Check for updates

OPEN ACCESS

EDITED BY Kangning Xu, Beijing Forestry University, China

REVIEWED BY

Nidhi Nagabhatla, The United Nations University Institute on Comparative Regional Integration Studies (UNU-CRIS), Belgium Meifang Li, Central South University Forestry and Technology, China Min Jiang, Jiangsu University of Technology, China

*CORRESPONDENCE Musa Manga, mmanga@email.unc.edu, musa.manga@mak.ac.ug

[†]These authors contributed equally to this work and share first authorship

RECEIVED 10 January 2023 ACCEPTED 19 April 2023 PUBLISHED 09 May 2023

CITATION

VerKuilen A, Sprouse L, Beardsley R, Lebu S, Salzberg A and Manga M (2023), Effectiveness of the Swachh Bharat Mission and barriers to ending open defecation in India: a systematic review. *Front. Environ. Sci.* 11:1141825. doi: 10.3389/fenvs.2023.1141825

COPYRIGHT

© 2023 VerKuilen, Sprouse, Beardsley, Lebu, Salzberg and Manga. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

Effectiveness of the Swachh Bharat Mission and barriers to ending open defecation in India: a systematic review

Anna VerKuilen[†], Lauren Sprouse[†], Rachel Beardsley, Sarah Lebu, Aaron Salzberg and Musa Manga*

Department of Environmental Sciences and Engineering, The Gillings School of Global Public Health, The Water Institute at UNC, University of North Carolina at Chapel Hill, Chapel Hill, NC, United States

In 2016, nearly 60% of the population of India practiced open defecation (OD), which was 4 times the global rate, and reducing OD in India will be essential in meeting Sustainable Development Goal (SDG) 6.2 and improving global public health. The government of India launched the Swachh Bharat Mission (SBM) in 2014 with one key goal for all Indian states to achieve OD-free (ODF) status by 2019. Despite reports from the Government of India on the success of SBM, the true ODF status of Indian states is still unknown. A systematic review of peerreviewed literature was conducted to assess the impact of SBM on OD in India, evaluate the barriers to reducing OD, and provide recommendations for future interventions to reduce or eliminate OD in India. A total of 237 publications were screened, and 22 were selected for inclusion. While the Prime Minister declared India ODF in 2019, studies suggest that the government monitoring system overestimates numbers of ODF villages and toilet coverage. Reasons for households' continued OD practice include financial constraints, lack of water supply, governmental mistrust, cultural beliefs, and personal preference. Community incentives and penalties have been used to encourage proper sanitation practices with varying success. Overarching strategies and approaches that have worked well across study districts to reduce OD include high involvement of district leadership and innovative behavior-change and local community mobilization campaigns.

KEYWORDS

open defecation, Swachh Bharat Mission, water, sanitation, and hygiene (WASH), India, sanitation interventions, open defecation-free

1 Introduction

Open defecation (OD) refers to the practice of defecating or disposing of human feces in fields, forests, bushes, bodies of water, or other open spaces (WHO and UNICEF, 2021). Given its linkages to human health, dignity, and gender equity, eliminating OD has remained a global goal and water, sanitation, and hygiene (WASH) sector priority (Beardsley et al., 2021; Sprouse et al., 2022) manifesting in an international agreement on the human right to water and sanitation and the United Nations SDG 6.2 to achieve by 2030 access to adequate and equitable sanitation and hygiene for all and end OD (United Nations, 2022). Between 2000 and 2020, the World Health Organization/United Nations Children's Fund Joint Monitoring Programme (JMP) reported that the proportion of the global population practicing OD decreased from 21% to 6%; however, an

estimated 494 million people still practice OD, of whom 90% live in rural areas of sub-Saharan Africa and Central and Southern Asia (WHO and UNICEF, 2021).

In 2016, an estimated 60% of the population of India practiced OD, which was 4 times the global rate (Alexander et al., 2016). Because India may still be a major contributor to global OD rates, reducing the practice will be essential in meeting the 2030 SDG target 6.2. According to JMP reported data, India is responsible for the largest drop in OD between 2015 and 2020 in terms of absolute numbers among all countries, yet high rates of OD in India persist (WHO and UNICEF, 2021). The JMP estimated that 15% of India's population practiced OD in 2020, with rates varying between 1% and 70% across states (WHO and UNICEF, 2021). India's National Family Health Survey (NFHS) estimated that 19% of India's population practiced OD in 2021 (IIPS and ICF, 2021; WHO and UNICEF, 2021). However, several studies have indicated that rates of OD in India are underestimated. Vyas et al. (2019) found a 20-percentage point higher rate of OD at the individual level than was reported by the NFHS at the household level. Yogananth and Bhatnagar (2018) found that nearly 55% of households in the state of Tamil Nadu practiced OD despite having a household toilet, compared to 38% reported by the 2016 NFHS (IIPS and ICF, 2017).

The JMP estimated that in 2020, 46% of India's population had access to safely managed sanitation services, that is, improved sanitation facilities that are not shared with other households and where excreta are safely disposed of onsite or transported and treated offsite. (WHO and UNICEF, 2021). This estimate of sanitation coverage is similar to other countries regionally—Bangladesh (39%) and Nepal (49%) — as well as globally—including Ecuador (42%) and Albania (49%) (WHO and UNICEF, 2021). However, while these countries have similar estimates of safely managed sanitation coverage, estimates of OD varied. Albania, Bangladesh, and Ecuador were all estimated to have rates of OD less than 1%, compared to an estimated 10% in Nepal and 15% in India, suggested that India is lagging behind in improving defecation practices both regionally and globally (WHO and UNICEF, 2021).

Lack of adequate WASH services and OD are most commonly associated with excreta-related infectious diseases and diarrhea (Cairncross et al., 2010; Manga, 2017; Manga et al., 2022). OD enables disease-causing pathogens to spread from the feces of one person to the mouth of another via contaminated water, food, or fomites (Capone et al., 2022). A systematic review of the health impacts of OD in India and Kenya found associations of OD with soiltransmitted helminth infections, hookworm infestations, poor birth outcomes, poor nutrition, increased risk of sexual violence among women, and psychosocial stress (Saleem et al., 2019). Declines in OD correspond to decreases in the prevalence of diarrheal morbidity (Njuguna, 2016). Reductions in OD, and corresponding reductions in WASH-related morbidity, may be achieved by improving access to basic or improved sanitation services. Improved sanitation facilities hygienically separate excreta from human contact, and basic sanitation refers to the use of improved sanitation facilities that are not shared by other households (WHO and UNICEF, 2021). Safely managed sanitation refers to the use of improved facilities that are not shared by other households, and where excreta are safely disposed of on-site or removed and treated off-site. The JMP estimated that 71% of India had access to basic or safely managed sanitation in 2020 (WHO and UNICEF, 2021).

To accelerate efforts to achieve country-wide sanitation coverage and reduce WASH-related disease in line with SDG 6, the Prime Minister of India launched the Swachh Bharat Mission (SBM) in 2014. One key objective of the SBM was for all Indian villages, districts, and states to improve public health by achieving open defecation free (ODF) status by 2019 based on household-level surveys (Government of India, 2022). ODF is defined in SBM guidelines as no visible feces found in the environment/village and, b) every household as well as public/community institution(s) using safe technology option for disposal of feces, as defined by the Ministry of Drinking Water and Sanitation. However, the effectiveness of SBM in eliminating OD in Indian states is still not well understood.

SBM sought to engage all people in the task of cleaning homes, workplaces, villages, cities and surroundings, in a collective quest. The objectives of SBM included: a) Bring about an improvement in the general quality of life in rural areas by promoting cleanliness, hygiene and eliminating open defecation, b) Accelerate sanitation coverage in rural areas to achieve the vision of Swachh Bharat, c) Motivate communities to adopt sustainable sanitation practices and facilities through awareness creation and health education, d) Encourage cost effective and appropriate technologies for ecologically safe and sustainable sanitation, e) Develop community managed sanitation systems focusing on scientific Solid and Liquid Waste Management systems for overall cleanliness in the rural areas, and f) Create significant positive impact on gender and promote social inclusion by improving sanitation especially in marginalized communities (Government of India, 2018). The strategy for obtaining these objectives included augmenting the institutional capacity of districts to undertake behavior change at the grassroots level, strengthening the capacities of implementing agencies to roll out program components in a timely manner and to measure collective outcomes, and incentivizing the performance of state-level institutions to implement behavioral change activities in communities.

