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# Elucidating hidden and enduring weaknesses in dust emission modelling

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..ey points (up to three <140 characters each point)

- Tuning dust models to dust optical depth hides dust emission model weaknesses including over-estimates and false change in vegetated areas
- New shadow-shelter model calibrated to observed dust emission circumvents unrealistic model assumptions

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 Two orders of magnitude difference between dust optical depth and observed dust emission without significant relation

#### **Abstract**

Large-scale classical dust cycle models, developed more than two decades ago, assume for simplicity that the Earth's land surface is devoid of vegetation, reduce dust emission estimates using a vegetation cover complement, and calibrate estimates to observed atmospheric dust optical depth (DOD). Consequently, these models are expected to be valid for use with dust-climate projections in Earth System Models. We reveal little spatial relation between DOD frequency and satellite observed dust emission from point sources (DPS) and a difference of up to two orders of magnitude. We compared DPS data to an exemplar traditional dust emission model (TEM) and the albedo-based dust emission model (AEM) which represents aerodynamic roughness over space and time. Both models over-estimated dust emission probability but showed strong spatial relations to DPS, suitable for calibration. Relative to the AEM calibrated to the DPS, the TEM over-estimated large dust emission over vast vegetated areas and produced considerable false change in dust emission. It is difficult to avoid the conclusion that calibrating dust cycle models to DOD has hidden for more than two decades, these TEM modelling weaknesses. The AEM overcomes these weaknesses without using masks or vegetation cover data. Considerable potential therefore exists for ESMs driven by prognostic albedo, to reveal new insights of aerosol effects on, and responses to, contemporary and environmental change projections.

## **Plain Language Summary**

Mineral dust influences Earth's systems, and understanding its impacts relies on numerical models which include large uncertainties. We compared measurements of dust optical depth (DOD) frequency of occurrence (probability) and satellite observed dust emission frequency from point sources (DPS) across North America. We found up to two orders of magnitude difference between DOD probability and DPS probability. Compared with DPS probability, we found an exemplar traditional dust emission model (TEM) and the albedo-based dust emission model (AEM) both over-estimated dust emission probability by up to one order of magnitude with statistically significant relations, suitable for calibration. Relative to the AEM calibrated to DPS, the exemplar TEM over-estimated large dust emission over vast vegetated areas and produced considerable false change in dust emission. Tuning dust cycle models to DOD has very likely hidden, for more than two decades, these TEM weaknesses with implications for our understanding of Earth's systems. Considerable potential exists for new insights of dust-climate in Earth System Models by using AEM with prognostic albedo.

Keywords: Dust emission; aerodynamic sheltering; vegetation; drag partition; albedo; MODIS

#### 1 Introduction

Mineral dust is central to many of the Earth's systems (Shao, Wyrwoll et al. 2011). For example, dust can warm or cool regional climate, depending on the absorption and spectral properties of dust and background characteristics (Sokolik and Toon 1999). These radiative effects depend on the volume of emitted dust and the mineral composition of atmospheric plumes, which differs over geographical source areas because particle size distribution and mineralogy vary spatially and temporally (Kok, Ridley et al. 2017). Consequently, assessments of dust radiative effects rely on numerical models that simulate the emission, atmospheric transport, and deposition of the dust cycle (Mahowald, Kloster et al. 2010) hereafter dust cycle models. Comparisons with atmospheric dust observations indicate that global dust cycle models include large uncertainties in simulated dust magnitude and geochemical properties (Huneeus, Schulz et al. 2011). For example, global climate models used in the Fifth Assessment Report of the IPCC (IPCC 2013) failed to reproduce observed North African dust over the second half of the 20th century challenging the validity of 21st century dust-climate projections (Evan, Flamant et al. 2014). Large uncertainties and inter-model diversity remain and are larger than previous generations of models implying that modelled dust processes are becoming more uncertain as models develop (Zhao, Ryder et al. 2022). It is common for global dust cycle models to be evaluated against atmospheric dust optical depth (DOD) and tuned to fit the observations from regional measurements, typically North Africa (Huneeus, Schulz et al. 2011) (p. 7809). However, this calibration approach does not enable an evaluation of the separate components of the dust cycle and specifically developments in dust emission modelling. Here, we are concerned that the evaluation of dust cycle models against atmospheric DOD has hidden weaknesses in the dust emission modelling, that parameterisations which attempt to improve dust emission are being falsely accepted, and consequently the approach to model development has become biased towards parsimony rather than achieving a balance with fidelity of dust emission processes (Raupach and Lu 2004).

Dust emission schemes (Joussaume 1990, Marticorena and Bergametti 1995, Shao, Raupach et al. 1996) were developed more than two decades ago and the underpinning, inextricably linked magnitude and frequency of sediment transport (Wolman and Miller 1960) has not changed since. The magnitude of sediment transport driven by wind friction velocity is adjusted by the frequency of occurrence based on the wind momentum exceeding a critical sediment entrainment threshold (Wolman and Miller 1960) causing highly dynamic, non-linear responses over space and time (Raupach and Lu 2004). When dust emission models were developed, there were few continuously varying global datasets available and simplifying assumptions were made for their implementation. The soil surface wind friction velocity to drive sediment transport (in the presence of large, typically vegetation, canopy roughness) was not available and instead the above canopy wind friction velocity was used. The partition between those drag forces used aerodynamic roughness lengths which were not available everywhere and therefore were set static over time and fixed over space to a bare soil surface condition (Zender, Bian et al. 2003). Under these bare soil surface conditions, dust emission

estimates were maximised and recognised as over-estimated in the presence of vegetation. Consequently, dust emission schemes reduced estimates using *E*, the area of bare, exposed 'erodible' soil surface (Marticorena and Bergametti 1995). Varying with wind speed, drag was shown to be equivalent to shelter (Raupach 1992), but the drag partition was parameterised using lateral cover, a static, geometric two-dimensional representation of canopy sheltering with the caution that mutual, three-dimensional, aerodynamic sheltering was not included in lateral cover (Raupach 1992, Raupach, Gillette et al. 1993).

For implementation of these approaches in dust emission modelling, researchers assumed for simplicity that dryland aerodynamic roughness, including non-photosynthetic vegetation, was approximated by lateral cover from photosynthetic vegetation indices (VIs) readily available from satellite remote sensing (Evans, Ginoux et al. 2016). These approaches using VIs therefore assumed that the sheltering effect of the drag was restricted only to that 'green' canopy area and that the sheltered area of the soil surface did not vary with wind speed (was not aerodynamic). Furthermore, it was assumed for simplicity that the sediment entrainment was at the grain scale, static over time and a function of dry, loose and erodible spherical particle diameters discretised across sizes (Shao and Lu 2000) so that soil texture data (typically aggregated over depth) could be used. Similarly, dust emission models assumed for simplicity the soil surface had an infinite supply of dry, loose erodible sediment, despite soil surfaces, particularly in drylands, being sealed / crusted and / or with loose sediment occurring sporadically over space and intermittently over time (Webb and Strong 2011, Vos, Fister et al. 2020).

These simplifying assumptions in dust emission models represent the parsimony of implementation more than the fidelity of the dust emission processes. Although necessary for dust emission model implementation, the parameterisations need to be openly, transparently, and routinely re-evaluated particularly with new technology, measurements and different thinking. That dust models are becoming more uncertain (Zhao, Ryder et al. 2022) indicates model development and / or its evaluation is not working well. By adjusting the magnitude of the dust cycle model estimates to atmospheric DOD, there is no possibility of recognising weaknesses in dust emission modelling and therefore to recognise the need to change the modelling approach to compensate for any errors in the dust emission parameterisation. The dust emission models provide no estimate of uncertainty, and it is therefore difficult to have confidence that dust emission models developed and evaluated in this way, adequately represent the geographical distribution of dust emission magnitude and frequency, particularly given the rather critical simplifying assumptions about sediment entrainment and sediment supply. This lack of confidence very likely explains the dearth of publications on dust emission model outcomes per se (as opposed to dust cycle model outcomes). Given the considerable advances in dust emission modelling over the last two decades, it is important to enable dust emission model outcomes to tackle these simplifying assumptions about sediment entrainment and sediment supply and the way in which dust emission models are evaluated.

Our aim is to show that there is an urgent and important requirement to routinely evaluate dust emission modelling separately and before the routine evaluation of dust cycle modelling against DOD. Our objectives to achieve that aim are to: (i) demonstrate that in a commonly implemented dust emission scheme, weaknesses have been introduced in its modelling which includes its parameterisation and choices of data layers (Raupach and Lu 2004); (ii) show that these weaknesses in the dust emission modelling have been hidden in dust cycle models routinely calibrated against atmospheric DOD and that dust emission processes are not adequately represented in that calibration. Consequently, we expect our findings to support the implication elsewhere (Zhao, Ryder et al. 2022), and our hypothesis that models have to their detriment, drifted from their original process fidelity toward modelled parsimony as forewarned (Raupach and Lu 2004). For clarity, this work is less about which model is best (although a comparison is inevitable) and more about how dust emission modelling has been misled (weaknesses hidden) by the focus on calibrating dust cycle models to atmospheric DOD. For clarity, we recognise the valuable nature of calibrating dust cycle models to DOD.

It is timely that a new dust emission point source (DPS) database for southwestern North America has been collated and has been used with the albedo-based dust emission model (AEM) to circumvent the simplifying assumptions about sediment entrainment and sediment supply (Hennen, Chappell et al. 2022, Hennen, Chappell et al. 2023). We follow that established approach and evaluate dust emission modelling against dichotomous DPS observations and also compare those DPS to the frequency of atmospheric DOD. Given that we are investigating the evolved nature of traditional dust emission models (TEMs), and that many of its components are highly interactive, it is unreasonable to disaggregate the model components and / or make a superficial comparison of any one single component. Instead, we have produced an exemplar which represents TEMs in the view of the experienced large scale dust modellers contributing to this study. We compare that exemplar TEM with the AEM which by design attempts to overcome the issues related to the soil surface wind friction velocity in the sediment transport equation (Chappell and Webb 2016), and to redress the balance towards the fidelity of process representation (Raupach and Lu 2004).

