# INEQUITIES IN KIDNEY HEALTH AND KIDNEY CARE

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#### 43 Abstract (200words)

Health inequity refers to unnecessary and unfair differences in the capacity to 44 achieve optimal health and appropriate accessibility of care. Kidney diseases 45 [including acute kidney injury (AKI) and chronic kidney disease (CKD)] have 46 strong associations with inequity. This is largely due to the intrinsic risks of 47 kidney diseases, the heavy burden of comorbidities and the high cost of 48 therapies, e.g. for dialysis on wich survival for many may depend. However, 49 inequities occur across the entire clinical course of kidney diseases. This review 50 offers a comprehensive overview of the array of inequities in kidney health and kidney care, including inequities between countries, regions and social classes, 52 inequities in healthcare, inequities specific to therapeutic modalities, and healtheconomic and ethical implications. This review also proposes solutions, which 54 may inspire nephrology professionals to recognize and mitigate inequities. In 55 addition to the main text that summarizes the relevant elements, and interested 56 readers are referred to the comprehensive tables (including case stories) and references, which review some facets more deeply. It is the responsibility of all 58 implicated to call attention to inherent risks of inequity in their immediate and 59 broader environments, and to pursue the best possible solutions together with 60 their communities. 61

#### 63 Introduction (7915 words)

Health inequality refers to differences in health or health resources between persons, populations or nations such as those caused by age or genetic 65 predisposition<sup>1</sup>. Inequities in healthcare are unfair, avoidable and remediable 66 differences between groups, based on socioeconomic, demographic or 67 geographic factors<sup>2</sup>. The distinction between inequities and inequalities is not 68 always clear. Importantly, underlying inequalities frequently contribute to 69 inequities, e.g. when genetic predisposition, age or sex intersect with 70 race/ethnicity, socio-economic status, possibilities to adhere to healthy lifestyle 71 or level of education 72 In this manuscript we review different aspects of inequity which impact kidney 73 health and kidney care across the globe. For all the discussed elements a 74 number of potential solutions are reviewed at the end. The aim here is to offer 75 practical guidance to all those involved on how to avoid inequities, as these are 76 among the most concerning social injustices in modern clinical nephrology. 77 Throughout this manuscript, inequalities will sporadically be referred to if they 78 impact inequities. 79 80 81

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Health inequities affect the capacity to achieve optimal health, which also
includes appropriate accessibility of care<sup>4</sup>. This capacity is far from equally
distributed globally, especially across regions and social classes<sup>5-8</sup>. The
awareness of health inequities has not translated into sufficient corrective and
collective action, because health inequities are multifactorial and multisectoral.
They arise from differences not only in medical care but also from differences
in global policy, sociology, ecology, geography, ethics, economics, psychology,

culture, religion and tradition (Table 1) . A further barrier is the fatalistic view
that the problem is too large, too broad, or too complex<sup>9</sup>. Inequities evolve
over the life course, such that disadvantaged fetal or childhood development
may predispose to compromised health throughout life<sup>10,11</sup>. An avoidable lack
of screening and preventive care may also lead to late presentation of disease
and seriously jeopardize health outcomes<sup>12</sup>. Kidney diseases do not escape
these rules, but rather epitomize them<sup>13</sup>.

Appreciation of the importance of kidney diseases by the medical community, 97 policy makers, and the public has lagged behind that of other common 98 conditions for multiple reasons<sup>14,15</sup>. First, the rapid growth of dialysis and 99 transplantation since 1960 has focused on the needs of patients requiring these 100 expensive therapies diverting attention from prevention that is more scalable 101 and applicable everywhere<sup>15,16</sup>. Second, the lack of consistent definitions of 102 kidney diseases until the 2000s, and of reliable epidemiologic data in some 103 regions, has hidden the full extent of the problem, limiting the development of 104 appropriate interventions<sup>17,18</sup>. Third, the lack of awareness among primary care 105 providers, together with deficiencies in health information systems, have also 106 hampered prevention, detection and early treatment<sup>19-21</sup>. 107

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Based on the mounting evidence regarding population prevalence and poor
outcomes<sup>22-24</sup>, kidney diseases should be considered a public health priority,
but thus far have not been prioritized on the global non-communicable disease
(NCD) agenda<sup>25</sup>. This has resulted in the most fundamental inequity that affects
all kidney patients without distinction: insufficient investment in screening,
prevention, research, and innovation compared to other common NCDs, which
themselves remain chronically underfunded <sup>26</sup>. Chronic kidney disease (CKD),

despite affecting 10-15% of society globally<sup>17,26</sup>, is not a health research focus 116 for the European Union (EU)<sup>27</sup>. Neither does CKD figure among the 56 health 117 topics considered relevant by World Health Organization (WHO) Europe<sup>28</sup>. In 118 the 2022 EU Healthier Together Initiative, four disease-specific NCD strands are 119 targeted, excluding CKD<sup>25,29</sup>. This lack of awareness among policy makers is 120 compounded by the ignorance of the kidney's functions and its pathologies. 121 Most individuals do not know what the kidneys do, let alone how to care for 122 them<sup>30</sup>. At best, policy makers see kidney diseases as a co-morbidity of cardiovascular disease (CVD) or diabetes, which postpones diagnosis for many, 124 and leaves others entirely behind<sup>31</sup>. 125 This manuscript is coordinated by European Kidney Health Alliance (EKHA), a 126 non-governmental organization advocating for kidney health at European 127 Union (EU) level and beyond<sup>3</sup>. This article collates in a global context 128 perspectives from diverse inequity experts, representing various continents, 129 age groups and backgrounds, including kidney patients. It seeks to reposition 130 the need for equity in kidney health and care as a global priority and offers a 131 basis for further exploration for all involved stakeholders. 132

## 134 Inequities across countries/regions

#### 135 **Epidemiologic distribution**

The Global Burden of Disease (GBD) study attributed more than 3 million
 deaths in 2019 to kidney dysfunction<sup>32</sup>. Most CKD deaths occurred in India and
 China<sup>17</sup>. In Latin-America, the Middle-East and North- Africa, CKD falls within
 the top 5 most common causes of death<sup>33</sup>. Globally millions of deaths probably
 result each year from the lack of accessibility of kidney replacement therapy
 (KRT),<sup>34</sup> and from acute kidney injury (AKI)<sup>35</sup>, and those remain largely
 uncounted in lower-resource countries.<sup>36,37</sup>. Inequities across regions are also

further enhanced by environmental factors, such as increasing number of heat
 waves and droughts, pollution, water contamination and increased distribution
 of tropical diseases<sup>38-40</sup>, which do not affect all countries and people equally.

Applying the definition of CKD<sup>41,42</sup>, a systematic analysis of worldwide
 population-based data estimated the age-adjusted global prevalence of all stage CKD in 2010 at 10.4% in men and 11.8% in women more than 20-years old<sup>43</sup>. Subsequent estimates yielded relatively consistent results, although with
 regional variations from 6 to 20%<sup>44,45</sup>. CKD prevalence increases with age and
 appears higher in lower-resource settings<sup>43</sup>.

The GBD study showed a 15-fold global variation between countries of CKD 152 burden [specified as age-standardized CKD-linked disability-adjusted life-years 153 (DALYs)], highlighting potential inequities in both accessibility of diagnostic 154 possibilities and risk factor distribution<sup>33</sup>. It is even more difficult to estimate 155 the contribution of AKI<sup>46</sup>. A pooled incidence of hospital-acquired AKI was 156 reported as 34 and 22% among hospitalized children and adults<sup>47</sup> respectively 157 but with promounced regional variations, raising questions of plausibility and 158 generalizability<sup>37,48</sup>. 159

#### 160 **Risk distribution**

The risk of kidney diseases is associated with country income level <sup>51</sup> with 161 people developing CKD and dying from CKD at a younger age in lower-resource 162 settings compared to high income countries (HICs)<sup>52,53</sup>. The association 163 between age-adjusted CKD prevalence and KRT incidence is positive in HICs, 164 but explains only 40% of the variance<sup>54</sup>. This association is negative in Central 165 and Eastern-Europe, and null elsewhere<sup>54</sup>, which highlights differences in 166 incident KRT that cannot be explained by CKD prevalence, even in HICs where 167 accessibility of KRT is generally unlimited. 168

In Central and Eastern-European countries, gross domestic product (GDP) is
highly heterogeneous, a legacy of the Cold War and the Iron Curtain. Many CKD
risk factors are more prevalent than in Western-Europe, especially in countries
with lower GDPs, likely contributing to a higher regional incidence of CKD<sup>55</sup>.
Other disparities in this region related to kidney care include variable
availability of specific KRT modalities and expensive medication, relative
number of nephrologists, and tracking of the prevalence of CKD<sup>56-61</sup>.

Within HICs, in part due to the legacy of colonialism and slavery, stark

disparities across racial, geographic and socio-economic strata exist<sup>13,68,69</sup>.

178 Moreover, patients with socio-economically deprived backgrounds develop

kidney impairment 5 years earlier in their life course and suffer from more
 comorbidities<sup>13</sup>.

#### **Global distribution of KRT**

Registries of KRT were introduced in the 1960s-1970s in Europe and the US and 182 have expanded to most HICs but are less available elsewhere. Across countries 183 reporting to the United States Renal Data System (USRDS), the incidence of KRT 184 ranged from 16 per million people (pmp) in South-Africa (2018) to 570 pmp in 185 Jalisco, Mexico (2019), and the prevalence of treated kidney failure varied over 186 30-fold across countries (2019), which reflects disparities in accessibility of KRT 187 rather than in true incidence<sup>34,70</sup>. KRT incidence is rising in most lower-income 188 settings<sup>70</sup>, however predominantly in the private sector (e.g. South-Africa and 189 India), leaving many without possibilities to be treated or exposed to significant 190 catastrophic health expenditures (CHE – out-of-pocket healthcare payments 191 which impoverish a household)<sup>71-74</sup>. 192

To permit equitable accesibility of care, provision of sustainable KRT requires
 robust health systems and financing. A higher country Gini coefficient (indicating

greater within-country inequity) directly correlates with greater prevalence of 195 stage 5 CKD remaining untreated by KRT<sup>75,76</sup>. In areas with greater equity, there 196 is more accessibility of KRT<sup>75,76</sup>. In lower-resource settings a small fraction of 197 those requiring KRT receive it long-term<sup>34</sup>. For example, most African countries 198 have healthcare systems with poor/no health insurance coverage, leaving the 199 vast majority of people with kidney failure unable to obtain KRT<sup>77,78</sup>. Elsewhere, 200 macro-economic factors and services for kidney care are also more strongly 201 related to KRT incidence than demographics or general health<sup>79</sup>. In Eastern-202 Europe, variability in incidence and prevalence of KRT results in multiple-fold 203 differences in dialysis and transplantation uptake between countries, as well as 204 less home dialysis and conservative care compared with Western-Europe<sup>60,61</sup>. 205

In brief, country location and wealth distribution substantially impact kidney
 health and accessibility of kidney care across the world. Inequities exist even
 within a relatively homogeneous region like Europe.

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#### <sup>210</sup> Factors associated with inequitable health care

#### 211 **Diagnosis and treatment**

A complex interplay between structural risk factors for AKI and CKD and rapid 212 progression of disease due to limited accessibility of primary care limits 213 possibilities to mitigate these risks<sup>64-67</sup>. Diagnosis of CKD and AKI requires blood 214 and urine tests, which are not routinely available everywhere<sup>49</sup>. In 2017, two-215 thirds of low income countries (LICs) were unable to measure serum creatinine 216 in primary care, and none provided quantitative albumin or protein urinalysis<sup>49</sup>. 217 Availability of medicines required for kidney care is often limited in lower-218 resource settings, but even within HICs inequities may arise based on coverage 219 differences between patients and insurers<sup>62,63</sup>. Similarly the nephrology 220 workforce is unequally distributed across the globe: the number of nephrologists

per million population (pmp) ranges from 31 in Western Europe to 1 or less in
 Africa<sup>50</sup>. Thus, diagnosis, availability of treatment and tracking of the burden of
 kidney diseases is highly inequitable globally.

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#### **Inequities conflicting with living well**

Good healthcare is a key component to living well<sup>80</sup>. To achieve this equitably, health providers must meet people at their different levels of disadvantage 228 (Figure 1) and support them to face personal challenges and priorities. Patient 229 priorities may, but do not always align with those of healthcare providers<sup>81,82</sup>. 230 Kidney diseases exacerbate vulnerabilities, including health, social, and financial hardship<sup>83,84</sup>. Of note, most often, vulnerability is not an intrinsic 232 condition but due to system failures. Health decision-making is influenced by wider contexts, including one's own understanding, finances, social support, 234 geography, culture, beliefs, and freedoms. Healthcare providers must 235 appreciate these wider determinants, both to consider patients holistically, and 236 to avoid blaming individuals for risks and outcomes caused by external 237 factors.<sup>10</sup> 238

A complex relationship exists between the unique challenges posed by kidney 239 diseases, broader individual and environmental contexts, and healthcare and 240 societal factors which promote or undermine health. Accessibility of kidney 241 care is complex, with many intersecting and compounding challenges, as 242 discussed elsewhere <sup>5,10,13,30,65,80,84-120</sup> and summarized in Table 1. Many of 243 these factors are global problems. The Sustainable Development Report 244 2022<sup>121</sup> highlights major challenges and insufficient data regarding inequity 245 indicators especially across lower-resource settings<sup>121</sup>, which exacerbate the 246 inherent "invisibility" of kidney diseases. The implications for specific groups 247

are expanded on below, with accompanying scenarios and quotes in box 1,

partly based on published observations<sup>115,122-129</sup>.

