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












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## Covalency, correlations, and interlayer interactions governing the magnetic and electronic structure of $\text{Mn}_3\text{Si}_2\text{Te}_6$

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(Received 1 March 2023; accepted 22 June 2023; published 11 August 2023)

$\text{Mn}_3\text{Si}_2\text{Te}_6$  is a rare example of a layered ferrimagnet. It has recently been shown to host a colossal angular magnetoresistance as the spin orientation is rotated from the in- to out-of-plane direction, proposed to be underpinned by a topological nodal-line degeneracy in its electronic structure. Nonetheless, the origins of its ferrimagnetic structure remain controversial, while its experimental electronic structure, and the role of correlations in shaping this, are little explored to date. Here, we combine x-ray and photoemission-based spectroscopies with first-principles calculations to probe the elemental-selective electronic structure and magnetic order in  $\text{Mn}_3\text{Si}_2\text{Te}_6$ . Through these, we identify a marked Mn-Te hybridization, which weakens the electronic correlations and enhances the magnetic anisotropy. We demonstrate how this strengthens the magnetic frustration in  $\text{Mn}_3\text{Si}_2\text{Te}_6$ , which is key to stabilizing its ferrimagnetic order, and find a crucial role of both exchange interactions extending beyond nearest-neighbors and antisymmetric exchange in dictating its ordering temperature. Together, our results demonstrate a powerful methodology of using experimental electronic structure probes to constrain the parameter space for first-principles calculations of magnetic materials, and through this approach, reveal a pivotal role played by covalency in stabilizing the ferrimagnetic order in  $\text{Mn}_3\text{Si}_2\text{Te}_6$ .

DOI: [10.1103/PhysRevB.108.054419](https://doi.org/10.1103/PhysRevB.108.054419)

### I. INTRODUCTION

While three-dimensional magnets are common-place, long-range order is strictly forbidden to occur in one-dimensional systems [1]. Layered magnetic materials present a novel environment in which to study the critical dimensionality between these two extremes, with finite interplane coupling expected to have a strong influence on the development of long-range order, magnetic anisotropy, and the role of fluctuations [2]. In this respect,  $\text{Mn}_3\text{Si}_2\text{Te}_6$  (MST) is a particularly intriguing compound. It forms in the  $P\bar{3}1c$  space group [No. 163, Figs. 1(a) and 1(b)] [3,4], containing two inequivalent Mn sites. The  $\text{Mn}_{(1)}$  atoms sit at the center of edge-sharing  $\text{MnTe}_6$  octahedra. The resulting Mn atoms would form a triangular lattice. However, 1/3 of the sites

are occupied by a Si-Si dimer, leaving the  $\text{Mn}_{(1)}$  atoms in a honeycomb configuration [Fig. 1(b)], akin to the Cr sites of the layered van der Waals magnet  $\text{Cr}_2\text{Ge}_2\text{Te}_6$  (CGT) [5–7]. Unlike CGT, however, extra magnetic ions ( $\text{Mn}_{(2)}$ ) are situated between the  $\text{Mn}_{(1)}$  layers, filling the van der Waals gap. These self-intercalated  $\text{Mn}_{(2)}$  sites form a triangular lattice, with one  $\text{Mn}_{(2)}$  atop every second  $\text{Mn}_{(1)}$  site, providing a bridging link between the  $\text{Mn}_{(1)}$  layers and establishing a more three-dimensional structure. Nevertheless, the samples cleave easily with a standard top-post method, exposing a flat and uniform surface.

The Mn ions have been reported to develop a long-range magnetic order below  $\approx 75$  K [8], with the Mn moments aligned ferromagnetically within each Mn layer, but with antiferromagnetic coupling between neighboring layers [Fig. 1(a)] [9]. This leads to an overall ferrimagnetic structure [8,10]. This speaks to a critical role of the bridging  $\text{Mn}_{(2)}$  sites, leading to markedly different interlayer interaction as compared with ferromagnetic CGT [9,11]. Indeed, the exchange interactions for the first three nearest-neighbors,  $J_1$ ,  $J_2$  and  $J_3$  [Fig. 1(b)] are known to be antiferromagnetic [9].

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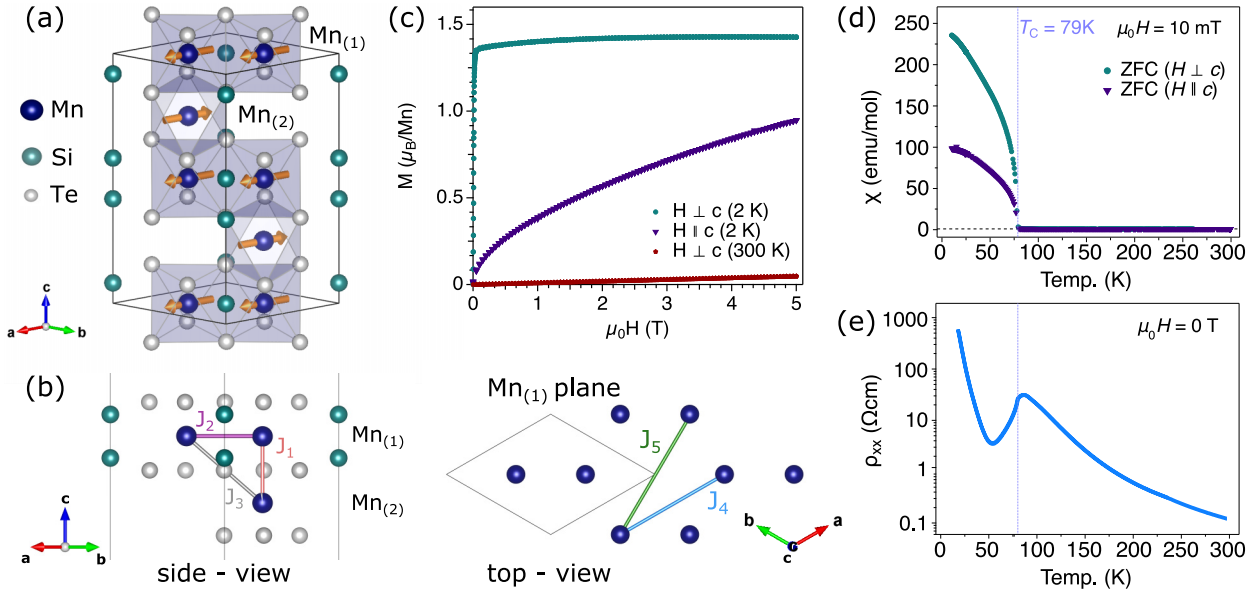


FIG. 1. Ferrimagnetism in  $\text{Mn}_3\text{Si}_2\text{Te}_6$ . (a) Crystal structure of MST, indicating the ferrimagnetic alignments of Mn moments within the (001) easy  $ab$  plane. (b) Side and top views showing the atomic arrangements together with the exchange interactions  $J_i$  between the Mn atoms considered in this work. (c)  $M$  vs  $H$  magnetization curves measured at  $T = 2$  K and  $T = 300$  K for  $H \perp c$  and  $T = 2$  K for  $H \parallel c$  geometries. (d) Temperature-dependent dc magnetic susceptibility ( $\chi$ ) as measured in zero-field cooled (ZFC) warming mode in a small field of 10 mT applied along the crystal directions parallel and perpendicular to the  $c$  axis. (e) Planar resistivity ( $\rho_{xx}$ ) measured in zero field.