Because large portions of India's population may continue to practice OD—up to 70% of the population in certain states—and lack access to basic or safely managed sanitation facilities, as established by the JMP and NFHS, it is important to evaluate the impact of SBM on OD and sanitation access in India (WHO and UNICEF, 2021). This is the first study to conduct a systematic review of published literature assessing the impacts of SBM on OD practices. The objectives of this review were to 1) assess the impact of SBM on OD, 2) evaluate the barriers to eliminating OD, and 3) provide recommendations for future interventions to reduce or eliminate OD in India. These study findings may be used to inform future initiatives focused on reducing OD following government-wide sanitation hardware campaigns.

2 Methodology

2.1 Search strategy

A systematic review of published literature from PubMed, Scopus, and the Global Health database within EBSCO was conducted. Search terms were related to the Swachh Bharat Mission, open defecation, and states within India. Synonyms of these search terms in addition to other keywords were used. Initial searches including the Swachh Bharat Mission yielded few results; searches were modified to include Swachh Bharat Abhiyan, Clean India Mission, and Nirmal Bharat Abhiyan as well as keywords including by not limited to Rural, Urban, Toilet Construction, and Household Sanitation. A complete list of search terms is available in the Supplementary Table S1.

Database searches were limited to articles published in English in 2014 or later, and the final search was conducted on 8 June 2022. All studies were uploaded to Covidence, a systematic review production online tool, where duplicate studies were removed. Two reviewers screened the remaining studies by title and abstract for relevance. The final selection of studies occurred after a full text review of articles.

2.2 Document selection and eligibility criteria

Studies selected for inclusion must have assessed the impacts of the SBM on OD practices in India. Studies reporting on ending open defecation under other programs and campaigns were excluded. Studies reported in languages other than English were excluded. Commentaries, viewpoints, and other review articles were excluded from this review, as we sought to evaluate primary evidence of rates of OD in India. Study data were then extracted, which included study design, location, sample size, study description, data collection methods, and main findings such as impacts on OD or qualitative factors affecting latrine access and use.

2.3 Quality appraisal

Quality assessment was performed by one reviewer and involved describing the level of evidence (Ackley et al., 2008; Brownson et al., 2009; Manga et al., 2023; Muoghalu et al., 2023) and risk of bias (Sterne et al., 2016; Higgins et al., 2022; Conaway et al., 2023) that were then used to rate the overall certainty of the articles. Quality assessment results are provided in Table 2.

A level of evidence was assigned to studies based on the methodological quality of the article design and applicability. Levels were ranked as A, B, or C, with Level A being the highest level of evidence and Level C being the lowest level of evidence. Level A was reserved for randomized controlled trials (RCTs), as systematic reviews were excluded from this review (Ackley et al., 2008). Other types of peer-reviewed research such as cross-sectional studies were rated at Level B. Level C was assigned to formative research and pilot studies (Brownson et al., 2009).

The risk of bias was evaluated using the risk-of-bias tool (RoB 2) for RCTs (Higgins et al., 2022), and the Risk of Bias in Nonrandomized Studies of Interventions (ROBINS-I) tool for other study types (Sterne et al., 2016). The RoB 2 analysis involved the evaluation of bias that arose from the randomization process, bias due to deviations from intended interventions, bias due to missing outcome data, bias in outcome measurements, and bias in selection of the reported results (Higgins et al., 2022). Domains in ROBINS-I that are not included in RoB 2 include bias due to confounding, selection bias, and bias in classification of interventions (Sterne et al., 2016). Overall certainty ratings imply confidence that the true effects lie near the estimated effect determined in the study. Studies at Level A were initially given a high certainty, studies at Level B were rated intermediate, and studies at Level C were initially rated as low. Overall certainty was then either increased or decreased based on risk of bias estimates. Based on the information obtained through this review, recommendations for future interventions, modifications, and programs were provided.

3 Results

3.1 Study selection

We identified 237 documents from databases including Scopus, PubMed, and Global Health by Ebscohost. After screening for duplicates and excluding documents that did not meet our inclusion criteria, we reviewed the full texts of 53 studies for further assessment. Of these, we further excluded studies that did not describe and analyze primary data, documents that referenced identical data and findings, and documents in which the interventions to reduce OD were not a part of the SBM. In total, 22 of the total 237 documents were included in this review. Figure 1 presents a Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) diagram of the literature screening and article selection process.

3.2 Summary of findings

A detailed summary of the study findings, including study designs, locations, sample sizes, descriptions, data collection methods, and main findings, is shown in Table 1.

3.2.1 Geographic locations of included studies

Thirteen Indian states were represented across the literature. Six studies reported experiences from more than one state, region, or territory in India, so there is overlap among the locations in some studies. Most studies reported experiences from Uttar Pradesh (n = 4, 18%), Bihar (n = 3, 14%), Madhya Pradesh (n = 3, 14%), Rajasthan (n = 3, 14%), and Maharashtra (n = 3, 14%). The remaining studies were conducted in Odisha, Tamil Nadu, Karnataka, Jharkhand, Tripura, Kerala, West Bengal, and Punjab. Figure 2 shows a map highlighting the frequency of study locations.

3.2.2 Quality assessment of included studies

Of the 22 included studies, three (14%) were RCTs rated as Level A, as shown in Table 2. This review also included 10 cross-sectional studies (45%) that were rated as Level B. The remaining nine studies (41%) were formative research or case studies that were ranked as Level C.

According to the Cochrane guidelines, certain study limitations can increase the risk of bias and therefore decrease the overall certainty rating. A low risk of bias score implies confidence that there were no major or minor sources of bias that could have influenced results. An intermediate risk of bias indicates the presence of one major or several minor study limitations, and a high risk of bias indicates the presence of more than one crucial



limitation that may seriously compromise the validity of study findings (Sterne et al., 2016; Anthonj et al., 2020; Higgins et al., 2022).

Seven studies (23%) were scored a low risk of bias. Among these, three were RCTs that had large sample sizes with thousands of participants, randomization via random number generator or lotter, and little to no missing outcome data. Biswas et al. (2020), Exum et al. (2020), Gupta et al. (2020), and Spears and Coffey (2019) conducted cross-sectional studies with large and/or nationally representative sample sizes and adjustments for potential confounders that led to their low risk of bias judgment.

Ten studies (45%) were scored an intermediate risk of bias, among which six were cross-sectional studies with questionnaires or interviews leading to uncertainty in the extent to which participants may be willing to provide truthful answers. The other four studies rated as intermediate risk of bias were formative research or case studies that had hundreds of participants but used purposive sampling techniques for particular populations of interest, leading to the potential for biases in data collection.

Five studies (23%) were scored a high risk of bias, all of which were formative research or case studies. This rating was due to small sample sizes, the use of convenience sampling, self-reported defecation practices, potential for courtesy bias, and the lack of follow-up.

3.2.3 Impacts of SBM on OD

Several studies found that government claims of improved toilet coverage and increases in ODF villages were overreported. While India's Prime Minister declared the country ODF in 2019, assessments of toilet coverage following SBM implementation ranged from 19% in rural Bihar (Jain et al., 2020) to 92% in urban Uttar Pradesh (Jha and Sharma, 2020). India's NFHS reported that 19% of India's total population practiced OD in 2021 (IIPS and ICF, 2021). Andres et al. (2020) found that coverage of improved sanitation among households without toilets increased by 6.8–10.4 percentage points from 2014 to 2019, and open defecation reduced by 7.3 percentage points.

Among households that owned latrines, several studies found that latrine ownership did not necessarily indicate latrine use. Namdev and Narkhede (2020) found that among households in rural Madhya Pradesh, 27.7% of households with toilets at home practiced OD. Caruso et al. (2022) showed that among households in Odisha, only 60.4% of those that received new latrines during the SBM used their latrines. Biswas et al. (2020) found that among all public and community toilets in Mumbai,71%–99% were not in good condition, and toilet infrastructure is not directly correlated with reductions in OD. Exum et al. (2020) found modest reductions in OD in rural Rajasthan between 2016 and 2018, though rates of OD remained high–OD decreased from 63.3% to 45.8% among rural households with latrine access, and households regularly practicing OD despite 21.7% of rural Rajasthan and 12.1% of urban Rajasthan having access to a toilet.

