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1 **The effect of an intervention to promote breastfeeding on asthma, lung function and atopic**
2 **eczema at age 16 years**

3
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36

37 **Running head:** Breastfeeding, asthma, eczema and lung function

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42 **Conflict of interest:** None declared

43 **Key Points**

44

45 **Question:** Does prolonged and exclusive breastfeeding reduce the risk of asthma, atopic
46 eczema and improve lung function in adolescence?

47 **Findings:** In this adolescent follow-up of a cluster-randomized trial in Belarus, which assessed
48 the effect of a breastfeeding promotion intervention vs. usual care among 13,557 participants,
49 there was a 54% reduction in atopic eczema on skin examination but no significant effect on
50 lung function (spirometry) and self-reported asthma diagnosis and symptoms of atopic eczema
51 and wheezing in the past year.

52 **Meaning:** Promotion of prolonged and exclusive breastfeeding reduces atopic eczema risk in
53 adolescence.

54 **ABSTRACT**

55 **Importance:** Atopic diseases, including asthma and atopic eczema, are the most common
56 chronic conditions of childhood.

57 **Objective:** To investigate whether an intervention to promote prolonged and exclusive
58 breastfeeding protects against asthma, atopic eczema and low lung function in adolescence.

59 **Design, Setting, and Participants:** Follow-up of a cluster-randomized trial in 30 Belarusian
60 maternity hospitals and affiliated polyclinics; recruitment of 17,046 healthy term infants took
61 place June 1996-December 1997.

62 **Intervention:** Randomization to receive a breastfeeding promotion intervention vs. usual care

63 **Main Outcomes and Measures:** Spirometry and flexural eczema on standardized skin
64 examination by study pediatricians were primary outcomes; secondary outcomes were self-
65 reported asthma diagnosis ever, and wheeze and flexural eczema symptoms in the previous
66 year.

67 **Results:** 13,557 (79.5%) participants were followed up September 2012-July 2015. The
68 intervention (n=7064, 79.7%) and control (n=6493, 79.4%) groups were similar at follow-up
69 (50.8%/52.5% male, mean (SD) age 16.2 (0.6)/16.1 (0.5) years). In the intervention group, 0.3%
70 (21/7064) had flexural eczema on skin examination and mean (SD) FEV₁/FVC ratio z-score was
71 -0.10 (1.82), compared to 0.7% (43/6493) and 0.35 (1.34) respectively in the control group. In
72 modified intention-to-treat analysis, accounting for clustering by polyclinic, a 54% lower risk of
73 flexural eczema on skin examination was observed in the intervention compared with the
74 control group (OR, 0.46; 95% CI: 0.25, 0.86). Self-reported flexural eczema symptoms in the

75 past year (OR, 0.57; 95% CI: 0.27, 1.18), asthma (OR, 0.76; 95% CI: 0.47, 1.23) and wheezing in
76 the past year (OR, 0.66; 95% CI: 0.37, 1.18) were less frequently reported in the intervention
77 compared with the control group, but confidence intervals were wide and included the null.
78 There was no difference in FEV₁/FVC ratio z-score (β -0.15; 95% CI: -0.76, 0.45). All results were
79 similar with additional adjustment for baseline characteristics, on instrumental variable
80 analysis, and with multiple imputation among all 17,046 randomized participants.

81 **Conclusions and Relevance:** A breastfeeding promotion intervention greatly reduced flexural
82 dermatitis risk but had no detectable effect on lung function or questionnaire-derived
83 measures of atopic eczema or asthma in adolescence in a setting where atopic eczema and
84 allergies are rare.

85

86 **INTRODUCTION**

87 Many allergy organizations, ministries of health, and the World Health Organization
88 recommend between four and six months of exclusive breastfeeding to aid prevention of
89 allergy and associated illnesses.¹ These recommendations are largely based on cross-sectional
90 studies, which have shown contradictory results.²⁻⁶ Infancy and early childhood is also a critical
91 period for lung function development, which is linked to asthma. Low lung function at birth is
92 associated with asthma⁷, and the onset of asthma in childhood is associated with low lung
93 function through adult life.⁸ There is some evidence that breastfeeding could influence lung
94 development in childhood and subsequent lung function, but results of observational studies
95 have been inconsistent.⁹

96 Methodological shortcomings might explain some of these contradictions. Observational
97 studies are prone to confounding, in particular because of substantial differences between
98 mothers who do and do not choose to breastfeed, making it difficult to determine whether the
99 observational associations of breastfeeding duration with child health outcomes are causal or
100 have alternative explanations.

101 The unbiased effects of breastfeeding can probably only be convincingly demonstrated in a
102 randomized controlled trial (RCT). While it is not feasible to randomize healthy term infants to
103 be breast or bottle fed, it is possible to randomize mother-child pairs to a breastfeeding
104 promotion intervention. The PROmotion of Breastfeeding Intervention Trial (PROBIT,
105 ISRCTN37687716) is a large cluster RCT of breastfeeding promotion carried out in Belarus. The
106 randomization achieved marked differences in breastfeeding exclusivity and duration.¹⁰ Follow-

107 up of the PROBIT trial participants thus offers a unique opportunity to test the long-term effects
108 of breastfeeding on childhood outcomes including asthma, lung function, and atopic eczema.
109

110 **METHODS**

111

112 **Study design**

113 The PROBIT trial design has been described in detail previously¹⁰ and the study protocol for the
114 adolescent follow up is found in Supplement 1. In brief, 34 maternity hospitals and one each of
115 their affiliated polyclinics (outpatient clinics where children are followed for routine health
116 care) were paired and randomly assigned to receive either a breastfeeding promotion
117 intervention (experimental group) or continuation of the prevailing maternity hospital and
118 polyclinic practices (control group). Cluster randomization was preferred over individual
119 randomization, because randomizing individual women within the same maternity hospital to
120 different interventions would have led to contamination between the two treatment groups
121 and a consequent dilution of the effect of the intervention. After randomization, two hospitals
122 refused to participate, and a third randomized site was removed from the trial because of
123 documented falsification of outcome data during infant follow-up.¹¹ This left 16 intervention
124 and 15 control sites in the trial. Recruitment for PROBIT began in June 1996 and continued until
125 the end of December 1997. While it was not possible to blind study staff to the status of each
126 site, as they were implementing the intervention, PROBIT participants were blinded to their
127 randomization status.

