

1 **Spirometry quality predictors in a large multistate prospective study**

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7 ATS/ERS: American Thoracic Society/European Respiratory Society

8 CI: Confidence interval

9 DWH: Deepwater Horizon

10 FEV1: Forced expiratory volume in one second

11 FVC: Forced vital capacity

12 GuLF STUDY: Gulf Long-Term Follow-up Study

13 mL: milliliter

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15 **Running head:** Predictors of spirometry quality

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41 **ABSTRACT**

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43 **Background:** The Gulf Long-Term Follow-up (GuLF) Study is a prospective cohort study of

44 health effects associated with oil spill response and clean-up following the 2010 *Deepwater*

45 *Horizon* Disaster (*DWH*). As part of the study, spirometry testing of lung function was carried

46 out in home visits across multiple states. Few studies have described factors associated with

47 spirometry test failure in field-based settings. **Objective:** Our objective was to identify what

48 factors, if any, predicted test failure among GuLF Study participants who completed spirometry

49 testing in a non-traditional setting. **Methods:** Trained examiners administered spirometry (May

50 2011 – May 2013) to 10,019 participants living in US Gulf States (LA, MX, TX, AL, FL) using

51 an Easy-on ultrasonic spirometer. We applied American Thoracic Society/European Respiratory

52 Society quality criteria to determine quality test failure and identified factors predictive of failure

53 using both a Stepwise and a LASSO model. We calculated odds ratios and 95% confidence

54 intervals (CIs) for associations of selected factors with test failure. **Results:** Among GuLF Study

55 participants who conducted spirometry Black participants (OR: 1.39, 95% CI: 1.23,1.56); males

56 (OR:1.61, 95% CI: 1.41,1.83); and those making less than \$20,000 per year (OR: 1.45, 95% CI:

57 1.26,1.69) were more likely to fail quality testing, while those who were obese were less likely to

58 fail (OR: 0.61, 95% CI: 0.42,0.89). **Conclusion:** Field-based studies involving spirometry should

59 identify and account for participant factors that may influence test failure. Coaching that is

60 tailored to those less likely to have experience with spirometry may help reduce test failure rates.

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66 **INTRODUCTION**

67 In 2011, National Institute of Environmental Health Sciences investigators launched the
68 Gulf Long-Term Follow-up (GuLF) Study, a large prospective cohort study aimed at evaluating
69 both short- and long-term health effects following the 2010 *Deepwater Horizon* Disaster (*DWH*)
70 [1, 2]. Primary environmental hazards released into the environment included crude oil, chemical
71 dispersants [3], and combustion by-products from burning crude oil and flaring natural gas[4].
72 Based on previous toxicologic knowledge of chemical hazards and related target organs, as well
73 as previous epidemiologic findings [5], investigators considered respiratory health a primary
74 health endpoint of interest for the study. To evaluate lung function in this cohort, trained
75 examiners administered spirometry testing during an in-home exam carried out among eligible
76 participants living in the five Gulf states of Alabama (AL), Mississippi (MI), Florida (FL),
77 Louisiana (LA), and Texas (TX). Spirometry, a type of pulmonary function test that measures
78 lung capacity and airflow [6], is an objective measure of respiratory health widely used in
79 clinical settings. In research settings, spirometry is an attractive choice given that tests are
80 inexpensive and non-invasive [6]. Quality control of spirometry ensures that the lung function
81 parameters generated are reliable and therefore valid for accurate comparison between
82 individuals in a given study. Quality control efforts were considered especially important in the
83 GuLF Study given its home rather than clinic setting.

84 Spirometry quality depends on three factors: 1) accurate and precise instrumentation; 2)
85 effective test administration (coaching) by the examiner/technician; and 3) a concerted effort on
86 the part of the participant [6]. Of these factors (device, examiner, and participant), the examiner
87 has the greatest influence on test quality through effective coaching on maximal inhalation, and
88 forceful and complete exhalation [7]. Additionally, there are three key aspects of coaching which
89 include full inhalation, forceful exhalation, and complete exhalation, with incomplete inhalation

90 as the most common reason for low quality tests [8]. However, many GuLF Study participants
91 were drawn from a community that faces social and economic barriers that may additionally
92 threaten their respiratory health and nearly half (49.4%) of study participants report low
93 utilization to medical care. These traits are common in other large post-disaster cohorts that have
94 also measured spirometry (such as the World Trade Center) and may pose risk of selection bias
95 for those excluded due to test failure. For example, lack of familiarity with protocols and
96 subsequent potential discomfort with testing administrators may reduce the proportion of persons
97 who achieve passing quality tests.

