

1 **Redefining Precision Cancer Prevention to Promote Health Equity**

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21 **Abstract**

22 Precision cancer prevention as it is currently envisioned is a targeted, molecular-based approach  
23 to intercept carcinogenesis before cancer develops or before it becomes untreatable.  
24 Unfortunately, due to systemic biases, current precision cancer prevention interventions may not  
25 be effective in all populations, especially in minoritized communities. In addition, not all cancer  
26 risk is attributable to genetic or even biological factors but includes social determinants of health.  
27 Here, we propose a broader framework for precision cancer prevention, anchored in optimizing  
28 the benefits to harms for all people. We propose that precision cancer prevention considers not  
29 just what is being delivered but for whom, how, when, and where, with a goal of achieving  
30 cancer prevention health equity.

31

32 **Keywords:** Precision Cancer Prevention; health disparities; Health Inequities

### 33 **Introduction**

34 Over the last several decades, as precision oncology has entered mainstream medicine, there has  
35 been an increased interest in applying the same approach to cancer prevention, i.e., “precision  
36 cancer prevention.” Seen through the lens of precision oncology, precision cancer prevention is a  
37 targeted, molecular-based approach to prevention based on knowledge of the natural history of  
38 cancer, carcinogenesis, and individual susceptibility. Rebbeck *et al.* [1] wrote “a precision  
39 prevention and early-detection (PPED) strategy can be defined to consider the mechanistic  
40 underpinnings of the carcinogenesis process, as well as the corresponding inter-individual  
41 variation in risk and response to preventive interventions.”

42 While such an approach applied to cancer prevention, including early detection, is potentially  
43 transformative, it considers only the biological basis of cancer risk. That is, it assumes that all  
44 risk can be measured through using biospecimens to assess inherited or acquired genomic  
45 susceptibility and biomarkers of risk. Indeed, a 2017 survey found that of 108 precision medicine  
46 programs identified, 84% relied on data derived from biospecimens [2]. Yet, much of the  
47 individual variation in cancer risk, even those with family history of cancer, has yet to be  
48 explained by specific, known genetic variants [3-6]. Moreover, most epidemiology and genomic  
49 studies of cancer are not representative of the general population and lack sufficient statistical  
50 power to examine biomarkers of cancer risk in important sub-populations, such as racial and  
51 ethnic minority groups, who are disproportionately burdened by cancer [7-9]. For example, The  
52 Oncotype DX Breast Recurrence Score test has lower prognostic accuracy in *African American*  
53 *women* than in *White women*, likely the result of structural biases in its development [10].  
54 Therefore, risk models and mechanistic underpinnings for targeted prevention, as they are often  
55 currently developed, have the potential to exacerbate rather than close gaps in health inequities.

56 Here we posit that an underpinning of precision cancer prevention is advancing health equity,  
57 given its goal to identify and serve populations with disproportionate cancer burden or excess  
58 exposure to deleterious cancer risk factors. Advancing health equity in the context of cancer  
59 prevention is defined as preventing differences experienced by historically underserved  
60 individuals and communities vs. those who are well served. This way targets the most effective  
61 interventions to the right population and reduces harms—the fundamental goal of precision  
62 cancer prevention.

### 63 **Expanding the Definition to include Social determinants of health**

64 Social determinants of health (SDH) has long been a major driver of cancer disparities and must  
65 be incorporated into a precision cancer prevention paradigm. SDH helps define the elements of  
66 who, what, when, where, and how cancer prevention and early detection approaches can be  
67 focused to lessen cancer burden and improve health equity. SDH include data that influence  
68 personal cancer risk, often more strongly than inherited risk, and facilitate major cancer risk  
69 factors such as smoking, obesity, and infection. Where these factors congregate, e.g., in  
70 distressed communities with contaminated air and water, poverty, low levels of education and  
71 social support, high levels of childhood adversity and psychosocial stress, and limited access to  
72 quality medical care, “high risk” can be delineated even without a full understanding of how  
73 these factors promote the “under the skin” effects on carcinogenic pathways. Community-based  
74 interventions could be deployed in these areas using existing or new facilities that focus on  
75 preventive care and risk reduction.

