



INVITED COMMENTARY

# Variants of SARS Coronavirus-2 and Their Potential Impact on the Future of the COVID-19 Pandemic

Xin Li<sup>1,2</sup>, Kelvin Kai-Wang To<sup>1,2</sup> and Kwok-Yung Yuen<sup>1,2,\*</sup>

## Abstract

The emergence of SARS-CoV-2 variants of concern (VOCs), especially the sweeping spread of the delta variant, and differing public health management strategies, have rendered global eradication of SARS-CoV-2 unlikely. The currently available COVID-19 vaccines, including the inactivated whole virus vaccines, mRNA vaccines, and adenovirus-vectored vaccines, are effective in protecting people from severe disease and death from COVID-19, but they may not confer good mucosal immunity to prevent the establishment of infection and subsequent viral shedding and transmission. Mucosal vaccines delivered via intranasal route may provide a promising direction, which, if given as a third dose after a two-dose series of intramuscular vaccination, likely promotes mucosal immunity in addition to boosting the systemic cell-mediated immunity and antibody response. However, immunity induced by vaccination, and natural infection as well, is likely to wane followed by re-infection as in the case of human coronaviruses OC43, 229E, NL63, and HKU1. It is a challenge to prevent and control COVID-19 worldwide with the increasing number of VOCs associated with increased transmissibility and changing antigenicity. Nevertheless, we may seek to end the current pandemic situation through mass vaccination and gradual relaxation of non-pharmaceutical measures, which would limit the incidence of severe COVID-19. Repeated doses of booster vaccine will likely be required, similar to influenza virus, especially for the elderly and the immunocompromised patients who are most vulnerable to infection.

**Key words:** SARS-CoV-2, mutation, VOC, vaccination

## Edited by:

Cao Chen, Chinese Center for Disease Control and Prevention, China

## Reviewed by:

Xiaoping Dong, Chinese Center for Disease Control and Prevention, China

## \*Corresponding author:

E-mail: kyyuen@hku.hk (K-YY)

<sup>1</sup>State Key Laboratory for Emerging Infectious Diseases, Carol Yu Centre for Infection, Department of Microbiology, Li Ka Shing Faculty of Medicine, The University of Hong Kong, Pokfulam, Hong Kong Special Administrative Region, People's Republic of China

<sup>2</sup>Department of Microbiology, Queen Mary Hospital, Hong Kong Special Administrative Region, People's Republic of China

Received: August 30 2021

Revised: August 31 2021

Accepted: August 31 2021

Published Online: September 10 2021

Coronavirus Disease 2019 (COVID-19), caused by severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), has infected 214 million people and caused more than 4.4 million deaths as of 29 August, 2021 [1]. Since its first detection in humans in December of 2019, the rate of evolution of SARS-CoV-2 has proceeded at approximately two mutations per month in global human isolates [2]. These mutations have been found throughout the SARS-CoV-2 genome [3]. However, the

phenotypic implications of many of these mutations have not been defined, except for those affecting the surface spike protein, which is responsible for cell entry through binding to the host receptor angiotensin converting enzyme 2 (ACE2) [4] and subsequent fusion of the viral lipid envelope with the host cell membrane [5].

Although SARS-CoV-2 is suspected to have originated from bat SARS-related coronaviruses and to have passed through an unknown intermediate mammalian host

before jumping to humans [6,7], definitive evidence remains lacking [8]. The mutation T372A in the spike receptor binding domain (RBD) has been proposed to be a key mutation in the adaptation of SARS-CoV-2 to infect humans, because this mutation has been found only in SARS-CoV-2 but not in closely related viruses such as the bat SARS-related coronavirus RaTG13 [9].

Another important example of spike mutation resulting in better adaptation of the virus to humans is the spike D614G, which was not isolated from the initial outbreak. SARS-CoV-2 strains with the D614G mutation were first found in late January 2020 [10] and are now found in nearly all strains globally. Phenotypic studies have shown that the D614G variant replicates more readily in primary human bronchial airway tissue, has greater transmissibility, and results in higher viral titer in nasal wash samples in a hamster model [11,12]. However, it does not affect disease severity or significantly change the neutralizing activity of convalescent sera [13,14]. A structural study has shown that the D614G mutation confers a more open conformation on the spike protein, thus enabling it to bind ACE2 more efficiently [15].

