Radiation Energy and Mutual Coupling Evaluation for Multimode MIMO Antenna Based on the Theory of Characteristic Mode

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Abstract—This paper presents a novel method to evaluate radiation energy and mutual coupling in multimode antennas. Based on the theory of characteristics mode, how much each mode occupies the radiation and the mutual coupling from each feeding port is calculated with the modal energy occupied coefficients. Furthermore, the linear transformation of feeding network in multimode antenna system has been adopted to complete the modal-based method. Then, this method is utilized to analyze and decrease the mutual coupling between feeding ports. Hence, a hexagonal wideband antenna is proposed with its evolution process and measured to validate the proposed method. The presented hexagonal antenna is a four-port multimode antenna consisting a planar hexagonal plate, vertical tapered baluns, and feeding network at the ground plane. The whole antenna works in 3-6 GHz and all its four ports are well matched with high port-to-port isolation.

Index Terms— Characteristic mode (CM), multimode antenna, multiple-input multiple-output (MIMO) antenna, mutual coupling.

I. INTRODUCTION

R ESEARCH and development on the design of multimode antennas have attracted considerable attention in recent years. Radiating multiple modes through different excitations on one structure [1]–[5], the multimode antenna could avoid the large spatial requirement of a conventional multiple-input multiple-output (MIMO) array and can be a competitive candidate in the construction of massive MIMO systems [6]. The idea of using the theory of characteristic mode (TCM) to design multimode antennas by directly placing feedings on antennas has been introduced in [7] and [8], and since then, multiport antenna design has become one of the important applications of TCM.

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Providing distinct insights into operating mechanisms of antennas, TCM significantly benefits the design of multimode antennas [6]–[11]. As modal far-field patterns are orthogonal to each other, exciting pure characteristic modes (CMs) through specific feeding methods leads to high port-to-port isolations. In [11], a four-mode MIMO antenna covering a tunable frequency from 470 to 790 MHz was realized on a femto-cell factor structure. A $220 \times 220 \times 140 \text{ mm}^3$ box was analyzed with TCM, and the four modes were excited through an internal tunable matching network. By inserting symmetry slots on a square plate, Manteuffel and Martens [6] excited four CMs simultaneously on the plate to achieve a compact multimode antenna element with four ports. Afterward, the optimized four-port antenna element was extended to an 11×11 array with 484 ports for massive MIMO applications.

The design of multimode antennas is challenging since all ports have to be well matched while maintaining high port-toport isolations at the same time. Although the TCM has been proven to be a useful tool to tackle some of the challenges in the design of multimode antennas [6]–[11], it also reaches the limit.

First, the requirement for multiple input ports means that CM analysis (CMA) should focus on more than two potential CMs, which implies that the maximum dimension of the antenna is larger than half of the operating wavelength, instead of being electrically small [12]. In this case, some low-order CMs have already passed their resonant frequency but still have potentials to radiate, while others are approaching their resonance. Thence, it is difficult to solely excite a pure CM from each feeding port. Previous multiport antennas realized with TCM [6]-[10], only considered the resonating CMs, while the near-resonating CMs and nonresonating ones were ignored. This made the outcome antenna performance more or less different from the performance of the single CM. In addition, most CMA-related literature [6]-[8] developed their feeding ports just from feeding positions suggested by CMA (i.e., the current maxima of the corresponding mode to be excited). However, these are not always easy to discern and locate on the antenna structure due to the complexity of high-order CMs current distributions. Moreover, in most cases, feeding positions are not only just decided according to the CMA results but also the requirement of easy-to-fabricate

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or esthetic needs. In general, excitations of CMs will not always be as ideal as designers' expectations and they may deteriorate the final antenna performance. Therefore, a CMA-based method with respect to predetermined input ports helps to overcome these problems.

In [13], CMA has been used to reduce the out-of-band coupling, as the evaluation of mutual coupling was introduced as a modal admittance (MA). Moreover, it showed that the modal mutual coupling is able to be calculated between CMs and it can be used to identify certain CMs to suppress. However, the proposed work in [13] only discussed a two-element system and did not address the design of multimode MIMO antennas.

In this paper, a new method to evaluate the CM radiation and coupling is presented. Supported with theoretical analyses and derivations, the proposed method is enabled to be adopted to analyze multimode antenna systems. Based on the method, a four-port wideband antenna with its evolution process is given as an example. The antenna works from 3 to 6 GHz, and it realizes a high isolation among each port. All CMA in this paper are calculated under MATLAB environment with impedance matrix generated from FEKO, and the final antenna design is optimized in CST Microwave Studio.

II. MUTUAL COUPLING IN THE CMA SENSE

First, presented by Garbacz and Turpin [14], the TCM was then refined and analyzed with comprehensive depiction by Harrington and Mautz [15] and Harrington *et al.* [16] with linear operators. For any conducting body with incident electromagnetic wave on its surface, the surface current **J** and scattering field **E** can be decomposed into several CMs

$$\mathbf{J} = \sum_{n=1}^{\infty} \frac{V_n^i \mathbf{J}_n}{1 + j\lambda_n} = \sum_{n=1}^{\infty} \frac{\langle \mathbf{J}_n, \mathbf{E}^i \rangle}{1 + j\lambda_n} \mathbf{J}_n \tag{1}$$

$$\mathbf{E} = \sum_{n=1}^{\infty} \frac{V_n^i \mathbf{E}_n}{1 + j\lambda_n} = \sum_{n=1}^{\infty} \frac{\langle \mathbf{J}_n, \mathbf{E}^i \rangle}{1 + j\lambda_n} \mathbf{E}_n$$
(2)