#### 250 Socio- economics

Social and economic position (SEP) is consistently associated with health risks
and accessibility of care, across countries, and across lifecourse<sup>104</sup>. People of all
ages are at risk of kidney diseases, which constrains opportunities for wellbeing, education, employment, and attaining life-goals. The relationship
between SEP and kidney health is bidirectional, with increased risk of falling
into poverty as kidney diseases progress<sup>130</sup>.

Particular challenges exist in lower-resource settngs<sup>78,131</sup>. In most of Africa for 257 example, many people with CKD are of working age. They often present late, 258 with kidney failure resulting in poor outcomes<sup>132,133</sup>. This is driven in part by low 259 health literacy, and a preference for potentially nephrotoxic traditional 260 remedies and faith-based healers<sup>134,135</sup>, but also by a lack of infrastructure and 261 adequate workforce to enable early detection, prevention, and community 262 surveillance<sup>50,101</sup>. If lower-resource countries provide coverage for dialysis, it 263 typically is limited to only two sessions per week<sup>100</sup>. Others exclude kidney 264 failure from coverage schemes<sup>99</sup>, necessitating prohibitive out-of-pocket costs 265 if dialysis or transplantation are availabile<sup>77 85,136</sup>. Thus, many people in lower-266 resource settings are unable to sustain treatment for kidney failure, and 267 struggle with the economic burden on their family, creating difficult moral 268 trade-offs in the allocation of household resources<sup>137,138</sup>. 269 Even in HICs with universal health coverage (UHC), deprived individuals 270 experience less preventative care, more rapid progression of kidney diseases, a 271 greater need to rely on emergency services, and stigmatisation<sup>13</sup>. Poor neighborhoods are associated with poor education and employment 273 opportunities. Residents have less ability to obtain and navigate preventative 274

healthcare, limited availability of recreation services or exercise facilities, and

greater exposure to environmental toxins, overcrowding, and food

insecurity<sup>65,88,97</sup>. These represent barriers to a healthy lifestyle, good nutrition,

<sup>278</sup> and ability to cope with stressors<sup>5,95</sup>.

Those who are uninsured, homeless or undocumented migrants also suffer 279 limited accessibility of preventive care. One in three undocumented migrants 280 with kidney failure in the U.S. receive only emergency dialysis, with grave 281 prognostic implications<sup>117</sup>. Irrespective of country, refugees experience similar 282 difficulties to the disadvantaged in navigating healthcare and maintaining a 283 healthy lifestyle<sup>118</sup>. During humanitarian crises, this includes reduced 284 accessibility of life-saving treatments such as dialysis and 285 immunosuppression<sup>139</sup>. 286

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#### 288 **Discrimination**

<sup>289</sup> Systemic racism continues to drive persistent inequities in kidney health,

whereby race should be understood as a social construct rather than a

<sup>291</sup> biological indicator and disparities in health and outcomes as the

consequences<sup>140</sup>.

<sup>293</sup> Globally, people of Black race and minoritized backgrounds are more likely to

<sup>294</sup> have kidney diseases, and progress to kidney failure<sup>141,142</sup>. In the U.S., Black

<sup>295</sup> patients with kidney failure are less likely to be evaluated and referred for

transplantation <sup>143-145</sup>, are listed later<sup>144,146-148</sup>, wait longer for

transplantation<sup>149-152</sup>, and receive poorer overall care<sup>153-156</sup> than White patients.

<sup>298</sup> Discrimination against minority groups, including race and sexual and gender

<sup>299</sup> minorities (SGM), occurs at the intersection with wider health determinants

<sup>300</sup> and causes differences in how healthcare is used and experienced<sup>65,94</sup>. Due to

<sup>301</sup> systemic inequities and policies (e.g. redlining), patients from minoritized

backgrounds are overrepresented in poorer neighborhoods<sup>65,157-159</sup>. Inequitable 302 structural investment in local community environments perpetuates these 303 disadvantages into future generations<sup>160,161</sup>. In addition, the direct experience 304 of discrimination can cause long-term stress and negative coping, leading to 305 overeating, alcohol or other drug abuse, smoking, poorer mental health, and 306 less trust in sources of support<sup>65,162,163</sup>. Importantly such discrimination not only 307 impacts individuals, but markedly increases total health care costs, which 308 further weakens health systems. In 2018, the economic burden of racial and 309 ethnic health inequities and education-related health inequities in the US, 310 (measured as excess medical care expenditures, lost productivity, and the 311 value of excess premature death combined), wer estimated at over \$420 billion 312 and over \$940 billion respectively<sup>164</sup>. Most of the excess costs was contributed by the Black population and those without a high school education. 314 Patients from minoritized groups may distrust professionals if discrimination is 315 witnessed, with a detrimental impact on health-related decision-making<sup>94</sup>. A 316 patient experiencing discrimination may leave and never return. Effort should be made to provide education and support that is culturally and socially 318 sensitive, but clinician-patient relationships vary across minorities and cultural 319 groups<sup>149</sup>, with clinicians investing unconsciously more in people with whom 320 they have greater affinity. Without awareness of these biases, nephrologists 321 may be prone to spend less time with those from minorities discussing treatment options such as transplantation, or new therapeutic options such as sodium-glucose transporter (SGLT)-2 inhibitors<sup>93</sup> (if reimbursed). 324

#### Algorithms and guidelines

Algorithms are used to assess, monitor, predict, and support clinical decisions.
 Such tools risk introducing biases, if based only on selected (privileged) groups
 or only approximative parameters with a magnitude of error that depends on

person characteristics<sup>165,166</sup> (label bias). These biases carry the risk of hidden
discrimination<sup>167</sup>. For example, healthcare policies are often based on analytical
algorithms of health event administrative coding. Such codes usually represent
expenditure on care, rather than illness severity or need. This can lead to
structural discrimination, because people of Non-White race experience
reduced accessibility of care compared to their White counterparts with similar
illness severity<sup>166 168,169</sup>. Such analyses invisibly perpetuate unfair
recommendations hidden behind algorithms that assume that Non-White

Kidney care is especially dependent on measurement. However, availability of 338 possibilities and capacity to monitor kidney health is uneven between and 339 within social groups, regions, and countries<sup>98</sup>. This compromises 340 interpretability, and the visibility of underrepresented groups. The inadequacy 341 of explicit inclusion of a Black race coefficient within kidney function (eGFR) 342 estimating equations in previous formulae (i.e. MDRD and CKD-EPI equations) 343 was especially important because GFR estimates are cascaded as presumed 344 "results" into numerous kidney and non-kidney tools and guidelines beyond 345 the reach of the kidney specialist<sup>170</sup>. This over-medicalization and biological 346 misinterpretation of race may inadvertently have led to unfair barriers to 347 referral, guideline-based care and provision of support<sup>93,140</sup>. Although not 348 supported universally<sup>171</sup>, leading nephrology societies now recommend using 349 eGFR equations without the Black race coefficient<sup>172-174</sup>. Coefficients for age 350 and sex remain, and similarly may require cautious interpretation<sup>111-113,175</sup>. 351

#### 352 Health illiteracy

Health literacy is "the degree to which individuals have the capacity to obtain,
 process and understand basic health information" to inform their health
 decisions<sup>176</sup>. Health illiteracy is to a considerable extent attributable to failures

in the education system, as well as failures in information systems. This may be 356 exacerbated by insufficient health, social and cultural literacy of care providers, 357 as kidney diseases require not only medical understanding, but also 358 understanding how to support patients with living with an increasingly complex 359 chronic disease. Low health literacy is linked to increased mortality, 360 hospitalization, medication errors and poor management of chronic 361 diseases<sup>177,178</sup>. Efforts to improve health literacy in patients with CKD have 362 focused on the individual, with little attention for the health system 363 environment or the appropriateness of information<sup>179</sup>. For patients and 364 families, their ability to understand CKD and treatments is variable and 365 impacted by many factors including the skills and patience of the clinician 366 providing education, patient health, presence of a caregiver, time of day of 367 appointment, and current and anticipated future treatment modality. These 368 factors cannot be changed by those needing care<sup>180</sup>, and may result in 369 decreased healthcare accessibility and utilization of services. 370

**Geography and accessibility** 

People from rural/remote communities often commence their journey with CKD in a disadvantaged position, especially regarding socioeconomic status, educational attainment, and opportunities to benefit from primary 374 prevention<sup>181</sup>. Regarding KRT, many barriers, including late referral to 375 nephrologists, necessary relocation to obtain treatment, transportation 376 barriers, and financial hardship<sup>125</sup> contribute to an increased risk of mortality, morbidity and hospitalization among those residing in more remote 378 locations<sup>181</sup>. For in-center hemodialysis, longer travel time to treatment is 379 associated with higher mortality, and decreased quality of life<sup>182</sup>. Centralisation 380 of most transplantation units to major cities, adds an extra layer of difficulty for 381

patients from remote areas as possibilities to complete transplant work-up and
 specialist care may not be available locally..

#### <sup>384</sup> Inequities among therapeutic options

- <sup>385</sup> Inequities in kidney care pervade across individual conditions (cause of kidney
- diseases, lifestyle, the timing of preventive and therapeutic interventions and
- disparate accessibility of different KRT modalities), wider communities,
- <sup>388</sup> healthcare systems (e.g. private *versus* public healthcare sectors) and countries
- (Figure 1). Combined, these have major impacts on patient outcomes.

#### 390 Acute kidney injury

- Although AKI is potentially preventable and reversible, accessibility of
- <sup>392</sup> appropriate diagnosis and care is inequitable. In HICs, AKI is common among
- <sup>393</sup> multimorbid individuals who often need prolonged dialysis in intensive care
- <sup>394</sup> with little chance of recovery.<sup>35</sup>. In many lower-resource countries,
- <sup>395</sup> awareness/confidence to manage AKI is low among healthcare workers<sup>183</sup>.
- <sup>396</sup> Although AKI is common in children and young adults, often as a single
- <sup>397</sup> condition<sup>35</sup>, even basic intravenous fluids for rehydration may be lacking<sup>184</sup>, let
- <sup>398</sup> alone accessibility and affordability of dialysis<sup>37,184,185</sup>

#### 399 Chronic kidney disease

In the early stages of CKD, only people with specific kidney conditions such as polycystic kidney disease or glomerulonephritis typically receive care in specialist nephrology clinics. For many people with early stage CKD due to more common causes (e.g. related to hypertension or diabetes), care is coordinated through primary care or non-nephrology specialty units and is subject to inequities in surveillance, diagnosis and quality of care<sup>13</sup>.

Multiple barriers in CKD care, including lack of accessibility of essential 406 diagnostics and drugs to slow progression of kidney diseases, and of knowledge 407 among healthcare professionals, contribute to inequities (Table 1). 408 Accessibility of appropriate medication depends on availability, reimbursement 409 and/or ability to self-pay. A survey of resource-limited countries reported that 410 approximately 75% of patients had to pay themselves for diagnosis and 411 treatment of glomerulonephritis, while the lack of kidney biopsy and 412 subsequent interpretation often led to inappropriate immunosuppression<sup>186</sup>. 413 Quality of care is therefore an additional concern even if some resources may 414 be available/accessible, highlighting the need for capacity building among the 415 nephrology workforce<sup>16</sup>. 416

#### 417 Advanced kidney disease: dialysis and conservative care

Accessibility and quality of dialysis, availability of home dialysis and focus on
patient well-being varies between and within countries and between individual
nephrologists as outlined above (Table 1). Most variations in dialysis
accessibility and availability relate to economic factors – cost, health coverage,
distribution of dialysis centers, number of nephrology professionals including
nurses, quality of patient education, support for vascular and peritoneal access
creation, and management of comorbidities<sup>58</sup>.

Hemodialysis is available (although not necessarily accessible to all) in most
countries and tends to be the default form of KRT<sup>16</sup>. In-center hemodialysis is
time- and resource-intensive and is highly centralized. PD is more scalable and
flexible, less hospital dependent, can be done anywhere with rudimentary
infrastructure, is preferred by many patients<sup>187</sup>, and is especially suitable for
children<sup>188</sup>. Counterintuitively, however, PD costs more than hemodialysis in
many lower resource settings<sup>189-191</sup>. Efforts to make PD supplies less expensive

and to increase awareness of the advantages and impact of PD are key to
increasing its global availability<sup>192</sup>. In terms of quality, cost is again a major
source of inequity where reduced hemodialysis sessions or PD exchanges are
often used as compromises to cut costs, but unavoidably reduce dialysis
quality<sup>137</sup>.

<sup>437</sup> Older or frail individuals, and those with learning difficulties are usually

committed to in-center hemodialysis unless assistance is provided at home.

<sup>439</sup> Even in high-income Western European countries, healthcare-funded assistants

<sup>440</sup> for dialysis were available in only 5 of 13 surveyed countries <sup>193</sup>.

Similar arguments hold for inequity of availability of conservative care, with less

than half of countries providing support from multi-professional teams, or

enabling shared decision making needed to embark on conservative care<sup>61</sup>.

444 Even in countries which purportedly support conservative care, such as France,

this option is often not discussed as an alternative to dialysis<sup>194</sup>.

#### 446 Advanced kidney disease: transplantation

Many patients in need of KRT prefer kidney transplantation over dialysis, due
to better survival and quality of life<sup>195,196</sup>. Globally, the WHO estimates that
only 10% of the demand for kidney transplantation is met<sup>197</sup>. The donor organ
shortage is worsening as more people worldwide require KRT.