Subsequently, another important spike mutation emerged, N501Y, which has been selected in viral adaptation experiments in Balb/c mice [16]. The structure of the complex between the ACE2 receptor and N501Y spike protein ectodomain has been determined through cryogenic electron microscopy, which showed that Y501 inserts into a cavity at the binding interface near Y41 of ACE2. This additional interaction confers greater ACE2 affinity on the N501Y mutant and is likely to contribute to its increased infectivity [17].

Recently, the spike P681R mutation has been found to facilitate the cleavage of the spike protein and to enhance the fusion between the viral envelope and host cell membrane, thus leading to prominent syncytial formation in cell culture experiments. Moreover, the 681R mutant induces greater weight loss than the 681P wild type in hamster model [5].

Although few genetic mutations at the receptor binding domain were observed in the early months of the pandemic, by late 2020, many SARS-CoV-2 variants of concern (VOCs) or variants of interest (VOIs) had emerged. The WHO defines SARS-CoV-2 VOCs as variants with higher transmissibility or detrimental changes in COVID-19 epidemiology; increased virulence or changes in clinical disease presentation; or decreased effectiveness of public health and social measures, or available diagnostics, vaccines, and therapeutics [18].

Several notable variants that fit the VOC definition have emerged, including B.1.1.7 (alpha variant); B.1.351 (beta variant), P.1 (gamma variant), and B.1.617.2 (delta variant). They were first reported in the United Kingdom, South Africa, Brazil, and India, respectively. These variants usually demonstrate higher transmissibility and consequently have rapidly replaced the existing lineages. Some of these variants share critical mutations in the spike protein RBD among the constellation of nucleotide changes in their

genomes [19]. For example, N501Y is present in B.1.1.7, B.1.351, and P.1, whereas E484K is present in B.1.351 and P.1 in addition to N501Y and D614G. E484K is a key mutation affecting the antigenicity of the spike protein [20]. The increased transmissibility of these viruses may be associated with better binding of their RBD to human ACE2 [4]. However, the delta variant has dominated the pandemic, as of August 2021. Unlike the original prototype virus, which has a mean basic reproductive number  $R_0$  of 2.79, the mean  $R_0$  of the delta variant is 5.08 [21]. The delta variant also shows a decrease in vaccine effectiveness, presumably because the spike mutations result in 2- to 10-fold lower neutralizing antibody titers [22,23].

The emergence of the highly transmissible delta variant has sparked a debate regarding the correct strategy for pandemic control, ranging from a “zero COVID-19 policy” to a more relaxed mitigation approach. The former strategy has been successfully adopted in several places such as mainland China, Macau SAR, Hong Kong SAR, Australia, and New Zealand. In the Hong Kong SAR, the prevalence rate of COVID-19 is 0.16%, which is far lower than those in the United Kingdom (10%) and the United States (11%) at the time of writing [1,24]. The ability to achieve such a low incidence is largely attributed to the early implementation of border control and testing and quarantining of entrants, universal masking and social distancing, isolation of all confirmed cases in hospitals, rapid and comprehensive contact tracing, restriction of movement, and testing of all premises resided in or visited by confirmed cases [25,26]. With stringent measures, even a delta variant outbreak in mainland China, which started in July 2021, was successfully eliminated in August 2021. However, the social and economic effects of such drastic non-pharmaceutical measures for epidemic control are enormous and may not be sustainable in the long run. These measures are aimed not at eradicating SARS-CoV-2 but at buying time to achieve high levels of vaccine-induced immunity in the population. However, the arrival of the delta variant has dramatically changed the game. Approximately 40% and 60% of vaccinated individuals in Hong Kong SAR were inoculated with CoronaVac (Sinovac) and BNT162b2 (Comirnaty, BioNTech), respectively [27]. In test-negative case-control studies, the effectiveness of CoronaVac and BNT162b2 vaccines against the delta variant is found to be 59% and 88%, respectively [28,29], compared with 65.9% and 95% respectively against the prototype virus [30,31]. On the basis of mathematical calculation, the critical vaccination level to achieve herd immunity would increase from between 67% and 98% when  $R_0$  was 2.79 previously, to between 91% and 136% when the  $R_0$  of the presently circulating delta variant is 5.08 [32]. These apparently absurd percentages indicate that although both the inactivated whole virus vaccine and mRNA vaccine are effective in providing personal protection against severe disease and death from lung infection, neither is highly effective against asymptomatic or mildly symptomatic upper respiratory tract infections, which may result in substantial viral shedding and transmission