This creates competing interactions which, combined with the geometrical arrangement of the Mn-atoms in the MST lattice [side-view of Fig. 1(b)], has been proposed to result in a high degree of magnetic frustration in this system.

It remains poorly understood, however, how the overall ferrimagnetic ground state of MST is stabilized. Moreover, while the Mn ions would nominally be expected in a 2+ valence state with a quenched orbital moment, this has recently been questioned, as a huge magnetic anisotropy (up to 13 T) has been reported [12–14]. The intra- and interlayer magnetic couplings in MST thus require further exploration, while the underlying electronic structure from which the magnetic order emerges, and the degree to which ligand hybridization vs electronic correlation effects dominate the magnetic coupling, remain almost completely unexplored to date.

To address this, here we present a combined theoretical and experimental study into the electronic structure and magnetic interactions of MST. Our results point to a significant Mn-Te orbital hybridization. We show how this promotes additional exchange coupling terms, inclusion of which is essential to obtain an accurate description of the magnetic anisotropies and ordering temperature in MST. Together, our results thus provide key insights on the interplay and influence of dimensionality, anisotropy, covalency, and correlations on the magnetic fluctuations of quasilayered magnetic materials.

## II. METHODS

### A. Density-functional theory

We performed density-functional theory (DFT) simulations, using the Vienna *ab initio* Simulation Package (VASP) [15,16]. The generalized gradient approximation (GGA) based on the Perdew-Burke-Ernzerhof (PBE)

functional [17] was employed to treat the exchange-correlation interaction. We considered the localized 3d electron correlation of Mn atoms by using the GGA +  $U$  method [18,19], with an effective Hubbard  $U$  parameter chosen to be 1 eV, and other effective  $U$  choices of 0, 2, and 3 eV also tested and compared. The projector-augmented-wave (PAW) potentials [20,21] were used to describe the electron-ion interaction. The energy cutoff was selected to be 550 eV for the plane-wave basis set. The Brillouin zones were sampled using a  $6 \times 6 \times 4$   $k$  grid mesh in the  $\Gamma$ -centered scheme. The forces convergence threshold on each atom was chosen as 0.005 eV/Å and the self-consistent calculations were stopped when the energy difference was smaller than  $10^{-7}$  eV per atom. We optimized ionic positions with ground-state ferrimagnetic magnetic configuration, and experimental lattice constants were used and fixed in all calculations.

### B. Monte Carlo calculations

A standard Metropolis algorithm has been used for Monte Carlo (MC) simulations, with  $10^5$  MC steps for equilibration and  $5 \times 10^5$  MC steps for averaging. Starting from the crystallographic unit cell, comprising four  $\text{Mn}_{(1)}$  and two  $\text{Mn}_{(2)}$  sites, we performed calculations on a  $16 \times 16 \times 8$  supercell with  $N_s = 12288$  spins. The transition temperature can be estimated from the peak appearing in the temperature evolution of the specific heat per spin, evaluated as

$$C_v = \frac{k_B \beta^2}{N_s} [\langle E^2 \rangle - \langle E \rangle^2], \quad (1)$$

where  $E$  is the energy calculated using model (4),  $k_B$  is the Boltzmann constant, and  $\beta = 1/k_B T$ , while  $\langle \dots \rangle$  indicates

statistical averages. We also define the ferromagnetic  $F$  and ferrimagnetic  $f$  order parameters as

$$\begin{aligned} F &= \frac{1}{6} \left( \sum_{i=1,4} S_i + \sum_{i=1,2} s_i \right), \\ f &= \frac{1}{6} \left( \sum_{i=1,4} S_i - \sum_{i=1,2} s_i \right), \end{aligned} \quad (2)$$

where  $S_i$  and  $s_i$  label here the spins on  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  sites, respectively, within the unit cell, with associated (generalized) susceptibilities for the magnetic order parameters  $OP = F, f$  calculated as

$$\chi^{OP} = \beta N_s [\langle OP^2 \rangle - \langle OP \rangle^2]. \quad (3)$$

Results of Monte Carlo calculations are summarized in Sec. III C. We used four sets of parameters in our simulations: two sets correspond to the full model parametrization, while two sets consist in simplified model with isotropic  $J_1^{\text{iso}}$ , ( $J_2^{\text{iso}} + J_5^{\text{iso}}$ ),  $J_3^{\text{iso}}$  exchange interactions and single-ion anisotropy terms. In all cases, we find a transition to an ordered ferrimagnetic phase characterized by ferromagnetic layers of  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  spins antiferromagnetically aligned and lying in the basal plane. The critical temperature is inversely proportional to the value of  $U$ , getting lower as the Hubbard parameter is increased, as expected. On the other hand, the full model parametrization entails a stronger magnetic frustration arising from longer-range antiferromagnetic interactions within the honeycomb layers, that reduces the transition temperature by about 20% with respect to the simplified model. The range of the estimated critical temperatures settles between 89 and 118 K for  $U$  between 2 and 1, respectively.

### C. Sample growth and characterization

$\text{Mn}_3\text{Si}_2\text{Te}_6$  single-crystals were grown by the chemical vapor transport method using iodine as a transport agent, as described in Ref. [4]. X-ray diffraction (XRD) reported in the Supplemental Material [22] Fig. S1(a) shows only (00 $l$ ) Bragg peaks of the expected MST structure, indicating that the facets of the obtained crystals [see inset of Fig. S1(a)] are parallel to the  $ab$  plane. The cell parameters extracted from fitting the XRD data are  $a = b = 7.077\,00(6)$  Å and  $c = 14.25081(2)$  Å. A Quantum Design Magnetic Property Measurement System was used to measure the magnetization between 1.8 and 300 K in applied fields up to 5 T. A Quantum Design Physical Property Measurement System was used to measure electrical resistivity between 20 and 300 K.