#### 2 Methods and Data

#### 2.1 Dust emission modelling

Vegetation attenuates dust emission by extracting momentum from the wind and sheltering a portion of the downstream soil. By reducing wind speeds (U) at the soil surface, vegetation makes it more difficult to overcome the entrainment threshold for initiation of streamwise sediment flux (hereafter entrainment threshold) and consequent emission of dust particles by saltation bombardment and abrasion. Notably, the influence of vegetation sheltering is wind speed dependent (aerodynamic roughness) and both aerodynamic drag and partitioning of wind friction velocity between roughness elements and the soil, respond non-linearly to changes in wind speed because of mutual sheltering.

#### 2.1.1 Exemplar traditional sediment transport

Calculation of the stream-wise sediment flux density Q (g m<sup>-1</sup> s<sup>-1</sup>) on a smooth soil for a given particle size fraction (d) on the particle size distribution (i) requires the above canopy wind friction velocity  $u_*$  (m s<sup>-1</sup>) influenced by all scales of roughness at the Earth's surface, the air density  $\rho_a$  (g m<sup>-3</sup>), the acceleration due to gravity g (m s<sup>-2</sup>), a dimensionless fitting parameter C and the bare, smooth (no roughness elements) entrainment threshold of sediment flux  $u_{*ts}(d)$  (m s<sup>-1</sup>). The original transport equation is typically rewritten in the dust modelling literature with the typographic correction and reformulated ratios which require a cubic term:

$$Q(d) = \begin{cases} C \frac{\rho_a}{g} u_*^3 \left( 1 - \frac{u_{*ts}^2(d)}{u_*^2} \right) \left( 1 + \frac{u_{*ts}(d)}{u_*} \right), u_{S*} > u_{*ts} \\ 0, u_{S*} \le u_{*ts} \end{cases}$$
 (Eq. 1)

Earth System Models (ESMs) or reanalysis wind field models over large areas (large pixels), with horizontal resolutions that are typically on the order of >10 km, use modelled wind speed at 10 m ( $U_{10}$ ) to calculate the available above canopy  $u_*$ . In recognition that vegetation exerts drag on the wind,  $u_*$  must then be partitioned between the roughness elements (typically vegetation), and that available for driving flux at the soil surface ( $u_{s*}$ ). The  $u_{*ts}$  is adjusted by a function H(w) of soil moisture (w; kg³ kg³) (Fécan, Marticorena et al. 1999) and  $R = \frac{u_{s*}}{u_*}$  (Raupach, Gillette et al. 1993), the wind friction velocity ratio representing the roughness-induced drag partition (Marshall 1971), modifies (Darmenova, Sokolik et al. 2009) the previous equation:

$$Q_{TEM}(VI, z_0, z_{0s}, d, w) = \begin{cases} EC \frac{\rho_a}{g} u_*^3 \left( 1 - \frac{(u_{*ts}H/R)^2}{u_*^2} \right) \left( 1 + \frac{u_{*ts}H}{R} \right), u_* > u_{*ts}H/R \\ 0, u_* \leq u_{*ts}H/R \end{cases}$$
 (Eq. 2)

The  $u_{s*}$  is required for sediment flux equations where  $u_{s*} \neq u_*$  in the presence of any roughness canopy. In the absence of being able to estimate directly  $u_{s*}$ , the  $u_{*ts}H$  is divided by R for the model implementation to account for the drag partition making use of  $u_*$  (Webb, Chappell et al. 2020). Following this approach, this form (Eq. 2) is incomplete because  $u_*^3$  should be multiplied by R before it is cubed (Webb, Chappell et al. 2020). However, values of  $R(z_0, z_{0s})$  are not known for all pixels and all time steps producing dust.

One of the common approaches to modelling dust emission in ESMs uses globally constant values of aerodynamic roughness length  $(z_0)$  (Woodward 2001, Tegen, Harrison et al. 2002, Zender, Bian et al. 2003). Here, we focus on the impact for large scale models and our exemplar TEM uses the incomplete formulation for  $Q_{TEM}$  (Eq. 2). Fixed aerodynamic roughness length for the landscape  $z_0$ =100  $\mu$ m and the soil  $z_{0s}$  = 33.3  $\mu$ m fixes  $R(z_0)$ =0.91 to an almost bare land surface condition (see Eq. S8 in Supplement S1). This approach assumes for simplicity that the Earth's land surface is devoid of vegetation roughness everywhere and static over time. With  $R(z_0, z_{0s})$  fixed,  $R(z_0, z_{0s})u_* = u_{s*}$  is assumed, and since  $R(z_0, z_{0s})$  is fixed,  $u_*$  changes only when the wind speed changes (see Supplement S1 Eq. S1). Consequently, the entrainment threshold  $u_{*ts}H$  is not adjusted sufficiently by

 $R(z_0, z_{0s})$  in the presence of vegetation and dust emission is over-estimated for a given wind speed. To reduce dust emission in these non-bare conditions, sediment transport is adjusted using a function E to compensate for the fixed  $R(z_0, z_{0s})$ , hereafter we will drop the dependencies.

The function E was originally defined (Marticorena and Bergametti 1995) as the ratio of bare exposed 'erodible' surface area to total surface area. Only after the entrainment threshold is calculated, is the sediment transport and dust emission from a bare soil surface reduced by E<1 typically in the presence of vegetation. However, sheltering is non-linear over space and time since it depends on the mutual sheltering of the roughness (typically vegetation) structure, configuration and is aerodynamic i.e., sheltering changes with wind speed (Chappell, Van Pelt et al. 2010). Nevertheless, it has become acceptable in the dust emission modelling literature to assume for simplicity that  $E \approx E_v = 1 - A_v$  where  $A_v$  is the non-aerodynamic planform area covered by vegetation. This  $E_{\nu}$  is used in some ESMs so that leaf area index (LAI) or satellite 'greenness' observations e.g., vegetation indices (VIs) can be used as a surrogate of the land surface fraction occupied by green vegetation (Zender, Bian et al. 2003, Evans, Ginoux et al. 2016, Galloza, Webb et al. 2018, Sellar, Jones et al. 2019). This parameterisation crudely represents the aerodynamic nature of the sheltering (Chappell, Van Pelt et al. 2010). Furthermore, the  $E_{\nu}$  does not represent 'brown' roughness, not readily evident in VIs caused by non-photosynthetic, dormant or dead vegetation, common in drylands which contain the majority of dust sources. The  $E_{\nu}$ does not represent non-erodible stone-covered surfaces without sediment, also common in dryland regions. The inclusion of E in sediment transport models and its implementation using  $E_{\nu}$  are prime examples of emphasising parsimony over process fidelity (Raupach and Lu 2004). Here we will provide new insights for the impact of E and its implementation via  $E_{\nu}$  on dust emission modelling.

A second, more recent approach to the drag partition uses satellite remote sensing to provide spatially heterogeneous estimates of  $z_0$  (Greeley, Blumberg et al. 1997, Roujean, Tanré et al. 1997, Marticorena, Chazette et al. 2004, Prigent, Tegen et al. 2005, Prigent, Jiménez et al. 2012). Later publications in this approach provided practical implementations over large areas. However, these later approaches are strictly only valid for non-vegetated regions because of photometric volume scattering assumptions (Roujean, Tanré et al. 1997). These later approaches are also typically fixed over time and therefore do not represent roughness change e.g., due to seasonality, land use change and invasive species. Therefore, these estimates of  $z_0$  do not overcome the challenge of estimating the spatio-temporal variation of the drag partition. Variability in the drag partition and  $u_{s*}$  can be represented stochastically in models using probability distributions, as suggested by (Raupach and Lu 2004). However, representation of the true heterogeneity (and uncertainty) of the drag partition has only recently been possible using field monitoring data (Edwards, Webb et al. 2022) and the approach is yet to be extended to regional or global scales. To retain the original dust emission scheme widely implemented in ESMs, and to show the outcome of this original approach, we do not apply these more recent approaches to our exemplar TEM.

In the dust emission modelling literature, there is little recognition of the uncertainty due to the inconsistency in model implementation scales. For example, the entrainment threshold  $(u_{*ts})$  is

calculated at the grain scale as a function of grain diameter, density and inter-particle cohesion (Shao, Raupach et al. 1996). However, the above canopy  $u_*$  is for an area when measured using a wind velocity profile or when using modelled wind data. Current dust emission modelling compares  $u_*$  and  $u_{*ts}$  (Eq. 2) which assumes they are represented over (the same) area, which they are not. The threshold value at the grain (point) scale will not represent the required value over a large area (e.g., 11 km pixel) as established for large scale sediment transport modelling (Raupach and Lu 2004) and recognised in other disciplines (Raupach and Finnigan 1995, Kyriakidis and Yoo 2005, de Vrese and Hagemann 2016, Van Looy, Bouma et al. 2017). For clarity, the inability of the grain (point) scale to represent area is, even assuming homogeneity within a pixel, caused by the inability to adequately represent the spatio-temporal variability in entrainment characteristics of the sheltered portion of the soil surface. Similarly, modelled wind speed over large e.g., 11 km pixels does not adequately represent the sub-grid (pixel) scale heterogeneity of the aerodynamic roughness of the land surface.

The substantive remaining issues for TEMs that are currently known or evident from the literature include:

- 1. the incomplete form of  $u_*^3$  in  $Q_{TEM}$  (Eq. 2) widely adopted in TEMs, over-estimates sediment transport and dust emission, should have  $u_*$  multiplied by the drag partition R, but the correct values of R are unknown (for every pixel and every time step producing dust);
- 2. poorly constrained aerodynamic roughness ( $z_0$ ) and drag partition (R), causes  $R \approx 0.91$ , fixed over space and time, to represent a bare soil surface which, in regions with any vegetation, underestimates  $u_{*ts}$  and over-estimates sediment transport and dust emission;
- 3. sediment transport in the presence of vegetation uses the 'erodible' fraction E, implemented using dynamic vegetation cover (at nadir) which causes over-estimated sediment transport and dust emission and E does not compensate for incorrect R;
- 4. the grain (point) scale of  $u_{*ts}$  is incompatible with areal transport models which very likely underestimates sheltering and combined with the unreasonable assumption for drylands of an infinite supply of sediment, causes over-estimated sediment transport and dust emission;
- 5. areal (e.g., >11 km) pixels of wind speed do not adequately represent the sub-pixel scale heterogeneity of the aerodynamic roughness and soil surface wind friction, which very likely causes under-estimated dust emission which is scale dependent (different dust emission with different pixel size).