[33,34]. These findings are not unexpected, given the viral loads observed in the upper respiratory tracts of vaccinated non-human primates challenged with the prototype virus [35]. In Israel, which has a vaccination rate above 70%, the epidemic resurged after the border was reopened, and social distancing measures were relaxed [36,37]. The virus is likely to continue to circulate even among the vaccinated population and subsequently infect the unvaccinated population, which constitutes most hospital admissions and deaths.

Although we have no crystal ball to predict whether another worse virus variant will come, the chances are high that SARS-CoV-2 will continue to circulate globally, similarly to the other coronaviruses causing common colds. Several precedents exist: the human coronavirus OC43 might have jumped to humans from bovine species in the 1890s; HKU1 might have jumped from rodents in the 1950s, 229E in the 1820s; and NL63 might have jumped from bats between 1200 and 1460 [38]. When most of the population has some degree of protection from neutralizing antibodies and cell mediated immunity, life may gradually return to normal with the reopening of borders and the relaxation of social distancing. However, as with the case of other human coronaviruses, frequent re-infection may occur following a predictable pattern, e.g., every 12–24 months due to waning immunity [39]. It is likely that the elderly and the immunosuppressed will require booster dose of vaccine when their level of protection goes down with time, perhaps as another annual vaccination similar to the case of seasonal influenza.

Although a third booster dose of vaccine is likely to improve the systemic protection and, indirectly the mucosal protection, the existing vaccines confer poor mucosal immunity in the upper respiratory tract. Further studies must be performed to understand why the nasopharyngeal mucosa is sub-optimally protected despite high serum neutralizing antibody levels. Several mucosal vaccines administered by intranasal sprays are now in clinical trials, including two adenoviral vector COVID-19 vaccines and the influenza virus vector NS1 deleted vaccine carrying the spike RBD [40–43]. These mucosal vaccines could easily be modified to carry the spike of the delta variant and are likely to constitute the second wave of COVID-19 vaccines for boosting the mucosal immunity of people who were already vaccinated with inactivated whole virus, mRNA, or adenoviral vector vaccines. Intranasal sprays are generally much more acceptable to the general population. Many people have been apprehensive about receiving injectable vaccines, because life-threatening adverse effects have been reported in rare cases, such as myopericarditis after mRNA vaccination, or dural venous sinus thrombosis with thrombocytopenia after adenoviral vector vaccination [44–47].

Novel SARS-CoV-2 variants will continue to emerge. Recently, genomic recombination has been found in the B.1.1.7 lineage viruses, thus suggesting the possibility of a sudden emergence of novel variants that dramatically differ from existing strains [48]. Global efforts in continual genomic surveillance are required for the early detection of novel variants. Antigenic characterization, similar to that

performed for influenza virus, will be required to detect strains with antigenic drift. Furthermore, animal surveillance will also be important, because reverse zoonosis of SARS-CoV-2 is not an isolated event. Novel variants may arise in animals, as observed in mink-associated human COVID-19 cases [49,50].