### D. X-ray and ultraviolet spectroscopies

For our spectroscopic measurements, samples were cleaved in ultrahigh-vacuum (UHV) using a top-post method. X-ray absorption spectroscopy (XAS) and x-ray magnetic circular dichroism (XMCD) measurements were performed using the electromagnet end station of the I10 beamline at the Diamond Light Source, UK. The pressure was better than  $10^{-9}$  mbar, and measurements were performed across the Mn  $L_{2,3}$  absorption edge in total electron yield (TEY) detection, thus probing  $\approx 6$  nm depth from the sample surface. Spectra

were measured at 10 K with left- (CL) and right-circularly (CR) polarized x rays in both normal- and grazing-incidence (i.e., at an angle of  $20^\circ$  from the  $ab$  plane) geometries [see Supplemental Material Figs. S2(a) and S2(b)] [22]. An applied field of 1.4 T collinear with the beam axis was applied to magnetize the sample. The main error source on the XAS and XMCD quantitative data analysis arises from the step-edge background subtraction. To estimate this, each spectrum was analyzed using different step-edge background choices (mainly varying the energy range of interest as this was found to affect the background shape the most) and we extracted the standard error deviation from the set of obtained values. The  $m_s$  sum rules have been corrected for Mn  $jj$ -coupling [23] and the temperature dependent XMCD asymmetry has been calculated from the dichroic signal at the  $L_3$  absorption peak as

$$A = \frac{I_{\text{CR},L_3} - I_{\text{CL},L_3}}{I_{\text{CR},L_3} + I_{\text{CL},L_3}}.$$

The error on the asymmetry has been estimated from the XMCD residual value obtained for several measurements performed well above the transition temperature.

Resonant photoemission spectroscopy (resPES) measurements were performed at the APE-HE beamline (Elettra synchrotron, Italy) at a base pressure lower than  $10^{-10}$  mbar, with the sample temperature kept at  $\approx 107$  K. XAS across the Mn  $L_{2,3}$  edge was performed in linear horizontal polarization and in TEY detection to determine the relevant energies for resPES. Measurements were acquired by a Scienta Omicron R3000 hemispherical electron energy analyzer. The binding and photon energies were calibrated with the Fermi edge of a gold reference sample, assuming a work function of 4.4 eV. For better comparison with the experiment, our P-DOS DFT calculations were convolved with a Voigt function to emulate lifetime broadening of 0.01 eV and an experimental resolution 0.3 eV.

The ARPES data were acquired at the APE-LE beamline (Elettra synchrotron) with a Scienta DA30 hemispherical electron energy and momentum analyzer, with the samples held at a temperature of 77 K. The base pressure in the chamber was better than  $10^{-10}$  mbar. Energy and angular resolution were better than 40 meV and  $20^\circ$ , respectively.

## III. RESULTS AND DISCUSSION

### A. Magnetic order

Consistent with prior studies [8,9,24], our single-crystal samples [see Supplemental Material [22] Fig. S1(a)] exhibit finite net magnetization onset below a transition temperature ( $T_C$ ) of  $\approx 79$  K [Fig. 1(d)] as can be readily seen in Fig. 1(c) by comparing the  $M$  vs  $H$  magnetization curves performed in  $H \perp c$  geometry at  $T = 2$  K vs  $T = 300$  K. We also show the inverse susceptibility in the Supplemental Material Fig. S1(b) [22]. The  $M$  vs  $H$  data collected at  $T = 2$  K [Fig. 1(c)] indicate a large magnetic anisotropy with a magnetic hard axis along the  $c$  axis: the magnetization fully saturates to  $1.42 \mu_B \pm 0.02 \mu_B \text{ Mn}^{-1}$  in the  $ab$  plane ( $H \perp c$ ) for less than 2 T magnetic field, while 5 T is insufficient to saturate the moment in the  $H \parallel c$  configuration. Consistent with this large anisotropy, Fig. 1(d) shows that the magnetic



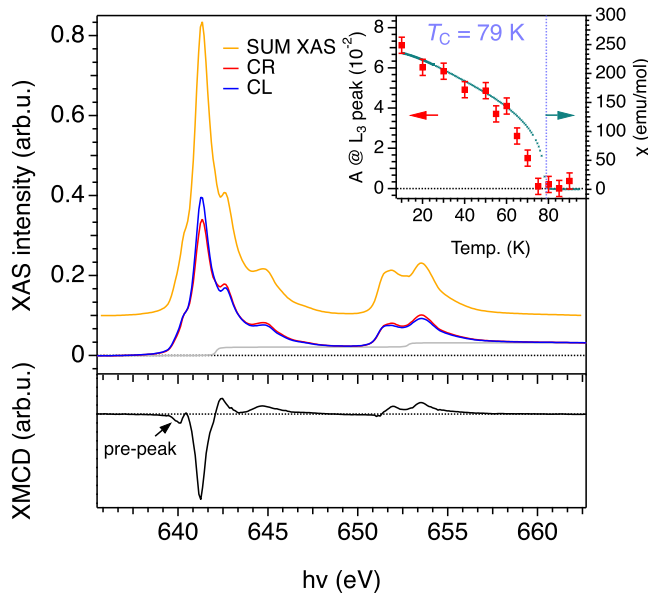


FIG. 2. Spectroscopic investigation of the magnetic order in grazing incidence geometry. (top graph) Mn  $L_{2,3}$  XAS measured at 10 K with an applied field of 1.4 T for the two opposite x-ray helicities: circular right (CR, red) and circular left (CL, blue). The yellow curve shows the Mn  $L_{2,3}$  XAS summed over the CR and CL spectra after the step-edge background (gray) subtraction. (bottom graph) X-ray magnetic circular dichroism (XMCD) obtained from the difference between the two absorption spectra. The black arrow denotes the prepeak feature, which is a fingerprint for Mn hybridization with the ligand. (inset) Temperature-dependent XMCD asymmetry measured at remanence (i.e., in zero applied field as a function of increasing temperature) after first field-cooling the sample. The magnetic susceptibility curve obtained from bulk measurements (green curve) is also shown. The dashed-dotted vertical line marks the magnetic ordering temperature.

molar susceptibility is more than a factor of two larger for the magnetic field aligned perpendicular vs parallel to the  $c$  axis.