Rates of OD also varied by urban and rural location. Studies conducted in urban locations found higher rates of latrine coverage and lower rates of OD than those conducted in rural areas (Exum et al., 2020; Jha and Sharma, 2020; Datta et al., 2021). Urban latrine coverage was found to be 86% and 92% in urban Tripura and urban Uttar Pradesh, respectively (Jha and Sharma, 2020; Datta et al., 2021). Exum et al. (2020) found that, from 2016 to 2018, main OD practices in rural Rajasthan households decreased from 63.3% to 45.8%, and in urban households from 12.6% to 9.4%.

3.2.4 Barriers to eliminating OD in India

Narayan et al. (2021) conducted key informant interviews to identify barriers to latrine use, and found that unreliable financial support, inadequate planning capacities, and poor community involvement were major factors contributing to continued open defecation. Das and Crowley (2018) also found affordability to be a key hurdle to sanitation program success, though 70% of participants expressed willingness to pay Rs.25-100 (\$0.30–1.20 USD) monthly for connection to a networked sewer system. Kumar (2017) found that the current government subsidy of 12,000 rupees (\$145 USD) per toilet limits the technologies available to each household, disallowing households from choosing better technology that best fits their local context.

Hutton et al. (2020) conducted a cost-benefit analysis of the SBM based on inputs from household level surveys in which monetized costs (household financial and time investments in building and maintaining toilets, and government's investments on subsidies and campaign activities) were compared to monetized benefits (reductions in medical costs and mortality associated with diarrheal diseases, productive time saved from fewer diarrhea cases and accessing outside defecation options, and increase in the property value of having a toilet). Under ODF scenarios, corresponding to 100% toilet coverage and usage, benefit-cost ratios were 1.7 (household financial perspective), 4.5 (household economic perspective) and 4.0 (societal perspective),

TABLE 1 Summary of included studies' loca	ation, sample size, design, data collection metl	ods, and main findings relevant to the impact of the S	BM on OD practices in India.

l	Citation	Location	Sample size	Study design	Study description	Data collection methods	Main findings: Impact on OD or factors affecting latrine use
1	Caruso et al. (2022)	Odisha	3,723 households in intervention group, 1916 households in control group	RCT	Households that received latrines by the SBM participated in community mobilization activities to engage the whole community to identify problems and increase latrine use among latrine- owning households	Surveys to assess latrine use at baseline and endline	Latrine use increased by 6.4 percentage points among latrine-owning households in the intervention group. 80.5% of households used latrines at the end of the study
2	Narayan et al. (2021)	Tamil Nadu and Karnataka	Expert interviews with 60 participants	Case study	Analysis of the key barriers to sanitation planning in India following SBM implementation	Qualitative and quantitative methods: key informant interviews, participant observations, expert workshops, social network analysis, shit flow diagrams (SFDs), and policy and document analyses	Factors identified as barriers for sanitation: inadequate planning capacities, lack of ownership of city sanitation plans among city governments, poor community involvement, absence of a uniform planning framework, unreliable political and financial support, overlapping jurisdictions, and scheme-based funding
3	Jain et al. (2020)	Bihar	21 participants from 3 villages	Formative research	Exploratory, open-ended discussions, in groups and one-on-one, on sanitation practices, attitudes towards OD, latrine ownership and use, and the reasons for non-adoption and/or non- use despite the financial assistance and social marketing efforts	Surveys and focus group discussions	4 of 21 participants (19%) owned a latrine. Participants were aware of the risks of OD but still practiced OD out of necessity. Key barriers to latrine access included lack of subsidies and perceptions of bias toward rural areas that reinforce governmental mistrust
4	Biswas et al. (2020)	Mumbai	8,417 public and community toilet blocks	Cross sectional	Assessment of toilet infrastructure for squatter settlements of megacity Mumbai, with the help of a data-centric analysis followed by field observations	Field observations to calculate population per toilet seat available. Community surveys to estimate toilet use	Of the 8,417 toilets assessed, 71%–99% were not in good condition. Toilet infrastructure alone cannot eliminate OD.
5	Anuradha et al. (2017)	Tamil Nadu	275 households	Cross sectional	Assessment of knowledge, attitudes, and latrine use practices following SBM implementation in rural India	Structured questionnaire to collect information regarding the demographic characteristics, participants' knowledge, attitudes, and practices towards sanitary latrines usage	62.5% of households had latrines, and 33.1% practiced OD. 87.2% of households were unaware of the potential for disease spread due to OD.
6	Friedrich et al. (2020)	Rural Karnataka	1945 participants from 120 villages with at least 30% latrine coverage	RCT	Risks, attitudes, norms, abilities, and self-regulation (RANAS) approach—a behavior-change intervention—to boost latrine use following implementation of the SBM.	Qualitative interviews and village meetings to identify behavioral factors, visits and phone calls from community health promoters. Self-reported latrine use and spot check observations of latrines	Latrine use increased from 77% at baseline to 97% at endline. The RANAS behavior change approach may be effective in boosting latrine use following toilet construction
7	Hutton et al. (2020)	12 Indian states	>10,000 households	Cost-benefit analysis	Cost-benefit study at national scale based upon the outcomes of implementation of the SBM.	Comparison of monetized costs (household financial and time investments in building and maintaining toilets, and government's investments on subsidies and campaign activities) to monetized benefits (reductions in medical costs and mortality associated with diarrheal diseases, productive time saved from	Under ODF scenarios (100% toilet coverage and usage), benefit-cost ratios are 1.7 (household financial perspective), 4.5 (household economic perspective) and 4.0 (societal perspective), which decrease under partial-ODF scenarios

TABLE 1 (Continued) Summary of included studie	s' location, sample size, design, data collectior	n methods, and main findings relevant to the	e impact of the SBM on OD practices in India.
--	---	--	---

	Citation	Location	Sample size	Study design	Study description	Data collection methods	Main findings: Impact on OD or factors affecting latrine use
						fewer diarrhea cases and accessing outside defecation options, and increase in the property value of having a toilet)	
8	Datta et al. (2021)	Urban Tripura	100 participants	Cross sectional	Community-based study to assess knowledge and practices regarding water, sanitation, hygiene, and waste disposal and the key components of SBM in an urban community and determine their associated factors	Individual interviews and quantitative surveys	86% of families had a latrine at home, and no families reported practicing OD. All families without a latrine at home reported the reason as its construction was under progress
9	Curtis (2019)	4 Indian states	17 government actors	Formative research	Employed the framework of Behavior Centered Design (BCD) to understand how the Indian government implemented the SBM.	Interviews with government officials	Political commitment to sanitation infrastructure led to psychological changes in district officials, which led to changed behavior for sanitation programming. SBM claims to have improved the coverage of toilets in rural India from 39% to over 95% of households between 2014 and mid-2019
1	0 Das and Crowley (2018)	Madhya Pradesh	622 urban households	Cross sectional	Study to explore factors affecting household toilet ownership and use among the urban poor	Survey data from 13 low-income settlements combined with interviews, focus-group discussions, and transect walks in three cities in central India	52.7% of households reported using an individual toilet, and 39.8% reported practicing OD. 70% were willing to pay for sanitation if they had money available
1	1 Exum et al. (2020)	Rajasthan	20,485 households	Repeated cross sectional	Evaluation of Rajasthan's claims of ODF status under the SMB by measuring OD trends from 2016 to 2018	Repeated cross sectional surveys of household water and sanitation measures. The primary outcome measure was regular OD among households with access to toilet facilities	Between October 2016 and July 2018 main OD practices in rural Rajasthan households decreased from 63.3% to 45.8%, and in urban households from 12.6% to 9.4%. Households with regular OD occurring despite access to a toilet made up 21.7% of rural and 12.1% of urban Rajasthan as of July 2018
1	2 Mavila and Francis (2019)	Kerala	321 participants	Cross sectional	Study to assess the impact of SBM on sanitation in Kerala and to identify the factors associated with sanitation practices among residents	Semi-structured questionnaire, administered by face-to-face interview to the interviewer, which consisted of a sociodemographic part and a part measuring the awareness, practice, and impact of SBM.	Among those who were aware of SBM, 66% reported that SBM had no impact on the overall sanitation of the community. The community overall already had good sanitation practices, and only one person reported practicing OD.
1	3 Rengaraj et al. (2021)	Odisha	Village with 65 households	Case study	A 2-year study in a tribal village to understand the reasons for the prevalence of OD in rural India. Human-Centered Design (HCD) incorporates the users' preferences and perspectives into the development of solutions	Research team members resided in the local community to gain an in-depth familiarity of community practices and collaboratively develop solutions	Out of the 65 households, 49 toilets were present, and only 7 households used them. Residents stopped using toilets because they were never instructed on maintenance and use