These weaknesses have existed for more than 20 years since dust emission schemes were first published. The first, incomplete formulation, only became evident recently by comparison with the albedo-based approach (Webb, Chappell et al. 2020). That finding demonstrates the need for diversity in modelling approaches and provided the impetus for this broader investigation. The enduring influences of these weaknesses on large scale TEMs have been hidden for so long we contend, because dust cycle models are routinely evaluated against atmospheric DOD.

In our albedo-based dust emission model (AEM), the spatio-temporal variation in  $u_{s*}$  is represented using the concept that aerodynamics of vegetation is proportional to sheltering (Raupach 1992) and shadow (1-albedo) (Chappell, Van Pelt et al. 2010, Chappell and Webb 2016). This albedo-based approximation of drag and its partition between forces was designed to provide an area-weighted value which was scale invariant (Chappell, Webb et al. 2018, Chappell, Webb et al. 2019, Ziegler, Webb et al. 2020) suitable for tackling non-linearity of scaling (Raupach and Lu 2004). This albedo-based approach enables direct calculation of  $u_{s*}$  given measurements of albedo from satellites (and many other ground to airborne sources), and enables the complete formulation for sediment transport and dust emission

$$Q_{AEM}(\omega,d,w) = \begin{cases} C\frac{\rho_a}{g}u_{S*}^3 \left(1 - \frac{(u_{*ts}H)^2}{u_{S*}^2}\right) \left(1 + \frac{u_{*ts}H}{u_{S*}}\right), u_{S*} > u_{*ts}H\\ 0, u_{S*} \leq u_{*ts}H \end{cases}$$
(Eq. 3)

This  $Q_{AEM}$  does not require E (or VI data), R,  $z_0$  or  $z_{0s}$  and thereby has four primary parameters less than the exemplar TEM, and removes the associated sources of uncertainty. Soil moisture w was obtained from the same data as used in the TEM and calculated in the same way. The  $u_{s*}$  is obtained directly from  $\omega_{ns}$ , the normalised and rescaled areal shadow (1-albedo) which describes the area-weighted land surface aerodynamic structure (partitioned between above canopy and soil surface) independent of waveband, making it highly suitable for the inclusion of dryland non-photosynthetic material

$$\frac{u_{s*}}{U_h} = 0.0311 \left( exp \frac{-\omega_{ns}^{1.131}}{0.016} \right) + 0.007.$$
 (Eq. 4)

The  $\frac{u_{S^*}}{U_h}$  is a coupled parameter which describes how the soil surface wind friction velocity is dependent on the wind speed at a given height (h), where that height is ideally at freestream. This approach enables an albedo-based dust emission model (AEM; **see Eq. S10-13 in Supplement S2** for a full description of the implementation not limited to MODIS albedo products) (Chappell, Van Pelt et al. 2010, Chappell and Webb 2016, Chappell, Webb et al. 2018, Ziegler, Webb et al. 2020). This approach assumes that the wind profile is logarithmic with neutral buoyancy, in common with the approach typically used in large scale dust emission modelling (Marticorena and Bergametti, 1995).

Typically, wind fields over large (e.g., >11 km) pixels (e.g., ERA5-Land) are estimated at a blending height (e.g., 50 m height) and then use  $z_0$  values, assumed homogeneous within few large land cover types, to extrapolate wind speed to typically 10 m height (ECMWF Forecast User Guide). Therefore, these modelled 10 m wind speeds do not adequately represent the sub-grid scale heterogeneity of aerodynamic roughness ( $z_0$ ) which causes wind speeds to be under-estimated. The albedo-based approach improves constraints on the coupled parameter  $\frac{u_{s*}}{u_h}$  and scales linearly over space and time. The approach therefore offers considerable potential to make  $u_{s*}$  estimates over large areas (upscale) or to improve the sub-grid scale heterogeneity of  $z_0$  and therefore improve the

downscaling of 10 m wind speed. However, improving the aerodynamic scaling of wind speed is beyond the scope of this study.

Notably, the AEM inherits the long-established and poorly constrained entrainment threshold  $u_{*ts}$  which at the grain (point) scale is inconsistent with the new area-weighted albedo-based approach. The AEM also retains the unreasonable assumption in drylands of an infinite supply of sediment. Consequently, we tackle this scaling inconsistency and source of uncertainty in the AEM, by removing the frequency distribution of the entrainment threshold (right hand side of Eq. 3) and replacing it with the frequency distribution from satellite observed dust emission point source (DPS) data which retains the fidelity of the dust emission process (Section 2.4).

#### 2.1.3 Dust emission modelling

The vertical dust mass flux (F; g m<sup>-2</sup> s<sup>-1</sup>) may be calculated from Q using physically based schemes (Shao, Raupach et al. 1996, Kok, Albani et al. 2014). However, one of the common approaches in regional and global applications, and that used here for the exemplar TEM and AEM, calculates F as an empirical function of Q (Marticorena and Bergametti 1995) where Q differs between TEM and AEM only because of the sediment transport equations above:

$$F_{TEM}(VI, z_0, z_{0s}, d, w) = \sum_d A_s A_f M Q_{TEM} 10^{(0.134 clay_{\%} - 6.0)}$$
 with 0% < clay% <20%. (Eq. 5)

$$F_{AEM}(\omega, d, w) = \sum_{d} A_s A_f M Q_{AEM} 10^{(0.134 clay_{\%} - 6.0)}$$
 with 0% < clay% <20%. (Eq. 6)

Some models also use geographically preferential dust sources that limit the magnitude of dust emission (Ginoux, Chin et al. 2001, Woodward 2001, Tegen, Harrison et al. 2002, Zender, Bian et al. 2003, Mahowald, Kloster et al. 2010, Evans, Ginoux et al. 2016). In our exemplar TEM we do not use preferential dust sources to make clear in our results the cause of differing dust emission magnitude

and frequency. Also, the emission in ESMs is typically 'tuned' down to match observed atmospheric DOD (Zender, Bian et al. 2003). Here, we do not apply this final global tuning.

# 2.2 Large scale dust emission modelling, mapping spatial variation and change detection

To implement vertical dust emission, we used contemporary (2001-2020) Earth observation data including spatially and temporally varying wind speeds (at 10 m height), soil moisture (0-7 cm depth) and soil surface temperature (to represent frozen ground which inhibits sediment flux) from the latest ERA5-Land data (Muñoz-Sabater, Dutra et al. 2021) (hourly; 0.1°). The coverage of snow in a given pixel is an areal quantity and therefore ranges between 0-1. Consequently, we applied the MODIS Normalised Difference Snow Index (Hall, Salomonson et al. 2016) (MOD10A1 from Terra, daily at 500 m). We used soil surface temperature available in ERA5-Land and set a threshold of 273.15 K above which sediment flux can occur. The use of these data does not imply priority over any other data. We recognize that there are different qualities to different model data, as evident in their wind fields (Fan, Liu et al. 2021). Where applicable, we used the same data in both the exemplar TEM and AEM to consider the relative differences. We used the exemplar TEM with  $R(z_0, z_{0s}) \approx 0.91$  fixed over space and static over time. Following the current practice, we calculated  $u_*$  from the modelled 10 m height wind velocity using the logarithmic layer profile theory and aeolian roughness length (Darmenova, Sokolik et al. 2009) (details are provided in the **Supplement S1**). We allowed soil moisture to vary in the same way in both TEM and AEM. Only in the exemplar TEM was MODIS Normalised Difference Vegetation Index (NDVI; MOD09GA Collection 6) data used to calculate the bare soil fraction E. For comparison, we used the AEM with soil surface wind friction velocity  $u_{s*}/U_h$  obtained directly from MODIS albedo (MCD43A3; Collection 6) varying daily, every 500 m pixel across the study area. MODIS is aboard polar-orbiting satellites which cause incomplete coverage. However, the variation in roughness at the daily-weekly scale is so small that we were able to smooth the available data to improve the coverage. Soil clay content was represented with a digital soil texture map (Dai, Shangguan et al. 2019) and used in both models (see Methods and Supplement S1 and S2).

All data are available from the catalogue of the Google Earth Engine (GEE) (Gorelick, Hancher et al. 2017) which then required no downloading and reformatting. We used the Java script coding environment to calculate daily dust emission (kg m<sup>-2</sup> y<sup>-1</sup>). Given the availability of DPS validation data at sites in south-western USA, we restricted our mapping to North America including dust source regions bordering the USA. This regional focus enabled the spatial patterns and changes over time to be readily visualised by contrast to global maps. Testing the code and visualising the results for smaller time periods across the study area was almost instantaneous in the GEE. Data processing at 500 m and using daily resolution between 2001-2020 across North America took typically less than 12 hours. These data were exported from the GEE for the calibration / validation in a Python coding environment and images (TIF) from the GEE were also exported for manipulation and presentation using ArcGIS Pro.

At the sites and days when dust was observed using dust emission point sources (DPS) we compared it with the dust emission produced by the exemplar TEM, AEM and atmospheric DOD frequency. For the year 2020 (the most recent year of complete data available at the time of the study) and the main dust emission months of March-May (MAM) in North America (Hennen, Chappell et al. 2022), we analysed the spatial variation of the main controlling variables (wind and aerodynamic roughness) and dust emission produced by the exemplar TEM and AEM. The dust emission of both models was restricted to wind speeds between 8.5-9.5 m s<sup>-1</sup> to emphasise the difference in our modelling approaches below (Figures 2 and 5), which would otherwise be hidden by taking the average for all wind speeds. Finally, we also map the difference in driving variables during MAM for the year 2001 compared with the year 2020. This large difference between years, provides the greatest opportunity to appreciate the impact on dust emission of any change in land surface conditions and which has not been demonstrated previously in dust emission modelling applications. The dust emission on dust days was used to obtain the mean difference. That mean difference is then tested for significance using the minimum detectable change (MDC) framework (Woodward 1992, Webb, Chappell et al. 2019) and the results are displayed. The MDC was established using critical values for false acceptance and false rejection ( $\alpha = 0.05$ ;  $\beta = 0.05$ , respectively) and the change in dust emission which did not exceed the MDC, was set to 0 (not detectable=white). Details of how the MDC was calculated are described in the Supplement S3.