where λ_n , \mathbf{J}_n , and \mathbf{E}_n are eigenvalue, modal current, and modal field for the n_{th} mode, respectively. V_n^i denotes the modal excitation coefficient, which is the inner product of two vectors: \mathbf{J}_n and incident excitation \mathbf{E}^i . It is worth mentioning that the eigenvalue, one of the most simple yet crucial properties of a CM, plays an important role as indicating the radiating ability of each mode. For arbitrary Mode *m* and *n*, there is [15]

$$\lambda_n \delta_{mn} = \omega \oiint_S \left(\mu H_m \cdot H_n^* - \epsilon E_m \cdot E_n^* \right) d\Omega$$
(3)

where μ and ϵ are the permittivity and permeability of free space, respectively, and δ_{mn} is the Kronecker delta

$$\delta_{mn} = \begin{cases} 1, & m = n \\ 0, & m \neq n. \end{cases}$$

According to (3), when m = n, the sign of eigenvalue λ_n determines the kind of energy this mode stores: when $\lambda_n > 0$, Mode *n* stores magnetic energy, while when $\lambda_n < 0$, Mode *n* stores electric energy. Equation (3) also indicates that the smaller λ_n is, the more energy its corresponding mode could

radiate. The radiation ability of one mode can be indicated by the modal significance (MS) [17], which is defined as

$$MS = \left| \frac{1}{1+j\lambda} \right|. \tag{4}$$

MS is always smaller than 1. The closer it is to 1, the more likely the mode resonates. CMs with $MS < 1/\sqrt{2}$ are referred to as "nonsignificant modes" [17] that are normally ignored in CMA.

When a unit incident excitation occurs at the p_{th} mesh edge, it can be expressed as [13], [18]

$$\mathbf{E}^{i} = \mathbf{e}_{p}\delta(\mathbf{r}') \tag{5}$$

where \mathbf{e}_p is a unit vector normal to the p_{th} edge and \mathbf{r}' is a radius vector. The modal excitation coefficient V_n^i is obtained from [13]

$$V_n^i = V_1 I_n(p) l_p \tag{6}$$

where V_1 is the magnitude of the input excitation. $I_n(p)$ is the p_{th} current coefficient for the n_{th} modal current and l_p is the corresponding edge length.

Furthermore, the radiation power P_{rad} of the output wave at infinite writes

Apply simplified modal coefficients given by (6), the decomposition of *E*-field in (2) and their orthogonality [17]

$$\frac{1}{\eta} \oint_{S_{\infty}} \mathbf{E}_{\mathbf{m}} \cdot \mathbf{E}_{\mathbf{n}}^* d\Omega = \delta_{mn}$$
(8)

to (7) and get

$$P_{rad} = \frac{1}{\eta} \oint_{S_{\infty}} \sum_{n=1}^{\infty} \frac{V_n^i \mathbf{E}_n}{1+j\lambda_n} \cdot \left(\sum_{m=1}^{\infty} \frac{V_m^i \mathbf{E}_m}{1+j\lambda_m}\right)^* d\Omega$$
$$= \frac{1}{\eta} \oint_{S_{\infty}} \sum_{n=1}^{\infty} \frac{V_n^i \mathbf{E}_n}{1+j\lambda_n} \cdot \sum_{m=1}^{\infty} \frac{V_m^i \mathbf{E}_m^*}{1-j\lambda_m} d\Omega$$
$$= \frac{1}{\eta} \sum_{n=1}^{\infty} \frac{(V_1 I_n(p) l_p)^2}{1+\lambda_n^2} \oint_{S_{\infty}} \mathbf{E}_n \cdot \mathbf{E}_n^* d\Omega$$
$$= \sum_{n=1}^{\infty} \frac{(V_1 I_n(p) l_p)^2}{1+\lambda_n^2}$$
$$\approx \sum_{n=1}^{N} \frac{(V_1 I_n(p) l_p)^2}{1+\lambda_n^2} = \sum_{n=1}^{N} |\alpha_n|^2$$
(9)

where η is the space wave impedance and α_n is the modal weight coefficient for the n_{th} mode with a specific excitation.

When the similar approach is applied on a 2×2 antenna array system to measure its mutual coupling, the envelope correlation coefficient (ECC) is derived with (2), (6), and (7)

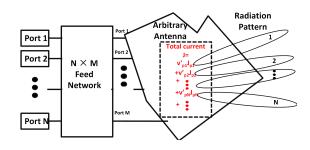


Fig. 1. Schematic view of multiport antenna radiation.

inserted

$$\rho_{ECC} = \frac{\bigoplus_{S} E_{1} \cdot E_{2}^{*} d\Omega}{\sqrt{\bigoplus_{S} E_{1} \cdot E_{1}^{*} d\Omega \bigoplus_{S} E_{2} \cdot E_{2}^{*} d\Omega}}$$

$$= \frac{1}{\eta \sqrt{P_{rad1} P_{rad2}}} \bigoplus_{S_{\infty}} \sum_{n=1}^{\infty} \frac{V_{n}^{1i} E_{n}}{1 + j \lambda_{n}} \left(\sum_{m=1}^{\infty} \frac{V_{m}^{2i} E_{m}}{1 + j \lambda_{m}} \right)^{*} d\Omega$$

$$= \frac{\sum_{n=1}^{\infty} (V_{1} V_{2} I_{n}(p) I_{n}(q) l_{p} l_{q})}{(1 + \lambda_{n}^{2}) \sqrt{P_{rad1} P_{rad2}}}$$

