Using Integrated Bite Case Management to estimate the burden of rabies and evaluate surveillance in Oriental Mindoro, Philippines

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ABSTRACT

Background: Despite national elimination efforts, dog-mediated rabies remains endemic in the Philippines. Free provision of post-exposure prophylaxis (PEP) through the widespread establishment of Animal Bite Treatment Centers (ABTCs) has improved accessibility, however, the resulting upsurge in PEP demand is not sustainable, and human rabies deaths continue. Dog vaccination coverage also remains inadequate, and it is unclear whether surveillance is effective.

Methods: Here, we used Integrated Bite Case Management (IBCM) to collect enhanced rabies surveillance data in Oriental Mindoro Province over a 3-year period (2020-2022). Adapting a probabilistic decision tree model, we estimated the burden of rabies, evaluated surveillance performance, and analyzed the costs and benefits of current rabies prevention and control practices in the province.

Results: The incidence of bite patients receiving PEP was high in Oriental Mindoro Province (1,246/100,000 persons/year), though < 3% of presenting patients were deemed high-risk for rabies exposure (24/100,000 persons/year). Using a decision tree model, we estimated that around 73.8% of probable rabies-exposed patients sought PEP (95% Prediction Interval, PrI: 59.4-81.1%) and that routine surveillance confirmed < 2% of circulating animal rabies cases, whereas IBCM resulted in a nearly fourfold increase in case detection. Furthermore, we estimated that an average of 560 (95% PrI 217-1,090) dogs may develop rabies annually in the province, equating to 3-5 cases per 1,000 dogs per year. On average, between 20 and 43 human deaths were averted by PEP each year in Oriental Mindoro at an annual cost of \$582,110 USD (i.e. \$51.44 USD per person) or \$20,190 USD (95% PrI \$11,565-79,400) per death averted.

Conclusion: While current practices for PEP provisioning in the Philippines have improved access, a large proportion of people exposed to rabies (>26%, 95% PrI 18.8-40.1%) are still not seeking healthcare. Integrating an intersectoral surveillance system, like IBCM, into national policy could greatly improve case detection if well implemented, with further benefits extending to guidance for PEP administration, potentially reducing unnecessary expenditure on PEP, and situational awareness to inform control of rabies through mass dog vaccination.

1 INTRODUCTION

2 Rabies, a lethal viral zoonotic disease, requires prompt administration of post-exposure prophylaxis (PEP) to prevent the onset of infection [1]. Nearly all of the estimated 59,000 annual 3 4 human rabies deaths are attributed to transmission through bites from domestic dogs in low- and 5 middle-income countries (LMICs) across Africa and Asia [2, 3]. However, like other neglected diseases, the true burden of rabies remains unknown, with only a fraction of human and animal 6 7 cases reported in official medical and veterinary records. This assumed underreporting is primarily 8 due to the ineffectiveness and unreliability of passive surveillance systems in endemic regions, 9 resulting in reduced advocacy, funding, and engagement in rabies control initiatives [4, 5]. 10 Implementation of effective strategies to enhance case detection is therefore imperative for 11 championing, guiding, and evaluating rabies control programs to achieve elimination.

12 Dog rabies was first confirmed in the Philippines in 1910 when a human case was reported and 13 Negri bodies were identified in the brain of the biting dog [6]. Since then, the national government has been leading rabies control efforts that have aimed to eliminate rabies from the country. In 14 2007, the National Rabies Prevention and Control Program (NRPCP) was mandated under the 15 16 Anti-Rabies Act (Republic Act No. 9482), which included the widespread establishment of Animal 17 Bite Treatment Centers (ABTCs) and their free provision of PEP to bite victims [7]. However, despite this policy's success in improving the accessibility of PEP, the number of patients seeking 18 care for dog bites has increased more than fivefold since its introduction (from ~200 to over 1,000 19 patients per 100,000 people/year) [8]. Moreover, dog-mediated rabies remains endemic throughout 20 21 most of the Philippines and reductions in rabies deaths have plateaued at around 200-300 reported 22 annually. Yet, due to incomplete surveillance data, the true number of deaths is presumably higher.

23 Integrated Bite Case Management (IBCM) is recommended by WHO and global partners as a gold 24 standard method to strengthen rabies surveillance in LMICs and support the goal of ending human 25 deaths from dog-mediated rabies by the year 2030 (Zero by 30) [9, 10]. Embracing a One Health 26 approach, IBCM fosters intersectoral collaboration and coordination, acknowledging the 27 interdependence of the health of humans, animals, and their shared environment [11]. Previous case studies of IBCM have been implemented in the Philippines on the island of Bohol [12] and 28 29 in Albay Province [13]. IBCM utilizes bite patient risk assessments to identify potential rabies exposures from suspect rabid animals, which are then investigated, with samples collected for 30 31 laboratory confirmation in dead/euthanized animals. By enhancing surveillance, IBCM has the potential to provide more accurate estimates of rabies burden and to be used for informing the 32 implementation of control and prevention measures, including PEP administration decisions to 33 34 reduce unnecessary use, and evaluation of the progress/impact of elimination programs, including 35 advocating for increased investment [14].

This study aims to assess the value of integrating an intersectoral surveillance system, like IBCM,into national policy to enhance rabies case detection and support the Philippines in achieving rabies

- 38 freedom by 2030. In this research, we adapted a decision tree framework [15] with the following
- 39 objectives: 1) to improve the accuracy of estimations for the burden of rabies in the province of
- 40 Oriental Mindoro; 2) to evaluate the performance of existing surveillance systems; and 3) to
- 41 analyze the costs and benefits of current prevention and control measures, including PEP policies,
- 42 with extrapolation across the Philippines.