# 2.3 Dust emission frequency point sources (DPS) and dust optical depth (DOD) frequency

Commonly, dust optical depth (DOD) from ground-based or large area Earth observation data are typically used to evaluate the performance and / or calibrate dust cycle model simulations (Meng, Martin et al. 2021). This approach assumes for simplicity that: (i) atmospheric DOD represents the dust emission process, and (ii) the spatial variation in magnitude and frequency of dust emission in the dust cycle model is correct. However, we know a priori that dust in the atmosphere is only partially related to dust emission because dust concentration is controlled by dust emission magnitude and frequency which varies over space and time, by residence time of dust near the surface which itself is dependent on wind speed (Textor, Schulz et al. 2006), and on dust deposition in the dust source region, a size dependent process (Mahowald, Albani et al. 2014). To understand the extent to which DOD estimates the spatial variation in dust emission magnitude and frequency we calculated the probability of dust occurrence retrieved from the dust optical depth (DOD>0.2) using the criteria established previously (Ginoux, Prospero et al. 2012). We note the stated limitations of DOD to be largely restricted to bright land surfaces in the visible wavebands which implies reduced performance over areas where vegetation is present (Ginoux, Prospero et al. 2012). We demonstrated below (Supplement S4) that there is little impact of the chosen DOD threshold on the results presented here. To calculate DOD, we used wavebands available from monthly Moderate Resolution Imaging Spectroradiometer (MODIS; MOD08 M3 V6.1 Deep Blue L2 Aerosol Product) at a 1° pixel resolution (Platnick 2015). We used this resolution because it was consistent with the largest dust emission point source (DPS) data of our

1698996, ja, Downloaded from https://agupubs.onlinelibrary.wiley.com/doi/10.1029/2023JD038584 by Welsh Assembly Government, Wiley Online Library on [01/09/2023]. See larger study. We also believe that this 1° pixel resolution provides the best opportunity for DOD to be associated with dust emission. We note the difference between our use of collection 6.1 and collection 5.1 used by Ginoux et al. (2012). We assume that the criteria we used following Ginoux et al. (2012) are applicable to the later collection. The DOD was retrieved from those pixels in which dust emission was observed from dust emission point sources (DPS) in space and time throughout 2001-2016. The identification of DPS data is a highly time-consuming and labour-intensive activity (Supplement S5). Consequently, there are few (published) studies relative to the large number of global dust source regions. Here, we use DPS data collated from several previous studies in North America (Baddock, Gill et al. 2011, Lee, Baddock et al. 2012, Kandakji, Gill et al. 2020). Those studies identify the point source of dust emissions in New Mexico and Texas between 2001-2016 and 2001-2009 and for 2001-2009 in the Chihuahuan Desert and New Mexico, collating a single dataset of DPS data from North America. The DPS observations were identified using MODIS data with visible to thermal infrared wavebands (0.4–14.4mm; see **Supplement S5**). Modelled (AEM and exemplar TEM) and observed frequencies are aggregated by a 1°x1° grid matrix, normalizing the results to the lowest resolution MODIS DOD data (Fig.1). ≳າg Nebraska Colorado Kansas 110°W 100°W 90°W Oklahoma **New Mexico** Arizona u\*/Uh 0.05 0.06 Baddock 0.07 Kandakji 0.08 0.09 Lee 110°W 105°W 100°W of use; OA articles are governed by the applicable Creative Com Figure 1. Location and publication source (Baddock, Gill et al. 2011, Lee, Baddock et al. 2012, Kandakji, Gill et al. 2020) inventory in New Mexico, Texas, Arizona, Colorado, Kansas, Oklahoma and Northern Mexico between 2001-2016 (Kandakji), 2001-2009 (Lee) and in 2001-2009 in the Chihuahuan Desert and New Mexico (Baddock) using satellite observed dust emission point sources

(DPS) set against a background of average wind friction velocity normalised by wind speed  $(u_*/U_h)$  derived from MODIS albedo (500 m).

This aggregation is performed to tackle the incompatibility of different scales (Gotway and Young 2002). At the point scale there is considerable unexplained variance which is likely related to the DPS data location uncertainty of around ±2 km (Kandakji, Gill et al. 2020) due to the phase difference between timing of dust emission and availability of the imagery. The unexplained variance and incompatible scales are well-established in the geostatistical literature (Gotway and Young 2002). We reduced the unexplained spatial variance by aggregating the DPS data into a 1-degree grid system, matching the horizontal resolution of the DOD data. A binary value is applied to each DPS location for each day during the observation period (length of the DPS study) where 1 = dust observed, 0 = no dust observed. For comparison, the same process is applied to modelled and DOD data, by spatially interpolating raster images at the native resolution of the original outputs (DOD = 1 degree, AEM/TEM = 0.1). Aggregation of daily probability for each grid box frequency provides either 1 or 0 observations per day for all measurements (AEM/TEM/DOD/DPS). For a daily grid cell to record dust (frequency = 1), at least 1 of the DPS locations must record the emission (F>0) /presence (DOD>0.2) of dust. Where emission/presence of dust is identified at more than one DPS location (within the same grid cell) the grid value remains one - this is the maximum. Finally, the sum of these frequencies is divided by the number of years of observation, to provide an annual probability of dust emission (as shown in Fig.4). This normalisation is necessary due to varying periods of observation across the DPS studies.

# 2.4 Dust emission model evaluation against DOD and calibration against DPS

We compared dust emission point source (DPS) observed occurrence with modelled dust emission determined by the exemplar TEM and AEM. Similarly, during those same DPS observed occurrences we compared the retrieved estimates of dust optical depth (DOD) frequency. For all of those model estimates of dust frequency (DOD, exemplar TEM, and AEM), separately we fitted log-linear regression models which produced regression model parameter coefficients,  $R^2$  correlation and the square root of the sum of squared difference (SSE) between DPS and model predictions to form the RMSE= $\sqrt{SSE/(N-df)}$  where N number of data are adjusted by the degrees of freedom (df=number of independent dust emission model parameters).

We improve the constraints  $u_{s*} > u_{*ts}H$  on dust emission model evaluation by calibrating the dust emission magnitude according to modelled emissions during those observed occurrences. We follow the established approach (Hennen, Chappell et al. 2022) by using observations of dust emission frequency at dust emission sources during satellite observations. We define dichotomous (present or absent) satellite observed dust emission point source (DPS) data and its probability of occurrence

P(DPS>0) as a first-order approximation of the probability of sediment transport P(Q>0) leading to the proportion of dust (F) emission P(F>0) at those points identified to produce dust

$$P(DPS > 0) \approx P(Q > 0) \propto P(F > 0) = u_{s*} > u_{*ts}H\begin{cases} 1\\ 0 \end{cases}$$
 (Eq. 7)

Accurate estimates of the magnitude and frequency of  $F_{AEM}$  depend on correctly predicting P(F>0), which itself depends on the entrainment threshold and the soil moisture  $u_{s*} > u_{*ts}H \begin{cases} 1 \\ 0 \end{cases}$ . However, we know *a priori* that there are at least two very weak assumptions in the dust emission modelling: that the soil surface is covered homogeneously with an infinite supply of loose erodible material, which when mobilised by sufficient wind friction, causes dust emission. This approach assumes energy-limited dust emission which is rarely justified in dust source regions where the soil surface is rough due to soil aggregates, rocks, or gravel, sealed with biological or physical crusts, or loose sediment is simply unavailable (Webb and Strong 2011, Vos, Fister et al. 2020). Consequently, we follow the recently established approach (Hennen et al., 2022) and bypass those weak assumptions by using observed dust emission frequencies at DPS data locations to parameterise the entrainment threshold frequency distribution

$$Q_{DPS}(\omega) = C \frac{\rho_a}{a} u_{S*}^3 P(DPS > 0),$$
 (Eq. 8)

$$F_{DPS}(\omega) = \sum_{d} A_s A_f M Q_{DPS} 10^{(0.134clay_{\%} - 6.0)} \text{ with } 0\% < \text{clay}\% < 20\%,$$
 (Eq. 9)

using the established calibration for this region (Hennen, Chappell et al. 2022):

$$Log_{10}(AEM_{cal}) = 0.88 Log_{10}(F_{DPS}) - 2.02.$$
 (Eq. 10)

where  $AEM_{cal}$  is the adjustment of modelled  $F_{DPS}$  values using the calibration. This approach calibrates our large-scale dust emission model to be consistent with DPS. This approach overcomes the currently poor model constraint  $u_{s*} > u_{*ts}H$  of the sediment transport threshold. The DPS data are likely biased away from the smallest dust sources which may not appear or are difficult for operators to detect using optical reflectance (Urban et al., 2018). Nevertheless, under these conditions the  $AEM_{cal}$  provides precise and accurate maps of seasonal dust emission, temporal dynamics and mean regional dust emission. We applied this calibration only to the AEM change over space and time (Section 3.3) to provide valid, calibrated dust emission estimates for comparison with the TEM.

