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1 **Richter Transformation of Chronic Lymphocytic Leukaemia: A British Society**
2 **for Haematology Good Practice Paper**

3
4 Writing group: on behalf of the Haemato-Oncology Task Force of the British Society
5 for Haematology

6
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- 9
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33 **Methodology**

34 This Good Practice Paper was compiled according to the BSH process at <https://b-s->
35 [h.org.uk/media/16732/bsh-guidance-development-process-dec-5-18.pdf](https://b-s-h.org.uk/media/16732/bsh-guidance-development-process-dec-5-18.pdf) and
36 represents best practice in both teaching and district hospitals in the UK. The
37 Grading of Recommendations Assessment, Development and Evaluation (GRADE)
38 nomenclature was used to evaluate levels of evidence and to assess the strength of
39 recommendations. The GRADE criteria can be found at
40 <http://www.gradeworkinggroup.org>.

41

42 ***Literature review details***

43 Recommendations included a systematic review of published English language
44 literature from publication of previous British Society for Haematology (BSH)
45 Management of Chronic Lymphocytic Leukaemia Guidelines 2012) up to 03/2021. In
46 addition, there are some additional pertinent references and a consensus of expert
47 opinion where no published data are available. PubMed, MEDLINE, EMBASE,
48 Cochrane databases and Web of Science were searched using the preliminary
49 search terms; chronic lymphocytic leukaemia OR CLL AND Richter's transformation
50 OR Richter's syndrome OR transformed/developed/progressed to aggressive
51 lymphoma/high-grade lymphoma/DLBCL/Hodgkin lymphoma. Systematic reviews,
52 including guidelines from other countries, prospective clinical trials, observational
53 studies i.e., cohort or case-control studies, expert reviews and opinions and case
54 series were considered and reviewed as appropriate.

55

56 ***Review of the manuscript***

57 Review of the manuscript was performed by the BSH Guidelines Committee,
58 Haemato-oncology Task Force, Haemato-oncology sounding board of BSH.

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67 **WORD COUNT 2478**

68

69 **Introduction and Epidemiology**

70 Richter transformation (RT) is the development of an aggressive lymphoma arising on
71 the background of chronic lymphocytic leukaemia (CLL) (1). RT is uncommon,
72 challenging to treat, very distinct from *de novo* DLBCL and requires specific guidance.
73 RT occurs in 2-10% of CLL patients, usually during the disease course rather than at
74 presentation, representing a transformation rate of 0.5–1% per CLL patient per year
75 (2–5). RT should be suspected when a CLL patient with develops ≥ 1 new “B
76 symptoms”, asymmetric, rapidly progressive lymphadenopathy, or a sudden lactate
77 dehydrogenase (LDH) rise. RT presents as diffuse large B-cell lymphoma (DLBCL-
78 RT) in ~90%, but can present as Hodgkin lymphoma (HL-RT; ~10%) or rarely as
79 histiocytic/dendritic cell sarcoma or other forms of lymphoma (<1%)(6). An important
80 consideration is whether RT is clonally derived-from or unrelated-to the original CLL,
81 as these two states have distinct clinical and pathological characteristics. Strictly
82 speaking, RT refers to clonally-related cases with Richter syndrome encompassing
83 all, but since the clonal origin is often unknown, the term RT includes all cases.
84 Clonally-related RT has an aggressive course, higher rates of treatment resistance
85 and *TP53* aberrations compared with clonally unrelated disease, where outcomes are
86 more akin to *de novo* DLBCL. A clonal relationship is more common in DLBCL-RT (70-
87 80% of cases), compared with HL-RT where it is seen in 30-40% (5). Genetic clonality
88 studies (i.e. sequencing analysis of immunoglobulin heavy chain variable region
89 (IgVH) genes) are not currently routinely performed in practice at most institutions.

