

Correlation between pseudotyped virus and authentic virus neutralisation assays, a systematic review and meta-analysis of the literature

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Author contribution statement

DC and NT conceptualised the study. DC, CW, EB, MM-N, EW, SS, SR, JC-O, JH, GM assisted in the literature search and proof-reading of the manuscript. CW and SR carried out the statistical analysis. GM, JC-O, JH and NT provided critical evaluation of the manuscript. All authors contributed significantly to the article and approved the final submitted version.

Keywords

pseudotype, Neutralisation, Correlation, virus, correlates of protection

Abstract

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The virus neutralization assay is a principal method to assess the efficacy of antibodies in blocking viral entry. Due to biosafety handling requirements of viruses classified as hazard group 3 or 4, pseudotyped viruses can be used as safer alternative. However, it is often queried how well the results derived from pseudotyped viruses correlate with authentic virus. This systematic review and meta-analysis was designed to comprehensively evaluate the correlation between the two assays. Methods: Using PubMed and Google Scholar, reports that incorporated neutralisation assays with both pseudotyped virus, authentic virus, and the application of a mathematical formula to assess the relationship between the results, were selected for review. Our searches identified 67 reports, of which 22 underwent a three-level meta-analysis. The three-level meta-analysis revealed a high level of correlation between pseudotyped viruses and authentic viruses when used in an neutralisation assay. Reports that were not included in the meta-analysis also showed a high degree of correlation, with the exception of lentiviral-based pseudotyped Ebola viruses. Pseudotyped viruses identified in this report can be used as a surrogate for authentic virus, though care must be taken in considering which pseudotype core to use when generating new uncharacterised pseudotyped viruses. No. of Reports Correlation Range (Linear R²) Correlation Range (Pearson's) Correlation Range (Spearman's) Correlation Range (Intra-Class)

Contribution to the field

Neutralisation assays are considered the gold standard for measuring the magnitude of neutralising antibodies and typically require the use of authentic virus or pseudotyped virus; a safe-to-handle chimeric virus that can display viral glycoproteins of many highly pathogenic viruses. It is commonly queried as to whether the results from both assay platforms correlate. Whilst some studies incorporated a correlation analysis in their report, there is not a single systematic review nor meta-analysis in the literature to date that aimed to evaluate the correlation between the results generated by the two platforms. This question is pertinent, with the increasing uptake of pseudotyped virus neutralisation assays as a consequence of the recent COVID-19 pandemic and their increasing application to clinical trials. A manuscript dedicated strictly for this question would be greatly informative to the wider community. We targeted this gap in the knowledge by aggregating reported correlation values, and then by using a three-level meta-analysis, we show that there is a strong correlation between pseudotyped and authentic virus-based neutralisation assays for SARS-CoV-2. Ultimately, we provide information on more than 60 correlation values across more than 10 different viruses that have been pseudotyped and characterised.

Data availability statement

Generated Statement: The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

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3 **literature.**

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20 **Keywords: pseudotype, neutralisation, correlation, virus, correlates of protection.**

22 **Abstract**

23 **Background:** The virus neutralization assay is a principal method to assess the efficacy of antibodies
24 in blocking viral entry. Due to biosafety handling requirements of viruses classified as hazard group
25 3 or 4, pseudotyped viruses can be used as safer alternative. However, it is often queried how well the
26 results derived from pseudotyped viruses correlate with authentic virus. This systematic review and
27 meta-analysis was designed to comprehensively evaluate the correlation between the two assays.

28 **Methods:** Using PubMed and Google Scholar, reports that incorporated neutralisation assays with
29 both pseudotyped virus, authentic virus, and the application of a mathematical formula to assess the
30 relationship between the results, were selected for review. Our searches identified 67 reports, of
31 which 22 underwent a three-level meta-analysis.

32 **Results:** The three-level meta-analysis revealed a high level of correlation between pseudotyped
33 viruses and authentic viruses when used in a neutralisation assay. Reports that were not included in
34 the meta-analysis also showed a high degree of correlation, with the exception of lentiviral-based
35 pseudotyped Ebola viruses.

36 **Conclusion:** Pseudotyped viruses identified in this report can be used as a surrogate for authentic
37 virus, though care must be taken in considering which pseudotype core to use when generating new
38 uncharacterised pseudotyped viruses.

39

40 **1 Introduction**

41 Serological assays are an invaluable tool in detecting exposure of pathogens in organisms and
42 understanding the immune system's response. The level of insight gained from these assays during a
43 disease outbreak is crucial for the initial medical response, and subsequently understanding the
44 dynamics, strength and longevity of the immune response (1–3). An important protective response
45 requires antibody interaction with the pathogen. Upon infection, the humoral response produces
46 antibodies that bind to the antigens displayed by the pathogen, including those that prevent interaction
47 with the receptors necessary for entry into host cells. Assays for antibody analysis have proved
48 effective during recent viral outbreaks, such as those caused by Ebola virus (4,5) and Severe Acute
49 Respiratory Coronavirus 2 virus (SARS-CoV-2) (6–8), as they allow for detection and monitoring of
50 viral spread in a population. Such assays are similarly applied to animals, which can also identify
51 intermediary hosts or potential reservoirs and provide information about the potential for zoonotic
52 spillover (9,10), as well as inform on vaccines and treatment efficacy in preclinical studies .