98 To reduce misclassification of spirometry results, testing sessions are typically evaluated
99 for quality against a set of standard criteria for technical acceptability and reproducibility
100 established by the American Thoracic Society and European Respiratory Society (ATS/ERS) [9,
101 10]. These standards were set up such that 90% of experienced examiners could pass them [11].
102 Multiple studies have shown that high quality spirometry can be achieved in research and clinical
103 practice through comprehensive quality control programs that include technician training, quality
104 scoring of testing, and technician feedback and retraining [8, 12].

105 Still, several studies have found that certain participant factors increase the likelihood of
106 spirometry test failure while others have experienced near perfect quality scores. A study of
107 older adults found that spirometry failure was associated with lower cognitive function among
108 adults[13] while another study among men in Norway found spirometry failures on both
109 acceptability and reproducibility criteria were more prevalent in never smokers, single men, and
110 those with respiratory symptoms[14]. These studies were carried out in a clinical setting whereas
111 the GuLF Study testing was carried out in the homes of participants. Thus, the objective of our
112 study was to identify what factors, if any, predict spirometry test failure among GuLF Study
113 participants who conducted spirometry testing in a non-traditional setting.

114 **METHODS**

115 *Study Design*

116 Participants were initially enrolled via telephone interview between March 2011-March
117 2013 (N=32,608). **Figure 1** shows a map of participant residences at enrollment. Of those
118 enrolled, 11,193 English- or Spanish- speaking participants living in the five US Gulf states
119 (Texas, Louisiana, Mississippi, Alabama, Florida) completed a home visit between May 2011-
120 May 2013. During the home visit, trained examiners (N=49) administered a more detailed health
121 questionnaire, collected biologic samples and anthropometric measures, and administered
122 pulmonary function tests [2]. All home visit participants provided verbal consent at the
123 enrollment telephone interview and written informed consent for all activities conducted during
124 the home visit. This study received approval from the National Institute of Environmental Health
125 Sciences Institutional Review Board.

126 *Spirometry*

127 Trained examiners coached the participants on using an ultrasonic spirometer (Easy-On
128 spirometer; ndd Medical Technologies; Andover MA). This spirometer has built-in quality
129 control software that provides real-time feedback to the examiner about acceptability and
130 reproducibility of the test session. Examiners received periodic feedback about their quality
131 scores and, if necessary, booster training to improve their performance. The spirometry measures
132 of interest were the forced vital capacity (FVC), and the forced expiratory volume in one second
133 (FEV₁) and the FEV₁/FVC ratio, derived from these maneuvers [12, 15, 16]. The forced vital
134 capacity is the total volume of air that can be exhaled during a maximal forced expiration effort
135 whereas the FEV₁ is the amount of air you can force from your lungs in one second.

136 Among home visit participants (n=11,193), some did not complete a spirometry test due
137 to refusal (n=110), early visit termination (n=75), or a technical problem (n=74) and others were

138 not eligible for spirometry due to an American Thoracic Society or study specific medical
139 exclusion criteria (n=716). For a small number, the reason for missing spirometry test data was
140 not recorded (n=178). A total of 10,040 participants were included. Participants performed pre-
141 bronchodilator spirometry tests in their own home, seated, with a nose clip. Following 2005
142 ATS/ERS guidelines [6], participants conducted the forced vital capacity maneuver until either
143 achieving three acceptable maneuvers or completing 8 maneuvers overall. Spirometry tests were
144 considered ‘acceptable’ if they were free from artifacts (i.e. cough during the first second of
145 exhalation, glottis closure that affected the measurement, early termination or cut-off, less than
146 maximal effort during the test, leak, or obstructed mouthpiece); had good starts (extrapolated
147 volume <5% of FVC or 0.15L, whichever was greater); and had satisfactory exhalation (duration
148 of ≥ 6 seconds or a 1 second plateau in the volume-time curve, or if the subject could not, or
149 should not continue to exhale).