90

91 **Diagnostics**

92 Histopathological assessment remains the gold standard to confirm the diagnosis of
93 RT. DLBCL-RT is characterised by the presence of large neoplastic B cells with
94 either centroblastic (60-80% of cases) or immunoblastic (20-40% of cases)
95 morphology. Currently, DLBCL-RT is distinguished from “accelerated” CLL with
96 expanded confluent proliferation centres as the management of the entities is
97 distinct(7). Adherence to two key World Health Organisation diagnostic criteria is
98 critical: (i) DLBCL-RT is typified by the presence of sheets of large B-lymphoid cells
99 with a nuclear size equal to or exceeding that of normal macrophage nuclei or more
100 than twice the size of a normal lymphocyte; and (ii) these cells must show a diffuse

101 growth pattern, and not be present in small foci throughout the neoplasm (1). These
102 criteria can be subjective, and review of adequate biopsy specimen by at least two
103 independent pathologists is desirable (7). However, these are subjective criteria, and
104 there is increasing evidence from genetic studies that poor risk CLL, accelerated
105 CLL and RS are part of a continuum that is driven by underlying genomic instability.

106

107 Most DLBCL-RT (80%) are classified as activated B-cell type with 20% germinal
108 centre B-cell-like. Many studies have conclusively demonstrated that RT is
109 genetically distinct from *de novo* DLBCL. *TP53* aberrations are seen in ~60%, with
110 alterations in *MYC* (40%), *CDKN2A* (30%) and *NOTCH1* (30%) also common.
111 Mutations of ≥ 1 of these genes are present in 90% (8–10). *NOTCH1* mutations are
112 associated with “subset 8” of the B-cell receptor (BCR) in CLL patients, which
113 exhibits autonomous BCR signalling and responsiveness to multiple auto-antigens
114 and other micro-environmental immune stimuli, and higher rates of RT(11). A recent
115 study of paired samples from peripheral blood CLL and tissue RT phases that
116 combined whole genome sequencing and RNAseq identified defects in the DNA
117 damage response (DDR) as the most discriminative feature in RT. Furthermore,
118 pathway-based clonal deconvolution analysis showed that genes in the MAPK and
119 DDR pathways also demonstrated highest clonal expansion probability. Together,
120 these data point towards disruption of signalling and DDR as dominant drivers of
121 transformation (10).

122

123 In contrast, HL-RT is characterised by the presence of CD30+/CD15+/CD20-
124 classical Reed-Sternberg cells on a background of small T-cells, histiocytes,
125 eosinophils and plasma cells (12). Most HL-RT are clonally-unrelated and Epstein-
126 Barr virus (EBV)-positive, representing *de novo*, EBV-driven lymphoma. Little data
127 exist regarding the genetic hallmarks of HL-RT. Susceptibility to infections is well
128 recognised in CLL patients and can occur in early-stage disease. Therefore, it is
129 important to consider infections that may mimic RT presentation, especially EBV or
130 cytomegalovirus, in the differential diagnosis.

131

132 **Role of Positron emission tomography**

133 Using an SUVmax cut-off >5, positron emission tomography (PET) detected RT with
134 a high sensitivity (91%) but low specificity (50%) in a retrospective study of 37

135 patients previously treated with chemotherapy +/- immunotherapy (13). This study
136 demonstrated a high negative predictive value (NPV) (97%) for RT using this cut-off.
137 The same SUVmax cut-off was applied to 332 patients, of whom 95 had
138 histologically-proven RT(14). Sensitivity and NPV for RT detection were 88% and
139 92% respectively. However, positive predictive value (PPV) (47%) and specificity
140 (38%) remained low: of the 332 patients, 117 were diagnosed with histologically
141 aggressive CLL without RT and 72% of these cases had SUVmax >5. Using an
142 SUVmax cut-off of >10 improved specificity (95%) with high sensitivity maintained
143 (91%) in a study of 240 patients(15).

144

145 The sensitivity and specificity of a SUVmax cut-off >10 may be diminished with
146 targeted inhibitors. In a *post hoc* analysis of a phase II study of venetoclax in BCR
147 inhibitor-exposed patients, the sensitivity of SUVmax cut-off >10 for detecting RT
148 was 71%, with a specificity of only 50%. Fourteen of 19 patients with SUVmax >10
149 had CLL with no RT(16). Furthermore, in a Mayo study of BCRi-exposed patients, an
150 SUVmax >5 again demonstrated high sensitivity of 96% but low specificity(17). PPV
151 of an SUVmax >5 or >10 remained low at 51% and 67% respectively.

152

153 Taken together, histological confirmation remains essential to establish RT. PET
154 may help target the biopsy site to the area with highest ¹⁸F-fludeoxyglucose (¹⁸F-
155 FDG) uptake and is valuable in excluding RT without biopsy when SUVmax is <5.

