64, 65], mainly due to less accurate endoscopic indications including deep submucosa-invasive cancers indicated for surgery, not for ESD [2, 26, 60, 62]. Unfortunately, European guidelines still recommend to evaluate en-bloc ESD specimens with the surrogate standard of “*low risk resection*”, “*local risk resection*”, and “*high risk resection*” [49, 50], that is standard for evaluation of piecemeal EMR [66], but conceals the merit of en-bloc ESD to the patients: histopathologic CR of early GI cancer [4, 10, 11].

Hence, we recommend to stringently apply JGES criteria to ESD-ITT, including the validated indication criteria for “*ESD with curative intention*”, “*ESD with diagnostic intention*”, and “*out of ESD indication*” (i.e. “indication for surgical resection with lymphadenectomy”) as well as the histopathologic standard “*curative resection*” [4, 10, 11]. ESGE and National Societies will have to focus guidelines and curriculum courses on professional curative rates (80-90%) for ESD with curative intention.

## Conclusions

This survey data supports the feasibility and safety of prevalence-based implementation of ESD procedure mainly in colorectum – provided the endoscopist is experienced in endoscopic indication, electro-surgical technique, and know-how for ESD. This facilitates further implementation and advancement of ESD centers in the West.



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## Figure legends

### Figure 1. Supply network of ESD centers started/implemented by senior workshop participants.

**1A.** Categories of ESD implementation for the 135 participating centers. Feedback from 73 active centers (29 in initial, 29 in competent and 15 in professional category [\*\*\* 1 pre-established ESD center excluded from statistical analysis]) and from 40 centers without implementation (zero ESD). No feedback by 22 centers, 12 with unknown performance status and 10 with ESD publications and assigned performance category (\* competent; \*\* professional) yielding 83 active ESD centers.

**1B.** Distribution of participating ESD centers (as categorized in Fig 1A) across Europe and the Mediterranean Near East. Circles represent centers, insert color the ESD category: grey = unknown, white = zero, red = initial ( $\leq 30$ ), green = competent (31 – 150), blue = professional ( $>150$  ESD).

**1C.** Categorical analysis: **ESD** prior experience of the senior trainee of the 83 active ESD centers – distinguished in 79 started or implemented centers and 4 pre-established *professional* centers at the time of participation. ESD center categories as in Fig. 1A.

### Figure 2. Performance of ESD during implementation period.

Organ distribution (A) and technical performance (B) of ESD-ITT (mean $\pm$ SE) of the 72 responding centers is shown for the center categories initial (red), competent (green), and professional (blue). Total subgroup analyses yields only 5 significant differences as shown, \* Hybrid-ESD in 1-3 particles [59].

### Figure 3. Adverse outcome during implementation of ESD.

The cross-sectional survey is split into initial category ( $\leq 30$  ESD (red) ; total 420 ESD; follow-up 18 $\pm$ 2.6 mo) vs. competent and professional category ( $>30$  ESD (dark green); total 5676 ESD; follow-up 59 $\pm$ 5 mo, initial phase of centers included). There were no significant differences between the two groups nor between the 3 categories in total subgroup analyses. \* mortality indicates % per category.