## 3 Results

## 3.1. The impact of 'erodible' fraction (E) implementation on dust emission modelling

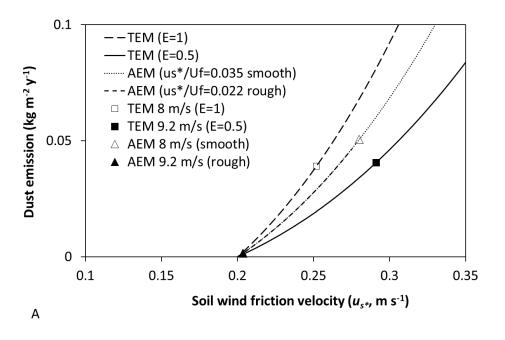
We simulated dust emission with wind speed varying between 0-12.5 m s<sup>-1</sup> (**Figure 2a**). The exemplar TEM dust emission is shown with a fixed aerodynamic roughness length for the landscape scale  $z_0$ =100 µm and the soil scale  $z_0$ s = 33.3 µm following several previous studies e.g., (Zender, Bian et al. 2003), which fixes R\approx0.91 and assumes for simplicity that the land surface is almost devoid of vegetation roughness and static over time. With E=1, sediment transport and dust emission are

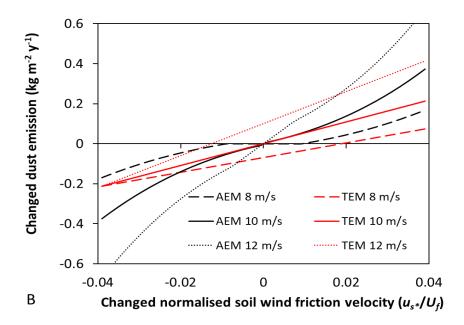
unadjusted and increases along the upper (large dashed) curve as wind speed increases (because wind friction is fixed). When the land surface is partially covered in vegetation and E=0.5 (all other conditions remain the same), sediment transport and dust emission increases as wind speed increases but at a consistently reduced rate (solid line). This separate curve and reduced rate are caused entirely by using E. The open square is the exemplar TEM at 8 m s<sup>-1</sup> and the filled square is the exemplar TEM at 9.2 m s<sup>-1</sup>. Despite E being implemented to reduce sediment transport in the presence of vegetation, the (unintended) outcome is very similar dust emission for similar wind speeds (from open square to filled square). For clarity, this finding reveals for the first time that the exemplar TEM will emit larger amounts of dust from vegetated surfaces than from bare surfaces (for the same erodibility conditions). This is because E does not adequately reduce dust emission depending on the interplay between wind and vegetation. Consequently, where there are large wind speeds in vegetated regions, the exemplar TEM will incorrectly produce large amounts of dust emission.

The albedo-based dust emission model (AEM uncalibrated) for a smooth, unvegetated situation  $(u_{s*}/U_h=0.035;$  dotted line) produces larger dust emission than the exemplar TEM for the same 8 m s<sup>-1</sup> wind speed (open triangle; **Figure 2a**). However, in a rough, vegetated situation  $(u_{s*}/U_h=0.022)$  dust emission declines to almost zero, along the same curve. Despite the larger wind speed of 9.2 m s<sup>-1</sup> (closed triangle), the rough surface causes the surface wind friction velocity to decrease, barely exceeding the entrainment threshold, and consequently dust emission is considerably reduced. The increase in roughness is sufficient to overcome the increase in wind speed and causes dust emission to be much smaller. The interplay between wind speed and roughness realistically produces accurate and precise soil surface wind friction velocity essential for reliable and consistent dust emission estimates across complex terrain including vegetation.

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**Figure 2**. Dust emission (kg m<sup>-2</sup> y<sup>-1</sup>) simulations shown with **(a)** varying soil surface wind friction velocity and **(b)** with varying soil surface wind friction velocity (**b**) normalised by wind speed at 10 m height ( $U_{10}$ ) using fixed entrainment threshold  $u_{*ts}$ =0.2 m s<sup>-1</sup>, clay=10%, soil moisture function H(w)=1 and the bare soil function E. The exemplar TEM was implemented (Eqs. 2 & 5) with fixed aerodynamic roughness length ( $Z_0$ ) and consequently fixed R≈0.91 and fixed wind friction. The albedo-based dust emission (AEM uncalibrated) was implemented (Eqs. 3, 4 & 6) with varying wind friction as described in the main text, with details in the **Supplement S2**.

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These findings are partially expected based on the theory described above in the Methods section. However, the impact of E in the exemplar TEM has not previously been recognised and is only evident here as unusual, relative to the more physically based AEM. The exemplar TEM is driven by wind speed attenuated by aerodynamic roughness, but which is here fixed over space and static over time to a bare soil surface, and dust emission is subsequently reduced by E based on vegetation cover. Consequently, wherever and whenever wind speed exceeds the entrainment threshold, the exemplar TEM will produce sediment flux and dust emission. To illustrate this point, Figure 2b shows change in dust emission with change in soil surface wind friction velocity normalized by wind speed  $(u_{s*}/U_h)$ . In other words, **Figure 2b** shows how dust emission changes in either space and / or time as roughness changes in the AEM or as E changes in the exemplar TEM. Since the influence of wind speed is removed on the x-axis (and wind friction is fixed in the model), exemplar TEM produces no change for a given wind speed of e.g., 10 m s<sup>-1</sup>. The cause of change in the TEM for 10 m s<sup>-1</sup> (solid red line) is due solely to the value of E varying. Since E is not aerodynamic (does not change with wind speed) and wind friction is fixed, dust emission does not change except when E changes. Under a scenario with the wind speed reduced from 10 m s<sup>-1</sup> to 8 m s<sup>-1</sup>, the exemplar TEM F increases monotonically but at a reduced rate; that rate does not change with roughness  $(u_{s*}/U_h)$  it changes only

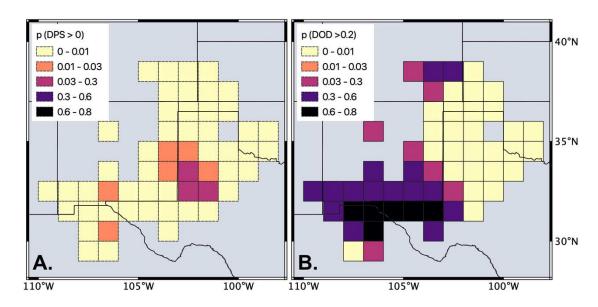
with *E*. Similarly, when the wind speed increases from 10 m s<sup>-1</sup> to 12 m s<sup>-1</sup>, the exemplar TEM *F* increases monotonically at an increased rate, but that rate does not change with roughness  $(u_{s*}/U_h)$ .

In contrast, for the wind speed of 10 m s<sup>-1</sup>, the AEM produced a greater reduction in dust emission than the exemplar TEM for the greatest decrease in  $u_{s*}/U_h$  (**Figure 2b**). With the greatest increase in  $u_{s*}/U_h$ , the AEM produced a larger increase in dust emission than the exemplar TEM. When wind speed is consistently reduced to 8 m s<sup>-1</sup>, the change in dust is smaller than that at 10 m s<sup>-1</sup>. Notably, there is no change in dust emission between a change of  $-0.01 < u_{s*}/U_h > 0.01$  (**Figure 2b**). When wind speed is consistently increased to 12 m s<sup>-1</sup>, the change in dust emission produced by the AEM is large, continuous, and evident as  $u_{s*}/U_h$  changes.

The results of these simulations illustrate how the exemplar TEM does not adequately represent vegetation sheltering dynamics and that E compensates by adjusting the magnitude, not the onset of dust emission. The exemplar TEM will emit similar amounts of dust from vegetated surfaces as from bare surfaces (for the same conditions). These weaknesses in E have not been apparent previously, despite considerable use and application particularly in large scale dust models, because dust emission has not been isolated in previous evaluations. In contrast, the AEM provides a direct estimate of  $u_{s*}$ , which modifies dust emission as roughness and / or wind speed changes. Since this direct estimate of  $u_{s*}$  is available from albedo, from ground measurements, monitored from satellite remote sensing, or modelled prognostically in ESMs, it is available over space and / or time without the need for R or the bare soil fraction E, thereby reducing uncertainty in the model parameterisation. We elaborate the exemplar TEM weakness in comparison with the AEM by modelling dust emission change over space and time (see Section 3.3).

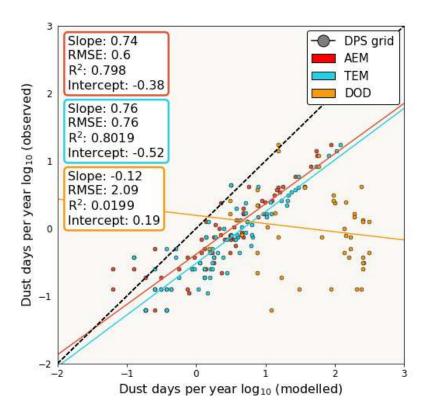
# 3.2 Modelled and observed dust emission frequency at DPS locations.

We retrieved atmospheric dust optical depth probability P(DOD>0.2) at previously identified dust emission point source (DPS) locations across areas of southwestern North America to compare with DPS observed probability P(DPS>0) (**Figure 3**). The P(DOD>0.2) showed little resemblance to P(DPS>0), with a distinctly different spatial pattern and considerably greater probability in some areas. Peak P(DOD>0.2) occurred across the USA / Mexico border in the Chihuahuan Desert, while P(DPS>0) peaked over the Southern High Plains in eastern New Mexico and western Texas. The P(DOD>0.2) probability increases in areas of reduced vegetation roughness (**Figure 1**) as difficulties in measuring atmospheric dust over dark surfaces (e.g., vegetation), limit the DOD frequency data to only the most arid regions. In areas where the data are comparable (e.g., northern Chihuahuan Desert;  $108^{\circ}-104^{\circ}W$ ,  $29^{\circ}-32^{\circ}N$ ), P(DOD>0.2) is (at least) an order of magnitude greater than DPS.



**Figure 3**. Comparison between the probability of observed dust emission point sources P(DPS>0) observations (**a**) and MODIS (**b**) probability dust optical depth P(DOD>0.2) during the period of DPS observation (2001-2016). All available MODIS DOD frequency data were used. We demonstrate below (**Supplement S4**) that there is little impact of the chosen threshold on the results presented here.

We compared estimated dust emission frequency (AEM and exemplar TEM models with F>0 or DOD>0.2) with observed DPS frequency (in days per year) at each DPS grid box (see in Figure 1). For each model comparison, the observed DPS frequency remained the same, with differences in the model described on the x-axis (Figure 4). At most grid boxes, modelled frequency exceeds observation, consistent with the discrepancies between the grain (point) scale of the entrainment threshold, the area-weighted wind friction, and the areal (11 km) scale of wind speed. Both AEM and exemplar TEM over-estimate dust emission frequency with RMSE ( $Log_{10}$ ) = 0.6 and 0.76 (4 and 5.8 day per year) respectively, relative to the 1:1 line (Figure 4) demonstrating slightly improved performance by the AEM. Nevertheless, across all grid box data, the relation between DOD frequency and DPS was very large exceeding DPS frequency by nearly 2 orders of magnitude, with RMSE  $(Log_{10}) = 2.09$  (123 days per year), considerably larger than the relation between DPS and the dust models. Least squares log-linear regression models were fitted to all models, with AEM and exemplar TEM frequencies showing statistically significant correlation with DPS observed frequency, producing a regression slope of 0.74 (AEM), 0.76 (TEM), and  $R^2 = 0.80$  (P < < .001). The DOD frequency did not show a statistically significant correlation with DPS observed frequency, with a regression slope of -0.12 and  $R^2 = 0.02$ , (P=.35).



