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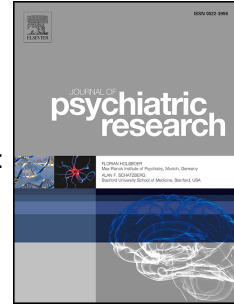
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# Accepted Manuscript

Effects of chair yoga therapy on physical fitness in patients with psychiatric disorders:  
A 12-week single-blind randomized controlled trial

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7 **Effects of Chair Yoga Therapy on Physical Fitness in Patients with Psychiatric**

8 **Disorders: A 12-Week Single-Blind Randomized Controlled Trial**

9 Abbreviated Title: *Chair Yoga for Physical Fitness*

10

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16

1 **Abstract**

2 **Introduction:** Since falls may lead to fractures and have serious, potentially fatal  
3 outcomes, prevention of falls is an urgent public health issue. We examined the effects  
4 of chair yoga therapy on physical fitness among psychiatric patients in order to reduce  
5 the risk of falls, which has not been previously reported in the literature.

6 **Methods:** In this 12-week single-blind randomized controlled trial with a 6-week  
7 follow-up, inpatients with mixed psychiatric diagnoses were randomly assigned to  
8 either chair yoga therapy in addition to ongoing treatment, or treatment-as-usual. Chair  
9 yoga therapy was conducted as twice-weekly 20-minute sessions over 12 weeks.  
10 Assessments included anteflexion in sitting, degree of muscle strength, and Modified  
11 Falls Efficacy Scale (MFES) as well as QOL, psychopathology and functioning.

12 **Results:** Fifty-six inpatients participated in this study (36 men; mean±SD age,  
13 55.3±13.7 years; schizophrenia 87.5%). In the chair yoga group, significant  
14 improvements were observed in flexibility, hand-grip, lower limb muscle endurance,  
15 and MFES at week 12 (mean±SD: 55.1±16.6 to 67.2±14.0 cm, 23.6±10.6 to 26.8±9.7  
16 kg, 4.9±4.0 to 7.0±3.9 kg, and 114.9±29.2 to 134.1±11.6, respectively). Additionally,  
17 these improvements were observable six weeks after the intervention was over. The

1 QOL-VAS improved in the intervention group while no differences were noted in  
2 psychopathology and functioning between the groups. The intervention appeared to be  
3 highly tolerable without any notable adverse effects.

4 **Conclusions:** The results indicated sustainable effects of 20-minute, 12-week,  
5 24-session chair yoga therapy on physical fitness. Chair yoga therapy may contribute to  
6 reduce the risk of falls and their unwanted consequences in psychiatric patients.

7

8 **Key words:** chair yoga therapy, fear of fall, flexibility, muscle strength, physical fitness

9

## 1 **1. Introduction**

2

3 A high incidence of falls has been reported in elderly patients who have been prescribed  
4 psychotropic medications (Bloch et al., 2011; Hill and Wee, 2012; Woolcott et al., 2009).

5 In older individuals with psychiatric disorders, the chance of a fall that results in injuries  
6 is reported to be 1.5-4.5 times greater than in healthy controls (Finkelstein et al., 2007).

7 The negative psychological experiences of falls are expected to result in a fear of  
8 recurrence, likely to reduce ADL, which in turn could impair QOL (Deshpande et al.,  
9 2008b). Therefore, it is highly desirable to prevent falls and to minimize any associated  
10 fears in this frail population in particular and thereby foster the potential for a better  
11 quality of life for these individuals.

12

13 Physical activity is typically recommended for seniors, and it has been identified to be  
14 an effective approach in preventing falls as has been shown in a recent meta-analysis  
15 (Stubbs et al., 2015a). This effectiveness is realized because enhanced physical activity  
16 is reported to reduce the severity of symptoms, and to derive multiple health benefits in  
17 anthropometric measures, aerobic capacity, QOL (Rosenbaum et al., 2014), cognitive

1 function (Firth et al., 2016), and depressive symptoms (Schuch et al., 2016) among  
2 patients with psychiatric disorders. It should be noted that skeletal muscle strength has  
3 an impact on postural stability and flexibility (Micheo et al., 2012; Rubini et al., 2007).  
4 Furthermore, postural stability and flexibility were found to represent separate  
5 constructs of physical fitness in patients taking antipsychotics (Ikai et al., 2016). In  
6 addition, a greater reduction in flexibility may be associated with an increase in  
7 negative symptoms in these patients (Ikai et al., 2016). A series of studies have  
8 underscored that there are high levels of sedentary behavior in people with psychiatric  
9 disorders. Consequently, there is a serious need for physical activity in such populations  
10 to promote and improve general health (Schuch et al., 2017; Stubbs et al., 2016;  
11 Vancampfort et al., 2016). However, depression, stress (Firth et al., 2016), aging, and a  
12 higher BMI (Vancampfort et al., 2016) are reported to be potential barriers against  
13 engaging in exercise in these patients. In this context, methods that may be useful for  
14 the general population may not be appropriate to derive clinical benefits, and specific  
15 strategies may be necessary as an effective intervention of falls in this particular patient  
16 population (Ikai et al., 2016).