The simplest picture in which to describe this magnetic order is to consider fully localized Mn spins, with Mn in a 2+ oxidation state, and hence nominal  $3d^5$  configuration [24,25]. Meanwhile,  $\text{Te}^{2-}$  would be expected to contribute a filled  $p^6$  valence band, leading to semiconducting transport [Fig. 1(e), Refs. [8,9,12,26]]. Nonetheless, a pronounced kink in the measured resistivity at the magnetic ordering temperature points to a non-negligible coupling between the magnetism and charge carriers in the system, beyond the simple picture outlined above. To investigate this further, we measured x-ray spectroscopy at the Mn  $L_{2,3}$  edge, as shown in Fig. 2. The x-ray absorption measurements (yellow curve in Fig. 2) shows a spectrum broadly reminiscent of those observed in other nominally  $\text{Mn}^{2+}$  compounds [27,28]. The branching ratio  $L_3/(L_3 + L_2)$  exceeds the expected  $2/3$  statistical value (i.e.,  $0.768 \pm 0.004$ ), consistent with a nominal Mn high-spin state and with the observed semiconducting transport behavior [29,30]. We stress, however, that a picture of fully localized Mn moments is an oversimplification. Hints of this are already detectable in its XAS features, where the characteristic multiplet structure is smeared out and less pronounced than

in typical ionic  $\text{Mn}^{2+}$  compounds [31]. This provides a first spectroscopic indication that in fact there is a non-negligible hybridization of the Mn with the chalcogen ions, a point we return to below. Our x-ray absorption data show a clear circular dichroism at low temperature, confirming that the magnetism of MST primarily proceeds via ordering of the Mn spins. The x-ray magnetic circular dichroism (XMCD) signal obtained at 10 K in a grazing incidence geometry (with a 1.4 T field applied at  $20^\circ$  to the  $ab$  plane) is approximately twice as large as for a normal-incidence geometry where the field is applied along the  $c$  axis, in good agreement with the magnetic susceptibility measured by SQUID [Fig. 1(d)]. Temperature-dependent XMCD spectra measured in remanence, after the sample was field-cooled to 10 K in a 1.4 T planar field (inset of Fig. 2), yield a XMCD asymmetry which closely follows the bulk demagnetization [Fig. 1(d), reproduced in green in the inset of Fig. 2]. In good agreement with the bulk  $T_C$ , the XMCD asymmetry vanishes at  $T \approx 75$  K becoming comparable to our measurement error [see Fig. S2(c) in Supplemental Material for the full temperature-dependent XMCD spectra [22]].

Having established that our spectroscopic measurements probe bulk-like magnetic properties in MST, we turn to the insights on the magnetic and electronic structure which they advance. The main spectrum arises from the multiplet structure of the Mn  $d^5$  states that are split by the crystal-field interaction. In addition, we observe a distinct prepeak feature of  $(-, +)$  shape, marked by a black arrow in the bottom graph of Fig. 2. Such a pre-edge feature in other Mn-based compounds has been ascribed to transitions from the Mn  $2p$  core level to unoccupied  $p-d$  hybridized valence states [32], and thus indicates hybridization with the Te  $5p$  valence states here. Indeed, the shape of our measured XMCD is similar to that found previously for  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$ , where the ground state of Mn is found as a hybridized state with 16%  $d^4$ , 58%  $d^5$ , and 26%  $d^6$  character [28]. This corresponds to an average  $d$  count of 5.1, namely, 10% of an electron is transferred from the Te to the Mn site. Such non-negligible covalency implies that the Mn in  $\text{Mn}_3\text{Si}_2\text{Te}_6$  is not in a purely ionic  $d^5$  state (cf. 50% for a purely covalent bond). We note that, in an Anderson impurity or cluster model, which was also used to calculate the multiplet spectrum, the electron distribution over the metal and ligand atoms is primarily determined by the interplay of three quantities, namely, the hybridization, the charge transfer, and the onsite Coulomb energy. Most importantly, this shows a sizable distribution over the three configurations  $d^4$ ,  $d^5$ , and  $d^6$ . Such substantial deviation from the ionic half filled Mn  $d$ -shell can promote a magnetic alignment. To consolidate these findings, we applied quantitative sum-rule analysis to our XMCD data-set, to extract the atom-specific orbital and spin magnetic moments ( $m_l$  and  $m_s$ , respectively) [23,33,34]. Our results indicate a smaller than expected ordered spin moment of  $m_s = (0.546 \pm 0.004)\mu_B \text{ Mn}^{-1}$ —likely due to a finite out of plane component of the applied field for our experimental geometry, thus not reaching saturation for our available fields. Nonetheless, consistent with prior calculations [9], we find a small but positive orbital moment of  $m_l = (0.022 \pm 0.004)\mu_B \text{ Mn}^{-1}$  (in agreement with the DFT value of  $0.027 \mu_B$  per Mn), with  $m_l/m_s = 0.040 \pm 0.005$ , further confirming the deviation from a purely high-spin localized picture of the Mn atoms in this system. The positive sign of this ratio