43 METHODS

- From January 2020 to December 2022, we established a 3-year implementation study of IBCM in
 Oriental Mindoro Province, Region IV-B, MIMAROPA, Philippines. Here, we utilized a decision
- tree framework adapted from previous studies [2, 15, 16] to estimate annual numbers of rabid dogs,
- 47 human rabies exposures, human rabies deaths/disability-adjusted life years (DALYs) averted, the
- 48 cost per human death/DALY averted, and the probability of rabies-exposed persons receiving PEP.
- 49 Within this framework, we used government health and population data, enhanced surveillance
- 50 data collected through IBCM, and parameter values derived from country-specific data and the 51 literature. Model estimates were used to evaluate current surveillance system performance and
- biterature. Model estimates were used to evaluate current surveillance system performance and
 analyze the cost-effectiveness of PEP policies for the province, which were extrapolated across
- 52 analyze the cost-effectiveness of FEF policies for the province, which were extrapolated across 53 the country. Data analysis and figures were undertaken using the R programming language [17].
- 54 Code and de-identified data to replicate analyses are provided via the GitHub repository:
- 54 Code and de-Identified data to replicate analyses are provided via the OttHub repository:
- 55 https://github.com/boydorr/OrMin_IBCM_decision_tree.

56 Study Site and Health/Agriculture Systems

57 Canine rabies is endemic throughout Oriental Mindoro (Figure 1), located in the MIMAROPA region which consists of five provinces: Occidental Mindoro, Oriental Mindoro, Marinduque, 58 59 Romblon, and Palawan. With a human population of 908,339 (2020), the province of Oriental Mindoro comprises 15 municipalities, including the capital city of Calapan [18]. At the time of the 60 61 study, the dog population size and demographics (including ownership status) were unknown. As of 2022, there were nine health facilities with accredited ABTCs administering PEP and nine Rural 62 63 Health Units (RHU), without ABTCs, providing wound care and referring patients (to ABTCs) for 64 PEP. Of the nine ABTCs, three were major hospitals where most bite patients presented, while the 65 other six were community-level clinics that received fewer patients.

66 Patients presenting with clinical signs of rabies were not treated at ABTCs but rather admitted to 67 major hospitals for palliative care. ABTCs were financed by their associated hospital/clinic, with PEP jointly financed by the Department of Health (DOH) and an allocated budget from the local 68 69 government. The advantage and motivation for ABTC accreditation was securing additional 70 funding and the claim to health insurance. This network of ABTCs/RHUs spanning the province 71 acted as a valuable sentinel for collecting enhanced rabies surveillance data through IBCM, with 72 at least one nurse per municipality recruited and trained for the study. The Regional Animal 73 Disease Diagnostic Laboratory (RADDL) for the MIMAROPA region, where most diagnostic 74 testing was completed for this study, is located just south of Calapan.

< Insert Figure 1 A/B here >

Figure 1: Location of the province of Oriental Mindoro, Philippines. (A) Philippines map
showing the MIMAROPA region (dark gray) which includes the province of Oriental Mindoro
(blue). (B) Oriental Mindoro Province on the island of Mindoro, showing municipality borders,
the human population density (blue), major primary roads (yellow), nine Animal Bite Treatment
Centers (ABTCs): three at major hospitals (white dots with red crosses) and six at communitylevel clinics (red dots), and nine Rural Health Units (black triangles) that referred bite patients for

75

- 82 PEP (i.e. without ABTCs). Human density was calculated at the barangay (village) level from
- 83 2020 census data [18]. The adjacent province of Occidental Mindoro is labeled and shown in gray.
- 84 Polygon and line data were sourced from UN-OCHA Humanitarian Data Exchange Project [19].

85 In Oriental Mindoro, PEP was administered following the updated Thai Red Cross intradermal 86 (ID) regimen (days 0, 3, 7, and 28) [20]. The government procures 0.5mL vials (Speeda, China) that typically provide four 0.1mL ID doses with wastage of the last 0.1mL. A complete course, 87 88 therefore, requires a total of 2 vaccine vials, considering that each patient should receive two 89 0.1mL ID injections per visit. National PEP administration protocols are based upon WHO 90 categories of contact, comprising Category I (non-exposure event from touching or feeding an 91 animal, or licks on intact skin; PEP not indicated); Category II (exposure via nibbling of uncovered 92 skin, minor scratches or abrasions without bleeding: post-exposure vaccination indicated); and 93 Category III (exposure via transdermal bites or scratches, contamination of mucous membranes 94 with saliva from licks, licks on broken skin, exposure due to direct contact with bats: post-exposure 95 vaccination and rabies immunoglobulin indicated) [1].

96 Protocols in the province generally followed WHO guidance, specifying that equine rabies 97 immunoglobulin (ERIG) be administered primarily to Category III bites on their first presentation. 98 For ERIG, 1 vial (5 ml EQUIRAB) per patient was provided for free and any additional vials were 99 required to be purchased by the patient. Typically, any contact/bite patient that presented to an 100 ABTC received PEP regardless of their risk of rabies (i.e. including Category I non-exposure 101 events from healthy vaccinated animals). In rare occurrences of PEP stockouts, ABTC nurses used 102 a more risk-based approach to make PEP decisions, saving the free government-supplied PEP for 103 more severe Category II and III events. However, during stockouts, PEP was still available for any 104 person to buy from private health facilities.

Each municipality has a Municipal Agriculture Office (MAO) responsible for managing crops, fisheries, livestock, and, to a lesser extent, domestic animals, such as dogs. MAOs report directly to the mayor's office. However, for animal health activities at the provincial level, MAOs are often supervised by the Provincial Agriculture Office and the Provincial Veterinary Office (Pro-Vet), which reports to the Bureau of Animal Industry—all of which fall under the jurisdiction of the Department of Agriculture. Most MAOs have animal health staff assigned to livestock, but few or no veterinarians. Veterinary capacity is concentrated near Calapan, where the government employs

- 112 city vets and there are around five private practices. While these vets could increase the capacity
- 113 of government-led initiatives, they are currently not mandated to conduct rabies control measures.