128

129 **Participants**

130 Mothers were eligible for participation if they initiated breastfeeding on admission to the
131 postpartum ward, had no illnesses that would contraindicate breastfeeding or severely

132 compromise its success, and had given birth to a healthy singleton infant of at least 37
133 completed weeks of gestation, 2500 g birth weight, and an Apgar score of 5 at 5 minutes. Study
134 staff estimated that only 1-2% of eligible women declined participation. Since all enrolled
135 women had initiated breastfeeding, the experimental intervention was designed to increase the
136 duration and exclusivity of breastfeeding.

137

138 **Intervention**

139 The experimental intervention included 10 steps that maternity hospitals must implement to
140 become certified as 'Baby-Friendly'.¹² Clinical leaders, usually the chief obstetrician and
141 pediatrician from each of the intervention maternity hospitals and polyclinics, received the 18-
142 hour Baby Friendly Hospital Initiative (BFHI) lactation management training course, which was
143 organized by the European Regional Office of the World Health Organization. The course
144 emphasized methods to maintain lactation, promote exclusive and prolonged breastfeeding,
145 and resolve common problems. Full implementation of the experimental intervention required
146 12-16 months to train midwives, nurses, and physicians in the provision of care to study
147 mothers and infants during labor, delivery, and the postpartum hospital stay, and pediatricians
148 and nurses working at the polyclinics. Monitoring visits were conducted before and during
149 recruitment and follow-up to ensure compliance with and maintenance of the randomized
150 interventions.¹⁰

151

152 **PROBIT follow-up and data quality assurance**

153 Mother-infant pairs were initially followed up for 12 months from the time of birth, including
154 regular skin assessments for atopic eczema. The primary trial outcome was the risk of one or
155 more episodes of gastrointestinal tract infection. The risk of atopic eczema was an important
156 secondary outcome during the initial follow-up and was based on a physical examination at
157 each follow-up visit at the polyclinic affiliated with the maternity hospital. The second follow-up
158 was carried out 2002-2005, when the children were aged 6.5 years, and included the
159 International Study for Asthma and Allergies in Childhood (ISAAC) questionnaire to elicit asthma
160 and atopic eczema symptoms, as well as skin-prick tests. The third follow-up was conducted at
161 11.5 years of age (2008-2010) but did not include atopy-related outcomes. This current paper
162 focuses on the follow-up at 16 years between September 2012 and July 2015, when atopic
163 eczema was once more assessed through physician-conducted skin examination of all
164 participants (primary outcome), the ISAAC questionnaire was completed for symptoms of
165 asthma and eczema (secondary outcomes), and lung function was measured by spirometry
166 (primary outcome).

167 The 16-year follow-up was approved by the Belarusian Ministry of Health. Ethical approval was
168 obtained from the McGill University Health Centre Research Ethics Board, the Institutional
169 Review Board at Harvard Pilgrim Health Care, and the Avon Longitudinal Study of Parents and
170 Children Law and Ethics Committee. Parents provided informed consent and children written
171 assent for the adolescent follow up.

172 Quality assurance was achieved through ongoing data monitoring, as described previously.¹³

173 We held an initial workshop during which all participating polyclinic pediatricians were trained

174 in spirometry by the study pediatric pulmonologist (AJH) and in skin examination by the study
175 dermatologist (CF) and then formally examined in a written, skills-based test for the diagnosis
176 of atopic eczema. The performance of spirometry and the accuracy of the pediatricians'
177 diagnosis of atopic eczema was re-examined halfway through the study through a refresher
178 training workshop, directly followed by a further written skills-based test, which all
179 pediatricians successfully passed.

180 The quality assurance processes raised concerns about the validity of data collected at the 16-
181 year follow-up from one polyclinic, and the 16-year data from this clinic were therefore not
182 included in the analyses. In the remaining 30 polyclinics (15 in the intervention group, 15 in the
183 control group), the children were seen at the 16-year visits by 36 research pediatricians; one in
184 each of twenty-four polyclinics, and two in each of the remaining 6 high-volume clinics.

185

186 **Atopic eczema and asthma assessments at 16 years**

187 At the in-person follow-up visit, all children were physically examined for evidence of flexural
188 dermatitis in the following 5 body areas: i) around the eyes; ii) the neck; iii) in front of the
189 elbows; iv) behind the knees; and v) in front of the ankles, using the validated International
190 Study of Asthma and Allergies in Childhood (ISAAC) Phase Two skin examination protocol,
191 which is based on the UK refinement of the Hanifin & Rajka consensus diagnostic criteria.¹⁴ Like
192 the ISAAC questions, the UK diagnostic criteria focus on flexural involvement to enhance the
193 specificity of the diagnosis. Many other skin diseases are non-flexural but pruritic, such as
194 scabies and fungal infections, and are frequent in low-income country settings. Participants

195 were categorized as having atopic eczema if they had a typical erythematous rash with surface
196 changes (e.g., fine scaling, vesicles, oozing, crusting or lichenification) in any of the above
197 flexural areas.