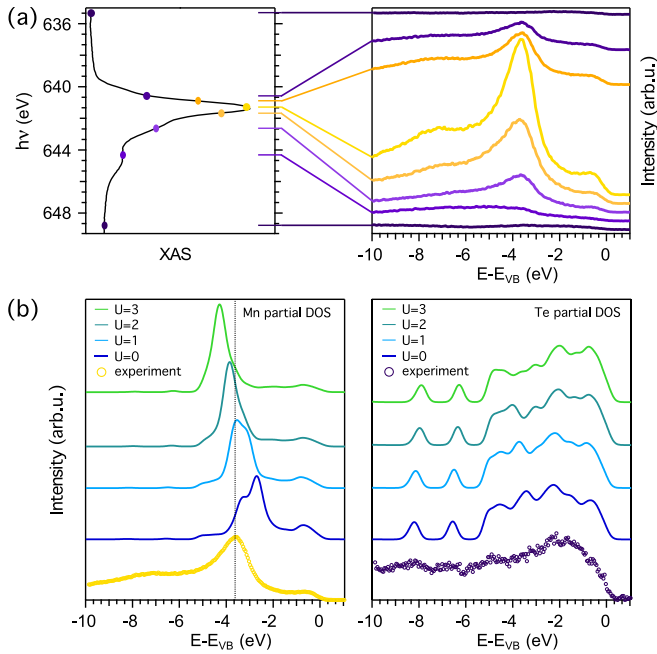


FIG. 3. Orbital hybridization in the  $\text{Mn}_3\text{Si}_2\text{Te}_6$  valence band. (a) Angle integrated, resonant photoemission (ResPES) of the valence band measured scanning the excitation energy across the Mn  $L_3$  absorption edge. Colored circles on the XAS spectra (left panel) mark the photon energies at which the valence-band photoemission measurements were performed (right panel). The energy is referenced to the top of the valence band ( $E_{VB}$ ) and the VB spectra are vertically offset for clarity. (b) The Mn (left) and Te (right) partial density of states (P-DOS) as determined by DFT calculations as a function of  $U$  and compared with the experimental data. The Mn partial density of states was experimentally evaluated as the difference between the on- and off-resonance photoemission spectra (measured at  $h\nu = 641.4$  eV and  $h\nu = 635.5$  eV, respectively) while the Te partial DOS was taken as the VB measured just before the Mn absorption edge (i.e., at  $h\nu = 635.5$  eV). The best agreement between the experimental and calculated data (see, e.g., dashed line as a guide to the eye) is found for the Mn partial DOS calculated at  $U = 1-2$  eV.

points directly to a  $d$  shell which is more than half filled, entirely consistent with our above qualitative assessment of the spectral lineshapes observed. Indeed, an orbital to spin ratio of 0.04 tallies with a  $d$  count of  $\approx 5.1$  electrons/Mn, with the small excess of electrons compared with  $\text{Mn}^{2+}$  implying the presence of holes in the Te  $5p$  band.

## B. Electronic structure

To address the role and extent of this implied covalency, we combine resonant photoemission spectroscopy (resPES) measurements of the valence-band electronic structure with calculations from density-functional theory. Figure 3(a) shows the valence-band photoemission measured while scanning the photon energy across the Mn  $L_3$  absorption edge. The data show a prominent Mn-derived state located at  $\approx 3.6$  eV binding energy, whose photoemitted intensity closely follows the  $L_3$  XAS signal. This can thus be attributed as a nominally localized Mn component, which carries the majority of the

spin moment in this system. Nonetheless, we find that there are increases in spectral weight through the Mn resonance not just for this peak, but more broadly for the entire valence-band region. This implies that Mn becomes hybridized throughout the valence bands, as is evident from the Mn partial density of states (P-DOS) extracted as the difference of the PES measurements performed on- and off- resonance shown in Fig. 3(b).

This directly points to a significant hybridization between Mn and Te orbitals in this system. This implies an electronic delocalization, and increased bandwidth, which in turn can be expected to weaken the effective electron-electron Coulomb correlations in MST. This can be expected to play a crucial role in the exchange-coupling among the Mn spins [9,12,13,35], and a quantification of the extent of electronic correlation vs covalency in MST is strongly required. To this end, we compare the experimental Mn and Te P-DOS (the latter extracted from off-resonant measurements) with the results of DFT +  $U$  calculations in Fig. 3(b). While the Te P-DOS only weakly changes with increasing Hubbard  $U$  parameter used in the calculation, the intense Mn-derived peak shifts rapidly away from the Fermi level, while its shape also becomes modified. The prominent peak in the on-resonance resPES data provides a robust experimental feedback, allowing best matching the Hubbard  $U$  parameter for MST from comparison with our Mn P-DOS calculations. Consistency between the experimental measurements and theoretical calculations is found only for  $U$  in the range of 1–2 eV. This is substantially lower than typical  $U$  values of about 4 eV found for  $\text{Mn}^{2+}$  oxides [36] and even other chalcogenides such as  $\text{MnSe}_2$  [37] and  $\text{MnTe}_2$  [38], and lower than previously utilized for calculations of MST [9,26]. A deep physical interpretation of the  $U$  value within the DFT +  $U$  approach is not straightforward, due to the lack of formal correspondence between DFT +  $U$  and the full many-body approach characteristic of the Hubbard model. Nonetheless, the small value of the Hubbard parameter here points to a significant reduction of correlations in MST, due to pronounced ligand hybridization.

In the occupied states, our calculations [ $U = 1$  eV, Figs. 4(a)–4(d)] indicate a set of flat bands visible at an energy of  $\approx 3-4$  eV below the valence-band top. These match well the peak in the Mn P-DOS visible in our res-PES measurements discussed above, as well as a corresponding nondispersive feature visible in our measured dispersions from angle-resolved photoemission spectroscopy [ARPES, Figs. 4(e) and 4(f)]. Our orbitally projected calculations indicate that these derive dominantly from the Mn states. However, consistent with our x-ray spectroscopic measurements, there is a strong hybridization of the Mn states throughout the valence region. Interestingly, the two inequivalent Mn sites hybridize differently with the ligand valence states.  $\text{Mn}_{(1)}$  [Fig. 4(a)], which sits within the  $\text{MnSiTe}_3$  layer [Fig. 1(a)], has significant weight throughout the entire valence-band bandwidth, while  $\text{Mn}_{(2)}$ , which sits in the interstitial sites between the layers, has a strongly localized Mn peak, exhibiting less intermixing with the Te  $p$  orbitals across the rest of the valence band.

The Te states, meanwhile, contribute rather dispersive hole-like bands across a broad bandwidth of  $\approx 5$  eV [Fig. 4(c)]. Our orbitally resolved calculations indicate that