## Legends to supporting information

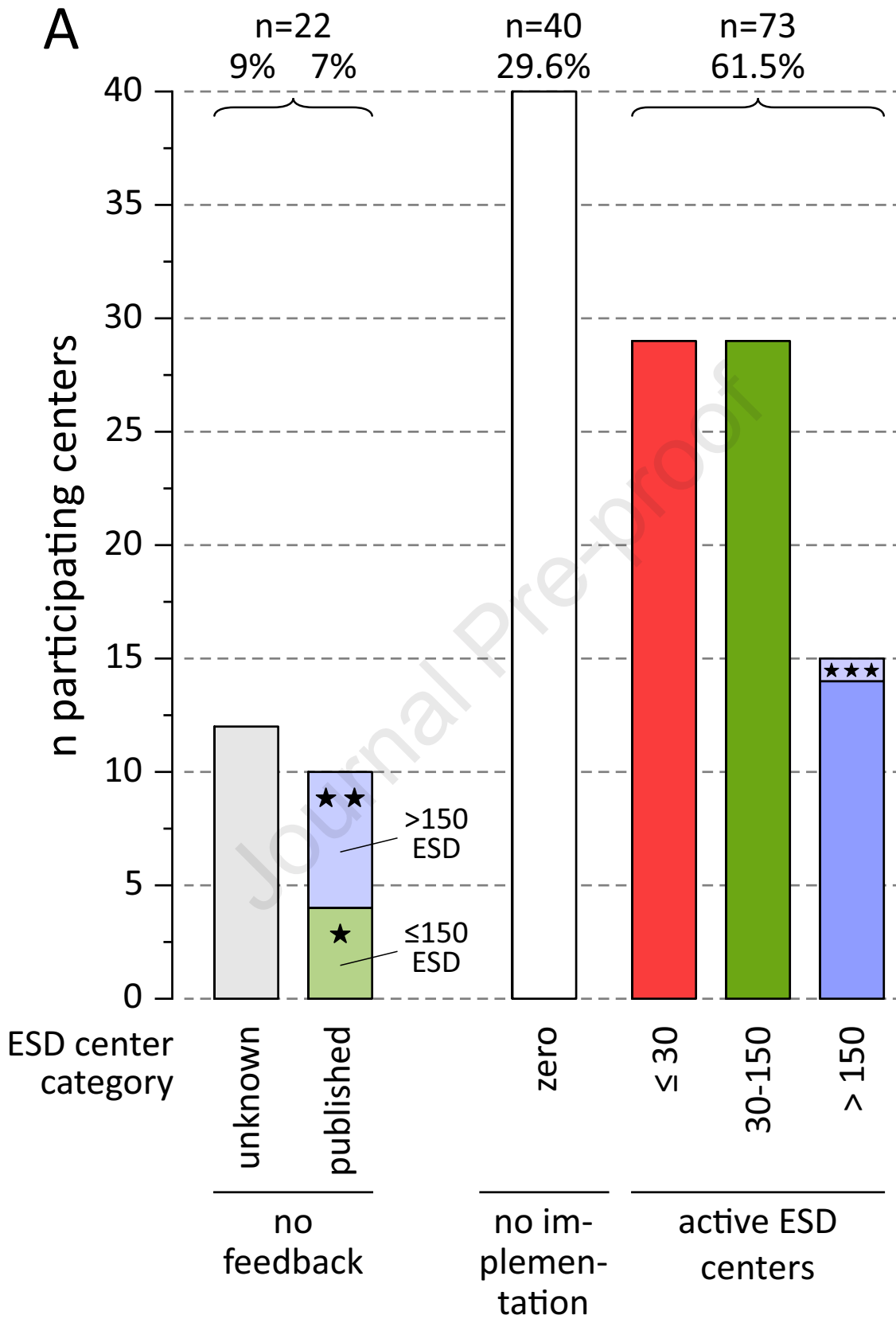
**Supporting Information 1.** Collaborators - ESD Workshop Training Group (responding centers)

**Supporting Information 2.** Description of the annual ESD workshop

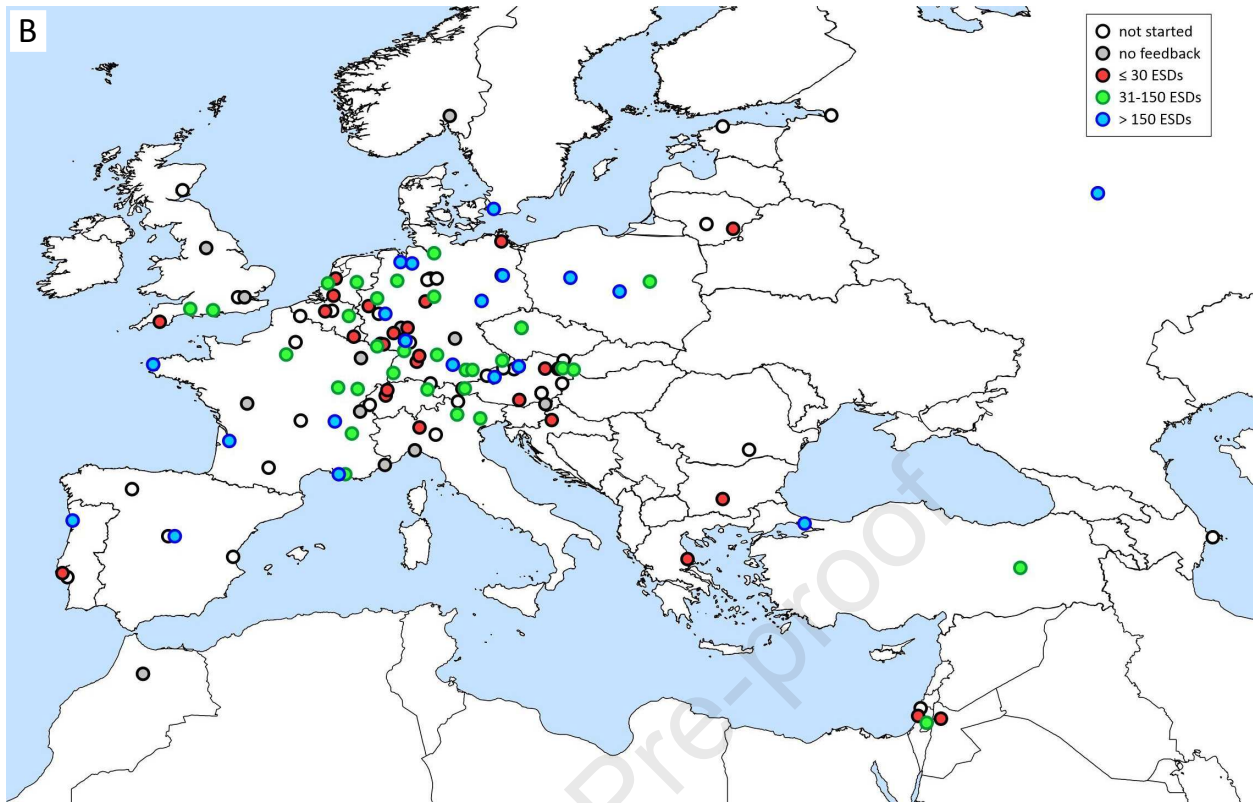
**Supporting Information 3.** Participants' ESD workshop evaluation 2009-2018