198 In addition to the skin examination, children self-reported their atopic eczema and asthma
199 symptoms in the past 12 months on the ISAAC questionnaire. The instrument was identical to
200 the one used at age 6.5 years in the PROBIT cohort,¹⁵ but at 6.5 years the parent was the
201 respondent. The questions relevant to atopic eczema were: “Have you ever had an itchy rash
202 which was coming and going for at least six months?” (yes/no = ‘atopic eczema symptoms
203 ever’), “Have you had this itchy rash at any time in the past 12 months?” (yes/no = ‘atopic
204 eczema symptoms past year’), and “Has this itchy rash at any time affected any of the following
205 places: folds of the elbows, behind the knees, in front of the ankles, around the neck, or eyes?”
206 (yes/no = ‘flexural eczema past year’). Atopic eczema severity was assessed by asking “Has this
207 rash cleared completely at any time during the past 12 months?” and “In the past 12 months,
208 how often, on average, have you been kept awake at night by this itchy rash?” (‘never in the
209 past 12 months’/‘less than one night per week’/‘one or more nights per week’). Asthma
210 symptoms were sought through responses to the questions: “Have you ever had asthma?”
211 (yes/no = ‘asthma ever’), “Have you had wheezing/whistling in the chest in the past 12
212 months?” (yes/no = ‘wheezing in the past 12 months’), and “Have you had an attack of asthma
213 in the past 12 months?” (yes/no = ‘asthma attack in the past 12 months’).

214

215 **Lung function measurements at 16 years**

216 Lung function was measured by spirometry according to standards recommended by the
217 American Thoracic Society/European Respiratory Society task force¹⁶ using a Micro 1 handheld
218 spirometer (CareFusion UK 236 Ltd., Basingstoke, United Kingdom). Each spirometer was
219 calibrated at the beginning of each testing session using a 3L calibration syringe according to
220 the manufacturer's instructions. The calibration procedure was repeated if results differed by
221 more than 3.5% of the calibrated value. If calibration to within these limits could not be
222 achieved, the spirometer was replaced. Spirometry was avoided within 3 weeks of a reported
223 respiratory infection or a course of oral corticosteroids. Participants were asked to omit long-
224 acting bronchodilators for 48 hours and short-acting bronchodilators for 12 hours prior to the
225 study visit. Pediatricians measured the subject's height to the last completed millimetre using a
226 stadiometer, and weight with an electronic digital scale (Tanita TBF 300GS body-fat analyser,
227 Tanita Inc, Tokyo, Japan). Spirometry was performed in the seated position and participants
228 wore nose clips during each forced expiratory manoeuvre. Following a demonstration from the
229 tester, subjects were instructed to fill their lungs and to blow as hard and fast as possible into
230 the mouthpiece with verbal encouragement from the tester to maintain the breath for as long
231 as possible. Up to eight attempts were permitted to achieve three blows that fulfilled the
232 spirometer's inbuilt start of test, time to peak flow and duration criteria.

233

234 **Lung function data cleaning and transformation**

235 The results of each accepted blow were analyzed to select the two attempts for each
236 participant with the highest forced vital capacity (FVC) that was reproducible to within 0.15L.

237 Forced expiratory volume in 1 second (FEV₁) and FVC were selected from the blow with the
238 higher FVC of the two. Applying these criteria, 1374 results (704 in the intervention and 670 in
239 the control group) were excluded from analysis. Lung function variables (FEV₁, FVC and
240 FEV₁/FVC ratio) were adjusted for age, height and sex of the participant using Global Lung
241 Initiative (GLI) algorithms¹⁷ to derive z-scores for each.

242

243 **Data management, statistical analysis and study power**

244 ***Data management***

245 Audit visits were conducted to assess inter-observer reproducibility of the outcome data, an
246 important step, given that blinding of pediatricians to the experimental vs. control randomized
247 group assignment was not feasible. For each pediatrician in the 24 lower-volume polyclinics, 4
248 children were randomly selected to return for re-measurement of all variables. For the 6
249 higher-volume clinics with 2 study pediatricians, 3 children per pediatrician were selected.
250 Thus, a total of 132 children were audited. So that all children seen in follow-up were eligible
251 for the repeated measurements, the selection was carried out after completion of primary data
252 collection, an average of 1.2 years (range, 0.02-2.5) after the initial clinic visit. The audit was
253 carried out by 1 of 3 Minsk-based pediatricians not involved in primary data collection. They
254 were blinded to the measures obtained at the initial visit but not to experimental vs. control
255 status.

256 ***Study power***

257 The original sample size for PROBIT was based on power to detect a difference in
258 gastrointestinal tract infections in infancy.¹⁰ For this analysis we calculated power based on the
259 available sample size. For the categorical atopic eczema outcome at the 16-year follow-up
260 (flexural eczema on skin examination), the study had 94% power at the 5% significance level to
261 detect a 50% reduction in atopic eczema prevalence between the two study groups, similar to
262 PROBIT I.¹⁰ This is a large effect compared to other prevention trials, such as the Barrier
263 Enhancement Eczema Prevention (BEEP) trial, which reported 90% power at the 5% significance
264 level to detect a relative reduction in atopic eczema of 30%; a reduction deemed as clinically
265 significant.¹⁸ As for lung function, the minimal detectable difference in FEV₁ or FVC at the 1%
266 significance level with 90% power was 0.04 SD units based on the sample size available.