**Figure 4** Modelled and observed frequency at known southwestern North American satellite observed dust emission point sources (DPS), identified in satellite observations from previous studies (Baddock, Gill et al. 2011, Lee, Baddock et al. 2012, Kandakji, Gill et al. 2020). For each point, the y-axis represents the observed number of DPS observations (per grid box) per year during different observation phases of the DPS datasets within the observation time period (2001 – 2016). For AEM and exemplar TEM, the x-axis describes the number of modelled observations (F>0) at DPS locations in each grid box per year during the same time period (x-axis). The x-axis describes the frequency that DOD>0.2 per year for the same period. The least squares logarithm regression of modelled against DPS observations produced the model parameter coefficients, R2 correlation and the square root of the mean squared difference between DPS, and model predictions (RMSE) adjusted by the degrees of freedom (df) using the number of model parameters (df = 9 for AEM; df=12 for TEM; df=6 for DOD frequency).

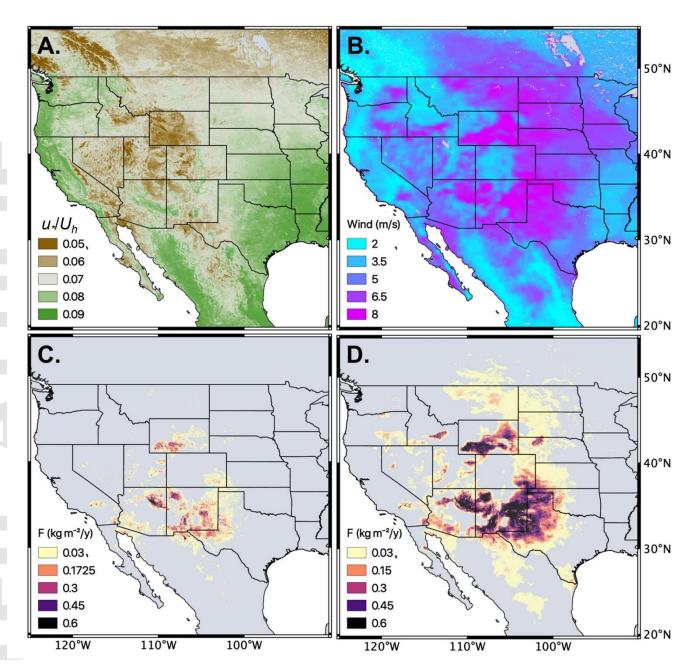
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#### 3.3 Modelling dust emission change over space

The mean albedo-based  $u_*/U_h$  and full range of  $U_{10}$  for the year 2020 are shown (**Figure 5a & b**). Since the exemplar TEM has fixed aerodynamic roughness, its wind friction velocity is fixed and hence varies with wind speed and E. For consistency with **Figure 2**, and to isolate the influence of E, the mean dust emission is shown for selected wind speeds ( $U_{10} = 8.5 - 9.5 \text{ m s}^{-1}$ ) for both AEM<sub>cal</sub> and exemplar TEM (**Figure 5c & 5d**). Consistent with **Figure 2**, the spatial distribution of mean dust emission was very different between AEM<sub>cal</sub> and exemplar TEM in both magnitude and spatial extent. According to AEM<sub>cal</sub>, large dust emissions ( $0.05 - 0.12 \text{ kg m}^{-2} \text{ y}^{-1}$ ) occurred in discrete areas across the Southern High Plains ( $104.5^{\circ}\text{W}$ ,  $33.5^{\circ}\text{N}$ ), northern Chihuahuan Desert ( $107.5^{\circ}\text{W}$ ,  $32^{\circ}\text{N}$ ), southwest

Colorado Plateau (110.5°W, 35°N), and the Great Divide Basin within the Wyoming Basin (108.5°W, 42°N). These areas correspond with small roughness  $u_*/U_h$ , and large wind speed. Furthermore, large  $u_*/U_h$  reduces the influence of large winds and restricts dust emission. Although the exemplar TEM dust emission occurred with similar maximum magnitude to the AEM<sub>cal</sub>, the exemplar TEM dust emission was distributed over a much larger area, including large parts of New Mexico and Wyoming, while also extending through the Great Plains in northwest Texas, Oklahoma, Colorado, and Nebraska (**Figure 5d**). This pattern of exemplar TEM dust emission matches closely the spatial distribution of mean wind speed (**Figure 5b**) controlled by *E*. However, consistent with the results of **Figure 2**, these results show that despite the variation in *E* implemented with dynamic vegetation cover, dust emission remains very large and similar over space, producing relatively homogeneous dust emission (dark tones) despite considerable land surface heterogeneity (**Figure 5d**). These results demonstrate that the implementation of *E* using dynamic vegetation cover, does not compensate adequately for poorly constrained, fixed *R* (which represents bare soil surface with an infinite supply of sediment).

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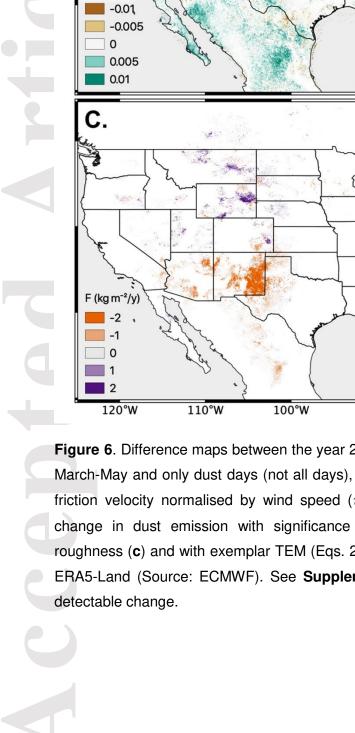
**Figure 5.** Mean conditions for North America during the year 2020 for peak dust season months March-May, including (**a**) above canopy albedo-based wind friction velocity normalised by wind speed  $(u_*/U_h)$ , (**b**) wind speed (at 10 m height), and modelled dust emission with (**c**; AEM<sub>cal</sub> Eq. 10) and without (**d**; exemplar TEM; Eqs. 2 & 5) varying aerodynamic roughness. The dust emission displayed is for wind speeds restricted to between 8.5-9.5 m s<sup>-1</sup> (for comparison with Figure 2). The daily maximum wind speed, described in hourly data from ERA5-Land (Source: ECMWF) are used in both models.

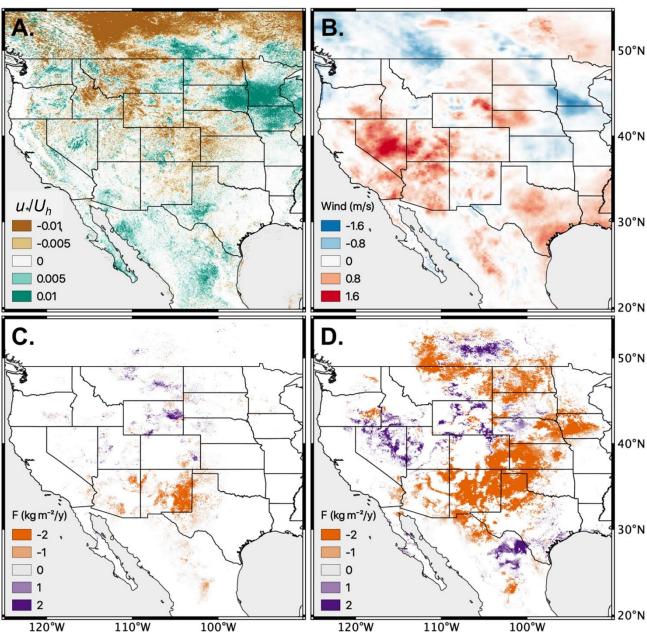
#### 3.4 Modelling dust emission change over space and time

Separate differences in albedo-based roughness  $(u_*/U_h)$  and wind speed at 10 m height  $(U_{10})$  for the year 2001 compared with the year 2020 and greater than the minimum detectable change (MDC) significance (P<0.05), were produced (**Figure 6a and 6b**). Statistically significant change in roughness across North America occurred with a range  $\pm 0.01$ . The greatest reduction (<-0.01) in roughness

occurred in Canada and was very likely caused by changes in the duration of snow coverage. Note that snow is removed from  $u_*/U_h$  when calculating dust emission. South of the USA/Canada border, roughness reduced (-0.01) across large areas of Montana, the Wyoming Basin, and eastern parts of the Great Plains (Colorado, Kansas, and Nebraska). Smaller reductions in  $u_*/U_h$  (-0.01 to -0.005) occurred in discrete areas of the Southern High Plains, and northern Chihuahuan Desert. The greatest increase in  $u_*/U_h$  (>+0.01) occurred across the American Mid-West, including Minnesota, lowa, and South Dakota. In dusty areas (**Figure 5**), the greatest increase in  $u_*/U_h$  (+0.005 to +0.01) occurred as discrete locations within the Chihuahuan and Sonoran Desert, the Great Basin (Nevada), and the southern limit of the Southern High Plains (eastern New Mexico and western Texas). Mean  $U_{10}$  changed with a range  $\pm 1.6$  m s<sup>-1</sup>, with the largest increase (>1.6 m s<sup>-1</sup>) across southwest USA, including the Great Basin, Mojave and Sonoran Deserts and the Colorado Plateau. Mean  $U_{10}$  reduced (<-0.8 m s<sup>-1</sup>) in the Mid-West states of Wisconsin and Illinois.

