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1 **Dynamic rainfall-induced landslide susceptibility:** 2 a step towards a unified forecasting system 3 4 Mahnoor Ahmed^{1*}, Hakan Tanyas², Raphaël Huser³, Ashok Dahal², Giacomo Titti⁴, Lisa 5 Borgatti⁴, Mirko Francioni¹, Luigi Lombardo² 6 7 ¹Department of Pure and Applied Sciences, University of Urbino 'Carlo Bo', Campus Scientifico Enrico 8 Mattei, Via Cà le Suore, 2/4, 61029 Urbino, Italy. 9 ² University of Twente, Faculty of Geo-Information Science and Earth Observation (ITC), PO Box 217, 10 Enschede, AE 7500, Netherlands. 11 ³ Statistics Program, Computer, Electrical and Mathematical Sciences and Engineering (CEMSE) 12 Division, King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Saudi 13 Arabia. 14 ⁴ Department of Civil Chemical Environmental and Materials Engineering, Alma Mater Studiorum 15 University of Bologna, Bologna, Italy. 16 17 Abstract The initial inception of the landslide susceptibility concept defined it as a static property of the 18 19 landscape, explaining the proneness of certain locations to generate slope failures. Since the spread 20 of data-driven probabilistic solutions though, the original susceptibility definition has been 21 challenged to incorporate dynamic elements that would lead the occurrence probability to change 22 both in space and in time. This is the starting point of this work, which combines the traditional 23 strengths of the susceptibility framework together with the strengths typical of landslide early 24 warning systems. Specifically, we model landslide occurrences in the norther sector of Vietnam, using 25 a multi-temporal landslide inventory recently released by NASA. A set of static (terrain) and dynamic 26 (cumulated rainfall) covariates are selected to explain the landslide presence/absence distribution 27 via a Bayesian version of a binomial Generalized Additive Models (GAM). Thanks to the large 28 spatiotemporal domain under consideration, we include a large suite of cross-validation routines, 29 testing the landslide prediction through random sampling, as well as through stratified spatial and 30 temporal sampling. We even extend the model test towards regions far away from the study site, to 31 be used as external validation datasets. The overall performance appears to be quite high, with Area 32 Under the Curve (AUC) values in the range of excellent model results, and very few localized 33 exceptions. 34 This model structure may serve as the basis for a new generation of early warning systems. However, 35 the use of The Climate Hazards group Infrared Precipitation with Stations (CHIRPS) for the rainfall 36 component limits the model ability in terms of future prediction. Therefore, we envision subsequent

- 37 development to take this direction and move towards a unified dynamic landslide forecast.
- 38 Ultimately, as a proof-of-concept, we have also implemented a potential early warning system in
- **39** Google Earth Engine.
- 40
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- 42 Keywords: dynamic susceptibility, landslide prediction, early warning system, generalized additive
- 43 models.

44 **1. Introduction**

45 The need to understand landslide dynamics comes from the disastrous impacts such events may 46 generate, with average economic losses recorded up to 5 billion USD for susceptible countries such 47 as Japan, United States and India (Hidayat et al., 2019). Aside from the financial aspects Petley (2012). 48 reported over 32,000 victims globally in the years 2004-2010. With an increase in the frequency of 49 rainfall extremes, the impacts are also expected to worsen over time (Hidayat et al., 2019). To limit 50 the impact due to landslides, Early Warning Systems (EWS) are commonly implemented to assist in 51 management and precautionary measurements on regional levels (Guzzetti et al., 2020; Hidayat et 52 al., 2019). Particularly for rainfall-induced landslides (RIL), an early warning system is typically 53 developed by setting a rainfall-threshold that, once exceeded, initiates the system to issue alarms for 54 further measures (Guzzetti et al., 2008; Segoni et al., 2018). These systems can be developed over 55 large regions as well as at the scale of single landslides (Guzzetti et al., 2020). In the first case, rainfall 56 data is usually accessed from national rain gauge networks (Al-Thuwaynee et al., 2023), or even from 57 satellite data (Wang et al., 2021) to estimate potentially unstable areas and their temporal aspect. As 58 for localized early warnings, they can usually rely on a rich hydrological and geotechnical information gathered via landslide-specific installations, from which rainfall thresholds are still derived to 59 60 understand possible slope failures timing (Segoni et al., 2018). Aside from the scale at which these tools are developed and used, another level of differentiation comes from the underlying methods 61 62 they may rely on in the definition of suitable thresholds. Specifically, both physically and statistically-63 based approaches constitute valid solutions (Guzzetti et al., 2020). Physics-based models essentially 64 use detailed slope information in terms of its morphological structure, lithological characteristics and 65 hydrological conditions to quantify the rainfall amount needed to trigger a failure (Guzzetti et al., 66 2007). Conversely, data-driven approaches (Chauhan et al., 2010; Guzzetti et al., 2020; He et al., 2021) 67 do not deterministically solve hydro-mechanical equations but rather rely on historical landslide 68 inventories to probabilistically estimate rainfall intensity-duration relations (Guzzetti et al., 2007). 69 These relations are the foundation for the definition of rainfall thresholds in a given area. As for the 70 concept of intensity-duration, this revolves around combining precipitation amounts in a given 71 period of time, whose length determines the required accumulation for failure to initiate (Guzzetti et 72 al., 2020). Nowadays, most of the methods belonging to the latter class follow a quite standard 73 procedure where alert levels are defined purely on the basis of rainfall estimates. This is considered 74 independently from the proneness or susceptibility to failure typical of a given landscape. However, 75 the orographic effect influences rainfall patterns especially in highlands (Adler et al., 2003; Gariano 76 et al., 2017; Guzzetti et al., 2008; Kirschbaum et al., 2012; Nguyen et al., 2014). This element only 77 comes in a second stage, with static susceptibility maps being combined only with a geographic 78 overlay criterion (Lee et al., 2008). This post-processing routine contributes to the hazard level 79 assigned to a given spatial unit (Kirschbaum and Stanley, 2018). Decoupling the landscape response 80 into its two main components may have been a suitable solution in the past, due to the limited 81 computational tools. However, nowadays modeling approaches increasingly offer the ability to 82 combine landslide susceptibility and rainfall thresholds in a single platform, with an inspirational 83 example recently published by Steger et al. (2023). Moreover, the reliability of EWS significantly 84 changes across the globe, with regions that are able to rely on dense rain gauge networks as 85 compared to those which lack resources and are limited in their data acquisition. In the case of 86 Vietnam the spatial scale at which Landslide Early Warning Systems (LEWS) are developed varies