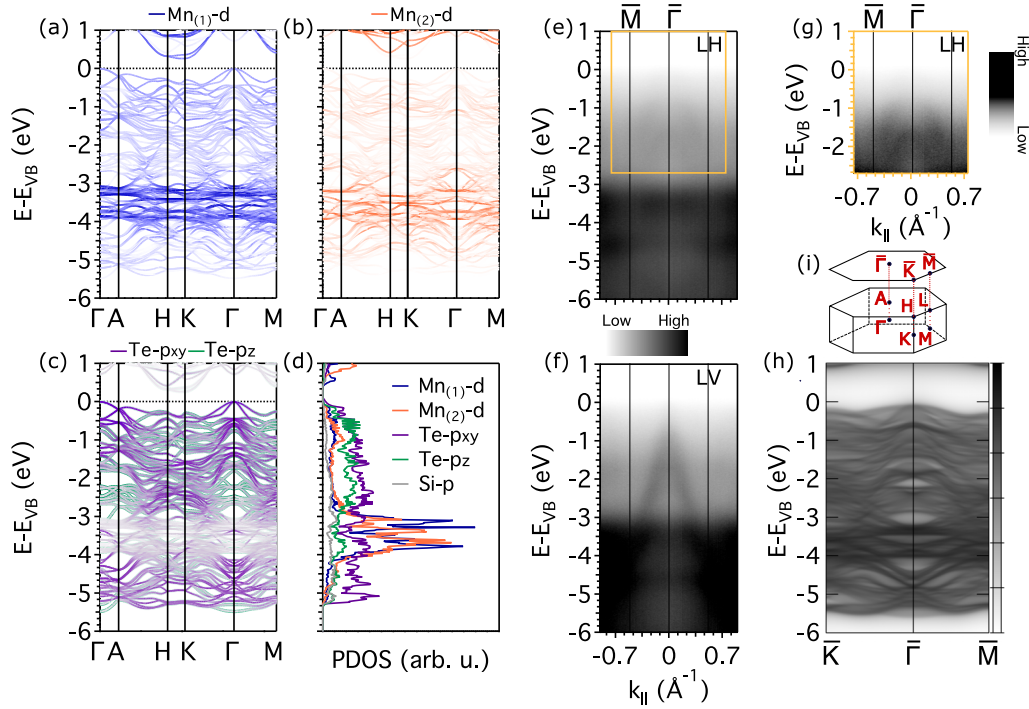


FIG. 4. Electronic structure of  $\text{Mn}_3\text{Si}_2\text{Te}_6$ . Calculated band dispersions along high-symmetry directions, projected on the (a)  $\text{Mn}_{(1)}$  and (b)  $\text{Mn}_{(2)}$   $d$  orbitals and (c) the Te  $p$  orbitals. Panel (d) shows the corresponding partial DOS. Calculations were performed with  $U = 1$  eV. ARPES spectra acquired along  $\bar{\Gamma}\text{-}\bar{M}$  at 58.5 eV photon energy for both (e) horizontal (LH) and (f) vertical (LV) linearly polarized light, which show a strong dichroism, particularly for the Te-derived states. (g) A magnified view of (e) with enhanced contrast, better highlighting the upper Te-bands. (h) Bulk states projected on the (001) surface Brillouin-zone plane. (i) Bulk and surface-projected Brillouin zone marking the relevant high-symmetry points.

the valence-band maximum (VBM) is mostly contributed by in-plane Te  $p_{xy}$  states and  $\text{Mn}_{(1)}$ , with wave functions thus mainly localized within the honeycomb layer. On the other hand, Te  $p_z$  states provide a channel for interlayer hybridization between the  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  ions. While many states are observed in our calculations due to the large unit cell of MST, the photoemission spectral weight enhances two such dispersive states [Figs. 4(e)–4(g)], with the hybridized hole-like dispersion appearing rather broad in the ARPES measurements. This is consistent with them having a three-dimensional character, while the surface sensitivity intrinsic to ARPES, combined with the large  $c$ -axis lattice constant [see Supplemental Material Fig. S1(a) [22]], leads to substantial  $k_z$  broadening. This is also reflected in the surface-projected DFT calculations shown in Fig. 4(h). Aside from the surface-projected band features, broad background intensity is seen across the whole valence-band energy range (up to  $-6$  eV), broadly consistent with our experimental results.

Finally, we note that there is a strong demarcation in the contribution of the  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  states to the conduction-band electronic structure as can be readily seen in Figs. 4(a) and 4(b). The lowest energy states are contributed almost entirely from the  $\text{Mn}_{(1)}$  site, with some intermixing of the Te, while the  $\text{Mn}_{(2)}$  states are located approximately 0.70–0.85 eV higher in energy. The different chemical environments of  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  may again account for their different contributions to the conduction bands, with the lowest conduction-band minimum found to be located almost entirely in the honeycomb layer.

### C. Exchange interactions

The above comparison between DFT and our spectroscopic results strongly constrains the relevant  $U$  parameter to relatively small values in MST. This, in turn, has a sizable influence on the magnetic interactions and ordering tendencies in this system. To explore this, we have developed a magnetic model, where the exchange coupling constants have been estimated by mapping the *ab initio* total energies onto a classical Heisenberg model, that can be generally expressed as

$$H = \frac{1}{2} \sum_{ij} \mathcal{S}_i \cdot \mathcal{J}_{ij} \cdot \mathcal{S}_j + \sum_i \mathcal{S}_i \cdot \mathcal{A}_i \cdot \mathcal{S}_i. \quad (4)$$

Exchange interactions between classical spins at sites  $i, j$  are described here by the tensor  $\mathcal{J}_{ij}$ , including anisotropic effects, while the second term accounts for the magnetic single-ion anisotropy (SIA). The full exchange tensor can be decomposed into its isotropic part  $J_{ij}^{\text{iso}} = \frac{1}{3} \text{Tr} \mathcal{J}_{ij}$ , an antisymmetric term  $\mathcal{J}_{ij}^A = \frac{1}{2} (\mathcal{J}_{ij} - \mathcal{J}_{ij}^T)$  and a symmetric term  $\mathcal{J}_{ij}^S = \frac{1}{2} (\mathcal{J}_{ij} + \mathcal{J}_{ij}^T) - J_{ij}^{\text{iso}} \mathbf{I}$ .

To account for the covalency in MST, we have considered up to fifth nearest-neighbor isotropic exchange interactions in our model. Consistent with previous studies, we label the first and second  $\text{Mn}_{(1)}$ - $\text{Mn}_{(2)}$  nearest-neighbor coupling as  $J_1^{\text{iso}}$  and  $J_3^{\text{iso}}$ , while we consider two additional in-plane  $\text{Mn}_{(1)}$ - $\text{Mn}_{(1)}$  exchange interactions:  $J_4^{\text{iso}}$ ,  $J_5^{\text{iso}}$  [see Fig. 1(b)], as well as the next nearest-neighbor  $J_2^{\text{iso}}$  [9,13]. The exchange parameters have been extracted using the four-state



TABLE I. Estimated isotropic exchange interactions and single-ion anisotropies of MST using two different values of  $U$ . Within our definition of the Heisenberg model, a positive energy corresponds to an antiferromagnetic interaction. Fulfilling the symmetry properties of the system, SIA can be parametrized by a unique coupling constant  $A_c = \mathcal{A}_{zz} - \mathcal{A}_{xx}$ . All values are in units of meV, assuming classical spins of length  $|S| = 1$ .