53 Some serological assays, such as enzyme-linked immuno-absorbance assays (ELISA), can identify the
54 presence of antigen-binding antibodies within a day of receiving a human or animal blood sample
55 (11,12). When considering antibodies targeting a viral glycoprotein, typically a proportion of the
56 binding antibodies to a viral glycoprotein successfully impair the virus entry, whilst other antibodies
57 bind to non neutralising epitopes, enabling other antibody-mediated immune functions (13). This
58 highlights a shortcoming of binding assays such as ELISAs which lack the functional component of
59 measuring virus entry into cells. Owing to this, in order to measure functional activity, specifically the
60 ability of antibodies in preventing entry, a neutralisation assay is required. These assays are considered
61 the gold standard for measuring the presence and magnitude of neutralising antibodies and typically
62 require the use of authentic virus (14). As a result, these assays often take several days to allow the
63 virus to grow and are subject to biosafety containment requirements depending on the virus under

64 investigation. This restricts the study of viruses classified as hazard group 3 or 4, such as SARS-CoV-
65 2 or Ebola virus and Nipah virus, due to the paucity of facilities that possess such high level of
66 biocontainment. An approach to circumvent these requirements is to use a pseudotyped virus (PV),
67 which can be handled at containment level 2 or below. These are comparatively easier to produce,
68 typically by plasmid transfections, and, under optimized conditions, can be produced within 3 to 5
69 days. Many reviews have been published regarding pseudotype production, core composition, and their
70 uses. (15–20). These chimeric viruses commonly use a retroviral or VSV nucleocapsid core are
71 surrounded by a lipid envelope bearing viral glycoproteins of a heterologous virus of interest on their
72 surface. Often, PVs do not contain the virus genomic material required for replication. Instead, the
73 modified genome is replaced by a transgene, for example a reporter gene such as green fluorescent
74 protein (GFP) or luciferase enzyme (16). Upon successful entry into target cells, transgene expression
75 allows for quantification of infected cells. Primarily due to their replication deficiency, PVs can be
76 handled in a containment level 2 laboratories, which are common facilities in biological research
77 laboratories (18). Many viruses of high consequence have been pseudotyped successfully and rapidly
78 during the onset of an outbreak, as authentic viruses typically require isolation and stock amplification,
79 whereas PVs require a published sequence of the viral glycoprotein to be cloned into an expression
80 plasmid. Due to their external mimicry of the virus of interest, with reduced risk of acquiring mutations
81 during production in mammalian tissue culture as seen with authentic viruses, PVs are an effective tool
82 to use in neutralisation assays (18,19). The COVID-19 global pandemic, caused by the SARS-CoV-2,
83 caused a significant rise in the use of pseudotype assays for both serology and molecular virology
84 studies (17,21). When PVs are used in a multi-well plate assay setting they are often referred to as
85 pseudotype virus microneutralisation assays (pMNA). For the purposes of this systematic review, the
86 alternative authentic virus microneutralisation assay will be referred to as (vMNA).

87 Given that neutralising antibodies are one of the principle components measured to determine
88 correlates or surrogates of protection against disease or infection (22–24), the neutralisation test
89 remains a critical assay. An important aspect when determining a correlate or surrogate of protection
90 is to be able to draw comparisons between data and bridge between studies. By calibrating assays to a
91 common reference reagent, often a pooled sera sample, assay readouts can be standardised across
92 laboratories worldwide as these relative results are reported in a standard unitage (25–27). It is
93 important that such common reagent is used correctly to calibrate in house standards, but in some cases,
94 this is still not enough and the reduction of inter-laboratory variation can only be achieved by sharing
95 common protocols and critical reagents similar to the approach used by the CEPI Centralised
96 Laboratories network. Such reference reagents have been produced for several viruses, including many
97 of high consequence which are applicable to pseudotyping (28–30). Whilst reporting results relative to
98 a reference reagent reduces inter-laboratory variations and allows comparisons between assays, it is
99 fundamentally important to investigate whether surrogate assays, designed to mimic and replace
100 vMNAs which employ highly pathogenic viruses, correlate. If there is a correlation between a pMNA
101 and a vMNA, then the results from either assay could be applied within clinical trials and investigations
102 aimed at identifying the correlates for protection against a virus.

103 However, it is commonly queried how well the results from a pMNA correlate with those from a
104 vMNA. The question is particularly relevant with the increasing uptake of pMNAs as a consequence
105 of the recent COVID-19 pandemic and their increasing application to clinical trials as focus turns to
106 vaccine development for other high consequence pathogens (31,32). The studies to-date use a mixture
107 of correlation formulae, most of which are Pearson's R and/or Spearman's Rho (33,34). Other studies
108 have instead fitted linear regressions to understand the relationship between the two variables, with the
109 R^2 value providing an equivalent measure to the square of Pearson's R in the case of a positive
110 relationship (35). Several reviews on PVs or neutralisation assays have included some of these studies

111 which sought to correlate results from both assays, yet only a handful are cited (17–19). Despite several
112 studies directly comparing PV and authentic virus neutralization assays, correlation information tends
113 to be buried in the mass of data or supplementary material in these reports. It is likely that for these
114 reasons, the question as to whether the two assays correlate is still frequently posed.

