

# Substance Use & Misuse



ISSN: (Print) (Online) Journal homepage: https://www.tandfonline.com/loi/isum20

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To cite this article: Denise Dal Lago, Edwin Burns, Elizabeth Gaunt, Emma Peers, Robin C. Jackson & Thomas D. W. Wilcockson (2023): Alcohol Use Predicts Face Perception Impairments and Difficulties in Face Recognition, Substance Use & Misuse, DOI: 10.1080/10826084.2023.2247059

To link to this article: https://doi.org/10.1080/10826084.2023.2247059

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Published online: 21 Aug 2023.

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#### ORIGINAL ARTICLE

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# Alcohol Use Predicts Face Perception Impairments and Difficulties in Face Recognition

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#### ABSTRACT

*Background*: Risky alcohol use is related to a variety of cognitive impairments, including memory and visuo-perceptual difficulties. Remarkably, no prior work has assessed whether usage of alcohol can predict difficulties perceiving facial identity. *Objectives*: Therefore, this study aimed to investigate whether riskier alcohol consumption predicted impairments in face perception and self-reported difficulties in face recognition. *Results*: Participants (N=239, male = 77) were over 18 years old and had normal or corrected-to-normal vision. Alcohol use was assessed using the Alcohol Use Disorder Identification Test (AUDIT), while face recognition difficulties were determined by the 20-item Prosopagnosia Index questionnaire (PI20). A subsample of participants (N=126, male = 51) completed the Cambridge Face Perception task (CFPT) to assess their face perception ability. Multiple linear regressions showed significant models of prediction on both face perception and face recognition when considering AUDIT score and age as predictors. *Conclusion*: This study suggested, for the first time, that risky alcohol use predicts both poorer visuo-perceptual processing for faces and self-reported difficulties in face recognition.

#### **KEYWORDS**

Face perception; face recognition; alcohol consumption

#### Introduction

Risky alcohol use is associated with changes in brain structures and related impairments of cognitive skills that are likely to increase the risk of dementia (Rehm et al., 2019). In this study, in line with the National Institute for Health and Care Excellence (NICE, 2010) definitions of hazardous and harmful drinking, risky drinking is considered that which may cause or increase the risk of harm. Different hypotheses have been proposed to describe which brain areas are more affected by alcohol consumption, such as the right hemisphere or the frontal lobes, which are thought to be the neural basis of the cognitive difficulties found in risky drinkers (Crowe et al., 2019; Oscar-Berman & Marinkovic, 2003). However, more diffuse brain atypicality may better explain the variety of neuropsychological impairments related to alcohol use and abuse, thus supporting a generalized, rather than specific, pattern of deficits in cognition (Crowe et al., 2019; Stavro et al., 2013;). In this perspective, people with disordered drinking habits show diminished functioning in global cognition, including: attention; executive functions such as verbal fluency, inhibitory control, problem solving, working memory (Nowakowska-Domagała et al., 2017; Nuyens et al., 2021; Woods et al., 2016), memory (Le Berre et al., 2017; Topiwala et al., 2017); and visuospatial and visuo-perceptual functions (Creupelandt et al., 2021).

To our knowledge, no studies have investigated whether alcohol consumption can predict impairments in facial identity processing. The Bruce and Young (1986) model describes how successful face identification is a multistage process composed by an early low-level perceptual stage (apperceptive phase) followed by a recognition stage (associative phase; for a more comprehensive review of face identity processing models, see Bruce & Young, 1986; Haxby, 2002; Bernstein & Yovel, 2015). The perceptual processing of faces is the ability to perceive and discriminate subtle differences between faces, despite illumination/position changes (Tsao & Livingstone, 2008), requiring minimal demands of memory. For example, when judging whether two faces are of the same individual or not when presented at the same time. Differently, face recognition is the ability to correctly recognize people from their faces, allowing the viewer to make a judgment about whether a face has already been seen and hence whether it is stored in memory (Stantic et al., 2021).