150 10,019 spirometry test results were overread by a spirometry expert and assigned a final
151 quality score. Specifically, quality scores (A, B, C, D, or F;) were assigned to each FEV₁ and
152 FVC curve based on the 2005 ATS/ERS (**Table 1**). Of the 10,019 participants who took a
153 spirometry test at the home visit, 75% received a passing quality score, defined as A, B, or C,
154 while 25% received a failing quality score defined as D or F, following the standardized
155 ATS/ERS criteria for both FEV₁ and FVC. The analytic sample included 8,466 participants with
156 full predictor information. The distributions of raw spirometry measurements and percent
157 predicted values as calculated by Quanjer et al.[17] for those who failed quality standards and
158 those who passed are shown in **Table 2a and 2b**, respectively.

159 *Participant characteristics*

160 Participant characteristics were self-reported at the enrollment interview and included:
161 age, gender (female, male), self-reported race (African American/Black, white, other), Hispanic

162 ethnicity (Hispanic, non-Hispanic), annual household income (<\$20,000, \$20,000-\$50,000,
163 >\$50,000), educational attainment (less than High School/Equivalent, High School
164 Diploma/GED, Some College/2 year degree, 4 year college graduate or more), pre-spill lung
165 disease (asthma, bronchitis, or emphysema; yes or no), smoking status (never, former, light
166 current (≤ 20 cigarettes per day), heavy (> 20 cigarettes per day) current), always/usually worried
167 about future health (yes, no), always/usually worried about paying rent or buying food (yes, no),
168 previous oil industry experience (yes, no), previous oil spill cleanup experience (yes, no),
169 wheeze (yes, no), tightness in chest (yes, no), and shortness of breath (yes, no). At the home
170 visit, to reduce technical errors of measurement, trained examiners measured height and weight
171 in triplicate, and the average of these measures was used to calculate BMI (kg/m^2 ; < 18.5
172 (underweight), 18.5-24.9 (normal weight), 25-29.9 (overweight), ≥ 30 (obese)).

173 *Oil spill response and cleanup work*

174 A structured interview at enrollment was used to collect information on jobs/tasks performed as
175 a *DWH* response worker including information on exposure to burning crude oil and natural gas.
176 A detailed description of oil spill response and cleanup work exposures can be found elsewhere
177 [18]. Those who worked at least one day on any job or task related to the oil spill were classified
178 as workers and all others were classified as non-workers. For those classified as workers,
179 industrial hygienists grouped jobs and tasks, based on an approximate intensity of exposure
180 reported as level of total hydrocarbons, into one of six broad hierarchical job classes: response
181 (highest exposure), operations, clean-up on water, decontamination, clean-up on land, and
182 support work (lowest exposure). Using exposure measurements taken during the oil spill
183 response, daily arithmetic means in parts per million (ppm) of estimated THC exposure were
184 developed for all possible combinations of jobs, tasks, locations, and time periods worked. These
185 estimates were linked to participant-reported oil spill work histories using a job-exposure matrix.

186 We used the maximum daily exposure across all days worked to define an ordinal THC exposure
187 level scale using a pseudo-log scale based on the empirical range of job/task specific-exposures
188 as follows: 1 (≤ 0.29 ppm); 2 (0.30-0.99 ppm); 3 (1.00-2.99 ppm), 4 (≥ 3.00 ppm).

189 Potential exposure to burning oil/gas was assessed from self-reported responses to questions on
190 the task of *in situ* burning and working near the wellhead where controlled oil burning/gas
191 flaring occurred (based on proximity to the well-head and/or name of the vessel they worked on).
192 Workers were classified into high, medium, low, and no potential exposure to burning oil/gas.

193 *Examiner characteristics*

194 Examiner characteristics that we analyzed included gender (female/male), examiner-
195 participant gender match (female/female, male/male, female/male, male/female) and the
196 examiner's state of hire (LA, MI, FL, TX, AL). This sometimes differed from that of the
197 participant's residential location as examiners were asked to travel to home visits proximal to
198 their own location of service including traveling across state lines if necessary. We also
199 attempted to analyze examiner credentials but there was little variability as most technicians had
200 a similar certification. Unfortunately, we did not have information on the examiner's years of
201 experience in the field or the examiner's race, although we hypothesized that these
202 characteristics might influence test quality.