115 To the best of our knowledge, there is no systematic review nor meta-analysis that has condensed the
116 literature that has correlated pMNA and vMNA. Therefore, the purpose of this systematic review and
117 meta-analysis is to collect the available information on the comparison between the two tests, analyse
118 the strength of correlations, and present the results in a clear and coherent manner. Overall, we aim to
119 inform the wider community whether pseudotyped viruses can be used as surrogates for authentic virus
120 for the purposes of a neutralisation assay and subsequently to determine the correlates of protection
121 against a virus. Despite the findings within this report, it remains critical that PV-based assays continue
122 to be assayed and correlated with authentic virus wherever possible, particularly if a new PV has been
123 designed for use. Given that correlation coefficient values have different classifications of strength
124 based on the field of study, we included a table based on the definitions that are often cited in the field
125 of medicine (34,36,37) (Table 1).

126 **2 Methods**

127 **2.1 Search Strategy and Selection Criteria**

128 Google Scholar and PubMed were used to identify published research articles which reported data on
129 correlation between pMNA and vMNAs. The following Boolean search terms were employed to filter
130 studies indexed in Google Scholar and PubMed: “pseudotype|pseudotyped|pseudoparticle”
131 “correlate|correlated|correlation” “live” “virus” “neutralisation|neutralization”.

132

133 The criteria for inclusion were reports that contained neutralisation assays with both pseudotype virus
134 and authentic virus, as well as application of a mathematical formula to assess the relationship between

135 the results, either by linear regression, Pearson's correlation, Spearman's rank, or a combination of the
136 three. Studies that did not present any form of analysis of correlation were excluded.

137 **2.2 Data Collection**

138 We extracted the following data from reports that satisfied our selection criteria: report author name
139 and year, virus used, pseudotype core used, neutralisation assay readout (both for pMNA and vMNA),
140 correlation method, p value of the correlation coefficients, number of samples, and sample types. In
141 total, we identified 67 reports that satisfied our selection criteria and were used for comparative data
142 analysis.

143 **2.3 Statistical Analysis**

144 For our meta-analysis, we considered data for the relationships between SARS-CoV-2 PVs and
145 authentic virus. There was insufficient data to consider other viruses in separate meta-analyses and we
146 decided not to analyse the results from multiple viruses together. We instead present the data for other
147 viruses in a table in the supplementary materials (Suppl. Table 1). For the studies reporting a linear
148 regression (R^2), we opted to convert the value by its square-root, so that it may be combined with the
149 Pearson's R values derived from other studies and therefore included in the analysis. We checked that
150 all regressions reported only included the PVs and authentic virus and that the relationships were all
151 positive. We did not have sufficient Spearman's Rho values to analyse and these cannot be directly
152 combined with the Pearson's R values, as they do not measure the same characteristic. Therefore, we
153 did not attempt to carry out a meta-analysis of Spearman's Rho coefficients. These values are reported
154 in the supplementary materials (Suppl. Table 1). We therefore used a dataset of 50 Pearson's R
155 coefficients from 22 papers. Since studies on SARS-CoV-2 used different PV cores (HIV and VSV),
156 PV assays (eGFP, GFP, Luciferase, PRNT and SEAP) and sample types (hamster sera, human mAbs,
157 human plasma and human sera), we checked for differences in the Pearson's correlations between
158 studies using t-tests with a null hypothesis of no difference in the mean Pearson's correlations between

159 the groups containing at least 10 results (Suppl. Figure 1). Since we failed to reject the null hypothesis
160 for any comparison, we decided to carry out our meta-analysis on the full dataset. We had only very
161 limited results reported for different SARS-CoV-2 variants, so that investigating differences in results
162 for each variant alone is left for future work. The analysed datasets used identical variants for PV and
163 authentic viruses.

164 We conducted a three-level meta-analysis of Fisher's z-transformed Pearson's correlations, using the
165 inverse-variance method, accounting for the dependence between multiple results from the same study
166 (38,39). We assigned data to "clusters" based on their dependence on other data. All coefficients
167 calculated using the same dataset were considered dependent and were assigned to the same cluster,
168 resulting in 26 clusters in total. Taking the example of Wang et al, 2020 (40), a correlation coefficient
169 was calculated for each of two independent datasets, so that these two coefficients were assigned to
170 separate clusters, while Sholukh et al, 2021 (41) presented four correlation coefficients that were
171 calculated using the same datasets, so that these coefficients were all assigned to the same cluster.
172 Clusters with higher estimated sampling variance of their correlation coefficients, e.g., due to lower
173 sample sizes, are given lower weights in the calculation of the pooled correlation, while clusters are
174 given higher weights if there is less dependence among their correlation coefficients (39). The
175 heterogeneity variance, τ^2 , was calculated using the restricted maximum likelihood estimator, with
176 confidence interval estimates calculated using the profile likelihood method. We assessed
177 heterogeneity using the I^2 and H statistics (42) and we calculated prediction intervals (using the t-
178 distribution) for the pooled correlation estimate. While confidence intervals provide measures of
179 uncertainty around the true mean values of correlation, the prediction interval provides a measure of
180 uncertainty around the likely values of correlation to be seen in future studies (38). We checked for
181 influential outliers by removing correlations in turn and recalculating all estimates. We plotted Fisher's
182 z-transformed correlation against standard error (a "funnel plot") to assess possible publication bias.