(meV)	$J_1^{\text{iso}}$	$J_2^{\text{iso}}$	$J_3^{\text{iso}}$	$J_4^{\text{iso}}$	$J_5^{\text{iso}}$	$A_c^{\text{Mn}(1)}$	$A_c^{\text{Mn}(2)}$
$U = 1$	26.06	3.87	9.25	0.84	2.57	0.33	1.14
$U = 2$	20.68	2.33	6.39	0.52	1.61	0.13	1.02

energy mapping method [39,40]: this is a supercell approach that allows extracting the full exchange tensor describing the coupling between a selected pair of magnetic sites at a given distance, while the interaction with all other magnetic sites is canceled out by a tailored choice of four magnetic configurations (details can be found in the Appendixes of Ref. [40]). The four-state method also allows us to extract the single-ion anisotropy, i.e., a site-dependent local quantity, instead of simply the total magnetic anisotropy energy. This is crucial for MST, comprising two inequivalent Mn sites that may in principle display different SIA. The anisotropic part of the exchange tensor of nearest-neighbor  $\text{Mn}_{(1)}\text{-Mn}_{(2)}$  ( $\mathcal{J}_1$ ) and  $\text{Mn}_{(1)}\text{-Mn}_{(1)}$  ( $\mathcal{J}_2$ ) were also considered: noticeably, a non-negligible Dzyaloshinskii-Moriya interaction is found between  $\text{Mn}_{(1)}\text{-Mn}_{(2)}$  magnetic moments, with the Dzyaloshinskii vector parallel to the  $c$  axis ( $D_z$ ), while the  $\text{Mn}_{(1)}\text{-Mn}_{(1)}$  exchange tensor only displays a symmetric anisotropic part. We emphasize that the inclusion of (i) the exchange coupling in tensorial form, (ii) different single-ion anisotropies for the two Mn atomic species, and (iii) longer-ranged  $J_4$  and  $J_5$  exchange interactions, not considered in the literature, thereby improves our description with respect to previous models.

In Tables I and II we list all the magnetic parameters estimated for  $U = 1$  eV and  $U = 2$  eV. Consistent with previous studies [9,13], all (isotropic) interactions are found to be antiferromagnetic, denoting a non-negligible magnetic frustration. On the other hand, our calculations also reveal sizable longer-range interactions between  $\text{Mn}_{(1)}$  magnetic moments within the honeycomb layers, with the third-nearest-neighbor exchange  $J_5^{\text{iso}}$  being of the same order of magnitude as  $J_2^{\text{iso}}$ . This is likely due to both the presence of Si-Si dimers, which

TABLE II. Estimated anisotropic exchange interactions of MST using two different values of  $U$ . Symmetry imposes  $J_{1xx} = J_{1yy}$ , while the Cartesian components of tensor  $J_2^S$  are given in a local reference frame with the  $y$  axis perpendicular to the  $\text{Mn}_{(1)}\text{-Mn}_{(1)}$  bond and the  $z$  axis parallel to the crystallographic  $c$  vector. The Dzyaloshinskii vector component is defined as  $D_{1z} = (J_{1xy} - J_{1yx})/2$ . All values are in units of meV, assuming classical spins of length  $|S| = 1$ .

(meV)	$J_{1xx}^S$	$J_{1zz}^S$	$D_{1z}$	$J_{2xx}^S$	$J_{2yy}^S$	$J_{2zz}^S$	$J_{2xz}^S$
$U = 1$	-0.02	0.04	0.66	-0.05	0.01	0.04	0.04
$U = 2$	-0.03	0.06	0.49	-0.05	0.02	0.04	0.04

are located at the center of the  $\text{Mn}_{(1)}$  hexagons where they can efficiently mediate the magnetic interactions, as well as to ligand contributions [41]. While the (antiferromagnetic)  $\text{Mn}_{(1)}\text{-Mn}_{(1)}$  interactions within the honeycomb layer do lead to magnetic frustration of the system, they are much smaller than the (antiferromagnetic)  $\text{Mn}_{(1)}\text{-Mn}_{(2)}$  exchange coupling, so that a ferrimagnetic configuration with antiparallel  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  is expected to be the lowest energy state.

The  $J_4^{\text{iso}}, J_5^{\text{iso}}$  exchange interactions had not previously been estimated in Refs. [9,13], where total energies of different magnetic configurations defined within the crystallographic unit cell were mapped onto a classical Heisenberg model. We confirmed that such an approach cannot provide information on  $J_4^{\text{iso}}$ , while  $J_5^{\text{iso}}$  interactions give rise to a spurious contribution to the nearest-neighbor  $\text{Mn}_{(1)}\text{-Mn}_{(1)}$  exchange that, within this total-energy mapping procedure, would correspond to  $J_2^{\text{iso}} + J_5^{\text{iso}}$ . Taking into account the differences between the four-state and the total-energy mapping procedures, our results for  $J_1^{\text{iso}}, (J_2^{\text{iso}} + J_5^{\text{iso}}), J_3^{\text{iso}}$  are in excellent agreement with previously reported estimates [13]. On the other hand, both SIA and anisotropic exchange interactions support the experimentally observed easy-plane magnetic anisotropy here.

The magnetic anisotropy energy per Mn ion, defined as the energy difference  $E_{\text{MAE}} = E_{\perp} - E_{\parallel}$  of a ferrimagnetic configuration perpendicular or parallel to the honeycomb ( $ab$ ) layer, can be expressed from our model in Eq. (4) as

$$E_{\text{MAE}} = \frac{1}{3}(2A_c^{\text{Mn}(1)} + A_c^{\text{Mn}(2)}) + \frac{2}{3}(J_{1zz} - J_{1xx}) + [J_{2zz} - \frac{1}{2}(J_{2xx} + J_{2yy})]. \quad (5)$$

Using the parameters listed in Tables I and II, we find a MAE of  $\approx 0.70$  and  $\approx 0.54$  meV/Mn for  $U = 1$  eV and  $U = 2$  eV, respectively. The dominant contribution to the MAE is provided by the Mn single-ion anisotropies. Here, the inequivalency of the Mn atoms is clearly reflected by distinct SIAs: both display an easy-plane character with the hard axis parallel to the crystal  $c$  axis, but the SIA of  $\text{Mn}_{(1)}$  is one order of magnitude smaller than that of  $\text{Mn}_{(2)}$ . Interestingly, we found that roughly 15% of the magnetic anisotropy energy is contributed by anisotropic exchange interactions. In agreement with previous studies [9,13], we find that the dominant  $\text{Mn}_{(1)}\text{-Mn}_{(2)}$  antiferromagnetic interactions favor a ferrimagnetic ground state comprising ferromagnetic  $\text{Mn}_{(1)}$  and  $\text{Mn}_{(2)}$  layers antiferromagnetically aligned.