267 ***Statistical analysis***

268 Because PROBIT is a randomized trial, the primary analytic approach was by modified intention-
269 to-treat (ITT), excluding one study center (see above). We accounted for possible non-
270 independence of measurements within individual hospitals and their affiliated polyclinic sites
271 (clustering) using mixed effect models. We used the GLIMMIX procedure for binary outcomes
272 to estimate ORs (95% CIs). (SAS version 9.3, SAS Institute, Cary, NC). The results are presented
273 for the simple cluster-adjusted model, as well as after additional adjustment for stratum-level
274 (urban vs. rural and East vs. West Belarus) and for individual-level (child age at follow-up, sex,
275 birth weight, and maternal and paternal education) covariates (pre-specified secondary
276 analyses). For asthma and lung function models we also adjusted for length of gestation. To
277 determine whether results differed in boys versus girls, we conducted mixed models that

278 included multiplicative interaction terms for the sex of the child. In a *post hoc* sensitivity
279 intention to treat analysis, we used multiple imputation to investigate whether loss to follow up
280 influenced the results, generating plausible values of missing 16-year outcomes for all 17,046
281 randomized participants. We used SAS multiple imputations (Proc MI) to impute 20 values for
282 each missing observation and combined multivariable modeling estimates using Proc MI
283 ANALYZE in SAS.¹⁹

284 The modified intention-to-treat analysis may underestimate the effect of the true exposures of
285 interest (breastfeeding exclusivity and duration), owing to overlap in breastfeeding between
286 the randomized groups (many intervention mothers did not exclusively breastfeed for 3 or 6
287 months, and some control mothers did). Therefore, in a pre-specified secondary analysis, we
288 applied instrumental variable methods to estimate the effects of the difference in
289 breastfeeding exclusivity and duration achieved between the two randomized groups (≥ 3
290 months vs. < 3 months exclusive breastfeeding, as in the other PROBIT phases¹⁰) with the study
291 outcomes. Unlike propensity score matching, this approach uses randomization status as an
292 instrument, assuming that randomization status is independent of any confounders of the
293 exposure-outcome relationships and related to the outcome only via the exposure
294 (breastfeeding duration and exclusivity).²⁰ Effects of exclusive breastfeeding for 3 months or
295 longer using instrumental variable analysis was also estimated after accounting for clustering
296 and further adjusting for strata and individual-level covariates. We used the ivprobit procedure
297 in Stata/SE version 14 (Stata Corp) for binary lung function outcomes, and then calculated odds
298 ratios (ORs) to be consistent with the primary modified intention-to-treat analysis by

299 multiplying the probit estimates by 1.6. The validity of this multiplication has been
300 demonstrated both statistically and empirically.²¹

301 To assess whether we could reproduce the inverse associations of increased duration and
302 exclusivity of breastfeeding with outcomes reported in previous observational studies, we also
303 conducted observational analyses (i.e., disregarding randomization status) in which we
304 estimated associations of the duration of any or exclusive breastfeeding on the same outcomes,
305 also accounting for clustering and the same baseline characteristics as in the expanded mixed
306 models described above, using multiple logistic regression analysis. Duration of any and
307 exclusive breastfeeding was classified as <3 months (reference) or ≥3 months. We used WHO
308 definitions for this categorization in which infants were considered as exclusively breastfed for
309 3 or 6 months if they received no solids, nonbreast milk, or water or other liquids (other than
310 vitamins or medications) at all visits up to and including the 3- and 6-month visits, respectively.
311 They were considered predominantly breastfed at these ages if they received no solids or
312 nonbreast milk; juices, water, teas, and other liquids were permitted in this category.

313 Finally, we carried out a *post hoc* sensitivity analysis, in which we stratified the results by
314 whether or not the children correctly identified their trial group, to determine whether this
315 knowledge biased any of the measured outcomes. Furthermore, we examined whether those
316 reporting asthma symptoms had reduced lung function to validate the questionnaire-derived
317 findings. We did not conduct any repeated measures analyses, because methods of assessment
318 for outcomes differed over time. We ran additional models adjusted for pregnancy smoking

319 status, child smoking status ever and current, and household smoking. Adding these covariates
320 did not appreciably change the results.

321

322 **RESULTS**

323 A total of 17,046 mother-infant pairs (n=8865 intervention and n=8181 controls) were enrolled
324 during their postpartum stay. Figure 1 shows the numbers of infant-mother pairs born at
325 maternity hospitals and followed at polyclinics randomized to breastfeeding promotion vs.
326 usual care who participated in the PROBIT IV follow-up. A total of 13,557 adolescents (6981
327 boys, 51.5%) were examined in the 30 included polyclinics at a median (SD) age of 16.1 (0.54)
328 years (range 14.8-18.9), representing 79.5% of the 17,046 originally randomized. Asthma and
329 atopic eczema outcomes were available for all participants who were followed up at 16 years.
330 Cleaning of lung function data resulted in 12,183 participants (n = 6360 intervention and n =
331 5823 controls) with valid measurements. Of the 3489 participants who were not followed up at
332 16 years, 116 had died since randomization, 2674 were lost to follow-up, 267 were excluded
333 from one clinic that deviated from the study protocol, and 432 were unable or unwilling to
334 come for their visit (Figure 1). Follow-up rates were similar overall in the experimental (79.7%)
335 and control (79.3%) polyclinics, although they varied by polyclinic from 41% to 98%.