114 The Anti-rabies Act of 2007 enacted responsible pet ownership ordinances, specifying that all dogs be registered, regularly immunized against rabies, not allowed to roam freely, and that bite events 115 116 be reported within 24 hours, with medical expenses should ered by the animal's owner. Enforcement of these ordinances and initiation of anti-rabies activities, such as mass dog 117 118 vaccination (MDV), should be carried out by the MAO if sufficient funds have been allocated via 119 their budget plan. However, many MAOs have insufficient capacity (i.e. trained vaccinators) for 120 MDV and often require additional logistical support and funding from the Pro-Vet. Dog 121 registration, costing owners 20 Philippines pesos (~\$0.40 USD), and MDV, offered free to owners, are typically conducted annually from March (Rabies Awareness Month) to June. 122

- 123 Given the decentralized government structure, achieved vaccination coverage heavily relies on the
- budget allocated by the local government, resulting in notable variation between municipalities.
- 125 This variability also extends to the MDV strategy, such as house-to-house vs. central point, and
- 126 the protocols employed, including dog age/health restrictions. While some municipalities allocated
- 127 zero funds for MDV, others allocated upwards of 100,000 Philippines pesos (~\$2,000 USD) per
- 128 year. Due to the COVID-19 pandemic, almost all MDV campaigns were canceled in 2020 and
- 129 2021, leading to much lower vaccination coverage. Moreover, the dog population and proportion
- 130 of roaming dogs were thought to have increased during lockdowns due to more people purchasing
- 131 pets and reduced animal sterilization.

132 Data Collection

133 Government Surveillance, Health, and Population Data

134 Human population data from the 2020 government census [18] were utilized to estimate the dog population and denominators for bite patient and rabies exposure incidence in Oriental Mindoro 135 136 Province. To evaluate surveillance performance, laboratory diagnostic data for animal samples 137 tested for rabies were obtained from RADDL, including both direct fluorescent antibody tests (DFA) and lateral flow devices (LFD). Brain samples were collected by either trained MAO or 138 139 RADDL staff, adhering to safety and quality protocols (e.g. use of personal protective equipment 140 and transportation in cold boxes). In cases where RADDL was unable to complete DFA diagnostic 141 testing (e.g. due to lack of a working fluorescent microscope or a broken storage freezer), LFD 142 testing was conducted at RADDL, and then samples were sent to the Research Institute for Tropical 143 Medicine (RITM) in Manila for confirmatory DFA. All diagnostic test results for samples from 144 Oriental Mindoro were consolidated in RADDL records.

- 145 To summarize bite patient characteristics, we relied on Provincial Health Office (PHO) quarterly
- 146 and annual reports compiled from ABTC patient logbooks. Initially recorded on paper, these
- 147 records were later entered electronically at the end of each month. Collected for the National
- 148 Rabies Information System (NaRIS) since 2007, these records included patient details such as

demographics, wound location, WHO category of contact, species of biting animal, and information about PEP administration and compliance [21]. These data were used to estimate the incidence of bite patient presentations; for prospective comparison with IBCM records to determine the completeness of risk assessments performed (numerator) over total bite patients visiting ABTCs (denominator); and for subsequent extrapolation. We used reports from investigations of human deaths by the PHO to summarize human rabies cases from 2020-2022.

Lastly, we utilized PHO budget/procurement reports to gather data inputs related to PEP costs, including the average number of doses received per bite patient, as well as the frequency of ERIG usage. For estimating PEP costs, we considered both human rabies vaccine and ERIG expenses. However, the estimates used to evaluate cost-effectiveness did not include PEP administration costs (e.g. personnel, syringes, etc.), as these were covered by the health system budget. When extrapolating estimates across the Philippines, we referred to NRPCP/DOH records to determine

the average number of bite patient presentations and human rabies deaths reported nationally [8].

162 IBCM Data

163 IBCM data were collected over 3 years (January 2020 to December 2022). ABTC nurses received 164 training to perform risk assessments for bite patients, while animal health workers from the MAO 165 were trained to investigate suspect animals. In addition to data required for NaRIS, we requested 166 nurses to record information about the biting animal (e.g. health, vaccination, and ownership 167 status) and circumstances of the bite event. Similarly, animal health workers were tasked with 168 collecting initial and follow-up data on the biting animal throughout the observation period; 169 requesting Pro-Vet support to euthanize if necessary; collecting, storing, and transporting samples 170 to RADDL; and conducting LFD testing in the field. Risk assessment and animal investigation data were submitted via standardized forms through a bespoke mobile phone-based application 171 172 adapted for the Philippines [22]. IBCM data for this study were not integrated into NRPCP records.

173 IBCM protocols specified that nurses trigger an investigation by contacting their designated animal 174 health counterpart at the MAO if the biting animal was suspicious for rabies. Animal health 175 workers would then conduct the animal investigation either via phone or in person. If the animal 176 was found alive and healthy, MAO staff would follow up with the animal owners over the 14-day 177 observation period, as specified by NRPCP guidelines (Administrative Order No. 2018-0013) [7]. In cases where the animal displayed signs of rabies, protocols dictated that the Pro-Vet should 178 179 assist in euthanizing the animal, and a sample should be collected to test for rabies. However, 180 animals had often died or were killed by the owners/community prior to the investigation.

181 If the animal died or was euthanized, a brain sample was collected by trained MAO or RADDL 182 staff for diagnostic testing, usually within one day. LFDs (BioNote, Inc, Hwaseong, Korea) were 183 provided to animal health workers and RADDL staff for in-field and laboratory-based testing. 184 Findings from a study in the Philippines (184 samples) reported Bionote LFD sensitivity of 0.95

and specificity of 1.00 compared to DFA results [23]. For the majority of cases, the MAO staff

brought the animal's head or carcass to RADDL for testing. Animal cases were confirmed either
at RADDL or RITM with DFA, as recommended by WHO [24]. All samples collected during the
study that tested positive by LFD were also confirmed positive subsequently by DFA, but not all
samples that were tested by DFA were also tested by LFD.