10.3389/fenvs.2023.1141825

Ci	tation	Location	Sample size	Study design	Study description	Data collection methods	Main findings: Impact on OD or factors affecting latrine use
14 Andres	et al. (2020)	Rural Punjab	4,800 households	RCT	Evaluation of the SBM's combination of behavior change campaigns, community-led total sanitation approach, and financial incentives for increasing latrine access and reducing OD.	Household, community, and school level surveys to collect data on participants and project implementation	Coverage of safely managed toilets among households without toilets increased by 6.8–10.4 percentage points across various intervention arms, compared with a control group. Open defecation was reduced by 7.3–7.8 percentage points
15 Namde Narkhe	v and sde (2020)	Madhya Pradesh	523 households from 5 villages (approximately 1,000 participants)	Cross sectional	Study of rural villages to determine the prevalence of OD as well as reasons behind the practice of OD.	Trained social workers conducted interviews with adult family members from each household using structed questionnaires	27.7% of study subjects opted for OD practices despite having a sanitary latrine at home. Out of those practicing OD, 76.5% opted due to their habits, 57% for comfort, followed by unawareness (36.4%) and lack of water (34%)
16 Kar and (2015)	d Mistri	West Bengal	92 households from 7 villages	Cross sectional	Study to understand participants' awareness of hygiene practices, to evaluate the socioeconomic status and health status of the area, and to determine the impact of OD and improper sanitation on human health	Quantitative and qualitative methods: household questionnaires, census reports, and geographic mapping to understand sanitation and hygiene practices as well as rates of disease	17% of households had a toilet, and 85% of households practiced OD. Among households with a toilet, 12% still practiced OD. 20% of participants washed hands with soap after visting the toilet. 50% suffer from symptoms related to waterborne disease, such as diarrhea
17 Patwa a (2018)	and Pandit	Uttar Pradesh	384 households where toilet was not reported prior to SBM implementation	Formative research	Behavior-change study to complement SBM efforts and to find the reasons for practicing OD from those households who are still practicing it. Educational lessons about benefits of cleanliness, benefits of using toilet instead of OD, details about government subsidiary to construct toilet, awareness about hygiene and sanitation, and pamphlets distribution	Surveys to assess OD practices and associated factors	After SBM implementation, 8% of households did not have a toilet and practiced OD. Financial constraint, waiting for government assistance, spending majority of time away from home due to work, and habit to defecate outside were the major reasons for OD.
18 Vu et a	ıl. (2022)	Jharkhand	41 adults	Case study	Study to understand user perspectives on the essential attributes of managed shared sanitation facilities and what role these facilities can play in people's lives	Semi-structured, one-on-one interviews with individuals to understand their sanitation needs, past and present barriers to sanitation access, and their lived experiences defecating in the open and using shared facilities	The percentage of people defecating in the open decreased from 29% in 2015 to 15% in 2020, and the number of people using safely managed toilets rose from 36% in 2015 to 46% in 2020
19 Gupta o	et al. (2020)	Bihar, Madhya Pradesh, Rajasthan, and Uttar Pradesh	9,812 people (1,558 households from 120 villages)	Cross sectional	Assessment of the impacts of the SBM on reducing OD in Indian states	Rural Sanitation Survey in 2014 and 2018 to estimate household level OD before and after SBM implementation	Between 42% and 57% of rural people over 2 years of age defecate in the open. These findings contrast with government claims that open defecation has been entirely or largely eliminated. In the region as a whole, open defecation declined from approximately 70% of people over 2 years old in 2014, to approximately 44% of people over 2 years old in 2018

Citation	Location	Sample size	Study design	Study description	Data collection methods	Main findings: Impact on OD or factors affecting latrine use
20 Coffey et al. (2020)	Delhi, Uttar Pradesh, Mumbai, Rajasthan, Bihar, Jharkhand, and Maharashtra	Approximately 1,000–3,000 individuals from each of the 7 states	Formative research	Study to assess people's awareness of the existence and purpose of the SBM.	Mobile phone survey to measure opinions on a range of public policies in India, including awareness of the SBM's goal of eliminating OD.	No more than one-third of adults in any state were aware that the SBM intended to promote toilet and latrine use. While the SBM was very active in constructing latrines, the lack of awareness we find suggests that the SBM was less successful in raising the awareness required for large-scale behavior change in promoting latrine use
21 Jha and Sharma (2020)	Uttar Pradesh	5 slums	Case study	Study to assess whether the district of Ghaziabad in Uttar Pradesh is truly ODF following SBM implementation, and if not, the reasons behind it	Survey with questionnaire on toilet availability, water availability, and OD practices	Though government records show all households have a toilet, the survey revealed that toilet availability ranged from 33% to 100%, with an average of 92%. The reasons for lack of toilet availability were lack of funds for construction of toilets due to emergence of new households caused by the separation of joint families
22 Spears and Coffey (2019)	Rural India	Analysis of National Family Health Survey (NFHS), which covers approximately 568,000 households	Cross sectional	Study of patterns of rural open defecation using the NFHS-4, a large- scale nationally representative survey collected between January 2015 and November 2016	Survey data from NFHS-4	NFHS-4 underestimates individual-level OD and offers little evidence that the decline in OD in rural India has accelerated radically in recent years. Despite the high-profile efforts of the SBM, more than half of rural households report OD.

TABLE 1 (Continued) Summary of included studies' location, sample size, design, data collection methods, and main findings relevant to the impact of the SBM on OD practices in India.

	Authors	Study design	Sample size	Level ¹	Risk of bias ²	Overall certainty rating ³	Impact on OD in India
1	Caruso et al. (2022)	Randomized controlled trial	3,723 households in intervention group, 1916 households in control group	A	Low (large sample; purposive sampling of participants; extent to which study participants are willing to provide truthful answers)	High Certainty	1 year after community mobilization, 19% of households had a completed latrine across the 50 villages, a marginal increase of 7 percentage points over baseline
2	Narayan et al. (2021)	Case study	Expert interviews with 60 participants	С	High (potential for inherent biases in data interpretation and collection)	Low Certainty	Qualitative only
3	Jain et al. (2020)	Formative research	21 participants from 3 villages	С	High (small sample size; purposive sampling of participants; extent to which study participants are willing to provide truthful answers)	Low Certainty	4 of 21 participants (19%) owned a latrine. Participants were aware of the risks of OD but still practiced OD out of necessity
4	Biswas et al. (2020)	Cross sectional	8,417 public and community toilet blocks	В	Low (large sample, standardized toilet condition assessments)	Intermediate Certainty	Of the 8,417 toilets assessed, 71%–99% were not in good condition. Toilet infrastructure alone cannot eliminate OD.
5	Anuradha et al. (2017)	Cross sectional study	275 households	В	Intermediate (extent to which questionnaire could have yielded truthful answers)	Intermediate Certainty	62.5% of households had latrines, and 33.1% practiced OD. 87.2% of households were unaware of the potential for disease spread due to OD.
6	Friedrich et al. (2020)	Randomized controlled trial	1945 participants from 120 villages with at least 30% latrine coverage	А	Low (large sample, intervention and control were subjected equally)	High Certainty	Latrine use increased from 77% at baseline to 97% at endline. The RANAS behavior change approach may be effective in boosting latrine use following toilet construction
7	Hutton et al. (2020)	Cost-benefit analysis	>10,000 households	С	Intermediate (Assumes ODF status for some cost- benefit scenarios)	Low Certainty	Under ODF scenarios (100% toilet coverage and usage), benefit-cost ratios are 1.7 (household financial perspective), 4.5 (household economic perspective) and 4.0 (societal perspective), which decrease under partial- ODF scenarios
8	Datta et al. (2021)	Cross sectional	100 participants	В	Intermediate (extent to which study participants are willing to provide truthful answers)	Intermediate Certainty	86% of families had a latrine at home, and no families reported practicing OD. All families without a latrine at home reported the reason as its construction was under progress
9	Curtis (2019)	Formative research	17 government actors	С	High (small sample; extent to which study participants are willing to provide truthful answers)	Low Certainty	Qualitative only
10	Das and Crowley (2018)	Cross sectional	622 urban households	В	Intermediate (extent to which study participants are willing to provide truthful answers)	Intermediate Certainty	52.7% of households reported using an individual toilet, and 39.8% reported practicing OD. 70% were willing to pay for sanitation if they had money available

TABLE 2 Quality assessment of studies included in this review of the effectiveness of the SBM in reducing OD practices in India.