Being able to properly process facial identity is a critical function in many daily contexts, from the ability to perceive and learn new faces, to recognizing familiar faces and creating social networks. Poor face identity processing may make it more complicated to create relationships both on a personal and professional level. Consequently, this may lead individuals to experience a sense of loneliness and affect

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their self-confidence. Additional consequences of difficulties in recognizing faces are feelings of embarrassment, guilt, and concern about offending others for not recognizing them. Moreover, poorer face identity recognition has been found to be associated with increased social anxiety which certainly impacts the quality of life (Davis et al., 2011; Yardley et al., 2008). Furthermore, the ability to accurately process facial identity has several implications for the legal system, from the management of high-level security works (e.g., police and border force officers) to the involvement in forensic settings (e.g., eyewitness testimony; Tindall et al., 2021; Jores et al., 2019). While risky drinking is associated with various cognitive deficits (Brennan et al., 2020; Le Berre et al., 2017), it is still unclear whether alcohol consumption can predict the processing of faces, and if problems do exist, whether they occur at the perceptual stage (i.e., detecting subtle differences between faces) and/or the subsequent recognition stage (i.e., memory component).

Such identity related processes are commonly related to the ability to process facial expressions (Biotti & Cook, 2016; Burns, Martin, et al., 2017), which has been reported to be dysfunctional in people with alcohol use disorder (Freeman et al., 2018; Hoffman et al., 2019; Leiker et al., 2019; Rupp et al., 2017). While it was historically believed that emotion perception dissociates from identity processing (Bruce & Young, 1986), modern neuropsychological (for reviews see Biotti & Cook, 2016; Burns, Martin, et al., 2017) and neuroimaging literature (Fox et al., 2009; Pitcher, 2014; Pitcher et al., 2008; Tsuchiya et al., 2008; Van den Stock et al., 2008) indicates emotion processing relies on neural networks that are partly involved in face identity processing. Thus, recent work has indicated that facial identity and emotion processing are not entirely independent. For example, while the fusiform face area (FFA) has been related to identity processing (Burns et al., 2019; Kanwisher et al., 1997), it may also be involved during expression processing (Bernstein & Yovel, 2015; Calder, 2011). Conversely, areas commonly related to expression recognition (e.g., Posterior superior temporal suclsus-pSTS-FA), show an activation during face identity processing (see Bernstein & Yovel, 2015; Calder, 2011; Calder & Young, 2005).

As a consequence of these findings, revised frameworks for face processing have been recently proposed by Duchaine and Yovel (2015) in which both facial emotion and identity processes may be dependent upon shared underlying mechanisms. Therefore, if individuals with risky drinking behaviors exhibit problems in facial emotion perception (Capito et al., 2017; Freeman et al., 2018; Miller et al., 2015), and these deficits are due to neural abnormalities that overlap with brain regions associated with identity perception, then it seems plausible that riskier drinkers may also have difficulties when processing facial identity. We should therefore expect face perception and face recognition to be poorer in individuals with risky use of alcohol due to the damaging effects prolonged alcohol use may have on the brain.

Considering the generalized memory, visuo-perceptual, and emotion processing impairments in people with risky alcohol consumption, we expect increased alcohol use to predict difficulties in facial identity processing, consistent with the alcohol impairment hypothesis. We thus predict riskier drinkers should exhibit greater difficulties in face perception and face recognition than lighter drinkers. This study therefore aims to investigate whether riskier drinking habits predict poor face identity processing, using face perception and self-reported face recognition abilities as outcomes.

## Material and method

#### **Participants**

Participants (N=244, Male = 79, M Age = 27, SD=11.28, Age range = 18–68) completed the experiment online *via* Testable (https://www.testable.org/; Rezlescu et al., 2020) and were reimbursed for their participation. Inclusion criteria required being over the age of 18 years-old and having normal or corrected-to-normal vision. Ethical approval for the study was provided both by Loughborough University and Edge Hill University. All participants provided informed consent before participating in the study.

#### **Materials**

#### The alcohol use disorders identification Test (AUDIT)

The AUDIT (Saunders et al., 1993) is a 10-item questionnaire created as a screening tool to measure an individual's risk of alcohol harm by rating the frequency of alcohol-related behaviors (e.g., "How often do you have a drink containing alcohol?"; "How often during the last year have you had feeling of guilt or remorse after drinking?"). Responses to each item are rated from 0 (e.g., "never") to 4 (e.g., "daily or almost daily") and are summed to give a total score from 0 to 40. Total scores indicate low risk (0–7), increasing risk (8–15), higher risk (16–19), or possible dependence ( $\geq$ 20). The Cronbach's alpha value in this sample was .84.