1  
2 Yoga consists of a wide variety of aspects that include an ethical lifestyle, spiritual  
3 practice, physical exercise, and the practice of meditation (Iyengar, 1996). In particular,  
4 Hatha yoga, a physically-oriented yoga style, contains an exercise component, involving  
5 postures that predominately strengthen and stretch the musculature. This might be an  
6 option for patients with chronic schizophrenia because of its feasibility of practice.  
7 Randomized controlled trials of yoga therapy in addition to ongoing antipsychotic  
8 treatment have found to be beneficial to mental state, social functioning, and quality of  
9 life in patients with chronic schizophrenia (Duraiswamy et al., 2007; Jayaram et al.,  
10 2013; Visceglia and Lewis, 2011; Xie J et al., 2006) although the data remain  
11 inconclusive (Broderick et al., 2015; Cramer et al., 2013b). However, there has been  
12 only one clinical trial that focused on the effects of yoga therapy on physical parameters  
13 (Ikai et al., 2013).  
14 Chair yoga therapy is practiced by sitting in a chair, or standing while holding the chair  
15 for support. This practice seems promising, especially for older patients, since those  
16 who are unable to participate in the ordinal standing yoga, or exercise, can practice it

1 safely and conveniently (Galantino et al., 2012). In fact, previous studies have  
2 demonstrated the feasibility of chair yoga therapy (Galantino et al., 2012) as well as its  
3 beneficial effects on the fear of falls in seniors (Furtado et al., 2016). In light of the  
4 positive effects of yoga therapy on postural balance (Ikai et al., 2013), we hypothesized  
5 that chair yoga therapy should also be beneficial for patients with psychiatric disorders.  
6 To our knowledge, this has not been addressed in the literature.

7

8 In this 12-week single-blind randomized controlled trial, we evaluated the effects of 24  
9 sessions of adjunctive chair yoga therapy on physical parameters, including flexibility,  
10 muscle strength and body balance, in inpatients with chronic psychiatric disorders. We  
11 also performed a 6-week follow-up assessment after the intervention to examine  
12 whether the effects of the intervention were persistent or transient.

13

## 1 **2. Methods**

2

### 3 **2.1. Study Design**

4 In this single-site, single-blind randomized controlled trial, twice weekly sessions of  
5 chair yoga therapy were added to the ongoing regular psychopharmacological treatment  
6 for a period of 12 weeks. A 6-week follow-up assessment to examine the sustainability  
7 of the effects was done as well. The study was conducted at the Minami-Hanno Hospital,  
8 Saitama, Japan between December 2014 and February 2016. This study was approved  
9 by the hospital's institutional ethics board. Written informed consent was obtained from  
10 all participants after a full description of the study was provided. The study was  
11 registered at the University Medical Information Network Clinical Trial Registry  
12 (Identifier : UMIN000015711). This study is reported according to the standard  
13 CONSORT guidelines.

14

### 15 **2.2. Participants**

16 Study participants were recruited via a flyer posted in the inpatient units of the hospital.

17 In the present study, the following inclusion criteria were adopted: (1) 20 years, or older,

1 (2) inpatients, (3) ICD-10 diagnosis of psychiatric disorders (F0 - F9 according to the  
2 International Classification of Diseases, the 10th edition) (World Health Organization,  
3 1992), (4) receiving treatment with the same antipsychotics for the previous 8 weeks  
4 before entry, and (5) the capability of providing voluntary informed consent.  
5 Participants with active alcohol abuse, or other psychiatric comorbidities were excluded.  
6 In this study, no other exclusion criteria were applied to ensure representativeness of the  
7 sample in the real-world clinical setting. Medications were kept constant by the  
8 participants' treating psychiatrists throughout the study period unless a change was  
9 clinically indicated.

10

### 11 **2.3. Intervention**

12 The participants were randomly assigned to either of the following two groups: chair  
13 yoga therapy group, or treatment-as-usual group. Randomization was stratified for sex  
14 and age, and was performed using computers by a research assistant (Ms. Ai Ohtani  
15 Gounaridis) at Keio University who was otherwise not involved in this study.  
16 Participants who were assigned to the chair yoga group received a 20-minute chair yoga

1 session, based on Hatha yoga, twice a week for 12 weeks, amounting to a total of 24  
2 sessions. Each session consisted of chair yoga stretches and simple movements in  
3 coordination with breathing (gentle movements of major muscle groups), and asana:  
4 modified seated poses on chair, twisting poses on chair, supported standing poses on  
5 chair, etc. These are detailed in Supplementary Table 1. These sessions were provided as  
6 an adjunctive to routine treatment by a yoga instructor (S.I.), who was qualified as a  
7 Hatha yoga therapist, and were supported by two occupational therapists in the hospital.  
8 After the completion of the 12-week intervention, those in the intervention group  
9 received treatment as usual but did not receive any chair yoga therapy until the  
10 follow-up assessment was performed at 18 weeks after entry into the study. The  
11 participants in the control group were instructed to spend their time freely for twenty  
12 minutes (e.g. walking, reading, or chatting) each time. Following the completion of the  
13 12-week observation, the participants in the control group were provided an opportunity  
14 to attend chair yoga sessions when they wished. The participants were receiving the  
15 same psychotropics, including antipsychotics, for the entire study period unless a  
16 change was clinically indicated. This was done since the reported negative

1 consequences of medications such as postural instability (Koreki et al., 2011) and  
2 reduced bone mineral density (De Hert et al., 2016; Takahashi et al., 2013) would not  
3 affect the results of this study.