Differences in mean dust emission during the peak dust season (MAM) for the year 2001 compared with the year 2020 and greater than the minimum detectable change (MDC) significance (P<0.05), were produced for both exemplar TEM and AEM<sub>cal</sub> (Figure 6c and 6d). Statistically significant change in dust emission comparing AEM<sub>cal</sub> and exemplar TEM varied across the range ±2 kg m<sup>-2</sup> y<sup>-1</sup>. The AEM<sub>cal</sub> produced a significant decrease in dust emission (-1 to -2 kg m<sup>-2</sup> y<sup>-1</sup>) from several areas, including the Southern High Plains (eastern New Mexico and western Texas), the Colorado Plateau, and the Sonoran Desert (Figure 6c). The AEMcal showed a significant increase in dust emission from the Wyoming Basin, and discrete locations in Montana, and western areas of the Great Plains (west Colorado, Nebraska). In contrast, where no change in the AEMcal was detected, the exemplar TEM produced a significant decrease of dust emission across large areas of the Great Plains (up to -2 kg m<sup>-2</sup> y<sup>-1</sup>), the arid southwest (-1 to -2 kg m<sup>-2</sup> y<sup>-1</sup>), including the Mojave, Sonoran, and Chihuahuan Deserts, and the Mid-West (-1 to -2 kg m<sup>-2</sup> y<sup>-1</sup>). Exemplar TEM dust emission increased significantly across the Wyoming Basin (up to 2 kg m<sup>-2</sup> y<sup>-1</sup>), the Great Basin and northern Mexico (Figure 6d). The exemplar TEM shows considerable false change in dust emission relative to the AEM<sub>cal</sub> which is calibrated to dust emission point source (DPS) frequency distributions. These findings have considerable implications for the use of the exemplar TEM in large scale dust-climate projections of Earth System Models.





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**Figure 6**. Difference maps between the year 2001 and the year 2020 for the peak dust season months March-May and only dust days (not all days), showing total difference in (**a**) albedo-based mean wind friction velocity normalised by wind speed  $(u_*/U_h)$  and (**b**) wind speed  $(U_{10})$ . Minimum detectable change in dust emission with significance (P>0.05) with AEM<sub>cal</sub> (Eq. 10) varying aerodynamic roughness (**c**) and with exemplar TEM (Eqs. 2 & 5)  $z_0$  fixed and static over time (**d**). Wind data is from ERA5-Land (Source: ECMWF). See **Supplement S3** for details on the calculation of the minimum detectable change

#### 4.1 Overcoming dust emission model weaknesses using the albedo-based approach

Dust emission modelling has struggled to replicate observed dust emission magnitude and frequency, indicating an inability to adequately represent soil wind friction velocities (Evan, Flamant et al. 2014). Many of the TEMs assume homogenous bare ground, before using the complement of dynamic vegetation cover to reduce emission. Using satellite observed dust emission point sources (DPS; Figure 1) we have shown the exemplar TEM overestimates dust emission frequency by 0.76 of an order of magnitude (RMSE = 0.76 using log<sub>10</sub>) (Figure 4). Using albedo to describe variability in aerodynamic roughness through changes in vegetation structure, the AEM performs theoretically better (**Fig. 2**) at correctly estimating the probability of  $u_{s*}$  exceeding the entrainment threshold, and subsequent changes in dust emission timing and magnitude. When compared to observed DPS (Figure 4), AEM performs only moderately better than the exemplar TEM, still over-estimating dust emission frequency by 0.6 orders of magnitude (RMSE = 0.6 using log<sub>10</sub>). However, the AEM does not use  $z_{0m}$ ,  $z_{0s}$ , R or E, and the monitored normalised shadow is calibrated to wind tunnel  $u_*/U_h$ . In contrast, the exemplar TEM is pre-adjusted to values of  $z_{0m}$  and  $z_{0s}$  for bare soil surfaces in R which are fixed over space and static over time and then adjusted by E. Furthermore, most DPS used in this work are from predominantly barren and windy environments, with mean  $u_*/U_h=0.069$  and mean  $U_{10}$ = 6.9 m s<sup>-1</sup>, reducing the potential influence of dynamic vegetation. Nevertheless, the over-estimation of modelled dust emission relative to the observed frequency, occurs because of one or more of the main priority factors described in **Table 1**. Those factors are elucidated in terms of potential impact on dust emission modelling.

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**Table 1**. Assessment of the priority factors causing uncertainty in dust emission modelling, their likely impact on dust emission modelling and proposed solutions based on our research findings.

Factors increasing uncertainty in dust emission modelling (problem).	Impact on dust emission modelling and proposed solution.
Poorly constrained aerodynamic roughness ( $z_0$ ) and drag partition ( $R$ ), uses $R \approx 0.91$ which represents a bare soil surface, intended for $E$ to reduce dust emission in the presence of	In regions with any vegetation, $R\approx0.91$ underestimates $u_{*ts}$ and the inadequate adjustment by $E$ causes over-estimated dust emission, particularly in vegetated regions.
vegetation, but <i>E</i> functions incorrectly.	<b>Solution:</b> remove $E$ , $z_0$ and $z_{0s}$ and use albedobased $u_{s*}$ .
The incomplete form of $u_*^3$ in $Q_{TEM}$ (Eq. 2) widely adopted in TEMs, should have $u_*$ multiplied by the drag partition $R$ , but the correct values of $R$	Using $u_s^3$ instead of $u_{s*}^3$ over-estimates considerably the magnitude of dust emission. <b>Solution:</b> use direct, albedo-based $u_{s*}$ .

are unknown (for every pixel and every time step producing dust).

All physically based dust emission modelling currently assumes for simplicity an infinite supply of dry, loose erodible material is available once  $u_{*ts}$  has been exceeded.

Modelled  $u_{*ts}$  at the grain (point) scale is very likely to be much smaller than areal  $u_{*ts}$  and incompatible with areal wind speed.

Under-estimated  $u_{*ts}$  relative to  $u_{s*}$  will over-estimate the frequency of dust emission where sediment is unavailable and / or restricted by rocks and biogeochemical soil crusts.

**Solution**: Until a new parameterization is available, model dust emission frequency distribution  $asP(DPS>0) = \begin{cases} u_{s*} > u_{*ts}H, 1 \\ u_{s*} \leq u_{*ts}H, 0 \end{cases}$ 

Modelled wind speed over large (e.g., >11 km) pixels does not adequately represent the subgrid scale heterogeneity of aerodynamic roughness.

Large areal wind speed fields are incompatible with point scale dust emission modelling.

**Solution**: either upscale albedo-based  $u_{s*}$  to wind speed pixels or downscale wind speed to represent aerodynamic roughness heterogeneity.

We use the latest version of ERA5-Land wind (U at 10 m height;  $U_{10}$ ) data at a reasonably fine (11 km) resolution. It is evident that  $U_{10}$  is over-estimated in some global regions (Fan, Liu et al. 2021). However, there appears to be no systematic bias in the global wind fields that would lead to the systematic over-estimation of dust emission frequency. The grain scale of  $u_{*ts}$  is evidently incompatible with areal dust emission modelling and this factor appears to be the most likely cause of the overestimated model dust emission frequency and should be a priority for future work. Without resolving the scale of  $u_{*ts}$  it is not possible to isolate the impact of the assumed infinite supply of loose erodible material (**Table 1**). However, the scale invariant nature of the albedo-based approach (Ziegler et al., 2020) holds considerable potential for tackling these long-standing and widely omitted scaling issues in dust emission modelling. It is very likely that these two factors explain the first-order differences between the DPS frequency and the dust emission model frequency. Although we have reduced uncertainty by using grid boxes for the DPS frequency, there remains uncertainty over the use of DPS frequency (Urban, Goldstein et al. 2018). However, by comparison with DOD frequency, the use of DPS frequency is up to two orders of magnitude smaller indicating that dust emission models should be evaluated against DPS data.

Beyond the observed dust emission point sources, vegetation roughness appears influential, constraining dust emission greater than 0.1 kg m<sup>-2</sup> y<sup>-1</sup> to areas where  $u_*/U_h$  is no greater than 0.06, even during periods of peak (8.5 – 9.5 m s<sup>-1</sup>) wind speed in our case. In contrast, the exemplar TEM

predicts dust emission >0.1 kg m<sup>-2</sup> y<sup>-1</sup> in areas where  $u_*/U_h$  is greater than 0.075 (vegetated), including large areas of the vegetated Great Plains. This difference is emphasized in parts of western Oklahoma (99.5°W, 35.5°N), where mean  $u_*/U_h > 0.08$  prevents dust emission from the AEM, despite a mean  $U_{10} > 7$  m s<sup>-1</sup>. However, in those areas exemplar TEM dust emission exceeds 0.2 kg m<sup>-2</sup> y<sup>-1</sup>. These contrasting estimates emphasise exemplar TEM dependency on variability in  $U_{10}$ , due to the incomplete modelling using  $u_*^3$  (Webb, Chappell et al. 2020) and the inability of R adjusted by E, to correctly attenuate wind speeds by aerodynamic roughness (**Table 1**). The proposed solution is simple for contemporary, satellite era analysis, remove E (and the need for R,  $z_0$  and  $z_{0s}$ ) and make use of readily available satellite albedo data to directly estimate  $u_{s*}$ . The limitations of the approaches and their impacts on dust emission modelling have been hidden for more than two decades since dust emission models were developed. The limitations have created two further issues, 1) a requirement for post-process tuning using dust source maps, which limits the model's ability to predict dust without *a priori* information; 2) large scale uncertainty and scale dependence driven by a large spatial and temporal variability in  $U_{10}$ .

Our comparison of dust emission between two time periods (2001 and 2020) emphasizes a previously unrealised impact of varying aerodynamic roughness in the temporal variability of dust emission magnitude. Through the calculation of dynamic  $u_{s*}$ , the AEM constrains dust emission to relatively small areas, restricting significant variability between time steps, to only dust producing areas (e.g., the arid southwest and semi-arid parts of the Great Plains; **Figure 6c**). In contrast, the exemplar TEM's dependency on  $U_{10}$  variability shown here, produces significant changes in dust emission over vast vegetated areas, including those which are very unlikely to produce dust (e.g., temperate areas of the Great Plains and the grasslands of northern Mexico; **Figure 6d**). These results demonstrate for the first time, that TEMs using E implemented with vegetation indices and leaf area index data layers in dust-climate models, will considerably over-estimate dust emission in vegetated regions with large wind speeds. Our proposed solution to this previously hidden weakness is to make prognostic ESM albedo data available to the dust module coupled to the climate model.

