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1 Investigations on the second-order transient gap resonance induced by focused wave
2 groups

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10
11 **Abstract:**

12 Two or more marine structures deployed side by side may encounter strong water-body
13 resonances within narrow gaps between them. It may cause significant wave loads on structures
14 and the green water phenomenon on the deck. In this article, the transient fluid motion within a
15 narrow gap formed by two fixed boxes suffered from incident focused wave groups is investigated
16 using a two-dimensional viscous flow numerical wave flume. The focused wave groups adopted
17 have the spectral peak frequency equal to half the fluid resonant frequency inside the gap. The
18 wave fields both inside the gap and around the two-box system, the response/damping time of the
19 transient wave surfaces inside the gap, the maximum wave forces and the ratios of the 2nd-order to
20 the corresponding 1st-order wave surfaces/forces are investigated. It is revealed that the most
21 dangerous place to green water is always the front edge of the two-box system. The damping time
22 of the 2nd-order wave surface is significantly larger than that of the 1st-order one. As the incident
23 wave amplitude rises, the ratios of the 2nd-order to the first-order wave surfaces/forces becomes
24 increases gradually and can even exceed 100% for the wave surface, the horizontal wave force and
25 the moment.

26
27 **Keywords:** Transient gap resonance; Second-order resonance; Focused wave groups; Wave
28 amplification; Wave loads; OpenFOAM[®]

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1. Introduction

For two or more marine structures deployed side by side and suffered from ocean surface waves, if there exist narrow gaps between them, large-amplitude piston-mode free surface oscillations can occur within the gaps at certain frequencies, which is normally called “*gap resonance*”. The phenomenon may cause extremely large wave elevations in the gaps and wave loads on the structures (Ning et al., 2018; Zhu et al., 2005). Therefore, much attention should be paid to the operational and/or structural safety for the multiple structures arranged side by side and very closely to each other, and correspondingly, more investigations need to be implemented to expand the understanding of gap resonance.

At the early stage, theoretical analyses were widely adopted to study this phenomenon, and almost all the theoretical investigations were based on the linear potential flow theory (e.g. Miao et al. (2000); Molin (2001); Zhu et al. (2006)). To examine the previous theoretical researches and obtain a better comprehension on the phenomenon, numerous laboratory experiments were also carried out. Saitoh et al. (2006) and Iwata et al. (2007) implemented a series of two-dimensional (2D) experiments in physical wave flumes to analyze the fluid resonance occurring in a narrow gap formed by two boxes and that in two narrow gaps formed by three boxes, respectively. A series of 2D physical experiments were also conducted by Tan et al. (2014), Zhang et al. (2021a) and Milne et al. (2022) to investigate the gap resonance between a box-shaped ship cross-section and a vertical quay. In addition, a few three-dimensional (3D) experiments were also carried out by Li et al. (2016), Zhao et al. (2017) and Huang et al. (2020) to study the fluid resonance between two barges or inside a moonpool.

Heretofore, most numerical studies on gap resonance adopted the classical potential flow theory (CPFT) combining with different numerical discretization techniques (e.g., Li and Zhang (2016); Sun et al. (2010)). Nevertheless, due to the neglect of the flow viscosity in the CPFT, the simulations based on them remarkably over-predict the wave elevation within the narrow gap and hence the wave forces acting on the structure (Kristiansen and Faltinsen, 2008). To address this disadvantage, some special techniques were proposed so that the energy dissipation can be added into the CPFT artificially (e.g., Ning et al. (2015); Tan et al. (2019); Wang et al. (2022)). With the fast development of computer technology, the numerical investigations based on the CFD (Computational Fluid Dynamics) simulations are becoming more and more prevalent in recent

1 years. Based on a domain-decomposition method, Kristiansen and Faltinsen (2012) developed a
2 solver that can couple the Navier–Stokes equations with the potential theory, and the solver
3 provides an effective tool to analyze gap resonance problems in the real engineering scale. Based
4 on some in-house or open-sourced or commercial CFD models, different aspects of the gap
5 resonance phenomenon have been widely studied by many scholars (e.g., Gao et al. (2019a); Gao
6 et al. (2019b); He et al. (2021b); Jiang et al. (2018); Lu et al. (2011a); Lu et al. (2011b); Zhang et
7 al. (2021b)), and it has been found that the CFD-based simulation results coincide with available
8 experimental ones very well.

9 Although many investigations on the phenomenon have been performed, most of them were
10 concerned only on the steady-state gap resonance triggered by the incident steady-state regular or
11 irregular waves (e.g., Chua et al. (2018); Ding et al. (2022); Gao et al. (2020b); He et al. (2021a);
12 He et al. (2022); Jiang et al. (2021); Song et al. (2021); Zhao et al. (2021)). In contrast, only a few
13 scholars performed the transient gap resonance investigations excited by transient wave groups.
14 Based on a potential flow solver, the transient gap resonance was preliminarily studied in Taylor et
15 al. (2008), and only simple comparisons between the incident focused waves and the free-surface
16 elevations within the gap were made therein. Recently, various aspects of the resonant wave
17 surface within the gap formed in between two fixed barges triggered by focused waves were
18 experimentally investigated by Zhao et al. (2017; 2020). The resonant amplitudes and frequencies,
19 mode shapes, the group dynamics and higher harmonics of fluid motions inside the gap were
20 deeply studied in both papers. The mechanisms of the nonlinear interactions between different
21 harmonic components and the various driven mechanisms of gap resonance were well revealed.
22 The experiments of Zhao et al. (2017) were successfully reproduced by Wang et al. (2018) based
23 on the open-sourced OpenFOAM® model. More recently, also based on OpenFOAM®, the so
24 called 1st-order transient gap resonance phenomenon between two fixed boxes excited by focused
25 waves was simulated in Gao et al. (2020a), where the incident focused waves always had the
26 spectral peak frequency equal to the fluid resonant frequency of the gap. The amplification and the
27 response/damping time of the wave surface, the maximum wave loads and the relative importance
28 of the high-order wave loads to the first-order ones were investigated therein.

29 To boost the knowledge and understanding of the hydrodynamic phenomena related to
30 transient gap resonance, this paper further investigates the transient gap resonance excited by

1 focused wave groups. Similar to Gao et al. (2020a), the amplification and the response/damping
2 time of the wave surface, the maximum wave elevations/loads and the relative importance of the
3 high-order to the first-order wave elevations/loads are also investigated here. However, different
4 from the previous work, the spectral peak frequency of the incident focused wave groups adopted
5 in this article is no longer equal to the fluid resonant frequency of the gap, but instead the former
6 is set to half of the latter. Due to the quadratic coupling of the linear wave components, the
7 spectral peak frequency of the 2nd-order sum harmonic wave components coincides with the fluid
8 resonant frequency of the gap, and the so-called 2nd-order gap resonance phenomenon could be
9 triggered (Ding et al., 2022; He et al., 2021a; Zhao et al., 2017). Although the 2nd-order transient
10 gap resonance excited by focused wave groups has been investigated in Zhao et al. (2017) and
11 Wang et al. (2018), only the wave elevations within the gap were recorded and analyzed therein.
12 As far as the authors know, aiming at the 2nd-order transient gap resonance phenomenon, the wave
13 elevations in the vicinity of (i.e., in the front or at the rear of) the multi-structure system and the
14 wave forces impacting on the structure have heretofore not been investigated. Both similarities
15 and differences between the hydrodynamic features in the present study and those in Gao et al.
16 (2020a) will also be compared and analyzed in this article.

17 Similar to Gao et al. (2020a), all simulations in this paper are performed by adopting the
18 OpenFOAM[®] model and a 2D numerical wave flume (NWF). Both the NewWave theory of
19 Tromans et al. (1991) and the phase/amplitude correction technique of Fernández et al. (2014) are
20 utilized to generate the desired incident focused wave groups. The four-phase combination
21 analysis technique of Fitzgerald et al. (2014) is used to decompose both wave elevations and wave
22 loads into the lowest four order harmonic components during the 2nd-order transient gap
23 resonance.

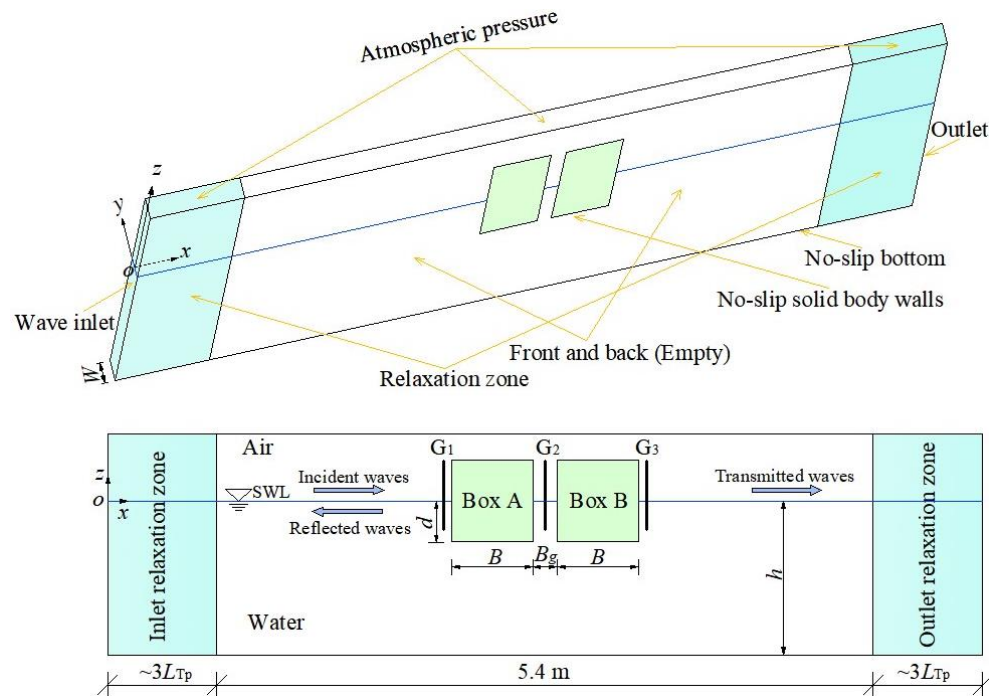
24 The rest of this article is organized as follows. Section 2 briefly introduces the numerical
25 model and the four-phase combination analysis technique. Section 3 describes the setups of both
26 the NWF and the incident wave parameters in detail. Section 4 presents the simulation results and
27 discussions. Finally, main conclusions are drawn in Section 5.

28 29 **2. Numerical model and analysis technique**

30 **2.1 Numerical model**

1 All simulations in this paper are carried out by utilizing OpenFOAM® version 3.0.1. Both the
 2 “*interFoam*” multiphase flow solver built in the model and a third-party wave-making toolbox
 3 “*waves2Foam*” of Jacobsen et al. (2012) are adopted to track the free water surface and
 4 produce/dissipate waves.

5 Fig. 1 illustrates the NWF, its boundary conditions and the layout of relaxation zones utilized
 6 in present study. The inlet boundary prescribes both the elevation of air/water interface and the
 7 velocities of water particles based on desired wave theories, and the zero pressure gradient is also
 8 set therein. The “no-slip” boundary condition is defined at all the solid-wall-type boundaries
 9 which include the right and bottom boundaries of the NWF and all edges of both boxes. Near the
 10 inlet/outlet boundaries, the so-called “relaxation zones” are deployed to absorb the energy of
 11 outgoing waves. The upper part of the NWF prescribes the “atmosphere” boundary condition. To
 12 establish a 2D flume, the “empty” boundary condition is defined at both the front and back
 13 boundaries. As in Moradi et al. (2015) and Gao et al. (2021), the largest Courant number allowed
 14 in the model is set to 0.25 for all simulations.



16
 17 **Fig. 1.** The NWF adopted in the present study: (a) the boundary conditions and the coordinate
 18 system; (b) the layouts of wave gauges and relaxation zones.

1 It is noted here that the wave loads considered in this article include horizontal wave forces,
 2 vertical wave forces and wave moments impacting on the two boxes, and that the moments on
 3 both boxes correspond to their respective centroid.

4 5 2.2 Analysis technique

6 The current work adopts the four-phase combination analysis technique of Fitzgerald et al.
 7 (2014) to decompose the total wave elevations and wave loads into the lowest four order harmonic
 8 components during the 2nd-order transient gap resonance. To make readers better understand this
 9 article, this analysis technique is briefly introduced here.

