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The while world of coacervates. from the sea to neurouegeneration
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19 Abstract

20 The formation of immiscible liquid phases or coacervates is a phenomenon widely 21 observed in biology. Marine organisms, for instance, use liquid-liquid phase separation 22 (LLPS) as the precursor phase to form various fibrillar or crustaceous materials that are 23 essential for surface adhesion. More recently, it has been realized the importance of LLPS 24 in compartmentalizing living cells and obtaining ordered but dynamic partitions which can 25 be reversed according to necessity. Here, we compare the properties, features, and 26 peculiarities of intracellular and extracellular coacervates, drawing parallels and learning 27 from the differences. A more general view of the phenomenon may in the future inform 28 new studies to allow a better comprehension of its laws.

29 The role of coacervates in biology

30 Coacervates are small liquid droplets of two immiscible liquid phases, often caused by the 31 encounter of macromolecules with opposite charges or, sometimes, from the association of 32 hydrophobic proteins. Conventionally, the process of coacervation is divided into two 33 groups: simple and complex coacervates. Simple coacervation occurs when only one 34 macromolecule is involved [1]. Complex coacervation is instead mainly induced by the 35 interaction of polyelectrolytes with opposite charges either in solution or forming a 36 colloidal phase [2]. This phenomenon leads to a polyelectrolyte dense phase (the proper 37 coacervate) and to a coexisting dilute phase. The phenomenon was first observed by 38 Tiebackx who, when studying mixtures of gum Arabic (or acacia gum) and gelatin, found 39 insoluble gelatin-gum Arabic complexes [3].

40 Coacervates occupy an important position in modern science and are found in 41 several important biological processes, including surface adhesion, cellular 42 compartmentalization, self-assembly, vesicle formation, and cell replication [4–6]. They 43 also play an important role in fields as diverse as food industry, cellular biology, 44 biophysics, and biomaterials [7]. This diversity is reflected by their extremely different 45 compositions and topologies. It has been known for a long time that marine species, such 46 as mussels and **polychaetes** [8] (see glossary), are amongst the organisms that heavily rely 47 on extracellular coacervation for their primary functions. More recently, coacervates have 48 also been associated with intracellular **protein aggregation** and nuclear-pore trafficking, 49 as well as in **neurodegenerative diseases** [5,9].

50 In this review, we first introduce the role that coacervates may have played in the 51 origin of life, and then describe the forces regulating their formation. Through examples, 52 we then draw parallels between marine extracellular coacervates and those found in human 53 cells and how they relate to neurodegeneration. Throughout, we will explore the 54 commonalities and differences between phase transitions occurring in different species. 55 While not aiming at having an exhaustive coverage of the field, we would like to 56 demonstrate how much can be learned by transversally transferring concepts across 57 different disciplines. It will, for instance, be interesting to assess whether some of the 58 important factors responsible for extracellular coacervate formation (e.g. pH, confinement, 59 solvent composition, and post-translational modifications) play a similar role also in 60 intracellular coacervation, and vice versa A wider perspective can only help to clarify both61 fields.

62

63 LLPS at the origin of life

64 Coacervation originates from liquid-liquid phase separation (LLPS). The importance of 65 this phenomenon is not appreciated for the first time now in the history of Biology; one of the first hypotheses on the origin of life on Earth was formulated by A. I. Oparin who 66 67 summarized his ideas in a famous book, titled The Origin of Life [10]. The central 68 argument of this book was that life might have originated inside coacervates containing 69 myriads of different organic molecules. Oparin observed that coacervates, intended as 70 small droplets of high concentrations of organic molecules, often form autonomously even 71 in dilute solutions. He therefore suggested that oacervation could have been the mechanism 72 through which a fluid phase would separate within the "primordial soup".

73 Despite the influence that Oparin's work has had on many studies on the origin of 74 life, the importance of coacervates declined rapidly, mainly because it seemed in stark 75 contrast with the presence of well-defined membranes that separate cells from the outside 76 world, as well as those that separate the cellular interior into **organelles** (Figure 1A). It is 77 only over the last few decades that evidence accumulated observing the existence of 78 organelles not enclosed in membranes [4], so much so that membraneless organelles are 79 now considered essential components of eukaryotic cells [5,9,11]. They have been shown 80 to constitute a more dynamic way to sequester (some time temporarily and reversibly) 81 cellular components from the rest of the cell (Figure 1B). Membrane and membraneless 82 organelles can be respectively assimilated by analogy to a grape (membrane organelle) that 83 encloses its seeds and to oil droplets in an aqueous solution (membraneless organelles) 84 (Figure 1C, D).