336

337 **Participant characteristics**

338 The intervention (n=7064, 79.7%) and control (n=6493, 79.4%) groups were similar at follow-up
339 (50.8%/52.5% male, mean (SD) age 16.2 (0.6)/16.1 (0.5) years) (Table 1). Other

340 sociodemographic characteristics were comparable between the two groups, except for over-
341 representation of urban residence in Western Belarus in the intervention group and of
342 advanced secondary/partial university education in the control group (Table 1). Similar results
343 for the population with valid lung function measurements are shown in eTable 1. We also
344 collected information on parental history of allergic diseases (atopic eczema, asthma, and hay
345 fever) during the 12-month follow up of PROBIT. The intervention group had slightly more
346 mothers and fathers who reported atopy vs. the control group (5.2% (463/8865) compared to
347 3.5% (290/8181), respectively), and this difference persisted into the adolescent follow-up (Chi
348 square $p=0.0002$). However, adjusting for family history of atopy did not alter the risk
349 estimates.

350

351 **Modified intention-to-treat analyses**

352 Of 7064 children in the intervention group, 21/7064 (0.3%) had signs of flexural eczema on skin
353 examination at the 16-year follow up (primary outcome), compared with 43/6493 (0.7%) in the
354 control group (difference -0.4%, 95% CI: -0.60, -0.16). This difference corresponded to a 54%
355 lower risk in the intervention compared to the control group (cluster-adjusted OR, 0.46; 95% CI:
356 0.25, 0.86), with a very similar estimate after further adjustment for baseline factors and age at
357 follow-up (adjusted OR, 0.46; 95% CI: 0.25, 0.83, Table 2). The odds ratio was of similar
358 magnitude, but with lower precision, for the questionnaire-derived flexural eczema symptoms
359 (secondary outcomes): flexural eczema in the past year (32/7064 (0.5%) vs. 45/6493 (0.7%);
360 cluster-adjusted OR, 0.57; 95% CI: 0.27, 1.18), persistent flexural eczema in the past year

361 (cluster-adjusted OR, 0.48; 95% CI: 0.22, 1.04), and sleep-disturbed flexural eczema in the past
362 year (cluster adjusted OR, 0.54; 95% CI: 0.23, 1.28). Effect sizes were similar for boys and girls
363 (all interaction p values >0.26).

364 There was no evidence of a protective effect of breastfeeding on the secondary asthma
365 outcomes (Table 2). Asthma ever was reported in 1.5% (108/7064) of the intervention group
366 and 1.7% (110/6493) of the control group (cluster-adjusted OR, 0.76; 95% CI: 0.47, 1.23).

367 Wheezing in the past year was less frequently reported in the intervention compared with the
368 control group (cluster-adjusted OR, 0.66; 95% CI: 0.37, 1.18), but the CI was wide and crossed
369 1.0. There was no difference in reported asthma attacks in the past 12 months between
370 intervention and control groups. The effect estimates for asthma ever and wheezing in the past
371 12 months were similar after further adjustment for baseline variables.

372 FEV₁, FVC and FEV₁/FVC were each lower in the intervention than the control group (Table 2).

373 Mean (SD) FEV₁ % predicted was 91.5 (19.0) in the intervention group and 98.4 (13.3) in the
374 control group. Confidence intervals excluded the null in both cluster-adjusted analyses and
375 following additional adjustment for baseline variables, which only marginally changed the effect
376 estimates (Table 2). However, the FEV₁/FVC z-score β had a wide confidence interval, including
377 the null (-0.15 (-0.76, 0.45) and -0.16 (-0.76, 0.45) respectively. FEV₁ and FVC at audit visits were
378 strongly correlated with the original polyclinic measurements (FEV₁; Pearson's r, 0.84; 95% CI:
379 0.77, 0.89; FVC; r, 0.90; 95% CI: 0.85, 0.93). Additionally, lung function was correlated with
380 height (FEV₁; r, 0.57; FVC; r, 0.67) and showed expected associations with reported asthma
381 categories (eTable 2).

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Instrumental variable, observational and sensitivity analyses

Instrumental variable (IV) analysis confirmed an inverse association between exclusive breastfeeding for 3 months or longer (vs. exclusive breastfeeding <3 months) and flexural eczema on skin examination (cluster-adjusted OR, 0.34; 95% CI: 0.13, 0.85); additional adjustment for baseline characteristics yielded similar results (OR, 0.34; 95% CI: 0.16, 0.72; Table 3). As in the modified intention-to-treat analysis, the risk estimates for questionnaire-derived flexural eczema symptoms in the past year were less precise (cluster-adjusted OR, 0.55; 95% CI: 0.19, 1.60; after additional adjustment for baseline characteristics: OR, 0.56; 95% CI: 0.22, 1.38; Table 3). The reduced lung function seen in modified ITT, became non-significant in the IV analysis, which also confirmed no evidence of associations between exclusive breastfeeding for ≥ 3 months (compared with <3 months) and questionnaire-derived asthma outcomes. Wider confidence intervals in IV analyses are expected because of the increased variance introduced by using randomization as a predictor of breastfeeding.

In the observational analysis (exclusive breastfeeding ≥ 3 months vs. <3 months, reference), the magnitude of the protective effect was not as large as the ITT effect (flexural eczema on skin examination: cluster-adjusted OR, 0.70; 95% CI: 0.36, 1.36; after additional adjustment for baseline characteristics: OR, 0.64; 95% CI: 0.33, 1.25; flexural eczema symptoms in the past year: cluster-adjusted OR, 0.89; 95% CI: 0.50, 1.60; after additional adjustment for baseline characteristics: OR, 0.85; 95% CI: 0.47, 1.53). We observed no evidence of an association comparing any breastfeeding ≥ 3 months vs. <3 months (reference) (flexural eczema on skin