203 *Statistical Analysis*

204 We first determined the proportion of participants who failed spirometry quality criteria
205 (score of failing= "D" or "F"). We selected a group of participant and examiner variables *a*
206 *priori* based on factors known to potentially influence spirometry. We used two methods for
207 exploring quality predictors: stepwise selection, and Least Absolute Shrinkage and Selection
208 Operator (LASSO) regression as described by John et al. to select predictors of spirometry
209 quality in our sample using the GLMSELECT procedure in SAS (version 9.4) [19]. This method

210 included the use of Schwarz Bayesian Criterion for variable selection [20, 21]. Finally, we used
211 multivariable logistic regression to calculate adjusted odds ratios and 95% confidence intervals
212 (CIs) for each selected predictor to assess the directionality and magnitude of the relationship
213 between predictor and spirometry test failure. Each model was mutually adjusted for all other
214 variables selected.

215 RESULTS

216 Participant and examiner characteristics are shown for those failing or meeting quality
217 metrics in **Table 3**. We observed some qualitative proportional differences between those who
218 passed and those who failed spirometry testing. Those who failed were younger (25% vs 18%
219 <30 years old), more likely to be male (83% vs 76%), to report African American/Black race
220 (42% vs 32%), and have an annual household income <\$20,000 per year (46% vs 38%).

221 The stepwise model selected as predictive of quality test failure participant gender, self-
222 reported race, income, and BMI but not spill response and cleanup work exposures of worker
223 status, estimated exposure to total hydrocarbons, or burning oil/flaring natural gas. **Table 4**
224 **shows** the results of the logistic regression-estimated adjusted odds ratios and 95% CIs
225 associated with each STEPWISE-selected variable. Compared to women, men were at higher
226 odds of failing the spirometry test (OR:1.61, 95% CI: 1.41,1.83). Compared to white
227 participants, African American/Black participants were also more likely to fail spirometry
228 (OR:1.39, 95% CI: 1.23,1.56). Compared to participants with a normal weight (BMI=18.5-24.9),
229 those who were overweight (BMI=25-29.9) were less likely to fail spirometry testing (OR: 0.58,
230 95% CI: 0.40,0.85) as were those who were obese (BMI \geq 30) (OR: 0.61, 95% CI: 0.42, 0.89).
231 Low SES indicated by lower income placed participants at higher risk of failed spirometry
232 testing. No other participant characteristics were shown to predict spirometry. In the LASSO
233 analysis, self-reported race was the only predictor of spirometry test failure.

234 **DISCUSSION**

235 This study sought to describe pass/failure rates of spirometry testing administered in the
236 field at home visits across a large geographic area and to identify any predictors associated with
237 spirometry testing quality. Of the 10,019 GuLF Study participants who conducted spirometry
238 testing, 75% met passing acceptability and reproducibility criteria. Participant characteristics that
239 predicted spirometry failure in our analysis included gender, self-reported race, income, and
240 BMI. We used stepwise selection, and LASSO to compare results, and found that self-reported
241 race was identified as a predictor, regardless of which selection method was used even after
242 including socioeconomic factors associated with self-reported race. Given the long history of
243 inappropriate correction for self-reported race in pulmonary function measurements[22] we want
244 to make clear that we adjust for self-reported race here as an indicator of social factors (not
245 genetic factors) such as socioeconomic status and/or systemic racism. Thus, with self-reported
246 race as a primary indicator of spirometry test failure, we hypothesis that this is attributable to
247 residual confounding captured by self-reported race that is not captured by our other
248 socioeconomic status indicators of income or education.

249 Spirometry is known to be highly influenced by participant effort, participant-examiner
250 cooperation, and examiner proficiency as a spirometry “coach” [6, 23]. Standardized criteria are
251 common solutions to identify and help mitigate the impact of this unwanted variability[24].
252 However, there is little knowledge about the degree to which this evaluation approach can help
253 to minimize undesirable influence in a cohort such as the GuLF Study, with its large
254 geographical range, need for multiple technicians, and location in a region with low
255 access/experience with healthcare. Participants who lacked regular access to health care are
256 likely to have had less familiarity with medical tests performed.