4

#### 5 **2.4. Outcomes Measures**

6 One of the outcome measures in this study was postural sway, which was measured  
7 using the Clinical Stabilometric Platform (CSP) (ANIMA® GS-7, Tokyo, Japan)  
8 between at baseline, week 12 and week 18. Postural sway is used to measure the range  
9 of the trunk motion by evaluating the resistance applied to the platform for 30 seconds  
10 with eyes closed, feet together, and arms down at the sides. The position of the center of  
11 pressure (COP) as the participants stand on the platform is calculated from forces and  
12 moments. The outcome parameter also includes the measure of COP sway area  
13 surrounded by an outer line, which is automatically calculated by this device and shown  
14 in  $\text{cm}^2$ ; a smaller value indicates a better stability. The Romberg ratio is defined as a  
15 ratio of sway areas obtained with eyes open and eyes closed. Anteflexion in sitting is the  
16 flexibility of the lower extremities (lower back and hamstring); it is measured using the

1 long seat type body anteflexion measurement device (TOEI LIGHT®, Tokyo, Japan) at  
2 baseline, week 12, and week 18. This body anteflexion measuring device is able to  
3 measure flexibility easily and safely with the legs being stretched. For this test, each  
4 participant leaned forward as much as possible, pushing the measuring gauge away  
5 from their bodies with the tips of their fingers. Upper extremities were assessed using a  
6 grip dynamometer while lower extremities, and lower limb muscle endurance were  
7 assessed by using strength testing with a portable dynamometer (ANIMA® µTas-F-100,  
8 Tokyo, Japan) between the hours of 13:00 and 17:00 at baseline, week 12, and week 18,  
9 respectively. We also considered the intra-class correlations for this trial; in addition,  
10 two trails were individually recorded with the average value utilized for analysis.

11

12 The following clinical assessments were performed at baseline and week 12 by  
13 experienced investigators who were blind to subjects' allocations and were not involved  
14 in the sessions. The participants received the clinical assessments of psychopathology  
15 with the Positive and Negative Syndrome Scale (PANSS) (Kay et al., 1987) for  
16 schizophrenia, the Montgomery-Åsberg Depression Rating Scale (MADRS)

1 (Montgomery and Asberg, 1979), and the Young Mania Rating Scale (YMRS) (Young  
2 et al., 1978) for mood disorders, and the Mini Mental State Examination (MMSE) for  
3 dementia. For the assessment of extrapyramidal symptoms, the Drug Induced  
4 Extrapyramidal Symptoms Scale (DIEPSS) (Inada, 1996) was used. The functioning  
5 level of each person was assessed with the Targeted Inventory on Problems in  
6 Schizophrenia (TIP-Sz) (Suzuki et al., 2008) for schizophrenia, the Functional  
7 Assessment Staging (FAST) (Sclan and Reisberg, 1992) for dementia, and the Global  
8 Assessment of Functioning (GAF) (Jones et al., 1995) for all diagnoses. The following  
9 self-administered scales were conducted at baseline, and weeks 12 and 18. QOL was  
10 evaluated with the EQ-5D (Brooks, 1996). It consists of two parts: a health state  
11 description (index) and Visual Analogue Scale (VAS). Participants assessed their health  
12 on a 3-point Likert scale ranging from '0=no problem' to '2=great problem'. The VAS  
13 provides a quantitative measure of health as judged by the individual respondents,  
14 which ranges from 0 to 100; a greater score represents better subjective health  
15 conditions. With regard to the fear of falls, the Modified Falls Efficacy Scale (MFES) in  
16 Japanese (Hill et al., 1996) was used. This self-assessment scale examines a degree of



1 perceived self-efficacy at avoiding a fall during basic activities from 0 (not at all  
2 confident) to 10 (completely confident) on 14 items (Hill et al., 1996). In addition to sex,  
3 age, height (cm), weight (kg), duration of illness (years after the diagnosis was  
4 determined), length of stay (years, or days), the number of falls in the past 12 months,  
5 physical comorbidity, waist circumference (cm), and psychotropic medication regimen,  
6 chlorpromazine equivalent dose of antipsychotics (Inagaki and Inada, 2008; Inagaki et  
7 al., 1999), the use of benzodiazepines, lithium, and anticonvulsants were examined as  
8 possible predictors of falls in an inpatient psychiatric population (Lavsa et al., 2010).