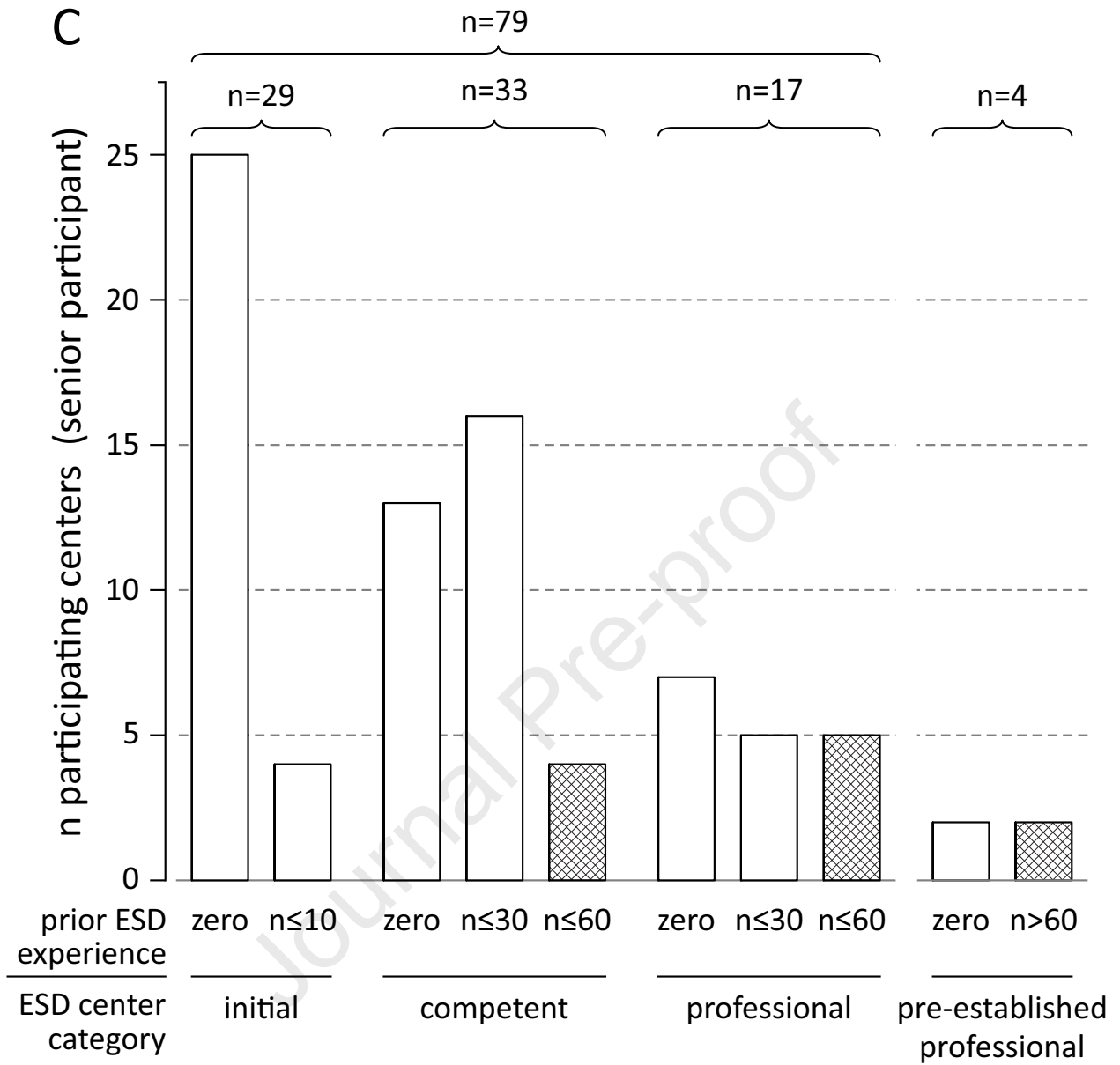
**Supporting Information 4.** Participants' follow up questionnaire