183 All calculations were carried out in R version 4.3.1 (R Core Team, 2022) using the packages meta (42),
184 metafor (44) and dmetar (45).

185 **3 Results**

186 **3.1 Results of Literature Search**

187 Our search terms returned a total of 33 reports in PubMed and 5,880 reports in Google Scholar. After
188 manually screening abstracts and titles, we identified 80 studies that met our selection criteria and
189 ultimately included 67 reports in this systematic review (Suppl. Table 1). The primary reason for
190 exclusion were reports that either did not include both pMNA and vMNA, or reported neutralisation
191 titres in both the pMNA and vMNA, but did not carry out a correlation analysis between the two
192 methods. Briefly, the total number of reports found for each virus were; SARS-CoV-2 (n=32)
193 (40,41,46–75), SARS-CoV-1 (n=2) (76,77), Canine distemper virus (CDV, n=1) (78), Chikungunya
194 virus (CHIKV, n=1) (79), European bat lyssavirus 1 (EBLV-1, n=1) (80), EBLV-2 (n=1) (80), Ebola
195 virus (EBOV, n=3) (81–83), Hepatitis C virus (HCV, n=3) (84–86), Human immunodeficiency virus
196 (HIV, n=1) (87), Hantaan orthohantavirus (HTNV, n=2) (88,89), Influenza A virus H5N1 (IAV H5N1,
197 n=5) (90–94), IAV H7N9 (n=1) (95), Japanese encephalitis virus (JEV, n=1) (96), Lagos bat virus
198 (LBV, n=1) (97), Middle East respiratory syndrome virus (MERS, n=4) (98–101), Newcastle disease
199 virus (NDV, n=1) (102), Nipah virus (NIV, n=1) (103), Peste des petite ruminants virus (PPRV, n=1)
200 (104), Puumala virus (PUUV, n=1) (105), Rift Valley fever virus (RVF, n=1) (106), Rabies virus
201 (RABV, n=2) (107,108), and Seoul orthohantavirus (SEOV, n=2) (88,89). A summary of the findings
202 from these reports can be viewed in Table 2 (Table 2), whereas a more detailed breakdown for each
203 report can be viewed in the supplementary file (Suppl. Table 1).

204 Aside from SARS-CoV-2 which will be analysed in the following sections of this study, we found that
205 in general, most of the pseudotypes correlated well with the vMNA, irrespective of pseudotype cores
206 and the readout techniques used to measure the assay results (Suppl. Table 1.). We found some studies

207 that did not clarify the correlation test used, and were therefore omitted from Table 2, though relevant
208 information including the r value is still included in the supplementary table 1. Interestingly, a study
209 analysing the EBOV PVs reported that the choice of the PV core had a substantial impact on correlation
210 with authentic virus (82,83). When the negative control samples were omitted from the neutralisation
211 assays, the correlation coefficients dropped from 0.68, 0.77 to -0.03 and 0.18, effectively showing no
212 correlation, whereas the samples assayed with the VSV core PVs retained correlation coefficients of
213 0.84 and 0.96 (Suppl. Table 1.). This study highlights the need to consistently verify whether cores of
214 pseudotypes can affect correlations with vMNAs.

215 **3.2 Three-Level Meta-analysis Results**

216 From 22 SARS-CoV-2 studies we analysed 50 Pearson's correlation coefficients, which were derived
217 from a combined total of 1238 data points by pMNA and vMNA (Figure 2). As stated in the methods,
218 we verified that there were no significant differences in the mean Pearson's correlation values between
219 studies that used different PV cores, neutralising reagents and assay readout types (Suppl. Figure. 1).
220 We calculated a pooled correlation of 0.86 (95% CI; 0.82-0.89, $p < 0.01$). These results suggest that
221 there is a strong correlation between the results derived by pMNA and vMNA.

222 The results indicated the presence of low to moderate between-cluster heterogeneity ($I^2=37.1%$ (CI:
223 11.2%-55.5%); $H=1.26$ (CI: 1.06 to 1.50); $\tau^2=0.05$ (CI: 0.02-0.12)). This means that there is some weak
224 evidence of differences in the true effect sizes in the study. A 95% prediction interval (PI) for the
225 pooled correlation is 0.69-0.94, which means that it is highly likely that the true correlation between
226 pMNA and vMNA in a future study will lie between 0.69 and 0.94. Since this is entirely greater than
227 0.5, this provides us with evidence of a positive relationship between pMNA and vMNA for SARS-
228 CoV-2, appropriately accounting for the distribution of effects amongst the studies. Removing results
229 in turn did not lead to substantial reductions in heterogeneity. Our "funnel plot" (Suppl. Figure 2)

230 shows that most points lie within the funnel shape in a symmetrical pattern, providing no evidence of
231 publication bias.

232 Our “forest plot” (Figure 3) shows the calculated interval estimates for each study. We note that the
233 majority of the interval estimates include our pooled estimate and that all studies except Mykytyn et
234 al. (61) , which has very small reported sample sizes, have entirely positive interval estimates.