# 4.2 Overcoming dust emission model tuning to dust optical depth

Comparing dust cycle models with dust optical depth (DOD), indicate large errors in simulated dust magnitude and geochemical properties (Huneeus, Schulz et al. 2011, IPCC 2013, Evan, Flamant et al. 2014). Consequently, dust cycle models are calibrated typically to DOD, which forces dust emissions to match dust in the atmosphere, at often unknown distances from dust sources, which hides the correct magnitude and frequency of emission events at source. We have shown here that DOD frequency poorly represents observed dust emission frequency by nearly two orders of magnitude, and with no spatial correlation in frequency variability. Previous studies have suggested that this inconsistency is due to the spatial bias between time of emission and downwind observation in sunsynchronous daily observations (Schepanski, Tegen et al. 2012). Whilst explaining perhaps some of

the variability evident in our results, that inconsistency also illustrates the fundamental problem of calibrating dust cycle models to dust optical depth. The inconsistency in modelled dust emission from areas unlikely to produce dust, has previously been filtered out where preferential dust source maps are used (Ginoux, Prospero et al. 2012). The probability of dust emission is pre-defined by the topographic setting, constraining emission to drainage basins (Zender, Newman et al. 2003). These pre-defined conditions limit the ability to simulate the spatio-temporal dynamics of dust emission in these areas, as well as omitting most small dust sources in other areas of the basin (Urban, Goldstein et al. 2018).

Using extant DPS (Hennen, Chappell et al. 2022, Hennen, Chappell et al. 2023), our results demonstrate that DOD frequency is limited to areas with highly reflective surfaces e.g., creating a bias over northern areas of the Chihuahuan Desert. The DOD frequency hotspots for the period 2001-2016 were located upwind of the DPS locations. These findings severely undermine the efficacy of dust cycle model calibration to DOD frequency, especially where dust emission occurs in relatively discrete areas surrounded by more densely vegetated areas such as in North America. Over-estimation of dust emission in these environments very likely alters the magnitude, frequency and geographical distribution of global dust emission, which currently considers continental-scale barren environments (e.g., North Africa) as persistently predominant sources of global dust (Engelstaedter, Tegen et al. 2006).

# 4.3 Implications of model weaknesses for dust emission modelling

This study has demonstrated that dust emission modelling can be considerably improved by utilising a calibrated drag partition with the AEM. It contrasts with the exemplar TEM by avoiding pre-conditioning the model to bare (devoid of vegetation)  $z_0$  and  $z_{0s}$  to produce  $R\approx0.91$  which over-estimates sediment transport before adjustment by E the bare 'erodible' soil fraction. The TEMs were developed more than two decades ago when dynamic data inputs were less available. Many global dust emission studies still use static inputs, such as selective vegetation cover thresholds and bare soil fraction in global dust emission modelling (Albani, Mahowald et al. 2014). Preferences for which regions emit or how much vegetation to allow before dust emission ceases, have contributed to the inability to detect model weaknesses (Zender, Bian et al. 2003). The *ad hoc* delineation of source regions and / or tuning of dust cycle models to dust optical depth, constrains dust emission to areas with large concentrations of dust in the atmosphere (Huneeus, Schulz et al. 2011). In contrast, regional differences in magnitude and frequency of dust emission, wind speed and particle size controlling dust residence times are at best not prioritised and at worst masked out. Furthermore, contemporary atmospheric dust loads do not enable unbiased reconstruction of past trends or to project future shifts in the location or strength of emissions (Mahowald, Kloster et al. 2010).

There is also a great risk that major scientific advances made in developing dust emission schemes (Marticorena and Bergametti 1995, Shao, Raupach et al. 1996) and newly developed data /

parameterizations (Prigent, Jiménez et al. 2012) are being under-utilised by an over-reliance on parsimonious assumptions about dust source location and erodibility to implement dust emission models. Model tuning in its various guises, particularly to dust optical depth, makes it hard to routinely evaluate dust emission model implementation and development. Our findings suggest that it is essential to ensure that dust emission modelling is explicitly balanced between the fidelity of the dust emission scheme (processes) and the parsimony of its implementation (parameterization influenced by data availability) (Raupach and Lu 2004). As new parameterization schemes are developed and new data sources become available, the aeolian research community will benefit from being open to critical re-evaluations and diversifications to ensure that model development balances parsimony and fidelity and avoids enduring model weaknesses.

The exemplar TEM, in common with many dust emission models, uses  $u_*^3$  to calculate the magnitude of sediment transport, and predicted unreasonably large dust emission particularly in vegetated regions, because  $u_*$  should be multiplied by R before being cubed and hence is overestimating  $u_{s*}$  (Webb, Chappell et al. 2020). Although our exemplar TEM dust emission is adjusted by the bare soil fraction E, we have shown that this is not functioning adequately. Implementations of E using vegetation indices and recent innovations with dynamic vegetation in dust emission modelling are flawed by this weakness in E. Many of the limitations in dust emission modelling using the exemplar TEM were evident in the original dust emission scheme (Marticorena and Bergametti 1995). However, these limitations have been ignored or overlooked to implement global dust emission schemes. The calibration of dust cycle model estimates against dust optical depth have hidden these limitations, caused weaknesses to endure and made it difficult for the community to evaluate model developments.

Despite its multiple parameters, the exemplar TEM operates like other dust emission models explicitly controlled only by wind speed at some height  $U_t$  and threshold of  $U_{tt}$  (Ginoux, Chin et al. 2001) (e.g., GOCART). In our study, we did not include these dust emission models based on wind threshold. However, given their similarity with the exemplar TEM, our results suggest that both model types are inadequate for representing dust emission across Earth's dynamic vegetated drylands and over time. Consequently, the model weaknesses identified here most likely explain why, on monthly time scales, the relation between surface wind speed and TEMs could be linearized, and why differences between CMIP5 models appear to be due solely to wind field biases (Evan, Flamant et al. 2016). Perhaps most significantly, our results explain to a large extent, how and why the use of exemplar TEM lack validity in  $21^{st}$  century dust emission projections (Evan, Flamant et al. 2014). Large uncertainties and inter-model diversity remain in CMIP6 models and are larger than previous generations of models (Zhao, Ryder et al. 2022) implying that modelled dust processes are becoming more uncertain as the latest modelling efforts continue to evaluate dust cycle models against atmospheric DOD (Klose, Jorba et al. 2021).

#### 5. Conclusion

Improving climate change projections requires dust models that are sensitive to, and accurately represent, dust emission responses to changing environmental conditions (wind speed, precipitation, evapotranspiration), land use and land cover dynamics. Dust cycle models typically calibrated against atmospheric dust optical depth (DOD) are assumed valid for use with dust-climate projections in Earth System Models. However, for reasonable and well-established reasons, there is little spatial relation between atmospheric DOD frequency and DPS and a difference of up to two orders of magnitude. The exemplar traditional dust emission model (TEM) over-estimated large dust emission over vast vegetated areas and produced considerable false change over space and time in dust emission relative to the albedo-based dust emission model (AEM) calibrated to dust emission point source (DPS) data. Using these recent developments (AEM and calibration using DPS data), key weaknesses in the TEMs have been identified more than two decades since dust emission schemes were developed. It is difficult to avoid the conclusion that calibrating dust cycle models to DOD has hidden these TEM modelling weaknesses enabling them to endure for so long, and that diversity in dust emission modelling and calibration is long overdue.

The albedo-based dust emission model (AEM) overcomes these weaknesses without using masks or vegetation cover data. The AEM can be used across timeframes and because it is areal and integrated, albedo scales linearly, and the approach cuts across scales offering potential to reconcile point and area data. Aerodynamics can be retrieved from accurate and precise albedo from ground measurements using net radiometers, from various airborne and satellite platforms most notably MODIS, or prognostic estimates used in ESMs. These varied albedo sources provide considerable opportunity for new modelling approaches across scales. The availability of large-scale prognostic albedo provides the opportunity for the albedo-based approach to be readily adopted in energy-driven Earth System Models (ESMs) more suitable for climate projections. We recognise that there is some work to be done to couple prognostic albedo between components in some ESMs. However, that work has the additional benefits of improving consistency within energetic ESMs and reducing uncertainty and independent tuning of the model components. Coupling the albedo-based approach to ESMs is therefore expected to reduce uncertainty in dust emission and transform dust-climate change projections.

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# **Open Research**

# Code Availability

The code is archived as a text file using Zenodo (where the code will not run without access to the Google Earth Engine) using the DOI doi.org/10.5281/zenodo.5626825

# Data Availability

The data used are identified in the main text and below using the Google Earth Engine data description and catalogue references, link and DOI.

Dates used	Google Earth Engine data	Google Earth Engine Catalogue reference, link or DOI
2009	MODIS land cover used to	MODIS/051/MCD12Q1/2009_01_01
	mask land / sea	(Friedl 2019)
Static ISRIC	Uploaded	(Hengl, Mendes de Jesus et al. 2017)
clay content		
2001-2020	MODIS albedo (Band1_iso)	MODIS/006/MCD43A1
		(Schaaf and Wang 2015)
2001-2020	ECMWF ERA5-Land	ECMWF/ERA5_LAND/HOURLY
	u-component_of_wind_10m	((C3S) 2022)
	v-component_of_wind_10m	
	volumetric_soil_water_layer_1	
	soil_temperature_level_1	
2001-2020	MODIS Snow Cover	MODIS/006/MOD10A1
		(Hall, Salomonson et al. 2016)
2001-2020	MODIS Normalised Difference	MODIS/MOD09GA_006_NDVI
7	Vegetation Index	(Vermote 2015)

2001-2016	Monthly MODIS Deep Blue	_
	aerosol optical depth (derived	(Platnick 2015)
	from L2 Aerosol (04_L2 SDS)	,
	Product	

#### **Author Contributions**

AC coded the dust emission schemes, MH coded the data analysis and AC and MH performed the analysis jointly. NPW co-wrote the first draft of the manuscript with AC. All authors contributed to revisions of the manuscript and development of the figures to form the final submission.

# Competing interests

The authors declare that they have no conflict of interest.

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