85 These findings have thus renewed broad interest in Oparin's proposal [10] and have 86 led to new experimental efforts to address the origin of life. For instance, in 2019, Jia et al. 87 started with prebiotically available α -hydroxy acids and prepared polyester droplets that 88 would segregate proteins and **RNA** in a fashion compatible with origin of life conditions 89 [12]. More recently, it was also shown that phase separation may help transforming abiotic 90 ornithine residues into arginines, thus allowing the formation of a dsDNA-binding protein 91 [13].

92 Which are the forces driving coacervate formation?

Coacervation involves a phase transition, which can lead to LLPS, gelation, aggregation, 93 94 and/or crystallization depending on the conditions of temperature, salt concentration, pH, 95 crowding, confinement, and protein concentrations [4]. Simple and complex coacervations 96 are, in principle, quite distinct phenomena. Simple coacervates involve only one macromolecule, often a protein [1]. Accordingly, the forces promoting single coacervate 97 98 formation are essentially those dictating protein aggregation (Figure 2A): self-assembly of 99 molecules of the same protein occurs through a combination of electrostatic, hydrophobic, 100 and van der Waals forces. Also essential for simple coacervate and aggregation formation 101 is the protein concentration and the supersaturation point of the protein (in chemistry this is 102 called the solubility product constant) [14]. Under this definition, amyloid aggregates, 103 whose formation is usually driven by hydrophobic forces, can be considered as the 104 irreversible end point of simple coacervation [15]. Notable examples of simple coacervate 105 formation and protein aggregation include the formation of A β amyloid fibres (*vide infra*) 106 [16] and the excretion of extracellular coacervates from marine animals for surface 107 adhesion [17].

108 On the contrary, formation of complex coacervates appears to be predominantly 109 driven by electrostatic forces between macromolecules of different types, notably 110 polyelectrolytes of opposite charges (Figure 2B) [2]. Electrostatic neutralization indeed 111 favours LLPS and complex coacervate formation from equilibrium solutions, initially 112 forming droplets that may coalesce, leading to a denser bulk phase or larger droplets which 113 retain both water and salt, in equilibrium with a less dense supernatant depleted of 114 macromolecules [2,7,18,19]. Complex coacervation typically occurs under conditions of 115 electroneutrality, roughly when a 1:1 ratio of polycations to polyanions is achieved. When 116 the two molecule types neutralize each other, they lose their solubility and produce a 117 salting out. If the coacervate is formed solely by proteins, the driving force for 118 coacervation results from specific exposed charged patches on the protein surface. 119 Consequently, the parameters that will determine coacervation are the isoelectric points 120 (pIs) of the protein, that is the pH values at which the proteins do not have net charge, and 121 the pH of the solution. Ionic strength is another important variable: the presence of salt 122 may favour charge compensation and polyelectrolyte partitioning among the coacervate 123 compartment itself and the supernatant and lead, for some systems, to transitions from a

124 solid precipitate to a liquid coacervate [2]. However, a solution with high ionic strength 125 may, in some cases, inhibit coacervate formation by strongly shielding charges [2]. Finally, 126 there has been a renewed interest in the role of forces involving π - π interactions in 127 complex coacervation, particularly in the framework of partially disordered proteins [20]. 128 These forces are generally associated with interactions between the aromatic rings of Tyr, 129 Phe, Trp, and His, but since π orbitals are also present in peptide bonds and sidechain 130 groups of Gln, Asn, Glu, Asp, and Arg, these residues can potentially contribute to π - π 131 stacking.

Besides the individual contributions of these forces, all variables influencing coacervate formation may also influence the formation of solid precipitates. Their variations can lead to phase transitions from monodisperse species to solid precipitates, from precipitates to liquid coacervates, and back to a monodispersed solution. Indeed, recent work has confirmed the role of charge density, hydrogen bonding, and polyelectrolyte strength [21–24] in these processes, but overall demonstrated that entropy dominates coacervation while enthalpic contributions are negligible [25,26].