235 **3.3 Agreement between pMNA and vMNA by Bland-Altman method**

236 Since Pearson’s or Spearman’s are used for understanding correlation between two variables, they may
237 not determine whether different assays are strictly in agreement with each other. The Bland-Altman
238 method (109) is a frequently applied analysis which is often used to determine agreement between two
239 methods that aim to measure the same variable, in this case, antibody neutralising capability. Within
240 our literature search, several studies have used the Bland-Altman method of analysis. Therefore, we
241 also refined the literature search used for this study by adding the search terms; “Bland-Altman”. All
242 four resulting papers identified were already included from the main literature search. Due to the power
243 of this statistical method, we opted to present the results by the Bland-Altman method within the reports
244 in a separate table (Table 3). All studies that reported results from the Bland-Altman method showed
245 high levels of agreement between pMNA and vMNA.

246 **4 Discussion**

247 Given the interest in the results derived by pMNA compared to vMNA, our systematic review and
248 meta-analysis sought to consolidate the data to inform the wider community on whether there is a
249 correlation and subsequently, agreement between the two assays. The results of the meta-analysis
250 would confirm that for SARS-CoV-2 there is a strong degree of correlation between pMNA and
251 vMNA. Despite the limited number of studies, the Bland-Altman results presented in this manuscript
252 also indicate a high level of agreement between the two assays. This data support the use of pMNA as

253 a surrogate to the vMNA, though more correlation studies by Bland-Altman would be very valuable to
254 perform in future reports.

255 Moreover, since multiple viral cores can be used for pseudotyping, it is important to assess whether
256 this could impact the pMNA vs vMNA correlation. It would appear that in the case of the Ebola virus,
257 there is a lower concordance, if a lentiviral core is used in the pMNA compared with a VSV core
258 (82,83). Whilst the precise reason for influence of the core remains unknown, though speculated to be
259 due to the morphological difference between a VSV capsid and a filamentous EBOV particle (82) or
260 the target cells, which is the same for the authentic virus and EBOV-VSV but differ for the lenti-based
261 pMNA. It will be important to determine whether these differences exist in the case of other filoviruses
262 and indeed other viruses, as there may be a high risk of reporting erroneous results. Therefore, it is
263 important to optimize all aspects of the pMNA and different pseudotype cores combined with identical
264 envelope glycoproteins should always be assessed in parallel with the authentic virus in neutralization
265 tests, if possible. **Critically, the two EBOV studies observed the reduced correlation of the lentiviral
266 cores when negative control sera were excluded from their analyses. Therefore, we advise future
267 correlation studies to consider not only including negative control samples within their analyses, but
268 also consider deriving correlations with and without the negative control samples, especially if the
269 number of samples is low and multiple cores are under assessment.**

270 Interestingly, multiple studies have mentioned that one of the benefits of using PVs is that they are
271 more sensitive in discriminating samples containing weaker or a low concentration of neutralising
272 antibodies (92,100,104). In fact, one report provided evidence of the vMN assay reporting false
273 negative results on samples that contained neutralising antibodies, successfully detected by the pMN
274 (102). Whilst this would highlight the benefits of using PVs for detecting positive samples within a
275 human or animal population, it may also bring into question whether the results derived from the
276 weaker samples could protect the individual or animal from subsequent infection, given that the

277 authentic virus was not neutralised. However, it is essential to consider that lower limits of detections
278 can change based on assay design, virus species, the titre of the virus used, and the volume of serum
279 sample used. This highlights reporting of results relative to a reference reagent can add value by
280 enabling comparisons between data produced by different methods. Whilst use of a reference material
281 will not ultimately improve assay performance, it helps to highlight differences. In any case, having a
282 more sensitive assay such as the pMNA would prove to be very useful for epidemiological studies that
283 are aiming to determine whether a virus exists or existed in a particular human or animal population,
284 as opposed to correlating neutralising titres towards disease severity or protection.

285 Lastly, it is very important to distinguish the type of interpretation derived from either Pearson's R or
286 Spearman's rank correlation analyses and the Bland-Altman plot. Neither the Pearson's R, which is a
287 measure of the linear relationship between two variables, nor the Spearman's rank, that informs on
288 correlation from measurements taken on an ordinal scale, provide information on the agreement
289 between two different assays. In this case, the Bland-Altman method is required (109). Our literature
290 search has shown for multiple viruses that the pMNA and vMNA have high agreement for multiple
291 viruses in several families.

292 The main limitation of our systematic review is that it was biased towards SARS-CoV-2, due to the
293 sheer number of publications dedicated to this virus in the past three years, providing enough
294 correlation values that allowed for the meta-analysis. Whilst it would have been useful to carry out the
295 same analysis for other viruses, unfortunately there were not enough correlation values. We did not
296 use the Spearman's Rho coefficients in our analyses, but the strong positive values of these, for both
297 SARS-CoV-2 and other viruses (Suppl. Table 1) do not disagree with our main conclusions that PVs
298 and authentic virus showed strong positive relationships. Some of the studies used very small sample
299 sizes, which was accounted for through giving lower weights to these studies. We opted to include
300 studies that used PVs that are non-replicative, single cycle of infection, therefore excluding studies

301 that used replicon infection systems , despite some of these reports showed high correlation and high
302 level of agreement between single-round replicons and authentic virus in a neutralisation assay
303 (110,111). Lastly, new virus and cell-free assays have now been developed for SARS-CoV-2 that
304 measure the capability of antibodies blocking the spike protein from interacting with its receptor ACE-
305 2, effectively becoming a surrogate neutralisation assay, have shown to have strong correlations with
306 both pMNAs and vMNAs (51,69,112–114). Whilst these assays do not fit the scope of this study, we
307 believe it is worth mentioning and monitoring for follow up meta-analyses.