## REFERENCES

1. World Health Organization. WHO Coronavirus (COVID-19) Dashboard. [cited 2021 Aug 29]. Available from: <https://covid19.who.int>.
2. Bedford T, Greninger AL, Roychoudhury P, Starita LM, Famulare M, Huang ML, et al. Cryptic transmission of SARS-CoV-2 in Washington state. *Science*. 2020;370(6516):571-575.
3. Day T, Gandon S, Lion S, Otto SP. On the evolutionary epidemiology of SARS-CoV-2. *Curr Biol*. 2020;30(15):R849-r857.
4. Zahradnik J, Marciano S, Shemesh M, Zoler E, Harari D, Chiaravalli J, et al. SARS-CoV-2 variant prediction and antiviral drug design are enabled by RBD in vitro evolution. *Nat Microbiol*. 2021;6(9):1188-1198.
5. Saito A, Irie T, Suzuki R, Maemura T, Nasser H, Uriu K, et al. SARS-CoV-2 spike P681R mutation, a hallmark of the Delta variant, enhances viral fusogenicity and pathogenicity. *bioRxiv*. 2021:2021.06.17.448820.
6. Boni MF, Lemey P, Jiang X, Lam TT, Perry BW, Castoe TA, et al. Evolutionary origins of the SARS-CoV-2 sarbecovirus lineage responsible for the COVID-19 pandemic. *Nat Microbiol*. 2020;5(11):1408-1417.
7. Lam TT, Jia N, Zhang YW, Shum MH, Jiang JF, Zhu HC, et al. Identifying SARS-CoV-2-related coronaviruses in Malayan pangolins. *Nature*. 2020;583(7815):282-285.
8. Ye Z-W, Yuan S, Yuen KS, Fung SY, Chan CP, Jin DY. Zoonotic origins of human coronaviruses. *Int J Biol Sci*. 2020;16(10):1686-1697.
9. Kang L, Xiao P, Zhou B, Chen Y, Kang L, Wang Q, et al. A selective sweep in the Spike gene has driven SARS-CoV-2 human adaptation. *Cell*. 2021;184(17):4392-4400.e4.
10. Callaway E. The coronavirus is mutating – does it matter? *Nature*. 2020;585(7824):174-177.
11. Hou YJ, Chiba S, Halfmann P, Ehre C, Kuroda M, Dinnon KH, et al. SARS-CoV-2 D614G variant exhibits efficient replication ex vivo and transmission in vivo. *Science*. 2020;370(6523):1464-1468.
12. Plante JA, Liu Y, Liu J, Xia H, Johnson BA, Lokugamage KG, et al. Spike mutation D614G alters SARS-CoV-2 fitness. *Nature*. 2021;592(7852):116-121.
13. Volz E, Hill V, McCrone JT, Price A, Jorgensen D, O'Toole Á, et al. Evaluating the effects of SARS-CoV-2 spike mutation D614G on transmissibility and pathogenicity. *Cell*. 2021;184(1):64-75.e11.
14. Beaudoin-Bussi eres G, Laumaea A, Anand SP, Pr evost J, Gasser R, Goyette G, et al. Decline of humoral responses against SARS-CoV-2 spike in convalescent individuals. *mBio*. 2020;11(5):e02590-e02520.
15. Yurkovetskiy L, Wang X, Pascal KE, Tomkins-Tinch C, Nyalile TP, Wang Y, et al. Structural and functional analysis of the D614G SARS-CoV-2 spike protein variant. *Cell*. 2020;183(3):739-751.e8.
16. Gu H, Chen Q, Yang G, He L, Fan H, Deng YQ, et al. Adaptation of SARS-CoV-2 in BALB/c mice for testing vaccine efficacy. *Science*. 2020;369(6511):1603-1607.
17. Zhu X, Mannar D, Srivastava SS, Berezuk AM, Demers JP, Saville JW, et al. Cryo-electron microscopy structures of the N501Y SARS-CoV-2 spike protein in complex with ACE2 and 2 potent neutralizing antibodies. *PLoS Biol*. 2021;19(4):e3001237.
18. World Health Organization. Tracking SARS-CoV-2 variants. 25 August 2021 [cited 2021 Aug 29]. Available from: <https://www.who.int/en/activities/tracking-SARS-CoV-2-variants/>.