	Authors	Study design	Sample size	Level ¹	Risk of bias ²	Overall certainty rating ³	Impact on OD in India
11	Exum et al. (2020)	Repeated cross sectional	20,485 households	В	Low (large sample)	High Certainty	Between October 2016 and July 2018 main OD practices in rural Rajasthan households decreased from 63.3% to 45.8%, and in urban households from 12.6% to 9.4%. Households with regular OD occurring despite access to a toilet made up 21.7% of rural and 12.1% of urban Rajasthan as of July 2018
12	Mavila and Francis (2019)	Cross sectional	321 participants	В	Intermediate (extent to which study participants are willing to provide truthful answers)	Intermediate Certainty	Among those who were aware of SBM, 66% reported that SBM had no impact on the overall sanitation of the community. The community overall already had good sanitation practices, and only one person reported practicing OD.
13	Rengaraj et al. (2021)	Case study	Village with 65 households	С	High (singular village, extent to which study participants are willing to provide truthful answers)	Low Certainty	OD is prevalent despite the majority of households owning toilets that were built in 2016, under Government of India's Swachh Bharat Mission crusade, that was helping rural communities to become ODF by assisting them in building their own sanitation system
14	Andres et al. (2020)	Randomized controlled trial	4,800 households	A	Low (large sample, extent to which study participants are willing to provide truthful answers)	High Certainty	Coverage of safely managed toilets among households without toilets increased by 6.8–10.4 percentage points across various intervention arms, compared with a control group. Open defecation was reduced by 7.3–7.8 percentage points
15	Namdev and Narkhede (2020)	Cross sectional	523 households from 5 villages (approximately 1,000 participants)	В	Intermediate (extent to which study participants are willing to provide truthful answers)	Intermediate Certainty	27.7% of study subjects opted for OD practices despite having a sanitary latrine at home. Out of those practicing OD, 76.5% opted due to their habits, 57% for comfort, followed by unawareness (36.4%) and lack of water (34%). The present study concluded the prevalence of open defecation at 27.7%
16	Kar and Mistri (2015)	Cross sectional	92 households from 7 villages	В	Intermediate (extent to which study participants are willing to provide truthful answers)	Intermediate Certainty	17% of households had a toilet, and 85% of households practiced OD. Among households with a toilet, 12% still practiced OD.
17	Patwa and Pandit (2018)	Formative research	384 households where toilet was not reported prior to SBM implementation	С	Intermediate (extent to which study participants are willing to provide truthful answers)	Low Certainty	The family survey was initiated in the village in November 2014 where we found that of total 962 households, 384 (39.91%) did not have toilet and were practicing open defecation. Thus, in Bahadarpur village,

TABLE 2 (Continued) Quality assessment of studies included in this review of the effectiveness of the SBM in reducing OD practices in India.

	Authors	Study design	Sample size	Level ¹	Risk of bias ²	Overall certainty rating ³	Impact on OD in India
							out of 962 households, only 81 (8.41%) households do not have toilets and practice open defecation
18	Vu et al. (2022)	Case study	41 adults	С	High (small sample of two villages, extent to which study participants are willing to provide truthful answers)	Low Certainty	The percentage of people defecating in the open decreased from 29% in 2015 to 15% in 2020, and the number of people using safely managed toilets rose from 36% in 2015 to 46% in 2020
19	Gupta et al. (2020)	Cross sectional	9,812 people (1,558 households from 120 villages)	В	Low (large sample size; extent to which study participants are willing to provide truthful answers)	High Certainty	Between 42% and 57% of rural people over 2 years of age defecate in the open. These findings contrast with government claims that open defecation has been entirely or largely eliminated. In the region as a whole, open defecation declined from approximately 70% of people over 2 years old in 2014, to approximately 44% of people over 2 years old in 2018
20	Coffey et al. (2020)	Formative research	Approximately 1,000–3,000 individuals from each of the 7 states	С	Intermediate (extent to which study participants are willing to provide truthful answers)	Low Certainty	Qualitative only
21	Jha and Sharma (2020)	Case study	5 slums	С	Intermediate (extent to which study participants are willing to provide truthful answers)	Low Certainty	Though government records show all households have a toilet, the survey revealed that toilet availability ranged from 33% to 100%, with an average of 92%
22	Spears and Coffey (2019)	Cross sectional	Analysis of National Family Health Survey (NFHS), which covers approximately 568,000 households	В	Low (large sample; nationally representative)	High Certainty	NFHS-4 underestimates individual-level OD and offers little evidence that the decline in OD in rural India has accelerated radically in recent years. Despite the high-profile efforts of the SBM, more than half of rural households report OD.

TABLE 2 (Continued) Quality assessment of studies included in this review of the effectiveness of the SBM in reducing OD practices in India.

¹Level A represents randomized controlled trials, Level B represents peer-reviewed research studies (cross-sectional studies, quasi-experimental studies, cohort studies), and Level C represents pilot studies or formative research.

²Low risk of bias indicates no limitations that could compromise study findings. Intermediate risk of bias includes studies with minor limitations that would not compromise study findings. A high risk of bias indicates studies with several limitations that may compromise study findings.

³Overall certainty ratings imply confidence that the true effect lies close to the estimated effect determined in the study. Studies at Level A were initially given a high certainty, studies at level B were rated intermediate, and studies at Level D were initially rated as low. Overall certainty was then moved either higher or lower based on risk of bias estimates.

which suggest that SBM was highly cost-beneficial when communities are free of OD.

Jain et al. (2020) surveyed rural households to explore perspectives on open defecation and latrine use, and the socioeconomic and political reasons for these perspectives in rural Bihar and found that residents perceive a development bias against rural areas that reinforces governmental distrust. While a subsidy can help some households construct latrines, Jain et al. (2020) found that the amount of the subsidy and the manner of its disbursement are key to its usefulness. Vu et al. (2022) identified structural barriers to sanitation access, including uncertain land rights, lack of space for a toilet, and inadequate water supply. Managed shared facilities could play an important role in helping eliminate OD while preventing adverse outcomes, though having a shared facility does not mean it is accessible. Participants noted having to travel far distances as a barrier to latrine use (Vu et al., 2022). A lack of public participation and poor maintenance led to poor toilet conditions which led to a lack of use by individuals (Biswas et al., 2020; Rengaraj et al., 2021). While studies showed modest



Frequency of Indian states/territories that are the study area of focus in articles included in this review. Tripura, Kerala, West Bengal, and Punjab appeared in one study each (4%); Odisha, Tamil Nadu, Karnataka, and Jharkhand appeared in two studies each (9%); Bihar, Madhya Pradesh, Rajasthan, and Maharashtra appeared in 3 studies each (14%); and Uttar Pradesh appeared in 4 studies (18%).

increases in rates of toilet coverage following SBM implementation, toilets were often not appropriately used or maintained. Biswas et al. (2020) showed that nearly all toilets in Mumbai were in poor condition.