10 In the analysis technique, a narrow-banded wave power spectrum is assumed. Both the
 11 wave-wave interaction and the wave-structure interaction can then be described by a Stokes-type
 12 expansion, formulated up to the 4th order here:

$$13 \quad F(\theta) = Af_{11} \cos \theta + A^2(f_{20} + f_{22} \cos 2\theta) + A^3(f_{31} + f_{33} \cos 3\theta) + A^4(f_{42} + f_{44} \cos 3\theta) + O(A^5), \quad (1)$$

14 where F denotes the time series of wave surfaces or wave loads, A denotes the linear component
 15 amplitude, f_{ij} denotes some coefficients appearing in the expansion, and θ denotes the phase
 16 function of the incident focused waves.

17 Four incident focused wave groups are produced by the same wave-making signal except that
 18 the phase of each Fourier component is shifted by $0, \pi/2, \pi$ and $3\pi/2$, and the corresponding four
 19 time series of wave elevations or wave loads, $F_0, F_{\pi/2}, F_{\pi}$ and $F_{3\pi/2}$ are generated and recorded. The
 20 lowest four order harmonic components of the signal of interest can then be obtained via a linear
 21 combination of its corresponding four signals excited by the four-phase focused wave groups
 22 mentioned above, and can be expressed as follows:

$$23 \quad 1^{\text{st}} \text{ sum harmonic: } (Af_{11} + A^3 f_{31}) \cos \theta + O(A^5) = \frac{(F_0 - F_{\pi/2}^H - F_{\pi} + F_{3\pi/2}^H)}{4}, \quad (2)$$

$$24 \quad 2^{\text{nd}} \text{ sum harmonic: } (A^2 f_{22} + A^4 f_{42}) \cos 2\theta + O(A^6) = \frac{(F_0 - F_{\pi/2} + F_{\pi} - F_{3\pi/2})}{4}, \quad (3)$$

$$25 \quad 3^{\text{rd}} \text{ sum harmonic: } A^3 f_{33} \cos 3\theta + O(A^5) = \frac{(F_0 + F_{\pi/2}^H - F_{\pi} - F_{3\pi/2}^H)}{4}, \quad (4)$$

$$26 \quad 2^{\text{nd}} \text{ diff. } +4^{\text{th}} \text{ sum harmonics: } A^2 f_{20} + A^4 f_{44} \cos 4\theta + O(A^6) = \frac{(F_0 + F_{\pi/2} + F_{\pi} + F_{3\pi/2})}{4}, \quad (5)$$

27 in which the superscript ‘‘H’’ denotes the Hilbert transform of the signal. For more detailed
 28 information on the theory of the analysis technique, the interested reader is referred to Fitzgerald

1 et al. (2014).

2 Since the analysis technique was put forward by Fitzgerald et al. (2014), it has been
3 successfully utilized to extract the harmonic components of both wave elevations and wave loads
4 around/on various types of marine structures suffered from focused wave groups (e.g., Chen et al.
5 (2019); Chen et al. (2021); Feng et al. (2020); Zhao et al. (2017)).

6 **3. Numerical wave flume**

7 As mentioned in Section 2, a 2D NWF is established in this article for all simulations (see Fig.
8 1). A Cartesian coordinate system with the origin, o , at the SWL (still water level) of the inlet
9 boundary is defined. The $+x$ axial direction coincides with the propagation direction of the
10 incident waves, the $+y$ axial direction parallels with the width of the flume and points toward the
11 back boundary, and the $+z$ axis points vertically upwards. The length and the height of the flume
12 are 35.0 m and 0.8 m, respectively. To simulate the 2D problem, only one computational cell is
13 deployed along the y axis, and the width of the flume is set to $W=0.01$ m. At the middle of the
14 NWF, a two-box system consisting of two identical fixed boxes is installed. Their draft d , height H
15 and breadth B are respectively 0.25 m, 0.50 m and 0.50 m. The width of the narrow gap formed in
16 between the two boxes is $B_g=0.05$ m. The still water depth is set to $h=0.50$ m.

17 The above-mentioned layouts for both the two-box system and the still water depth are
18 identical to the corresponding experimental/numerical setups in Saitoh et al. (2006) and Lu et al.
19 (2011b) where the steady-state gap resonance triggered by monochromatic waves was studied.
20 According to the two literatures, the fluid resonant frequency of the narrow gap presented in Fig. 1
21 is $\omega_R=5.285$ rad/s. In this article, to successfully trigger the 2nd-order transient gap resonance, the
22 spectral peak frequency of the incident focused wave group is set to $\omega_p=0.5\omega_R=2.643$ rad/s (it will
23 be described in detail in the next paragraph), so that the double frequency of ω_p coincides with the
24 fluid resonant frequency of the gap. Based on the linear dispersion relationship, the wavelength of
25 the wave component with the spectral peak frequency is $L_p=4.95$ m. Two relaxation zones with an
26 identical length are placed around the inlet/outlet boundaries, and the length of each relaxation
27 zone is set to 14.80 m (about $3L_p$) to ensure the satisfactory dissipation for the outgoing waves.
28 Three wave gauges ($G_1 - G_3$) are installed in the flume. G_2 is placed in the middle of the narrow
29 gap to record the fluid resonance. G_1 and G_3 are deployed very closely to the upstream of Box A
30 and the downstream of Box B, respectively, with the distance of only 0.005 m from the edge of

1 each box.

2 In present study, the NewWave theory of Tromans et al. (1991) is adopted to generate focused
3 wave groups. The wave groups include numerous cosine wave components with various
4 frequencies, and these components focus at a specific point both in space and in time. If the wave
5 nonlinearity is ignored, the elevation of a focused wave group can be formulated as follows:

$$6 \quad \eta(x, t) = \sum_{n=1}^N a_n \cos \varphi_n, \quad (6)$$

7 in which

$$8 \quad a_n = A_f \frac{S(\omega_n) \times \Delta\omega}{\sum_{n=1}^N S(\omega_n) \times \Delta\omega}, \quad (7)$$

$$9 \quad \varphi_n = k_n(x - x_f) - \omega_n(t - t_f) + \varphi_0. \quad (8)$$

10 In these equations, $N=100$ refers to the number of the cosine wave components considered. A_f is
11 the focused wave amplitude and ranges from 0.01 m to 0.10 m with an increment of 0.01 m. a_n , k_n
12 and ω_n respectively refer to the wave amplitude, the wavenumber and the circular frequency for
13 the n^{th} cosine component. $\Delta\omega$ is the frequency difference between adjacent cosine components. t_f
14 =15.0 s and $x_f=17.50$ m (i.e., at gauge G₂) are the focusing time and the focusing place,
15 respectively. φ_0 denotes the phase angle and is set to zero for a crest-focused wave group. $S(\omega_n)$
16 denotes the wave power spectrum.

17 The JONSWAP spectrum of Hasselmann et al. (1973) formulated as

$$18 \quad S(\omega_n) = \alpha g^2 \frac{1}{\omega_n^5} \exp\left[-\frac{5}{4}\left(\frac{\omega_p}{\omega_n}\right)^4\right] \times \gamma^\beta \quad (9)$$

19 is adopted in this article, in which $\alpha=0.0081$ and

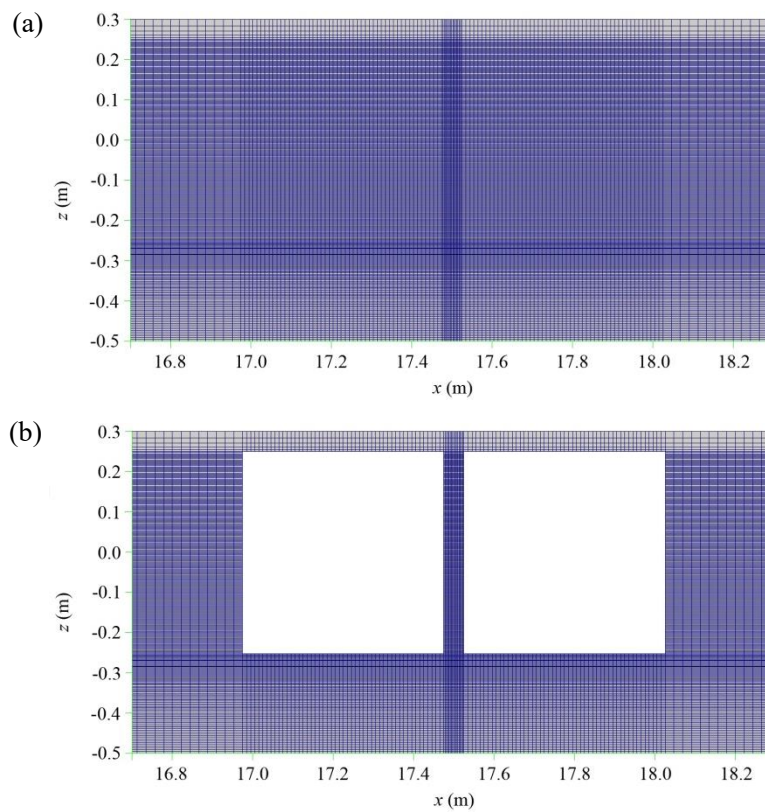
$$20 \quad \beta = \exp\left[-(\omega_n - \omega_p)^2 / (2\sigma^2 \omega_p^2)\right]. \quad (10)$$

21 ω_p denote the spectral peak circular frequency, which is set to 2.643 rad/s for all simulations so
22 that the 2nd-order transient fluid resonance in the gap can be excited. σ is set to 0.07 when $\omega_n \leq \omega_p$
23 and otherwise to 0.09. γ denotes the peak enhancement factor that is set to 3.3 in this paper.

24 It should be stressed here that, due to that Eq. (6) ignores the effect of the wave nonlinearity,
25 all the actual focusing time, focusing place, focused wave amplitude would have a certain degree
26 of deviations from the desired ones. To ensure that the incident focused wave groups generated in
27 the wave inlet boundary accurately possess the desired focusing time, focusing place and focused

1 amplitude, the methodology of Fernández et al. (2014) to iteratively correct both wave amplitude
2 and the phase is also adopted. Due to limited space, its specific principle is not presented here.

3 Meshes in the NWF are produced by “*blockMesh*” which is a mesh-generating utility built in
4 the numerical model. Typical mesh layout in the vicinity of the boxes is shown in Fig. 2. To model
5 the propagation of the incident waves and track the free water surface accurately, the cell size
6 becomes smaller and smaller from the bottom/atmosphere boundaries to the SWL. Compared to
7 the meshes at the two pure wave propagation regions in the front of and at the rear of the two-box
8 system, finer meshes are deployed around the two boxes, especially within the gap.

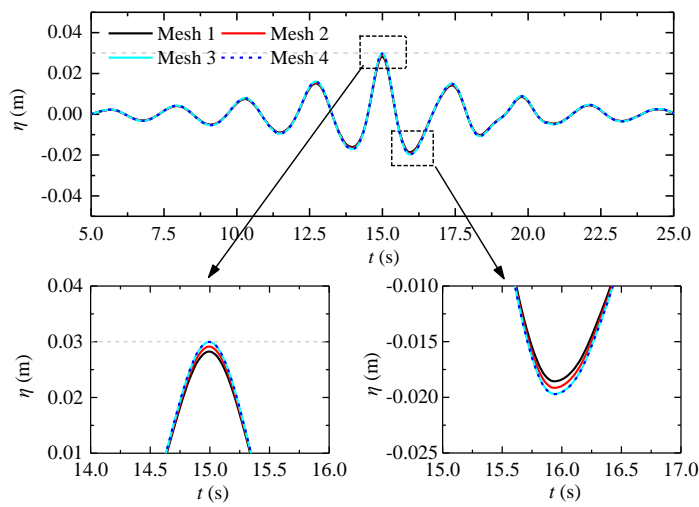


29 **Fig. 2.** Typical mesh layout at the middle area of the NWF: (a) without the box; (b) with the
30 two-box system

31
32 The convergence analysis for the simulation results is performed by utilizing four sets of
33 meshes (i.e., Meshes 1–4) with gradually finer resolutions. Aiming at the NWF without the box,
34 the cell numbers for Meshes 1–4 are 193420, 331580, 426540 and 558670, respectively. The cell
35 numbers of the NWF with boxes for the four meshes are slightly less than the corresponding ones
36 of the NWF without the box because of the detachment of the cells within the two boxes from the

1 computational domain. Figs. 3 and 4 present the time series of wave elevations at gauge G_2 under
 2 Meshes 1–4 for the NWFs without and with the two-box system, respectively. The simulated
 3 incident wave group in both figures is crest-focused (i.e., $\varphi_0=0$) and has $A_f=0.03$ m. For Meshes
 4 1–3, slightly differences between their wave elevations are observed at both the focusing crest and
 5 the following trough. As the mesh becomes denser, the wave elevations for Meshes 3 and 4
 6 become almost exactly coincident with each other. It shows that Mesh 3 obtains convergent results,
 7 and hence is chosen for all simulations.