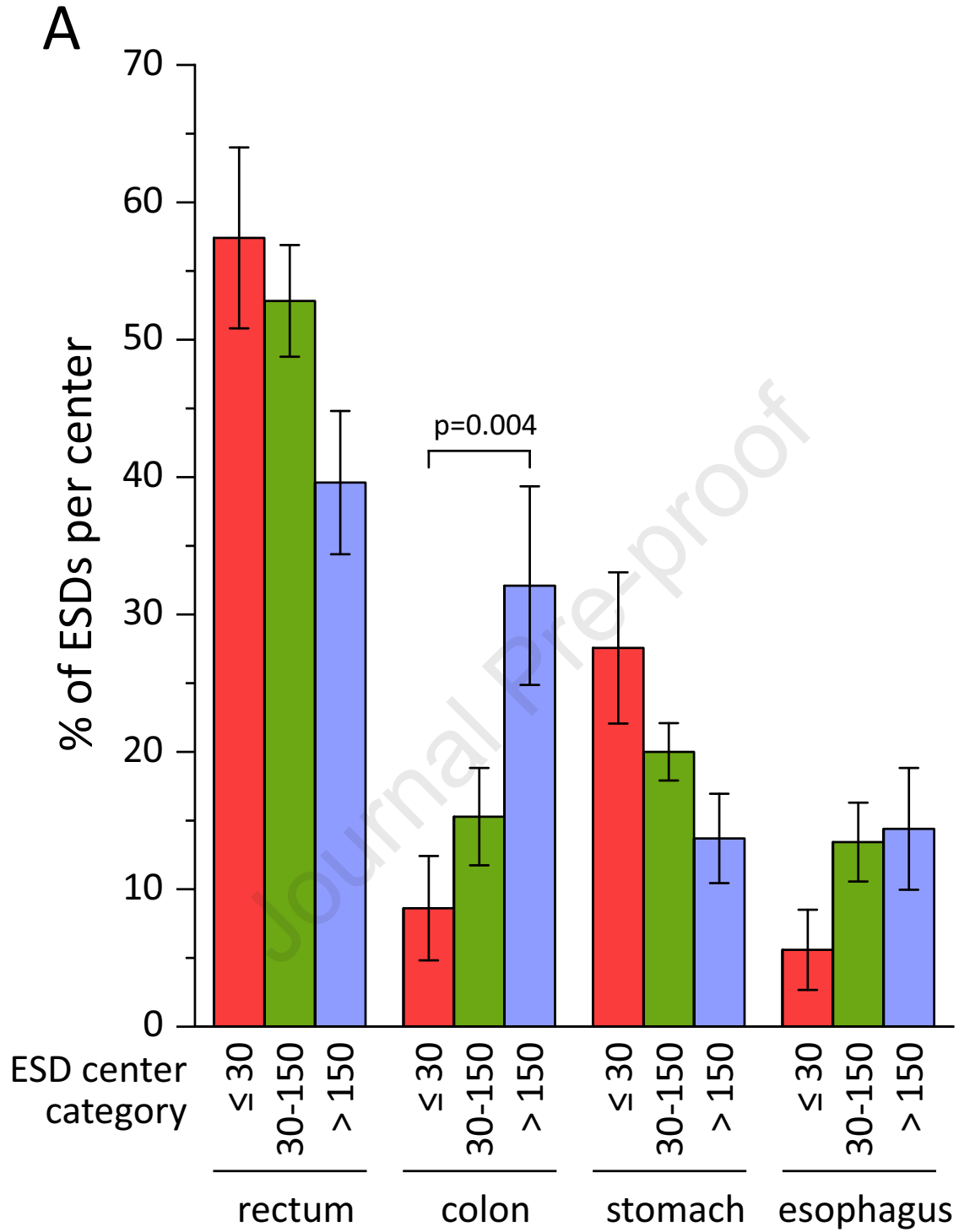
**Supporting Information 5.** Sponsors of the endoscopic and pharmaceutical industry