308 In summary, our systematic review and meta-analysis shows that the pMNA designed for use towards
309 SARS-CoV-2 serological studies demonstrated a high degree of correlation with assays performed
310 using the authentic virus. In addition, many other viruses that have been pseudotyped also show a high
311 degree of correlation. We recommend, where possible, that future studies on methods agreement should
312 continue to investigate the use of multiple PV cores, to determine whether there could be differences
313 in neutralisation titres, such as that exemplified with Ebola virus PVs. It is also essential that future
314 studies incorporate the Bland-Altman analysis to determine the agreement between the two assays as
315 well as this is substantially more informative, especially when both assay results are to be applied to
316 clinical trials and assessed for determining correlates of protection. Ultimately, we would encourage
317 laboratories to calibrate assays to reference materials, if one is available and relevant for the isolate
318 under study, which will support these future comparisons and critically provide traceability to a
319 correlate of protection once derived.

320 **5 Conflict of Interest**

321 Author JH is affiliated to DIOSynVax. The authors declare that the research was conducted in the
322 absence of any commercial or financial relationships that could be construed as a potential conflict of
323 interest.

324 **6 Author Contributions**

325 DC and NT conceptualised the study. DC, CW, EB, MM-N, EW, SS, SR, JC-O, JH, GM assisted in
326 the literature search and proof-reading of the manuscript. CW and SR carried out the statistical analysis.
327 GM, JC-O, JH and NT provided critical evaluation of the manuscript. All authors contributed
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338

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731 **Table 1.** Guide for interpreting correlation coefficients in the medical field of study.

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<u>Correlation Coefficient value</u>	<u>Strength of Relationship</u>
>0.8	Very strong
0.6 - 0.79	moderately strong
0.3 - 0.59	Fair
<0.3	Poor

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733 **Table 2.** Summary of reported correlation coefficients. The bounds represent the minimum and maximum point values across the studies.

Virus	No. of Reports	Correlation Range (Linear R²)	Correlation Range (Pearson's)	Correlation Range (Spearman's)	Correlation Range (Intra-Class)
Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)	31	0.385 - 0.993	0.641 - 0.939	0.54 - 1	0.872 - 0.872
Severe acute respiratory syndrome coronavirus 1 (SARS-CoV-1)	2	-	0.69 - 0.78	-	-
Canine distemper Virus (CDV)	1	-	-	0.65 - 0.91	-
Chikungunya virus (CHIKV)	1	0.78 - 0.98	-	-	-
European bat 1 lyssavirus (EBLV-1)	1	-	0.79 - 0.79	-	-
European bat 2 lyssavirus (EBLV-2)	1	-	0.9 - 0.9	-	-
Ebola virus (EBOV)	3	-	0.96 - 0.96	0.54 - 0.86	-
Hepatitis C virus (HCV)	3	-	0.893 - 0.893	0.7 - 0.93	-
Human immunodeficiency virus (HIV)	1	0.903 - 0.903	-	-	-
Hantaan virus (HTNV)	1	0.91 - 0.91	-	-	-
Influenza A virus H5N1 (IAV H5N1)	5	0.524 - 0.980	0.734 - 0.78	0.79 - 0.79	-
Influenza A virus H7N9 (IAV H7N9)	1	-	0.82 - 0.82	-	-
Japanese encephalitis virus (JEV)	1	0.915 - 0.915	-	-	-
Lagos bat lyssavirus (LBV)	1	-	0.83 - 0.83	-	-
Middle East respiratory syndrome virus (MERS)	4	0.96 - 0.96	0.88 - 0.934	0.97 - 0.97	-
Newcastle disease virus (NDV)	1	0.92 - 0.92	-	-	-
Nipah virus (NIV)	1	-	-	-	-
Peste des petits ruminants virus (PPRV)	1	-	-	0.89 - 0.89	-
Puumala virus (PUUV)	1	-	-	0.82 - 0.82	-
Rift Valley fever virus (RVF)	1	-	-	0.77 - 0.77	-
Rabies virus (RABV)	3	0.946 - 0.946	0.915 - 0.918	-	-
Seoul orthohantavirus (SEOV)	1	0.82 - 0.845	-	-	-

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735 **Table 3.** Reported Bland-Altman results.