9

## 10 ***2.5. Statistical Analyses***

11 For the primary efficacy measure of physical fitness, 25 participants in each group were  
12 required for a power of 80% at a two-side alpha of 0.05 to detect a treatment difference  
13 of 20% with a 25% standard deviation. Assuming a dropout rate of 10%, a total of 28  
14 patients in each group were required. Statistical analyses were carried out, using the  
15 IBM SPSS Statistics Version 23 (IBM Corporation Armonk, NY). Subjects' baseline  
16 characteristics were compared between the two groups, using the chi-square test, or the

1 independent t-test. The Mann-Whitney U test was used for onset of illness, length of  
2 stay, falls in the past 12 months, GAF, EQ-5D, total length of the trunk motion, range of  
3 the trunk motion, Romberg ratio, lower limb muscle endurance, knee flexion strength to  
4 body weight ratio, and MFES since they were not distributed normally (by the  
5 Shapiro-Wilk test of normality). The mixed-effects model for repeated measures was  
6 used to compare the continuous outcomes between the chair yoga therapy group and the  
7 control group. Group and time main effects were included in the main analysis.  
8 Analyses were performed on an intent-to-treat basis. Where appropriate, post-hoc  
9 analyses were performed with Bonferroni correction. All tests were two-tailed and a  
10 *P*-value of <0.05 was considered statistically significant.

11

## 1 **3. Results**

2

### 3 **3.1. Characteristics of Participants**

4 Sixty-four patients were approached; of these, four patients did not agree to participate  
5 in this study because of little interest in any physical activities. Thus, 60 patients agreed  
6 to participate in this study. Among them, four participants were discharged from the  
7 hospital before the randomization. Consequently, a total of 56 participants entered the  
8 intervention phase. These participants were allocated to either the chair yoga therapy  
9 group (n=28), or the control group (n=28). Characteristics and disposition of  
10 participants are shown in Table 1, and Figure 1, respectively; there were no significant  
11 differences in all variables at baseline between the two groups. Forty-nine participants  
12 (87.5%) were diagnosed with schizophrenia, 3 with mood disorder (5.3%), 2 with  
13 dementia (3.6%), and 2 with chronic alcohol dependence (3.6%). Physical  
14 comorbidities were: constipation (33.9%, n=19), hypertension (18.6%, n=10),  
15 hyperlipidemia (16.0%, n=9), diabetes mellitus (8.9%, n=5), anemia (3.6%, n=2), low  
16 back pain (3.6%, n=2), seizure (1.8%, n=1), hepatitis C (1.8%, n=1), angina (1.8%,

1 n=1), and polyneuritis (1.8%, n=1). The most frequently used antipsychotic drug at  
2 baseline was haloperidol (30.8%, n=16), followed by risperidone (17.3%, n=9),  
3 olanzapine (13.5%, n=7), quetiapine (13.5%, n=7), aripiprazole (7.7%, n=4),  
4 perospirone (3.8%, n=2), and fluphenazine (3.8%, n=2). Twenty-three patients (41.1%)  
5 were receiving two or three antipsychotics. Use rates of benzodiazepine, lithium, and  
6 anticonvulsants are described in Table 1. Medication adherence was acceptable judging  
7 from participants' nursing medical records for both groups. Ten participants (5  
8 participants in each group) experienced a minor change in their medication regimen  
9 during the 12 weeks.

10

### 11 ***3.2. Treatment Outcomes at week 12***

12 Two participants (7.1%) in the yoga therapy group and 3 participants (10.7%) in the  
13 control group prematurely withdrew from the study, respectively. The reasons for  
14 withdrawal were: discharge from the hospital (2 participants in the yoga group), relapse  
15 (1 participant in the control group), and transfer to another hospital (2 participants in the  
16 control group). The mean total number of participation in the chair yoga intervention

1 was 20.0 sessions (max. 24). No side effects were reported by either of the groups.

2  
3 The mixed-effects model for repeated measures demonstrated significant differences  
4 between the two groups (Table 2). Participants in the chair yoga intervention showed  
5 greater improvements in the anteflexion, hand grip, lower limb muscle strength, EQ-5D  
6 VAS, and MFES at week 12 compared to those in the control group. In the subgroup of  
7 schizophrenia patients that constituted 87.5% of the study population, improvements  
8 were not observed in the PANSS, DIEPSS, and TIP-Sz (Table 3). Moreover, no  
9 improvements were found in psychopathology and functioning level in those with mood  
10 disorder or dementia (data available on request).

### 11 12 ***3.3. Follow-up Assessment at Week 18***

13 As shown in Table 4, the improvements in the flexibility, muscle strength, fear of falls,  
14 and QOL noted at week 12 were still apparent 6 weeks later at week 18 in the chair  
15 yoga group by the mixed-effects model for repeated measures.

## 1 **4. Discussion**

2

### 3 ***4.1. Main Findings***

4 To the best of our knowledge, this is the first study to evaluate the effects of chair yoga  
5 therapy on physical fitness in inpatients with chronic psychiatric disorders. We found  
6 that a total of 24 sessions of chair yoga intervention over 12 weeks improved the  
7 flexibility, degree of muscle strength, fear of falls, and QOL at week 12 compared to the  
8 control group. In addition, these improvements were sustained for another 6 weeks after  
9 the intervention was over. These findings suggest the potential utility of chair yoga  
10 therapy to enhance physical fitness and to mitigate the fear of falls; therefore, this form  
11 of yoga therapy may aid in the reduction of falls and subsequently a reduction of  
12 fractures in patients with chronic psychiatric disorders.