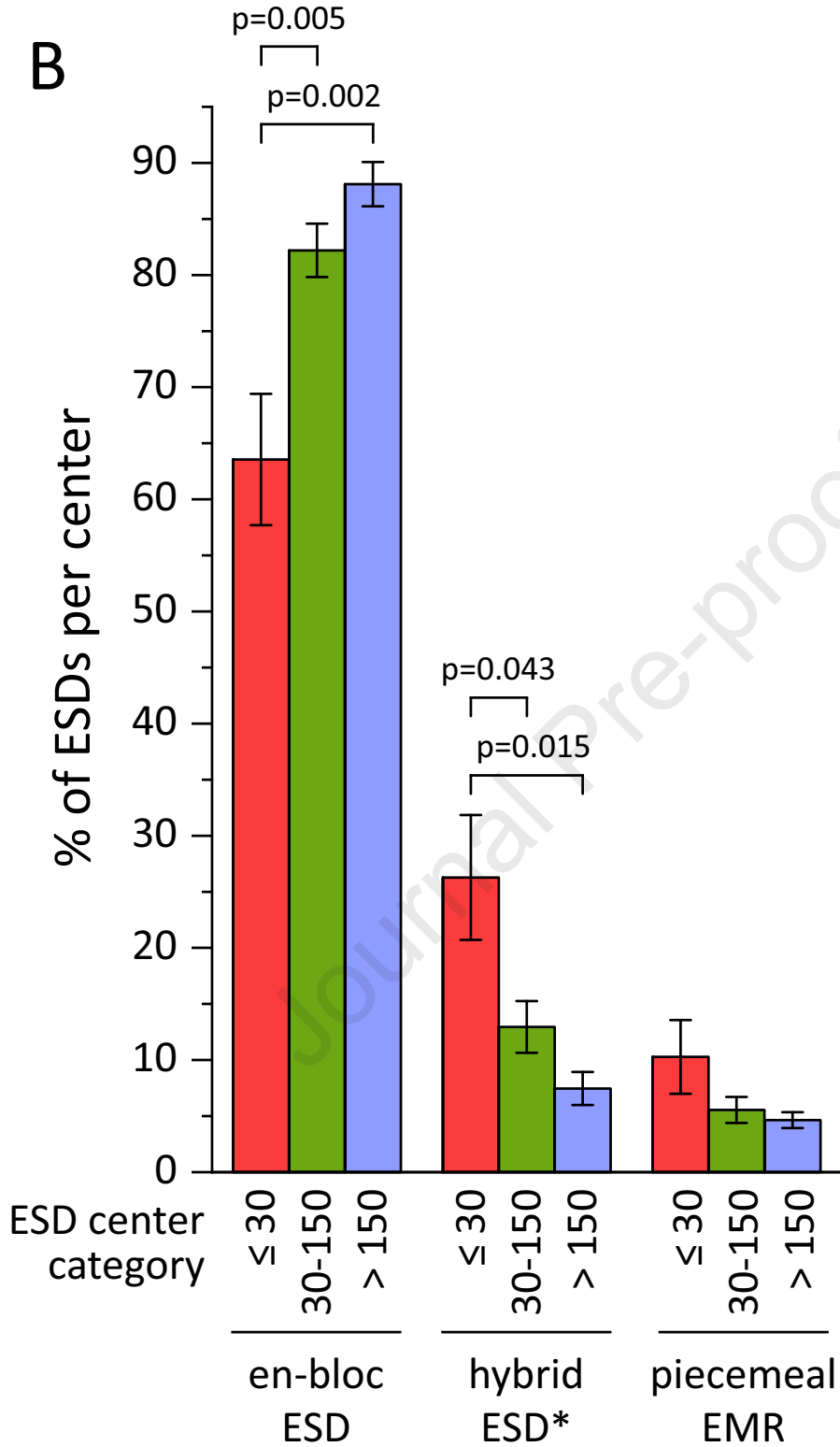


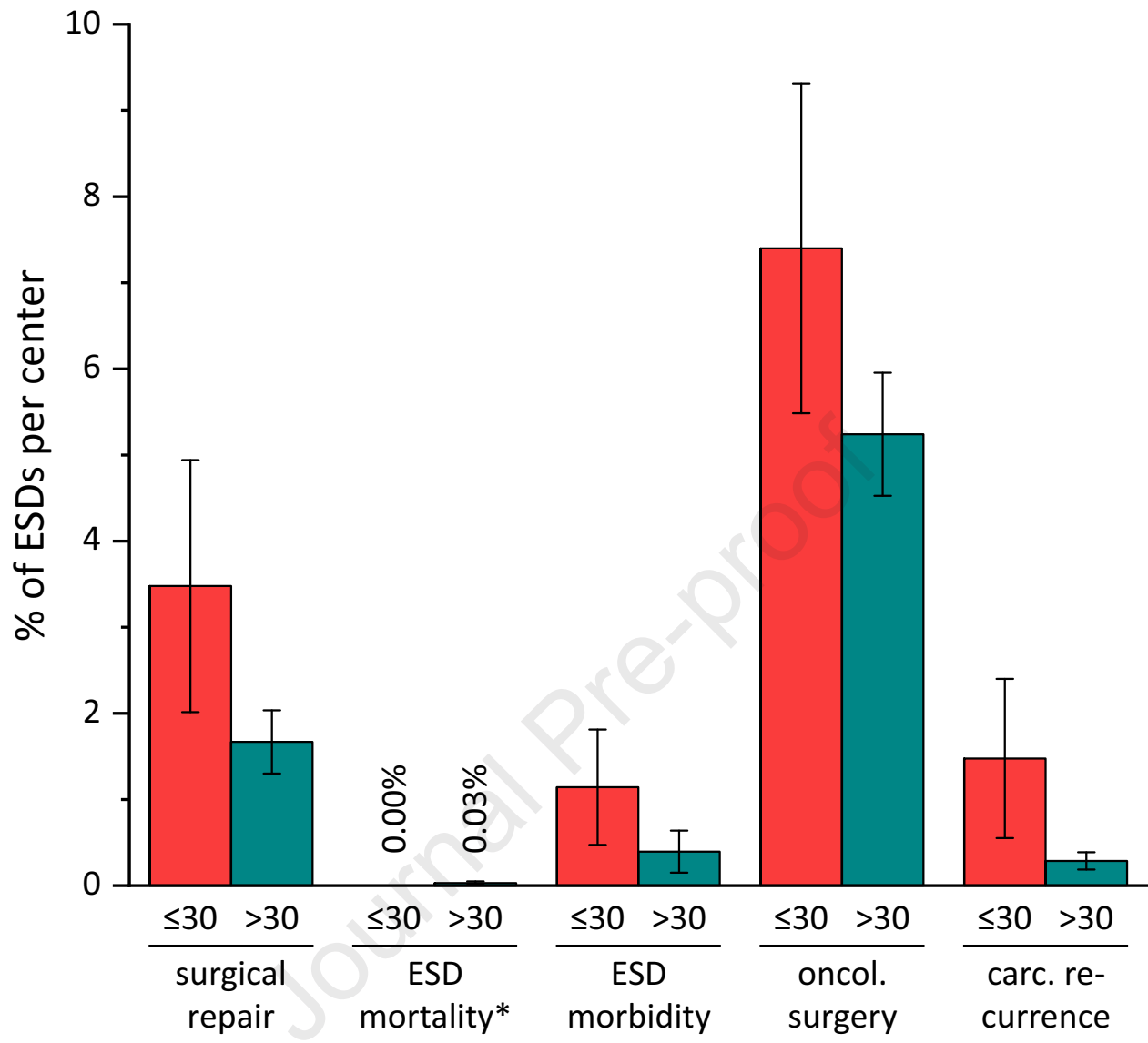












## Supporting Information 1

### COLLABORATORS - ESD WORKSHOP TRAINING GROUP (responding active centers)

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## Supporting Information 2. Additional details of the annual ESD course program.