$$= \sum_{n=1}^{\infty} \left(\frac{1}{\sqrt{1 + \lambda_{n}^{2}}} \frac{V_{1} I_{n}(p) l_{p}}{\sqrt{P_{rad1}}} \right) \left(\frac{1}{\sqrt{1 + \lambda_{n}^{2}}} \frac{V_{2} I_{n}(q) l_{q}}{\sqrt{P_{rad2}}} \right)$$

$$\approx \sum_{n=1}^{N} M_{1n} M_{2n}$$
(10)

where $((1/\sqrt{1 + \lambda_n^2})(V_i I_n(p)l_p/\sqrt{P_{radi}}))$ is noted as M_{in} and will be explained later. Moreover, (9) can be normalized as

$$1 = \sum_{n=1}^{N} \frac{(V_1 I_n(p) l_p)^2}{(P_{rad1})(1 + \lambda_n^2)} = \sum_{n=1}^{\infty} M_{1n}^2.$$
 (11)

The above-mentioned derivations assume that antenna port 1 only lays on the p_{th} nonboundary mesh edge and port 2 on the q_{th} edge.

 M_{1n} or M_{2n} can easily be calculated through CMA methods. Equation (11) shows the energy contribution of each mode in far-field radiation, and (10) presents how two ports are coupled at each CM in far field. Thus, we name M_{1n} as the n_{th} modal energy occupied coefficient (MEOC) for port 1.

III. MEOC FOR MULTIMODE MIMO SYSTEM

A general multimode antenna system should be constructed as shown in Fig. 1. N ports are used to feed the antenna through an $N \times M$ ($N \leq M$) feeding network and each port excites a particular radiation pattern. At low frequency, where only a few CMs have resonating potentials, high isolation is achieved once each port excites a single CM with no interference from other ports. However, the number of ports is limited as there are only a few dominant CMs at low frequency. As the frequency rises, the increased number of CMs makes it possible to realize more input ports. Nevertheless, the case becomes complex, as each port is likely to excite multiple CMs with different characterizes at the same time. Although the requirement seems to be strict, TCM can still be used to analyze the resonance and coupling from aspects of CMs. In [13], the modal self-admittance (MSA) and modal mutual-admittance (MMA) are obtained as

$$Y_{11} = \sum_{n=1}^{\infty} MSA = \sum_{n=1}^{\infty} \frac{(I_n(p)I_p)^2}{1+j\lambda_n}$$
$$Y_{21} = \sum_{n=1}^{\infty} MMA = \sum_{n=1}^{\infty} \frac{I_n(p)I_n(q)I_pI_q}{1+j\lambda_n}.$$
 (12)

MAs are related to the MEOC as follows:

$$M_{1n}^{2} = \frac{V_{1}^{2}}{2P_{rad1}}(MSA + MSA^{*})$$
$$M_{1n}M_{2n} = \frac{V_{1}V_{2}}{2\sqrt{(P_{rad1}P_{rad2})}}(MMA + MMA^{*}).$$
(13)

Thus, the coupling matrix related to admittance matrix is

$$\mathbf{real} \begin{bmatrix} Y_{11} & \cdots & Y_{1N} \\ Y_{21} & \cdots & Y_{2N} \\ \vdots & \cdots & \vdots \\ Y_{N1} & \cdots & Y_{NN} \end{bmatrix}$$
$$= \mathbf{p}I_{N\times N} \begin{bmatrix} \sum M_{1n}M_{1n} & \cdots & \sum M_{1n}M_{Nn} \\ \sum M_{2n}M_{1n} & \cdots & \sum M_{2n}M_{Nn} \\ \vdots & \cdots & \vdots \\ \sum M_{Nn}M_{1n} & \cdots & \sum M_{Nn}M_{Nn} \end{bmatrix} (\mathbf{p}I_{N\times N})^{H}$$
$$= \sum_{n=1}^{\infty} \left(\mathbf{p}I_{N\times N} \begin{bmatrix} M_{1n}M_{1n} & \cdots & M_{1n}M_{Nn} \\ M_{2n}M_{1n} & \cdots & M_{2n}M_{Nn} \\ \vdots & \cdots & \vdots \\ M_{Nn}M_{1n} & \cdots & M_{Nn}M_{Nn} \end{bmatrix} (\mathbf{p}I_{N\times N})^{H} \right)$$
$$= \sum_{n=1}^{\infty} (\mathbf{p}I_{N\times N}[\widetilde{M_{N\times N}}](\mathbf{p}I_{N\times N})^{H}) \qquad (14)$$

where $\mathbf{p} = [(\sqrt{P_{rad1}}/V_1), (\sqrt{P_{rad2}}/V_2), \dots, (\sqrt{P_{radN}}/V_N)]$ is the vector to normalize MEOC according to radiation energy from each port. $I_{N\times N}$ is a $N \times N$ unit matrix. $[\widetilde{M_{N\times N}}]$ is the matrix assignment of MEOC. N represents the number of MIMO dimension.

Equation (14) enables the utilization of typical linear matrix transformation for MEOC, and it is easy to get the following transformation:

if

$$[Y_{new}] = T[Y_0]T^H$$
then

$$[\widetilde{M_{N \times N, new}}]$$

$$= \{[\mathbf{p}_{new}I]^{-1}T[\mathbf{p}I]\}[\widetilde{M_{N \times N,0}}]\{[\mathbf{p}_{new}I]^{-1}T[\mathbf{p}I]\}^H$$
(15)

in which [*T*] is a $M \times N$ transformation matrix representing effects from the feeding structure, and $[Y_{new}]$, $[M_{N \times N, new}]$ are the results after transformation. Diagonal elements of $[M_{N \times N, new}]$ describe the energy occupancy of external ports according to CMs, while nondiagonal element describes how each port couples together in far field.