76 Precision cancer prevention activities should proceed with a clear eye to overcome rather than  
77 aggravate existing health disparities. There are numerous examples of precision medicine

78 modalities and technologies implemented inequitably across socioeconomic status,  
79 race/ethnicity, geography, and health insurance type [11-15]. Similar problems already exist for  
80 current cancer prevention strategies [16,17]. For example, current prophylactic human  
81 papillomavirus (HPV) vaccines do not target HPV35, which causes more cervical precancer and  
82 cancer in *Black women* than in *White women* due to that specific viral variant [18-25].

83 Moreover, socioeconomic disparities in cancer mortality in the US are widening, especially for  
84 cancers deemed most amenable to prevention (e.g., lung, liver, cervix, and colorectal) [26]. Thus,  
85 precision cancer prevention is tasked with making up lost ground and devising focused strategies  
86 that draw from best practices in implementation science that can overcome bias, cost, physician  
87 and patient education, and inadequate access to the right intervention in the right place at the  
88 right time. If we use a broader definition of precision cancer prevention that considers the  
89 continuum of care, we can provide better approaches for precision cancer screening and  
90 prevention to reduce health inequities:

91 *“Precision cancer prevention is the equitable provision of a targeted, preventive*  
92 *intervention to mitigate one or more biological, demographic, or social determinants of*  
93 *cancer risk while minimizing its harms.”*

94 Rather than focusing on one component of cancer risk, we can consider all genetic and non-  
95 genetic determinants of cancer risk [27], as well as each step in the delivery continuum for  
96 cancer prevention, as an opportunity to be more “precise” and inclusive. In this way, we can  
97 incorporate strategies that increase equity in cancer prevention for all groups and individuals.

98 To achieve better precision cancer prevention, we must develop “products” that more people  
99 want and can use and have a “product profile” that improves the overall health of the population.

100 To better understand the wants and needs of the consumer of the prevention “product”, we need  
101 to engage people through a variety of community outreach activities such as representative  
102 advisory panels. The oft-cited African proverb: "If you want to go fast, go alone; but if you want  
103 to go far, go together." might aptly fit here. Otherwise, we may develop underused and thus,  
104 ineffective, cancer prevention interventions.

### 105 **Maximizing the Benefits-to-Harm Ratio**

106 A second fundamental, organizing principle of precision cancer prevention is optimizing the  
107 benefits-to-harms ratio (B:H), at both the individual and *population* level. Benefits are the delay,  
108 prevention, or early detection of cancer and prolongation of disease-free living. Harms include,  
109 but are not limited to, identification and treatment of clinically irrelevant cancer that would never  
110 cause death; false-positive tests that induce anxiety and result in unnecessary, sometimes  
111 invasive procedures to confirm falseness; adverse events from preventive interventions; and  
112 costs to the individual and the health system.

113 By our working definition, precision cancer prevention strategies are those that maximize B:H by  
114 recognizing that cancer risk, and its mitigation, is multidimensional and not just biological.  
115 Tailoring interventions that increase access to proven cancer preventive interventions is another  
116 form of “precision” that increases *population* benefits. Thus, we can consider not just “what” but  
117 also the “who”, “how”, and “where” the cancer preventive intervention is being delivered as an  
118 opportunity to improve its precision (Table 1 and Figure 1).

119 In this framework, “what” is the targeted, molecular approach based on the mechanistic  
120 understanding of carcinogenesis. In its simplest conception, a biomarker of risk is measured,  
121 neutralized directly, and monitored for enduring risk reduction. As noted above, limitations and

122 biases in how we have developed a mechanistic understanding of cancer development impedes  
123 our ability to deliver an effective preventive intervention to all.