87 significantly. There exists a number of such systems that require in-situ soil data as well as equipment

operated and maintained by manual labor at catchment or slope level (Bui et al., 2013, 2012, 2011;

Gian et al., 2017; Ha et al., 2020). In-field measurements are of a more accurate nature and may be

90 temporally consistent. However, they offer discrete spatial information and are infeasible to acquire

over a larger extent. For this reason, we can recently witness an increasing use of alternative rainfall
 information estimated from radar satellites (Hong et al., 2006; Kirschbaum et al., 2012, 2009).

93 For extending the geographic scale, satellite products can offer very good temporal data, though 94 generally at the expense of the spatial resolution (Tang et al., 2020). Moreover, the availability of 95 near-real-time satellite products allowed the evaluation of potential landslide hazard prediction, 96 initially by using the Tropical Rainfall Measuring Mission (TRMM) Multi-satellite Precipitation 97 Analysis (TMPA) at a relatively large spatial scale (Hong et al., 2006; Hong and Adler, 2007). 98 Advancing on the basis of integrating satellite information, Hong & Adler (2007) later proposed an 99 EWS based on real-time precipitation systems, tested on global and regional scales by Kirschbaum et 100 al. (2012). Building on these steps, the most recent development on a global scale using the diversity 101 of satellite products is the release of Landslide Hazard Assessment for Situational Awareness 102 (LHASA) by NASA which provides moderate to high landslide hazard every half hour (Kirschbaum 103 and Stanley, 2018). With the second version of LHASA in place (Stanley et al., 2021), the core of the 104 model relies on a static susceptibility map overlaid with dynamic rainfall forecasts to produce 105 landslide predictions globally in real time, with new components added for increasing predictive 106 power. However, a two-phased model such as LHASA essentially neglects the natural interaction of 107 rainfall with terrain for modelling rainfall-induced landslides. Moreover, its current version does not 108 account for uncertainty estimation, which should be particularly important to connect the 109 uncertainty coming from the static susceptibility component to the dynamic precipitation 110 component.

In this manuscript, we combine the static and dynamic effects responsible for landslide occurrences in north Vietnam using a single space-time model. Specifically, we used a Bayesian approach to account for uncertainties and framed it in a Binomial GAM. Statistical influence was performed using the Integrated Nested Laplace Approximation (INLA; Rue et al., 2009) method, which provides fast computation of posteriori quantities of interest.

116 117

2. Study area and Materials

118 The following section provides an insight into the event-based landslide inventory used in this work 119 and the selection of the complementary study area. Moreover, it describes the mapping unit used and 120 covariate information used as an input to our model and the validation techniques implemented.

121

122 2.1. Study area and landslide inventory information

Among the vulnerable southeast-Asian countries (Titti et al., 2021), Vietnam shows the highest number of fatalities due to landslides in the rainy season extending from June to November (Amatya et al., 2022). For this reason, NASA's efforts produced a landslide inventory for the Lower Mekong Region (LMR) for multiple rainfall triggering events (see Figure 1). The resulting multi-temporal landslide inventory was generated using a semi-automated mapping approach (Amatya et al., 2022),

that we also used to test LHASA at a regional scale (Biswas et al., 2022).