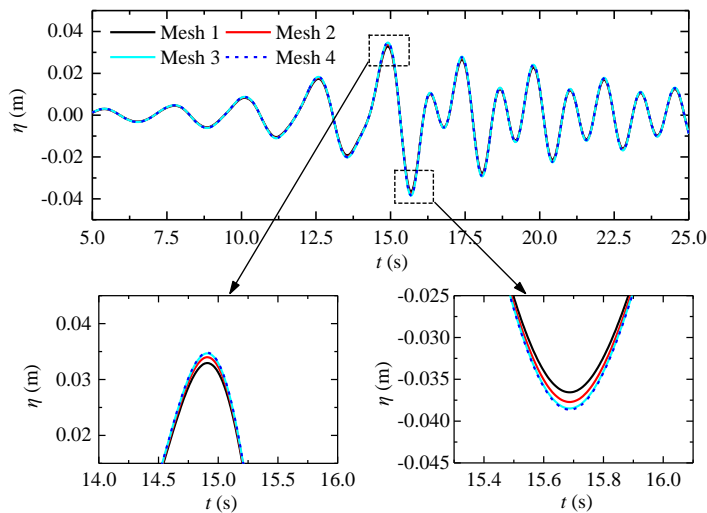
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10 **Fig. 3.** Time series of the wave elevations at gauge G_2 under Meshes 1–4 for the NWF without the
 11 box suffered from the incident crest-focused wave groups with $A_f=0.03$ m

12

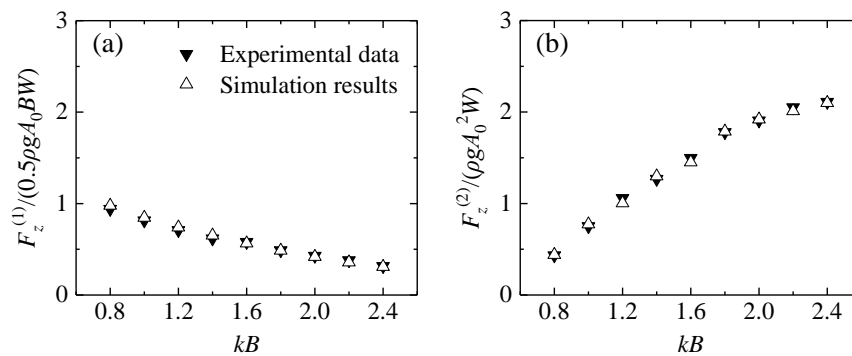


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14 **Fig. 4.** As in Fig. 3, but for the NWF with the two-box system

1 Prior to performing the present investigations on the 2nd-order gap resonance phenomenon,
 2 the accuracy of the numerical model in simulating the nonlinear wave-structure interaction is
 3 examined. Rodríguez et al. (2016) carried out a series of experiments in a physical wave flume
 4 with a length of 63 m and a width of 2.79 m. The water depth in the wave flume was $h=1.25$ m. A
 5 box was fixed at around the middle of the wave flume. The box has a breadth of $B=0.50$ m and a
 6 draught of $d=0.25$ m. The width of the box along the width direction of the wave flume was 2.76
 7 m, which leaves only 0.015 m to each sidewall of the wave flume. Two sets of the incident regular
 8 waves with $kA_0 = 0.05$ and 0.10 were considered, where k and A_0 are the wavenumber and the
 9 wave amplitude of the incident regular waves, respectively.

10 To test the capability of OpenFOAM[®] to reproduce strong wave-structure interactions, the
 11 interactions between the box and the incident waves with $kA_0 = 0.10$ are simulated here. Due to the
 12 identical breadth and draught of the box used in the physical experiments to those of the two boxes
 13 studied in this paper, a NWF very similar to that in Fig. 1 (not shown here for brevity) is utilized
 14 to perform the simulations. Different from the NWF shown in Fig. 1, only one box is placed in the
 15 middle of the flume. In addition, as in the physical wave flume, the water depth in the NWF is set
 16 to 1.25 m. A mesh with a similar density to Mesh 3 is adopted. The comparisons between the
 17 experimental data of Rodríguez et al. (2016) and the simulation results of the present numerical
 18 model for both the 1st-order and the 2nd-order vertical wave forces acting on the box are presented
 19 in Fig. 5, and a good agreement between them is seen.



21
 22 **Fig. 5.** The comparisons between the experimental data of Rodríguez et al. (2016) and the
 23 simulation results of OpenFOAM[®] for (a) the normalized 1st-order and (b) the normalized
 24 2nd-order vertical wave forces induced by the incident regular waves with $kA_0=0.10$.

4. Numerical results and discussion

To gain an overall understanding on the features of wave fields, not only the fluid magnification within the gap but also the variations of the wave elevations around the two-box system due to the existence of the two boxes are first shown in subsection 4.1, where the response/damping time of the first two order sum harmonic components of the wave elevation within the gap are also quantitatively estimated. Subsequently, the three types of wave loads on both boxes (including the horizontal wave forces, the vertical wave forces, and the wave moments) are investigated in subsections 4.2–4.4, respectively. In all the four subsections (i.e., 4.1–4.4), the results in each subsection are presented in the following way, overall. That is, the time series of total physical quantities (wave elevations or wave loads) and their maximum values in the positive and/or the negative directions are first analyzed, and subsequently, the first four order harmonic components are extracted and the first two order sum harmonics are carefully analyzed. Finally, the importance of high-order harmonics (represented by the 2nd-order sum harmonics) relative to first-order ones for all the four physical quantities (i.e., wave elevations, the horizontal wave forces, the vertical wave forces, and the wave moments) is compared in subsection 4.5.

4.1. Wave elevations around the two-box system

4.1.1. Total wave elevations

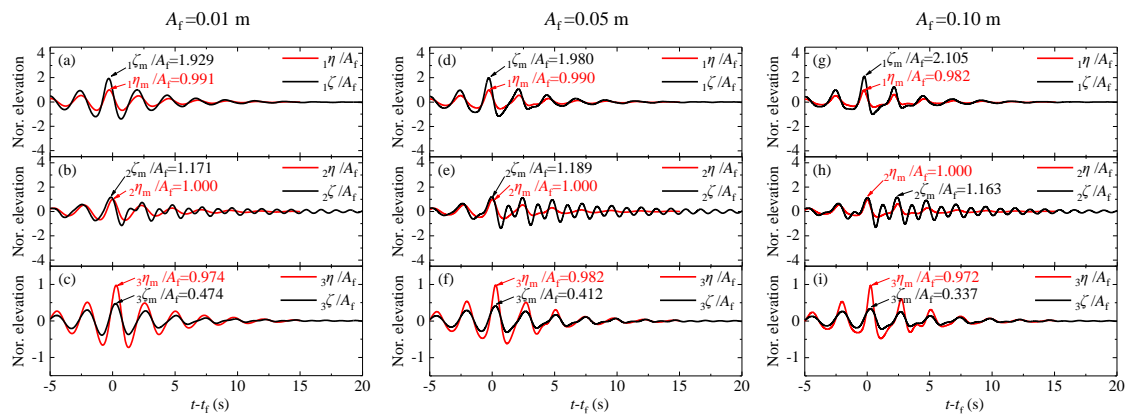


Fig. 6. Comparisons of the time series of total wave elevations under the two conditions of with/without the two-box system at all the three gauges for the crest-focused wave groups with (a–c) $A_f=0.01$ m, (d–f) $A_f=0.05$ m, and (g–i) $A_f=0.10$ m. $i\eta_m$ and $i\zeta_m$ denote the maximum total wave elevations at the gauge G_i ($i=1, 2$ and 3) without and with the two-box system, respectively.

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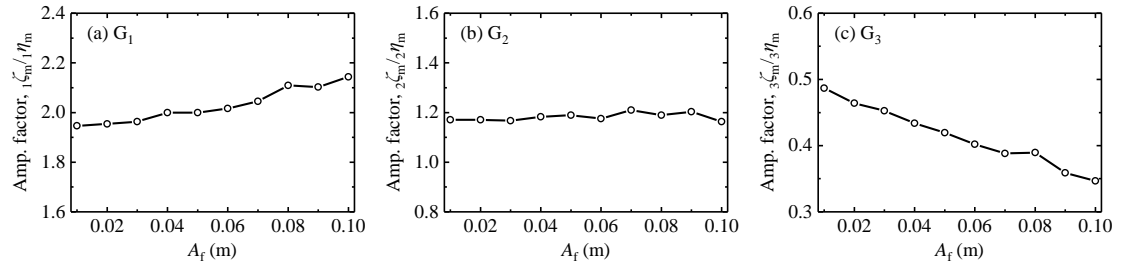
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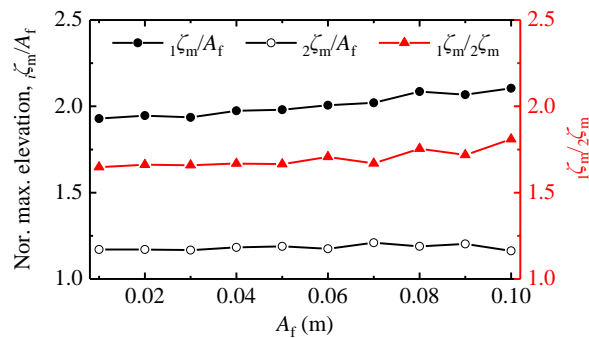
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Fig. 7. Variations of the amplification factor of the wave elevations with respect to A_f at (a) G_1 , (b) G_2 , and (c) G_3

Fig. 7 presents the variations of the amplification factor of the wave elevation defined as $i_{\zeta_m}/i\eta_m$ ($i=1, 2$ and 3) with respect to A_f at the three wave gauges. For G_1 (Fig. 7a), the amplification factor gradually increases from 1.95 to 2.15 with the rise of the focused wave amplitude, A_f . For G_2 (Fig. 7b), however, the amplification factor there seems insensitive to A_f , and

1 basically maintains a slight fluctuation around 1.90. Different from both G_1 and G_2 , the
 2 amplification factor for G_3 shows a gradual decline from 0.49 to 0.35 (Fig. 7c). It is clear that the
 3 fluid oscillation behind the two-box system has the least possibility to cause the green water on the
 4 deck due to the smallest amplification factor of wave elevation therein. On the contrary, the wave
 5 elevation at G_1 always shows the largest amplification factor, which implies that the greatest
 6 possibility for the green water probably always appears in front of the two-box system.

7 To confirm the above speculation, Fig. 8 directly compares the normalized maximum wave
 8 elevations at G_1 and G_2 inside the NWF with the two-box system for all the incident focused wave
 9 amplitudes considered. It can be intuitively observed that the maximum wave elevation at G_1 is
 10 indeed always significantly higher than the corresponding one at G_2 , no matter whether the
 11 incident focused wave amplitude is small or large. The ratio of the former to the latter (i.e., ${}_{1}\zeta_m/2\zeta_m$)
 12 is also shown here. It is seen that ${}_{1}\zeta_m/2\zeta_m$ has a minimum value 1.65 when $A_f=0.01$ m, and as A_f
 13 rises, the ratio gradually increase up to 1.81. It indicates that the most vulnerable place to green
 14 water is indeed always at the front edge of Box A, regardless of A_f . This phenomenon is quite
 15 different from the corresponding one for the 1st-order transient gap resonance revealed by Gao et
 16 al. (2020a), and it was found that the most vulnerable place to green water is inside the gap or at
 17 the front edge of Box A, which is dependent on the magnitude of A_f .