19. Gobeil SMC, Janowska K, McDowell S, Mansouri K, Parks R, Stalls V, et al. Effect of natural mutations of SARS-CoV-2 on spike structure, conformation, and antigenicity. *Science*. 2021;373(6555):eabi6226.
20. Chen LL, Lu L, Choi CY, Cai JP, Tsoi HW, Chu AW, et al. Impact of SARS-CoV-2 variant-associated RBD mutations on the susceptibility to serum antibodies elicited by COVID-19 infection or vaccination. *Clin Infect Dis*. 2021:ciab656.
21. Liu Y, Rocklöv J. The reproductive number of the Delta variant of SARS-CoV-2 is far higher compared to the ancestral SARS-CoV-2 virus. *J Travel Med*. 2021:taab124. doi: 10.1093/jtm/taab124.
22. Burki TK. Lifting of COVID-19 restrictions in the UK and the Delta variant. *Lancet Respir Med*. 2021;9(8):e85.
23. World Health Organization. Coronavirus disease (COVID-19) Weekly Epidemiological Update and Weekly Operational Update. [cited 2021 Aug 29]. Available from: <https://www.who.int/emergencies/diseases/novel-coronavirus-2019/situation-reports>.
24. The Government of the Hong Kong Special Administrative Region. Coronavirus Disease (COVID-19) in HK. [cited 2021 Aug 29]. Available from: <https://chp-dashboard.geodata.gov.hk/covid-19/en.html>.
25. Cheng VC, Wong SC, Chuang VW, So SY, Chen JH, Sridhar S, et al. The role of community-wide wearing of face mask for control of coronavirus disease 2019 (COVID-19) epidemic due to SARS-CoV-2. *J Infect*. 2020;81(1):107-114.
26. To KK, Sridhar S, Chiu KH, Hung DL, Li X, Hung IF, et al. Lessons learned 1 year after SARS-CoV-2 emergence leading to COVID-19 pandemic. *Emerg Microbes Infect*. 2021;10(1):507-535.
27. The HKSAR Government. Hong Kong Vaccination Dashboard. [cited 2021 Aug 30]. Available from: <https://www.covidvaccine.gov.hk/en/dashboard>.
28. Li XN, Huang Y, Wang W, Jing QL, Zhang CH, Qin PZ, et al. Efficacy of inactivated SARS-CoV-2 vaccines against the Delta variant infection in Guangzhou: A test-negative case-control real-world study. *Emerg Microbes Infect*. 2021:1-32. doi: 10.1080/22221751.2021.1969291.
29. Lopez Bernal J, Andrews N, Gower C, Gallagher E, Simmons R, Thelwall S, et al. Effectiveness of Covid-19 Vaccines against the B.1.617.2 (Delta) Variant. *N Engl J Med*. 2021;385(7):585-594. doi: 10.1056/NEJMoa2108891.
30. Jara A, Undurraga EA, González C, Paredes F, Fontecilla T, Jara G, et al. Effectiveness of an Inactivated SARS-CoV-2 Vaccine in Chile. *N Engl J Med*. 2021;385:875-884.
31. Polack FP, Thomas SJ, Kitchin N, Absalon J, Gurtman A, Lockhart S, et al. Safety and Efficacy of the BNT162b2 mRNA Covid-19 Vaccine. *N Engl J Med*. 2020;383:2603-2615.
32. Fine P, Eames K, Heymann DL. "Herd Immunity": a rough guide. *Clin Infect Dis*. 2011;52(7):911-916.
33. Brown CM, Vostok J, Johnson H, Burns M, Gharpure R, Sami S, et al. Outbreak of SARS-CoV-2 infections, including COVID-19 vaccine breakthrough infections, associated with large public gatherings – Barnstable County, Massachusetts, July 2021. *MMWR Morb Mortal Wkly Rep*. 2021;70(31):1059-1062.
34. Chia PY, Ong SWX, Chiew CJ, Ang LW, Chavatte J-M, Mak T-M, et al. Virological and serological kinetics of SARS-CoV-2 Delta variant vaccine-breakthrough infections: a multi-center cohort study. *medRxiv*. 2021:2021.07.28.21261295.