129 130 Figure 1: Landslide points mapped by NASA in the Lower Mekong Region (Amatya et al., 2022) and the study area 131 defined in North-western Vietnam

- 132 For the present experiment, we only extracted landslides that occurred within north-western
- 133 Vietnam and use that as our study area shown in Figure 1. This landslide subset accounts for a total
- 134 of 9.310 landslides, clustered into 6 rainfall triggered events, as listed in Table 1.
- 135This area is over 59,000 km² wide with a mountainous topography and it also represents the
- 136 poorest sector in Vietnam (Bangalore et al., 2019). Therefore, the impact of landslide occurrences
- 137 tends to have even worse impacts.
- 138
- 139

140Table 1: Details of the multi-temporal landslide inventory contained in the study area (Figure 1), generated by Amatya
et al. (2022)

Year	Inventory	Date	Landslide Points
2017	1	2nd-3rd August	2014
	2	23rd-28th August	99
	3	10th-11th October	3944
2018	4	23rd-24th June	1310
	5	3rd August	302
	6	27th August-1st September	1641

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- 143

144 **2.2. Mapping unit**

145 Due to the spatial extent of the study area and to also ensure the use of a suitable mapping unit, we

opted to partition the study area into Slope Units (SUs; Carrara et al., 1991). This spatial partition is

147 rooted in the landslide literature and has offered a valid alternative to grid-cells (Reichenbach et al.,

148 2018). The reason for their success lies in their ability to reflect the morpho-dynamic response of a 149 slope if a landslide triggers at that specific location. In other words, these mapping units can be 150 considered independent of each other (or very weakly dependent) for landslide susceptibility 151 purposes (Lombardo et al., 2020; Titti et al., 2021). To delineate such slope unit partition, we used 152 the r.slopeunits tool proposed by Alvioli et al. (2016). This tool can be called from GRASS GIS (Neteler 153 and Mitasova, 2013) and only requires a Digital Elevation Model (DEM) as the input. Here we used 154 the Shuttle Radar Topography Mission (SRTM; Yang et al., 2011). As for how r.slopeunits works, it 155 essentially clusters grids with analogous slope exposition and vectorize the results for a given study 156 site. This is achieved by constraining the procedure through a set of parameters whose explanation 157 can be found in Alvioli et al. (2016). For this work, we initially tested a number of possible parameter 158 combinations (unreported results) and opted for a final setting as shown in Table 2.

- 159
- 160

Table 2: Parameter setting for generating slope units in the study area using r.slopunits.

Parameter	Set Value
Minimum area of SU	40000m ²
Circular variance	0.5
Large flow accumulation threshold	800,000m ²
Clean size	20,000m ²
Number of iterations	20

161

162 2.3. Predictors

163 2.3.1 Dynamic Covariates

164 An important aspect of this study is the estimation of rainfall effect in landslide events which can be 165 used to project future landslide susceptibility scenarios using a predictive equation. Respecting the 166 literature which shows that prolonged rainfall before the landslide event contributes towards slope 167 saturation (Guzzetti et al., 2008; Segoni et al., 2018), we integrate cumulative antecedent rainfall 168 (Chikalamo et al., 2020). This represents the dual rainfall effect (the event day and potential recent 169 discharge prior to the event) as the triggering factor. To represent the spatio-temporal distribution of precipitation for each event, together with cumulative antecedent rainfall, we used CHIRPS (Funk 170 171 et al., 2015). This choice is mainly due to the relatively high spatial resolution (\sim 5.5km) of this global 172 product. As for its temporal characteristics, CHIRPS offers daily rainfall aggregates achieved with just 173 a 2-day latency (Funk et al., 2015). 174 Alongside to the rainfall characteristics, we also considered including dynamic vegetation indices as 175 part of our covariate set. We therefore opted for using Enhanced Vegetation Index (EVI) obtained

- 176 from MODIS AQUA (Didan, 2015) at approximately 250 meters of spatial resolution and a 16-day
- 177 revisit time. Notably, both products were accessed, preprocessed and downloaded via Google Earth
- 178 Engine (Gorelick et al., 2017; Mutanga and Kumar, 2019). There we aggregated them at the SU scale
- by taking the maximum daily rainfall as well as the mean 16-day value of the EVI.
- 180
- 181 2.3.2 Static Covariates
- 182 Static predictors were also extracted via GEE. Specifically, we accessed the cloud-available SRTM
- 183 DEM at 30m resolution and computed terrain attributes by using the SRT function built by Titti et al.
- 184 (2022). This tool allows to compute terrain characteristics and aggregate them at any spatial scale.