Patient risk categories were not updated from animal investigations, which could not be consistently collected due to COVID-19 pandemic restrictions. Thus, for this study, patients were classified as either low-risk, unknown-risk, or high-risk for rabies exposure based on the patient risk assessment from their first visit to the ABTC, apart from laboratory-confirmed biting animals, for whom risk categories were updated retrospectively. The risk of exposure categories used in this study were based on WHO animal case definitions [1], where at least one criterion was met:

- Low-risk: (WHO definition "Not a case") Biting animal had no clinical signs of rabies and
 was healthy and alive 14 days after the bite/exposure event or tested negative for rabies (if
 euthanized/killed).
- Unknown-risk: (WHO definition "suspected" or "probable") Biting animal not identified
 or found; therefore, the history of the animal was unknown (e.g. vaccination/health status,
 contact with suspected, probable, or confirmed rabid animal, health status, etc.)
- High-risk: (WHO definition "suspected", "probable" or "confirmed" animal case) Biting
 animal showed clinical signs of rabies (e.g. aggressive/erratic behavior, hypersalivation,
 paralysis, tremors, abnormal vocalization, loss of appetite); had a history of contact with
 suspect/confirmed rabid animal; and died within 14 days of exposure event; or tested
 positive for rabies.

207 Data Analysis

208 Decision Tree Model

We used a decision tree framework to probabilistically describe the steps by which rabies infection in dogs leads to human exposures and deaths, and associated costs. This type of framework has been used before to estimate the burden of rabies [15, 16]. Here we extended the framework using IBCM data and further estimated current surveillance performance and cost-effectiveness of prevention measures.

- To simplify our analysis, we made several assumptions. We assumed that all bite patients who reported to an ABTC received PEP (and that PEP was 100% effective in preventing rabies), considering that shortages and vaccine refusal are rare in Oriental Mindoro. Additionally, we assumed that reported human rabies deaths were recorded correctly with high probability ($P_{obs|death}$). Our estimates and 95% prediction intervals (PrI) were based on 1000 probabilistic draws of parameters described in **Table 1** following the decision tree framework
- 219 draws of parameters described in **Table 1**, following the decision tree framework.

IBCM risk assessment classifications were used to assign patients as either bitten by healthy dogs (low-risk) or rabid dogs (high-risk), with uncertainty based on the observed range in IBCM risk assessments (lower limits included only high-risk, while upper limits included high-risk plus unknown-risk). We used the proportions of high-risk bites from both incomplete IBCM data and complete data from one ABTC to extrapolate to the province. These estimates were compared to each other and resulting estimates from the decision tree model.

Total exposures were calculated as the sum of high-risk exposures, assigned prospectively, that sought PEP (from IBCM data) and estimated exposures that did not seek PEP extrapolated from recorded human rabies deaths. Similarly, numbers of rabid dogs were estimated from total exposures and the average number of people bitten per rabid dog, P_{bites|rabid_dog}. Details of calculations are described below and outlined in **Figure 2**.

231 < Insert Figure 2 here >

Figure 2. Schematic of decision tree used to estimate the burden of rabies, deaths averted by
PEP, and associated costs. This framework illustrates the steps taken to probabilistically estimate
outcomes associated with rabies infections in dogs and resulting human exposures and deaths.
IBCM and hospital record (PHO) data inputs are shown in yellow boxes. Rabies exposures that
can lead to rabies deaths in the absence of PEP are shown in red boxes; rabies exposures where
risk is mitigated via PEP are shown in blue boxes; and healthy dog bites are shown in gray boxes.

238 Parameter estimates

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Parameters for the model used government or IBCM data from the Philippines to reflect local context where possible (**Table 1 and 2**). When national or regionally specific data were not available, we used probabilities from the literature, including $P_{rabies|exposure}$ and $P_{bites|rabid_dog}$ calculated from contact tracing data from Tanzania [25, 26].

243 We estimated the probability of rabies-exposed bite victims seeking PEP ($P_{seekPEP}$) from the

244 probability of developing rabies following exposure in the absence of PEP (P_{rabies|exposure}), IBCM

risk assessments, and observed rabies deaths (Dobserved). We assumed deaths were observed with

246 high probability ($P_{obs|death}$): $D_{Total} = D_{observed} / P_{obs|death}$

247 To estimate total exposures (E_{Total}), we summed estimates of exposures who did not seek PEP

248 (E_{no_PEP}) with exposures who did (E_{PEP}) derived from the IBCM risk assessments:

$$E_{no_PEP} = D_{Total} / P_{rabies|exposure} \qquad \qquad E_{Total} = E_{no_PEP} + E_{PEP}$$

250 $P_{seekPEP}$ was calculated from the estimates of total exposures and exposures that did not seek PEP 251 ($P_{seek} = E_{no_PEP} / E_{Total}$), while deaths averted ($D_{averted}$) were estimated from rabies-exposed 252 patients who sought PEP and the probability of developing rabies following exposure in the 253 absence of PEP: $D_{averted} = E_{PEP} \times P_{rabies|exposure}$.

The dog population was estimated from the human population as recorded in the national census [18], divided by the human:dog ratio (HDR). A range of HDRs were extracted from published studies in the Philippines [27, 28]. Rabid dogs were estimated from total exposures (E_{Total}) divided by $P_{bites|rabid_dog}$ and annual rabies incidence per 1000 dogs calculated. The percentage of rabid dogs that were laboratory-confirmed out of the estimated total rabid dogs was calculated from RADDL data.

Annual PEP costs were calculated from the patients who received post-exposure vaccine multiplied by the cost of the vaccine course, and patients who received ERIG multiplied by the average cost of ERIG, with cost variables described in **Table 2**. The average cost per death averted was estimated as total PEP costs divided by estimated deaths averted. Similarly, the average cost per DALY averted was estimated by dividing total PEP costs by DALYs averted.