13

### 14 ***4.2. Chair Yoga Therapy and Physical Activity***

15 Yoga includes a variety of movements and poses that focus on the pivot of the body,  
16 which is practiced in coordination with breath control, meditation, and lifestyle changes

1 (Iyengar, 1996). Previous clinical trials have demonstrated the effectiveness of yoga  
2 therapy in gait, balance, and flexibility of the body among elderly people (Jeter et al.,  
3 2014; Roland et al., 2011; Schmid et al., 2010; Zettergren et al., 2011), and in postural  
4 stability among patients with schizophrenia (Ikai et al., 2013). Thus, yoga therapy has  
5 been considered as a means to improve the parameters of physical fitness in patients  
6 with psychiatric disorders as well. A point of interest, a pilot study in healthy people  
7 also showed that chair yoga therapy is a feasible and safe intervention for seniors  
8 (Furtado et al., 2016; Galantino et al., 2012). The results of the present study have  
9 demonstrated the potential of chair yoga therapy for positive effects on the parameters  
10 of physical fitness in people with psychiatric disorders.

11

#### 12 ***4.3. Yoga Therapy and the Fear of Falls***

13 The fear of falls has been reported to be associated with physical and psychological  
14 negative consequences (Deshpande et al., 2008a), and as a predictor of falls (Ersoy et al.,  
15 2009). Although exercise intervention reduces the fear of falls in the  
16 community-dwelling elderly, the effects do not seem to be sustainable after the

1 intervention (Kendrick et al., 2014). The average age of this study group, 55 years old,  
2 may not appear to qualify as an elderly group; however, given that patients with  
3 schizophrenia have, on average, a life expectancy that is 22.5 years shorter than the  
4 general population along with a higher risk of cardiovascular diseases (Tiihonen et al.,  
5 2009; Correll et al., 2017), the mean age of 55 years in our sample may be considered to  
6 be relatively old.

7 In the present study, a significant improvement in the MFES by approximately 15% was  
8 observed in patients with chronic psychiatric disorders, following the 12-week chair  
9 yoga therapy. These clinical gains were sustained for another 6 weeks after the  
10 intervention. Given that patients with chronic psychiatric disorders generally need to  
11 receive antipsychotics for years, and since these medications may render patients  
12 susceptible to falls as a side effect, the topic addressed herein represents a serious  
13 clinical issue. Actual long-term outcomes regarding prevalence of falls were not the  
14 focus of this study, which remains a critical issue to be addressed in the future.

15

#### 16 ***4.4. Yoga Therapy and Prevention of Falls in Patients with Psychiatric Disorders***



1 In addition to the reported high incidence of falls (Bloch et al., 2011; Hill and Wee,  
2 2012; Woolcott et al., 2009), it should be noted that reduced bone mineral density could  
3 result from antipsychotic treatment. This may lead to severe fractures, which can have  
4 life-threatening consequences if falls happen at all, where hyperprolactinemia and postural  
5 instability greatly matter (Gomez et al., 2016; Stubbs et al., 2014; Stubbs et al., 2015b;  
6 Takahashi et al., 2013; De Hert et al., 2016; Koreki et al., 2011). However, in clinical  
7 settings, some older patients are unable, or unwilling to participate in the ordinal  
8 standing exercises; depression, stress (Firth et al., 2016), aging, and higher BMI  
9 (Vancampfort et al., 2015) may hamper their engagement in exercises that require  
10 standing. In this context, simpler strategies might be appropriate as an effective  
11 intervention of falls in specific patient populations (Ikai et al., 2016). This topic is  
12 highly pertinent since as our society continues to age, there will be a subsequent  
13 increase in the numbers of chronic or elderly psychiatric patients, making prevention of  
14 falls a clinical priority.

15 Physical activities as well as individually tailored multifactorial interventions are  
16 recommended for the prevention of falls in the elderly (Stubbs et al., 2015a). Yoga

1 therapy has also been proven to enhance body awareness, where practitioners are  
2 instructed to maintain sitting yoga positions with the eyes either opened or closed  
3 (Cramer et al., 2013a). Therefore, a series of such brief poses in chair yoga therapy may  
4 facilitate better muscle strength, and greater body flexibility, which are both expected to  
5 exert protective effects against falls.

6  
7 In the real world clinical settings, sedentariness, difficulties in attention, and  
8 demotivation are considered to be obstacles to regular physical activities (Aubin et al.,  
9 2009). However, it is critically important to point out that people with psychotic  
10 disorders do like exercise, but they simply need help to engage in exercise (Soundy et  
11 al., 2014). Continued reinforcement would be necessary to help patients maintain  
12 motivation. Another consideration relates to neuroleptic-induced deficit syndrome  
13 (NIDS) that causes sedation, sleepiness, and dysphoria in patients receiving  
14 antipsychotics (Lewander, 1994). We previously showed that a 8-week standard yoga  
15 session was insufficient to derive clinical benefits of resilience and metabolic markers  
16 (Ikai et al., 2014), and to maintain the benefit of postural stability (Ikai et al., 2013).