139 In vitro, complex coacervates have been formed using several different 140 macromolecules, such as polysaccharides [27], polyelectrolytes [28], peptides [29], and 141 nucleic acids [30]. Intrinsically disordered proteins or proteins containing disordered 142 domains are particularly prone to complex coacervation [5] (Figure 2B). In vivo, it has 143 been shown that, if the macromolecule concentration exceeds for any reason (over-144 production, reduced protein clearance, etc.) its solubility and thus the solution becomes 145 supersaturate, the coacervate can precipitate. Classic examples include the mixing of 146 histones that are rich in basic residues with negatively charged proteins or the mixing of 147 RNA with short cationic peptides or two oppositely charged proteins [31].

We could then wonder whether these forces determine a specific structure of coacervates: it is not, by definition, possible to speak of an intrinsic common structure because we are at least initially dealing with liquid phases which may proceed to completely different end-points. There have nevertheless been attempts to capture threedimensional structural elements by cryo-electron microscopy (cryo-EM) methods. For example, a distinct "sponge structure" was described in droplets by cryogenic temperature high-resolution scanning EM (cryo-HRSEM) [32]. More recently, Kizilay et al. concluded that cryo-transmission EM (TEM) images of coacervates indicate that they form subunitsorganized at large length scales within dense and dilute coacervate domains [33].

157

158 **Coacervates of marine origin: a lesson from the sea**

Why do marine organisms produce extracellular coacervates? Research has shown that these organisms often produce coacervates to solve the problem of achieving and maintaining strong adhesion on polar surfaces underwater (surface adhesion). Instead of secreting highly soluble polyelectrolytes directly into seawater where these molecules would be quickly diluted by diffusion, marine organisms secrete various types of biopolymers or aqueous mixtures of polyelectrolytes which undergo LLPS mainly to facilitate adhesion, positioning, and spreading [30].

166

167 The instructive example of coacervates from mussels and polychaetes

168 Possibly the best studied marine organisms from the point of view of extracellular 169 coacervate formation are mussels. To anchor to surfaces, mussels produce the byssus, i.e. a 170 bundle of proteic threads protruding from the base of an internal organ called the foot 171 (Figure 3A) [32]. This organ produces the byssus in its ventral groove that starts from the 172 so called distal depression (Figure 3B) and ends with an adhesive plaque, shaped like a 173 spatula, at the tip of the thread attached to the external surface. Precursor proteins and a 174 variety of chemicals are injected in the cavity generated by the distal depression (Box1 and 175 Figure 3C) from three gland reservoirs, the phenol, collagen and accessory glands [33-35]. 176 This mixture of molecules then form a coacervate (Figure 3D) and move along the ventral 177 groove up to the plaque. The coacervate evolves into a fiber and the plaque becomes an 178 integral part of the byssus thread. Although strictly speaking, coacervates originating from 179 polyelectrolites of the same charge should be classified as "simple" coacervate, in cases 180 like that of mussels, whose adhesive proteins are mainly positively charged 181 polyelectrolytes [30], the multiplicity of precursor proteins, optimization of pH and the 182 addition of several other molecules make these coacervates behave as complex ones.

In the *Mytilus* and *Perna* genera of mussels, for instance, the plaque, that is the terminal part of the byssus, contains an assembly of several collagenous materials and an ensemble of tyrosine-rich proteins, all coming from the three glands of the foot. The main precursor proteins involved are called mussel foot proteins 2 to 6 (mfp-2 to mfp-6). In 187 addition, there is an accessory protein (commonly dubbed mfp-1) [31] which enhances the mechanical properties of the byssus by means of Fe^{3+} cross-links [36]. All mfps are 188 189 eventually modified with post-translational transformation of tyrosines into 3,4-190 dihydroxyphenyl-L-alanine (L-DOPA) residues, a reaction catalysed by the tyrosine 191 hydroxylase enzyme [8,37]. Notably, L-DOPA is the precursor of the cathecolamine 192 neurotransmitters dopamine, noradrenaline and adrenaline in the nervous system. L-DOPA 193 can interact through formation of covalent interactions and coordination complexes and is 194 a key molecule for adhesion in wet environments. Oxidation of DOPA to DOPA-quinone 195 by a cathecholoxidase enzyme or non-enzymatic means guarantees the cross-linking that 196 permits strong adhesion to substrates [38]. Proteins containing L-DOPA-modified residues 197 have thus unique adhesion properties that are exploited by marine animals for their 198 purpose.