124 The “who” represents which individuals or populations need the intervention, i.e., their risk,  
125 which is a measure of B:H. Typically, the focus is on those who are at higher risk for cancer due  
126 to genetic susceptibility (i.e., high-penetrance, germline mutations such as BRCA1/2 or Lynch  
127 syndrome) or due to evidence of predisposing condition (e.g., precancer/precursor), defined as  
128 the “high-risk approach” [1]. The higher the risk, the greater the benefit per individual.  
129 Consequently, assuming that harms are similar across sub-groups (which may or may not be  
130 valid), those sub-groups at higher risk “on average” experience a better B:H. However, what  
131 places an individual or population at a higher risk and the strategy to reduce that risk often, albeit  
132 not always, are related biologically. Moreover, not all prevention is done in the context of high  
133 risk but in the general or average risk individuals, in whom most cancers (in absolute numbers)  
134 occur (“Prevention Paradox”) [28]. Whether a preventive intervention use is justified in the  
135 general, average-risk population depends on the B:H as, on a population basis, fewer will benefit  
136 and incrementally more will be harmed. Finally, increased risks are not always biologically  
137 driven but influenced by or related to SDH , thereby underscoring the need to view cancer  
138 prevention through a health-equity lens.

139 A risk-decision model for interventions, which can incorporate biological, demographic, and  
140 social determinants of risk, can be used to decide who needs prevention while accounting for  
141 health inequities. Importantly, risk-based model for inventions can promote “equal care for equal  
142 risk” [29] across different populations. For example, established individual-level non-modifiable  
143 risk factors for prostate cancer might include age, family history, genetic susceptibility, and  
144 African ancestry. Thus, precision cancer prevention should place a focus on understanding

145 cancer-site specific etiology within special populations in hopes of identifying factors that would  
146 allow for the development of interventions to reduce disease morbidity and mortality. Decisions  
147 to intervene (or not) and subsequent steps are dictated by clinical action thresholds (CAT), which  
148 should be determined by B:H and societal acceptance of those tradeoffs. For example, in the case  
149 of screening, who gets screened, how they are followed up in relationship to their screening  
150 result, who among the screen positives get biopsied, and how the disease is managed is guided  
151 by CATs (Figure 2). Risks are updated (*post-hoc* risks) based on the results/effectiveness of the  
152 intervention and *a priori* risks. New metrics of benefit, such as quality life years gained rather  
153 than the traditionally used mortality [30], might move us closer still towards equity.

154 While higher-risk populations warrant greater attention, lower-risk populations need less, thereby  
155 increasing the population B:H. Lung-cancer screening by low-dose computed tomography is  
156 only recommended for those at highest risk, heavy smokers (a  $\geq 20$  pack-year smoking history  
157 and currently smoke or have quit within the past 15 years)[31], whereas it is not recommended in  
158 the lower-risk populations, despite more lung cancers occurring in this group. Women who  
159 screen negative for HPV are at much lower risk for cervical precancer and cancer than those  
160 negative by Pap testing and therefore can be screened safely at longer intervals, with lower  
161 potential harms of screening [32]. Those who have a negative HPV screening preceding a  
162 positive screen are at much lower risk than those who do not and therefore need less aggressive  
163 management [33,34].

164 We should not limit precision cancer prevention to biological and population measures of risk.  
165 How and where we deliver the preventive intervention can also improve precision while  
166 increasing health equity. Differences in cancer risk are also due to SDH that characterize  
167 “where” high-risk populations reside i.e., socioeconomic, geographical, occupational,



168 environmental, etc. For example, “hot spots” in cancer burden may suggest geographical (e.g.,  
169 rurality) or environmental factors in cancer risk, which are not typically incorporated into risk  
170 models. Notably, persistent poverty is an important risk factor associated with cancer mortality,  
171 especially those cancers that are preventable [35]. First- and second-generation immigrants from  
172 high-cancer burden countries continue to experience excess cancer morbidity and mortality [36-  
173 40]. Social factors can superimpose on top of genetic determinants of disease e.g., Lynch  
174 syndrome individuals living in Asian countries have a much higher incidence of gastric cancer  
175 that is not apparent in individuals with European ancestry [41]. Strategies that target those spatial  
176 determinants of cancer risk, such as geographically targeted interventions to improve  
177 participation in routine cancer screening, can be cost-effective and increase health equity.