Transplantation is available in 74% of countries (publicly funded in 53%) with waiting lists in only 62%<sup>198</sup>. Pre-emptive transplantation is only recorded in 10% of countries<sup>198</sup>. Higher-resource settings have higher rates of deceased and living donation than other countries<sup>199,200</sup>, along with transplant registries enabling greater transparency. The availability of kidney transplantation through UHC in higher-resource settings enables people from lower socio-

economic classes to obtain transplantation. Nevertheless, even in higherresource settings inequities remain pervasive <sup>143-145</sup> and there are huge
disparities among countries in transplantation uptake<sup>201</sup>. In LICs accessibility is
largely restricted to those who can pay.

Racial disparities are well documented particularly in minority groups, migrants
and Indigenous and First Nations People, who despite a higher burden of
kidney failure, are less likely to receive a transplant<sup>202</sup>. Females are more likely
to be living donors than men<sup>203</sup>, an observation likely impacted by multiple
factors, including the slower progression of kidney diseases among women<sup>204</sup>

In 2007, apprimately 10% of transplantations worldwide resulted from organ 466 trafficking after graft purchase from poor and individuals rendered vulnerable 467 by their life circumstances<sup>205,206</sup>.. The Declaration of Istanbul provides guidance 468 for organ donation and transplantation worldwide, to promote equitable 469 sharing of the limited transplant resources by those in need, and prevent harm 470 through exploitation<sup>207</sup>. Nevertheless, equitable allocation of graft organs 471 remains complex and changing viewpoints might necessitate revision of rules 472 when appropriate<sup>206</sup>. 473

#### 474 **Pediatric care**

Accessibility of specialized pediatric nephrology is very limited in LICs, but
regional variations occur everywhere<sup>208</sup>. Data on the epidemiology and
outcomes of pediatric kidney diseases are limited to registries in HICs and small
studies from lower-resource settings, probably underestimating true disparities
in care.

<sup>480</sup> The 0 by 25 initiative highlighted the disparities in early diagnosis and

accessibility of dialysis for children with AKI in lower-resource settings<sup>37</sup>.

482 Community-acquired, preventable AKI due to infections like dengue,

dehydration or nephrotoxic drugs is more common in low-resource settings

and exacerbated by poverty and malnutrition<sup>35,37,185</sup>. Mortality in children with

AKI is >50 times higher in lower-resource settings than in HICs, especially when

dialysis unaccessible<sup>209</sup>. Non-recovery of kidney function is 3 times more

487 frequent<sup>209</sup>.

<sup>488</sup> Pediatric CKD is often diagnosed late, especially in countries with poor

<sup>489</sup> antenatal and primary healthcare, and in rural/remote areas<sup>210</sup>. Accessibility of

<sup>490</sup> pediatric dialysis and subsequent outcomes correlate with national wealth,

even in Europe<sup>211</sup>. Mortality risk is also greater with late diagnosis requiring

'urgent start' dialysis<sup>211</sup> and is very high if dialysis cannot be provided or
 continued<sup>78</sup>.

<sup>494</sup> The barriers to pediatric kidney transplantation in lower-resource settings

include unavailability of pediatric transplantation expertise, catastrophic out-

of-pocket expenditure and the absence of deceased donor organ sharing
 networks<sup>212,213</sup>.

### <sup>498</sup> Inequities resulting from health economic factors

### 499 Differences driven by country wealth

<sup>500</sup> Kidney care comes at a high societal and personal cost<sup>26</sup>. Global reimbursement

for maintenance dialysis (excluding out-of-pocket payments) amounts to

- around 57 billion US dollars, 80% of which is spent in HICs, 17% in MICs, and
- <sup>503</sup> only 3% in LICs<sup>190</sup>. Dialysis, if universally provided, is funded by varying state
- <sup>504</sup> financing schemes<sup>214</sup>. In HICs, >2% of national healthcare budgets is directed to
- <sup>505</sup> KRT, for only 0.15% of the population<sup>15</sup>. Global costs for AKI are unknown, but

in the US, in 2013, AKI reportedly caused \$9 billion excess annual hospital 506 costs<sup>35</sup>. 507

In higher income settings, expenses for associated non-kidney care further 508 increase the financial burden<sup>15,215</sup>. Productivity loss (unemployment, sick leave, 509 premature retirement, death) impacts patients, their next of kin and society 510 overall<sup>216</sup>. Individuals in vulnerable positions (temporary, contractual, physical workers, unemployed ) are at higher risk of productivity loss and 512 impoverishment when struck by CKD<sup>84</sup>.

In low-resource settings where the direct and indirect costs of kidney care and 514 KRT often must be paid out-of-pocket, the risk of impoverishment is even 515 higher. A systematic review comparing out-of-pocket payments for several 516 diseases revealed kidney diseases as the leading cause of catastrophic health 517 expenditure (CHE), across lower-resource settings, thus exacerbating inequities 518 between countries, individuals and groups. 519

Both higher and lower-income countries are therefore at risk of inequities but 520 the problems are not necessarily the same (table 2). Accessibility of kidney care 521 without experiencing financial hardship is highly inequitable across the globe, with the most severe consequences (death and/or CHE) especially affecting the 523 poorest<sup>78,138,185,217-219</sup>. 524

525

#### *Kidney replacement therapies*

Dialysis is available in almost all countries<sup>16</sup>, but the clinical, financial and 526 ethical dilemmas associated with its (un)accessibility cannot be ignored. Costeffectiveness assessments are used to rank healthcare interventions aiming at 528 maximal population health gains, often expressed in Quality Adjusted Life Years 529 (QALYs), for a given cost<sup>220</sup>. A systematic review of cost-effectiveness analyses 530 concluded that the ability to identify the mix of dialysis modalities that provides

best outcomes for patients and health budgets is uncertain, particularly given
the frequent inconsistencies between published studies and non-consideration
of societal perspectives<sup>221</sup>. In addition, cost-effectiveness as sole criterion for
decision making has been criticized, since it overlooks crucial factors such as
budgetary impact, financial risk protection for individuals, and equity in
distribution of interventions<sup>222,223</sup>.

In many higher income countries, the budgetary impact of dialysis has been 538 accepted, as the choice to save lives has prevailed over costs<sup>217</sup>. This has led to 539 exponential growth in patient numbers and a dialysis industry generating 540 considerable profit in a sector with few competitors. Rising patient numbers, 541 especially in emerging countries, will further inflate costs<sup>224</sup>. Health system and 542 societal costs for PD, home hemodialysis and transplantation are lower than for 543 in-center hemodialysis in many countries<sup>58,190,201,225-227</sup>, but their uptake and/or 544 availability is inadequate and divergent<sup>190,228,229</sup>. Additionally, health-economic 545 factors favoring one therapeutic alternative over another in HICs differ in 546 lower-resource settings, where labor is cheaper and imports more expensive<sup>225</sup>. 547

548

Especially in low-income settings, policy makers face the challenge of 549 simultaneously pursuing UHC, setting priorities across the whole health system 550 and progressively fulfilling the human right to health<sup>217,230</sup>. It would be naive to 551 insist that KRT be funded immediately everywhere for all, as the opportunity 552 costs (money spent on KRT cannot be spent elsewhere) are high. For example, if Kenya, Nigeria and Senegal would try to meet their estimated national 554 dialysis needs, this would require from 8 to close to 40% of government health expenditure<sup>231</sup>. Consequently, in lower-resource settings, KRT is currently 556 largely available only to those who can pay<sup>137</sup>. 557

**CKD not on kidney replacement therapies** 

The costs of kidney care do not only impact those on KRT. The poor may not even be able to afford simple care to prevent the evolution of early CKD to kidney failure. This intensifies inequities because as disease progresses, higher levels of care and personal expenditure are required<sup>137</sup>.

The optimal solution to forestall CKD costs is to reduce disease risk and/or 563 progression, both intimately intertwined with inequities in many places<sup>15,232,233</sup>. 564 However, in most countries investment in initiatives to promote prevention is 565 minimal, in spite of the high value for money compared to the financing of 566 treatment or cure<sup>12,15,190,234,235</sup>. The value for money gained through prevention 567 of illness is not restricted to the health sector. A recent publication from the 568 WHO highlighted the important long-term return on investment of prevention 569 of NCDs. For example, investment of 1 dollar in lower-resource settings to 570 reduce population salt intake in 2018 would yield 13 dollars in return by 2030, 571 given the lower subsequent health expenditures and greater productivity 572 gained with healthier people<sup>236</sup>. Thus, there are also longer-term opportunity costs, which apply especially to many lower-resource settings, where current 574 health budgets are disproportionately channeled to secondary and tertiary 575 care, necessitated by the poor investment into prevention<sup>190,225,237</sup>. 576

577

#### 578 *Marketing of drugs*

A threat to reimbursement systems and costs is the marketing of therapies for specific kidney diseases which are often only available at extremely high prices, either because of patents, or the small market size if a condition mainly affects children (e.g. cysteamine)<sup>238,239</sup>. There is little transparency in the price setting of such drugs (e.g. eculizumab)<sup>240</sup>, for which in addition evidence may be low<sup>241</sup>. They are also frequently used off-label for indications for which they are

not approved and not evidenced, or used in children and adolescents where 585 they have not been tested (e.g. tolvaptan)<sup>242</sup>. Inflated costs and excessive 586 profits not corresponding to investment<sup>240</sup> initiate and exacerbate inequities 587 among countries and regions<sup>243</sup>, and depend on whether countries have 588 orphan drug legislation and reimbursement schemes. Inequities in accessibility 589 of such medications have a negative impact on patient outcomes<sup>244</sup>, in low-590 income but also in high-income settings, as incomplete of absent coverage may 591 necessitate out-of-pocket payments, that are not possible for all. 592

In summary, the current health-economic model supporting kidney care is
flawed. The focus on expensive and/or late stage therapies favors inequity,
both across countries and among individuals. Differences in cost of essential
therapies between countries, without clear transparency about the prices and
the reasons, further exacerbate global inequities<sup>245</sup>.

598

#### 599 The ethical context

#### 600 Inequitable accessibility: an ethical dilemma

Clinicians are familiar with the 4 principles of biomedical ethics. The principles 601 of autonomy, beneficence (doing good) and non-maleficence (not doing harm) 602 are readily applicable at the bedside. The principle of justice, however, has 603 implications beyond the bedside and addresses issues of fairness and inequities 604 between individuals. In resource-constrained settings, physicians often realize 605 that autonomy, beneficence and non-maleficence conflict with justice, as an 606 individual patient's needs may be overridden by lack of available therapies, 607 poverty or the needs of others competing for the same treatment<sup>78</sup>. 608 Inequities in nephrology constitute moral dilemmas because patient outcomes 609 are adversely affected by structural injustice and vulnerability, that increase 610 risk of kidney diseases and impact accessibility of care<sup>68</sup>. Although inequity is 611

often thought to begin with a lack of accessibility of healthcare, patients with 612 kidney diseases encounter inequities that extend beyond the healthcare sector, 613 beginning with the conditions in which they are conceived, born, work and 614 live<sup>233</sup>. The social and structural determinants of health include factors like age, 615 gender, poverty and geographical location in the world and within a country. 616 These factors are inequitably distributed, resulting in vastly different outcomes 617 for patients with the same disease living under different circumstances - highly 618 resourced versus low resource settings, or people who are wealthy versus the 619 poor. These social determinants of health play a large role in pre-determining 620 who lives longer and who dies earlier<sup>246</sup>. Accessibility of kidney care is also 621 inequitably distributed at all levels – from screening, early diagnosis and 622 preventative care up to KRT or comprehensive conservative care for kidney 623 failure. 624

If inequity in healthcare is inherently 'unjust', an ethical dilemma arises for the 625 provider (the principle of justice is violated)<sup>78</sup>. Inequities in kidney care occur in 626 all resource settings and at any stage of disease, but the impact is compounded 627 with worsening kidney function, as life-saving but expensive treatments 628 become necessary. Out-of-pocket costs exacerbate these inequities in low-629 resource settings, where minorities, women, the poor, elderly and health 630 illiterate, as well as those living remotely, are disproportionately affected. 631 Examples of structural inequities in nephrology are presented as case studies in 632 Table 3, highlighting the ethical dilemmas encountered<sup>137,206,217,247-256</sup>. Such 633 moral dilemmas are omnipresent: at the bedside, during shared decision-634 making, in society, for national governments and at a global level (Figure 2). 635

636

#### 637 **Responsible stakeholders**

In his philosophical approach to health justice, Venkatapuram states that 638 health is not the absence of disease, but a positive ability to be and to do 639 things<sup>257</sup>. People have a moral entitlement to be as healthy as they can, and 640 patients need to be capable of leading productive and quality lives. 641 Expressing health as a human right is an important complement to advancing 642 health equity because it stresses that the responsibility for care delivery lies 643 with the state, which has an obligation to provide care to whatever extent 644 possible in an equitable manner<sup>230</sup>. 645

The global nephrology community also has an ethical imperative to address/call attention to all the factors underlying inequity, including the social determinants of health, as well as every level of accessibility of kidney care. It is the ethical responsibility of all professionals to reduce inequities in kidney care and improve patient outcomes and to advocate this objective<sup>258</sup>. Governments must be held accountable to acknowledge this and to commit to the progressive realization of the right to kidney care for all.