Since the normalization vector \mathbf{p} varies with the input voltage amplitude, \mathbf{p} is not easy to obtain in the calculation of (14)

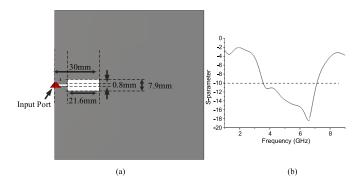


Fig. 2. Stepped slot structure. (a) Structure of the slot. (b) S-parameter of this slot. The length of the slot is 30 mm. This slot is fed at its end and works at 3-6.5 GHz.

and (15). However, we noticed that (11) makes every diagonal elements of the sum $\sum [\widetilde{M_{N \times N}}]$ to be unity, which helps to normalize each M_{in} to its right value without calculating **p**.

Equations (13)–(15) tell the close relationship between MA and MEOC, though they are motivated and derived from different terms. It is noticed that MEOC is the normalized real part of MA, with the imaginary part of MA omitted. Despite the omission, the proposed MEOC is sufficient for the far-field coupling research. The derivation of MEOC in Section VI indicates that the coupling energy on the far field is linear and it can be decomposed into MEOC (or the real part of MA) for coupling comparison. The imaginary part of MA, which implies the reactance behavior of the near field, however, is nonlinear and too complex to compare. Thus, by retaining the real part and omitting the imaginary part of MA, MEOC focuses on the far-field diversity among ports and avoids considering the reactance coupling in the near field.

In Section IV, we will show MEOC's obvious advantages on the coupling analysis on multiport antennas, especially those with port number more than 2. Compared with the previous MA method, MEOC proposes a faster and clearer way to analyze couplings.

IV. APPLICATION EXAMPLE WITH MEOC

A. Wideband Open-End Slot

An open-end slot [19], [20] is first introduced here to enable later analyses and design. As shown in Fig. 2, this stepped slot is fed at the edge and it works at 3–6 GHz. The total length of the slot is 30 mm, and the first step is 21.6 mm. Its wideband performance benefits from the stepped slot width. Since the input voltage incidents at the end of the slot, the slot has a high-input impedance (around 100 Ω). Therefore, a tapered balun structure is used to offer a balanced feed and impedance transformation at the end of design.

B. MEOC Analysis for a Rectangular Plate

The ends of four open-end slots are inserted on every outline edge of a rectangular plate, with a length of 78 mm (about $1.1\lambda_{4.5 \text{ GHz}}$), and are fed through a feeding network as illustrated in Fig. 3(a). To realize MIMO operation on the suggested structure, two sets of odd and even modes are fed

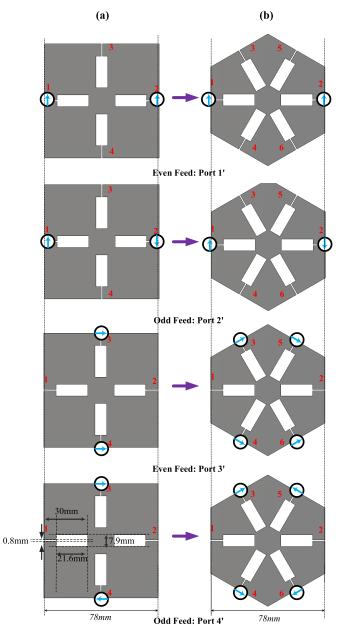


Fig. 3. Four feed schemes through four different ports 1'-4' for (a) rectangular plate and (b) hexagonal plate. The antenna ports are located at the end of each slot, which are named as ports 1-4 (rectangle) or ports 1-6 (hexagon).

based on the four feeding schemes as shown in Fig. 3(a), which can easily be realized with two 180° hybrids. The combination of input ports 1 and 2 and a 180° hybrid are usually used as the simplest decoupling feeding network on the symmetric-located antenna ports 1 and 2 [21], and the combination is applied in the same way at ports 3 and 4. For antenna ports 1 and 2, their symmetric input admittance matrix [*Y*] can be diagonalized by decoupling matrix V_2 , i.e., [22]

$$\begin{bmatrix} Y_{11}' & Y_{12}' \\ Y_{21}' & Y_{22}' \end{bmatrix} = V_2 \begin{bmatrix} Y_{11} + Y_{12} \\ Y_{21} & Y_{22} \end{bmatrix} (V_2)^H$$
$$V_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$
(16)

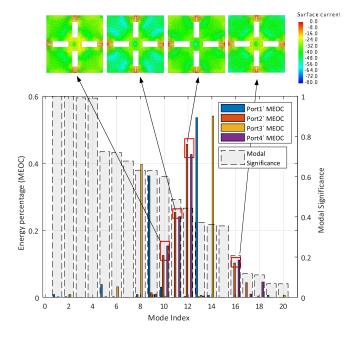


Fig. 4. MEOC analysis and MSs of rectangular plate at 4.5 GHz. It is shown that radiation by port 1' is dominated by Mode 4, 9, and 13. For port 2', the dominant modes are Modes 9-12, 16, and 17. For port 3', they are 6, 8, and 14. Finally, for port 4', they are 10-12, 16, and 18. The surface current for the shared dominant modes of ports 2 and 4 are also illustrated.