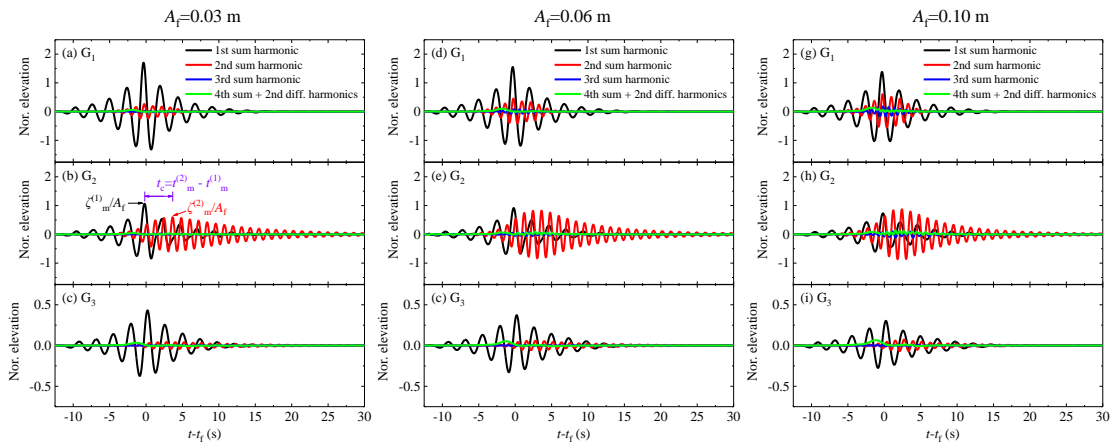
19
 20 **Fig. 8.** Comparison of the maximum wave elevations at G_1 and G_2 for various focused wave
 21 amplitudes.

22
 23 4.1.2. High-order harmonics

24 Based on the analysis technique described in subsection 2.2, the lowest four order harmonic
 25 components of the wave elevations for all wave gauges and all incident focused wave amplitudes

1 are extracted. Fig. 9 shows the time series of the lowest four order harmonic components of the
2 wave elevations at G_1 – G_3 for $A_f=0.03$ m, 0.06 m and 0.10 m. Four phenomena are observed from
3 this figure. First, at all the wave gauges, the high-order harmonic components (especially the
4 2nd-order sum harmonic one) become more and more important as the incident focused wave
5 amplitude increases. Second, due to that the 2nd-order transient gap resonance is triggered by the
6 incident focused wave groups, the high-order fluid motions inside the gap (i.e. at G_2) present the
7 most significant importance compared with those at G_1 and G_3 . When $A_f=0.10$ m, the maximum
8 2nd-order sum harmonic wave elevation in the gap even exceeds the corresponding 1st-order one
9 (see Fig. 9h). Third, at gauges G_2 and G_3 , there exists an obvious difference between the moment
10 of the appearance of the maximum 1st-order sum harmonic wave elevation, $t_m^{(1)}$, and the moment
11 of the appearance of the maximum 2nd-order sum harmonic wave elevation, $t_m^{(2)}$. That is, the
12 appearance of the maximum 2nd-order sum harmonic wave elevation is always obviously later than
13 that of the corresponding 1st-order one at G_2 and G_3 . However, for gauge G_1 , the maximum 1st-
14 and 2nd-order sum wave elevations appear almost at the same time. Fourth, although the
15 high-order wave elevation becomes more significant with the increase of A_f , all of the 3rd- and the
16 4th-order sum components and the 2nd-order difference component are still very small compared
17 with the 1st- and the 2nd-order sum components overall. Hence, only the lowest two order sum
18 harmonic components are carefully analyzed in the following.

19

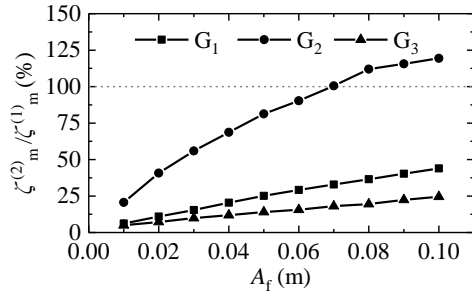


20

21 **Fig. 9.** Time series of the lowest four order harmonic components of the wave elevations at all
22 wave gauges for (a-c) $A_f=0.03$ m, (d-f) $A_f=0.06$ m, and (g-i) $A_f=0.10$ m. All the harmonic
23 components are normalized by A_f . $\zeta_m^{(1)}$ and $\zeta_m^{(2)}$ denote the maximum 1st-order and 2nd-order
24 harmonic wave elevations, respectively. $t_m^{(1)}$ and $t_m^{(2)}$ denote the moments of the appearances of

1 $\zeta_m^{(1)}$ and $\zeta_m^{(2)}$, respectively.

2



3

4 **Fig. 10.** Ratios of $\zeta_m^{(2)}$ to $\zeta_m^{(1)}$ at all wave gauges under various incident focused wave amplitudes.

5

6 To quantitatively describe the relative importance of the high-order wave components to the
7 1st-order one, Fig. 10 presents the ratios of $\zeta_m^{(2)}$ to $\zeta_m^{(1)}$ at gauges G_1 – G_3 under various incident
8 focused wave amplitudes. The first two phenomena shown in Fig. 9 are quantitatively presented in
9 this figure. Furthermore, it can also be seen that the relative importance of the high-order wave
10 components at G_1 is always higher than that at G_3 , although the former is always significantly
11 lower than that at G_2 .

12

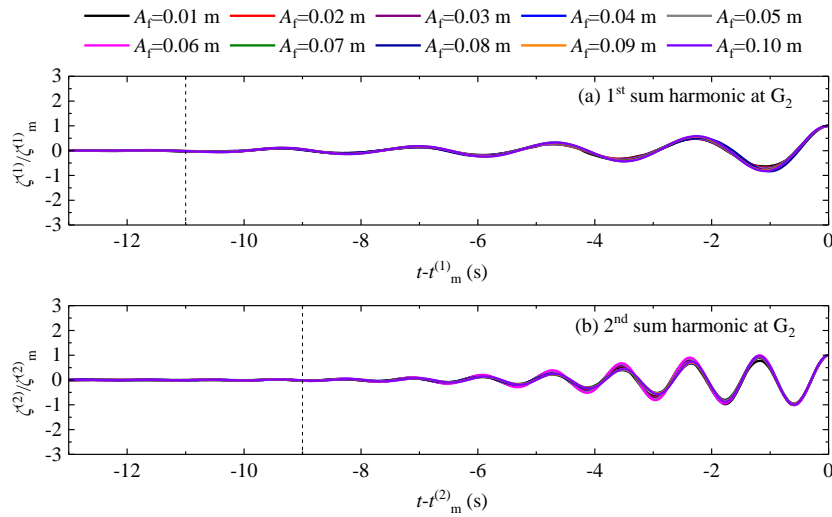
13 4.1.3. Response time and damping time for the first two order sum harmonics

14 In the actual project, the full understanding about the response time and the damping time of
15 the transient gap resonance is very valuable to guide the berthing/production operation and the
16 evacuation of staff reasonably. Therefore, the response/damping time for the 2nd-order transient
17 gap resonance excited by focused wave groups is studied in this subsection. More specifically, the
18 response/damping time for both the 1st- and the 2nd-order sum harmonic wave elevations in the gap
19 (i.e., at G_2) is investigated. The response time for each harmonic component refers to the time
20 length between the moment that the free surface of each component just starts to move from the
21 rest and the moment that it reaches the corresponding maximum. The damping time is defined as
22 the time length between the moment with the maximum wave elevation and the moment that the
23 wave crest decays to 0.05 times the maximum wave elevation.

24 Fig. 11 illustrates the time series of the 1st- and 2nd-order sum harmonic wave elevations at
25 gauge G_2 during their response stages. Note that both the 1st- and 2nd-order wave elevations are

1 normalized by their respective maximum values for all the focused wave amplitudes considered,
 2 and that the time axis utilized is $t-t_m^{(i)}$ ($i=1$ or 2). For the 1st-order wave elevations (see Fig. 11a),
 3 it can be seen that the response time for all the incident wave amplitudes is extremely close to
 4 each other and is around 11.0 s. A similar phenomenon is also observed for the 2nd-order wave
 5 elevations (see Fig. 11b). However, the response time for the latter is obviously shorter than that
 6 for the former, and is only about 9.0 s. These phenomena are similar to the response time for the
 7 total wave elevation inside the gap during the 1st-order transient gap resonance (Gao et al., 2020a),
 8 but are quite different from the 1st-order steady-state gap resonance whose response time heavily
 9 relies on the amplitude of incident regular waves (Gao et al., 2019b).

10



11

12 **Fig. 11.** Time series of the normalized (a) 1st-order and (2) 2nd-order sum harmonic wave
 13 elevations at gauge G_2 during their response stages. $\zeta^{(1)}$ and $\zeta^{(2)}$ denote the 1st-order and 2nd-order
 14 sum harmonic wave elevations, respectively.

15

16 For both the 1st-order steady-state and the 1st-order transient gap resonance phenomena, the
 17 total wave elevations in the gap have been revealed to decay in an exponential function during
 18 their attenuation stages (Gao et al., 2020a; Gao et al., 2019b). However, aiming at the 2nd-order
 19 transient gap resonance phenomenon, whether the exponential-form decay is still valid for the
 20 lowest two order sum harmonic wave elevations in the gap is unknown. Through observing Fig.
 21 9b, e and h, the envelopes for both lowest two order sum harmonic wave elevations during their
 22 attenuation stages seem to follow the exponential form as well. To examine the above speculation,

the lowest two order wave elevations within the gap during their attenuation stages are assumed as

$$\zeta^{(i)} / \zeta_m^{(i)} = \bar{a}^{(i)} \cos[\omega^{(i)}(t - t_m^{(i)}) + \bar{\varphi}^{(i)}] \exp[-\delta^{(i)}(t - t_m^{(i)})] \quad (i=1 \text{ or } 2), \quad (11)$$

in which $\bar{a}^{(i)}$, $\omega^{(i)}$, $\bar{\varphi}^{(i)}$ and $\delta^{(i)}$ are four fitting parameters, and the superscript “(i)” marks out that the variable corresponds to the i^{th} -order sum harmonic wave elevation. If wave elevations decayed in a perfect exponential way from their maximums, $\bar{a}^{(i)}$ and $\bar{\varphi}^{(i)}$ would equate to 1.0 and 0, respectively. Moreover, based on the physical understandings for the lowest two order sum harmonic wave elevations, it can be inferred that the oscillations for them should be dominated by the spectral peak frequency ($\omega_p=2.643$ rad/s) and the fluid resonant frequency ($\omega_R=2\omega_p=5.285$ rad/s), respectively.

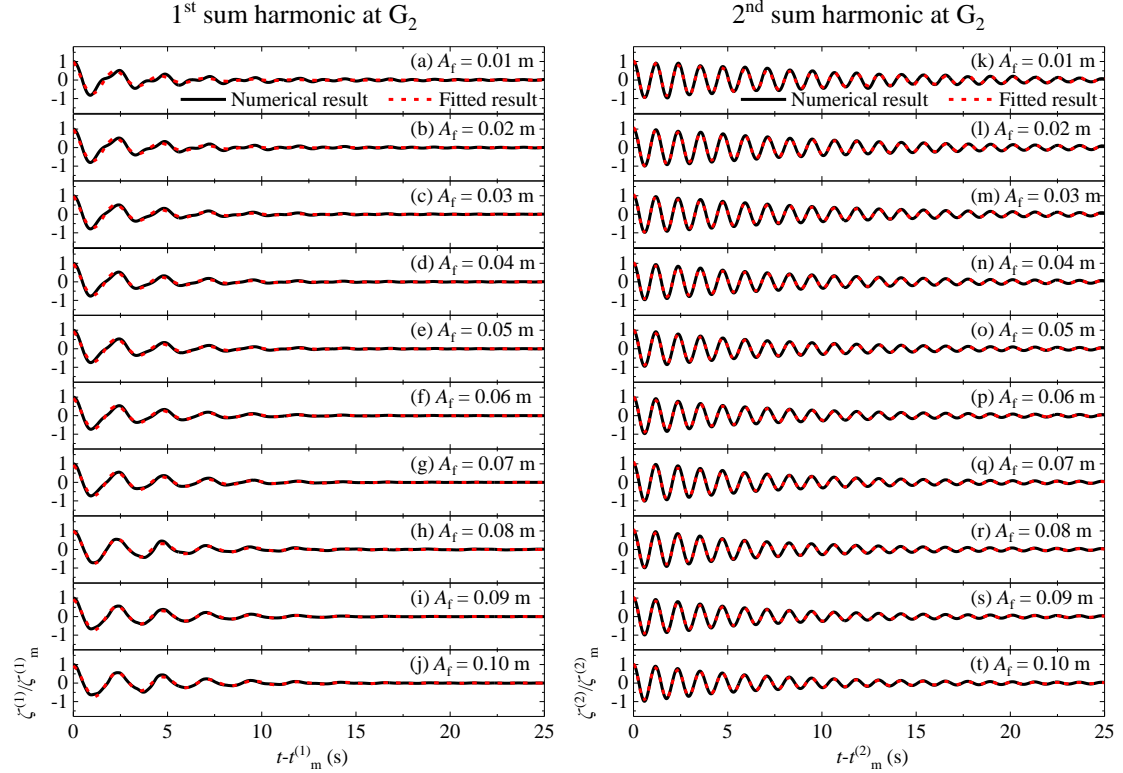


Fig. 12. Comparisons of the lowest two order wave elevations inside the gap extracted by the four-phase combination analysis technique and their corresponding fitted ones by Eq. (11) for the attenuation stage. (a-j) and (k-t) correspond to the 1st-order and the 2nd-order sum harmonic wave elevations, respectively.