653

#### 654 Solutions

As outlined above, inequities in opportunities to optimize kidney health and to 655 provide accessibility of all forms of kidney care are multiple across the globe. 656 The origin of health inequities can often be narrowed down to both social and 657 systemic injustices<sup>259</sup>, related to complex, multisectoral factors. Solutions 658 require leadership, responsibility, and political will. Improvement in 659 accessibility of health care may mitigate the immediate impact of social and 660 systemic injustices to an individual, but lasting progress can only be made 661 through seeking system solutions that prevent the underlying causes at a 662 population level. Accordingly, if medical communities are to make collective 663 progress towards dismantling inequities, the underlying causes must first be 664

acknowledged and understood before they can be solved. This in turn requires
collaboration on global, local and individual levels. Suggested actions to tackle
the global inequities in kidney health and kidney care per stakeholder group
are summarized in table 4 and outlined relative to policy/individual level in
what follows.

670

#### 671 Global level

Recognize kidney diseases as an important public health problem 672 Multiple factors have contributed to kidney diseases being relatively 673 overlooked as a public health concern, which include lack of data in many 674 places due to global inequities in accessibility of essential and reliable 675 diagnostics for kidney diseases and rudimentary health information systems 676 which do not track kidney diseases. The focus of global health agendas was 677 initially driven by funding and targets set for infectious diseases and maternal 678 and child health, and subsequently for cardiovascular, cancer, respiratory 679 diseases, diabetes and mental health, but not kidney diseases<sup>29,260</sup>. If the 680 burden of kidney diseases is to be meaningfully impacted, advocacy and strong 681 leadership are required to acknowledge and reduce existing inequities in 682 disease risk and accessibility of care, to strengthen the provision of integrated 683 quality care for NCDs including kidney diseases, to generate robust health-684 economic evidence on interventions and their impact to guide financing, to 685 improve data capture to identify areas that lag behind, and to track 686 achievement of all sustainable development goals (SDGs), as each SDG impacts 687 kidney health world-wide<sup>233</sup>. 688

Just as health inequities cut across countries, so also do potential solutions. Over the short and medium term, harmonization among countries and classes can be advanced by material, financial or in-kind external support, and by

promoting exchange of learning, innovations and best practices<sup>261</sup>. Such 692 initiatives might be optimally managed by umbrella institutions, including 693 governments, supranational political structures (e.g. the European Union), 694 coordinating agencies (e.g. WHO), or non-governmental organizations (e.g. 695 Médecins sans Frontières, European Kidney Health Alliance), but may also 696 result from private initiatives (e.g. Gates Foundation) and professional societies 697 (e.g. International Society of Nephrology, European Renal Association). Over 698 the longer term, countries must be encouraged and supported to finance and 699 deliver sustainable and comprehensive local quality kidney care. 700

701

#### <sup>702</sup> Support affordable innovation to improve kidney care for all

Transparency in investment and in development and production of novel 703 technologies and drugs, especially for orphan kidney diseases, is urgently 704 needed<sup>240</sup>. Structured stakeholder networks, like the virtual European 705 Reference Network on rare diseases of the European Commission, may help to 706 support high quality, sustainable and equitable therapies<sup>262</sup>. Tiered pricing 707 mechanisms adapting the cost of technologies and material to the welfare of a 708 country in mutual agreement between rich and poor countries may improve 709 affordability<sup>263</sup>. 710

Innovation should not only focus on sophisticated technologies, but must also 711 include the development of new approaches to improve uptake of prevention 712 strategies, and accessibility and delivery of primary care for those currently left 713 behind. Implementation and operational research are needed to identify and 714 scale up effective and affordable strategies, including dialysis<sup>264</sup>. Governments, 715 learned societies, clinicians, researchers and patient organizations should work 716 hand in hand to foster innovation at all levels as a means to reduce global 717 inequities. 718

#### 719 Country level

#### 720 Prevention and early detection

The best approach to reduce the burden and cost of NCDs, especially kidney

<sup>722</sup> diseases, is prevention<sup>15</sup>. This universal tenet applies to all countries.

<sup>723</sup> Unfortunately, only small proportions of healthcare budgets world-wide target

prevention<sup>15,58,190</sup>. Timely and appropriate screening for kidney diseases occurs

rarely and is often not systematized or harmonized<sup>265</sup>.

Prevention is most efficient when risk or disease are identified early. This

requires identification of barriers, creating awareness and building trust,

especially among vulnerable populations, where the deficiencies in early

<sup>729</sup> identification and delivery of evidence-based care are most prominent.

Governments should invest in prevention and screening, especially among high

risk groups<sup>53,266</sup> and vulnerable populations<sup>267,268</sup>. Not doing so forces health

raz systems towards more expensive "rescue" solutions like dialysis, which

<sup>733</sup> exacerbate inequities<sup>91</sup>.

<sup>734</sup> Socio-economic status relates differently to healthy lifestyle across the globe,

<sup>735</sup> with higher socio-economic status being related to lower risk of NCDs in high-

income settings, but higher NCD risk in lower-income settings as middle classes

emerge<sup>65,269</sup>. Modification of these inherent sources of inequity requires a

multi-sectoral approach to health and well-being such as that embodied by the

<sup>739</sup> SDGs, as well as population education about healthy lifestyle<sup>233,270</sup>.

740

741 Data required to support decision making

The core social determinants that make up the building blocks of health

represent societal injustices in how governments and authorities prioritise the

vulnerable, spend resources on those in need, and ensure adequate provision

<sup>745</sup> for those affected by ill health. To motivate those who have power to act,

knowledge and understanding must be guided by good quality data, moral 746 advice, and a society that holds policymakers to account. Social and healthcare 747 data from real-life practice, research efforts and actions by charities/NGOs 748 should be integrated to improve the availability of meaningful intersectional 749 health. Decision-making and priority setting processes are hampered when 750 incidence, prevalence and health-economic data is lacking<sup>217</sup>. Countries must 751 invest in systematic data collection to permit understanding of disease burden, 752 distribution, costs of care, financial hardships incurred, and to identify and 753 address inequities. Rigorous health technology assessments, based on reliable 754 local evidence of disease burden and costs to the health system and to 755 individuals, are required to support priority setting. 756

757

#### 758 Facilitate fair reimbursement of treatment costs

Universal Health Care (UHC) is a crucial target of the SDG3<sup>271</sup>. True UHC is 759 needed to prevent exclusion of the disadvantaged and reduce inequities<sup>272</sup> 760 (Figure 3). Even if UHC is not currently affordable, governments should commit 761 to its expansion through transparent processes, to progressively realize the 762 right to health for all<sup>230</sup>, with stepwise inclusion of expensive therapies, when 763 this becomes possible. Clear societal thresholds should be set regarding the 764 willingness to pay for gain of Quality Adjusted Life Years (QALYs), accounting 765 for the medical need and affordability, also called Value Informed and 766 Affordable Pricing<sup>273</sup>. Such processes should not only include cost and disease 767 burden, but must also take financial hardship and equity into account<sup>274</sup>. For 768 example, two health sector interventions which score highly in terms of equity 769 in the poorest nations are acute dialysis and kidney transplantation for 770 children, but lack of cost-effectiveness data precludes their recommendation 771

for coverage<sup>275</sup>. Cost-effectiveness analyses can however only be based on
 intervention studies including diasadvantaged groups.

774

#### 175 *Improve affordable care*

Technologic options like hemodialysis should be made affordable and more 776 reliable, accounting for the harsher conditions frequently encountered in low 777 resource situations (e.g. more resistant to heat, humidity, energy-efficient)<sup>276</sup>. 778 Costs for dialysis supplies can be reduced by waiving importation taxes or by 779 local production of PD material<sup>190,228</sup>. In higher-resource settings, home dialysis 780 uptake could be stimulated through financial incentives, policy measures (PD 781 first), fair price setting by industry, patient education, and benchmarking<sup>277</sup>. 782 Health systems should be strengthened to include safe and legal 783 transplantation programs. 784

785

#### 786 Local level

#### 787 Raise awareness of kidney diseases

All those concerned with kidney health and care (including non-professionals) 788 have a responsibility to be aware of and to create awareness of the problems 789 related to kidney diseases<sup>26</sup>. This includes addressing the causes and 790 consequences of the structural determinants of health which entrench 791 inequities. Healthcare professionals should be trained throughout their studies 792 and continued education to identify and address these problems through 793 advocay and/or concrete measures<sup>278</sup>. Patient associations and NGOs play an 794 important role in this process to improve equity and should engage in training 795 initiatives to optimize their own advocacy skills <sup>3,279</sup>. Patients must raise their 796 voices in holding healthcare planners and leaders to account, activate 797 partnerships for harmonization among regions/countries and expose 798

organizational shortcomings, e.g. calling for availability of specific medication,
 dialysis or transplantation.

801

#### <sup>802</sup> Improve accessibility of equitable quality care

Holistic kidney care requires strong health systems and public health strategies 803 to reduce burden of kidney diseases, and to promote early detection and 804 treatment, integration of kidney care into existing programmes for NCDs and 805 some infectious diseases, and reduction of organ specialty-linked silos. The 806 common diagnostic tools for kidney diseases (serum creatinine and 807 albuminuria) are simple and affordable in many (but not all) countries, and 808 should be made available as much as possible, but also ensuring this is followed 809 by appropriate interpretation and therapeutic intervention. Primary care and 810 non-nephrology physicians and other healthcare workers could play an 811 essential role, but may be insufficiently familiar with kidney diseases and 812 should be educated appropriately<sup>19,280</sup>. Since kidney patients have multiple 813 comorbidities and require multiple healthcare providers, integration of care is 814 quintessential. Capacity building and audit-based education may support 815 implementation of appropriate preventative measures<sup>281</sup>. Accessibility of 816 essential medications should be assured to permit early intervention and 817 stop/delay progression of acute and chronic kidney diseases. Telemedicine and 818 eHealth should be harnessed for remote outreach<sup>282</sup>. Quality assurance 819 activities, including tracking of inequities, should be integrated into clinical 820 routines. 821

822

#### 823 Avoid cherry-picking

In poorly designed pay-for-performance systems, self-interest with utility as the
 prevailing principle could lead clinicians, hospitals and dialysis units to target

high throughput by favoring inclusion of patients with greater resources and 826 more favourable (less complex) clinical characteristics<sup>283</sup>. If applied to the 827 extreme, this morally dubious practice creates an additional disadvantage for 828 the less privileged, as they will start with less favorable conditions and will be 829 driven towards less favorable therapeutic environments<sup>284</sup>. Conflicts of interest 830 may lead to fewer transplantation referrals from private dialysis units<sup>285</sup>. 831 Reporting and monitoring of patient mixes and outcomes is mandatory, 832 especailly in dialysis units where this data is easily obtained. 833

834

#### 835 Individual level

#### 836 Tackle health illiteracy

To improve health literacy, a coordinated health systems approach informed by
consumers and representatives of the concerned groups is needed, with
adapted and innovative educational methods to meet various needs. Specific
support may be needed for children and families affected by kidney diseases,
to optimize adherence and minimize disruptions associated with the high
demands of kidney care.

One system level change adopted in other chronic diseases such as diabetes is 843 the introduction of navigators<sup>120,125</sup>, who assist patients and caregivers in 844 understanding diseases and treatments and optimize self-care. Such programs 845 have been successful in remote parts of Australia with Indigenous People. In 846 the US, animation has been applied successfully for diabetes education where 847 language barriers exist<sup>286</sup>. Medical professionals need to recognize their own 848 limitations in terms of socal and cultural literacy. Since medical professionals 849 are usually not well-trained in education, advice should be sought from experts 850 in other fields (e.g. pedagogy, animation, telecommunication, health 851 illiteracy)<sup>287-289</sup>. 852

853

#### Patient empowerment 854

A move from paternalistic care (doctors making decisions without patient 855 input) to shared decision making (decisions guided by deliberation between 856 individual patients, their caregivers and practitioners)<sup>290,291</sup> as an approach to 857 enhance equity in therapy choice contributes to more patient satisfaction, 858 adherence and health<sup>292</sup>. All steps should be reported transparently, which 859 helps to avoid imposing therapies for financial or other reasons that may not 860 benefit the patient. Patient organizations may play a central role in facilitating 861 this shift of paradigm. When interacting with patients, decreases in cognitive 862 function should be taken into account, especially in advanced CKD<sup>293</sup>, as this 863 common complication affects alertness and hinders fast and accurate decision 864 making. Extra care must be taken in children with kidney diseases and their 865 families to enhance understanding of kidney care and cooperation. 866

867

#### Conclusions 868

Kidney diseases are associated with significant inequities that increase risk and 869 are imposed by the many social and structural factors, the relative invisibility of 870 the condition as a public health threat, and the time- and resource-intensive 871 therapies required for advanced disease, especially dialysis. 872

All professionals involved in kidney care should be alert for local inequities and 873 their impact on patient lives, as well as those occurring on a broader, regional, 874 national and international level. Recognition is the first step towards 875 developing actionable solutions.

877

876

Inequities include those specific to countries and regions, among social groups, 878

and those related to accessibility of preventive and therapeutic modalities. In 879

- addition to adverse clinical outcomes, inequities also raise health economic and
- ethical concerns, and are heavily compounded by non-medical social and
- structural determinants such as poverty, social injustice, violence, racism, lack
- <sup>883</sup> of education, and cultural and religious barriers.
- <sup>884</sup> Solutions range from the individual to the global level. Awareness of potential
- solutions is important to encourage advocacy and action by all stakeholders.
- <sup>886</sup> Although not all solutions may be universally applicable or implemented, there
- is a collective need to develop and implement innovative strategies to tackle
- <sup>888</sup> barriers to equitable kidney health and kidney care. All nephrology
- <sup>889</sup> professionals should have the conviction to advocate within their communities,
- armed with local and international data, and to engage with policy makers,
- administrators and insurers, to raise awareness about inequities in kidney

<sup>892</sup> health and to improve kidney care across the globe.