Study	Virus	Samples	Conclusions
Hyseni <i>et al.</i> 2020 (54)	SARS-CoV-2	65	64/65 samples within 95% Limit of Agreement
Lester <i>et al.</i> 2019 (100)	MERS	52	High level of agreement
Nie <i>et al.</i> 2017 (107)	RABV	320	All samples within Limit of Agreement
Buchy <i>et al.</i> 2010 (91)	IAV H5N1	41	High level of agreement

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737 **Figure 1. Comparison between live virus neutralisation assay and pseudotyped neutralisation**
738 **assays.** Live viruses are commonly used in neutralisation assays though their practicality may depend
739 on the biohazard containment regulations (A). Pseudotyped viruses, despite displaying glycoproteins
740 of highly pathogenic viruses, are designated as a level 2 pathogen (B). The live virus neutralisation
741 assay and the pseudotyped virus neutralisation assay are designed in a similar fashion whereby
742 antibodies are incubated in the presence of virus, followed by addition of a cell line that is susceptible
743 to virus infection (C). In the context of a SARS-CoV-2 neutralisation assay (D), neutralising
744 antibodies bind to the Spike protein of the virus, preventing the virus to bind to the required entry
745 receptor ACE2. Live viruses that enter begin to replicate, whereas pseudotyped viruses only express
746 the desired reporter gene. Plaque assays, fluorescent staining of viral proteins or qPCR are often used
747 to measure neutralisation levels in live virus assays (E), whereas pseudotyped assays typically rely on
748 measuring the intensities of luciferase or fluorescent protein expression (F). The pertinent question of
749 whether the results derived from either assay correlate still remain (G). Figure created with
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Figure 2. Flow diagram of the study identification and selection process.

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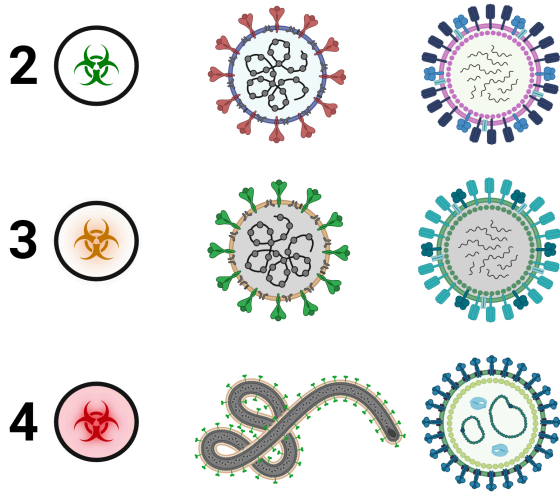
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799 **Figure 3. Forest Plot of the three-level meta-analysis results.** The endpoints of the black or white
800 horizontal lines represent the endpoints of the 95% CIs for the Pearson's correlation coefficients for
801 each study. The grey boxes represent the sample sizes of each study. The vertical dotted line
802 represents the pooled Pearson's correlation coefficient estimate and the grey diamond represents the
803 95% CI for the pooled Pearson's correlation coefficient estimate. The 95% prediction interval is
804 shown by the red line. The table columns are, respectively, study name, cluster indicator, sample size
805 (n) from which Pearson's correlation coefficient was calculated, correlation as described above,
806 Pearson's correlation coefficients, 95% CI of Pearson's correlation coefficients, and weighting
807 assigned to each coefficient.

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A Live Virus Neutralisation Assay