where [Y'] is the admittance matrix on the input ports 1' and 2' after decoupling. With the benefit of (14), the relationship between input ports 1'-4' to antenna ports 1-4 should be

$$[Y'] = T[Y]T^{H}$$
$$[\widetilde{M}'] = \{[\mathbf{p}'I]^{-1}T[\mathbf{p}I]\}[\widetilde{M}]\{[\mathbf{p}'I]^{-1}T[\mathbf{p}I]\}^{H}$$

* *

where

$$T = \begin{bmatrix} I_{2\times 2} & \\ & V_2 \end{bmatrix} \begin{bmatrix} V_2 & \\ & I_{2\times 2} \end{bmatrix}$$
$$V_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}.$$
(17)

With the help of (17), MEOC analyses at 4.5 GHz are shown in Fig. 4. We can see clearly that input ports 1' and 3'independently excite different modes and have few common modes with other ports. Hence, high isolation between the two ports and others is predicted, which can be verified by scattering parameters presented in Fig. 5(a) (solid lines). Fig. 4 also indicates that when input ports 1' and 3' are fed simultaneously, they are barely coupled at any mode. This is the MEOC-based depiction for the commonly high isolation of orthogonal-polarization antennas. On the other hand, in Fig. 4, the far fields radiated by ports 2' and 4' are mainly composed of their common Mode 10, 11, and 12, which takes up to more than 90% of the total radiate energy. It indicates a high coupling between ports 2' and 4', which matches well with simulation results in Fig. 5(a) (solid lines). Moreover, on these coupling modes, ports 2' and 4' consume equal electromagnetic energy.

To separate the symmetric energy distribution of ports 2' and 4' with least influence on the performance of ports 1'

and 3', the shape of the rectangular plate is modified to a hexagonal plate with the same length, which leads to the change of excitation of ports 3' and 4' with two more ports, as shown Fig. 3(b). Excitations of ports 1' and 2' stay the same.

The matrix transformation for the hexagonal plate is similar to that of the rectangular plate, and the relationship between input ports 1'-4' and antenna ports 1-6 is

$$[Y'] = T[Y]T^{H}$$

$$[\widetilde{M'}] = \{[\mathbf{p}'I]^{-1}T[\mathbf{p}I]\}[\widetilde{M}]\{[\mathbf{p}'I]^{-1}T[\mathbf{p}I]\}^{H}$$
(18)

where

$$T = \begin{bmatrix} I_{2\times2} & & \\ & V_2 \end{bmatrix} \begin{bmatrix} I_{2\times2} & & \\ & D_{2\times4} \end{bmatrix} \begin{bmatrix} V_2 & & \\ & I_{4\times4} \end{bmatrix}$$
$$D_{2\times4} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 & & \\ & 1 & 1 \end{bmatrix}$$
$$V_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 & \\ & 1 & -1 \end{bmatrix}.$$
(19)

The MEOC research and MS for the modified structure at 4.5 GHz is shown in Fig. 6(a). In Fig. 6(a), each port excites individual modes after the modification, which results in low coupling over the entire operating frequency band as proved in Fig. 5(a).

Figs. 4 and 6 illustrate the simple but clear MEOC method to analyze ports coupling not only on predicting two coupled ports but also on determining those modes that are responsible for the coupling. If the four-port antenna problem in this section is analyzed by the previous MA method [13], which is not initially designed for the multiport system, there are six MMAs and four MSAs per each mode that need to be compared in the same time, while for the newly proposed method, only one MEOC for each mode is needed. In fact, for an N-port multiport antenna, there are N MMAs and ((N)/(N-1))2 MSAs to deal with, for every mode simultaneously, while only N in total with the MEOC method. The reason why MEOC greatly simplifies the coupling analysis is that MEOC decomposes the coupled energy on each MMA to single-modal energy on related ports, with the normalization of MSA. For example, in the rectangular antenna case, the $MMA_{1,4}$ is divided into two MEOCs: M_1 and M_4 . The comparison on MSA is also omitted in MEOC method as MEOC hides the port impedance and focuses on field coupling. In summary, MEOC makes the coupling analysis much more concise, and this advantage is more pronounced when dealing with multiport antennas.

Over the other hand, it is observed from Fig. 5(b) that every port except for port 3' is well matched over 3-6 GHz. Therefore, the next step is to tune port 3' to reach a better impedance matching.

C. Impedance Matching for Hexagonal Plate

It is noticed from Fig. 6(a) that the dominant modes excited by port 3' are Modes 3, 4, and 7. Their corresponding surface currents (decibel) and far fields are illustrated in Fig. 6(b). Degenerated modes—Modes 3 and 4—occur due to the structure symmetry and they behave in a similar manner over the

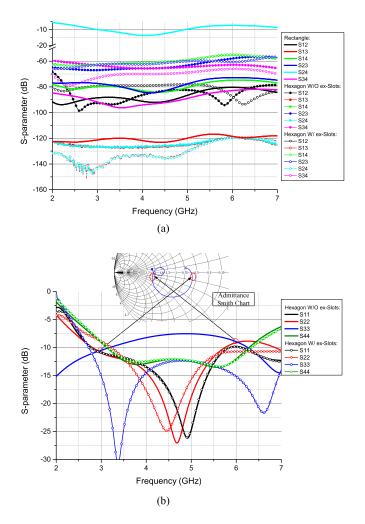


Fig. 5. Reflection and transmitting coefficients of three mentioned structure (rectangular plate, hexagonal plate, and hexagonal plate with extra slots). (a) Coupling among four ports for three structures. (b) Self-reflection coefficients for all ports on hexagonal plates.