- 894 Keypoints:
- Insufficient investment across the spectrum of kidney health and kidney
   care (from awareness raising, to prevention, diagnosis and treatment) is
   a fundamental source of inequity. This affects all people at risk of, or
   living with kidney diseases.
- Social and structural inequities are major risk factors for, and contribute
   to poorer outcomes in kidney diseases both within and between
   countries.
- There is insufficient accessibility of essential diagnostics and medications
   to treat kidney diseases and to track their burden. This disadvantages
   patients in low- and middle-income countries from the very beginning of
   their disease course.
- Ability to access the entire spectrum of kidney care (from basic
   medication to dialysis and transplantation) without experiencing
   financial hardship is very inequitable across the globe. Transplantation is
   the most equitable form of kidney replacement therapy, but is highly
   unaccessible in lower income settings. This results in vastly different
   outcomes and live courses for patients with the same diseases living
   under different circumstances.
- Novel therapies for rare (orphan) diseases are often only available at
   extremely high prices, which frequently affects or excludes children and
   adolescents.
- All nephrology professionals should become skilled at advocating on
   behalf of their patients to communities, policy makers, administrators
   and insurers, to develop constructive strategies and collectively reach
   optimal solutions to improve equity in accessibility of quality kidney care
   locally and across the globe.

#### 922 CAPTIONS TO FIGURES

Figure 1: Factors contributing to inequities by increasing risk and by affecting
 accessibility of preventative measures, care and therapies. The description
 considers global, national/regional, community-related, health system-related
 and individual elements.

Figure 2: Ethical dilemmas in inequitable accessibility of kidney care: fromglobal to local.

Figure 3: The Universal Health Coverage cube: expanding universal healthcare 929 coverage for kidney diseases in low resource settings. The health needs of the 930 population are depicted by the larger transparent box, the funds available for 931 health financing are depicted in the blue box. In many high-income countries 932 the size of the blue and the transparent boxes are very similar (almost all 933 health needs are covered), whereas in low resource settings the blue box is 934 considerably smaller than the transparent box, meaning that many health 935 needs that do not fall within the blue box are not covered by the health system 936 and must be provided/paid for by individuals. As countries set health priorities 937 and expand their health coverage they must consider the impact across all 3 938 dimensions: who should be covered, which services should be provided and 939 how much of the costs can be covered by the health system. KRT falls outside 940 of the blue box in most low-resource settings. Suggestions here include how 941 accessibility of kidney care can be progressively expanded under universal 942 heath coverage. Priority setting must consider prevalence of a condition, cost 943 of therapeutic options and available resources. AKI: acute kidney injury; CKD: 944 chronic kidney disease; KRT: kidney replacement therapy; CHE: catastrophic 945 health expenditure. \*: data on disease burden missing in many places. 946 **Reprinted from Publication** 947

- <sup>948</sup> "Making fair choices on the path to universal health coverage: final report of
- the WHO consultative group on equity and universal health coverage.
- <sup>950</sup> https://apps.who.int/iris/bitstream/handle/10665/112671/9789241507158 en
- 951 <u>g.pdf?sequence=1&isAllowed=y</u> Figure 1.1, page 5, Copyright (2014)."

#### Box 1: Motivating scenarios for inequitable care specific groups of people

#### Social and economic position

"When you enter through the emergency department, you arrive in bad shape...you need to have a high potassium or they send you home even though you feel you are dying. Sometimes, you crawl out when they decide to not do dialysis. You eat a banana because it is high in potassium even though you may die and you go back and wait and hope that they will do dialysis so that you don't feel like you are drowning and so that the anxiety goes away." (An undocumented immigrant in the USA receiving only emergency dialysis services)<sup>123</sup>

"We have a population of about 30 million people and have less than 20 trained nephrologists. Unfortunately, due to lack of knowledge about kidney disease and its management, over 70% of patients report late to the teaching hospital with kidney failure and since care is not reimbursed by the National Health Insurance scheme, about a third of patients with kidney disease die on admission for which we have to sign death certificates and this does not include those who are stable enough to be discharged home with no hope of sustaining themselves on dialysis. It's really sad. Without money you die when you have kidney failure."

(A health professional's perspective on kidney care in Ghana)<sup>126</sup>

#### Discrimination

"In my doctors they used to have this thing that when you signed in it was on a screen and you had to select in front of everyone in the waiting room whether you were male or female. Even that half a second just breaks my brain every time and I'm like, I kind of don't want to go to this appointment now".

(An LGBTQ+ patient on attending their appointment)127

"My doctor[s]... be shocked when I asked them, well why is there so many Black people on dialysis and they don't have no real answer for me. I really don't like that. And so then on top of that he only spends ninety seconds with me... I'm like wow, I feel like cattle." (A patient receiving dialysis discussing mistrust in their nephrologists connected with race)<sup>122</sup>

#### Inequity within algorithms and guidelines

A 54 year old woman of mixed race is opportunistically found to have a low eGFR when attending hospital with a minor injury. She is advised that this is probably due to muscle mass and goes home. Several months later she reattends hospital in need of emergency dialysis.

"The insights about sexist and racist biases... are important because information organizations, from libraries to schools and universities to governmental agencies, are increasingly reliant on being displaced by a variety of web-based "tools" as if there are no political, social, or economic consequences of doing so."

(Safiya Umoja Noble, on reinforcing structural discrimination by use of algorithms)<sup>294</sup>

#### **Health literacy**

"I'd say about the hardest part was when he was on the prednisolone when he's on a high dosage, it's very lunatic. And then we went to the doctor to what's going on. Oh, it's the prednisolone. It causes anger and stress. So, more information and education of the carers as to what possible side effects could be and talk to you about this is what's going to happen."

(A caregiver's perspectives on kidney transplant aftercare and education)<sup>115</sup>

"I would have really liked to have sat and talked with somebody who had gone the journey before me, and to give me a heads up on about what I'm going to experience from the importance of taking the medication, understanding what the kidney function is in my body, understanding about my fluids, my nutrition, all of those coming together of the importance, because as a primary school teacher, you're having to say it at least 20 times before it clicks." (A care partner)<sup>125</sup>

"All the patient navigators that I've met, have been on dialysis and going through transplant and their second transplant. They know exactly what it's like to sit in that chair, and have treatment for hours on end, the restrictions that you're on, the medications that your body must handle after transplant. And although someone can sit and tell you about this importance, I think it comes at another level with someone else who's experienced that and been successful, and that you can draw on and build a relationship with, and it's kind of upskilling." (A patient's perspective of lay navigators)<sup>128</sup>

#### Geography and accessibility of care

J lives in a town with no dialysis services, the closest is 175kms away. He is ineligible for a transplant or home dialysis. His only choice is to move or receive conservative care.

"Just the understanding, like if you're having a transplant, you've got to deal with the city hospital and they say, "Okay, I'll book you in 8:00 in the morning, tomorrow morning, can you make it?" Well, I live in XXX. All right, well can you travel? Well, it's six hours away and I've got a family to organize and I'm on dialysis so it's like they don't get it. And then they'll say, "Come back next week and see me." Like, you're kidding. Can't you have

the one stop thing?" (A patient experience of lack of accessible care due to remoteness) <sup>125</sup>					
954					
955	Abbreviations: LGBTQ+, lesbian, gay, bisexual, transgender, queer, and other				
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## INEQUITIES IN KIDNEY HEALTH AND KIDNEY CARE

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#### 43 Abstract (200words)

Health inequity refers to unnecessary and unfair differences in the capacity to 44 achieve optimal health and appropriate accessibility of care. Kidney diseases 45 [including acute kidney injury (AKI) and chronic kidney disease (CKD)] have 46 strong associations with inequity. This is largely due to the intrinsic risks of 47 kidney diseases, the heavy burden of comorbidities and the high cost of 48 therapies, e.g. for dialysis on wich survival for many may depend. However, 49 inequities occur across the entire clinical course of kidney diseases. This review 50 offers a comprehensive overview of the array of inequities in kidney health and kidney care, including inequities between countries, regions and social classes, 52 inequities in healthcare, inequities specific to therapeutic modalities, and healtheconomic and ethical implications. This review also proposes solutions, which 54 may inspire nephrology professionals to recognize and mitigate inequities. In 55 addition to the main text that summarizes the relevant elements, interested 56 readers are referred to the comprehensive tables (including case stories) and references, which review some facets more deeply. It is the responsibility of all 58 implicated to call attention to inherent risks of inequity in their immediate and 59 broader environments, and to pursue the best possible solutions together with 60 their communities. 61

#### 63 Introduction (7915 words)

Health inequality refers to differences in health or health resources between 64 persons, populations or nations such as those caused by age or genetic 65 predisposition<sup>1</sup>. Inequities in healthcare are unfair, avoidable and remediable 66 differences between groups, based on socioeconomic, demographic or 67 geographic factors<sup>2</sup>. The distinction between inequities and inequalities is not 68 always clear. Importantly, underlying inequalities frequently contribute to 69 inequities, e.g. when genetic predisposition, age or sex intersect with 70 race/ethnicity, socio-economic status, possibilities to adhere to healthy lifestyle 71 or level of education. 72 In this manuscript we review different aspects of inequity which impact kidney 73 health and kidney care across the globe. For all the discussed elements a 74 number of potential solutions are reviewed at the end. The aim here is to offer 75 practical guidance to all those involved on how to avoid inequities, as these are 76 among the most concerning social injustices in modern clinical nephrology. 77 Throughout this manuscript, inequalities will sporadically be referred to if they 78

<sup>79</sup> impact inequities.

80

Health inequities affect the capacity to achieve optimal health, which also 81 includes appropriate accessibility of care<sup>3</sup>. This capacity is far from equally 82 distributed globally, especially across regions and social classes<sup>4-7</sup>. The 83 awareness of health inequities has not translated into sufficient corrective and 84 collective action, because health inequities are multifactorial and multisectoral. 85 They arise from differences not only in medical care but also from differences 86 in global policy, sociology, ecology, geography, ethics, economics, psychology, 87 culture, religion and tradition (Table 1). A further barrier is the fatalistic view 88 that the problem is too large, too broad, or too complex<sup>8</sup>. Inequities evolve 89

over the life course, such that disadvantaged fetal or childhood development
 may predispose to compromised health throughout life<sup>9,10</sup>. An avoidable lack of
 screening and preventive care may also lead to late presentation of disease and
 seriously jeopardize health outcomes<sup>11</sup>. Kidney diseases do not escape these
 rules, but rather epitomize them<sup>12</sup>.

Appreciation of the importance of kidney diseases by the medical community, 95 policy makers, and the public has lagged behind that of other common 96 conditions for multiple reasons<sup>13,14</sup>. First, the rapid growth of dialysis and 97 transplantation since 1960 has focused on the needs of patients requiring these 98 expensive therapies diverting attention from prevention that is more scalable 99 and applicable everywhere<sup>14,15</sup>. Second, the lack of consistent definitions of 100 kidney diseases until the 2000s, and of reliable epidemiologic data in some 101 regions, has hidden the full extent of the problem, limiting the development of 102 appropriate interventions<sup>16,17</sup>. Third, the lack of awareness among primary care 103 providers, together with deficiencies in health information systems, have also 104 hampered prevention, detection and early treatment<sup>18-20</sup>. 105

Based on the mounting evidence regarding population prevalence and poor 106 outcomes<sup>21-23</sup>, kidney diseases should be considered a public health priority, 107 but thus far have not been prioritized on the global non-communicable disease 108 (NCD) agenda<sup>24</sup>. This has resulted in the most fundamental inequity that affects 109 all kidney patients without distinction: insufficient investment in screening, 110 prevention, research, and innovation compared to other common NCDs, which 111 themselves remain chronically underfunded <sup>25</sup>. Chronic kidney disease (CKD), 112 despite affecting 10-15% of society globally<sup>16,25</sup>, is not a health research focus 113 for the European Union (EU)<sup>26</sup>. Neither does CKD figure among the 56 health 114 topics considered relevant by World Health Organization (WHO) Europe<sup>27</sup>. In 115

the 2022 EU Healthier Together Initiative, four disease-specific NCD strands are
targeted, excluding CKD<sup>24,28</sup>. This lack of awareness among policy makers is
compounded by the ignorance of the kidney's functions and its pathologies.
Most individuals do not know what the kidneys do, let alone how to care for
them<sup>29</sup>. At best, policy makers see kidney diseases as a co-morbidity of
cardiovascular disease (CVD) or diabetes, which postpones diagnosis for many,
and leaves others entirely behind<sup>30</sup>.
This manuscript is coordinated by European Kidney Health Alliance (EKHA), a

non-governmental organization advocating for kidney health at European

<sup>125</sup> Union (EU) level and beyond<sup>31</sup>. This article collates in a global context

perspectives from diverse inequity experts, representing various continents,

age groups and backgrounds, including kidney patients. It seeks to reposition

the need for equity in kidney health and care as a global priority and offers a

129 basis for further exploration for all involved stakeholders.

130

#### 131 Inequities across countries/regions

#### 132 Epidemiologic distribution

The Global Burden of Disease (GBD) study attributed more than 3 million deaths in 2019 to kidney dysfunction<sup>32</sup>. Most CKD deaths occurred in India and 134 China<sup>16</sup>. In Latin-America, the Middle-East and North-Africa, CKD falls within 135 the top 5 most common causes of death<sup>33</sup>. Globally millions of deaths probably 136 result each year from the lack of accessibility of kidney replacement therapy (KRT),<sup>34</sup> and from acute kidney injury (AKI)<sup>35</sup>, but those remain largely 138 uncounted in lower-resource countries.<sup>36,37</sup>. Inequities across regions are 139 further enhanced by environmental factors, such as increasing number of heat 140 waves and droughts, pollution, water contamination and increased distribution 141 of tropical diseases<sup>38-40</sup>, which do not affect all countries and people equally. 142

Applying the definition of CKD<sup>41,42</sup>, a systematic analysis of worldwide
 population-based data estimated the age-adjusted global prevalence of all stage CKD in 2010 at 10.4% in men and 11.8% in women more than 20-years old<sup>43</sup>. Subsequent estimates yielded relatively consistent results, although with
 regional variations from 6 to 20%<sup>44,45</sup>. CKD prevalence increases with age and
 appears higher in lower-resource settings<sup>43</sup>.