257 Unlike prior studies, GuLF Study investigators faced unique challenges in obtaining
258 spirometry including the large geographic study area (the entire US Gulf region), which hindered
259 the ability to provide regular in-person oversight of agents in subjects' homes, as might be done
260 in a clinic, the lack of a controlled testing environment, and the accelerated time frame given the
261 nature of the disaster (making it harder for investigators to pick and retain only the very best
262 examiners). Investigators also had to prioritize examiners who had a range of skills (e.g.
263 phlebotomy), given the diverse tasks required by these personnel during the home visit exam.
264 GuLF Study investigators monitored examiner performance, and did supplemental trainings as
265 needed, but this was rarely done in person (due to the large geographic area the study staff were
266 deployed to), and so routine in-person oversight of a home visit examiner administering tests in
267 the home setting was rare. However, participants did have in-person training sessions and
268 refresher trainings as needed throughout the study period.

269 Our study findings agree with some prior research suggesting that being male and having a
270 lower socioeconomic status are associated with lower quality spirometry tests [8, 25]. Other
271 studies, such as those following the *World Trade Center* disaster, did not identify participant or
272 examiner factors that influenced spirometry failure [9], potentially because spirometry in those
273 studies was conducted in a central clinic. However, findings from clinic-based studies of cohorts
274 with lung disease or risk factors for lung disease have concluded that a rigorous quality control
275 program can overcome negative influences of participant spirometry factors including participant
276 age [25]. One exception was a field based study of children and adolescents that found no
277 participant factors associated with spirometry quality [26].

278 Previous research suggests that failure to perform reproducible spirometry may itself be an
279 indicator of respiratory ill health [27]. If there is a causal relationship between an exposure of
280 interest and respiratory health, spirometry quality is an important point of consideration for

281 collider stratification bias. Though we could not directly test this hypothesis using measured
282 spirometry (because those who failed could not have their lung function reliably measured) we
283 did not find evidence showing that respiratory symptoms were associated with spirometry test
284 reproducibility [28]. Nonetheless, we agree with others that including participants regardless of
285 test failure or adopting a more liberal criteria of reproducibility[28, 29] could help to minimize
286 introduction of bias. At the very least, researchers should consider the implications of and trade-
287 offs in selecting inclusion criteria. In other work, we have shown that including participants with
288 lower quality scores did not materially affect exposure-outcome relationships [30].

289 While our aim was to describe spirometry test failure among GuLF Study participants
290 specifically, these findings add important insight for other large-scale epidemiologic studies and
291 consideration of spirometry performance conducted outside of a clinical setting. Previous work
292 describing the GuLF Study cohort overall, also suggests sociodemographic differences between
293 those eligible for a home visit compared to those, which may additionally limit the
294 generalizability of findings: Of those eligible for a home visit (N=25,304) those who completed a
295 home visit (N=11,193) showed a higher participation rate of self-reported Black participants
296 (27.4% vs. 34.7%) and those making <\$20,000 per year (30.6% vs. 37.2%)[2]. A major strength
297 of our quality assessment is the amount of information we collected on participant characteristics
298 that allowed us to assess a wide range of factors, including socioeconomic status measures,
299 demographics, and exposure and health related information, in relation to spirometry failure.
300 Another strength of our analysis is the large sample size, which provided sufficient power to
301 assess spirometry quality across a large number of mutually adjusted participant characteristics.
302 By examining these factors, we are able to provide practical guidance for future cohort studies
303 conducting spirometry in the home setting. Specifically, we suggest that future large,
304 geographically distributed studies pay extra attention to participants in potential subgroups at

305 greater risk of spirometry failure, as shown here. This has consequences for who can contribute
306 spirometry data to an epidemiologic study.

307 Limitations of our study include the fact that home visit examiners, though well-trained in
308 spirometry, also had to have expertise across multiple anthropometric and sample collection
309 methods, thereby potentially limiting outstanding examiners who just specialized in spirometry.
310 Given the limited number of examiners used in our study, we may have been underpowered to
311 look across multiple examiner characteristics. Additionally, with more examiners, we could have
312 looked at predictors of trajectories of scores attributed to examiners with specific characteristics
313 (e.g. those examiners who had spirometry scores which were consistently good; improved over
314 time; worsened over time; or were consistently poor). Such an analysis could help identify which
315 examiners are worth retraining and which are not. Aside from training, assessment of self-
316 reported race or ethnicity participant-examiner discordance may provide deeper insight into
317 coaching effects given that investigators were made aware of a few instances of perceived overt
318 racism during the field work (though examiners were instructed to leave if they felt they were in
319 danger). However, we did not collect information on the race/ethnicity of the home visit
320 examiners.