Fig. 12 demonstrates the lowest two order sum harmonic wave elevations inside the gap

1 extracted by the four-phase combination analysis technique and their corresponding fitted ones by
 2 Eq. (11) for the attenuation stage. It can be visually observed that, for both the lowest two order
 3 wave elevations, the fitted wave elevations are consistent well with the extracted ones by the
 4 analysis technique, regardless of whether the incident focused wave amplitude is small or large.

5

6 **Table 1.** The fitted values of the parameters in Eq. (11) and the correlation coefficient, R , between
 7 the extracted and the fitted curves of the 1st-order sum harmonic wave elevations during the
 8 attenuation stage. $Err1$ denotes the error between $\omega^{(1)}$ and the spectral peak frequency, ω_p .

A_f (m)	Fitted values					R	$Err1$ (%)
	$\bar{a}^{(1)}$	$\omega^{(1)}$ (rad/s)	$\bar{\varphi}^{(1)}$ (rad)	$\delta^{(1)}$			
0.01	1.052	2.627	0.348	0.332	0.920	0.605	
0.02	1.043	2.612	0.354	0.320	0.946	1.173	
0.03	1.037	2.605	0.331	0.309	0.966	1.438	
0.04	1.014	2.599	0.301	0.297	0.969	1.665	
0.05	0.984	2.599	0.271	0.279	0.970	1.665	
0.06	0.970	2.601	0.232	0.264	0.976	1.589	
0.07	0.963	2.601	0.227	0.247	0.979	1.589	
0.08	1.006	2.621	0.079	0.239	0.984	0.832	
0.09	0.953	2.609	0.140	0.227	0.986	1.286	
0.10	0.959	2.612	0.107	0.214	0.982	1.173	

9

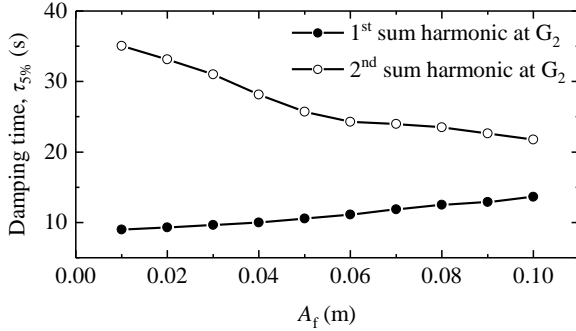
10 **Table 2.** As in Table 1, but for the 2nd-order sum harmonic wave elevations. $Err2$ denotes the error
 11 between $\omega^{(2)}$ and the fluid resonant frequency of the gap, ω_R .

A_f (m)	Fitted values					R	$Err2$ (%)
	$\bar{a}^{(2)}$	$\omega^{(2)}$ (rad/s)	$\bar{\varphi}^{(2)}$ (rad)	$\delta^{(2)}$			
0.01	1.063	5.305	-0.039	0.087	0.995	0.378	
0.02	1.071	5.304	-0.049	0.093	0.999	0.360	
0.03	1.087	5.306	-0.016	0.099	0.999	0.397	
0.04	1.062	5.308	-0.014	0.109	1.000	0.435	
0.05	1.029	5.306	-0.014	0.118	1.000	0.397	
0.06	1.040	5.305	-0.016	0.125	0.999	0.378	
0.07	1.094	5.308	0.033	0.129	0.999	0.435	
0.08	1.102	5.314	0.062	0.132	0.999	0.549	
0.09	1.070	5.310	0.043	0.135	0.999	0.473	
0.10	1.053	5.309	0.082	0.140	0.999	0.454	

12

1 Tables 1 and 2 further list the fitted values for all the four fitting parameters in Eq. (11) and
2 the correlation coefficient between the extracted and fitted curves, R . $Err1$ and $Err2$ in them refer
3 to the error between $\omega^{(1)}$ and the spectral peak frequency (ω_p) and that between $\omega^{(2)}$ and the fluid
4 resonant frequency (ω_R), respectively. For $\bar{a}^{(i)}$ and $\bar{\varphi}^{(i)}$, their respective values are shown to be
5 very close to 1 and 0 for all incident focused wave groups and for both the lowest two order
6 harmonic wave elevations. The correlation coefficients, R , for the 2nd-order sum harmonic
7 components are all larger than 0.990 and even reach up to 1.000. Although the correlation
8 coefficients for the 1st-order sum harmonic components are slightly lower than those for the
9 2nd-order ones, their smallest value is also high up to 0.920. These fitted results indicate that both
10 the lowest two order wave components in the gap indeed damps out in the form of an exponential
11 function formulated by Eq. (11) during their attenuation stages. It can also be seen from the two
12 tables that both the errors $Err1$ and $Err2$ are extremely small; the largest values for them are only
13 1.665% and 0.549%, respectively. It directly proves that the oscillations for the 1st- and the
14 2nd-order sum harmonic wave components during the attenuation stage are indeed dominated by
15 the spectral peak frequency and the fluid resonant frequency, respectively.

16



17

18 **Fig. 13.** The damping time for the lowest two order sum harmonic wave elevations at G_2 for
19 various focused wave amplitudes

20

21 According to Eq. (11), the time $\tau_{\psi\%}$ that is taken by the lowest two order wave elevations to
22 decline to $\psi\%$ of their respective maximums can be easily expressed as

23

$$\tau_{\psi\%} = -\frac{\ln\left[\psi\% / (\bar{a}^{(i)} \cos \bar{\varphi}^{(i)})\right]}{\delta^{(i)}} \quad (i = 1 \text{ or } 2). \quad (12)$$

1 $\tau_{5\%}$ is chosen here to estimate the damping time. The damping time of the lowest two order wave
2 elevations for all the incident wave amplitudes is presented in Fig. 13. The following two obvious
3 phenomena can be seen. First, the damping time for the 2nd-order wave elevation is always much
4 longer than that for the 1st-order one; the shortest damping time for the former is equal to 21.75 s
5 that appears at the case with $A_f=0.10$ m, while the longest damping time for the latter is only 13.64
6 s that occurs at the same case. This phenomenon can be attributed to the fact that the spectral peak
7 frequency of the 2nd-order sum harmonic components is consistent with the fluid resonant
8 frequency of the gap, and hence the 2nd-order sum harmonic components are in the resonance state.
9 Second, the damping time of the 1st-order wave elevation is shown to gradually increase with the
10 increase of A_f . On the contrary, for the 2nd-order sum harmonic wave components, their damping
11 time gradually decrease with A_f .

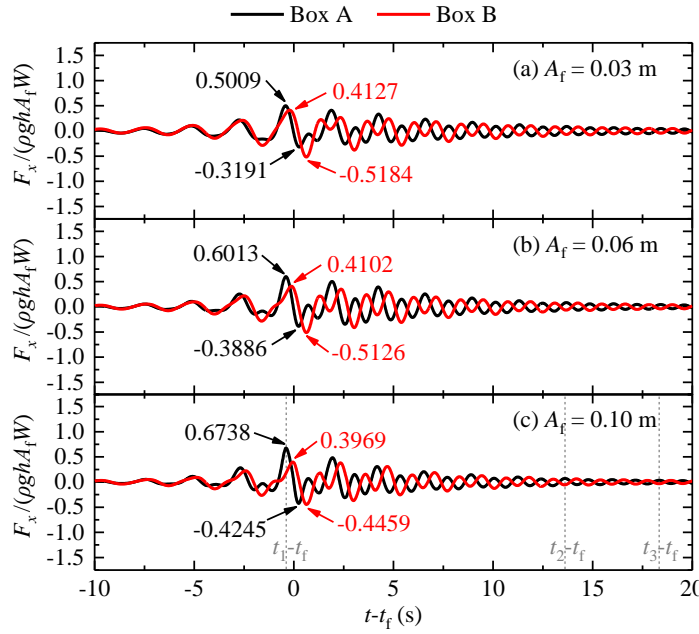
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13 4.2. Horizontal wave forces

14 4.2.1. Total horizontal wave forces

15 Fig. 14 presents the comparisons of the time histories of the total horizontal wave forces
16 impacting on the two boxes subjected to the incident crest-focused wave groups with $A_f=0.03$ m,
17 0.06 m and 0.10 m. It should be noted here that both the total horizontal wave forces and their
18 harmonic components (they will be shown in the subsection 4.2.2) are normalized by ρghA_fW in
19 this article. Two phenomena can be visually observed. First, in the $+x$ axial direction, for all the
20 three crest-focused wave groups, the maximum horizontal wave forces on Box A are shown to be
21 always larger than the corresponding ones on Box B. Contrarily, in the $-x$ axial direction, the
22 former becomes always smaller than the latter. Second, at the attenuation stage, the time histories
23 of the horizontal wave forces acting on both boxes gradually become nearly anti-phase and tend to
24 possess similar amplitudes. It can be explained as follows. The wave groups propagate away from
25 the two boxes in both forms of reflected waves and transmitted waves after the incident focused
26 wave groups hit the two-box system. As shown in Fig. 13, the 1st-order wave elevations inside the
27 gap gradually vanish in around 14 s or shorter time; however, the 2nd-order wave elevations need a
28 much longer time to completely vanish. Hence, the remaining 2nd-order components oscillate
29 inside the gap like a piston, which tends to produce nearly symmetrical flow velocity fields and
30 dynamic pressure fields with respect to the vertical plane located at the middle of the gap.

1 Obviously, the nearly symmetrical dynamic pressure fields would generate the anti-phase
 2 horizontal wave forces with similar magnitudes impacting on the two boxes.



3
 4 **Fig. 14.** Comparisons of the time series of the normalized horizontal wave forces on Boxes A and
 5 B for the crest-focused wave groups with (a) $A_f=0.03$ m, (b) $A_f=0.06$ m, and (c) $A_f=0.10$ m. t_i ($i=1-3$)
 6 are three moments when both the velocity and dynamic pressure fields around the two-box
 7 system will be shown and analyzed, and the specific values for t_i-t_f are listed in Table 3.

8
 9 **Table 3.** The specific values of the three moments shown in Fig. 14c, t_i-t_f ($i=1-3$)

Values of the three moments		
t_1-t_f (s)	t_2-t_f (s)	t_3-t_f (s)
-0.382	13.610	18.338

10
 11 To show the above explanations more intuitively, both the velocity and dynamic pressure
 12 fields in the vicinity of both boxes at the three different moments shown in Fig. 14c and Table 3
 13 are presented in Fig. 15 for the incident crest-focused waves with $A_f=0.10$ m. It is seen that when
 14 the incident focused waves start to hit the two-box system, both the velocity and dynamic pressure
 15 fields in the vicinity of both boxes show significant asymmetry with respect to the vertical plane
 16 located at the middle of the gap (see Fig. 15a and b). As the incident waves pass far away from the
 17 structures, the symmetric tendency with respect to that vertical plane for them becomes more and

more obvious (see Fig. 15c–f). Based on the distributions of both the velocity and dynamic pressure fields, it can be inferred that the vertical wave forces on both boxes during the damping stage (including their amplitudes and the phases) tend to become almost identical with each other, and that the wave moments on both boxes during that stage would have almost identical amplitude but become almost anti-phase. These inferences will be proved in subsections 4.3.1 and 4.4.1, respectively.