The GBD study showed a 15-fold global variation between countries of CKD 149 burden [specified as age-standardized CKD-linked disability-adjusted life-years 150 (DALYs)], highlighting potential inequities in both accessibility of diagnostic 151 possibilities and risk factor distribution<sup>33</sup>. It is even more difficult to estimate 152 the contribution of AKI<sup>46</sup>. A pooled incidence of hospital-acquired AKI was 153 reported as 34 and 22% among hospitalized children and adults<sup>47</sup> respectively 154 but with promounced regional variations, raising questions of plausibility and 155 generalizability<sup>37,48</sup>. 156

#### 157 **Risk distribution**

The risk of kidney diseases is associated with country income level <sup>49</sup> with 158 people developing CKD and dying from CKD at a younger age in lower-resource 159 settings compared to high income countries (HICs)<sup>50,51</sup>. The association 160 between age-adjusted CKD prevalence and KRT incidence is positive in HICs, 161 but explains only 40% of the variance<sup>52</sup>. This association is negative in Central 162 and Eastern-Europe, and null elsewhere<sup>52</sup>, which highlights differences in 163 incident KRT that cannot be explained by CKD prevalence, even in HICs where 164 accessibility of KRT is generally unlimited. 165

In Central and Eastern-European countries, gross domestic product (GDP) is
 highly heterogeneous, a legacy of the Cold War and the Iron Curtain. Many CKD
 risk factors are more prevalent than in Western-Europe, especially in countries

with lower GDPs, likely contributing to a higher regional incidence of CKD<sup>53</sup>. 169 Other disparities in this region related to kidney care include variable 170 availability of specific KRT modalities and expensive medication, relative 171 number of nephrologists, and tracking of the prevalence of CKD<sup>54-59</sup>. 172

Within HICs, in part due to the legacy of colonialism and slavery, stark 173 disparities across racial, geographic and socio-economic strata exist<sup>12,60,61</sup>. 174 Moreover, patients with socio-economically deprived backgrounds develop 175

kidney impairment 5 years earlier in their life course and suffer from more 176 comorbidities<sup>12</sup>.

#### Global distribution of KRT 178

177

Registries of KRT were introduced in the 1960s-1970s in Europe and the US and 179 have expanded to most HICs but are less available elsewhere. Across countries 180 reporting to the United States Renal Data System (USRDS), the incidence of KRT 181 ranged from 16 per million people (pmp) in South-Africa (2018) to 570 pmp in 182 Jalisco, Mexico (2019), and the prevalence of treated kidney failure varied over 183 30-fold across countries (2019), which reflects disparities in accessibility of KRT 184 rather than in true incidence<sup>34,62</sup>. KRT incidence is rising in most lower-income 185 settings<sup>62</sup>, however predominantly in the private sector (e.g. South-Africa and 186 India), leaving many without possibilities to be treated or exposed to significant 187 catastrophic health expenditures (CHE – out-of-pocket healthcare payments 188 which impoverish a household)<sup>63-66</sup>. 189

To permit equitable accesibility of care, provision of sustainable KRT requires 190 robust health systems and financing. A higher country Gini coefficient (indicating 191 greater within-country inequity) directly correlates with greater prevalence of 192 stage 5 CKD remaining untreated by KRT<sup>67,68</sup>. In areas with greater equity, there 193 is more accessibility of KRT<sup>67,68</sup>. In lower-resource settings a small fraction of 194

those requiring KRT receive it long-term<sup>34</sup>. For example, most African countries 195 have healthcare systems with poor/no health insurance coverage, leaving the 196 vast majority of people with kidney failure unable to obtain KRT<sup>69,70</sup>. Elsewhere, 197 macro-economic factors and services for kidney care are also more strongly 198 related to KRT incidence than demographics or general health<sup>71</sup>. In Eastern-199 Europe, variability in incidence and prevalence of KRT results in multiple-fold 200 differences in dialysis and transplantation uptake between countries, as well as 201 less home dialysis and conservative care compared with Western-Europe<sup>58,59</sup>. 202

In brief, country location and wealth distribution substantially impact kidney
 health and accessibility of kidney care across the world. Inequities exist even
 within a relatively homogeneous region like Europe.

206

#### <sup>207</sup> Factors associated with inequitable health care

#### 208 **Diagnosis and treatment**

A complex interplay between structural risk factors for AKI and CKD and rapid 209 progression of disease due to limited accessibility of primary care limits 210 possibilities to mitigate these risks<sup>72-75</sup>. Diagnosis of CKD and AKI requires blood 211 and urine tests, which are not routinely available everywhere<sup>76</sup>. In 2017, two-212 thirds of low income countries (LICs) were unable to measure serum creatinine 213 in primary care, and none provided quantitative albumin or protein urinalysis<sup>76</sup>. 214 Availability of medicines required for kidney care is often limited in lower-215 resource settings, but even within HICs inequities may arise based on coverage 216 differences between patients and insurers<sup>77,78</sup>. Similarly the nephrology 217 workforce is unequally distributed across the globe: the number of nephrologists 218 per million population (pmp) ranges from 31 in Western Europe to 1 or less in 219 Africa<sup>79</sup>. Thus, diagnosis, availability of treatment and tracking of the burden of 220 kidney diseases is highly inequitable globally.

222

#### <sup>23</sup> Inequities conflicting with living well

Good healthcare is a key component to living well<sup>80</sup>. To achieve this equitably, 224 healthcare providers must meet people at their different levels of disadvantage 225 (Figure 1) and support them to face personal challenges and priorities. Patient 226 priorities may, but do not always align with those of healthcare providers<sup>81,82</sup>. Kidney diseases exacerbate vulnerabilities, including health, social, and 228 financial hardship<sup>83,84</sup>. Of note, most often, vulnerability is not an intrinsic 229 condition but due to system failures. Health decision-making is influenced by 230 wider contexts, including one's own understanding, finances, social support, geography, culture, beliefs, and freedoms. Healthcare providers must 232 appreciate these wider determinants, both to consider patients holistically, and to avoid blaming individuals for risks and outcomes caused by external factors.<sup>9</sup> 234 A complex relationship exists between the unique challenges posed by kidney 235 diseases, broader individual and environmental contexts, and healthcare and 236 societal factors which promote or undermine health. Accessibility of kidney 237 care is complex, with many intersecting and compounding challenges, as 238 discussed elsewhere<sup>4,9,12,29,73,80,84-120</sup> and summarized in Table 1. Many of these 239 factors are global problems. The Sustainable Development Report 2022<sup>121</sup> 240 highlights major challenges and insufficient data regarding inequity indicators 241 especially across lower-resource settings<sup>121</sup>, which exacerbate the inherent 242 "invisibility" of kidney diseases. The implications for specific groups are 243 expanded on below, with accompanying scenarios and quotes in box 1, partly 244 based on published observations<sup>115,122-129</sup>. 245

#### 246 Socio- economics

Social and economic position (SEP) is consistently associated with health risks
 and accessibility of care, across countries, and across lifecourse<sup>104</sup>. People of all

ages are at risk of kidney diseases, which constrains opportunities for well being, education, employment, and attaining life-goals. The relationship
 between SEP and kidney health is bidirectional, with increased risk of falling
 into poverty as kidney diseases progress<sup>130</sup>.

Particular challenges exist in lower-resource settings<sup>70,131</sup>. In most of Africa for 253 example, many people with CKD are of working age. They often present late, 254 with kidney failure resulting in poor outcomes<sup>132,133</sup>. This is driven in part by low 255 health literacy, and a preference for potentially nephrotoxic traditional 256 remedies and faith-based healers<sup>134,135</sup>, but also by a lack of infrastructure and 257 adequate workforce to enable early detection, prevention, and community 258 surveillance<sup>79,101</sup>. If lower-resource countries provide coverage for dialysis, it 259 typically is limited to only two sessions per week<sup>100</sup>. Others exclude kidney 260 failure from coverage schemes<sup>99</sup>, necessitating prohibitive out-of-pocket costs 261 if dialysis or transplantation are availabile<sup>69 85,136</sup>. Thus, many people in lower-262 resource settings are unable to sustain treatment for kidney failure, and 263 struggle with the economic burden on their family, creating difficult moral 264 trade-offs in the allocation of household resources<sup>137,138</sup>. 265 Even in HICs with universal health coverage (UHC), deprived individuals 266 experience less preventative care, more rapid progression of kidney diseases, a 267 greater need to rely on emergency services, and stigmatisation<sup>12</sup>. Poor 268 neighborhoods are associated with poor education and employment 269 opportunities. Residents have less ability to obtain and navigate preventative 270 healthcare, limited availability of recreation services or exercise facilities, and 271 greater exposure to environmental toxins, overcrowding, and food 272 insecurity<sup>73,88,97</sup>. These represent barriers to a healthy lifestyle, good nutrition, 273 and ability to cope with stressors<sup>4,95</sup>. 274

Those who are uninsured, homeless or undocumented migrants also suffer 275 limited accessibility of preventive care. One in three undocumented migrants 276 with kidney failure in the U.S. receive only emergency dialysis, with grave 277 prognostic implications<sup>117</sup>. Irrespective of country, refugees experience similar 278 difficulties to the disadvantaged in navigating healthcare and maintaining a 279 healthy lifestyle<sup>118</sup>. During humanitarian crises, this includes reduced 280 accessibility of life-saving treatments such as dialysis and 281 immunosuppression<sup>139</sup>. 282

283

#### 284 Discrimination

<sup>285</sup> Systemic racism continues to drive persistent inequities in kidney health,

whereby race should be understood as a social construct rather than a

biological indicator and disparities in health and outcomes as the
 consequences<sup>140</sup>.

<sup>289</sup> Globally, people of Black race and minoritized backgrounds are more likely to

<sup>290</sup> have kidney diseases, and progress to kidney failure<sup>141,142</sup>. In the U.S., Black

<sup>291</sup> patients with kidney failure are less likely to be evaluated and referred for

transplantation <sup>143-145</sup>, are listed later<sup>144,146-148</sup>, wait longer for

transplantation<sup>149-152</sup>, and receive poorer overall care<sup>153-156</sup> than White patients.

<sup>294</sup> Discrimination against minority groups, including race and sexual and gender

<sup>295</sup> minorities (SGM), occurs at the intersection with wider health determinants

<sup>296</sup> and causes differences in how healthcare is used and experienced<sup>73,94</sup>. Due to

- systemic inequities and policies (e.g. redlining), patients from minoritized
- <sup>298</sup> backgrounds are overrepresented in poorer neighborhoods<sup>73,157-159</sup>. Inequitable
- <sup>299</sup> structural investment in local community environments perpetuates these
- disadvantages into future generations<sup>160,161</sup>. In addition, the direct experience
- of discrimination can cause long-term stress and negative coping, leading to

overeating, alcohol or other drug abuse, smoking, poorer mental health, and 302 less trust in sources of support<sup>73,162,163</sup>. Importantly such discrimination not only 303 impacts individuals, but markedly increases total health care costs, which 304 further weakens health systems. In 2018, the economic burden of racial and 305 ethnic health inequities and education-related health inequities in the US, 306 (measured as excess medical care expenditures, lost productivity, and the 307 value of excess premature death combined), were estimated at over \$420 308 billion and over \$940 billion respectively<sup>164</sup>. Most of the excess costs was 309 contributed by the Black population and those without a high school education. 310 Patients from minoritized groups may distrust professionals if discrimination is witnessed, with a detrimental impact on health-related decision-making<sup>94</sup>. A 312 patient experiencing discrimination may leave and never return. Effort should be made to provide education and support that is culturally and socially 314 sensitive, but clinician-patient relationships vary across minorities and cultural 315 groups<sup>149</sup>, with clinicians investing unconsciously more in people with whom 316 they have greater affinity. Without awareness of these biases, nephrologists may be prone to spend less time with those from minorities discussing 318 treatment options such as transplantation, or new therapeutic options such as 319 sodium-glucose transporter (SGLT)-2 inhibitors<sup>93</sup> (if reimbursed). 320

#### Algorithms and guidelines

Algorithms are used to assess, monitor, predict, and support clinical decisions. Such tools risk introducing biases, if based only on selected (privileged) groups or only approximative parameters with a magnitude of error that depends on person characteristics<sup>165,166</sup> (label bias). These biases carry the risk of hidden discrimination<sup>167</sup>. For example, healthcare policies are often based on analytical algorithms of health event administrative coding. Such codes usually represent expenditure on care, rather than illness severity or need. This can lead to

structural discrimination, because people of Non-White race experience
 reduced accessibility of care compared to their White counterparts with similar
 illness severity<sup>166 168,169</sup>. Such analyses invisibly perpetuate unfair
 recommendations hidden behind algorithms that assume that Non-White
 people need less care.