199 Sabellariidae such as the sandcastle and the honeycomb worms *Phragmatopoma* 200 californica and Sabellaria alveolata represent a marine metazoan family presenting 201 complex coacervation. In these polychaetes, coacervates are found in the tube mucous that 202 these animals utilize for building their tube, cementing it with solid particles dispersed in 203 the external environment (i.e. sand grains, bits of seashells, feces, etc.) [39]. Three 204 precursor proteins involved in the adhesion process, Pc1, Pc2, Pc3, were isolated from P. 205 *californica* [40]. Pc1-2 are characterized by repeats of positively charged motifs, mainly 206 rich in Gly, Lys and DOPA residues [41]. Pc3 is composed of 4-13 Ser residues separated 207 by single Tyr residues [42]. As in mussels, phase coacervation in P. californica is pH 208 dependent. It occurs in the cement glands at a pH comprised between 5 and that of sea 209 water which strongly depends on the CO₂ content of the atmosphere but anyway around 210 8.2 [42]. In these organisms, coacervation involves the precursor basic proteins, an acidic pSer rich protein and Ca^{2+} and Mg^{2+} ions [41]. These components promote phase 211 212 separation when mixed in an electroneutral ratio (complex coacervation). After water 213 release, the coacervates are secreted in the external environment for permitting tube 214 formation. These examples clearly show the significance of coacervation in marine animals 215 and clarify the factors that determine their formation.

216

217 Intra-cellular coacervates

218 Increasing evidence shows that LLPS underlies the formation of membraneless organelles 219 inside cells [34]. The list of cell compartments that are thought to be formed through LLPS 220 grows rapidly and covers an incredibly diverse number of cellular functions. Classic 221 examples widely studied are the germ cell P-granules of *Caenorhabditis elegans* embryos 222 [35], the polar granules of *Drosophila melanogaster* embryos [36], the stress granules that 223 appear in cultured yeast and mammalian cells under different forms of metabolic stress 224 such as nutrient deprivation [37,38], the neuronal granules [39,40], the nucleolus [41,42], 225 and the ribonucleoprotein (RNP) assemblies [43] (Figure 4A).

226 These organelles constitute a dynamic organization principle that allows cellular 227 compartmentalization and creates an infrastructure while still permitting internal 228 rearrangements and regulating entrance in liquid droplets [44]. Their confined nature also 229 favours the increase of reaction rates of various cellular processes by increasing local 230 concentrations by as much as two orders of magnitude. The consistency of these organelles 231 covers a continuum from more liquid /gel-like species to more fibrillar-like ones, 232 depending on the strength of the interactions among the constituents and depending on 233 structural, functional, or organizational needs. Paradigmatic examples of the various 234 extremes are the gel-like formation of the nuclear-pore complex that acts as a barrier to the 235 diffusion of molecules above 30-40 kDa in or out of the nucleus [45-47] and the amyloid 236 fibrils of the A β peptide and other aggregation-prone proteins observed in 237 neurodegeneration [16].

238 Intracellular coacervates or membraneless organelles have been observed in a wide 239 spectrum of cell types. The molecular composition of these granules has been extensively 240 analysed. They are typically granules which contain proteins and RNA. One of the 241 common features of the proteins involved is the presence of multivalent binding, prion-242 like, or intrinsically disordered domains which may promote protein-protein interactions in 243 various manners [48]. Several of the proteins are also aggregation prone and, when they 244 carry disease-causing mutations, they can often form amyloid fibres [49,50]. They can also 245 promote a transition from a liquid droplet to a solid phase in vitro, leading to the 246 hypothesis that a liquid-to-solid phase transition is a mechanism of cellular toxicity [51]. A 247 role of RNA binding in LLPS is also evident: in humans, there are 240 genes that encode 248 proteins with prion-like domains [52]. Of these, 72 are RNA binding proteins, among 249 which are FUS, TDP-43, TAF15, EWSR1, hnRNPA1, hnRNPA2, and TIA-1; these are all

components of ribonuclear protein (RNP) granules and heavily implicated in neurodegenerative diseases [53]. For many of the RNA binding proteins, solutions containing highly purified proteins are able to undergo LLPS *in vitro* [6,51,54,55] having this ability mediated by the intrinsically-disordered regions [56,57].