1 Considering the obstacle of negative or deficit symptoms, a relatively user-friendly, less  
2 strenuous manner of intervention, as was adopted herein, may be useful in light of the  
3 limited feasibility of continuation of more rigorous forms of interventions.

4

#### 5 ***4.5. Clinical implications***

6 A twice-a-week 20-minute chair yoga intervention is relatively concise compared to  
7 conventional exercise interventions in terms of duration and intensity (Firth et al., 2017;  
8 Rosenbaum et al., 2014). The high completion rate in the present study would imply the  
9 feasibility and potential utility of chair yoga therapy in patients with chronic psychiatric  
10 disorders. To further optimize the chair yoga therapy, intensity, frequency, and duration  
11 of sessions warrant further investigations since our protocol has been insufficient to  
12 improve postural stability in our participants. Lastly, the postures and practices of chair  
13 yoga therapy may need to be individually tailored for each population in light of the  
14 physical strength and comorbidities of each participant.

15

#### 16 ***4.6. Limitations***

1 Apart from the issues discussed above, there are several limitations to be noted. First,  
2 this study was limited by the small sample size (n=56), and included various psychiatric  
3 diagnoses, potentially limiting the power to detect possible differentials between the  
4 groups. Second, the results should be interpreted in a context of type, duration, and  
5 intensity of chair yoga intervention coordinated by one author (S.I.): the optimal mode  
6 of delivery of yoga therapy (e.g. intensity and duration) is still unknown. Third, due to  
7 the single-blind study design, expectation bias among the participants in the yoga group  
8 remains a possibility although a number of objective measures were adopted, and it  
9 would have been technically challenging to conduct a double-blind RCT of chair yoga  
10 therapy. Fourth, our inability to control for the contribution of different antipsychotics  
11 on the parameter of physical fitness was another limitation. In fact, the effects of  
12 medications on postural stability may differ among medications (Koreki et al., 2011).  
13 Fifth, the sustainability of the effects of chair yoga therapy is not known beyond 6  
14 weeks. Sixth, the actual physical activity level of participants outside of yoga therapy  
15 was not evaluated in this study. Although the participants in the chair yoga group did  
16 not receive any formal chair yoga therapy after the 12-week intervention, we cannot

1 entirely reject a possibility that they practiced chair yoga on their own. Finally, the  
2 mechanisms on how yoga induces beneficial physical effects remain to be elucidated,  
3 calling for further studies on this clinically relevant topic.

4

5 In conclusion, the first study to focus on the effect of chair yoga therapy on physical  
6 activities in patients with psychiatric disorders was positive. These findings suggest the  
7 clinical utility of chair yoga intervention to enhance physical fitness and to potentially  
8 reduce the risk of falls and fractures in patients with psychiatric disorders. Furthermore,  
9 the therapeutic effects of the 12-week chair yoga therapy with a total of 24 sessions  
10 seemed sustainable for at least 6 weeks after the intervention. Since the impacts of  
11 physical fitness are amenable to improvements throughout a person's life,  
12 comprehensive strategies for the prevention of falls are urgently needed. In light of the  
13 limited evidence to date, our results emphasize the need for further investigations on the  
14 potential benefits of chair yoga therapy in patients with psychiatric disorders.

15

16

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Table 1. Demographic and Clinical Characteristics of Participants (N=56)

Variables	Yoga group (n=28)	Control group (n=28)	P-value
Age, years	55.5±11.4	55.0±15.8	0.89
Male, n (%)	18 (64.3)	18 (64.3)	0.61
Onset of illness, years	32.0±17.7	30.1±14.0	0.81
Duration of illness, years	23.4±14.4	28.9±14.8	0.17
Length of stay, months	155.3±139.8	102.0±104.5	0.82
Body mass index	22.7±4.2	24.5±4.8	0.15
Waist circumference, cm	89.1±10.9	87.5±11.4	0.59
Dose of antipsychotics, CPZE mg/d	546.1±385.5	569.9±362.0	0.89
Use of benzodiazepines, n (%)	16 (57.1)	15 (53.6)	0.79
Use of lithium, n (%)	5 (17.9)	4 (14.3)	0.72
Use of anticonvulsants, n (%)	6 (21.4)	8 (28.6)	0.54
Falls in past 12 months	0.4±1.0	1.1±2.0	0.13
Anteflexion in sitting, cm	55.1±16.6	54.2±16.9	0.84
Postural sway			
Total length of the trunk motion, cm	60.9±26.2	61.4±27.1	0.83
Range of the trunk motion, cm <sup>2</sup>	5.7±4.1	5.0±3.8	0.46
Romberg ratio	1.155±0.637	1.224±0.948	0.70
Muscle strength			
Hand grip, kg	23.6±10.6	25.7±11.8	0.47
Lower limb muscle endurance	4.9±4.0	5.5±5.0	0.83
Knee flexion strength to body weight ratio	0.079±0.056	0.083±0.067	0.82
MFES	114.9±29.2	122.1±31.0	0.24

Group differences were compared using chi-square test, independent t-test, or the Mann-Whitney test. Values are shown as mean±SD.