We estimate  $T_C$  from our calculated exchange couplings using Monte Carlo simulations (Fig. 5). The transition temperature extracted from the temperature dependence of the calculated specific heat per spin,  $C_v$ , is estimated to be  $T_c \simeq 89$  K and  $T_c \simeq 118$  K from our calculations taking  $U = 2$  eV and  $U = 1$  eV, respectively. These are in good qualitative agreement with the experimentally determined  $T_C = 75\text{--}79$  K, further supporting the identified range of  $U = 1\text{--}2$  eV, as appropriate for MST, and highlighting the crucial role of magnetic anisotropy, which leads to a significantly reduced  $T_C$  in our calculations in comparison to an isotropic model. Our findings from analysis of the calculated specific heat are further validated by direct extraction of the ferrimagnetic order parameter [Fig. 5(b), see Methods] which, together with the abrupt change of its relative susceptibility, shows



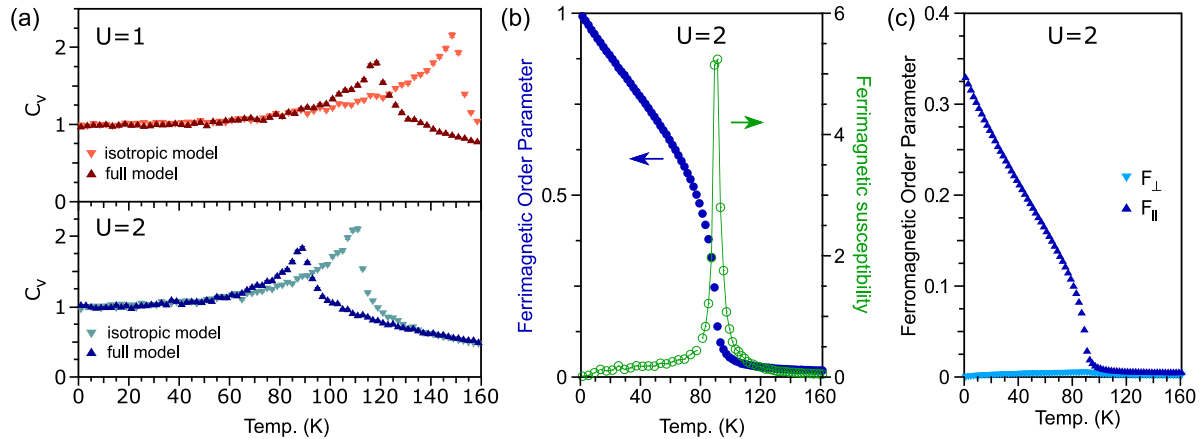


FIG. 5. Monte Carlo simulations. (a) Specific heat of the full model (dark symbols) with parameters obtained from DFT +  $U$  calculations for  $U = 1, 2$ , compared with the specific heat calculated on a simplified model with isotropic  $J_1^{\text{iso}}, (J_2^{\text{iso}} + J_5^{\text{iso}}), J_3^{\text{iso}}$  (light symbols). The stronger magnetic frustration of the full model reduces the critical temperature  $T_C$ , signalled by the peak in  $C_v$ , by roughly 20% with respect to the simplified model. (b) Temperature evolution of the ferrimagnetic order parameter and its associated susceptibility which displays a sharp peak at the transition (only  $U = 2$  eV results are shown; the same trend was observed with the other set of parameters) and signaling the transition to a ferrimagnetic state. (c) The in-plane  $F_{\parallel} = \sqrt{F_x^2 + F_y^2}$  and out-of-plane  $F_{\perp} = |F_z|$  components of the ferromagnetic order parameter are shown as a function of temperature, confirming the easy-plane character of the magnetically ordered phase.

the ferrimagnetic state onset. Finally, we note that the dependence of the ferromagnetic order parameter with temperature [Fig. 5(c), see Methods] indicates the magnetic easy-plane nature of the system, further validating our discussion above.

#### IV. CONCLUSIONS

Our results demonstrate an integrated approach to predicting and understanding the interactions governing long-range magnetic order in the layered ferrimagnet  $\text{Mn}_3\text{Si}_2\text{Te}_6$ . Our approach allows characterizing the importance of covalency from ligand-metal hybridization, which we find to be significant in this system, weakening the electronic correlations. Using these spectroscopic results to constrain our calculations, we demonstrate how combined first-principles and Monte Carlo methods can be used to accurately predict exchange interactions and magnetic anisotropies, elucidating their role in stabilizing MST's novel ferrimagnetic order and predicting its ordering temperature within  $\approx 15$  K of the experimental value. Our results reveal a key role of covalency in ruling these properties in MST, which we expect to be key to understanding magnetism across the emerging class of two-dimensional and layered magnets.

#### ACKNOWLEDGMENTS

We gratefully acknowledge support from The Leverhulme Trust via Grant No. RL-2016-006, and the European Re-

search Council (through the QUESTDO Project, 714193). P. Barone and S.P. acknowledge financial support from the Italian Ministry for Research and Education through PRIN-2017 projects ‘‘Tuning and understanding Quantum phases in 2D materials-Quantum 2D’’ (IT-MIUR Grant No. 2017Z8TS5B) and ‘‘TWEET: Towards ferroelectricity in two dimensions’’ (IT-MIUR Grant No. 2017YCTB59), respectively. P.D.C.K. and S.P. acknowledge support from the Royal Society through the International Exchange Grant IECR2222041. M.C.H., D.A.M., and G.B. acknowledge financial support by the UK Engineering and Physical Sciences Research Council through Grant EP/T005963/1. We thank the Elettra synchrotron for access to the APE-HE beamline under Proposal No. 20195300. We thank Diamond Light Source for beam time on the I10 beamline under Proposal No. MM28727-1. The research leading to this result has been supported by the project CALIPSOplus under Grant Agreement 730872 from the EU Framework Programme for Research and Innovation HORIZON 2020. G.V., V.P., D.D., and F.M. acknowledge financial support from the Nanoscience Foundry and Fine Analysis (NFFA-MUR Italy Progetti Internazionali) project. For the purpose of open access, the authors have applied a Creative Commons Attribution (CC BY) licence to any Author Accepted paper version arising. The research data supporting this publication can be accessed at Ref. [42]. L.Q. acknowledges the support of the China Scholarship Council. W. R. acknowledges the National Natural Science Foundation of China (NSFC) (Grants No. 12074241, No. 11929401, and No. 52130204).

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