321 Our study population includes those living in the Gulf coast states who were involved in the
322 response effort following the *DWH* disaster. The socio-demographic characteristics in this
323 population, including those with potentially very low experience with spirometry/ health
324 examinations may differ significantly from that of other studies of spirometry quality. Thus,
325 results may not be directly comparable to other study populations with spirometry testing. This
326 paper helps identify factors that testers or trainers should be aware of that can reduce the quality
327 of spirometry tests and should receive attention during training/testing as the most common
328 cause of erroneous results.

329 *Conclusions*

330 This study identified participant characteristics associated with spirometry test failure
331 that maybe generalizable to other large-scale and/or home examination settings (i.e., outside of a
332 controlled clinical setting). Participants self-reporting as black race, male gender, and annual
333 household income <\$50,000 were significantly more likely to fail spirometry quality testing,
334 whereas participants with ≥ 25 BMI were less likely to fail spirometry quality testing. We did not
335 find that oil spill related exposures, unique to our cohort, were predictive of spirometry test
336 failure. Although we did not evaluate why these traits predicted spirometry failure, that we
337 identified such differences is important for future work. Future study designs and analytic
338 protocols should consider and mitigate the potential for selection and information bias [31].
339 Investigators of studies with pulmonary function as a main outcome of interest should pay close
340 attention to participant characteristics that predict test failure.

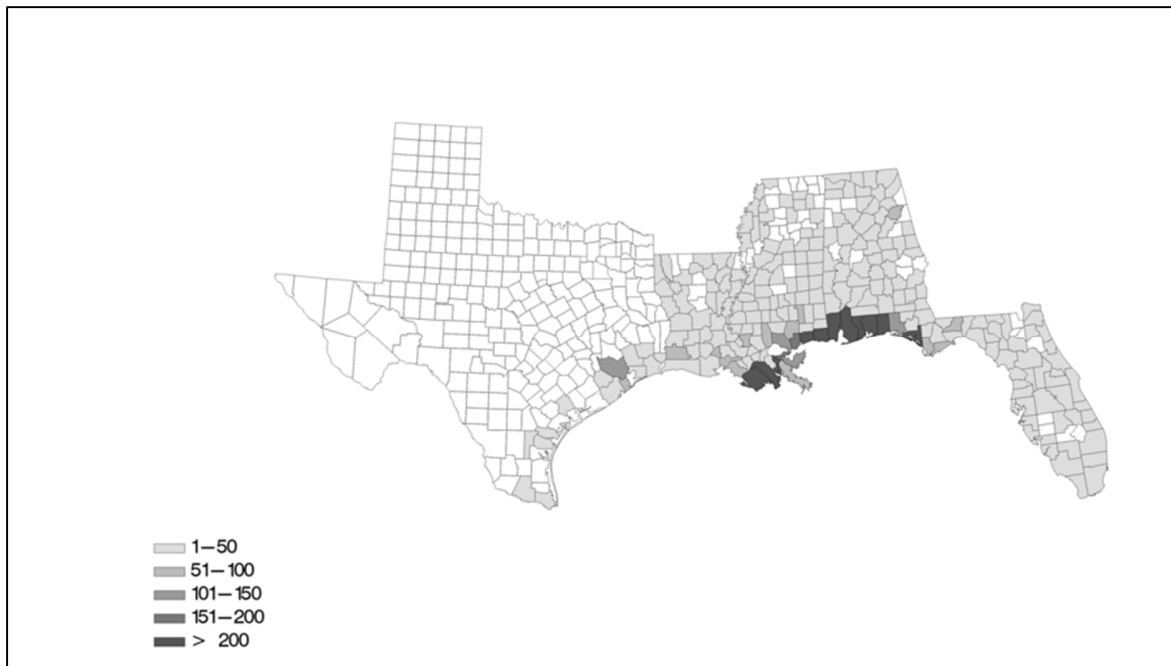
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419 **Figure 1. Number of GuLF Study participants eligible for spirometry testing at a home**
 420 **visit by county (N=11,193).**