Anuradha et al. (2017) found a lack of funds, a lack of interest in latrine construction, and a lack of knowledge about the potential for disease spread as barriers to latrine use. Continued OD may also be due to habit, personal comfort, spending most of the day at work away from home, or cultural beliefs that OD is a form of purity and strength, which is more common in rural areas (Patwa and Pandit, 2018; Namdev and Narkhede, 2020). However, Jain et al. refuted the prevalence of the notion that people open defecate by choice and instead stated that they found most participants vehemently opposed this notion and insisted that they do not wish to OD and instead OD out of necessity (2020).

3.2.5 Interventions for reducing OD following SBM implementation

Three studies conducted behavior-change interventions to boost latrine uptake following the SBM (Friedrich et al., 2020; Rengaraj et al., 2021; Caruso et al., 2022). Caruso et al. (2022) randomly assigned 66 latrine-owning villages to receive either no intervention (control group) or a behavioral intervention involving community meetings and activities, mothers' meetings, household visits, and latrine repairs. Friedrich et al. (2020) conducted qualitative interviews and village meetings to identify barriers to reducing OD, as well as used community health promoter visits and phone calls to encourage latrine use. This demonstrates that while the SBM did provide services, there were more opportunities unaddressed to further encourage latrine usage and additional interventions had to bridge the gap.

After conducting their community mobilization and behaviorchange campaign to increase latrine use over roughly 10 months, Caruso et al. (2022) found that latrine use increased from 60.4% at baseline to 80.5% at endline. Friedrich et al. (2020) found that, after 2 years of intervention, latrine use increased from 77% to 97%.

Caruso et al. (2022) suggested that time and cost constraints of the SBM prevented the intervention from addressing all known behavioral factors, notably water access and latrine design. For example, those with government-funded latrines are more likely to open defecate than those with privately constructed latrines due to the design features limited by cost such as smaller pit sizes. Both Caruso et al. (2022); Friedrich et al. (2020) suggested that behavioral campaigns to increase latrine uptake and use should target change-resistant individuals, and

behavioral interventions could complement future latrine use promotion in India.

Rengaraj et al. (2021) performed in-depth interactions with community members over 2 years to understand the deeper issues associated with continued OD and developed a water filtration and distribution system to address the root cause of the community's water and sanitation challenges. Rengaraj et al. (2021) determined that longer commitment using a bottom-up participatory and user-centered approach was key in bringing about a higher social impact in reducing OD at the community level.

4 Discussion

Our systematic review sought to identify studies assessing the impact of SBM on OD, evaluate the successes and shortcomings of SBM in reducing OD and the reasoning behind it, and provide recommendations for future interventions to reduce or eliminate OD in India. We found evidence that government claims of India's universal latrine coverage and ODF status were overrepresented, which may be due to the use of household-level data which assumes everyone in the household is using the latrine instead of individual-level data which considers that some members of the household may still be practicing open defecation in government monitoring efforts. There are many households in which some people use the latrine while others defecate in the open, which is especially true of government-provided latrines, which are more likely to be used by only some household members than privately constructed latrines (Spears and Coffey, 2019). We also found variation among studies in reported rates of OD and latrine coverage among Indian states, urban and rural locations, and socioeconomic levels. This suggests that a more robust monitoring system to assess OD at the individual level is needed to adequately assess ODF status.

Many studies found that OD remained prevalent despite SBM, with rates ranging from 15% in Jharkhand (Vu et al., 2022), 30%–40% in Tamil Nadu and Madhya Pradesh (Anuradha et al., 2017; Das and Crowley, 2018; Namdev and Narkhede, 2020), to 44% in a multi-state study (Gupta et al., 2020). Some studies reported no or very little OD, though these had smaller sample sizes and used self-reported data during face-to-face interviews, which may be more susceptible to response bias (Mavila and Francis, 2019; Datta et al., 2021).

Poverty and lack of financial support were identified as key barriers to latrine construction. Government subsidies can help some households construct latrines, dependent on the amount of the subsidy and the method of disbursement, though the current government subsidy scheme is viewed as poor and inadequate (Jain et al., 2020). The current government subsidy of 12,000 rupees (\$145 USD) per toilet limits the technologies available to each household, disallowing households from choosing better technology that best fits their local context (Kumar, 2017). The financial aspects of government efforts to reduce OD need to be improved to encourage households to finance latrine construction.

Other barriers to latrine access and use were identified as poor community involvement in SBM implementation, governmental mistrust, and a lack of knowledge about the risks associated with OD. This suggests that, in addition to providing clean, accessible, and affordable sanitation facilities, sanitation programming should also focus on involving communities in implementation to rebuild trust and encourage latrine use. Caruso et al. (2022); Friedrich et al. (2020) conducted community mobilization and behavior-change interventions in villages that received toilets from the SBM to boost latrine use. These interventions resulted in roughly 20 percentage point increases in latrine use, suggesting that targeted behaviorchange techniques may be effective in increasing latrine use among households that already have latrines.

Additionally, behavior-change campaigns among government officials may be key to promoting sanitation coverage. Curtis (2019); Bhanot et al. (2017) found that high-level political support for sanitation programming, ambitious SDGs and disruptive leadership changed environments in districts, which led to mindset changes in district officials and contributed to changed behavior in support of the SBM. District officials also reported becoming emotionally involved in the program and felt pride at their achievement in ridding villages of OD (Curtis, 2019). Setting targets and monitoring them is important to hold district leaders accountable for results (Curtis, 2019). Rewarding and recognizing progress can encourage government leaders to continue the promoting sanitation programming.

Hutton et al. (2020) conducted a cost-benefit analysis of the SBM based on cost-benefit model inputs of household-level surveys and found that the program was highly cost-beneficial when communities are free of OD. However, as several studies showed that government claims of improved toilet coverage or an increase in ODF villages were notably overreported, these benefits are likely overestimated.

Community incentives and penalties have been used to encourage proper sanitation practices with varying success. Monetary incentives can be used to encourage household latrine construction, and to repair and renovate nonfunctioning toilets (Jain et al., 2020; Caruso et al., 2022). Furthermore, latrine construction and proper sanitation practices were often accomplished through coercions. Villagers reported guards with sticks being posted to chase people away from common open defecation sites, and local officials often threatened people who did not build latrines (Gupta et al., 2020). It is unknown whether the gains accomplished through coercion will be sustainable.

Overall, preference for OD cannot be solely attributable to material or educational deprivation, as beliefs, values, and cultures also play an important role in people's decisions to reject affordable latrines (Coffey et al., 2016). Many recommendations surrounding drivers of latrine construction focus on the household's enabling environment, though sanitation research should emphasize the need to look beyond these household-level drivers to understand social-structural determinants of latrine uptake (Jain et al., 2020). For example, when examining women's preferences for latrines, a lack of water creates a hesitancy to build; women hesitate to build individual household latrines when sufficient water supply is unavailable because they are the ones who will be burdened with fetching more water from far taps (Mohan, 2017).

While water availability does affect the choice for open defecation, water availability is not the sole factor in determining OD practices, as OD still occurs in households that have access to water (Spears and Coffey, 2019). In one study, 34% of subjects who practiced OD listed lack of water as a reason (Kar and Mistri, 2015). In addition to improving infrastructure, providing educational, community-based services in conjunction with sanitation programming is necessary to encourage households to reduce OD as a sustainable, affordable, and culturally appropriate solution.

In order to meet SDG 6.2 — to achieve access to adequate and equitable sanitation and hygiene for all and end open defecation by 2030 — the United Nations suggests using the proportion of the population using safely managed sanitation services and a handwashing facility with soap and water as indicators. SDG 6.3.1 also refers to increasing the proportion of domestic and industrial wastewater flows safely treated as an indicator of improving safely managed sanitation (United Nations, 2022). However, as indicated by the JMP, measures of waste containment, storage, and onsite treatment vary widely among countries and among data collection methods (WHO and UNICEF, 2021). For example, in Canada, surveys to assess onsite treatment use "No problems last time pumped, maintained, or inspected" as a measure of containment, while in Nigeria, containment is measured in terms of "No leaks or overflow" (WHO and UNICEF, 2021). For more accurate comparisons among countries, standardized definitions of waste containment, in addition to standard inspection techniques, are needed.