185 The latter is a particularly important requirement because of the SU partition we opted for. In fact,

- 186 hundreds of DEM pixels can fall in a single SU and therefore summary statistics of the corresponding
- 187 covariate distribution per polygon have to be computed. Here we do so by taking the mean and
- 188 standard deviation of every continuous covariate. These have been selected among a number of
- standard landslide predisposing factors in the susceptibility literature (see Budimir et al., 2015),
 listed as follows: (1) Elevation (Görüm, 2019), (2) Slope steepness (Wu and Sidle, 1995), (3) Planar
- listed as follows: (1) Elevation (Görüm, 2019), (2) Slope steepness (Wu and Sidle, 1995), (3) Planar
 and (4) Profile curvatures (Ohlmacher, 2007), (5) Eastness (Leempoel et al., 2015), (6) Northness
- 192 (Epifânio et al., 2014) and (7) Internal Relief (Görüm, 2019; Qiu et al., 2018).

193194 **3. Method**

195 **3.1 Modeling framework**

To model landslide susceptibility in space and time, we used a Bayesian version of a binomial GAM (Hastie, 2017). Assuming a priori that the probability of landslide occurrence can only be explained through a linear function may not hold for all predictors one may choose. For this reason, a GAM is much more versatile as it allows for the integration of linear as well as non-linear effects (Goetz et al., 2015). A logistic GAM, expressed for binary data (namely landslide presence/absence), can be formulated in its simplest form through its equation for the log-odds as follows;

202

$$\log p/1 - p = \beta_o + \beta_1 \chi_1 \dots + \beta_m \chi_m + f(\chi_{m+1})$$
(1)

203

where P indicates the probability of landslide presence in a mapping unit, β o is the global intercept, each β i represents the regression coefficient of the accompanying covariate (χ i), which are assumed to have a linear effect on unstable slope units and f expresses nonlinear function of the covariate χ m+1. In practice, non-linear effects may be implemented by discretizing the continuous covariate χ m+1 into n discrete classes and enforcing statistical dependence (e.g., through an autoregressive structure) between the effects of neighboring classes.

The modelling process has been implemented using R-INLA (Integrated Nested Laplace
Approximation) package of R (RStudio Team, 2023), commonly used for support Bayesian inference
(Rue et al., 2009).

213

214 3.2 Antecedent rainfall-window

215 Intensity-duration relationships (Guzzetti et al., 2007, 2006; Hong et al., 2006; Kirschbaum et al., 216 2012) have been an essential part in most of the traditional LEWS. To adhere to that concept as part 217 of our space-time modeling approach, we also explored the effect of cumulative antecedent rainfall. 218 We do so by computing rainfall as 14 potential aggregated covariates, corresponding to the maximum 219 daily sums from the day of the triggering event (inclusive) to the 14th day before the landslide 220 occurrence. Specifically, we fit 14 separate space-time susceptibility models and then use the 221 Watanabe Akaike Information Criteria (WAIC; Whalen and Hoppitt, 2016) to select the most suitable 222 day representing the intensity-duration effect. WAIC is commonly used as a model selection tool 223 which can be used to compare sets of covariates in order to identify the ideal combination whilst 224 keeping the rest of the parameters the same. In fact, model ranking can be obtained by sorting WAIC 225 in ascending order since the lowest value represents the best predictor set. Notably, Amatya et al. 226 (2022) could not assign a specific landslide triggering day to each inventory out of the six we consider

- here. Therefore, not only the WAIC is here used to indicate the most suitable antecedent window butalso the best triggering day, within the error date reported by the authors.
- 229

230 3.3 Validation techniques

231 Any susceptibility model needs to be equipped with a validation phase necessary to evaluate its 232 capacity to suitably predict an unknown dataset (Chung and Fabbri, 2008, 2003; Lombardo and 233 Tanyas, 2020; Remondo et al., 2003). Most of the landslide community adopts a purely random 234 approach for validation (Neuhäuser et al., 2012). However, such bootstrap techniques do not usually 235 perturb the dataset to the point of disaggregating the spatial structure in the data (Brenning, 2005). 236 Thus, the resulting performances do not stray away from the ones estimated for the fit. This is why a 237 fewer number of more rigorous articles adopt a spatial-cross-validation (SCV) technique instead (see 238 Brenning, 2012). For space-time models, the context explained above is even more relevant. In fact, 239 removing observations entirely at random from a large spatio-temporal domain, leaves most of the 240 data structure unchanged. Therefore, the resulting performances may be misleadingly and almost as 241 high as for the fit to the entire observed dataset. For this reason, it is important to design suitable 242 cross-validation (CV) techniques, and combine the SCV framework shown in Lin et al. (2021) together 243 with temporal CV routines. In this work, we tested a number of those to retrieve the full spectrum of 244 modeling performance offered by our space-time susceptibility model; more details will be provided 245 below. For all of them, we will use the AUC of the Receiver Operator Characteristic (ROC) curve, as a 246 performance indicator (Yang and Berdine, 2017; Zou et al., 2007).