254

255 *Coacervates in neurodegeneration*

Besides being involved in non-pathologic events, intracellular coacervates are also associated with several neurodegenerative diseases even though their precise causal significance is still debated. For example, coacervation appears to be the basis of LLPS droplet formation that would occur before developing insoluble amyloid aggregates (**Box 2** and **Figure 4B**) [16]. It is thus possible that amyloid aggregates represent an extreme end stage of the process of phase separation which in some cases cannot be reversed back to its normal dynamic state.

263 In addition, the field of disease-associated intracellular LLPS originally developed 264 from studies focused on proteins involved in amyotrophic lateral sclerosis (ALS) and 265 Frontotemporal dementia (FTD) and their relationship with stress granules [58]. 266 Amongst the ALS/FTD-related proteins are FUS, TDP-43, hnRNPA1, and TIA-1 [59]. 267 These are the same RNA binding proteins found in RNP granules. Through studying these 268 condensates, appreciable new insights were gained into the molecular bases of disease. 269 Most people now consider the coacervates of these proteins as the necessary species whose 270 function is that of binding and trapping crucial RNA sequences [60,61]. It was also 271 observed that stress granule proteins form dynamic liquid droplets that mature to form 272 solid aggregates through an aberrant liquid-to-solid phase transition [6]. Mutations 273 observed in these proteins of ALS/FTD patients may accelerate this transition [52]. 274 Therefore, it was suggested that stress granules could be the sites for disease biogenesis 275 even though most of the proteins involved are highly aggregation-prone also outside these 276 condensates [37,38].

A different but particularly interesting family of proteins also involved in ALS/FTD are the arginine-rich proteins containing proline-arginine and glycine-arginine dipeptiderepeats [62]. These poly-peptides, which contain long chains of uninterrupted tandem repeats, are produced by repeat-associated non-ATG translation of the ALS/FTD-causing G4C2 repeat expansion of *C9orf72* [63,64]. Dipeptide-repeat proteins have intrinsic aggregation-prone properties and accelerate aberrant phase transitions of other RNA
binding proteins. Many more proteins have now been associated to disease-related LLPS
phenomena.

285

286 Similarities and differences between intra- and extra-cellular coacervation

287 There can be no doubt that coacervates and the process of LLPS are everywhere in Nature. 288 Consequently, their formation has widely been studied from several points of view. It is 289 however only relatively recently that we have realized the importance of this process in 290 constituting a flexible and dynamic way to form intra-cellular membraneless organelles 291 which have all the features of oil droplets into water. Here, we have compared intra-292 cellular and extra-cellular coacervates. While the physical forces and the main principles 293 remain the same in both cases, several interesting differences and commonalities can be 294 found. Coacervates from marine organisms are mostly transient states that evolve to create 295 new macroscopic structures, such as the byssus in mussels and the tube in polychaetes. The 296 process bears impressive analogies with what happens in intracellular LLPS: it starts with 297 weak and reversible interactions in a confined environment which can in principle take 298 several different routes. For example, maturation from the coacervate into amyloids is 299 thought to occur in specific and, in a certain sense, extreme cases which are those that lead 300 to amyloid formation, toxicity and neurodegeneration (Box 2 and Figures 3, 4).

301 A noticeable difference between the two processes is that most extracellular 302 coacervation in marine animals requires a single component, whereas intracellular 303 coacervation may involve both simple and complex coacervates. Another constant in 304 intracellular LLPS formation is the importance of RNA in the process. The functions of 305 several membraneless organelles are in fact strongly intertwined with RNA, such as occurs 306 for mRNA storage in stress granules, mRNA decay in P-bodies, mRNA splicing in nuclear 307 speckles, and rRNA synthesis in nucleoli [61]. A role of RNA does not however come as a 308 surprise since complex coacervation is often triggered by the co-presence of two oppositely 309 charged polymers. Indeed, RNA acts as a potent, biologically important nucleator of intra-310 cellular phase separation [40].