178 In addition, novel delivery strategies have the potential to improve B:H through increasing  
179 access and adherence to a preventive strategy (increasing B) and/or limiting toxicities  
180 (decreasing H). As an example of the former, there is strong evidence that human papillomavirus  
181 testing of self-collected cervicovaginal specimens is an effective method of screening that  
182 approaches the performance of provider-collected specimens [42]. This approach offers several  
183 benefits including ease of collection at a time and place of women’s choice without need for a  
184 clinic appointment and a pelvic exam using a speculum, thereby overcoming barriers related to  
185 access and stigma. Indeed, HPV testing of self-collected specimens has been shown to increase  
186 screening participation in underscreened or underserved populations and is preferred over clinic-  
187 based screening [43-47]. Likewise, mailed, home-based fecal immunochemical testing (FIT) can  
188 increase colorectal cancer screening of underserved populations [48,49]. That is, by bringing  
189 specimen collection or testing into the home, individuals do not need to take off work and/or get

190 childcare—costs that constitute harms (i.e., financial toxicity)—to participate in cancer  
191 prevention activities.

192 It is important to note that developing a new prevention/screening method that might be more  
193 broadly adopted by the population without addressing the underlying disparities in healthcare  
194 delivery, including follow-up care (diagnosis and treatment) for screen positives, may worsen  
195 rather than reduce those disparities [50-58]. Point-of-care tests may allow additional diagnostics  
196 and care to be provided at the same encounter, which might reduce differential losses to follow-  
197 up. The COVID epidemic provided to the cancer prevention field an important reminder about  
198 the profound disparities in healthcare delivery of interventions for primary and secondary  
199 prevention, despite the development of effective vaccination and screening methods, respectively  
200 [59-63].

201 Finally, the unequal burdens of preventable cancers tend to cluster in the same populations due to  
202 the same causes. For example, high cervical cancer burden has long been recognized as “a  
203 marker for low access to health care in poor communities” [64]. Thus, bundling preventive  
204 interventions may address cancer and other health disparities concurrently, which might increase  
205 their effectiveness and cost-effectiveness, factors that are important in resource-limited settings  
206 and for the economically disadvantaged. There are data indicating that there are racially  
207 associated differences in adherence to medications [65-67], which might be reduced by local  
208 delivery or controlled release. One example, if effective, could include the topical application of  
209 tamoxifen derivatives in the prevention of breast cancer in high-risk individuals (e.g., atypical  
210 ductal hyperplasia and ductal carcinoma *in situ*), which might reduce systemic side effects (e.g.,  
211 blood clots, stroke, endometrial cancer, hot flashes, nausea, fatigue, loss of libido, etc.) vs. taking  
212 tamoxifen orally. If continued dosing is needed, controlled release may result in fewer adverse

213 reactions by effectively delivering a dose within the “pharmaceutical window.” Data suggest that  
214 there are racially associated differences in adherence to medications [65-67], which might be  
215 reduced with the use of controlled release. In addition, both approaches might increase adherence  
216 especially if they reduce side-effects from use of preventive agents that contribute to financial  
217 toxicity due missed work and lost wages by the economically disadvantaged.

## 218 **Concluding Remarks**

219 In conclusion, an alternative, working framework for precision cancer prevention rooted in  
220 community engagement and inclusivity as well as *population B:H* is needed to ensure that  
221 everyone benefits equitably from innovations in cancer prevention rather than exacerbating  
222 cancer health disparities (see Outstanding Questions). Such a framework could build from a  
223 recently published framework to address racism and rural cancer disparities [65]. Populations  
224 disproportionately burdened by cancer are likely to glean greater benefits, and therefore  
225 experience better B:H, from precision cancer prevention strategies than the general population.  
226 Like those with heritable cancer syndromes, they should be prioritized for precision cancer  
227 prevention interventions.