Kidney care is especially dependent on measurement. However, availability of 334 possibilities and capacity to monitor kidney health is uneven between and 335 within social groups, regions, and countries<sup>98</sup>. This compromises 336 interpretability, and the visibility of underrepresented groups. The inadequacy of explicit inclusion of a Black race coefficient within kidney function (eGFR) 338 estimating equations in previous formulae (i.e. MDRD and CKD-EPI equations) 339 was especially important because GFR estimates are cascaded as presumed 340 "results" into numerous kidney and non-kidney tools and guidelines beyond 341 the reach of the kidney specialist<sup>170</sup>. This over-medicalization and biological 342 misinterpretation of race may inadvertently have led to unfair barriers to 343 referral, guideline-based care and provision of support<sup>93,140</sup>. Although not 344 supported universally<sup>171</sup>, leading nephrology societies now recommend using 345 eGFR equations without the Black race coefficient<sup>172-174</sup>. Coefficients for age 346 and sex remain, and similarly may require cautious interpretation<sup>111-113,175</sup>. 347

#### 348 Health illiteracy

Health literacy is "the degree to which individuals have the capacity to obtain,
process and understand basic health information" to inform their health
decisions<sup>176</sup>. Health illiteracy is to a considerable extent attributable to failures
in the education system, and in information systems. This may be exacerbated
by insufficient health, social and cultural literacy of care providers, as kidney
diseases require not only medical understanding, but also understanding how
to support patients living with an increasingly complex chronic disease.

Low health literacy is linked to increased mortality, hospitalization, medication 356 errors and poor management of chronic diseases<sup>177,178</sup>. Efforts to improve 357 health literacy in patients with CKD have focused on the individual, with little 358 attention for the health system environment or the appropriateness of 359 information<sup>179</sup>. For patients and families, their ability to understand CKD and 360 treatments is variable and impacted by many factors including the skills and 361 patience of the clinician providing education, patient health, presence of a 362 caregiver, time of day of appointment, and current and anticipated future 363 treatment modality. These factors cannot be changed by those needing care<sup>180</sup>, 364 and may result in decreased healthcare accessibility and utilization of services. 365

#### **Geography and accessibility**

People from rural/remote communities often commence their journey with 367 CKD in a disadvantaged position, especially regarding socioeconomic status, 368 educational attainment, and opportunities to benefit from primary 369 prevention<sup>181</sup>. Regarding KRT, many barriers, including late referral to 370 nephrologists, necessary relocation to obtain treatment, transportation barriers, and financial hardship<sup>125</sup> contribute to an increased risk of mortality, morbidity and hospitalization among those residing in more remote locations<sup>181</sup>. For in-center hemodialysis, longer travel time to treatment is 374 associated with higher mortality, and decreased quality of life<sup>182</sup>. Centralisation 375 of most transplantation units to major cities, adds an extra layer of difficulty for 376 patients from remote areas as possibilities to complete transplant work-up and specialist care may not be available locally. 378

#### <sup>379</sup> Inequities among therapeutic options

Inequities in kidney care pervade across individual conditions (cause of kidney
 diseases, lifestyle, the timing of preventive and therapeutic interventions and
 disparate accessibility of different KRT modalities), wider communities,

<sup>383</sup> healthcare systems (e.g. private *versus* public healthcare sectors) and countries

(Figure 1). Combined, these have major impacts on patient outcomes.

#### 385 Acute kidney injury

Although AKI is potentially preventable and reversible, accessibility of 386 appropriate diagnosis and care is inequitable. In HICs, AKI is common among 387 multimorbid individuals who often need prolonged dialysis in intensive care 388 with little chance of recovery.<sup>35</sup>. In many lower-resource countries, 389 awareness/confidence to manage AKI is low among healthcare workers<sup>183</sup>. 390 Although AKI is common in children and young adults, often as a single 391 condition<sup>35</sup>, even basic intravenous fluids for rehydration may be lacking<sup>184</sup>, let 392 alone accessibility and affordability of dialysis<sup>37,184,185</sup> 393

#### 394 Chronic kidney disease

In the early stages of CKD, only people with specific kidney conditions such as polycystic kidney disease or glomerulonephritis typically receive care in specialist nephrology clinics. For many people with early stage CKD due to more common causes (e.g. related to hypertension or diabetes), care is coordinated through primary care or non-nephrology specialty units and is subject to inequities in surveillance, diagnosis and quality of care<sup>12</sup>.

401 Multiple barriers in CKD care, including lack of accessibility of essential

diagnostics and drugs to slow progression of kidney diseases, and of knowledge

- <sup>403</sup> among healthcare professionals, contribute to inequities (Table 1).
- 404 Accessibility of appropriate medication depends on availability, reimbursement
- and/or ability to self-pay. A survey of resource-limited countries reported that
- <sup>406</sup> approximately 75% of patients had to pay themselves for diagnosis and
- treatment of glomerulonephritis, while the lack of kidney biopsy and

<sup>408</sup> subsequent interpretation often led to inappropriate immunosuppression<sup>186</sup>.

Quality of care is therefore an additional concern even if some resources may
 be available/accessible, highlighting the need for capacity building among the
 nephrology workforce<sup>15</sup>.

#### 412 Advanced kidney disease: dialysis and conservative care

Accessibility and quality of dialysis, availability of home dialysis and focus on
patient well-being varies between and within countries and between individual
nephrologists as outlined above (Table 1). Most variations in dialysis
accessibility and availability relate to economic factors – cost, health coverage,
distribution of dialysis centers, number of nephrology professionals including
nurses, quality of patient education, support for vascular and peritoneal access
creation, and management of comorbidities<sup>56</sup>.

Hemodialysis is available (although not necessarily accessible to all) in most 420 countries and tends to be the default form of KRT<sup>15</sup>. In-center hemodialysis is 421 time- and resource-intensive and is highly centralized. PD is more scalable and 422 flexible, less hospital dependent, can be done anywhere with rudimentary 423 infrastructure, is preferred by many patients<sup>187</sup>, and is especially suitable for 424 children<sup>188</sup>. Counterintuitively, however, PD costs more than hemodialysis in 425 many lower resource settings<sup>189-191</sup>. Efforts to make PD supplies less expensive 426 and to increase awareness of the advantages and impact of PD are key to 427 increasing its global availability<sup>192</sup>. In terms of quality, cost is again a major 428 source of inequity where reduced hemodialysis sessions or PD exchanges are 429 often used as compromises to cut costs, but unavoidably reduce dialysis 430 quality<sup>137</sup>. 431

Older or frail individuals, and those with learning difficulties are usually
 committed to in-center hemodialysis unless assistance is provided at home.

Even in high-income Western European countries, healthcare-funded assistants
 for dialysis were available in only 5 of 13 surveyed countries <sup>193</sup>.

Similar arguments hold for inequity of availability of conservative care, with less
than half of countries providing support from multi-professional teams, or
enabling shared decision making, if patients need to embark on conservative
care<sup>59</sup>. Even in countries which purportedly support conservative care, such as
France, this option is often not discussed as an alternative to dialysis<sup>194</sup>.

#### 441 Advanced kidney disease: transplantation

Many patients in need of KRT prefer kidney transplantation over dialysis, due
to better survival and quality of life<sup>195,196</sup>. Globally, the WHO estimates that
only 10% of the demand for kidney transplantation is met<sup>197</sup>. The donor organ
shortage is worsening as more people worldwide require KRT.

Transplantation is available in 74% of countries (publicly funded in 53%) with 446 waiting lists in only 62%<sup>198</sup>. Pre-emptive transplantation is only recorded in 10% 447 of countries<sup>198</sup>. Higher-resource settings have higher rates of deceased and 448 living donation than other countries<sup>199,200</sup>, along with transplant registries 449 enabling greater transparency. The availability of kidney transplantation 450 through UHC in higher-resource settings enables people from lower socio-451 economic classes to obtain transplantation. Nevertheless, even in higher-452 resource settings inequities remain pervasive <sup>143-145</sup> and there are huge 453 disparities among countries in transplantation uptake<sup>201</sup>. In LICs accessibility is 454 largely restricted to those who can pay. 455

Racial disparities are well documented particularly in minority groups, migrants
 and Indigenous and First Nations People, who despite a higher burden of
 kidney failure, are less likely to receive a transplant<sup>202</sup>. Females are more likely

to be living donors than men<sup>203</sup>, an observation likely impacted by multiple
 factors, including the slower progression of kidney diseases among women<sup>204</sup>

In 2007, apprimately 10% of transplantations worldwide resulted from organ 461 trafficking after graft purchase from poor and individuals rendered vulnerable 462 by their life circumstances<sup>205,206</sup>. The Declaration of Istanbul provides guidance 463 for organ donation and transplantation worldwide, to promote equitable 464 sharing of the limited transplant resources by those in need, and prevent harm 465 through exploitation<sup>207</sup>. Nevertheless, equitable allocation of graft organs 466 remains complex and changing viewpoints might necessitate revision of rules 467 when appropriate<sup>206</sup>. 468

## 469 **Pediatric care**

Accessibility of specialized pediatric nephrology is very limited in LICs, but
regional variations occur everywhere<sup>208</sup>. Data on the epidemiology and
outcomes of pediatric kidney diseases are limited to registries in HICs and small
studies from lower-resource settings, probably underestimating true disparities
in care.

The 0 by 25 initiative highlighted the disparities in early diagnosis and

accessibility of dialysis for children with AKI in lower-resource settings<sup>37</sup>.

477 Community-acquired, preventable AKI due to infections like dengue,

dehydration or nephrotoxic drugs is more common in low-resource settings

and exacerbated by poverty and malnutrition<sup>35,37,185</sup>. Mortality in children with

480 AKI is >50 times higher in lower-resource settings than in HICs, especially when

dialysis is unaccessible<sup>209</sup>. Non-recovery of kidney function is 3 times more

482 frequent<sup>209</sup>.

Pediatric CKD is often diagnosed late, especially in countries with poor
antenatal and primary healthcare, and in rural/remote areas<sup>210</sup>. Accessibility of
pediatric dialysis and subsequent outcomes correlate with national wealth,
even in Europe<sup>211</sup>. Mortality risk is also greater with late diagnosis requiring
'urgent start' dialysis<sup>211</sup> and is very high if dialysis cannot be provided or
continued<sup>70</sup>.

<sup>489</sup> The barriers to pediatric kidney transplantation in lower-resource settings

include unavailability of pediatric transplantation expertise, catastrophic out-

<sup>491</sup> of-pocket expenditure and the absence of deceased donor organ sharing

492 networks<sup>212,213</sup>.

# <sup>493</sup> Inequities resulting from health economic factors

## <sup>494</sup> *Differences driven by country wealth*

Kidney care comes at a high societal and personal cost<sup>25</sup>. Global reimbursement 495 for maintenance dialysis (excluding out-of-pocket payments) amounts to 496 around 57 billion US dollars, 80% of which is spent in HICs, 17% in MICs, and 497 only 3% in LICs<sup>190</sup>. Dialysis, if universally provided, is funded by varying state 498 financing schemes<sup>214</sup>. In HICs, >2% of national healthcare budgets is directed to 499 KRT, for only 0.15% of the population<sup>14</sup>. Global costs for AKI are unknown, but 500 in the US, in 2013, AKI reportedly caused \$9 billion excess annual hospital 501 costs<sup>35</sup>. 502

<sup>503</sup> In higher income settings, expenses for associated non-kidney care further

<sup>504</sup> increase the financial burden<sup>14,215</sup>. Productivity loss (unemployment, sick leave,

<sup>505</sup> premature retirement, death) impacts patients, their next of kin and society

overall<sup>216</sup>. Individuals in vulnerable positions (temporary, contractual, physical

workers, unemployed ) are at higher risk of productivity loss and
 impoverishment when struck by CKD<sup>84</sup>.

In low-resource settings where the direct and indirect costs of kidney care and
KRT often must be paid out-of-pocket, the risk of impoverishment is even
higher. A systematic review comparing out-of-pocket payments for several
diseases revealed kidney diseases as the leading cause of catastrophic health
expenditure (CHE), across lower-resource settings, thus exacerbating inequities
between countries, individuals and groups.

Both higher and lower-income countries are therefore at risk of inequities but
the problems are not necessarily the same (table 2). Accessibility of kidney care
without experiencing financial hardship is highly inequitable across the globe,
with the most severe consequences (death and/or CHE) especially affecting the
poorest<sup>70,138,185,217-219</sup>.

## 520 Kidney replacement therapies

Dialysis is available in almost all countries<sup>15</sup>, but the clinical, financial and 521 ethical dilemmas associated with its (un)accessibility cannot be ignored. Cost-522 effectiveness assessments are used to rank healthcare interventions aiming at maximal population health gains, often expressed in Quality Adjusted Life Years 524 (QALYs), for a given cost<sup>220</sup>. A systematic review of cost-effectiveness analyses 525 concluded that the ability to identify the mix of dialysis modalities that provides 526 best outcomes for patients and health budgets is uncertain, particularly given 527 the frequent inconsistencies between published studies and non-consideration 528 of societal perspectives<sup>221</sup>. In addition, cost-effectiveness as sole criterion for 529 decision making has been criticized, since it overlooks crucial factors such as 530 budgetary impact, financial risk protection for individuals, and equity in distribution of interventions<sup>222,223</sup>. 532

In many higher income countries, the budgetary impact of dialysis has been 533 accepted, as the choice to save lives has prevailed over costs<sup>217</sup>. This has led to 534 exponential growth in patient numbers and a dialysis industry generating 535 considerable profit in a sector with few competitors. Rising patient numbers, 536 especially in emerging countries, will further inflate costs<sup>224</sup>. Health system and societal costs for PD, home hemodialysis and transplantation are lower than for 538 in-center hemodialysis in many countries<sup>56,190,201,225-227</sup>, but their uptake and/or 539 availability is inadequate and divergent<sup>190,228,229</sup>. Additionally, health-economic 540 factors favoring one therapeutic alternative over another in HICs differ in 541 lower-resource settings, where labor is cheaper and imports more expensive<sup>225</sup>. 542

Especially in low-income settings, policy makers face the challenge of 544 simultaneously pursuing UHC, setting priorities across the whole health system 545 and progressively fulfilling the human right to health<sup>217,230</sup>. It would be naive to 546 insist that KRT be funded immediately everywhere for all, as the opportunity 547 costs (money spent on KRT cannot be spent elsewhere) are high. For example, 548 if Kenya, Nigeria and Senegal would try to meet their estimated national 549 dialysis needs, this would require from 8 to close to 40% of government health 550 expenditure<sup>231</sup>. Consequently, in lower-resource settings, KRT is currently 551 largely available only to those who can pay<sup>137</sup>. 552

553

543

### **CKD not on kidney replacement therapies**

The costs of kidney care do not only impact those on KRT. The poor may not even be able to afford simple care to prevent the evolution of early CKD to kidney failure. This intensifies inequities because as disease progresses, higher levels of care and personal expenditure are required<sup>137</sup>.