DALYs were calculated from Years of Life Lost (YLL). For rabies, Years of Life lived with
Disability are considered insignificant due to the acute and fatal nature of rabies, and therefore
were not included in DALY estimates. To estimate YLL, we used expected global life expectancy
[29] and subtracted the mean age of deaths recorded in Oriental Mindoro during the 3-year study
period.

270 Sensitivity Analysis

We conducted sensitivity analyses comparing model estimates of human deaths, total exposures, $P_{seekPEP}$, rabid dogs, and deaths averted across a range of uncertainty to examine the influence of parameter values. For our probabilistic sensitivity analysis, we took 1000 random draws across the specified distributions in **Table 1** for HDR, E_{PEP} , $P_{obs|death}$, $P_{rabies|exposure}$ and $P_{bites|rabid_dog}$ (including uniform, binomial and negative binomial) and across a uniform distribution for the range of human deaths and confirmed cases over the three years. Some variables (e.g. HDR) that had high uncertainty in the baseline analysis remained unchanged for the sensitivity analyses.

278 Ethics Statement

279 Ethical approval was obtained from the Research Institute for Tropical Medicine (RITM),

Department of Health (2019-023), and the University of Glasgow College of Medical, Veterinary
& Life Sciences (200190123).

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283

< Insert Table 1 here >

284 Table 1. Parameters and data used in the decision tree model.

285 < Insert Table 2 here >

Table 2. Cost variables relating to PEP provisioning. ERIG=equine rabies immunoglobulin.
 ID=intradermal. PEP=post-exposure prophylaxis. PHO=Provincial Health Office.

288 **RESULTS**

289 Characteristics of bite patients presenting to ABTCs

Between January 2020 and December 2022, a total of 33,947 bite patients presented to ABTCs in Oriental Mindoro to receive PEP for animal contact or bite events. This equates to an average of 11,316 (min=8,370, max=14,308) bite patients per year, 943 per month (min=698, max=1,192), and an annual incidence of 1,246 bite patient presentations per 100,000 people over the study period. Characteristics of bite patients recorded in ABTC logbooks and then documented in PHO records are described in **Table 3**, including data from the year prior (2019) to the implementation of IBCM for comparison before the COVID-19 pandemic.

297 < Insert Table 3 here >

Table 3. Characteristics of bite patients and human deaths from Provincial Health Office records before the study (2019) and during the study period (2020 to 2022).

300 Of the biting animals reported through patient presentations to ABTCs, 67.8% were dogs 301 (23,004/33,947) and 31.5% were cats (10,693/33,947), with < 1% from other species (250/33,947). 302 An average of 42.2% of bite patients were under the age of 15 years, which is higher than this age 303 group proportion in the general population (32.03%) [18], demonstrating a greater risk of rabies 304 exposure for children. Most bite victims that presented to health facilities over the study were 305 Category II (79.5%) and Category III (19.5%), with only 1.1% being Category I non-exposure events. Of the Category III patients that presented to ABTCs, 79.6% received ERIG (15.5% of 306 307 total bite patients) on their first visit, following PEP guidelines mandated by the DOH.

308

309 Risk of rabies exposure and human deaths

310 Due to high patient volumes, busy workloads, and duplication with government reporting systems 311 (i.e. NaRIS), risk assessments were not collected for all patients presenting to ABTCs as initially 312 planned in study protocols. IBCM data were collected for 37.2% of total PHO-recorded bite 313 patients, corresponding to 12,640 records: 3,623 in 2020, 3,924 in 2021, and 5,093 in 2022. Of the 314 IBCM patient records, 2.5% (312/12,640) were assessed to be high-risk (5.7% for high-risk + 315 unknown-risk, 715/12,640) for rabies exposure (Figure 3A, i.e. biting animals were considered 316 'probable' or 'confirmed' rabies by WHO case definitions). Of the 312 classified as high-risk bites, 317 240 (76.9%) were from dogs and 72 (23.1%) from cats, with most being WHO Category II (64.7%) 318 and Category III (34.6%). At the time of the risk assessment, 259 (83%) of the high-risk biting 319 animals had died or been killed/euthanized, and 89 (28.5%) were assessed as suspicious for rabies 320 by the nurse based on the bite patient's description of the animal's history, while an additional 27 321 (8.7%) were assessed as "sick, not rabies".

322 Extrapolating the proportion of high-risk bites from IBCM data (2.5%) to total bite patients in the 323 province (33,947), we estimate 838 high-risk bites over 3 years, with an average of 279 per year. 324 One ABTC, located in a major hospital in Calapan, reported nearly complete data during the study. 325 These data represented 47.9% (6,055/12,640) of IBCM records, with 0.96% of bites assessed to 326 be high-risk (3.7% for high-risk + unknown-risk). Using these proportions for comparison with 327 incomplete IBCM data, we estimated 325 high-risk bites over 3 years and an average of 108 per 328 year. When assuming only dog bites are high-risk, based on RADDL records which found no cats 329 tested positive over the last 5 years, we estimate 2.8% (240/8,701) of bites to be high-risk (5.2% 330 for high-risk + unknown-risk, 449/8,701).

331 Over the course of the 3 years (2020-2022), 25 human deaths were formally investigated and 332 recorded as probable rabies cases in Oriental Mindoro Province, and 28 animal cases were 333 confirmed with DFA. Death investigations, conducted by a team of PHO and/or DOH staff, 334 involved clinical diagnosis using hospital records and interviews with medical staff and the 335 patient's family. No samples were collected for testing. Deaths ranged in age from 4 to 69 years 336 (median=37 years) with 6 (25%) being under 15 years old and a male: female ratio of 1.08: 1. 337 Exposure events, leading to human infection then death, were concentrated in 8 of the 15 338 municipalities (Figure 3B), with 64% occurring in just three municipalities (Bongabong-6, 339 Mansalay-5 and Pinamalayan-5). The most densely populated area, the capital city of Calapan, 340 had zero human rabies deaths but had 2 animal cases confirmed over the study period.