Abbreviations: CPZE, chlorpromazine equivalent; MFES, Modified Falls Efficacy Scale in Japanese; SD, standard deviation.

Table 2. Mixed-effects Model in Anteflexion, Postural Sway, Muscle Strength, EQ-5D, GAF, and MFES at Baseline and Week 12

Variables	Yoga group (n=28)		Control group (n=28)		P-value of interaction
	Baseline	Week 12	Baseline	Week 12	
Anteflexion in sitting, cm	55.1±16.6	67.2±14.0 <sup>a</sup>	54.2±16.9	59.0±13.7	<b>0.029</b>
Postural sway					
Total length of the trunk motion, cm	60.9±26.2	57.1±24.3	61.4±27.1	63.4±30.8	0.42
Range of the trunk motion, cm <sup>2</sup>	5.7±4.1	4.6±4.1	5.0±3.8	5.0±2.8	0.50
Romberg ratio	1.155±0.637	1.305±0.773	1.224±0.948	1.376±0.957	0.89
Muscle strength					
Hand grip, kg	23.6±10.6	26.8±9.7 <sup>a</sup>	25.7±11.8	25.2±12.1	<b>0.001</b>
Lower limb muscle endurance, kg	4.9±4.0	7.0±3.9 <sup>a</sup>	5.5±5.0	5.2±4.6	<b>0.004</b>
Knee flexion strength to body weight ratio	0.079±0.056	0.115±0.061 <sup>a</sup>	0.083±0.067	0.079±0.060	<b>0.002</b>
EQ-5D index	0.653±0.354	0.898±0.129	0.620±0.417	0.680±0.289	0.14
EQ-5D VAS	58.9±25.0	73.4±20.2 <sup>a</sup>	62.4±29.6	56.4±23.4	<b>0.002</b>
GAF	27.9±9.4	31.7±9.7	28.0±9.3	29.0±10.5	0.05
MFES	114.9±29.2	134.1±11.6 <sup>a</sup>	122.1±31.0	120.0±33.3	<b>0.03</b>

Group differences were compared using mixed-effects model for repeated measures (two-way).

Values are shown as mean±SD, using a last-observation-carried-forward method.

Abbreviations: EQ-5D, the EuroQol 5 dimensions; GAF, Global Assessment of Functioning; MFES, Modified Falls Efficacy Scale in Japanese; SD,

standard deviation; VAS, Visual Analogue Scale.

<sup>a</sup> *P*-value for time effects of <0.05.

*P*-values of <0.05 are shown in bold.

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Table 3. Mixed-Effects Model in Symptoms at Baseline and Week 12 in the Subgroup of Schizophrenia

Variables	Yoga group (n=24)		Control group (n=25)		<i>P</i> -value of interaction
	Baseline	Week 12	Baseline	Week 12	
PANSS					
Total	90.0±16.5	85.5±16.1	83.9±24.8	80.2±20.3	0.31
Positive symptoms	18.3±6.2	17.8±6.3	18.3±6.9	17.2±6.2	0.79
Negative symptoms	27.5±6.9	26.0±6.7	24.6±9.3	23.7±8.2	0.24
General psychopathology	43.8±7.6	41.8±7.3	41.0±12.0	39.3±9.6	0.31
DIEPSS	6.2±3.1	5.7±3.4	4.5±3.8	3.7±2.7	0.10
TIP-Sz	45.9±10.5	51.2±10.3	49.0±15.6	51.2±14.9	0.70

Group differences were compared using mixed-effects model for repeated measures (two-way).

Values are shown as mean±SD, using a last-observation-carried-forward method.

Abbreviations: DIEPSS, Drug Induced Extrapyramidal Symptoms Scale; PANSS, Positive and Negative Syndrome Scale; SD, standard deviation; TIP-Sz, Targeted Inventory on Problems in Schizophrenia.

Table 4. Mixed-Effects Model in the Yoga Group at Baseline, Week 12, and Week 18

Variables	Yoga group (n=28)			P-value
	Baseline	Week 12	Week 18	
Anteflexion in sitting, cm	55.1±16.6	67.2±14.0 <sup>a</sup>	68.8±14.2 <sup>b</sup>	<0.001
Postural sway				
Total length of the trunk motion, cm	60.9±26.2	57.1±24.3	52.2±23.1	0.23
Range of the trunk motion, cm <sup>2</sup>	5.7±4.1	4.6±4.1	3.9±2.3	0.16
Romberg ratio	1.155±0.637	1.305±0.773	1.179±0.907	0.71
Muscle strength				
Hand grip, kg	23.6±10.6	26.8±9.7 <sup>c</sup>	26.7±9.9 <sup>d</sup>	<0.001
Lower limb muscle endurance, kg	4.9±4.0	7.0±3.9 <sup>e</sup>	7.3±3.9 <sup>f</sup>	<0.001
Knee flexion strength to body weight ratio	0.079±0.056	0.115±0.061 <sup>g</sup>	0.118±0.060 <sup>h</sup>	<0.001
EQ-5D index	0.653±0.354	0.898±0.129 <sup>i</sup>	0.843±0.168 <sup>j</sup>	<0.001
EQ-5D VAS	58.9±25.0	73.4±20.2 <sup>k</sup>	70.6±18.7 <sup>l</sup>	<0.001
MFES	114.9±29.2	134.1±11.6 <sup>m</sup>	138.0±6.5 <sup>n</sup>	<0.001