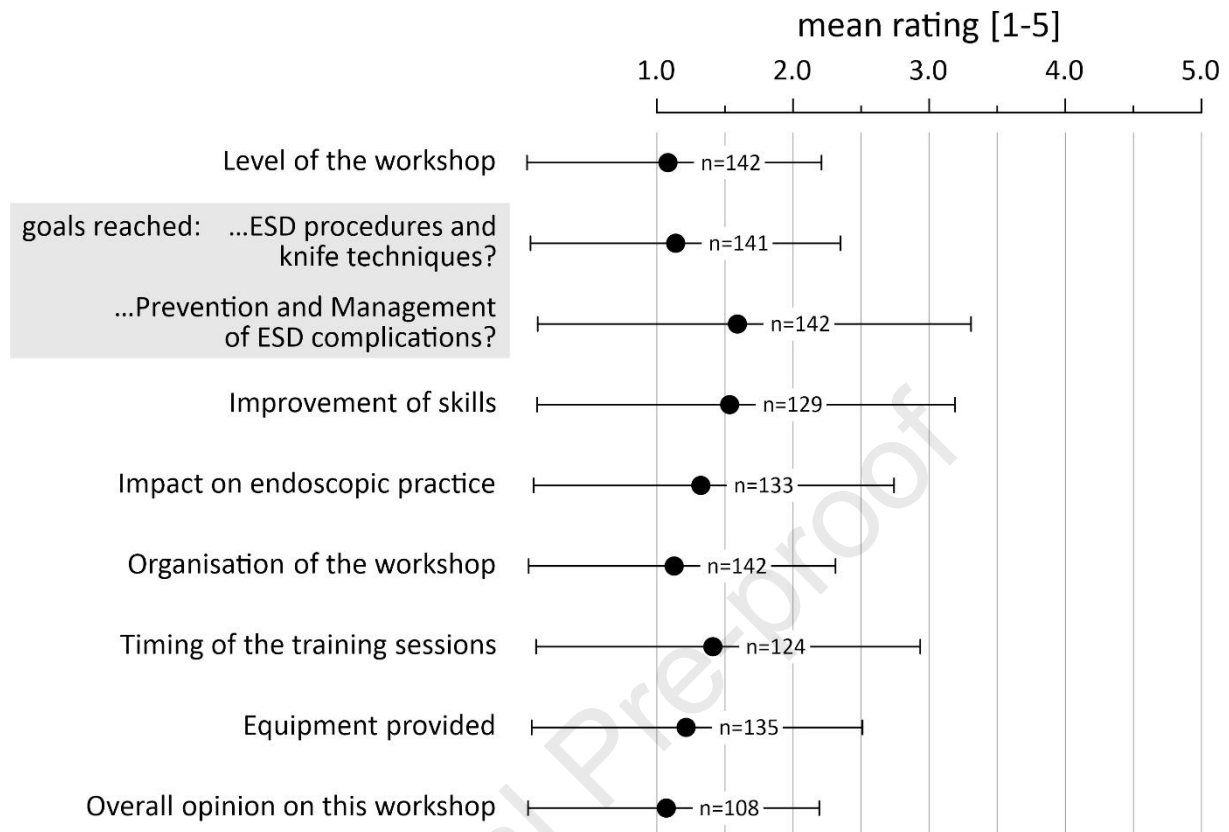
Each annual ESD Workshop has been a priori approved as scientific project by the governmental Ethics Committee and Animal Ethics Committee (Bundesministerium für Wissenschaft, Forschung und Wirtschaft, Vienna, Austria; BMWF 66.012/0006-II/10b/2009 through 66.019/0029-WF/V/3b/2018), and since 2017 as third party organized procedure training (TPPT) by the Conference Vetting System of Ethical MedTech Europe ([www.ethicalmedtech.eu](http://www.ethicalmedtech.eu)). The ESD Workshops and Updates were operatively organized by the authors located in Salzburg on a not-for-profit basis. Funds for total costs (including accommodation) had been raised from participant fees (40-63%), donations of a charitable foundation (5-25%), and unrestricted educational grants (28-35%) by the endoscopic and pharmaceutical industry.

Description of the annual ESD Training Workshop and Update is given in Methods and for year 2009 in detail [3]. From 2010 on, the attendees were multinational, selected in accordance with ESGE (by T.P.), and ESD-AE were no longer fatal (i.e. no perforation-induced pneumothorax). Since 2010, we focused on training in colorectum, to allow for step-up approach of ESD implementation in colorectum, and improved the structured training program - with 2 parallel training stations per session - for management of bleeding (in heparinized animals), and prevention and management of (intentional) perforation with different techniques (clipping +/- loop trick; over-the scope clip; endo-suturing). The 4 hands-on sessions (days 1 and 2) were each started with 20 min Live demonstration (knife-type ESD; endo-clipping/-suturing) by a top-expert. In the meantime, stomach or large bowel down from the splenic flexure were cleaned from residual mucus or contents and areas similar to lesions marked with electrocoagulation points by the respective tutor. After a short break to allocate the training teams, the ESD hands-on training run for 4 hours with teams of 3 participants per training station: every 20 min exchange of the operator, every hour move to next working station. Supervision and individualized instruction on the ESD technique was given by the top experts from Japan and experts from Europe and the USA at the parallel stations. ESD specimens were taken to the pathologist to document with image, sizes, ESD type and duration, and AE. In the afternoon session, the teams passed through the corresponding row of training stations. Only very common knife types with cutting direction from near side to far side - hook-(J-)®, dual-(J-)®, hybrid-®, and flush-knife BT® - had been chosen, because learners had been warned of perforation when using knives with cutting direction from far side to near side [8]. In stomach, distal colorectum and – for skilled teams – distal esophagus, different techniques were practiced (as explained in the syllabus): en-bloc ESD using initial circumferential incision (icci), partial circumferential incision (pci), tunneling- and pocket-creating method (pcm), and hybrid ESD (H-ESD with final snaring in 1-3 pieces) [59].