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Table 1. Distribution of spirometry quality score (N=10,019) for both FEV₁ and FVC

| Spirometry quality score | Frequency (N) | Percent (%) |
|--|---------------|-------------|
| A (Pass): ≤ 50 mL variability in FVC or FEV ₁ and 3 acceptable tests | 2881 | 29 |
| B (Pass): > 50 & ≤ 100 mL variability in FVC or FEV ₁ and 3 acceptable tests | 3106 | 31 |
| C(Pass) ^a : > 100 & ≤ 100 mL variability in FVC or FEV ₁ and 3 acceptable tests | 1501 | 15 |
| D (Fail): > 150 & ≤ 200 mL variability in FVC or FEV ₁ or < 3 acceptable tests | 940 | 9 |
| F (Fail): > 200 mL or < 2 acceptable tests | 1591 | 16 |

^aSome participants were included in the passing group if over-reader determined that tests were representative of meeting quality C definition

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Table 2a. Raw spirometry values among passing spirometry quality tests (N=7,488^a)

| | Mean | Median | 25th percentile | 75th percentile | SD |
|--|-------|--------|-----------------|-----------------|-------|
| FEV ₁ (mL) | 3,145 | 3,110 | 2,574 | 3,698 | 797 |
| FVC(mL) | 4,023 | 4,000 | 3,325 | 4,656 | 962 |
| FEV ₁ /FVC (%) | 78.23 | 79.45 | 74.94 | 83 | 7.07 |
| FEV ₁ percent predicted ^{b,c} | 88.57 | 89.43 | 79.15 | 98.96 | 16.23 |
| FVC percent predicted ^{b,c} | 91.52 | 91.84 | 82.20 | 101.08 | 15.50 |
| FEV ₁ /FVC percent predicted ^{b,c} | 96.51 | 97.96 | 92.46 | 102.32 | 8.67 |

SD=standard deviation

^aN=1 participant excluded from distributions due to implausible spirometry values^bCalculated using Quanjer et al. 2021 GLI equations^cN=40 missing data for percent predicted equations

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Table 2b. Raw spirometry values among failing quality tests (N=2,531)

| | Mean | Median | 25th percentile | 75th percentile | SD |
|--|-------|--------|-----------------|-----------------|-------|
| FEV ₁ (mL) | 3357 | 3301 | 2710 | 3910 | 1375 |
| FVC(mL) | 4335 | 4312 | 3590 | 5009 | 1106 |
| FEV ₁ /FVC (%) | 78.02 | 79.72 | 72.34 | 84.67 | 21.24 |
| FEV ₁ percent predicted ^{a,b} | 88.03 | 89.23 | 77.60 | 99.99 | 18.64 |
| FVC percent predicted ^{a,b} | 93.85 | 92.90 | 82.27 | 104.04 | 20.02 |
| FEV ₁ /FVC percent predicted ^{a,b} | 94.08 | 97.22 | 88.06 | 103.09 | 14.05 |

SD=standard deviation

^aCalculated using Quanjer et al. 2021 GLI equations^bN=10 missing data for percent predicted equations

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Table 3. Characteristics of GuLF STUDY participants who took a spirometry exam at a home visit by spirometry quality (N=8,466)^{a,b}

| | Passing ^b spirometry quality score N(%) | Failed spirometry quality score N(%) |
|--|--|--|
| Age | | |
| <30 years | 1161(18) | 531(25) |
| 30-60 years | 4532(72) | 1423(66) |
| >60 years | 629(10) | 190(9) |
| Gender | | |
| Male | 4834(76) | 1782(83) |
| Female | 1488(24) | 362(17) |
| Self-reported Race | | |
| White | 3620(57) | 1038(48) |
| African American/Black | 2041(32) | 911(42) |
| Other | 661(10) | 195(9) |
| Hispanic ethnicity | | |
| Hispanic | 393(6) | 105(5) |
| Non-Hispanic | 5929(94) | 2039(95) |
| Annual household income | | |
| Less than \$20,000/year | 2368(38) | 987(46) |
| \$20,001 to \$50,000/year | 2107(33) | 702(33) |
| Greater than \$50,000/year | 1847(29) | 455(21) |
| Highest educational attainment | | |
| Less than high school/equivalent | 1189(19) | 505(24) |
| High school diploma/GED | 2107(33) | 769(36) |
| Some college/2-year degree | 1991(31) | 592(28) |
| 4-year college graduate or more | 1035(16) | 278(13) |
| Employment status | | |
| Employed | 3537(55) | 1087(51) |
| Unemployed | 1627(26) | 671(31) |
| Other | 1158(19) | 386(18) |
| Pre-spill respiratory disease diagnosis | | |
| Yes | 910(14) | 275(13) |
| Smoking status | | |
| Heavy Current Smoker (≥1 pack per day) | 784(13) | 256(12) |
| Light Current Smoker (< 1 pack per day) | 1544(24) | 512(24) |
| Former Smoker | 1330(21) | 409(19) |
| Never Smoker | 2664(42) | 967(45) |
| Body mass index, kg/m ² | | |
| Underweight (< 18.5) | 87(1) | 45(2) |
| Healthy (18.5 to 24.9) | 1358(21) | 584(27) |
| Overweight (25 to 29.9) | 2155(34) | 647(30) |