311 Another interesting peculiarity is the presence of L-DOPA in marine organisms. 312 This post-translational modification is likely under the control of tyrosine hydroxylase, the 313 rate-limiting enzyme that catalyzes hydroxylation of tyrosine to L-DOPA [65]. This is the 314 precursor of the dopamine, noradrenaline, and adrenaline neurotransmitters. The role of L-315 DOPA in marine organisms is thought to be that of enhancing adhesion properties, 316 although we have recently demonstrated that the Pvfp-5ß protein from mussels retains its 317 adhesion properties also in its non-modified form [66]. While no account of DOPA-318 modified intracellular proteins is currently available, it is tempting to speculate that this 319 post-translational modification could, in the future, be found to have a role also in 320 intracellular coacervates and perhaps be directly associated with pathology. This is not 321 necessarily a wild flight: as an important neurotransmitter, DOPA plays, for instance, a key 322 role in Parkinson disease in which specific reduction of dopamine, the derivative of 323 DOPA, is found in certain vulnerable cells such as Substantia Nigra (SN) [67]. Parkinson 324 disease is genetically and molecularly associated to a phase transition to amyloid species 325 mediated by alpha-synuclein [68]. It is thus tempting to speculate that L-DOPA as 326 treatment for Parkinson could affect the alpha-synuclein phase transition.

327 Finally, it is interesting to acknowledge the duality of the life/death nature of the 328 coacervates considered in this study. They can be at the same time an important feature 329 that permits underwater life in metazoans or give origin to membraneless organelles as 330 nucleoli, Cajal bodies, germ P-, and polar granules. On the other hand, intracellular 331 coacervates can have negative effects and lead to cellular degeneration as it happens in 332 stress granules observed, for instance, in ALS/FTD patients. It remains for us to understand 333 how this double perspective is regulated in Nature and the specific physical laws that lead 334 to modulate the processes involved.

335

336 Concluding remarks

337 In conclusion, it is fair to notice that, although being two aspects of the same phenomenon, 338 the worlds of intra- and extracellular LLPS constitute at the moment watertight 339 compartments with no exchange between the two fields. Marine coacervates have mainly 340 attracted large interest for their potential as new biomaterials and for wider applications in 341 biotechnology, often neglecting a more general framework of the processes involved. 342 Intracellular coacervation is instead an increasingly emerging field of primary relevance 343 for basic biology and medical implications. It will be interesting to see whether the two 344 fields can merge in the future. A more detailed comparison could allow us to gain a wider 345 picture of the physical laws that inform this fascinating and important biological

346 phenomena (see **Outstanding questions**). It will be of specific interest to scrutinize more 347 in details the role of DOPA or other similar post-translational modifications in 348 coacervation. The knowledge gained might also have unanticipated implications for 349 completely different disciplines.

350

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357

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533 Figure Legends

Figure 1. Comparison between membrane and membraneless organelles. A) A) Immunostaining of eukaryotic cells (HEK293) with stained nuclei (blue, DAPI) and mitochondria (green, frataxin antibody) (Vannocci, unpublished data). B) A coacervate of the protein FUS fused to GFP (Ruepp et al., unpublished data). C) As an analogy, membrane organelles resemble a grape which encloses its well separated seeds. D) In contrast, membraneless organelles resemble droplets of oil in a aqueous solution.

540

541 Figure 2. Simple and complex coacervate formation. A) The forces involved in 542 coacervation. Hydrophobic forces are mainly responsible for simple coacervation, whereas 543 complex coacervation is mainly driven by electrostatics. Other types of interactions may 544 contribute. B) Examples of the molecules considered more prone to give LLPS.

545

Figure 3. Schematic drawing of byssus formation in a mussel. A) Mussel with byssus fibers protruding and the foot touching the external surface, in preparation of a the formation of a new thread of byssus fibre. B) Detail of the foot-surface interaction with the formation of a distal depression. C) Glands of the foot deliver Mfp proteins to the cavity and adjust pH and redox conditions. D) A coacervate containing a mixture of electrolytes and other molecules undergoes a LLPS and eventually evolves into a solid foam and a byssus.