Audiovisual recordings of lectures, quizzes and Live demonstrations of the Update (on day 3) were year-on available on website [www.gastroenterologie-salzburg.at](http://www.gastroenterologie-salzburg.at), resp. since 2019 for self-learning selected under [www.early-cancer.eu](http://www.early-cancer.eu). Few of the lectures and the syllabus (last updated in 2020) are available only with password on request from the corresponding author. All updates in course program and supporting material were revised according to JGES recommendations by O.T. and N.Y..



### Supporting Information 3. Participants' ESD workshop evaluation 2009-2018



**Figure S1. Results of ESD workshop evaluation 2009-2018.** Numeric data from a structured questionnaire (see supplementary information 2) which was handed out to all participants in the ESD workshops between 2008 and 2019 are summarized as mean values  $\pm$  95% confidence interval. n indicate the number of responses received for each item.

**Supporting Information 4. Participants' follow up questionnaire**

Basic questions of the Survey Questionnaire "Implementation of ESD technique by participants of ESD Workshops (2009 – 2018)"

**"Feedback 2018 (resp 2019) after previous ESD WORKSHOP"**

**Participation** in ESD Workshop *in the year(s)* .....

Affiliation: .....

**Center structure** **YES NO**

- Endoscopic resection ... ..
- Laparoscopic visceral surgery ... ..
- GI pathologist/specimen analysis ... ..
- in serial sections (2 mm) ... ..
- depth of sm invasion ( $\mu\text{m}$ ) .....
- ESD indications acc. to JGES\* ... ..

**ESD performance**

**Total number** of ESD n = ... since (month/year): .... / .....

ESD in year 2017: n = .... (resp. in year 2018)

**Fraction (%) of all ESD:**

- Rectal ESD ... %, *malignant lesions\*\**: ...% of *colorectal* ESD
- Colonic ESD ... %,
- Gastric ESD ... %
- Esophageal ESD ... %

**Fraction (%) of ESD (-intention-to-treat)**

- ESD en-bloc ... %
  - Hybrid-ESD\*\*\* ... % \*\*\*(final snaring, 1 – 3 particles)
  - Conversion to piecemeal-EMR ... %
- ESD with diagnostic intention ...%

**Severe complications of all ESD (n):**

- Surgery for ESD complication n = ...
- 30-day mortality n = ...
- Long-term morbidity from ESD n = ... (impairment: .....

**Follow-up programme after ESD**

- Prospective data file (in your center) YES... NO ...
- Follow-up recommended (to referring physician) YES... NO ...
- Surgery recommended for cure (for non-curative ESD) n = ...
- Local / Nodal recurrence of Cancer after ESD n = ...
- Progressive Disease after ESD of cancerous lesion n = ...

\* JGES, Japan Gastrointestinal Endosc. Soc., compare attached Tab 1 [2]

\*\* cancer & HGIEN; \*\*\* defined as final snaring in 1-3 pieces [59]

## Supporting Information 5. Sponsors of the endoscopic and pharmaceutical industry

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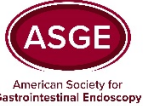
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## Abbreviations

(s)AE	(severe) adverse events
ESD	endoscopic submucosal dissection
ESD-ITT	ESD intention-to-treat
ESGE	European Society of Gastrointestinal Endoscopy
GI	gastrointestinal
H-ESD	hybrid ESD
HGIEN	high-grade intraepithelial neoplasia
IEE	image-enhanced endoscopy
JGES	Japan Gastroenterological Endoscopy Society
LC	learning curve
(PM-)EMR	(piecemeal) endoscopic mucosal resection



## Journal CME Conflict of Interest: Disclosure and Attestation

**Lead Author:** Frieder BERR (corresponding author)

**Article:** Implementation of Endoscopic Submucosal Dissection in Europe: Survey after ten ESD Expert Training Workshops 2009 – 2018

**Date:** 2023-05-31

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