B Pseudotyped Virus Neutralisation Assay

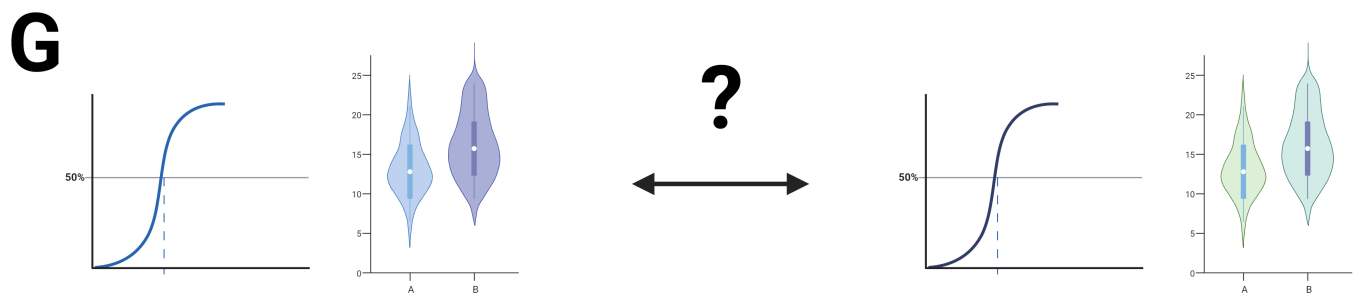
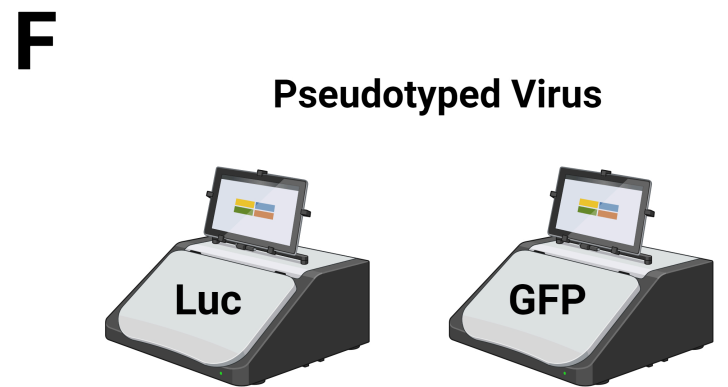
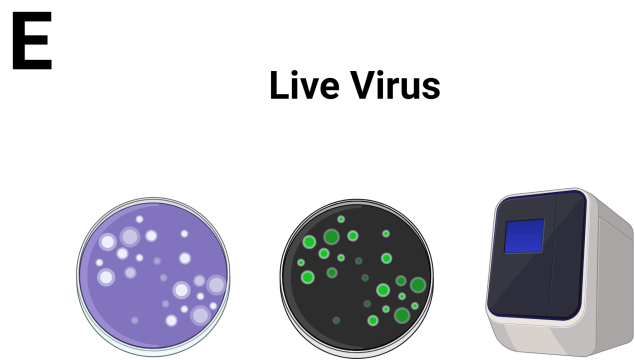
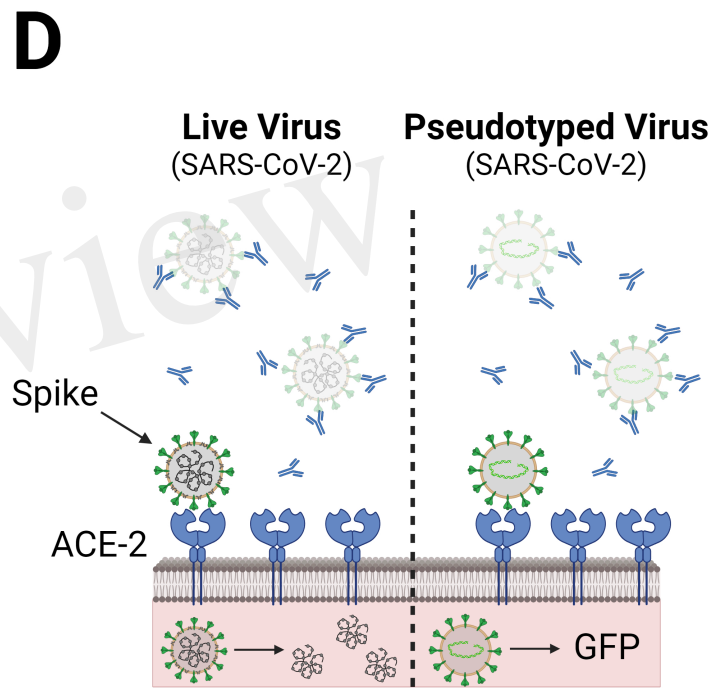
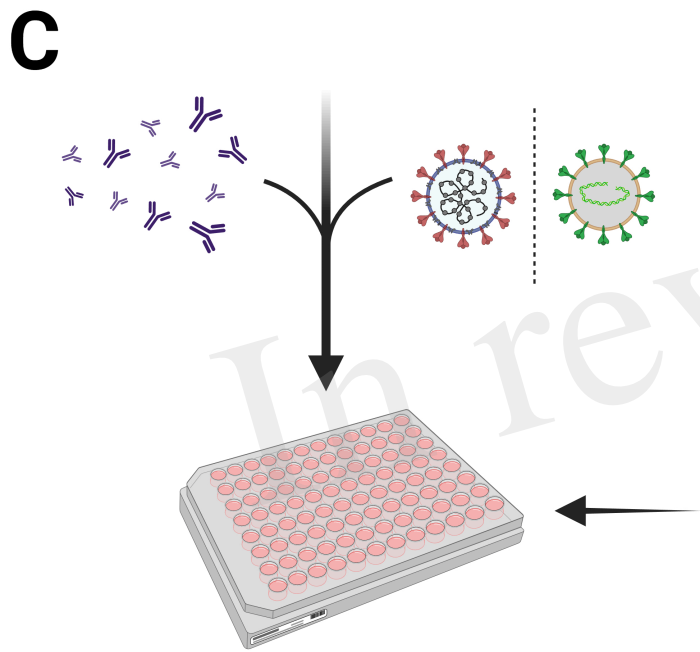
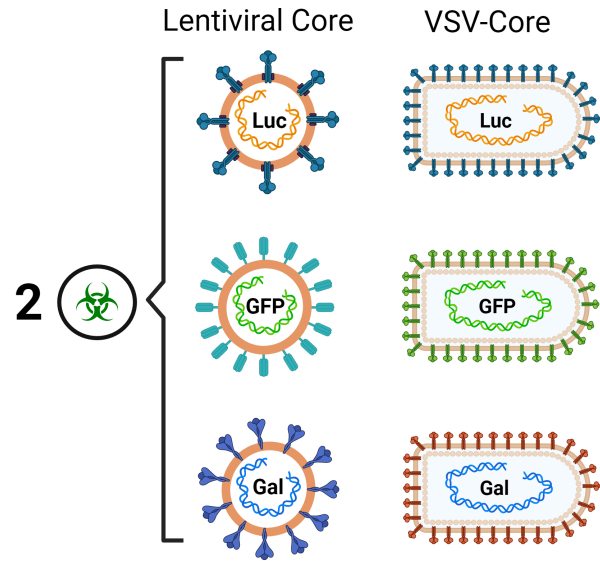


Figure 2.JPEG