228 **Outstanding Questions Box** (2000 characters, including spaces, required)

229 1. Can a conceptual framework be developed to achieve greater precision and inclusivity for  
230 cancer prevention?

231 2. Can an equity lens for cancer precision prevention be used to overcome structural  
232 inequalities that have been linked to multiple poor health outcomes?

233 3. How can biological and social determinants of health disparities be best integrated to  
234 improve cancer prevention?

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428

429 Table 1. Tailoring interventions to improve equity in precision cancer prevention

Principle	Example
What	Removing biases that impede molecular, targeted approaches to understand the basic biology of carcinogenesis that may define a biomarker of risk.
Who	Identifying populations at greatest risk through biological factors and social determinants of health.
Where	Incorporating socioeconomic, geographical, occupational, and environmental factors.
How	Novel delivery strategies that increase access and adherence to a preventive strategy and/or limit associated toxicities.

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432 Figure Legends

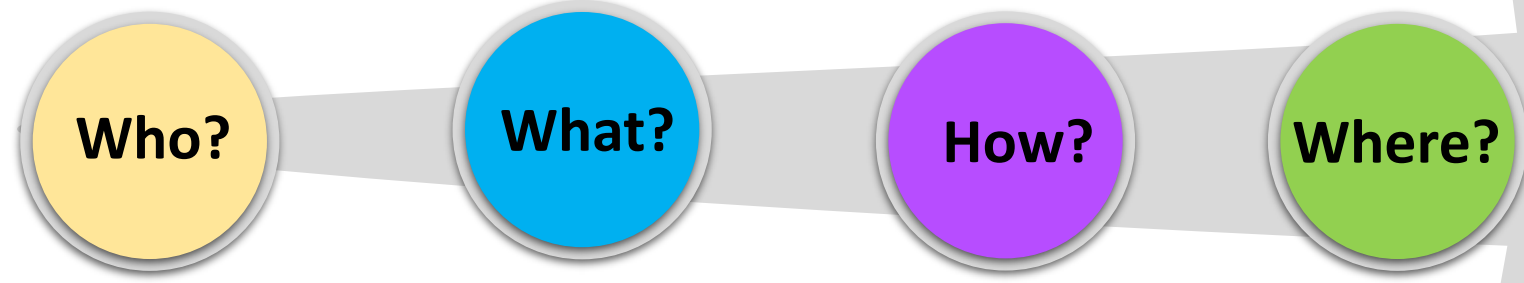
433 Figure 1. Precision cancer screening and prevention strategies. Great precision in cancer  
434 prevention can be achieved through considering population risk (who), which is determined by  
435 risk factors such as age, genetic, social determinants of health, and carcinogenic exposures e.g.,  
436 environmental, smoking, alcohol, and infectious agents, biological risk (what), and how and  
437 where the intervention is delivered.

438 Figure 2. A generalized schema for risk-decision model for screening and management. Clinical  
439 action thresholds (CATs), based medical/societal/cultural-acceptable benefits-to-harms ratios,  
440 can be used to standardize care to achieve “equal care for equal risk”. Risks are updated with  
441 each intervention in the care delivery continuum determine the subsequent care.

Figure 1

# Precision Cancer Screening and Prevention Strategies

Population Risk      Biological Risk      Modality      Delivery



Population Risk/Benefits:  
Harms

Biologic/  
Targeted  
Intervention

Mode of Delivery  
e.g., topical,  
intermittent, or  
control release

Accessibility e.g.,  
home-based  
sampling or  
testing or point-  
of-care testing

Figure 2

**Treatment of precancer or early cancer**

**Diagnostic procedures such as biopsy, imaging, etc.**

**Follow-up at interval dependent on risk**

**Routine screening**

**No screening or screening at an extended interval**