- 247
- 248 3.3.1 Unstructured cross-validation
- 249 The simplest validation routine we adopt is a 10-fold CV for which we partition the space-time
- domain into ten mutually-exclusive subsets for training (90%) and testing (10%).
- 251
- 252 3.3.2 Spatial cross-validation
- Here we create a large gridded lattice (Figure 2), which we iteratively use to select all the single-grid-
- intersected slope units for validation. The complementary sample, is used instead for calibration.



Figure 2: Representation of the lattice over the study area for spatial validation scheme.

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258 3.3.3 Temporal cross-validation

Here we opted for a dual temporal CV approach. The first one boils down to a leave-one-landslideevent-out (LOLEO) routine, where five out of six inventories are used for calibration and the
predictive performance are iteratively monitored over the excluded inventory.

By contrast, the second approach uses a sequential criterion where the first model is built using only the first inventory (in time) and is validated over the second. In the next step, the model integrates the first and second inventories validating over the third, until the last test uses the sixth inventory for validation, being trained over the combination of all the previous ones.

- 266
- 267 3.3.4 External cross-validation

Even in the case of the validation suites we described above, the locations and time used for testing are essentially the same as used for the model fit. We opted to include another validation step based on an independent dataset to check if our model is able to extrapolate to a different space-time domain. We recall here that the multi-temporal landslide inventory mapped by NASA covers the LMR (see Amatya et al., 2022). We therefore decided to use three landslide clusters in Vietnam and two from Laos (shown later in Figure 8) as the prediction target.

274

275 **4. Results**

276 4.1 Antecedent rainfall-window

To select the best fitting cumulative rainfall capable to explain the landslide distribution, we
computed the precipitation aggregated over multiple antecedent windows and retrieved the
corresponding WAIC of each model. In complementary manner, for each aggregated rainfall measure,
we also computed a LOLEO-CV to assist the selection of a suitable rainfall window. Both WAIC and

AUC values are shown in Table 3, where we sorted the cumulative antecedent rainfall windows with

282 ascending WAIC values. Interestingly, the WAIC and AUC seem to point out at slightly different 283 antecedent windows. If we look at the WAIC results, the best antecedent rainfall corresponds to the 284 2-days cumulative antecedent rainfall window. Conversely, the highest average AUC across LOLEO-285 CVs belongs to 8-days antecedent rainfall window. An interesting consideration to be made here is 286 that despite the fact that we tested up to 14 days of antecedent rain, the top half of the ranked 287 performance table only includes a maximum of 9 antecedent days. This may indicate that any long-288 term meteorological signal may not bring any additional information to the model. In other words, it 289 is the short-term rainfall discharge that controls the landslide distribution in the study area.

290 As for the most suitable specific rainfall window, the first two best models according to the WAIC correspond to 1-day and 2-days prior to the landslide event. We recall that in Section 2.1, the 291 292 maximum dating error among landslide-events was up to 6 days. For this reason, a WAIC-oriented 293 choice would lead to a time window contained within the potential dating error. Hence, looking at 294 the next viable option, the 8-days antecedent precipitation ranks third with the lowest WAIC, but also 295 corresponds to the highest AUC resulted from the LOLEO-CV. For this reason, we opted for the 8-296 days' time-window in the remainder of the manuscript, to be used as our reference antecedent 297 window for rainfall during the modeling phase.

298

Table 3: First 7 models sorted in ascending order of WAIC with corresponding ROC-AUC values of the temporal validation.

Antecedent Rainfall Days	WAIC	Average AUC
2	23492.17	0.828
1	23636.73	0.827
8	23685.13	0.831
3	23735.00	0.830
9	23790.42	0.818
4	23824.17	0.829
7	23834.70	0.830

301

302 4.2. Model Fit

303 In this section, we initially present the estimated covariate effects, both in their linear and nonlinear 304 forms (see Figure 3). Specifically, out of the sixteen covariates used for this study, mean Slope 305 (expressed in degrees), Internal Relief (expressed in meters) and Maximum Distance (also expressed 306 in meters) were modelled as ordinal variables, with an adjacent-class-dependence driven by a 307 Random Walk of first order (RW1; for more information see Bakka et al., 2018). The remaining 308 covariates were all featured as linear effects in the model (Figure 3a). This choice emerged from a 309 number of unreported test where we individually checked each covariate behavior, to isolate those 310 that clearly required a non-linear use.