For all reported human rabies cases, the biting animal was a dog. Of the confirmed animal cases, 54% (15/28) were found in three municipalities (Baco-7, Mansalay-4, and Puerto Galera-4) of which two (Baco and Puerto Galera) reported zero human rabies deaths during the study period. None of the human cases received PEP prior to displaying symptoms of rabies infection. As per PHO death investigation reports, primary reasons for not seeking PEP after exposure events included: a lack of awareness of the risk of rabies from animal bites, the choice to consult
traditional healers for treatment (known as tandok/tawak in the Philippines), and financial
constraints preventing the ability to cover travel costs and take time off work to seek PEP,
particularly for those in remote locations relying on agricultural work.

< Insert Figure 3 A/B here >

Figure 3. IBCM risk assessment and rabies case data from Oriental Mindoro Province. (A) Time series from January 2020 to December 2022, showing IBCM bite patient data by risk category: low-risk (gray), unknown-risk (orange), and high-risk (red). (B) Maps showing the incidence of high-risk bites per 100,000 persons from IBCM risk assessments by municipality (red shading) and locations where exposure events occurred for human rabies cases (black dots) and where confirmed animal cases were found (red dots) by year: 2020, 2021, and 2022.

357 Decision tree estimates of rabies burden and surveillance performance

From the decision tree model (Figure 2) we estimated that an average of 216 people (95% PrI 91-358 359 408) were exposed to rabies annually in Oriental Mindoro (Table 4), with an average of 55 (95% 360 PrI 42-79) not reporting to health facilities for PEP i.e. people exposed to rabies sought PEP with 361 probability 0.738 (95% PrI 0.594-0.811), assuming 90% of rabies deaths are recorded (i.e. Pobsideath=0.9). Under this same assumption, around 27 (95% PrI 25-35) human deaths were 362 estimated to have occurred over the 3 years (in comparison to the 25 deaths recorded). While the 363 364 PHO records (Table 3) indicate a high incidence exceeding 1,240 bite patient presentations per 365 100,000 people per year, we estimated an annual incidence of 24 (95% PrI 10-45) exposures and 366 0.77-1.1 deaths per 100,000 people (Table 4).

367

350

< Insert Table 4 here >

Table 4. Decision tree model estimates and recorded data for the annual burden of rabies in
 Oriental Mindoro Province. Median values are shown together with 95% prediction intervals in

- 370 brackets. Recorded human deaths are from the Provincial Health Office and animal case data from
- 371 the Regional Animal Disease Diagnostic Laboratory.

We estimated there were an average of 560 (95% PrI 217-1,090) rabid dogs per year in Oriental

373 Mindoro, from an estimated dog population of 140,420 (95% PrI 92,340-289,950), equating to 3-

374 5 rabid dogs per 1,000 dogs/year. These estimates suggest that surveillance only detected between

375 1-2% of animal cases during the study period. Though low, animal surveillance performance in

- terms of laboratory-confirmed cases increased almost fourfold from 2020 to 2022 (from 0.59% to
- 2.3%) through implementing IBCM. However, this increase in case detection may also indicate
- 378 higher incidence in 2022 compared to 2020, rather than improved surveillance performance.

379 Decision tree estimates revealed considerable variation in rabies burden and surveillance 380 performance by municipality (Table 5). The estimated exposure incidence ranged from 4 to 59 381 people per 100,000 who were potentially exposed to rabies each year across the 15 municipalities. 382 Animal surveillance was weak, with the number of recorded human deaths (25 total) over 3 years 383 nearly matching the number of confirmed animal cases (28 total). In 12 of the 15 municipalities, 384 < 2% of estimated animal cases were detected, with four municipalities not submitting any samples 385 for diagnostic testing. Notably, the two municipalities with the highest animal case detection, Baco 386 (13.7%) and Puerto Galera (4.1%), did not record any human rabies deaths.

387 < Insert Table 5 here >

Table 5. Decision tree estimates for the burden of rabies from January 2020 to December
 2022 by municipality. Median values are in bold and 95% prediction intervals are shown in
 brackets. Human data is from the Provincial Health Office and animal data is from the Regional
 Animal Disease Diagnostic Laboratory.

From the sensitivity analysis (**Figure 4**), the parameters that had the greatest impact on estimates of human rabies exposures and $P_{seekPEP}$ were the number of high-risk bites, followed by the probability of observing human deaths ($P_{obs|death}$). The probability of a rabid dog biting ($P_{bites|rabid_dog}$) and the number of high-risk bite patients most influenced estimates of rabid dogs.

396

< Insert Figure 4 here >

Figure 4. Model sensitivity to uncertainty. Variation in model estimates (x-axis) of A) annual human rabies deaths; B) human rabies deaths averted; C) the percentage of rabid animals confirmed; and D) probability of rabies exposures obtaining PEP. Model parameters (see Table 1) and data inputs that were varied in the sensitivity analysis are shown on the y-axis. Variations in estimates are not symmetrical around the baseline estimate (vertical gray line) because the range of uncertainty examined was not symmetric distributions centered on the baseline parameters.

403 Economic analysis of PEP policies and costs

We calculated an average PEP cost of \$51.44 USD per person (\$37.50 USD for those receiving vaccine only, and \$127.50 USD for those also receiving ERIG), based on the assumption that each patient received an average of six 0.1 ml ID injections of post-exposure vaccine, and that 79.6% of Category III bites (15.5% of total bite patients) received ERIG, with an average of 2 vials of ERIG each. This translates to total costs (human rabies vaccine and ERIG) ranging between \$445,185 and \$734,280 USD annually and over \$1.74 million USD during the 3-year study period (2020-2022) in Oriental Mindoro.