While this systematic review provided a comprehensive overview of the existing peer-reviewed literature on the effectiveness of the SBM in reducing OD and barriers to continued reductions in OD practices, a few limitations were noted. This review was limited by the literature databases to which we had access: PubMed, Scopus, and the EBSCO Global Health database. Searches of other databases, including those containing grey literature and government reports, could have provided a different perspective on OD practices in India. Included studies were also only written in English, and the article search did not include any Hindi journals or publications that could have further informed this systematic review. Additionally, only 13 of the 36 Indian states and territories were represented in the studies in this review. Future work should assess all Indian states and territories to understand the true impact of the SBM on OD practices.

5 Conclusion

While the SBM has improved access to latrines, this systematic review shows that India is yet to be completely ODF. Government reports overestimate the SBM's progress in eliminating OD, and there are substantive gaps in the literature that are not inclusive of all states in India. The studies included in this review show a lack of sanitation planning, adequate financial support, and awareness as major barriers to latrine use and eliminating OD.

Poor community involvement in SBM implementation, governmental mistrust, and a lack of knowledge about the risks associated with OD were additional barriers associated with higher rates of OD. This suggests that, in addition to providing clean, accessible, and affordable sanitation facilities, sanitation programming should also focus on involving communities in implementation to rebuild trust and encourage latrine use. A lack of public participation and poor maintenance led to poor toilet conditions, which in turn led to a lack of use by individuals. While studies showed modest increases in rates of toilet coverage following SBM implementation, toilets were often not appropriately used or maintained.

Behavior-change interventions among communities and government officials may be key to promoting both latrine coverage and use in India. High-level political support for sanitation programming, ambitious SDGs and disruptive leadership changed environments in districts, which led to mindset changes in district officials and contributed to changed behavior in support of the SBM. Setting targets and monitoring them is important to hold district leaders accountable for results. However, for more accurate comparisons among countries to achieve the SDGs, standardized definitions of waste containment, in addition to standard inspection techniques, are needed.

There is a need for research that looks beyond household-level drivers to understand the social-structural determinants of latrine uptake and long-term successful engagement with communities. Overarching strategies and approaches that have worked well across studies include high involvement of district leadership, pivotal role played by local government members and community motivators, innovative promotional methods and local campaigns, and the use of community incentives. In addition to improving infrastructure, providing educational, community-based services in conjunction with sanitation programming is necessary to encourage households to reduce OD as a sustainable, affordable, and culturally appropriate solution.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

Author contributions

AV: Conceptualization; Methodology; Formal Analysis; Investigation; Writing-original draft preparation; Visualization. LS: Conceptualization; Methodology; Formal Analysis; Investigation; Writing-original draft preparation; Visualization. RB: Formal Analysis; Investigation; Writing-Review and Editing; Visualization. SL: Formal Analysis; Investigation; Resources; Writing-Review and Editing; Supervision. MM: Conceptualization; Methodology; Formal Analysis; Investigation; Resources; Writing-Review and Editing; Visualization and Supervision. All authors read and approved the final manuscript.

Funding

This work was supported and funded by the Water Institute at the University of North Carolina.

Acknowledgments

We are grateful to Carrie Baldwin-SoRelle at the Health Sciences Library at the University of North Carolina, Chapel Hill, for assistance in selecting search terms and electronic databases and in finding the full text of articles.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

References

Ackley, B. J., Swan, B. A., Ladwig, G., and Tucker, S. (2008). Evidence-based nursing care guidelines: Medical-surgical interventions. St. Louis, MO: Mosby Elsevier.

Alexander, K., Allton, C., Felsman, C., Hahn, M., Okegbe, T., Palmer, D., et al. (2016). Ending open defecation in India: Insights on implementation and behavior change for Swachh Bharat Abhiyan. New Jersey: Princeton University.

Andres, L. A., Deb, S., Joseph, G., Larenas, M. I., and Grabinsky Zabludovsky, J. (2020). A multiple-arm, cluster-randomized impact evaluation of the Clean India (Swachh Bharat) Mission program in rural Punjab. India: World Bank Policy Research Working Paper.

Anthonj, C., Setty, K. E., Ezbakhe, F., Manga, M., and Hoeser, C. (2020). A systematic review of water, sanitation and hygiene among Roma communities in Europe: Situation analysis, cultural context, and obstacles to improvement. *Int. J. Hyg. Environ. Health* 226, 113506. doi:10.1016/j.ijheh.2020.113506

Anuradha, R., Dutta, R., Raja, J. D., Lawrence, D., Timsi, J., and Sivaprakasam, P. (2017). Role of community in Swachh Bharat Mission. Their knowledge, attitude and practices of sanitary latrine usage in rural areas, Tamil Nadu. *Indian J. community Med. Official Publ. Indian Assoc. Prev. Soc. Med.* 42 (2), 107. doi:10.4103/0970-0218.205213

Beardsley, R., Cronk, R., Tracy, W., Fleming, L., Ng'ambi, M., Tidwell, J. B., et al. (2021). Factors associated with safe child feces disposal in Ethiopia, India, and Zambia. *Int. J. Hyg. Environ. health* 237, 113832. doi:10.1016/j.ijheh.2021.113832

Bhanot, A., Agarwal, V., Awasthi, A., and Sharma, A. (2017). "Making India opendefecation-free: Lessons from the swachh bharat mission-gramin process evaluation," in *Social marketing* (UK: Routledge).

Biswas, R., Arya, K., and Deshpande, S. (2020). More toilet infrastructures do not nullify open defecation: A perspective from squatter settlements in megacity Mumbai. *Appl. Water Sci.* 10 (4), 96–99. doi:10.1007/s13201-020-1169-4

Brownson, R. C., Fielding, J. E., and Maylahn, C. M. (2009). Evidence-based public health: A fundamental concept for public health practice. *Annu. Rev. Public Health* 30, 175–201. doi:10.1146/annurev.publhealth.031308.100134

Cairncross, S., Hunt, C., Boisson, S., Bostoen, K., Curtis, V., Fung, I. C., et al. (2010). Water, sanitation and hygiene for the prevention of diarrhoea. *Int. J. Epidemiol.* 39, i193-i205. doi:10.1093/ije/dyq035

Capone, D., Barker, T., Cumming, O., Flemister, A., Geason, R., Kim, E., et al. (2022). Persistent ascaris transmission is possible in urban areas even where sanitation coverage is high. *Environ. Sci. Technol.* 56 (22), 15969–15980. doi:10.1021/acs.est.2c04667

Caruso, B. A., Sclar, G. D., Routray, P., Nagel, C., Majorin, F., Sola, S., et al. (2022). Effect of a low-cost, behaviour-change intervention on latrine use and safe disposal of child faeces in rural Odisha, India: A cluster-randomised controlled trial. *Lancet Public Health* 6, e110–e121. doi:10.1016/s2542-5196(21)00324-7

Coffey, D., Gupta, A., Hathi, P., Spears, D., Srivastav, N., and Vyas, S. (2016). "Understanding open defecation in rural India: Untouchability, pollution, and latrine pits,". International Growth Center (IGC), reference no. F-35114-INC-2.

Coffey, D., Spears, D., and Hathi, P. (2020). Assessing high-profile public messaging for sanitation behaviour change: Evidence from a mobile phone survey in India. *Waterlines* 39 (4), 240–252. doi:10.3362/1756-3488.19-00011

Conaway, K., Lebu, S., Heilferty, K., Salzberg, A., and Manga, M. (2023). On-site sanitation system emptying practices and influential factors in asian low- and middle-income countries: A systematic review. *Hyg. Environ. Health Adv.* 6, 100050. doi:10. 1016/j.heha.2023.100050

Curtis, V. (2019). Explaining the outcomes of the 'clean India' campaign: Institutional behaviour and sanitation transformation in India. *BMJ Glob. health* 4 (5), e001892. doi:10.1136/bmjgh-2019-001892

Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenvs.2023.1141825/ full#supplementary-material

Das, P., and Crowley, J. (2018). Sanitation for all: A panglossian perspective? J. Water, Sanitation Hyg. Dev. 8 (4), 718–729. doi:10.2166/washdev.2018.011

Datta, A., Somani, A., Karmakar, N., and Nag, K. (2021). A study on knowledge and practices regarding swachh bharat mission among urban population of Agartala city, North East India. *Med. J. Dr. DY Patil Vidyapeeth* 14 (5), 529. doi:10.4103/mjdrdypu.mjdrdypu_65_20

Exum, N. G., Gorin, E. M., Sadhu, G., Khanna, A., and Schwab, K. J. (2020). Evaluating the declarations of open defecation free status under the swachh bharat ('Clean India') mission: Repeated cross-sectional surveys in Rajasthan, India. *BMJ Glob. Health* 5 (3), e002277. doi:10.1136/bmjgh-2019-002277

Friedrich, M., Balasundaram, T., Muralidharan, A., Raman, V. R., and Mosler, H. J. (2020). Increasing latrine use in rural Karnataka, India using the risks, attitudes, norms, abilities, and self-regulation approach: A cluster-randomized controlled trial. *Sci. Total Environ.* 707, 135366. doi:10.1016/j.scitotenv.2019.135366

Government of India (2018). Guidelines for swachh bharat mission. https://jalshaktiddws.gov.in/sites/default/files/SBM(G)_Guidelines.pdf.