| | | |
|---|----------|-----------|
| Obese (≥ 30) | 2722(43) | 868(41) |
| Always/usually worried about future health | | |
| Yes | 2807(44) | 1085(51) |
| Always/usually worried about paying rent or buying food | | |
| Yes | 3028(48) | 1100 (51) |
| Previous oil industry experience | | |
| Yes | 1813(29) | 699(33) |
| Previous oil spill cleanup experience | | |
| Yes | 1078(17) | 348(16) |
| Wheeze at time of enrollment | | |
| Yes | 775(12) | 320(15) |
| Tightness in chest | | |
| Yes | 271(10) | 500(8) |
| Shortness of breath | | |
| Yes | 682(11) | 294(14) |
| Home visit examiner and participant gender match | | |
| Yes | 1719(27) | 474(22) |
| No | 4603(73) | 1670(78) |
| Location of home visit | | |
| Alabama | 1814(29) | 570(27) |
| Florida | 1780(28) | 577(27) |
| Louisiana | 1456(23) | 492(23) |
| Mississippi | 1100(17) | 431(20) |
| Texas | 172(3) | 74(3) |
| Gender of home visit examiner | | |
| Male | 429(7) | 166(8) |
| Female | 5893(93) | 1978(92) |
| <i>Deepwater Horizon</i> oil spill cleanup hierarchical job class | | |
| Non-worker | 1200(19) | 431(20) |
| Response worker | 967(15) | 325(15) |
| Operations worker | 1052(17) | 375(18) |
| Decontamination worker | 755(12) | 232(11) |
| Cleanup on water worker | 1011(16) | 358(17) |
| Cleanup on land worker | 825(13) | 278(13) |
| Support worker | 512(8) | 145(7) |

^a Passing spirometry quality defined as meeting 2005 ATS criteria of 3 acceptable curves with 150 mL reproducibility for both FEV₁ and FVC

^bAll characteristics are self-reported except for BMI

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Table 4. Stepwise predictors of spirometry test failure and odds of failure (N=8,466)

| Predictor | Odds Ratio(95% Confidence Interval) ^a | Odds Ratio(95% Confidence Interval) ^b |
|-------------------------|---|---|
| Self-reported Race | | |
| White | Ref | Ref |
| African American/Black | 1.56(1.40,1.73) | 1.39(1.23,1.56) |
| Other | 1.03(0.87,1.22) | 0.98(0.81,1.17) |
| Gender | | |
| Male | 1.51(1.33,1.72) | 1.61(1.41,1.83) |
| Female | Ref | Ref |
| BMI, kg/m ² | | |
| <18.5 (underweight) | 0.83(0.57,1.21) | 0.81(0.56,1.19) |
| 18.5-24.9 (normal) | Ref | Ref |
| 25-29.9 (overweight) | 0.58(0.40,0.84) | 0.58(0.40,0.85) |
| ≥30 (obese) | 0.62(0.43,0.89) | 0.61(0.42,0.89) |
| Annual household income | | |
| <\$20,000 | 1.69(1.49,1.92) | 1.45(1.26,1.67) |
| \$20,000-50,000 | 1.35(1.18,1.55) | 1.25(1.09,1.43) |
| >\$50,000 | Ref | Ref |

^aUnadjusted^bMutually adjusted for all predictor variables