403 examination: cluster-adjusted OR, 0.94; 95% CI: 0.56, 1.56; after additional adjustment for
404 baseline characteristics: OR, 0.88; 95% CI: 0.52, 1.47; flexural eczema symptoms in the past
405 year: cluster-adjusted OR, 1.26; 95% CI: 0.77, 2.06; after additional adjustment for baseline
406 characteristics: OR, 1.18; 95% CI: 0.72, 1.93). Similarly, for asthma outcomes, the observational
407 effects were closer to the null than the estimates from the instrumental variables analysis, with
408 no evidence that exclusive breastfeeding ≥ 3 months was associated with ever asthma (cluster-
409 adjusted OR, 0.99; 95% CI: 0.70, 1.39), wheezing in the past 12 months (cluster-adjusted OR,
410 1.02; 95% CI: 0.85, 1.24) or asthma attacks in the past 12 months (cluster-adjusted OR, 1.35;
411 95% CI: 0.75, 2.44). Likewise, observational analyses showed no associations between exclusive
412 breastfeeding ≥ 3 months and lung function parameters.

413 Finally, the *post hoc* sensitivity analysis suggested that the protective effect of prolonged
414 exclusive breastfeeding was unlikely to have been biased by nonblinding of the participating
415 adolescents. In the 9581 (71%) who did not identify their trial group correctly, the protective
416 effect remained large (flexural eczema on skin examination: cluster-adjusted OR, 0.44; 95% CI:
417 0.22, 0.88; flexural eczema symptoms past year OR, 0.57; 95% CI: 0.28, 1.16). In the 3893 (29%)
418 participants who correctly identified their trial group, the corresponding effect was, if anything,
419 weaker (flexural eczema on skin examination: cluster-adjusted OR, 0.72; 95% CI: 0.18, 2.92;
420 flexural eczema symptoms past year: cluster-adjusted OR, 1.10; 95% CI: 0.24, 5.13). In a logistic
421 regression analysis including intervention (Y/N), correctly identifying trial group (Y/N) and an
422 interaction term between the two, the interaction p values were 0.61 for flexural eczema on
423 skin examination and 0.28 for flexural eczema past year, respectively).

424

425 ***Post hoc* multiple imputation analyses**

426 The multiple imputation analyses, based on the sample of 17,046 participants originally
427 enrolled at birth, yielded similar results to those of the modified intention-to-treat analyses
428 presented above, although the lung function results lost statistical significance in the fully
429 adjusted model (eTable 3).

430 **DISCUSSION**

431 There was an approximate 50% reduction in the odds of flexural eczema on skin examination at
432 16 years of age in adolescents born to mothers and infants who attended maternity hospitals
433 and polyclinics randomized to the intervention, compared to those who received standard care.
434 In contrast, no evidence was found for an association between the intervention and self-
435 reported atopic eczema symptoms in the past year or with asthma outcomes (asthma ever or
436 symptoms in the past 12 months). For lung function, there was a negative association between
437 the intervention and FEV₁, FVC and FEV₁/FVC in modified ITT analysis, which lost statistical
438 significance in the multiple imputation, IV, and observational analyses. The conclusions were
439 similar after using instrumental variable and multiple imputation analyses for all other
440 outcomes, and the results for atopic eczema are in keeping with the findings previously
441 reported for the first year of life.¹⁰

442 The strengths of the PROBIT study include the cluster randomized design, reducing vulnerability
443 to bias and confounding, compared to observational studies. The follow-up rate of nearly 80%
444 at 16 years from randomization at birth is high compared to other long-term follow-up studies

445 and makes attrition (selection) bias unlikely, which is also underlined by the similarity of
446 characteristics at follow-up between the two randomized groups and the comparable findings
447 in the multiple imputation analysis. Another strength of the study is the use of lung function
448 testing and physician skin examination for atopic eczema using validated, standardized
449 protocols, rather than relying on questionnaire-derived outcomes alone, which results in the
450 misclassification of some atopic eczema cases and consequently weakens associations, as
451 confirmed here. In addition, those who reported wheezing in the past year and a diagnosis of
452 asthma had reduced lung function, providing additional validity to the questionnaire-based
453 outcomes. The robustness of the 16 year follow up phase with regard to atopic eczema is
454 strengthened further by the stronger inverse association observed in instrumental variable
455 analysis, which accounts for nonadherence to the intervention. In addition, the sensitivity
456 analysis shows that bias due to nonblinding of participants to the randomized trial group is a
457 very unlikely explanation of these findings.

458 Limitations of the study include the remaining possibility that pediatricians' knowledge of the
459 treatment allocation may have led to unconscious bias in their skin examination. One study
460 center had to be excluded because of concerns about the validity of the data collected.

461 Although the overall follow up rates were similar between the intervention and the control
462 groups (79.7% and 79.3% respectively), there were differences between the polyclinics, ranging
463 between 41% and 98%. Importantly, however, this was equally the case in the intervention and
464 control groups. In addition, the multiple imputation results were consistent with the modified
465 intention-to-treat analysis, and all analyses account for clustering within clinics.

466 A further limitation was the inability to conduct comprehensive quality assurance of measured
467 lung function variables due to technical limitations of the equipment used in the field. However,
468 repeated training was provided throughout the fieldwork, in addition to quality control visits,
469 and by applying a strict threshold of acceptability of lung function results. Lung function could
470 also have been influenced by growth patterns in early childhood,²² but no association was
471 found between lung function measured in adolescence and contemporaneous body mass index
472 (BMI); the latter also being positively associated with rapid early growth.