311 In Figure 3c, the mean slope displays an increasing trend, demonstrating the overall positive effect

312 of the slope steepness to the instability. A detailed look highlights a marked negative contribution

313 until approximately 25°. From this point, the mean slope regression coefficient becomes increasingly

314 positive up to 35° after which it flattens out. The posterior distribution of the mean relief (Figure 3b)

also varies in its effect . It starts by depicting a positive trend until ~600m, after which the curve

316 indicates a decrease towards negligible effects from around 1200m onwards. The use of the SU 317 maximum distance is meant to convey shape characteristics into the model under the assumption 318 that elongated slope units may be more suitable for the development of shallow flow-like landslides 319 such as the ones that comprise the inventory. This is reflected in the marginal plot (Figure 3d), where 320 short distances are associated to negative regression coefficients, which rapidly become positive 321 already at maximum lengths of 2000m.

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Figure 3: Summary of each model component expressed in terms of regression coefficients. Panel (a) reports the contribution of the covariates used linearly. The suffix μ and σ denote the mean and standard deviation values computed from the original covariates per slope unit. CAR8d represents the dynamic rainfall covariate obtained over a 8-day cumulative antecedent window. Panels (b), (c) and (d) report the contribution of the nonlinear cases.

328

As for the contribution brought by the linear effects, significantly positive contributing covariates
 include elevation (standard deviation), Eastness (mean), planar curvature (standard deviation),
 Northness (mean and standard deviation), antecedent rainfall and EVI (standard deviation).
 Conversely, significantly negative contributions correspond to elevation (mean), slope (standard

deviation), profile curvature (mean and standard deviation), EVI (mean) and roundness index of theslope unit.

We present here the model results in map form by plotting summary statistics out of each susceptibility map. Specifically, our space-time model returns the full posterior distribution of the susceptibility, from which we initially estimate two metrics namely, the posterior mean and width of the 95% credible interval (CI), measured as the difference between the 97.5 and 2.5 percentiles of the susceptibility for each of the six landslide events. These results are later combined by showing in

- Figure 4, the mean value of the six posterior mean susceptibility distributions across the six maps(Figure 4a), together with the mean of the posterior 95% CI measured across the same (Figure 4b).
- 341 342



Figure 4: Combined susceptibility of six inventories (used in calibration). Panel (a) showing the mean susceptibility, panel (b) showing the 95% credible interval.

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347 4.3. Unstructured cross-validation

Sampling the spatio-temporal domain into ten random subsets and validating each subset yields an
excellent model performance. Figure 5 displays the individual output of each validated subset. The
relative range of the AUC for the ROC curves is shown as the boxplot in Figure 5 which ranges
between 0.855 to 0.880.



Figure 5: ROC curves for AUC retrieved in 10-fold cross validation scheme along with the expanding range of AUC values.

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356 4.4. Spatial cross-validation

357 The grid numbers of the lattice over the study area (Figure 2) are used as validation blocks with

358 their representative performances summarised in Figure 6. The corresponding AUC values show a

359 variation within the grid-cells containing uneven SUs (study area covered). However, only a few

360 blocks result in 0.6<AUC<0.7, while the rest reports good to excellent values.

361



362 19 0.83
 363 Figure 6: AUC values for corresponding grid cells and combinations of grid cells obtained from the lattice over the study area for spatial validation. Purple: low AUC, Red: acceptable AUC, Yellow: good AUC, Green: excellent AUC.

365

366 4.5. Temporal cross-validation

In this section, we present two different temporal validation routines. We recall that the first one
makes use of the first inventory to predict the second, then a combination of the first two to predict
the third and so on until the sixth one. Conversely, the second routine (LOLEO) calibrates over five

the third and so on until the sixth one. Conversely, the second routine (LOLEO) candiates over in

inventories and predicts the sixth one, for each inventory separately. Figure 7a summarises the
sequential validation performance of our model, which appears to produce good classification results
according to Hosmer et al. (2003). Differently from the previous CV tests, here we observe a much
larger spread of the resulting ROC curves. The situation goes back to an excellent performance for
the LOLEO, as shown in Figure 7b.

375



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 377
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 Figure 7: ROC curves with AUC for panel (a) showing sequential temporal validation and panel (b) showing LOLEO temporal validation.

379

380 4.6. External cross-validation

Thanks to the availability of inventories in nearby areas of our study site, we can test the model transferability over an independent dataset, for landslide events mapped far away. A total of five external sites are used for such a test, where three of the sites are still located within Vietnam (but in the south) and the other two are located in Laos (see Figure 8). The resulting AUC values in Figure 8 are good to excellent with an exception to test site 4, which gives poor but better-than-random predictions.





Figure 8: External validation sites and their respective AUC values in external cross-validation scheme.

389

390 4.7. Probability threshold

391 To translate the model into an early warning system, one of the main requirements is to convert the 392 continuous spectrum of probabilities into a dichotomous output that expresses the probabilistic 393 expectation of a SU to be potentially stable or unstable. To binarize the probability spectrum, we 394 initially assessed the True Negative Rate (TNR) and the True Positive Rate (TPR) at every 0.05th 395 quantile for each of the LOLEO temporal validations. Figure 9 depicts the patterns of TNR and TPR 396 for each probability cut-off, whose intersection we considered suitable to choose a cutoff. Specifically, 397 we took the six intersection points, and took the mean of the corresponding probabilities as our 398 reference cutoff.