#### The 20-item prosopagnosia index (PI20)

The PI20 (Shah et al., 2015) is a standardized self-report questionnaire comprised of 20 items which aim to identify face recognition difficulties in daily life (e.g., "I often mistake people I have met before for strangers"; "I find it easy to picture individual faces in my mind"). In addition to detecting face processing difficulties in the neurotypical population, it can also identify people with developmental prosopagnosia, which is a characterized by an inability in recognizing faces (Bate et al., 2014; Burns, Bennetts, et al., 2017; Burns et al., 2014, 2022; Burns & Bukach, 2021, 2022; Childs et al., 2021; Corrow et al., 2016; Maw et al., 2023; Wilcockson et al., 2020). The PI20 has been shown to correlate with multiple measures of face memory, e.g., Cambridge Face Memory Task and Famous Faces Tests (Estudillo, 2021; Shah et al., 2015) supporting its validity on investigating face memory abilities, specifically on how people rate their own face recognition ability. It has recently been shown that the PI20 may be more effective than cognitive task-based approaches for detecting severe levels of face memory problems (Burns et al., 2022). Participants were asked to read each item and

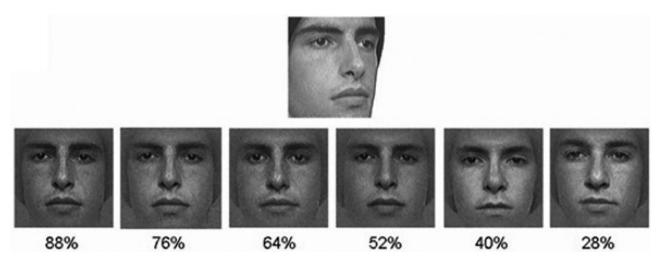


Figure 1. Images from an item in the Cambridge face perception Test. The numbers below each stimulus face is the percentage of the similarity with the target face above.

indicate how much they agree or disagree to each statement using a Likert scale from 1 (strongly disagree) to 5 (strongly agree). Scores range between 20 and 100, with high scores representing more difficulty with face recognition. The Cronbach's alpha value in this sample was .86.

#### The Cambridge Face Perception Test (CFPT)

The Cambridge Face Perception Test (CFPT; Duchaine et al., 2007) is a computerized sorting task that assesses face perception abilities. During the task, participants were asked to order the likeness of six test faces to a target face image. On each of the 16 trials, a monochrome grayscale target face image was presented with a <sup>3</sup>/<sub>4</sub> profile view above a line of six grayscale test faces in a random order. These pictures were made to look like the target to varying degrees of similarity, containing 88%, 76%, 64%, 52%, 40%, and 28% of the target face, respectively (see Figure 1). In eight trials all the targets and test images were presented upright, while in the other eight trials they were inverted (i.e., upside-down). Upright and inverted trials were intermixed and each trial lasted 60 s.

To complete the task, participants sorted the test faces by clicking on them and moving them to the desired location in order from the most resembling, to the least resembling, the target face. The score is calculated by summing the errors, that is the deviations from the correct order (e.g., if a face was two places away from its proper position, it added 2 to the score). Therefore, the higher the score, the poorer the performance. Upright and inverted scores are reported separately. Two practice trials (one upright and one inverted) were presented at the beginning to familiarize participants with the task. The Cronbach's alpha values in this sample were .80 for CFPT for the upright faces and .67 for CFPT for the inverted faces.

## Procedure

Participants were asked to read and agree to the informed consent form to participate in the study. Two hundred and

forty-four participants were asked to estimate how many units of alcohol they consumed per week (one unit was equivalent to half pint, a small glass of wine, or a small spirit) and complete the AUDIT and the PI20. During data collection, the CFPT was later included so we had an objective measure of face processing abilities. Therefore, a subset of participants also completed the CFPT (N=130) in order to obtain additional face perception information.