Government of India (2022). Swachh bharat mission: About SBM. $\rm https://swachhbharatmission.gov.in/sbmcms/index.htm.$

Gupta, A., Khalid, N., Deshpande, D., Hathi, P., Kapur, A., Srivastav, N., et al. (2020). Revisiting open defecation evidence from a panel survey in rural North India, 2014–18. *Econ. Political Wkly.* 55 (21), 55–63.

Higgins, J. P. T., Savović, J., Page, M. J., Elbers, R. G., and Sterne, J. A. C. (2022). "Chapter 8: Assessing risk of bias in a randomized trial," in *Cochrane handbook for* systematic reviews of interventions version 6.3 (Canada: Cochrane).

Hutton, G., Patil, S., Kumar, A., Osbert, N., and Odhiambo, F. (2020). Comparison of the costs and benefits of the clean India mission. *World Dev.* 134, 105052. doi:10.1016/j. worlddev.2020.105052

International Institute for Population Sciences (IIPS) and ICF (2017). National family health survey (NFHS-4), 2015-16: India. https://dhsprogram.com/pubs/pdf/FR339/FR339.pdf.

International Institute for Population Sciences (IIPS) and ICF (2021). National family health survey (NFHS-5), 2019-21: India. http://rchiips.org/nfhs/NFHS-5Reports/NFHS-5_INDIA_REPORT.pdf.

Jain, A., Wagner, A., Snell-Rood, C., and Ray, I. (2020). Understanding open defecation in the age of Swachh Bharat Abhiyan: Agency, accountability, and anger in rural Bihar. *Int. J. Environ. Res. public health* 17 (4), 1384. doi:10.3390/ijerph17041384

Jha, P. K., and Sharma, V. (2020). "On ground study of the ODF status of ghaziabad district, UP," in Solid waste policies and strategies: Issues, challenges and case studies (Singapore: Springer).

Kar, S., and Mistri, B. (2015). Practice of open defecation and its effect on human health in simlapal block, bankura, West Bengal. J. Interacademia 19 (3), 378–390.

Manga, M., Kolsky, P., Rosenboom, J. W., Ramalingam, S., Sriramajayam, L., Bartram, J., et al. (2022). Public health performance of sanitation technologies in Tamil Nadu, India: Initial perspectives based on *E. coli* release. *Int. J. Hyg. Environ. Health* 243, 113987. doi:10.1016/j.ijheh.2022.113987

Manga, M., Muoghalu, C. C., and Acheng, P. O. (2023). Inactivation of faecal pathogens during faecal sludge composting: a systematic review. *Environ. Technol. Rev.* 12 (1), 150–174. doi:10.1080/21622515.2023.2182719

Manga, M. (2017). The feasibility of Co-omposting as an upscale treatment method for faecal sludge in urban Africa. Leeds, United Kingdom: School of Civil Engineering, University of Leeds. Available at: http://etheses.whiterose.ac.uk/16997/.

Mavila, A. D., and Francis, P. T. (2019). Impact of swachh bharat abhiyan on residents of cochin corporation. *Indian J. Community Med. Official Publ. Indian Assoc. Prev. Soc. Med.* 44, S19. doi:10.4103/ijcm.ijcm_24_19

Mohan, R. (2017). Swachh bharat mission (gramin): Bottlenecks and remedies. *Econ. political Wkly.* 52 (20), 1–5.

Muoghalu, C. C., Semiyaga, S., and Manga, M. (2023). Faecal sludge emptying in subsaharan Africa, south and southeast Asia: A systematic review of emptying technology choices, challenges, and improvement initiatives. *Front. Environ. Sci.* 11, 158. doi:10. 3389/fenvs.2023.1097716

Namdev, G., and Narkhede, V. (2020). Reasons of open defecation behavior in rural households of bhopal, Madhya Pradesh, India. *Natl. J. Community Med.* 11 (03), 103–106. doi:10.5455/njcm.20200116054019

Narayan, A. S., Maurer, M., and Lüthi, C. (2021). The clean plan: Analysing sanitation planning in India using the CWIS planning framework. *J. Water, Sanitation Hyg. Dev.* 11 (6), 1036–1047. doi:10.2166/washdev.2021.130

Njuguna, J. (2016). Effect of eliminating open defecation on diarrhoeal morbidity: An ecological study of nyando and nambale sub-counties, Kenya. *BMC public health* 15, 712. doi:10.1186/s12889-016-3421-2

Patwa, J., and Pandit, N. (2018). Open defecation-free India by 2019: How villages are progressing? *Indian J. community Med. official Publ. Indian Assoc. Prev. Soc. Med.* 43 (3), 246–247. doi:10.4103/ijcm.IJCM_83_18

Rengaraj, V., Simmon, A., Vikash, S., Zel, R., Adhikari, H., Sherpa, P., et al. (2021). "Addressing sanitation and health challenges in rural India through socio-technological interventions: A case study in Odisha," in 2021 IEEE 9th Region 10 Humanitarian Technology Conference (R10-HTC), Bangalore, 30 September 2021.

Saleem, M., Burdett, T., and Heaslip, V. (2019). Health and social impacts of open defecation on women: A systematic review. *BMC public health* 19 (1), 158. doi:10.1186/s12889-019-6423-z

Spears, D., and Coffey, D. (2019). Open defecation in rural India, 2015–16: Levels and trends in NFHS-4. *Econ. Political Wkly.* 53 (9).

Sprouse, L., Liles, A., Cronk, R., Bauza, V., Tidwell, J. B., and Manga, M. (2022). Interventions to address unsafe child feces disposal practices in the asia-pacific region: A systematic review. *H2Open J.* 5 (4), 583–602. doi:10.2166/h20j.2022.137

Sterne, J. A., Hernán, M. A., Reeves, B. C., Savović, J., Berkman, N. D., Viswanathan, M., et al. (2016). ROBINS-I: A tool for assessing risk of bias in non-randomised studies of interventions. *BMJ Clin. Res. ed.*) 355, i4919. doi:10.1136/bmj.i4919

United Nations (UN) (2022). Sdg 6: Ensure availability and sustainable management of water and sanitation for all. New York: United Nations.

Vu, S., Jain, A., Harrison, C., Ghimire, P., and Graham, J. P. (2022). Someone should be there to take care of it?: A case study of users' views of managed shared sanitation facilities in Jharkhand, India. *Am. J. Trop. Med. Hyg.* 106 (4), 1135–1140. doi:10.4269/ ajtmh.21-0654

Vyas, S., Srivastav, N., Mary, D., Goel, N., Srinivasan, S., Tannirkulam, A., et al. (2019). Measuring open defecation in India using survey questions: Evidence from a randomised survey experiment. *BMJ open* 9 (9), e030152. doi:10.1136/bmjopen-2019-030152

WHO and UNICEF (2021). Progress on household drinking water, sanitation, and hygiene: 2000-2020, five years into the SDGs. Switzerland: WHO and UNICEF Joint Monitoring Programme.

Yogananth, N., and Bhatnagar, T. (2018). Prevalence of open defecation among households with toilets and associated factors in rural south India: An analytical cross-sectional study. *Trans. R. Soc. Trop. Med. Hyg.* 112 (7), 349–360. doi:10.1093/trstmh/try064