35. Corbett KS, Flynn B, Foulds KE, Francica JR, Boyoglu-Barnum S, Werner AP, et al. Evaluation of the mRNA-1273 Vaccine against SARS-CoV-2 in Nonhuman Primates. *N Engl J Med*. 2020;383(16):1544-1555.
36. Wadman M. Israel's grim warning: Delta can overwhelm shots. *Science*. 2021;373(6557):838.
37. Kershner I. Isreal, Once the Model for Beating Covid, Faces New Surge of Infections. *The New York Times*. 2021.
38. Telenti A, Arvin A, Corey L, Corti D, Diamond MS, García-Sastre A, et al. After the pandemic: perspectives on the future trajectory of COVID-19. *Nature*. 2021;596(7873):495-504.
39. Edridge AWD, Kaczorowska J, Hoste ACR, Bakker M, Klein M, Loens K, et al. Seasonal coronavirus protective immunity is short-lasting. *Nature Medicine*. 2020;26(11):1691-1693.
40. Hassan AO, Kafai NM, Dmitriev IP, Fox JM, Smith BK, Harvey IB, et al. A single-dose intranasal ChAd vaccine protects upper and lower respiratory tracts against SARS-CoV-2. *Cell*. 2020;183(1):169-184.e13.
41. van Doremalen N, Purushotham JN, Schulz JE, Holbrook MG, Bushmaker T, Carmody A, et al. Intranasal ChAdOx1 nCoV-19/AZD1222 vaccination reduces viral shedding after SARS-CoV-2 D614G challenge in preclinical models. *Sci Transl Med*. 2021;13(607):eabh0755.
42. Wu S, Huang J, Zhang Z, Wu J, Zhang J, Hu H, et al. Safety, tolerability, and immunogenicity of an aerosolised adenovirus type-5 vector-based COVID-19 vaccine (Ad5-nCoV) in adults: preliminary report of an open-label and randomised phase 1 clinical trial. *Lancet Infect Dis*. 2021:S1473-3099(21)00396-0. [Epub ahead of print].
43. The University of Hong Kong, HKU's COVID-19 intranasal vaccine updates (Q&A). 2021.
44. Li C, Chen Y, Zhao Y, Lung DC, Ye Z, Song W, et al. Intravenous injection of COVID-19 mRNA vaccine can induce acute myocarditis in mouse model. *Clin Infect Dis*. 2021:ciab707.
45. Verma AK, Lavine KJ, Lin C-Y. Myocarditis after Covid-19 mRNA vaccination. *New Eng J Med*. 2021. [Epub ahead of print].
46. Montgomery J, Ryan M, Engler R, Hoffman D, McClenathan B, Collins L, et al. Myocarditis following immunization with mRNA COVID-19 vaccines in members of the US military. *J Am Med Assoc Cardiol*. 2021:e212833.
47. Wolf ME, Luz B, Niehaus L, Bhogal P, Bätzner H, Henkes H. Thrombocytopenia and intracranial venous sinus thrombosis after "COVID-19 Vaccine AstraZeneca" exposure. *J Clin Med*. 2021;10(8):1599.
48. Jackson B, Boni MF, Bull MJ, Collieran A, Colquhoun RM, Darby A, et al. Generation and transmission of inter-lineage recombinants in the SARS-CoV-2 pandemic. *Cell*. 2021. [Epub ahead of print].
49. Oude Munnink BB, Sikkema RS, Nieuwenhuijse DF, Molenaar RJ, Munger E, Molenkamp R, et al. Transmission of SARS-CoV-2 on mink farms between humans and mink and back to humans. *Science*. 2021;371(6525):172.
50. Burkholz S, Pokhrel S, Kraemer BR, Mochly-Rosen D, Carback RT 3rd, Hodge T, et al. Paired SARS-CoV-2 spike protein mutations observed during ongoing SARS-CoV-2 viral transfer from humans to minks and back to humans. *Infect Genet Evol*. 2021;93:104897.