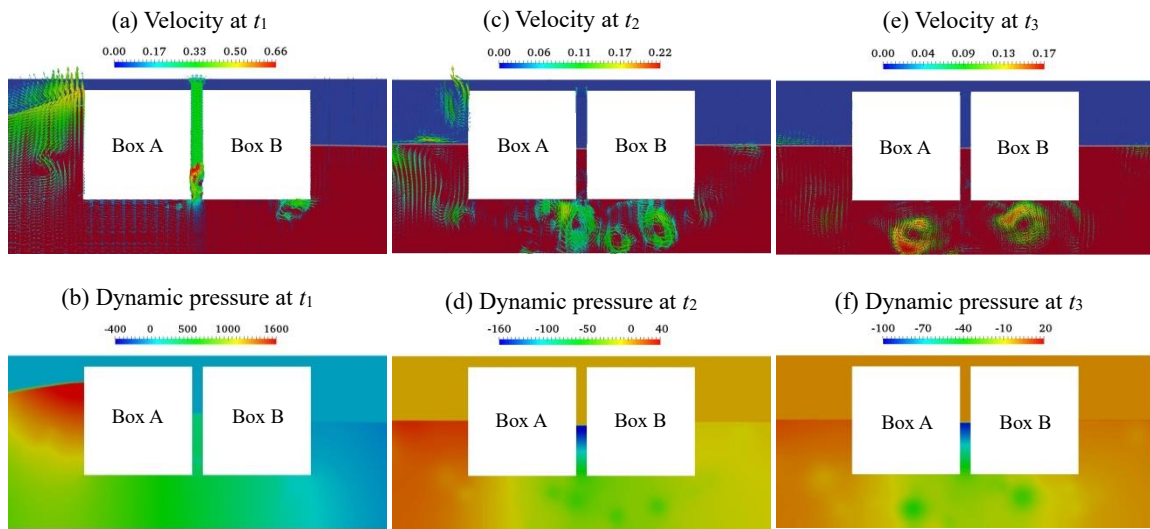
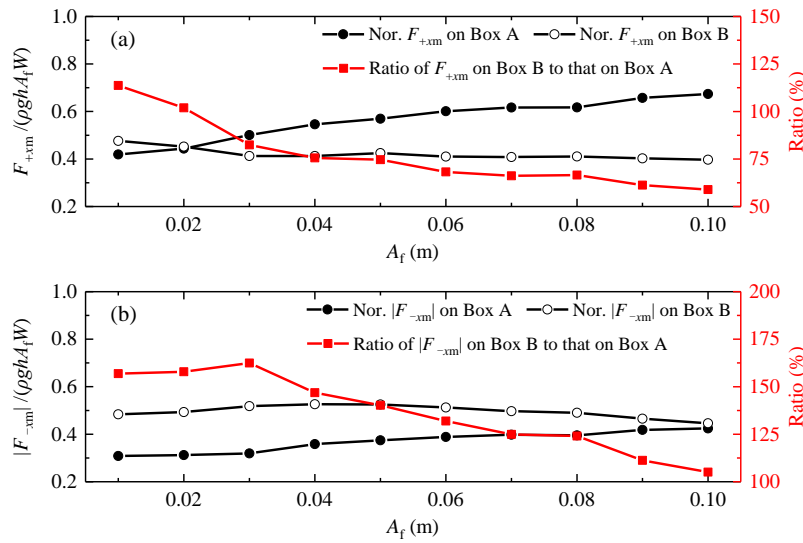


Fig. 15. Velocity and dynamic pressure fields in the vicinity of the two-box system at the three moments shown in Fig. 14c and Table 3. The units of the color bars for the velocity and the dynamic pressure fields are m/s and Pa, respectively.

Fig. 16 further quantitatively compares the normalized maximum horizontal wave forces on Boxes A and B in the same direction. In the $+x$ direction (see Fig. 16a), the normalized maximum horizontal wave forces on Boxes A and B gradually increase and decrease, respectively, with the incident focused wave amplitude. For $A_f=0.01$ m, the maximum horizontal wave force on Box A is slightly less than that on Box B. When A_f increases to 0.02 m, the two variables become almost identical. As A_f further increases, the maximum horizontal wave force on Box A becomes higher and higher than that on Box B. The ratio of the maximum horizontal wave force in the $+x$ direction on Box B to that on Box A decreases gradually from 113.7% for $A_f=0.01$ m to 58.9% for $A_f=0.10$ m. In the $-x$ direction (see Fig. 16b), the normalized maximum horizontal wave force on Box A still increases gradually with A_f , just like the corresponding one in the $+x$ direction. However, the

1 normalized maximum horizontal wave force on Box B does not change monotonically any more.
 2 It first increases and then decreases with the increase of A_f , and its maximum value appears at
 3 $A_f=0.04$ m. Furthermore, at the variation range of A_f considered in this article, the normalized
 4 maximum horizontal wave force on Box B in the $-x$ direction is always larger than that on Box A.
 5 The ratio of the former to the latter first slightly increases and then sharply decreases with A_f , and
 6 there is a maximum value of 162.5% at $A_f=0.03$ m.

7



8

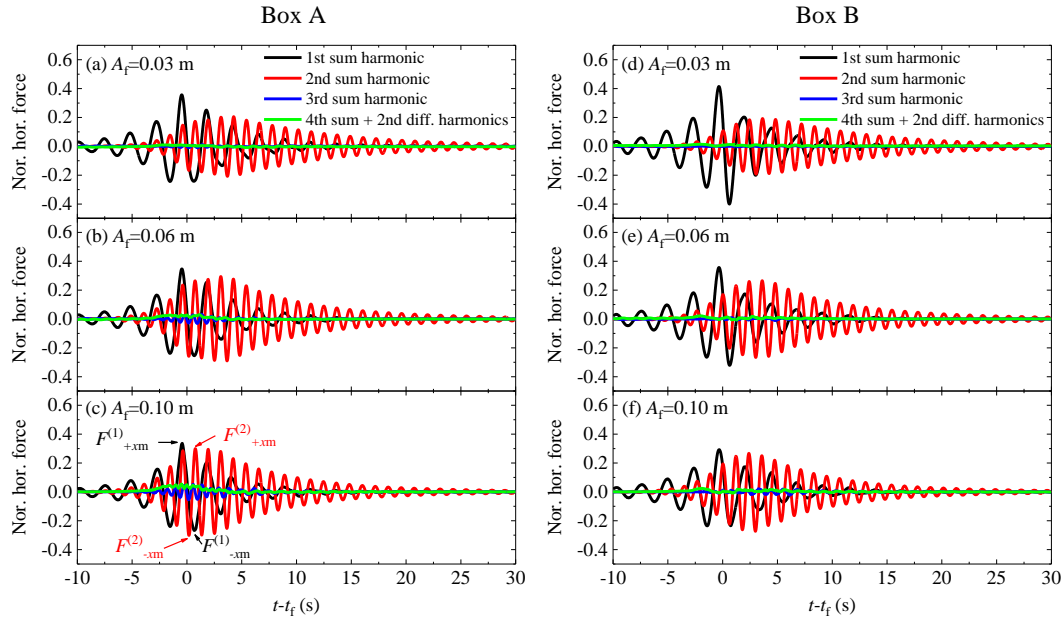
9 **Fig. 16.** Comparisons of the normalized maximum horizontal wave forces on Boxes A and B in (a)
 10 the $+x$ axial direction and (b) the $-x$ axial direction. F_{+xm} and F_{-xm} denote the maximum total
 11 horizontal forces in the $+x$ and the $-x$ axial directions, respectively.

12

13 4.2.2. High-order harmonics

14 Based on the analysis technique shown in subsection 2.2, the lowest four order harmonic
 15 components of wave loads on both boxes are also separated. Fig. 17 demonstrates the decomposed
 16 lowest four order harmonic components of the horizontal wave forces for the focused waves with
 17 $A_f=0.03$ m, 0.06 m and 0.10 m. It is seen that the magnitudes of the 2nd-order sum harmonic
 18 components are significant when compared to those of the 1st-order components even for the
 19 incident focused wave group with $A_f=0.03$ m (Fig. 17a and d). When $A_f=0.06$ m and 0.10 m (Fig.
 20 17b, c, e and f), the former approaches and even exceed the latter. Similar to the wave elevation
 21 inside the gap, for the horizontal wave forces, the magnitudes of both the 3rd/4th-order sum and the
 22 2nd-order difference harmonic components are also extremely small compared with the lowest two

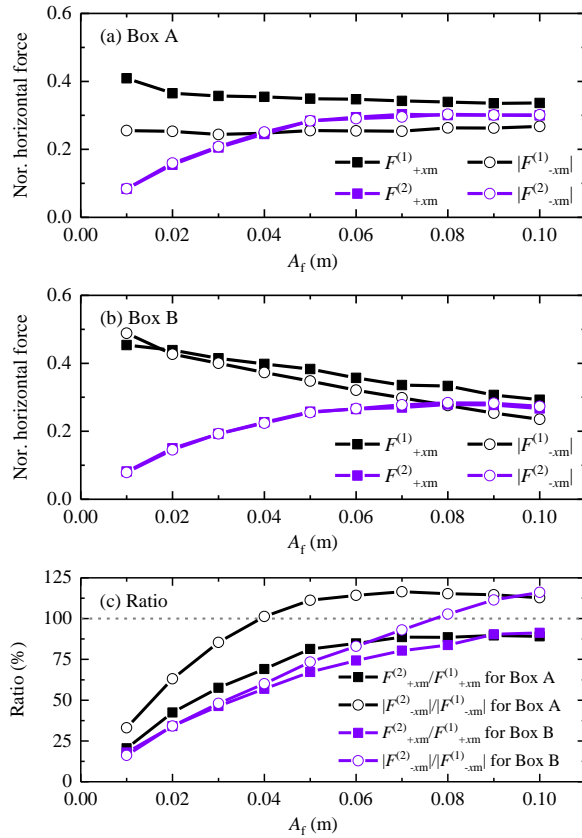
1 order sum harmonic ones. In fact, this phenomenon can also be observed for both the vertical
 2 wave force and the wave moment (their lowest four order harmonics are not presented in this
 3 article due to limited space). Therefore, only the lowest two order sum harmonic wave loads are
 4 analyzed in the following. More specifically, the maximum values of the lowest two order sum
 5 harmonic horizontal wave forces in both the $+x$ direction and the $-x$ direction will be extracted and
 6 compared in this subsection.
 7



8
 9 **Fig. 17.** The lowest four order harmonic components of the normalized horizontal wave forces on
 10 (a-c) Box A and (d-f) Box B subjected to the focused waves with $A_f=0.03$ m, 0.06 m, and 0.10 m.
 11 $F^{(i)}_{+xm}$ and $F^{(i)}_{-xm}$ ($i=1$ and 2) denotes the maximum i^{th} -order horizontal forces in the $+x$ direction
 12 and the $-x$ direction, respectively.
 13

14 Fig. 18 shows the variations of the normalized maximums of the lowest two order sum
 15 harmonic horizontal forces in both the $+x$ and the $-x$ directions with respect to A_f . The ratios of the
 16 2nd-order to the 1st-order maximum forces in the same direction acting on each box are also
 17 presented. For the 1st-order maximum horizontal forces on both boxes (Fig. 18a and b), it is seen
 18 that their normalized magnitudes in the $+x$ direction are always larger than the corresponding ones
 19 in the $-x$ direction overall. In addition, for all the 1st-order maximum forces in the $+x$ direction on
 20 Box A and those in both directions on Box B, their normalized values decrease monotonically with
 21 the increase of the incident wave amplitude. However, for the 1st-order maximum horizontal

1 forces in the $-x$ direction on Box A, their normalized magnitudes seem insensitive to the incident
 2 wave amplitude. For the 2nd-order maximum horizontal forces on both boxes, their characteristics
 3 are completely different from those of the 1st-order ones. For all the incident focused wave
 4 amplitudes considered, the 2nd-order maximum horizontal forces in both directions are always
 5 almost identical with each other, regardless of Box A or Box B. Furthermore, all of them gradually
 6 increase with A_f and eventually approach a specific value in each direction when $A_f > 0.07$ m.
 7



8
 9 **Fig. 18.** The normalized maximums of the lowest two order sum harmonic horizontal wave forces
 10 in both the $+x$ and the $-x$ directions for (a) Box A and (b) Box B, and (c) the ratios of the 2nd-order
 11 to the 1st-order maximum horizontal forces in the same direction for each box
 12
 13