frequency band, which is also proved by the wideband tracking of their eigenvalues in Fig. 7 (dashed lines). In Fig. 6(b), radiations of Modes 3 and 4 are similar to that of a wide dipole at high frequency. Mode 7 radiates a pattern with main lobe and side lobes in the diagonal plane. The wideband tracking of these mode's eigenvalues in Fig 7 (dashed lines) reveals that in the operating frequency band 3-6 GHz, Modes 3 and 4 have already passed their resonant frequencies and both of them have positive eigenvalues, which, according to (3), indicates that they store magnetic energy over the operating frequency band. In addition, Mode 7 does not resonate at all over the operating frequency and it also has a positive eigenvalue. Therefore, all the dominant modes store a large amount of magnetic energy within the frequency band, which makes the impedance matching at port 3' difficult to be implemented. This fact conforms to the inductive behavior of port 3' illustrated in the admittance Smith chart in Fig. 5(b).

Hence, a capacitive component is added to the structure to improve the matching of port 3'. Two slots are cut along the long diagonal of the hexagonal plate, as shown in Fig. 6(c). These slots introduce a new capacitive Mode 7 dominating

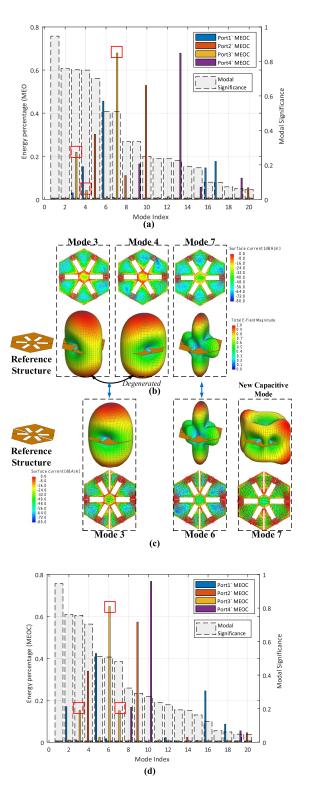


Fig. 6. MEOC research for a hexagonal plate with or without extra slots. (a) MEOC analysis and MSs for the original hexagonal plate at 4.5 GHz. (b) Three dominant modes for port 3' of the original hexagonal plate: their current and *E*-field. (c) Three dominant modes for port 3 after adding extra slots. (d) MEOC analysis and MSs for the modified hexagonal plate at 4.5 GHz. The size for the extra slot is 32 mm and width 3 mm.

port 3', which has far field main lobes symmetric in the diagonal plane, as illustrated in Fig. 6(c) and (d). Besides, after modification, there is no degenerated modes dominating

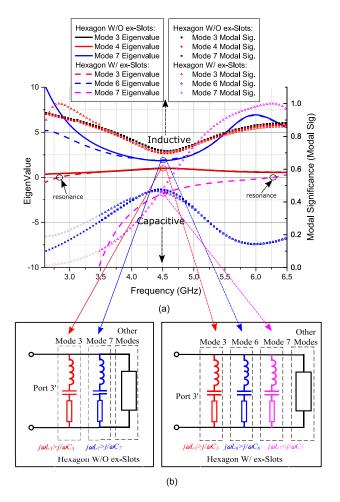


Fig. 7. Comparison of dominant modes between two hexagonal plates with or without extra slots. (a) Eigenvalue and MS of port 3''s dominant modes at 2.6–6.5 GHz of two hexagonal plates. (b) Equivalent circuit for two hexagonal plates with reference to port 3'.

port 3' but only Mode 3 radiating a "dipolelike" pattern. This is because the new slots restrict the number of hexagon's symmetry axis at diagonal to only 1, which makes only one mode in the degenerated pair reactive with reference to port 3'. Moreover, the original Mode 7 now shifts to Mode 6, and its far-field pattern barely changes at 4.5 GHz, as the new slots are located at the current weak area of the original Mode 7.

Eigenvalues for new dominant modes and their corresponding MS are shown in Fig. 7(a) (solid lines with bubbles). From the results, the new Mode 7 resonates at 6.3 GHz and behaves inductively at 3–6 GHz. Eigenvalues of new Modes 3 and 6 barely change compared to those of the originally structure and have positive values in the operating band. Mode 3 is a "dipolelike" mode, and adding slots is equivalent to shorten the electrical length of the wide dipole. Therefore, its resonance frequency raises to 2.8 GHz.

Modes 3 and 4 of the original hexagon and Mode 3 of the modified one have passed their resonant frequencies and behave inductively with positive eigenvalues. In a schematic view, Modes 3 and 4 can be equivalent to a first-order series *RLC* subcircuit where $j\omega L > j/(\omega C)$ over the frequency band. Mode 7 of the original hexagon and Mode 6 of the

modified one do not resonate in the band and have high positive eigenvalues. Therefore, they are also equivalent to a subcircuit in which $j\omega L > j/(\omega C)$. As for the new Mode 7 after adding slots, which resonates at 6.3 GHz and has negative eigenvalues over the frequency band, it is equivalent to a subcircuit whose $j\omega L < j/(\omega C)$.