399

400 Figure 9: Combination of six inventories (when each used for validation) displaying sensitivity and specificity at every 0.05th quantile for selecting a threshold to define a probabilistic cutoff.

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403 4.8. Web application

404 The interactive interface of the GEE application visualizes the mean susceptibility and converts them 405 directly into alert levels using the probability cutoff mentioned in the previous section. In the 406 backhand, the platform essentially takes the posterior mean of each covariate effect and solves the 407 additive equation obtained from the original fitted model but over the data obtained for any date 408 selected by the user. Notably, this web-App was built as a proof-of-concept and cannot be used for 409 predictive purposes because CHIRPS products become available in GEE only after approximately six 410 weeks. In other words, out web-App can be used to visualize previous events but cannot be used as 411 a forecasting tool yet, given the unavailability of CHIRPS forecast product (Harrison et al., 2022) in 412 GEE to integrate in the webApp. The webApp can be accessed at this link:

413 <u>https://mahnoorahmed5593.users.earthengine.app/view/dvnamicsusceptibility.</u>

414 415

5. Discussion

416 Starting with the model selection tool, the results indicate the most suitable rainfall-window for our
417 study area to be eight days. Theoretically, a very short window would be indicative of the effect of
418 intense rainfall discharge associated to short cloudburst. However, the emerging 8-days aggregation

419 window is diagnostic of a system where the duration also plays a determinant role.

Most of the literature dedicated to temporal landslide prediction is based on rainfall thresholds and
only very few recent studies have framed the same in the multivariate space-time data-driven
contexts (see Nocentini et al., 2023; Steger et al., 2023). In this work, we follow an analogous
approach, leaning towards a probabilistic solution that holistically integrates the rainfall signal
together with terrain characteristics.

425 Another interesting element we explore here relates to the use of suitable model assessment tools.

In fact, space-time models can exhibit an internal spatio-temporal dependence, that often leads to
overly positive performance. For this reason, CV routines should break up any residual dependence
in the data, in order to highlight how a model actually predicts over unseen test data. In this work,
we do this extensively, exploring a number of spatial and temporal CV routines.

- 430 The importance of such tools inevitably falls on how efficiently a given data-driven model can be 431 extended towards its operational use. In fact, operational LEWS are often subject to large false 432 positives. These are due to overestimation of the landslide occurrence probability in areas that are 433 stable. Our model, irrespectively of the CV at hand, showed very high prediction capacity, which in 434 turn suggests that the inclusion of terrain attributes into the model helps with suitably classifying the 435 landscape. Similar considerations can be made for false negatives cases, which usually refer to 436 problematic situations where the failure of a given LEWS potentially leads to casualties. Precisely for 437 this reason, we prescribe extensive validation tests, something that in this work have displayed not 438 only locations and times where the model successfully performed but also where and when it failed. 439 Specifically, the SCV highlighted the southernmost sector of the study area (grid 18, see Figure 2) to 440 be associated with the least classification performance (Figure 6). Interestingly, when we extended 441 the SCV outside the boundaries of the study area via the external validation test, moving further to 442 the south and west, it did not show a consistent performance drop. Actually, most of the unstable SUs 443 were successfully predicted with the exception of site 4 (see Figure 8). Therefore, since our model 444 does not include some important covariate information (lithology, distance to road and river, etc.)
- which can be used to enhance the model and prediction ability, we cannot definitively conclude the

446 reason behind this specific performance drop. Irrespective of such site-specific results, our space-447 time model suitably predicted the distribution of stable/unstable SUs. However, the assessment 448 discussed so far mainly revolves around the spatial dimension and needs to be therefore extended in 449 time for our model to be evaluated as a LEWS valid alternative. We explored this element in our 450 sequential and LOLEO-CV procedures, where almost all out-of-sample results were associated to an 451 excellent performance. The only exception corresponded to the output of validating on inventory 2 452 in the sequential temporal validation scheme (see Figure 7a). Interestingly, this inventory is 453 associated with the least number of landslides among all. Therefore, the low performance shown in 454 this case leads to two considerations. The first one is that the reason for such drop can be justified 455 with a model that may have locally overestimated the slope response. In turn, this implies that a 456 potential failure if adopted as a LEWS would most likely produce false positives, and would therefore 457 not lead to expected losses, which are common in case of the opposite error type. Also, a possible 458 reason for the performance drop may be due to the error in the dating corresponding to a possible 459 window of five days (see Table 2). This is something that unfortunately cannot be addressed here but 460 that future research directions could potentially solve. In fact, a number of recent studies are trying 461 to limit the error in landslide dating by incorporating information (coherence amplitude drop) from 462 radar satellites in addition to the traditional optical one. Moreover, an increasing number of 463 investments in new constellations would likely cover the earth surface on a more frequent basis in 464 the future, thus limiting the dating error even further.