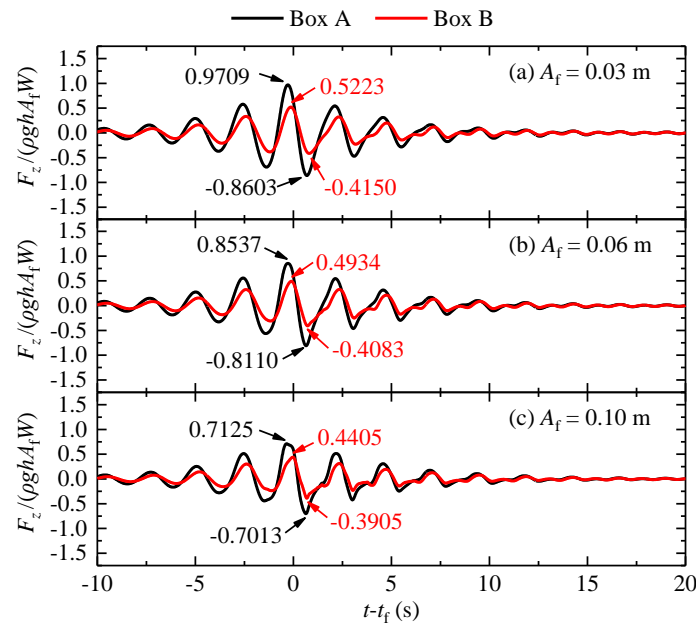
14 Finally, the relative importance of the 2nd-order harmonic components with respect to the
 15 1st-order ones is quantitatively depicted by the ratios of the 2nd-order to the 1st-order maximum
 16 forces in the same direction (Fig. 18 c). It is clearly seen that the importance of the 2nd-order
 17 harmonic components becomes more and more significant as A_f increases. In the $-x$ direction, the

1 ratios of the 2nd-order to the 1st-order maximum forces on both boxes can even exceed 110%,
 2 while in the +*x* direction, the ratios are also high up to about 90%. Among the four ratio
 3 parameters, $|F^{(2)}_{-xm}|/|F^{(1)}_{-xm}|$ for Box A always has the largest value except at $A_f=0.10$ m where it is
 4 only slightly less than $|F^{(2)}_{-xm}|/|F^{(1)}_{-xm}|$ for Box B.

6 4.3. Vertical wave forces

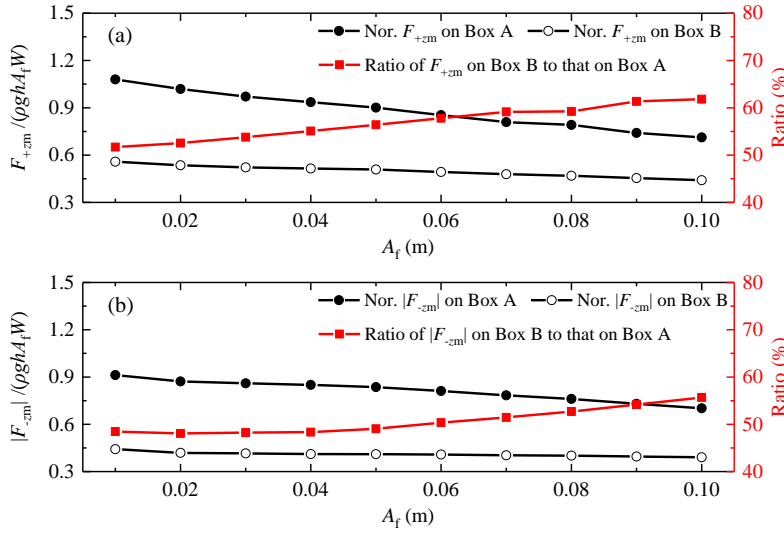
7 4.3.1. Total vertical wave forces

8 Fig. 19 illustrates the time series of the total vertical wave forces acting on both boxes for
 9 $A_f=0.03$ m, 0.06 m and 0.10 m. Identical to the horizontal forces, both the total vertical wave
 10 forces and their harmonic components that will be shown in subsection 4.3.2 are also normalized
 11 by $\rho gh A_f W$. Two phenomena can be easily observed. First, the maximum vertical forces on Box A
 12 in both the +*z* and -*z* directions are always notably larger than the corresponding ones on Box B
 13 because of the occlusion effect of Box A on Box B. Second, during the damping stage, the time
 14 histories of the vertical wave forces on Boxes A and B tend to become almost overlapped with
 15 each other. These two phenomena mentioned above are distinct from the corresponding ones
 16 presented in Fig. 14.



18 **Fig. 19.** Time series of the normalized vertical wave forces on Boxes A and B for (a) $A_f=0.03$ m,
 19 (b) $A_f=0.06$ m, and (c) $A_f=0.10$ m.

1 Fig. 20 presents the quantitative comparisons of the normalized maximum vertical wave
2 forces on both boxes in the same direction. In the $+z$ direction (Fig. 20a), the normalized
3 maximum vertical wave forces on both boxes decrease monotonically with the incident focused
4 wave amplitude, and the maximum vertical wave force on Box A is always significantly larger
5 than the corresponding one on Box B, although their difference gradually becomes smaller with
6 the increase of A_f . The ratio of the maximum vertical force on Box B to that on Box A gradually
7 increases from 51.7% for $A_f=0.01$ m to 61.8% for $A_f=0.10$ m. In the $-z$ direction (Fig. 20b), the
8 similar phenomena to those in the $+z$ direction are observed, although the specific magnitudes of
9 all the three parameters (i.e., the normalized $|F_{-zm}|$ on Boxes A and B and their ratio) are slightly
10 different from the corresponding ones in the $+z$ direction.
11



12
13 **Fig. 20.** Comparisons of the normalized maximum vertical wave forces on Boxes A and B in (a)
14 the $+z$ axial direction and (b) the $-z$ axial direction. F_{+zm} and F_{-zm} denote the maximum total
15 vertical wave forces in the $+z$ and the $-z$ direction, respectively.

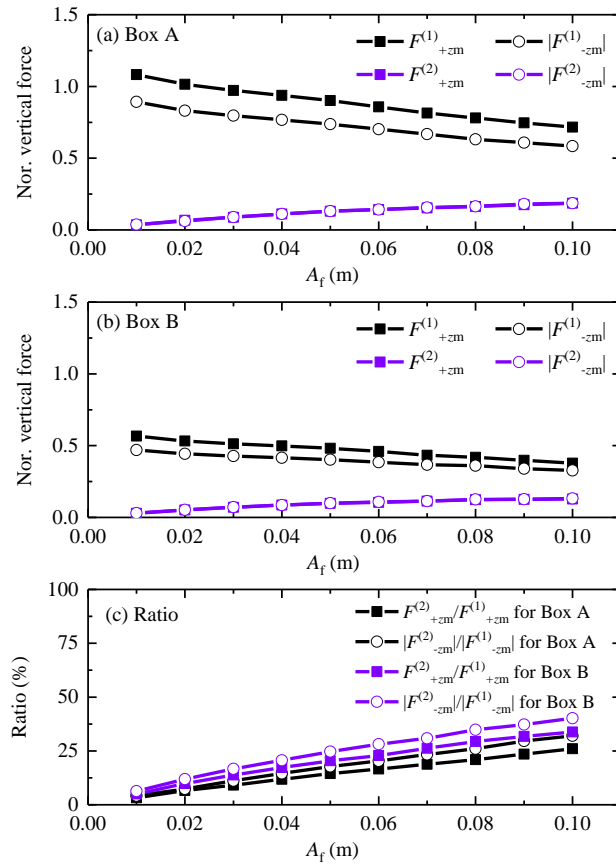
16

17 4.3.2. High-order harmonics

18 Fig. 21 presents the normalized maximums of the lowest two order sum harmonic vertical
19 wave forces in both the $+z$ and the $-z$ directions for all the incident focused wave amplitudes. For
20 the normalized 1st-order maximum vertical forces on both boxes (see Fig. 21a and b), their
21 magnitudes in the $+z$ direction are always larger than the corresponding ones in the $-z$ direction. In
22 addition, all of them decrease nearly linearly with A_f . While for the normalized 2nd-order

1 maximum vertical forces on each box, their magnitudes in both directions are almost identical
 2 with each other, regardless of whether A_f is large or small. Moreover, all of them increase nearly
 3 linearly with the rise of A_f . To quantitatively display the relative importance of the 2nd-order
 4 harmonic components, the ratios of the 2nd-order to the 1st-order maximum vertical forces in the
 5 same direction for each box are also presented in Fig. 21c. All the four ratio parameters (i.e.,
 6 $F^{(2)}_{+zm}/F^{(1)}_{+zm}$ and $|F^{(2)}_{-zm}|/|F^{(1)}_{-zm}|$ for both boxes) are shown to increase nearly linearly with the
 7 incident wave amplitude. In addition, among the four parameters, the values of $|F^{(2)}_{-zm}|/|F^{(1)}_{-zm}|$ for
 8 Box B are always larger than those of the other three parameters at the whole variation range of A_f .
 9 The maximum value of $|F^{(2)}_{-zm}|/|F^{(1)}_{-zm}|$ for Box B is 40.2% which appears at $A_f=0.10$ m.

10



11

12 **Fig. 21.** The normalized maximums of the lowest two order sum harmonic vertical wave forces in
 13 both the $+z$ and the $-z$ directions for (a) Box A and (b) Box B, and (c) the ratios of the 2nd-order
 14 to the 1st-order maximum vertical forces in the same direction for each box

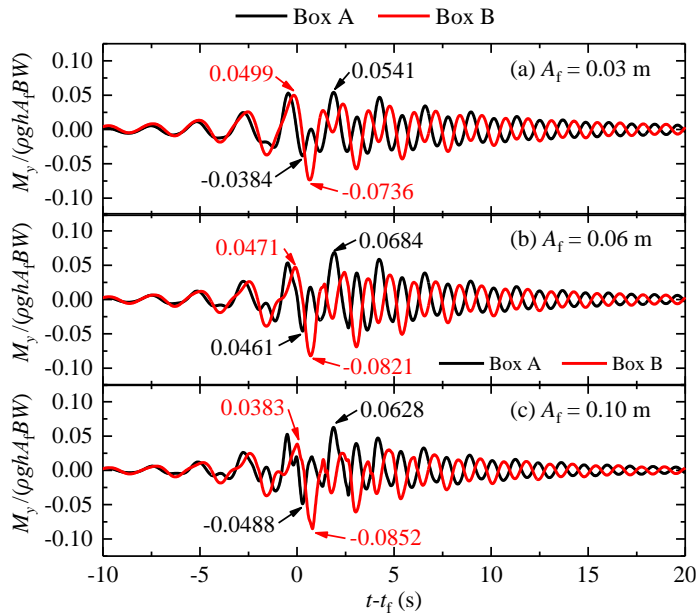
15

16 4.4. Wave moments

17

4.4.1. Total wave moments

1 Fig. 22 presents the time series of the total wave moments acting on both boxes for $A_f=0.03$
 2 m, 0.06 m and 0.10 m. It should be noted here that both the total wave moments and their
 3 harmonic components which will be shown in subsection 4.4.2 are normalized by $\rho gh A_f B W$. Two
 4 phenomena can be easily observed. First, the maximum moments in the +y direction on Box A are
 5 always larger than the corresponding ones on Box B for all the three incident wave amplitudes.
 6 However, the maximum moments in the -y direction on Box A becomes always smaller than the
 7 corresponding ones on Box B. Second, the time series of the vertical wave forces on Boxes A and
 8 B during their damping stages tend to have similar amplitudes but become almost anti-phase. Both
 9 phenomena are similar to the corresponding ones shown in Fig. 14.
 10

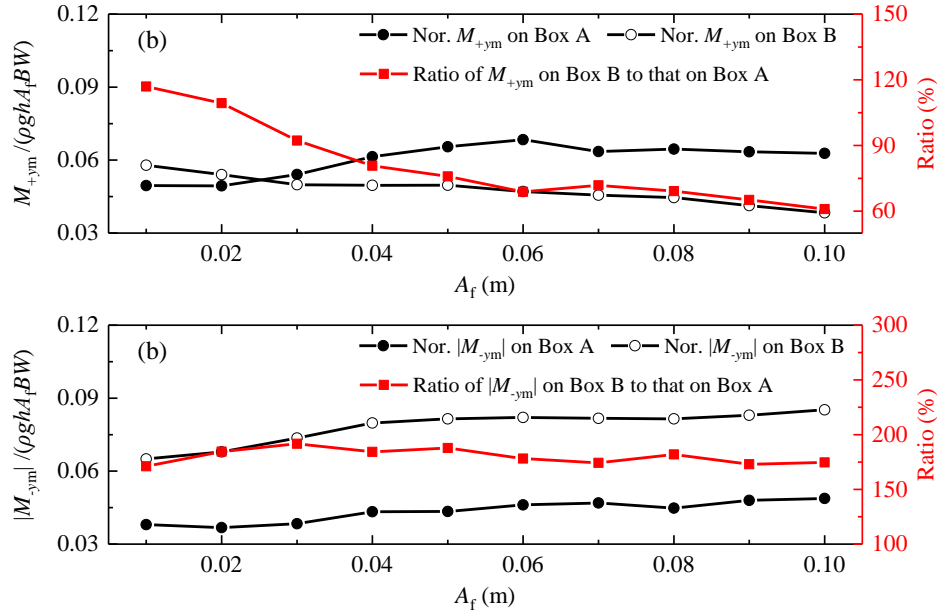


11
 12 **Fig. 22.** Time series of the normalized wave moments on Boxes A and B for (a) $A_f=0.03$ m, (b)
 13 $A_f=0.06$ m, and (c) $A_f=0.10$ m.