Equivalent circuits discussed earlier are then assigned into a paralleled circuit as shown in Fig. 7(b) with their corresponding parameters at 4.5 GHz listed in Table I. Values of the circuit components are obtained from

$$R_{n}\sqrt{\frac{L_{n}}{C}}$$

$$= Q = \frac{\omega}{2}\sqrt{\left(\frac{2I'_{port}}{I_{port}}\right)^{2} + \left(\lambda'_{n} - 2\frac{\lambda_{n}I'_{port}}{I_{port}} + \frac{|\lambda_{n}|}{\omega}\right)^{2}}, [23]$$

$$R_{n} + j\omega L_{n} - j\frac{1}{\omega C_{n}}$$

$$= MSA_{n} = \frac{I^{2}_{port}}{1 + j\lambda_{n}}$$

$$(20)$$

where *R*, *L*, and *C* are the equivalent circuit components. *Q* is the quality factor. I'_{port} and λ'_n are derivatives of port current and eigenvalue at 4.5 GHz. Resistors in each subcircuit represent the modes dominate the radiation. For other modes that do not have much contribution to the radiation, their equivalent resistances are large enough to be omitted in the parallel circuit. Thus, their reactance can be over all equivalent to a pure reactance. As the equivalent circuit is not the main concern of this paper, other details for the calculation will not be discussed further.

Before adding slots on the hexagon, the imaginary part of the input admittance at port 3' is too large to be matched because of the inductive behavior of Modes 3, 4, and 7. After cutting slots, Mode 7 appears and acts as a strong capacitive mode in the band to compensate the imaginary part of the input admittance and to help match the impedance. The new capacitive Mode 7 (resonating at 6.3 GHz itself) and the original Mode 3 (resonating at 2.85 GHz itself) in parallel create two resonates (3.4 and 6.6 GHz), as shown in Fig. 5(b) (blue lines).

Fig. 7 indicates that with the increase of frequency, although a mode has already passed its resonance, or it has yet to resonant, it still has much chance to radiate, e.g., the Modes 3 and 7 of the structure with extra slots. Actually, the eigenvalue of one mode could fluctuate within a low-value range before or after the mode passing its resonate frequency, and it still makes this mode possible to participate much in radiation. In addition, if one mode does not resonate at all in the frequency band, it does not mean the mode has no contribution to the radiation. Take the Mode 6 (Fig. 7, blue line) of the hexagonal plate with an extra slot as an example. Its MS is always lower than 0.5 in the whole band, and it does not resonate at all in or out of the band, yet it still plays as an important role in the radiation. In general, eigenvalue alone does not determine the radiation ability of one mode with respect to the port. MEOC, on the other hand, describes not only how much a mode participates

Parameter (W/O ex-Slots)	R_3	ωL_3	$1/(\omega C_3)$	R_7	ωL_7	$1/(\omega C_3)$			
Value (Ω)	448.6	4359.4	3965.7	65.8	537.4	425.9			
Parameter (W/ ex-Slots)	R_3	ωL_3	$1/(\omega C_3)$	R_6	ωL_6	$1/(\omega C_6)$	R_7	ωL_7	$1/(\omega C_7)$
Value (Ω)	527.6	8901.0	8445.8	55.3	576.2	482.4	213.6	693.9	1083.8

TABLE I Parameters for the Two Equivalent Circuits in Fig. 7(b) at 4.5 GHz

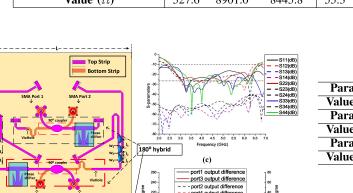


Fig. 8. Feeding network with its performance. (a) Top view of feeding network. (b) Detail of the 180° hybrid. (c) Simulation performance of the feeding network. The feeding network has four input ports with an SMA connector. Its ends connect to

in the radiation but also how the mode contributes to the mutual coupling.

six balun structures which are vertical to the ground. The corresponding

V. ANTENNA REALIZATION AND MEASUREMENT

A. Feeding Network Design

parameters are listed in Table II.

In order to feed the hexagonal antenna, a feeding network is required to fulfill the desired feeding scheme. The feeding network needs to be planar, low lossy, and easy to combine with balun structures. As a result, a set of five-layer feeding network is designed as shown in Fig. 8(a) and (b) with corresponding parameters listed in Table II. This feeding network serves as a 4×6 transformation matrix between feeding ports and hexagon ports. The design is based on a *Rogers RO*4003 PCB board with a relative permittivity of 3.38 and a thickness of 0.813 mm.

Ports 1 and 2 are the sum (Σ) and difference (Δ) ports in a 180° hybrid, respectively. This 180° hybrid origins from the coupler in [24], which consists of three conductor layers with two layers of dielectrics. Two input ports are on different sides of the ground, and the bottom and top mircrostrips couple with each other via an elliptical-shaped slot in the ground. This microstrip-slot-microstrip transition leads to an ultrawideband (UWB) transmission characteristic. To compensate another 90° difference, the UWB phase shift in [25] is utilized to complete the 180° hybrid and maintain a constant phase shift over a wide frequency band. Making use of a T-shaped open end stub load, the phase shifter exhibits

TABLE II Parameters for Feeding Network in Fig. 8

Parameter	l_1	l_2	l_3	w_1	w_2	w_3	w_0
Value (mm)	5.2	8.2	9.4	0.7	0.93	1.4	1.8
Parameter	D_1	D_2	D_3	w_s	l_s	l_{s1}	l_{s2}
Value (mm)	5.1	3.32	5.4	3.3	21	14.2	12.9
Parameter	l_{s3}	l_m	w_m	L	R_1	R_2	R_3
Value (mm)	7	8.9	3.18	150	98	123.9	341

a better bandwidth than conventional 90° phase shifter. The detailed theoretical analyses for the 180° hybrid are skipped here for the sake of concision. The reflection performance and transmitting phase difference of the feeding network can be shown in Fig. 8(c) and (d). It is verified in Fig. 8(d) that phase differences for all ports are stabilized at $0^{\circ} \pm 20^{\circ}$ (for Σ ports) or $180^{\circ} \pm 20^{\circ}$ (for Δ ports) at 2.5–6.5 GHz. A similar hybrid has also been constructed for ports 3 and 4, except for a pair of three-section Wilkison dividers cascading at each end of the 180° hybrid to feed antenna ports equally and maintain isolation. It should be noticed that the original hybrid in [24] has its input and output ports on different sides of the ground plane. In this paper, in order to attach and fix, the balun structure on the top of the feeding network, two vias are used to make sure the end strip of the whole feeding network is on the topside of the ground.