- We estimated that PEP prevented between 20 and 43 deaths (95% PrI 3-72) per year in Oriental
 Mindoro at an average cost of \$20,190 USD (95% PrI \$11,565-79,400) per death averted. Using
 the mean age of death during our study period (35 years), we estimated an average of 1,105 DALYs
- 413 averted annually, costing \$527 USD per DALY averted. If PEP were administered solely to high-
- 415 risk and unknown-risk bite patients during the 3 years (715 total), estimated costs would be
- 416 approximately \$17,050 USD annually for vaccine (~\$11,920 USD) and ERIG (~\$5,130 USD),
- 417 assuming a full vaccine course (8-ID injections) and all Category III bites received ERIG. By
- 418 providing PEP only to bite patients with exposure risk, estimated costs would decrease to \$591
- 419 USD per death averted.
- 420 Upon extrapolating these findings nationwide using NRPCP bite records (>1.1 million bite patients 421 presenting to ABTCs annually), we project expenditures surpassing \$56.6 million USD on human 422 rabies vaccine (>\$41.2 million) and ERIG (>\$15.3 million, assuming 15.5% of bite patients 423 receive ERIG) each year. Assuming 2-3% of bites presenting to ABTCs are probable rabies 424 exposures and utilizing DOH national records reporting 200-300 deaths/year, we estimate that PEP 425 prevents roughly between 3,520 and 5,570 deaths each year in the Philippines, at an average cost 426 of \$12,460 and \$325 USD per death/DALY averted, respectively. However, these estimations are 427 conservative considering increasing PEP-seeking behaviors and the likelihood of underreported 428 human deaths.

429 **DISCUSSION**

430 Key Findings

The findings from our analysis reveal that despite an overall bite patient incidence exceeding 1,240/100,000 persons per year, the majority (>97%) of patients who sought PEP in Oriental Mindoro had encountered non-exposures from healthy animals. The Philippines' national policy mandating free PEP provision and widespread establishment of ABTCs has substantially improved PEP access, preventing an estimated average of 29 deaths annually throughout the province. Nevertheless, even with increased availability and accessibility, only around 73.8% of people exposed to rabies were estimated to seek PEP provincewide. Consequently, dog-mediated rabies still precipitated 7 to 9 reported human deaths (0.77 to 0.99 per 100,000 persons/year) in Oriental
Mindoro Province each year of the study.

440 The distribution of the human rabies burden was not uniform across the province, as evidenced by 441 three municipalities accounting for 16 out of 25 deaths over the 3-year duration of the study. This 442 spatial distribution of human cases likely arose from a combination of factors, including localized 443 outbreaks, inadequate dog vaccination coverage, suboptimal PEP-seeking behaviors, and potential 444 variations in surveillance and case detection capabilities. Mapping the locations of human cases 445 alongside laboratory-confirmed animal cases (Figure 4) clearly illustrated that nearly all animal 446 rabies testing was conducted in northern Oriental Mindoro in 2020 and 2021, whereas reported 447 incidents of human deaths were limited to the central and southern areas of the province. In 2022, 448 sample collection increased throughout the province, however, reported human cases remained in 449 central and southern municipalities. The incidence of high-risk bites showed a notable increase in 450 2022, likely due to the cancellation of MDV campaigns in 2020/2021 and the lifting of COVID-

451 19 movement/travel restrictions, potentially leading to more exposure events.

452 Our findings indicate that although human case detection is relatively robust, animal surveillance should be enhanced to capture the incidence of rabies more effectively within the dog population. 453 454 Over the 3-year study, our decision tree model estimated a total of 1,678 rabid dogs (95% PrI 1,016-2,386) may have been present in Oriental Mindoro. Yet only 28 animal cases were 455 456 laboratory confirmed during this time (case detection of 1.7%). Notably, three municipalities accounted for more than half of positive dog cases (15 of 28), indicating stronger surveillance, 457 458 though not necessarily a higher incidence of dog rabies. IBCM surveillance protocols, which 459 encouraged the investigation of suspected rabid animals and the collection of samples in the case 460 of dead or euthanized animals, led to a nearly fourfold increase in the detection of laboratory-461 confirmed dog rabies cases from 2020 to 2022. However, external factors such as the COVID-19 462 pandemic and minimal to no dog vaccination in 2020 and 2021 make it difficult to discern whether 463 the higher case detection was exclusively due to surveillance being enhanced by IBCM or because 464 of increased rabies incidence within the dog population.

465 Strengths and Limitations

We were typically able to classify biting animals as broadly "high-risk" or "low-risk" using initial 466 467 patient risk assessments from IBCM, but these did not always provide adequate information to differentiate between WHO classifications 'suspect' or 'probable.' IBCM protocols specified risk 468 469 assessments for every bite patient presenting to ABTCs and investigations of any animal deemed high-risk. However, the COVID-19 pandemic and ensuing lockdowns contributed to challenges in 470 471 the delivery of IBCM training and subsequent implementation of protocols. Heavy workloads and temporary closure/reduced operating hours of ABTCs limited the capacity of health workers to 472 473 complete/submit risk assessments while movement restrictions prevented in-person animal 474 investigations and affected sample collection. Challenges associated with COVID-19 primarily affected IBCM implementation in 2020 and 2021, with 2022 mostly returning to a relativelynormal situation.

477 There was a potential bias toward the submission of high-risk bite data due to higher prioritization 478 of reporting, which may have resulted in overestimating rabies exposure incidence. However, 479 attempts were made to adjust for this by using nearly complete risk assessment data from one ABTC, located in the capital city of Calapan, as well as the incomplete IBCM data submitted from 480 481 all ABTCs, to extrapolate to the province. Assuming there are differences in PEP-seeking behavior 482 and endemicity of dog rabies between urban and rural settings, both estimates come with 483 limitations. However, these two methods of extrapolation provide comparisons for our decision 484 tree estimates and further evidence that only a small percentage (< 3%) of bite patients seeking 485 PEP were likely true rabies exposures.