553

554 Figure 4. Coacervate formation and aggregation of A β peptides. A) A schematic 555 eukaryotic cell showing some of the most relevant membraneless organelles, both inside 556 and outside the nucleus. B) The pathway that leads to $A\beta$ starts with amyloid precursor 557 protein (APP internalization through a specialized clathrin- mediated endocytic pathway. 558 After internalization, APP is sorted in early endosomes where the low pH favours 559 β - secretase cleavage which produces soluble fragments The C- terminal fragment, 560 β - CTF, is then further cleaved by γ - secretase to produce A β . This peptide moves to the 561 multivesicular bodies which can fuse with the plasma membrane to release intraluminal 562 vesicles as exosomes. APP retrieval from the early endosomes occurs via other non-563 detailed pathways. A β aggregation and fibre formation is promoted by the low pH, the 564 lipid environment and confinement. B) Cross-β structure of amyloid fibers now well
565 described in Alzheimer disease (PDB code 2rnm).

566 Glossary 450 words

567 • Amyloid aggregates: insoluble protein aggregates of fibrillar morphologies that have a β -568 rich content and a so-called cross- β structure in which the β -sheet assembly runs 569 perpendicular to the fibre axis.

570

571 · Amyotrophic lateral sclerosis (ALS) / Frontotemporal dementia (FTD): two distinct
 572 progressive neurodegenerative diseases that affects nerve cells in different parts of the
 573 brain and the spinal cord. They are correlated by some of the causative proteins involved.

574

575 · Cavitation: formation of bubbles within a liquid at low-pressure regions that occur where
 576 the liquid has been accelerated to high velocities.

577

578 · DOPA: L-3,4-dihydroxyphenylalanine, an amino acid that is part of the biological
 579 processes of several animals and plants, including humans. L-DOPA is the precursor to
 580 several neurotransmitters collectively known as catecholamines.

581

582 · Liquid-liquid phase separation or LLPS: a process in which a homogenous fluid separates
 583 into two distinct liquid phases, one more concentrated and one diluted; the process is
 584 normally reversible.

585

586 Membranelless organelles: In contrast to organelles with a lipid bilayer • 587 membrane, membraneless structures are formed through a process known as liquid-liquid dynamic 588 phase Membraneless structures help temporary separation. and 589 compartmentalization of the cytoplasm, as well as the interior of the nucleus. Examples are 590 nucleoli, Cajal bodies, germ P- and polar granules.

591

Neurodegenerative diseases: a heterogeneous group of diseases that are characterized by
 progressive degeneration of the structure and function of the central nervous system or of
 the peripheral nervous system. Examples of common neurodegenerative diseases
 include Alzheimer's disease and Parkinson's disease.

596

597 · Polyelectrolytes: polymers in which the units contain an electrolytic group. Typically,
 598 polycations containing positive charges and polyanions containing negative charges are
 599 polyelectrolytes. Polyelectrolyte share properties of electrolytes and of polymers.

600

Polychaetes: a class of anellid worms also known as bristle worms. The 10,000 or so
 species described in this class live in all temperature conditions, from the coldest
 temperatures of the abysses to the very high temperatures hydrothermal vents.

604

605 • Protein aggregation: the phenomenon in which proteins or peptides self-assemble.

Organelles: cellular compartments with a specific function. Organelles are generally
 enclosed within lipid bilayers (membrane-bound organelles) but there are also functional
 units without a surrounding lipid bilayer (membraneless organelles). Examples of
 membrane-surrounded organelles are the nucleus and the mitochondria.

610

611 • RNA: Ribonucleic acid is a biological macromolecule that covers essential roles in
 612 coding, decoding, regulation and expression of genes.

613

614 • Surface adhesion: the tendency of dissimilar surfaces to stick to one another.