We also stress once more the importance of an uncertainty estimation to be incorporated as part of
any probabilistic model for landslide prediction. Here this is possible to produce it natively due to
our Bayesian framework, but we also recommend it in case of frequentist alternatives via
bootstrapping. Unfortunately, this is not always part of LEWS.

469 As an additional nested experiment, we also tested the most suitable probability cutoff 470 representative of multiple available landslide inventories. To do so, we have proposed a combination 471 of sensitivity and specificity values to be explored as a function of a quantile description of the 472 susceptibility spectrum (optimal region roughly between the 0.70th -0.85th quantiles). The resulting 473 cutoff was used to maximize the classification results displayed via the LEWS, which we translated 474 into the 'warning' and 'no warning' shown in our interactive GEE WebApp. Notably, our WebApp 475 highlights both the strengths of our approach as well as its weaknesses. In fact, as interesting as our 476 space-time model may be and as transparent our results may be via the WebApp, the system is bound 477 to the rainfall product we used (CHIRPS). This product has a native latency of 1.5 months, which is 478 the time required to make the data available in GEE, after the data itself have been re-processed to 479 minimize the bias between raw radar acquisitions and ground-based rain gauge measures. For this 480 reason, our model is only theoretically useful in an operational sense. In reality, by the time the 481 rainfall estimates become available, potential landslides have already manifested and produced 482 damages. Currently, we see our model as a proof-of-concept of how the future generation of LEWS 483 may become. However, for this to happen, we already see potential improvements in the form of 484 rainfall data usage and processing. The first development involves the use of rainfall forecasts (e.g., 485 GPM/TRMM) rather than re-processed data. In fact, if a LEWS would prove to suitably predict 486 landslides as a function of past records of precipitation forecast, one could then use the space-time 487 architecture as the basis for simulating future unstable SUs, plugging in future rainfall projections. 488 However, even in this case, two main issues may affect the rainfall data and hence the model itself.

489 The former is the difference between forecast data and rain gauge measurements, which should be 490 ideally minimized. Interestingly, a number of valid solutions have been recently shown to minimize 491 the gap or bias between observations and satellite estimates, individually (Beck et al., 2019, 2017) or 492 through smart-data blending (Beikahmadi et al., 2023). Therefore, we expect better rainfall products 493 in the future and through them better LEWS. Where we see the major challenge is in the way to 494 account for precipitation uncertainties. Currently, the uncertainty in the expected weather systems 495 varies as one gets closer to the day of interest. In other words, precipitation patterns and amounts 496 forecasted 10 days in advance may be different and less reliable as compared to the same parameters 497 forecasted a day or just few hours ahead of time (Cuo et al., 2011). Our current regional model 498 requires 8-days cumulative antecedent rainfall, but other study areas may require less. Therefore, 499 the potential transferability of such space-time solution would need to be tested also in the context 500 of different uncertainty levels in the rainfall input. In this sense, we see the Bayesian framework as a 501 perfect modeling platform to propagate different levels of uncertainty, recommending it especially 502 in such cases, and among the available solutions, INLA would most likely offer the best estimation 503 paradigm that allows fast Bayesian inference with relatively complex models (Simpson et al., 2011).

6. Conclusion

504 505

506 Early warning systems for rainfall-induced landslides have historically treated the precipitation 507 signal separately from the landscape characteristics typical of susceptibility studies. However, space-508 time data-driven solutions allow one for incorporating both elements at once, potentially opening up 509 for a new generation of alert systems. This work explores this topic, using several landslide 510 inventories mapped for the northern territory of Vietnam. In doing so, we demonstrate how space-511 time statistics can efficiently predict landslide occurrences, featuring a number of nested 512 experiments, from the use of Bayesian models, to performance assessment via a suite of 513 spatiotemporal CVs and ultimately by showcasing how web applications can graphically convert the 514 results in a way that anyone can freely access them.

515 Despite the novelty, few elements still require further investigation before offering operational 516 solutions, among them the use of rainfall forecast data rather than past projections. Moreover, we 517 also envision additional efforts to be required for moving beyond the susceptibility context. For 518 instance, one could model the extent of the landslides in space and time to ultimately generate space-519 time intensities rather than occurrence probabilities (Lombardo et al., 2020, 2018). Ultimately, this 520 approach could even be extended to bind exposure data in space and time, giving birth to risk-521 oriented LEWS. To do so, one would need the statistic information of buildings and infrastructure as 522 well as the dynamic information of population densities. Overall, this is to say that space-time data-523 driven models are at an infancy phase in the context of landslides. They can certainly constitute the 524 foundation for even systems that may exploit reliable rainfall forecast and return impact-based 525 predictions.

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