473 In contrast to the results for atopic eczema, no evidence was found to support an association of
474 breastfeeding promotion with asthma, which confirms the previously reported findings from
475 the PROBIT study at age 6.5 years. Some studies that reported a protective association of
476 breastfeeding with asthma have suggested this may be stronger for non-atopic asthma,²³ but
477 previous results from PROBIT did not support an association between the study intervention
478 and allergic sensitization at age 6.5 years.¹⁵

479 The contrast of the current results with previously reported protective associations with asthma
480 could also arise from misclassification of wheezing in early life as asthma. Wheeze is common in
481 early childhood and often associated with viral respiratory infections, but the majority of
482 preschool wheezing illness does not evolve into asthma.²⁵ An influence of breastfeeding on
483 reducing the frequency of viral infections in early life could have a protective influence on viral
484 induced wheezing. It is conceivable that diagnosing preschool wheezing as asthma is less likely
485 in settings such as Belarus that have a low prevalence of asthma in childhood.

486 In addition to the duration and exclusivity of breastfeeding, the specific foods that infants are
487 being weaned to might also have an impact on atopic eczema, asthma and lung function risk;
488 this is not something that was investigated in PROBIT. However, a very recent RCT comparing
489 the sequential introduction of 6 allergenic foods from three months of age (with partial
490 breastfeeding) versus exclusive breastfeeding for 6 months did not show a difference in risk of
491 atopic eczema or asthma up to three years of age.²⁶ Finally, some of the questionnaire-based
492 outcomes relied on participant recall, such as ‘asthma diagnosis ever’ and ‘itchy rash in the past
493 12 months that involves the flexures’. However, those reporting a diagnosis of asthma or
494 symptoms of flexural atopic eczema showed reduced lung function and very strong correlation
495 with atopic eczema on skin examination respectively, supporting the validity of the
496 questionnaire-derived outcomes.

497 Although basic health services and sanitary conditions in Belarus are quite similar to those in
498 North America and Western Europe, some aspects of the Belarusian health care system may
499 limit the generalizability of the findings. For instance, the highly centralized Belarusian health
500 care system undoubtedly helped in the implementation of the experimental intervention,
501 resulting in substantial changes in the exclusivity and duration of breastfeeding in the
502 intervention hospitals and polyclinics within a brief pre-recruitment period (12-16 months). It is
503 also important to note that the prolonged (6-7 days) postpartum stay for routine vaginal
504 deliveries far exceeds those currently found in the West and may have helped to establish good
505 breastfeeding practices and instil maternal confidence.

506 Furthermore, atopic eczema is much less common in Belarus compared to more developed
507 settings, such as North America and Western Europe. These prevalence differences are likely
508 driven by a range of environmental risk factors linked to an affluent lifestyle, including hygiene-
509 related exposures²⁷, which may overcome and counteract the protective effect of exclusive
510 breastfeeding found in Belarus.

511

512

513 **CONCLUSIONS**

514 Breastfeeding has many undisputed health benefits. However, most evidence is derived from
515 observational studies and long-term follow up data are sparse. A cluster RCT with a
516 breastfeeding promotion intervention had a large protective effect on flexural dermatitis risk
517 but no detectable effect on lung function or questionnaire-derived measures of atopic eczema
518 or asthma in adolescence in a setting where atopic eczema and allergies are rare.

519

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521

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523

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540

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543 *Drafting of the manuscript: CF, AJH*

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645 **Figure caption**

646 **Figure 1.** Flowchart of study sites and participants (CONSORT)

647

Table 1. Characteristics of 13,577 children enrolled in the PROBIT trial with outcome data at 16 years

Characteristic	N (%)		
	Total (n = 13557)	Intervention (n = 7064)	Control (n = 6493)
Measured at child's birth			
Maternal age, y			
<20	1820 (13.4)	979 (13.9)	841 (13.0)
20-34	11173 (82.4)	5792 (82.0)	5381 (82.9)
≥35	564 (4.2)	293 (4.1)	271 (4.2)
Maternal education			
Completed university	1842 (13.6)	1002 (14.2)	840 (12.9)
Advanced secondary or partial university	6925 (51.1)	3365 (47.6)	3560 (54.8)
Common secondary	4318 (31.9)	2406 (34.1)	1912 (29.4)
Incomplete secondary	472 (3.5)	291 (4.1)	181 (2.8)
Paternal education			
Completed university	1737 (12.8)	936 (13.3)	801 (12.3)
Advanced secondary or partial university	6205 (45.8)	2910 (41.2)	3295 (50.7)
Common secondary	4883 (36.0)	2828 (40.0)	2055 (31.6)
Incomplete secondary or unknown	732 (5.4)	390 (5.5)	342 (5.3)
Stratum-level variable			
East/Urban	4150 (30.6)	2215 (31.4)	1935 (29.8)
East/Rural	2152 (15.9)	1075 (15.2)	1077 (16.6)
West/Urban	3524 (26.0)	2296 (32.5)	1228 (18.9)
West/Rural	3731 (27.5)	1478 (20.9)	2253 (34.7)
Number children in household			
0	7707 (56.8)	4152 (58.8)	3555 (54.8)
1	4717 (34.8)	2365 (33.5)	2352 (36.2)
2+	1133 (8.4)	547 (7.7)	586 (9.0)
Maternal smoking during pregnancy			
No	13287 (98.0)	6898 (97.7)	6389 (98.4)
Yes	270 (2.0)	166 (2.3)	104 (1.6)
Child sex			
Female	6576 (48.5)	3474 (49.2)	3102 (47.8)
Male	6981 (51.5)	3590 (50.8)	3391 (52.2)
Birthweight, mean (SD), kg	3.44 (0.42)	3.44 (0.42)	3.44 (0.42)
Gestation length, mean (SD), wks	39.4 (1.0)	39.4 (1.0)	39.3 (1.0)
Measured in child's first year			
Duration of exclusive breastfeeding, mo			
<3	9861 (73.2)	3821 (54.7)	6040 (93.1)