156

157 **Prognostication**

158 Two prognostic score systems predict overall survival (OS). First, a clinical RT score
159 was derived from a multivariate analysis of 130 patients who received chemotherapy
160 or chemoimmunotherapy. Five factors independently correlated with shorter survival:
161 performance status >1, LDH >1.5 x upper limit of normal, platelets <100 × 10⁹/l,
162 tumour bulk >5cm, and >1 prior therapy (18). When stratified into four groups
163 according to these factors, median OS ranged from 0.33-1.12 years. The score has
164 been validated in other series (2,19).

165

166 The second prognostic system (5) used Eastern Cooperative Oncology Group
167 performance status, achievement of complete remission (CR) with induction therapy
168 and *TP53* status. Median OS was 8 and 25 months respectively for high and

169 intermediate risk patients respectively but the 5-year OS was 70% for low-risk
170 patients. This study established that clonally-unrelated RT is clinically and
171 biologically distinct from clonally-related RT and is characterised by a survival akin to
172 *de novo* DLBCL (median OS 62.5 vs 14.2 months; $p=0.017$).

173

174 For those with proven RT, ^{18}F -FDG uptake by PET scan may add prognostic
175 information. An SUVmax >10 was significantly associated with worse OS in a
176 retrospective study (6 versus 21 months for SUVmax <10 , $p=0.015$), and patients
177 with advanced stage had poorer OS than limited stage (5.1 versus 13.8 months,
178 $p=0.04$) (20).

179

180 The prognostic significance of number of prior treatment lines has been
181 demonstrated in the targeted inhibitor era. Median OS was improved in patients with
182 no prior treatment compared to those previously treated for CLL (46.3 months versus
183 7.8 months)(17). Similar findings were observed in a recent Spanish cohort (21) and
184 in the CHOP-OR trial (22). Clonal relatedness of the underlying CLL and DLBCL-RT
185 is a strong prognostic differentiator (5).

186

187 **Recommendations**

188

- 189 **1. All patients with a clinical suspicion of transformed CLL and an SUVmax**
190 **>5 should undergo PET-targeted biopsy of the most safely accessible**
191 **^{18}F -FDG avid site (1B)**
- 192 **2. A surgical excisional or incisional biopsy is strongly recommended to**
193 **establish the diagnosis (1B). Where this is not possible, a core needle**
194 **biopsy is an alternative (2B)**
- 195 **3. Patients should have viral serology for human immunodeficiency virus,**
196 **hepatitis B and hepatitis C, EBV and CMV (1C)**
- 197 **4. Consider a bone marrow aspiration and biopsy in RT cases to assess**
198 **CLL/RT infiltration with unexplained pancytopenia. (2C)**
- 199 **5. *TP53* mutation and 17p deletion analysis should be performed (1B)**
- 200 **6. If available and analysis is possible, include IgHV rearrangement**
201 **analysis (genetic sequencing) of CLL and RT tissue to establish**
202 **relatedness of the clone (2B)**

203 **7. Ensure specialist haemato-pathology review, clinico-pathological**
204 **correlation and multi-disciplinary review when considering RT diagnosis**
205 **(1B)**
206

207 **Treatment approach**

208 Patients with RT commonly present in the context of pre-treated CLL and
209 immunosuppression, and given the typical demographics of the CLL population,
210 patients are often older with coexisting comorbidities (23). Treatment have
211 historically involved multi-agent cytotoxic chemotherapy, more recently in
212 combination with an anti-CD20 monoclonal antibody. Although intensive regimens
213 including hyper-fractionated alkylator-based therapy(24,25), platinum and purine
214 analogue-based therapy(26–28) have been studied in small phase II trials, toxicity
215 and low efficacy have limited their broad applicability. CHOP (cyclophosphamide,
216 doxorubicin, vincristine and prednisolone) alongside an anti-CD20 antibody form the
217 largest and most contemporary data from prospective phase II trials (29,30).
218 Outcomes generally remain disappointing with overall response rates (ORR)
219 between 40-60% and a median progression-free survival (mPFS) between 6-10
220 months. Given the known activity in other aggressive non-Hodgkin lymphoma, dose-
221 adjusted EPOCH-R (etoposide, prednisolone, vincristine, cyclophosphamide,
222 doxorubicin, rituximab) has also been investigated in a 46 patient single centre
223 retrospective series(31), however the mPFS was only 3.5 months and toxicity was
224 high (30% died without progression or response).