# Results

A priori power analysis using G\*Power (Faul et al., 2007) for linear multiple regression, using a medium effect size ( $f^2$ = .15), power set at 0.80, and alpha at 0.05, yielded a recommended total sample size of 68. Five out of 244 participants were removed from the analysis due to failures on the engagement trials which were provided to ensure individuals were properly paying attention when reading instructions throughout the tasks. Therefore, the final sample size consisted of 239 participants who completed the PI20 and a subsample of 126 participants who also completed the CFPT (see Table 1 for descriptives of the sample). Three multiple linear regressions were performed to investigate whether a riskier alcohol consumption predicted difficulties in face recognition (i.e., PI20; see Table 2), face perception of orthodox faces (CFPT for upright faces; see Table 3), and face perception of inverted faces (CFPT for inverted faces; see Table 3). RStudio (v. 1.4.1106) was used to run the analyses and create the graphs.

Given that face processing abilities have been reported to decline as people age (Konar et al., 2013), two predictors of Age and AUDIT scores were included in the analyses. The first regression considered the PI20 scores as dependent variable. The model explained 7.4% of the variation in PI20 scores with both predictors making a significant positive contribution. However, alcohol consumption seemed to be a slightly stronger predictor (see Table 2). A regression was then conducted replacing the PI20 with the CFPT upright (errors) as dependent variable. This model was also significant, accounting for 4.8% of the variation in CFPT errors.

Variables	Whole sample							Subsample						
	Ν	Median	IQR	Mean	SD	Range score	N	Median	IQR	Mean	SD	Range score		
Age	239	22.00	8.00	26.57	11.30	18–68	126	22.00	13.25	27.29	11.50	18–68		
Units per week	235	3.00	10.00	6.54	10.19	0-100	125	5.00	13.25	8.95	12.22	0-100		
AUDIT	239	7.00	9.00	8.20	6.49	0-34	126	8.00	11.00	9.36	6.80	0-34		
PI20	239	38.00	12.00	39.21	9.73	20-68	126	38.50	13.00	39.46	9.62	20-68		
CFPT Upright <sup>a</sup>	_	-	_	_	_	-	126	36.00	24.00	41.41	19.29	4–108		
CFPT Inverted <sup>a</sup>	_	-	-	-	-	_	126	68.00	30.00	69.13	18.03	20-106		

Table 1. Descriptive statistics for alcohol consumption variables (units per week; alcohol use disorders identification test (AUDIT)), 20-item prosopagnosia test (PI20), and Cambridge face perception Test (CFPT).

Note. IQR: interquartile range; SD: standard deviation; <sup>a</sup>Data only available for subsample.

Table 2. Regression coefficients of alcohol consumption (AUDIT) and age on face recognition (PI20).

			PI						
Variables	Beta	SE	95% CI	β	t	р			
Age	.21	.06	[.10; .32]	.25	3.68	<.001			
AUDIT	.38	.10	[.19; .58]	.26	3.85	<.001			
Constant	30.47	2.05	[26.42; 34.51]	-	14.85	<.001			
			$R^2$ = .082, Adjusted $R^2$ = .074 F (2,236) = 10.54, p <.001						

Note. CI: Confidence interval; SE: Standard error;  $\beta$ : standardized Beta value. Table 2 shows the impact of age and alcohol consumption (AUDIT) on face recognition (PI20). The Adjusted  $R^2$  value of .074 reveals that the predictors explained 7.4% variance in the outcome variable. The findings reveal that both alcohol consumption and age positively predict poorer face recognition.

The standardized Betas of Age and AUDIT scores seemed roughly similar in accounting for the model, albeit with neither predictor appearing significant (see Table 3). Finally, a regression was performed considering the CFPT inverted (errors) as the dependent variable while age and AUDIT score stayed as predictors. Unlike the previous regressions, this model was not significant with only 0.6% of the variance explained (see Table 3).

In summary, these results showed how riskier alcohol consumption (i.e., AUDIT) and age predicted poorer face recognition (i.e., PI20, see Figure 2) and face perception of orthodox faces (CFPT for upright faces, see Figure 3), but not face perception of inverted faces.