- 615 616
- 617

618 **Boxes 400 words**

619 Box 1 - Byssal formation in mussels

620 Byssal formation initiates with a temporary attachment of the distal portion of the mussel 621 foot to the selected surface (Figure 3A). The main consequence of the initial attachment 622 and the concomitant pressing of the foot against the ceiling of the shell is the production of 623 a negative pressure that forms a cavity (Figure 3B)[69]. The resulting confined 624 environment is a reaction chamber (Figure 3C) in which the mussel creates conditions of 625 pH and ionic strength different from those of the surrounding seawater (pH range 2-4 and 626 ionic strength of 0.150 M in the cavitation, to be compared with pH 8 and ionic strength of 627 0.7 M in seawater)[70]. This pH difference is obtained by effective proton pumping, 628 probably similar to that reported for stomach acidification by H+/K+-ATPase[71] or, more 629 notably, in lysosomes[72]. Although the actual function of an acidic pH remains unclear, 630 one of the hypotheses is that it helps controlling the coacervation process of the positively 631 charged byssal proteins. Confined cavitation also allows the control of the redox 632 environment protecting the confined environment from the highly oxidizing conditions of 633 oxygen-saturated seawater. This is essential to guarantee the redox state of DOPA to 634 DOPA-quinone which are all equally important for adhesion. The presence of DOPA 635 contributes to maintenance of reducing conditions[73]. It is unclear how long this redox 636 difference persists after foot lift-off, whereupon the plaque equilibrates with ambient 637 seawater oxygen. These conditions allow secretion of DOPA-rich adhesive proteins which 638 undergo condensation as fluid-fluid phase separations leading to coacervate formation 639 (Figure 3D)[74]. Although metastable, the coacervates form a transient liquid phase well 640 separated from the aqueous phase and allow underwater adhesion as they are denser than 641 water and can directly attach to a surface without being diluted by diffusion. For the byssus 642 to grow, the process is reiterated over and over again. Coacervates are then thought to 643 solidify by protein cross-linking and contribute to the formation of byssal plaques, but 644 alternative phase transitions such as phase inversion are also possible.

645

646 **Box 2 – The role of coacervation in Alzheimer disease**

The events that produce intra-cellular coacervates are in many ways similar to what happens in mussels. In Alzheimer disease, for instance, the molecule that aggregates and undergoes a conformational change is the beta-amyloid peptide (A β); this peptide forms the aggregates found in the cerebral plaques found in Alzheimer patients and is one of the single coacervates best studied. In aqueous solutions, the conformation of the A β peptides is random coil (**Figure 4B**). A β is produced in early endosomes by a specialized endocytic mechanism[75].

654 Three lines of evidence support the hypothesis that oligomerization of A β occurs in 655 endosomes. First, in vitro experiments have suggested a pH dependence of $A\beta$ 656 oligomerization with an optimal pH around 6.0. Indeed, the pH of the early endosomes is 657 5.5-6.0 (which matches the isoelectric point of A β peptide)[76]. These pH values are much 658 lower than those of the cytosol and achieved with mechanisms similar to those observed in 659 lysosomes and in marine coacervates. Second, aggregate formation is favoured by the 660 higher local concentration of $A\beta$ in the confined space of endosomal lumen. Finally, a further element to promote the event is the lipid environment and composition: it was 661 662 shown that raft lipids formed of neutral sphingolipids and cholesterol activate β-663 secretase[75]. The multivesicular endosomes contain the ganglioside GM1 which acts as 664 an amyloid seed for $A\beta$ fibrillation. Ganglosides are molecules composed of 665 a glycosphingolipid (the waxy lipid ceramide and an oligosaccharide) and one or more 666 sialic acid groups. These results have suggested that endosomal A β could already be a 667 pathogenic specie. pH and lipid environment could play major roles in both phase 668 transition as well as aggregation of such peptides into amyloid conformation and thus be 669 implicated in the aetiology of dementia.

670 Early studies have shown that endosome-generated AB peptides are then released 671 out from the cell via a pathway that involves association with exosomes[75]; upon fusion 672 with the plasma membrane, intraluminal vesicles of multivesicular endosomes are released 673 into the extracellular space as observed in amyloid precursor protein (APP) transgenic 674 mice that exhibit behavioural deficits also in the absence of extracellular plaques [72]. It is 675 interesting to notice how closely the process of A β aggregation parallels and resembles 676 that of byssus formation in the role that pH and confinement have in the formation of the 677 final species.

Figure 1













Figure 4