486 Additional limitations include simplifying assumptions and uncertainties in our decision tree

487 model parameters. The parameters describing rabid dog biting $(P_{bites|rabid_dog})$ and the probability

488 of infection following exposure (Prabies|exposure) were from a different context (Tanzania),

489 potentially limiting the accuracy of results specific to the Philippines. While the probability of

- 490 infection following exposure (P_{rabies|exposure}) likely has minimal variation between contexts, the
- 491 probability that a rabid dog will bite $(P_{bites|rabid_dog})$ may be context specific due to differences in
- 492 factors like the dynamics of animal/human behaviors within the community, cultural norms (e.g.
- 493 whether dogs are allowed to roam), and the density of human and dog populations. Further research

494 estimating these parameters specific to the Philippines would be useful for future studies.

495 Uncertainty in $P_{rabies|exposure}$ had little impact on model estimates, however, $P_{bites|rabid_dog}$ affected 496 estimates of rabid dogs, and lower assumptions of $P_{obs|death}$ led to estimates of $P_{seekPEP}$ deemed 497 implausibly low for the province. We consider it reasonable to assume that most human rabies 498 deaths in the Philippines are reported and captured in provincial and national statistics in contrast 499 to some other contexts, for example in Sub-Saharan Africa where much fewer deaths are reported. 500 This means that $P_{obs|death}$ parameters used in this model are specific to the Philippines and would

501 require adjustment when applied to other countries or regions.

502 Wider Context

503 Our results from Oriental Mindoro were comparable to findings from other IBCM case studies in 504 the Philippines. A high incidence of bite patients presenting to ABTCs was found in the provinces 505 of Bohol in 2013 (>300/100,000 persons per year) and Albay in 2018-2019 (>600/100,000 persons 506 per year); with most bitten by healthy animals (>92% in Bohol and >97% in Albay) [12, 13]. 507 Similar to our estimates from Oriental Mindoro Province (24 per 100,000 persons per year), these 508 data roughly translate into an estimated incidence of rabies exposures of 24 (Bohol) and 18 (Albay) 509 per 100,000 persons per year. This consistency in findings indicates that while PEP-seeking 510 behaviors have increased unsustainably in the Philippines since the initiation of the free PEP policy

in 2007, the average risk of rabies exposure has remained relatively consistent across much of the
country. Moreover, over the last decade, the number of human rabies cases reported has continued
to fluctuate between 200 to 300 deaths per year, despite the continuous expansion of ABTC
infrastructure and increased expenditure on and access to free government-supplied PEP [7, 8].

515 **Conclusions & Recommendations**

516 The NRPCP has executed a comprehensive package of rabies control measures, engaging 517 community and intersectoral involvement from the national to local level and vastly expanding 518 PEP accessibility. Even so, the current animal surveillance system does not sufficiently capture 519 the burden of rabies in the dog population, and dog vaccination coverage remains inadequate. 520 While government-allocated budgets for rabies control continually shift with different 521 administrations, the human health sector typically receives funding upwards of tenfold higher than 522 the animal health sector. To achieve rabies elimination, emphasis must be placed on developing 523 effective strategies and funding dog vaccination to reduce the incidence of rabies in the reservoir 524 dog population. Although free PEP policies are important to ensure the accessibility of these 525 emergency measures, they will not eliminate rabies or reduce the risk of exposure.

- 526 Our study suggests that for improved access to PEP to remain cost-effective, it should be 527 implemented in conjunction with strengthened rabies surveillance that provides more accurate data 528 on the risk of exposure for bite patients [3]. Using a risk-based approach to inform PEP decisions has the potential to reduce unnecessary spending on PEP for events that pose no risk of rabies 529 530 exposure. However, switching to more judicious PEP provisioning is likely to be difficult in the 531 Philippines given current established practices. Considerable training and local buy-in would be 532 needed to ensure that health workers are confident and supported in their decision-making and, 533 more critically, that the risk of rabies exposure is reduced and ideally eliminated through mass dog vaccination. 534
- 535 In conclusion, our findings demonstrate the wider benefits of integrating IBCM into national 536 policy in the Philippines. If implemented effectively, IBCM has the potential to guide judicious 537 PEP administration, thereby improving cost-effectiveness and allowing the reallocation of funds 538 to the animal health sector for dog vaccination—the most effective way to eliminate rabies. 539 Moreover, IBCM can provide more accurate data on the circulation of rabies to inform control 540 through mass dog vaccination and help achieve and maintain rabies elimination [30].

541 Authors' Contributions

542 Swedberg C: Conceptualization, Methodology, Writing - Original Draft, Formal analysis,
543 Visualization; Hampson K: Project administration, Supervision, Conceptualization,
544 Methodology, Writing - Original Draft, Formal analysis, Funding acquisition; Miranda MEG:
545 Project administration, Methodology, Investigation, Funding acquisition; Bautista C:
546 Conceptualization, Methodology; Anderson D, Maniszewska K: Investigation; Basa-Tulio M,

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548 M: Investigation, Resources, Project administration; Chng NR, Mazeri S, Mellanby RJ:
549 Supervision, Writing - Review & Editing. Kundegorski M: Data Curation, Investigation,
550 Quiambao B: Writing - Review & Editing, Project administration. Trotter C: Writing - Review
551 & Editing.

552

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563

564 Availability of data and materials

565 De-identified data and R programming code to replicate analyses are provided via the GitHub 566 repository: https://github.com/boydorr/OrMin_IBCM_decision_tree.

567

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573

574 **Conflicts of interest**

575 All authors declared that there are no conflicts of interest.

576

577 Ethical Approval and consent to participate

578 Ethical approval was obtained from the Research Institute for Tropical Medicine (RITM),
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580 & Life Sciences (200190123).

580 & I

582 **Consent for publication**

583 Not applicable.

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