# Discussion

The current study investigated whether riskier drinking habits can predict face perception deficits (CFPT) and self-reported face recognition difficulties (PI20). First, supporting our hypothesis, riskier drinking behavior caused an increasing difficulty in perceiving upright facial identity. Second, general difficulties with face recognition (i.e., the PI20) were also predicted by alcohol consumption, with risky drinkers reporting more face-related complaints. While causality cannot be established, these results are consistent with risky drinking causing changes in the initial phases of facial identity perception (i.e., apperceptive difficulties) and the associative phase (e.g., recollecting a person's identity; Bruce & Young, 1986). As we found more face perception difficulties and daily troubles with faces in risky drinkers, these two stages may be similarly affected. Although age has been reported to influence the decline of face identity processing

(Konar et al., 2013, Obermeyer et al., 2012), our results showed that alcohol consumption (AUDIT score) represents the strongest contribution in our model to predict face recognition. However, both age and AUDIT scores seem to have similar contributions to predict face perception when both are taken into account resulting in a significant model.

Moreover, the effect that alcohol has in subordinate processing (e.g., psychomotor speed, attention, and executive functions) might have influenced the relationship between alcohol consumption and face perception (Burns & Wilcockson, 2019). However, if that was the case, we should have seen a significant prediction of risky drinking habits on the inverted CFPT scores given that these processes are similarly involved in the perception of upright and inverted images. Thus, it seems intuitive to assume that riskier drinking impacts face perception abilities, which in turn causes self-reported problems with daily life. However, even if subordinate processes such as attention are causing some of these effects, particularly the link between consumption and the CFPT, it does not change the fact the outcomes are the same, i.e., risky drinkers exhibit problems with face perception and self-reported problems in daily life. Future studies may wish to explore the potential role that alterations in attention are having in driving these effects.

Prior work has found many different predictors for face processing abilities. For example, individuals who are extraverted appear to exhibit superior face recognition skills (Lander & Poyarekar, 2015; Li et al., 2010). Similarly, people with lower levels of autistic traits (i.e., who take a greater interest in social information) also seem better at recognizing faces (Halliday et al., 2014; although see Rhodes et al., 2013). Moreover, those who grew up in larger cities also seem more skilled when judging facial identity (Balas & Saville, 2017; Sunday et al., 2019). Here, we add to this literature of face processing predictors by showing greater levels of risky drinking predict poorer face perception skills and more prevalent symptoms of prosopagnosia. This may have a negative impact on the general quality of life increasing feelings of loneliness and social anxiety which, in turn, may lead to an unproductive increment of drinking as a coping strategy (Villarosa-Hurlocker et al., 2019) resulting in an unhealthy vicious circle. In this study, an objectively validated self-report index for face recognition (i.e., PI20) and an experimental task for face perception (i.e., CFPT) were used to reduce fatigue and distractions in participants who completed the study online. However, future studies could use additional experimental tasks to investigate further

Table 3. Regression coefficients of alcohol consumption (AUDIT) and age on face perception (CFPT upright, CFPT inverted).

			CFPT up	right (err	ors)		CFPT inverted (errors)							
Variables	Beta	SE	95% CI	β	t	р	Beta	SE	95% CI	β	t	р		
Age	-0.27	.16	[-0.56; .04]	-0.16	-1.70	.09	-0.03	-0.15	[-0.32; .29]	-0.02	-0.19	.85		
AŬDIT	.44	.28	[-0.11; .99]	.15	1.59	.11	.39	.26	[-0.13; .90]	.14	1.50	.14		
Constant	44.99	5.69	[33.72; 56.25]	-	7.91	<.001	66.66	5.44	[54.34; 77.45]	-	12.27	<.001		
$R^2$ = .063, Adjusted $R^2$ = .048							$R^2$ = .022, Adjusted $R^2$ = .006							
		F(2, 123) = 4.16, p = .02						F(2,123) = 1.39, p = .25						

Note. Cl: Confidence interval; SE: Standard error;  $\beta$ : standardized Beta value; CFPT upright (errors): errors on face perception when stimuli were presented upright; CFPT inverted (errors): errors on face perception when stimuli were presented inverted. Table 3 shows the impact of age and alcohol consumption (AUDIT) on face perception for upright (CFPT upright) and inverted stimuli (CFPT inverted). Considering the CFPT upright (errors), the adjusted  $R^2$  value of .048 revealed that the predictors explained 4.8% of variance in the face perception when stimuli are presented upright. In contrast, the CFPT inverted (errors) are not predicted by this model.