B. Final Combination

The final combination of the whole structure is shown in Fig. 9. From bottom to top, there are three main components, a five-layer feeding network board, six tapered balun structures in the vertical position, and the antenna board. The feeding network is fed through four SMA connectors on the bottom side of the feeding network board. This board is a five-layer structure, with microstrip traces on both sides (Layers 1 and 2) and ground (Layer 3) in the center layer. Details of the feeding network can be found in Section VI. Six balun structures, behaving as smooth impedance converters and providing balanced feeds to the antenna, connect the feeding network and the antenna board. For the antenna board, the metallic part is on its bottom side and the dielectric is on the top.

Both the balun structure and the feeding network utilize *Rogers RO*4003 PCB board with a relative permittivity of 3.38 and a thickness of 0.813 mm. The antenna board uses *Rogers RT*5870 Laminates with a relative permittivity of 2.33 and a thickness of 0.508 mm.

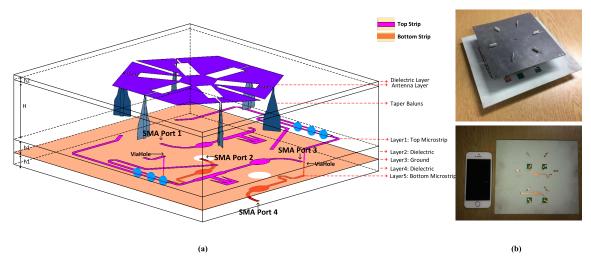


Fig. 9. Final combination of antenna, balun, and feeding network. (a) Exploded view of the design. (b) Perspective view and back view. The antenna is fed through four SMA connectors on the bottom side of the ground. h1 = 0.813 mm, h2 = 0.518 mm, and H = 23 mm.

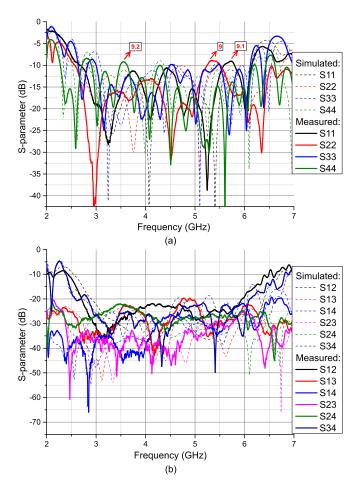


Fig. 10. Scattering parameters of the antenna. It works well at 3–6 GHz, with isolation lower than -20 dB. (a) Port reflection. (b) Isolation among ports.

C. Simulated and Measured Results

As described in Section VI, all parts of the proposed antenna are fabricated and combined, as shown in Fig. 9. The prototype is then measured with a four-port network

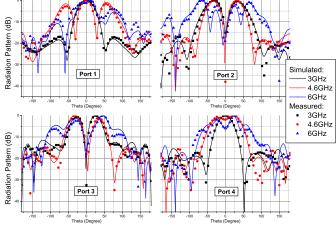


Fig. 11. Far-field pattern of all four ports at three frequencies (3, 4.6, and 6 GHz) at yz plane.

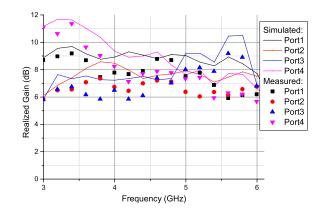


Fig. 12. Realized gain of all ports at 3-6 GHz.

analyzer in an anechoic chamber. The corresponding scattering parameters are illustrated in Fig. 10. As shown in Fig. 10, reflection coefficients of all channels are below -10 dB across 3–6 GHz, except for a few discrete frequencies having a maximum value of -9 dB in measurement. The proposed

structure achieves a fractional impedance bandwidth of 66.7%, and both the simulated and measured port-to-port isolations are lower than -20 dB over the entire frequency band. The measurement results match well with simulations, though there are slight frequency shifts on some ports, which is likely to be caused by the soldering errors of the SMA connectors. In Figs. 11 and 12, the simulated and measured radiation patterns and realized gains of all ports are compared. Effects of cables and unavoidable scattering in the chamber could be the source of minor discrepancies between measurement and simulation results, and gains of all ports are higher than 6 dBi.

VI. CONCLUSION

In this paper, a new method, the evaluation of multimode antenna's modal coupling and radiation, is introduced. Further derivation has been presented to enable the method to be adopted in the linear transformation of a feeding network. This method shows its advantages by decomposing the mutual energy occupancy state of each mode to give a clear view for the radiation and mutual coupling of an MIMO antenna. The MEOC can also be used to evaluate the dominant modes and to further improve the impedance matching. Based on this, a hexagonal wideband antenna is proposed as an example. The proposed antenna uses multiport on a single radiator, which provides broadband (a relative bandwidth of 66.7%) performance for four ports within a compact structure. It is also shown that the isolation of this antenna is high enough over its working frequency band.

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