225
226 Median OS for RT cohorts studied is ~8-12 months, although potentially lower still in
227 those patients progressing with RT following targeted inhibitor treatment for CLL
228 (32,33). Given these limited survival outcomes, younger and fitter patients should be
229 considered for consolidation strategies such as autologous (autoSCT) or allogeneic
230 stem-cell transplantation (alloSCT). European Society for Blood and Marrow
231 Transplantation (EBMT) data (34) (n=59) suggest that the selected patient
232 population who received an alloSCT (n=25, 72% reduced-intensity conditioning
233 (RIC)) or autoSCT (n=34, mostly chemo-sensitive disease) had an improved long-
234 term survival, with outcomes better in patients with chemo-sensitive disease.
235 Relapses were more common following autoSCT (3-year cumulative incidence of
236 relapse 43%) whilst non-relapse mortality (3-year 26%) and chronic graft-versus-host

237 disease were more prevalent post-alloSCT. Long-term OS was broadly equivalent
238 with either approach (3-year OS 36-59%). Whilst numbers in this historical series
239 were low, SCT consolidation remains a reasonable approach in otherwise fit patients
240 with chemo-sensitive disease.

241

242 There is no strong evidence to guide the management of patients with disease sites
243 associated with high risk of CNS disease or those in whom anthracycline-based
244 therapy is unsuitable.

245

246 R-CHOP (rituximab-CHOP) is curative in a minority of RT patients receiving the
247 regimen for front-line RT treatment. Previously CLL-treatment naïve, *TP53*-intact
248 patients who achieve a complete metabolic response following R-CHOP may have a
249 similar long-term PFS to *de novo* DLBCL (5,17,21). As such, it may be reasonable to
250 observe these patients without consolidation therapy. Patients with *TP53* aberrations
251 or those who develop RT having previously received CLL-directed treatment have a
252 poor outcome with R-CHOP alone, although this remains the standard of care and
253 provides at least initial disease control for most patients. There are currently no novel
254 targeted therapies specifically licensed for RT.

255

256 Optimum treatment for HL-RT is less clear with no prospective trial evidence
257 available. Multi-agent chemotherapy is often used, with documented outcomes with
258 ABVD (adriamycin, bleomycin, vinblastine and dacarbazine), CHOP (+/-R) and
259 hybrid regimens from small series (12,35,36). Outcomes for 94 patients in a recent
260 multicentre, retrospective series found a 2-year OS of 72% (37). Sixty-two patients
261 who received ABVD had a median OS of 13.2 years. This series did not support the
262 use of consolidation SCT in HL-RT, with survival outcomes equivalent.

263

264 **Recommendations**

- 265 - **Due to the poor outcome of most RT patients with standard therapy, all**
- 266 **patients should be offered clinical trials when available (2B)**
- 267 - **Offer R-CHOP in patients considered appropriate for anthracycline-based**
- 268 **treatment (1B)**

- 269 - **Consider consolidation in first remission with either autologous or**
270 **allogeneic stem-cell transplantation in fit patients typically <70 years old**
271 **(2B)**
- 272 - **Consider observation following R-CHOP for *TP53*-intact, previously**
273 **treatment-naïve patients across all ages obtaining a complete metabolic**
274 **remission (2B)**
- 275 - **Consider ABVD in anthracycline-fit patients developing HL-RT (2B)**
- 276 - **Autologous or allogeneic stem-cell transplantation in first remission is not**
277 **typically considered in HL-RT (2B)**

278

279 **Relapsed, Refractory (R/R) RT**

280 Although the management of R/R RT patients may differ depending on previous
281 therapy, co-morbidities and fitness, the outcome is generally poor for all patients.
282 Patients who relapse following cellular therapy or who are not fit for this modality
283 should be offered clinical trials or palliative care. Others should be considered for
284 second line intensive chemotherapy followed by alloSCT although it is recognised
285 the response rates to second line chemotherapy remain limited.