3-<6	3126 (23.2)	2727 (39.0)	399 (6.2)
≥6	484 (3.6)	437 (6.3)	47 (0.7)
Duration of any breastfeeding, mo			
<3	4692 (34.8)	2085 (29.8)	2607 (40.2)
3-<6	3105 (23.0)	1590 (22.7)	1515 (23.4)
≥6	5676 (42.1)	3315 (47.4)	2361 (36.4)

SD = standard deviation

Table 2. Modified intention-to-treat analysis comparing atopic eczema and asthma outcomes in intervention vs. control group

	Intervention (n=7064)	Control (n=6493)	Cluster-adjusted	Further adjusted *
Atopic eczema	No. (%)		OR (95% CI)	
Flexural eczema on skin examination	21 (0.3)	43 (0.7)	0.46 (0.25, 0.86)	0.46 (0.25, 0.83)
Flexural eczema past year	32 (0.5)	45 (0.7)	0.57 (0.27, 1.18)	0.55 (0.27, 1.14)
Persistent flexural eczema past year	10 (0.1)	19 (0.3)	0.48 (0.22, 1.04)	0.47 (0.22, 1.03)
Sleep-disturbed flexural eczema past year	13 (0.2)	24 (0.4)	0.54 (0.23, 1.28)	0.55 (0.22, 1.39)
Asthma				
Ever asthma	108 (1.5)	110 (1.7)	0.76 (0.47, 1.23)	0.77 (0.47, 1.24)
Wheezing in past 12 months	431 (6.1)	419 (6.5)	0.66 (0.37, 1.18)	0.61 (0.34, 1.07)
Asthma attack in past 12 months	29 (0.4)	24 (0.4)	1.01 (0.54, 1.89)	<i>Did not converge</i>
Lung function	Mean (SD)		β (95% CI)	
FEV1 z-score	-0.70 (1.57)	-0.13 (1.12)	-0.43 (-0.78, -0.08)	-0.39 (-0.74, -0.04)
FVC z-score	-0.45 (1.26)	-0.27 (1.12)	-0.23 (-0.60, 0.13)	-0.19 (-0.56, 0.17)
FEV ₁ /FVC z-score	-0.10 (1.82)	0.35 (1.34)	-0.15 (-0.76, 0.45)	-0.16 (-0.76, 0.45)
FEV ₁ /FVC x 100	0.85 (0.15)	0.89 (0.09)	-2.03 (-6.34, 2.29)	-2.03 (-6.36, 2.30)

*Adjusted for stratum-level variables (urban vs. rural and East vs. West Belarus), and for child age at follow-up, sex, birthweight, and maternal and paternal education. Asthma and lung function models were also adjusted for gestational age at birth.

Table 3. Instrumental variable and observational associations of duration and exclusivity of breastfeeding (≥ 3 months vs. < 3 months) with atopic eczema, lung function and asthma outcomes

	Instrumental variable analysis		Observational analysis	
	Exclusive breastfeeding ≥ 3 vs. < 3 months (control)		Exclusive breastfeeding ≥ 3 vs. < 3 months (control)	
	Cluster-adjusted	Further adjusted *	Cluster-adjusted	Further adjusted *
	OR (95% CI)		OR (95% CI)	
Flexural eczema on skin examination	0.34 (0.13, 0.85)	0.34 (0.16, 0.72)	0.70 (0.36, 1.36)	0.64 (0.33, 1.25)
Flexural eczema past year	0.55 (0.19, 1.60)	0.56 (0.22, 1.38)	0.89 (0.50, 1.60)	0.85 (0.47, 1.53)
Persistent flexural eczema past year	0.40 (0.14, 1.12)	0.39 (0.15, 1.01)	0.71 (0.29, 1.75)	0.70 (0.28, 1.72)
Sleep disturbed flexural eczema past year (ever)	0.41 (0.13, 1.23)	0.46 (0.17, 1.25)	0.96 (0.41, 2.23)	0.98 (0.41, 2.30)
Wheezing past 12 months	0.86 (0.44, 1.68)	0.73 (0.38, 1.40)	1.02 (0.85, 1.24)	1.03 (0.85, 1.24)
Ever asthma	0.85 (0.39, 1.85)	0.82 (0.41, 1.63)	0.99 (0.70, 1.39)	0.98 (0.70, 1.38)
Asthma attack in past 12 months	1.14 (0.50, 2.59)	1.06 (0.51, 2.18)	1.35 (0.75, 2.44)	<i>Did not converge</i>
	β (95% CI)		β (95% CI)	
FEV1 z-score	-1.14 (-2.31, 0.02)	-1.00 (-2.17, 0.18)	0.03 (-0.03, 0.09)	0.03 (-0.03, 0.10)
FVC z-score	-0.62 (-1.56, 0.32)	-0.49 (-1.61, 0.63)	0.03 (-0.03, 0.08)	0.03 (-0.02, 0.08)
FEV1:FVC ratio z-score	-0.41 (-2.06, 1.25)	-0.41 (-2.30, 1.47)	0.01 (-0.06, 0.08)	0.01 (-0.06, 0.08)
FEV1:FVC ratio x 100	-5.34 (-17.76, 7.08)	-5.23 (-19.31, 8.85)	0.09 (-0.44, 0.62)	0.10 (-0.43, 0.62)

*Adjusted for stratum-level variables (urban vs. rural and East vs. West Belarus), and for child age at follow-up, sex, birthweight, and both maternal and paternal education. Asthma and lung function models were also adjusted for gestational age at birth.