<sup>a</sup> P-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>b</sup> P-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>c</sup> P-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>d</sup> P-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>e</sup> P-value of 0.001 after Bonferroni correction, compared with baseline.

<sup>f</sup> *P*-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>g</sup> *P*-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>h</sup> *P*-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>i</sup> *P*-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>j</sup> *P*-value of 0.001 after Bonferroni correction, compared with baseline.

<sup>k</sup> *P*-value of 0.008 after Bonferroni correction, compared with baseline.

<sup>l</sup> *P*-value of 0.034 after Bonferroni correction, compared with baseline.

<sup>m</sup> *P*-value of <0.001 after Bonferroni correction, compared with baseline.

<sup>n</sup> *P*-value of <0.001 after Bonferroni correction, compared with baseline.

The differences were compared using mixed-effects model for repeated measures (one-way).

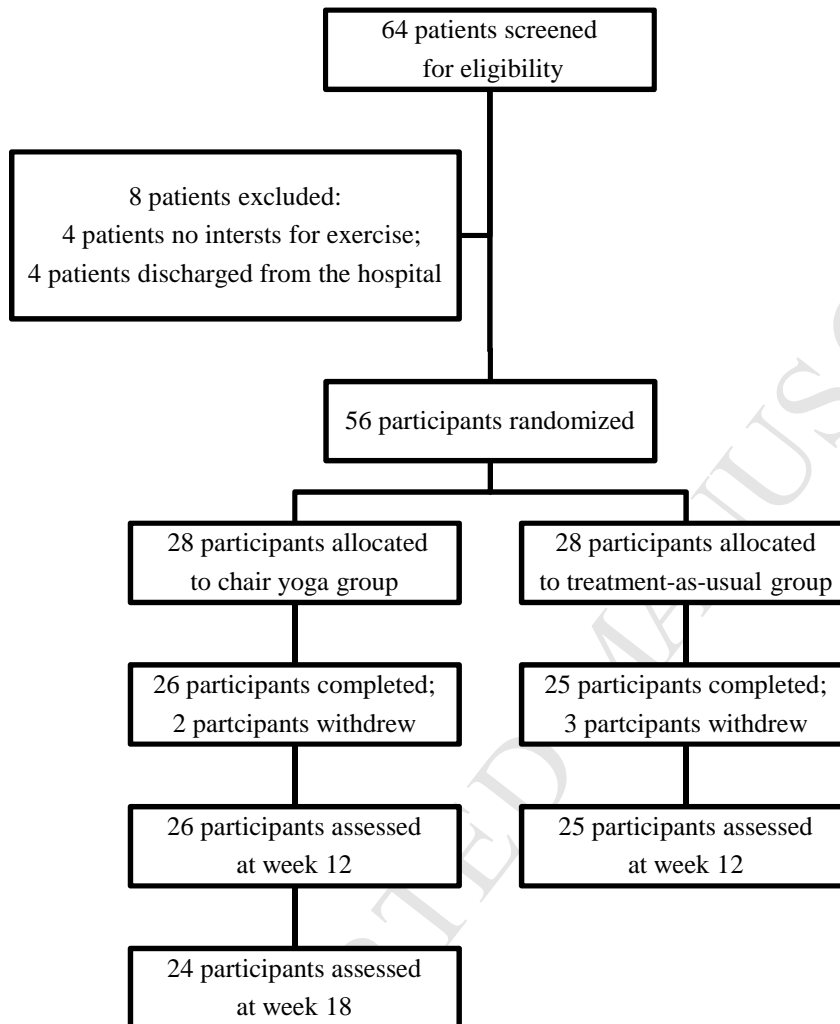
Values are shown as mean±SD using a last-observation-carried-forward method (one-way).

Abbreviations: EQ-5D, the EuroQol 5 dimensions; GAF, Global Assessment of Functioning; MFES, Modified Falls Efficacy Scale in Japanese; SD, standard deviation; VAS, Visual Analogue Scale.

*P*-values of <0.05 are shown in bold.



Figure 1. Flow of the Participants



**Highlights:**

-12-week chair yoga therapy improved physical fitness and fear of falls among psychiatric patients.

-These improvements were sustained for 6 weeks after the intervention was over.

-A total of 24 sessions of chair yoga therapy was well tolerated.

-Chair yoga therapy may contribute to reduce the risk of falls in psychiatric patients.