14
 15 The normalized maximum moments acting on the two boxes in the same direction are further
 16 compared in Fig. 23. In the +y direction (Fig. 23a), the normalized maximum moment on Box B is
 17 shown to monotonously decrease with the incident wave amplitude. While the normalized
 18 maximum moment on Box A first increases and then decreases with the wave amplitude, and its
 19 maximum value appears at $A_f=0.06$ m. Except for the lowest two incident wave amplitudes, the
 20 normalized maximum moments in the +y direction on Box B are always smaller than the
 21 corresponding ones on Box A, and the ratio of the former to the latter gradually decreases from

1 116.9% for $A_f=0.01$ m to 61.0% for $A_f=0.10$ m. In the $-y$ direction (Fig. 23b), the normalized
 2 maximum wave moments on both boxes gradually increase with A_f overall. In addition, for all the
 3 incident wave amplitudes considered, the maximum moments in the $-y$ direction on Box B are
 4 shown to be significantly larger than the corresponding ones on Box A, and the ratio of the former
 5 to the latter fluctuates only slightly around 180%.

6



7

8 **Fig. 23.** Comparisons of the normalized maximum wave moments on Boxes A and B in (a) the $+y$
 9 direction and (b) the $-y$ direction. M_{+ym} and M_{-ym} denote the maximum total moments in the $+y$ and
 10 the $-y$ direction, respectively.

11

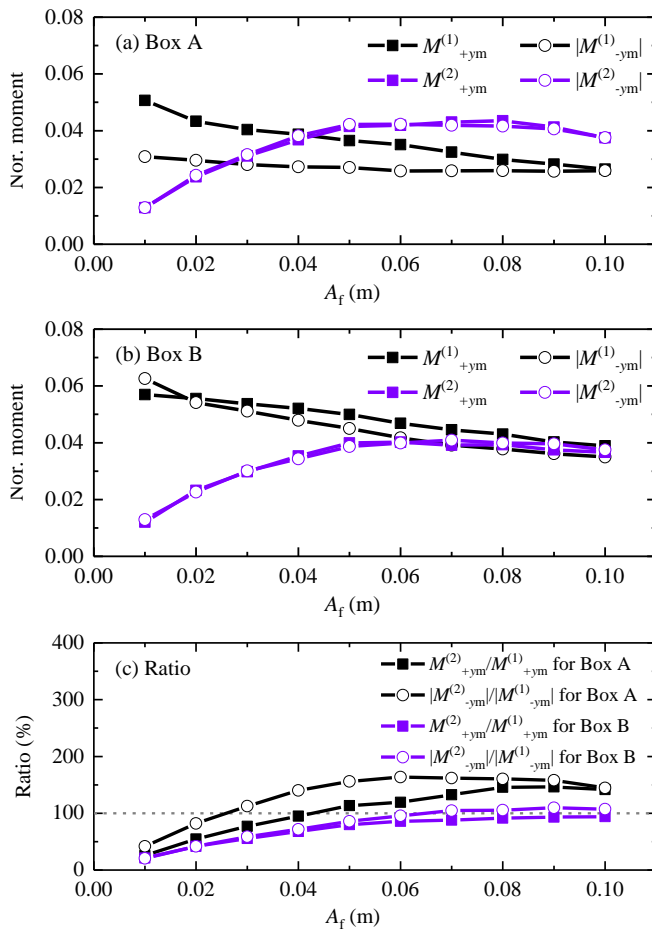
12 4.4.2. High-order harmonics

13

14 Fig. 24 presents the normalized maximums of the lowest two order sum harmonic wave
 15 moments in both the $+y$ and the $-y$ directions for all incident wave amplitudes. The ratios of the
 16 2nd-order to the 1st-order maximum moments in the same direction on each box are also shown.
 17 For the 1st-order maximum moments on both boxes (Fig. 24a and b), their magnitudes in the $+y$
 18 direction are basically always larger than the corresponding ones in the $-y$ direction, and all of
 19 them monotonically decrease with A_f . However, for the 2nd-order maximum moments on both
 20 boxes, their features are distinct from those of the 1st-order ones. The 2nd-order maximum
 21 moments in both directions are always extremely close to each other. Moreover, all of them
 always first rapidly increase and then slightly decline as A_f rises. As for the relative importance of

1 the 2nd-order moment to the 1st-order one, it can be seen from Fig. 24c that the 2nd-order wave
 2 moment becomes more and more important as A_f increases. For the four ratio parameters, except
 3 $|M^{(2)}_{+ym}|/|M^{(1)}_{+ym}|$ for Box B, all the other three ratio parameters exceed 100% for larger incident
 4 wave amplitudes. Even for $|M^{(2)}_{+ym}|/|M^{(1)}_{+ym}|$ for Box B, its maximum value is high up to 94.2% at
 5 $A_f=0.10$ m, very close to 100%. These phenomena indicate that for the 2nd-order transient gap
 6 resonance, the 2nd-order sum harmonic wave moment tends to become dominant for larger focused
 7 wave groups.

8



9

10 **Fig. 24.** The normalized maximums of the lowest two order sum harmonic wave moments in both
 11 the +y and the -y directions for (a) Box A and (b) Box B, and (c) the ratios of the 2nd-order to the
 12 1st-order maximum moments in the same direction for each box

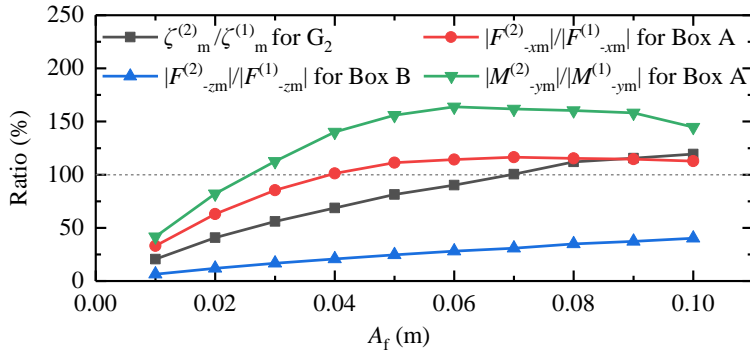
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14 4.5. Discussions

15

In this subsection, the relative importance of the 2nd-order sum harmonic components with

1 respect to the 1st-order ones for all the four physical quantities (i.e., wave elevations, the
 2 horizontal wave forces, the vertical wave forces, and the wave moments) is compared in Fig. 25.
 3 For the wave elevations, only the curve of $\zeta_m^{(2)}/\zeta_m^{(1)}$ at gauge G_2 shown in Fig. 10 is chosen here
 4 because of its largest value among the three wave gauges. For each type of wave loads (see Figs.
 5 18c, 21c and 24c), only the curve that corresponds to the overall largest ratios of the 2nd-order to
 6 the 1st-order wave loads is selected and reflected in this figure. It can be seen that the importance
 7 of the 2nd-order sum harmonic components is the most prominent for the wave moment, and it is
 8 the weakest for the vertical wave force. While for the wave elevation and the horizontal wave
 9 force, the importance of their 2nd-order components falls in between that for the wave moment
 10 and that for the vertical wave force. It should be stressed here that, although the relative
 11 importance of the 2nd-order sum harmonic components for the vertical wave force is the weakest
 12 among the four physical quantities, the ratio of the 2nd-order to the 1st-order maximum vertical
 13 wave forces can reach up to 40.2%, which is still a considerable quantity and cannot be ignored in
 14 the calculation of wave loads for the real engineering.
 15



16
 17 **Fig. 25.** Comparisons of the ratios of the maximum 2nd-order magnitude to the corresponding
 18 maximum 1st-order one for the wave elevation inside the gap, the horizontal wave force, the
 19 vertical wave force, and the wave moment.
 20

21 **5. Conclusions**

22 The 2nd-order transient gap resonance phenomenon excited by the incident focused waves is
 23 comprehensively investigated here based on the OpenFOAM[®] model and the “waves2Foam”
 24 wave-making toolbox. The wave fields both inside the gap and around the two-box system, the
 25 three types of wave loads (including the horizontal wave force, the vertical wave force and the

1 wave moment) acting on both boxes, and the relative importance of the 2nd-order wave
2 elevations/loads to the first-order ones are systematically revealed and discussed. In addition, both
3 the response time and the damping time of the lowest two order sum harmonic components of the
4 wave elevations inside the narrow gap are also studied. The research in this article has enriched
5 the knowledges about the transient gap resonance phenomenon.

6 Main conclusions are drawn in the following:

- 7 1. The variation characteristics of the amplification factors of the wave elevations inside the gap,
8 in front of and at the rear of the two-box system with respect to the incident focused wave
9 amplitude are completely different from each other. The amplification factor of the wave
10 elevation inside the gap is insensitive to the incident wave amplitude. However, the
11 amplification factors in front of and at the rear of the two-box system are respectively shown
12 to gradually increase and gradually decrease with the incident wave amplitude. From the
13 perspective of the occurrence of green water on the deck, the location in front of the two-box
14 system, rather than inside the gap, is always the most vulnerable during the 2nd-order transient
15 gap resonance excited by focused wave groups. In addition, the high-order fluid motion inside
16 the narrow gap presents the most significant importance compared with those in front of and
17 at the rear of the two-box system.
- 18 2. The response time of the 1st-order wave elevations inside the gap is extremely close to each
19 other and is around 11.0 s for all the focused wave groups considered. Similar phenomenon is
20 also found for the response time of the 2nd-order wave elevations inside the gap, but their
21 response time is only about 9.0 s. During the decaying stage, the oscillations for the 1st- and
22 the 2nd-order sum harmonic wave components are found to be respectively dominated by the
23 spectral peak frequency and the fluid resonant frequency. The damping time of the 1st-order
24 wave elevation and that of the 2nd-order one gradually increases and decreases, respectively,
25 with the incident focused wave amplitude. Moreover, the damping time of the 2nd-order wave
26 elevation is always much longer than that of the 1st-order one.
- 27 3. For the three types of wave loads, except the maximum total vertical wave force, both the
28 maximum total horizontal wave force and the maximum total wave moment on Box B are
29 prone to exceed and even become much larger than the corresponding ones on Box A.
30 Although the maximum total vertical wave force on Box B is always lower than the

1 corresponding one on Box A, the former can still reach up to 61.8% of the latter. These results
2 imply that the maximum wave loads on the downstream structure should be paid the same
3 (and even more) attention with (than) those on the upstream structure during the 2nd-order
4 transient gap resonance. Furthermore, the changing trends of the normalized maximum total
5 wave loads with the incident wave amplitudes depend closely on both the type and the
6 direction of wave loads, and on the structure location (Box A or Box B).

- 7 4. Among the four physical quantities studied (i.e., the wave elevation, the horizontal wave force,
8 the vertical wave force, and the wave moment), the importance of the 2nd-order sum harmonic
9 components is the most prominent for the wave moment, and it is the weakest for the vertical
10 wave force. While the importance of the 2nd-order components for the wave elevation and the
11 horizontal wave force falls in between that for the wave moment and that for the vertical wave
12 force. Although the relative importance of the 2nd-order components for the vertical force is
13 the weakest, the ratio of the 2nd-order to the 1st-order maximum vertical wave forces can reach
14 up to 40.2%, which is still a considerable quantity and cannot be ignored in the calculation of
15 wave loads for the real engineering.

16 Finally, it should be reaffirmed here that the above conclusions are only applicable for the
17 given geometric layout and the given incident focused wave groups considered in this article.

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