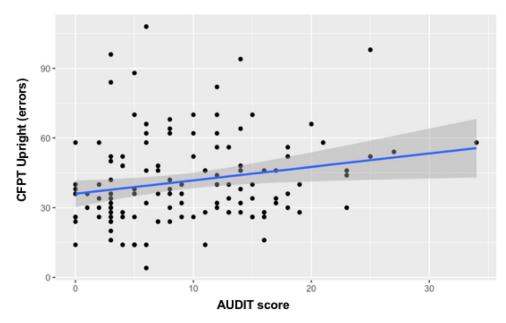


Figure 2. This figure shows how the increment in alcohol use predicts an increase in errors in face perception. AUDIT score: Alcohol use disordered identification test; CFPT upright (errors): Cambridge face perception test upright images only; PI20 Total: the 20-item prosopagnosia test.

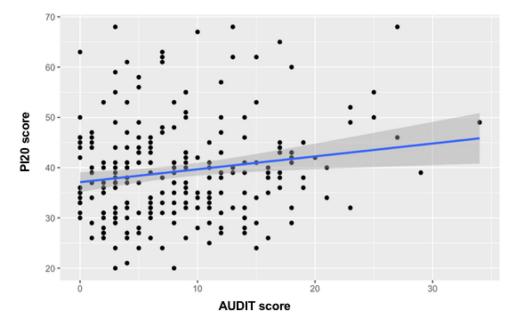


Figure 3. This figure shows how the increment in alcohol use predicts an increase in difficulties in face recognition. AUDIT score: Alcohol use disordered identification test; Pl20 Total: the 20-item prosopagnosia test.

aspects of face identity processing in more detail (e.g., CFMT-Cambridge Face Memory Task, Duchaine & Nakayama, 2006; GFMT-Glasgow Face Matching Task, Burton et al., 2010; UNSW Face Test, Dunn et al., 2020). Similarly, it would be beneficial to know if facial identity and emotion related problems in heavier drinkers extend into difficulties perceiving other face traits, such as attractiveness (Burns et al., 2021; Ying et al., 2019; 2020) or trustworthiness (Krumhuber et al., 2007; Todorov et al., 2008; Wilson & Rule, 2015).

In conclusion, our findings suggested that risky use of alcohol predicts both poorer visuo-perceptual processing for faces and difficulties in recognizing faces in daily life. Our results provide partial support for the alcohol impairment hypothesis which states risky alcohol use is related to deficits in a broad range of cognitive processes. These outcomes might also have important implications in many security and forensic situations such as the reliability of eyewitness testimony or the ability to identify faces by law enforcement policymakers. In the view of the legal system's assumption, previous studies mostly investigated the effect of acute intoxication reporting reduced completeness and impaired quality of testimony in intoxicated eyewitness or victims (Altman et al., 2018; Attwood et al., 2015; Jores et al., 2019; La Rooy et al., 2013). However, this study suggests that riskier drinkers' performance might be negatively affected in identifying faces regardless of their present status of intoxication. To our knowledge, this is the first time that face identity processing has been explored in relation to alcohol consumption in a non-addicted sample of drinkers. Additional studies are needed to more fully understand the nature of these impairments and their consequences.

# **Ethical approval**

This study has been conducted in accordance with the Declaration of Helsinki. Ethical approval for the study was provided both by Loughborough University and Edge Hill University.

#### Informed consent

All participants provided informed consent before participating in the study.

#### **Disclosure statement**

No potential conflict of interest was reported by the author(s).

#### **CRediT** authorship contribution statement

Denise Dal Lago: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Writing-Original draft preparation, Writing-Review and Editing, Visualization, Project administration. Edwin Burns: Conceptualization, Writing-Review and Editing, Supervision, Funding acquisition. Elizabeth Gaunt: Investigation. Emma Peers: Investigation. Robin C. Jackson: Writing-Review and Editing, Supervision. **Thomas D. W. Wilcockson:** Conceptualization, Writing-Review and Editing, Supervision.

#### Funding

The author(s) reported there is no funding associated with the work featured in this article.

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