286

287 **Investigational approaches**

288 In light of the poor outcomes described, ongoing clinical and translational research
289 remain critical for progress in RT management. Recent retrospective and phase I/II
290 studies suggest that inhibitors targeting Bruton tyrosine kinase (BTK) (ibrutinib,
291 acalabrutinib, pirtobrutinib)(38–42), B-cell lymphoma-2 (BCL2) (venetoclax)(43) and
292 the Programmed death-1-Programmed death-ligand-1 (PD1-PDL1) axis - which is
293 upregulated in RT - (nivolumab, pembrolizumab)(44,45) result in an ORR between
294 ~20-50% as monotherapy or in combination. All series are small, heterogenous,
295 subject to selection bias and challenging to cross-compare. Unfortunately, the most
296 responses seen in these trials are not durable. Prospective trials with combination
297 strategies using novel-novel combinations (e.g. BTK/mTOR dual inhibition plus
298 immunomodulation(46)) and targeted inhibitors combined with anthracycline-based
299 immunochemotherapy are ongoing(47,48). Which strategy will provide the optimum
300 benefit for patients remains unclear. Future selection of novel agents to be tested
301 could be based on targeting the molecular events driving transformation, in particular
302 impaired DDR.

303

304 **CAR T-cell Therapy**

305 Chimeric antigen receptor-modified T-cell (CAR-T) therapy directed against CD19-
306 positive B-cell malignancies have shown promising results in patients with relapsed
307 or refractory (R/R) DLBCL, leading to international approval of three anti-CD19 CAR-
308 T products (49–51). Owing in part to concerns related to CLL-induced immune T-cell
309 exhaustion, patients with RT were excluded from the pivotal trials of axicabtagene
310 ciloleucel (Axi-cel) and tisagenlecleucel (Tisagen). As a result, there remains an
311 open question about the benefit RT patients may gain from this approach. Recent,
312 small and heterogeneous (each <10 patients) series(52,53) suggest encouraging
313 efficacy, although toxicities observed in larger R/R DLBCL data sets, including
314 immune effector cell–associated neurotoxicity syndrome and cytokine release
315 syndrome, were seen. Detailed response analysis of the CLL versus RT disease
316 components are currently lacking in available data and are necessary in future CAR-
317 T efficacy evaluation. At the time of writing, CAR T-cells are funded through the
318 Cancer Drugs Fund for DLBCL patients who have failed ≥ 2 treatment lines. Patients
319 with a background of CLL (i.e. regarded as having RT) are considered on a case-by-
320 case basis via the UK national panel and must fulfil all other eligibility criteria.
321 Specifically, the ≥ 2 lines of prior treatment must be regarded as standard DLBCL
322 regimens e.g., R-CHOP, R-GemOx.

323

324 **Recommendations**

- 325 - **Consider early introduction of palliative care support in heavily pre-treated**
326 **patients with CLL and co-morbidities who develop DLBCL-RT on a targeted**
327 **inhibitor (2B)**
- 328 - **Consider clinical trial enrolment in patients with relapsed RT (2B).**
- 329 - **Consider CAR-T in RT patients who have received ≥ 2 prior DLBCL**
330 **standard-of-care treatments including R-CHOP (2C)**

331

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339

340 **Declaration of Interests**

341 The BSH paid the expenses incurred during the writing of this Good Practice Paper.
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357 declare.

358

359 **Review Process**

360 Members of the writing group will inform the writing group Chair if any new evidence
361 becomes available that would alter the strength of the recommendations made in this
362 document or render it obsolete. The document will be reviewed regularly by the
363 relevant Task Force and the literature search will be re-run every three years to
364 search systematically for any new evidence that may have been missed. The
365 document will be archived and removed from the BSH current guidelines website if it
366 becomes obsolete. If new recommendations are made an addendum will be
367 published on the BSH guidelines website (<https://b-s-h.org.uk/guidelines/>).

368

369 **Disclaimer**

370 While the advice and information in this Good Practice Paper is believed to be true
371 and accurate at the time of going to press, neither the authors, the BSH nor the
372 publishers accept any legal responsibility for the content of this Good Practice Paper.

373

374 **Author Contribution**

375 All authors reviewed the literature and contributed to the drafting and editing of this
376 manuscript. TAE co-ordinated, wrote and edited the Good Practice Paper and was
377 responsible for the final submission.

378

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