The optimal solution to forestall CKD costs is to reduce disease risk and/or 559 progression, both intimately intertwined with inequities in many places<sup>14,232,233</sup>. 560 However, in most countries investment in initiatives to promote prevention is 561 minimal, in spite of the high value for money compared to the financing of 562 treatment or cure<sup>11,14,190,234,235</sup>. The value for money gained through prevention 563 of illness is not restricted to the health sector. A recent publication from the 564 WHO highlighted the important long-term return on investment of prevention 565 of NCDs. For example, investment of 1 dollar in lower-resource settings to 566 reduce population salt intake in 2018 would yield 13 dollars in return by 2030, 567 given the lower subsequent health expenditures and greater productivity 568 gained with healthier people<sup>236</sup>. Thus, there are also longer-term opportunity 569 costs, which apply especially to many lower-resource settings, where current 570 health budgets are disproportionately channeled to secondary and tertiary 571 care, necessitated by the poor investment into prevention<sup>190,225,237</sup>. 572

573

## 574 *Marketing of drugs*

A threat to reimbursement systems and costs is the marketing of therapies for 575 specific kidney diseases which are often only available at extremely high prices, 576 either because of patents, or the small market size if a condition mainly affects 577 children (e.g. cysteamine)<sup>238,239</sup>. There is little transparency in the price setting 578 of such drugs (e.g. eculizumab)<sup>240</sup>, for which in addition evidence may be 579 low<sup>241</sup>. They are also frequently used off-label for indications for which they are 580 not approved nor evidenced, or used in children and adolescents where they 581 have not been tested (e.g. tolvaptan)<sup>242</sup>. Inflated costs and excessive profits not 582 corresponding to investment<sup>240</sup> initiate and exacerbate inequities among 583 countries and regions<sup>243</sup>, and depend on whether countries have orphan drug 584

legislation and reimbursement schemes. Inequities in accessibility of such
 medications have a negative impact on patient outcomes<sup>244</sup> in low-income but
 also in high-income settings, as incomplete of absent coverage may necessitate
 out-of-pocket payments, that are not possible for all.

In summary, the current health-economic model supporting kidney care is
flawed. The focus on expensive and/or late stage therapies favors inequity,
both across countries and among individuals. Differences in cost of essential
therapies between countries, without clear transparency about the prices and
the reasons, further exacerbate global inequities<sup>245</sup>.

594

#### 595 Ethical context

#### <sup>596</sup> Inequitable accessibility: an ethical dilemma

Clinicians are familiar with the 4 principles of biomedical ethics. The principles 597 of autonomy, beneficence (doing good) and non-maleficence (not doing harm) 598 are readily applicable at the bedside. The principle of justice, however, has 599 implications beyond the bedside and addresses issues of fairness and inequities 600 between individuals. In resource-constrained settings, physicians often realize 601 that autonomy, beneficence and non-maleficence conflict with justice, as an 602 individual patient's needs may be overridden by lack of available therapies, 603 poverty or the needs of others competing for the same treatment $^{70}$ . 604 Inequities in nephrology constitute moral dilemmas because patient outcomes 605 are adversely affected by structural injustice and vulnerability, that increase 606 risk of kidney diseases and impact accessibility of care<sup>60</sup>. Although inequity is 607 often thought to begin with a lack of accessibility of healthcare, patients with 608 kidney diseases encounter inequities that extend beyond the healthcare sector, 609 beginning with the conditions in which they are conceived, born, work and 610 live<sup>233</sup>. The social and structural determinants of health include factors like age, 611

gender, poverty and geographical location in the world and within a country. 612 These factors are inequitably distributed, resulting in vastly different outcomes 613 for patients with the same disease living under different circumstances - highly 614 resourced versus low resource settings, or people who are wealthy versus the 615 poor. These social determinants of health play a large role in pre-determining 616 who lives longer and who dies earlier<sup>246</sup>. Accessibility of kidney care is also 617 inequitably distributed at all levels – from screening, early diagnosis and 618 preventative care up to KRT or comprehensive conservative care for kidney 619 failure. 620

If inequity in healthcare is inherently 'unjust', an ethical dilemma arises for the 621 provider (the principle of justice is violated)<sup>70</sup>. Inequities in kidney care occur in 622 all resource settings and at any stage of disease, but the impact is compounded 623 with worsening kidney function, as life-saving but expensive treatments 624 become necessary. Out-of-pocket costs exacerbate these inequities in low-625 resource settings, where minorities, women, the poor, elderly and health 626 illiterate, as well as those living remotely, are disproportionately affected. 627 Examples of structural inequities in nephrology are presented as case studies in 628 Table 3, highlighting the ethical dilemmas encountered<sup>137,206,217,247-256</sup>. Such 629 moral dilemmas are omnipresent: at the bedside, during shared decision-630 making, in society, for national governments and at a global level (Figure 2). 631

632

#### **Responsible stakeholders**

In his philosophical approach to health justice, Venkatapuram states that
 health is not the absence of disease, but a positive ability to be and to do
 things<sup>257</sup>. People have a moral entitlement to be as healthy as they can, and
 patients need to be capable of leading productive and quality lives.

Expressing health as a human right is an important complement to advancing

health equity because it stresses that the responsibility for care delivery lies

with the state, which has an obligation to provide care to whatever extent

<sup>641</sup> possible in an equitable manner<sup>230</sup>.

The global nephrology community also has an ethical imperative to address/call attention to all the factors underlying inequity, including the social determinants of health, as well as every level of accessibility of kidney care. It is the ethical responsibility of all professionals to reduce inequities in kidney care and improve patient outcomes and to advocate this objective<sup>258</sup>. Governments must be held accountable to acknowledge this and to commit to the progressive realization of the right to kidney care for all.

649

## 650 Solutions

As outlined above, inequities in opportunities to optimize kidney health and to 651 provide accessibility of all forms of kidney care are multiple across the globe. 652 The origin of health inequities can often be narrowed down to both social and 653 systemic injustices<sup>259</sup>, related to complex, multisectoral factors. Solutions 654 require leadership, responsibility, and political will. Improvement in 655 accessibility of health care may mitigate the immediate impact of social and 656 systemic injustices to an individual, but lasting progress can only be made 657 through seeking system solutions that prevent the underlying causes at a 658 population level. Accordingly, if medical communities are to make collective 659 progress towards dismantling inequities, the underlying causes must first be 660 acknowledged and understood before they can be solved. This in turn requires 661 collaboration on global, local and individual levels. Suggested actions to tackle 662 the global inequities in kidney health and kidney care per stakeholder group 663

are summarized in table 4 and outlined relative to policy/individual level inwhat follows.

666

### 667 Global level

Recognize kidney diseases as an important public health problem 668 Multiple factors have contributed to kidney diseases being relatively 669 overlooked as a public health concern, which include lack of data in many 670 places due to global inequities in accessibility of essential and reliable 671 diagnostics for kidney diseases and rudimentary health information systems 672 which do not track kidney diseases. The focus of global health agendas was 673 initially driven by funding and targets set for infectious diseases and maternal 674 and child health, and subsequently for cardiovascular, cancer, respiratory 675 diseases, diabetes and mental health, but not kidney diseases<sup>28,260</sup>. If the 676 burden of kidney diseases is to be meaningfully impacted, advocacy and strong 677 leadership are required to acknowledge and reduce existing inequities in 678 disease risk and accessibility of care, to strengthen the provision of integrated 679 quality care for NCDs including kidney diseases, to generate robust health-680 economic evidence on interventions and their impact to guide financing, to 681 improve data capture to identify areas that lag behind, and to track 682 achievement of all sustainable development goals (SDGs), as each SDG impacts 683 kidney health world-wide<sup>233</sup>. 684

Just as health inequities cut across countries, so also do potential solutions. Over the short and medium term, harmonization among countries and classes can be advanced by material, financial or in-kind external support, and by promoting exchange of learning, innovations and best practices<sup>261</sup>. Such initiatives might be optimally managed by umbrella institutions, including governments, supranational political structures (e.g. the European Union),

coordinating agencies (e.g. WHO), or non-governmental organizations (e.g.
 Médecins sans Frontières, European Kidney Health Alliance), but may also
 result from private initiatives (e.g. Gates Foundation) and professional societies
 (e.g. International Society of Nephrology, European Renal Association). Over
 the longer term, countries must be encouraged and supported to finance and
 deliver sustainable and comprehensive local quality kidney care.

697

# <sup>698</sup> Support affordable innovation to improve kidney care for all

Transparency in investment and in development and production of novel 699 technologies and drugs, especially for orphan kidney diseases, is urgently 700 needed<sup>240</sup>. Structured stakeholder networks, like the virtual European 701 Reference Network on rare diseases of the European Commission, may help to 702 support high quality, sustainable and equitable therapies<sup>262</sup>. Tiered pricing 703 mechanisms adapting the cost of technologies and material to the welfare of a 704 country in mutual agreement between rich and poor countries may improve 705 affordability<sup>263</sup>. 706

Innovation should not only focus on sophisticated technologies, but must also 707 include the development of new approaches to improve uptake of prevention 708 strategies, and accessibility and delivery of primary care for those currently left 709 behind. Implementation and operational research are needed to identify and 710 scale up effective and affordable strategies, including dialysis<sup>264</sup>. Governments, 711 learned societies, clinicians, researchers and patient organizations should work 712 hand in hand to foster innovation at all levels as a means to reduce global 713 inequities. 714

715 Country level

## 716 Prevention and early detection

The best approach to reduce the burden and cost of NCDs, especially kidney

<sup>718</sup> diseases, is prevention<sup>14</sup>. This universal tenet applies to all countries.

Unfortunately, only small proportions of healthcare budgets world-wide target
 prevention<sup>14,56,190</sup>. Timely and appropriate screening for kidney diseases occurs
 rarely and is often not systematized or harmonized<sup>265</sup>.

Prevention is most efficient when risk or disease are identified early. This

requires identification of barriers, creating awareness and building trust,

rza especially among vulnerable populations, where the deficiencies in early

<sup>725</sup> identification and delivery of evidence-based care are most prominent.

Governments should invest in prevention and screening, especially among high

risk groups<sup>51,266</sup> and vulnerable populations<sup>267,268</sup>. Not doing so forces health

<sup>728</sup> systems towards more expensive "rescue" solutions like dialysis, which

r29 exacerbate inequities<sup>91</sup>.

<sup>730</sup> Socio-economic status relates differently to healthy lifestyle across the globe,

with higher socio-economic status being related to lower risk of NCDs in high-

income settings, but higher NCD risk in lower-income settings as middle classes

rage emerge<sup>73,269</sup>. Modification of these inherent sources of inequity requires a

multi-sectoral approach to health and well-being such as that embodied by the

<sup>735</sup> SDGs, as well as population education about healthy lifestyle<sup>233,270</sup>.

736

## 737 Data required to support decision making

The core social determinants that make up the building blocks of health represent societal injustices in how governments and authorities prioritise the vulnerable, spend resources on those in need, and ensure adequate provision for those affected by ill health. To motivate those who have power to act, knowledge and understanding must be guided by good quality data, moral advice, and a society that holds policymakers to account. Social and healthcare

data from real-life practice, research efforts and actions by charities/NGOs 744 should be integrated to improve the availability of meaningful intersectional 745 health. Decision-making and priority setting processes are hampered when 746 incidence, prevalence and health-economic data is lacking<sup>217</sup>. Countries must 747 invest in systematic data collection to permit understanding of disease burden, 748 distribution, costs of care, financial hardships incurred, and to identify and 749 address inequities. Rigorous health technology assessments, based on reliable 750 local evidence of disease burden and costs to the health system and to 751 individuals, are required to support priority setting. 752

753

## 754 Facilitate fair reimbursement of treatment costs

Universal Health Care (UHC) is a crucial target of the SDG3<sup>271</sup>. True UHC is 755 needed to prevent exclusion of the disadvantaged and reduce inequities<sup>272</sup> 756 (Figure 3). Even if UHC is not currently affordable, governments should commit 757 to its expansion through transparent processes, to progressively realize the 758 right to health for all<sup>230</sup>, with stepwise inclusion of expensive therapies, when 759 this becomes possible. Clear societal thresholds should be set regarding the 760 willingness to pay for gain of Quality Adjusted Life Years (QALYs), accounting 761 for the medical need and affordability, also called Value Informed and 762 Affordable Pricing<sup>273</sup>. Such processes should not only include cost and disease 763 burden, but must also take financial hardship and equity into account<sup>274</sup>. For 764 example, two health sector interventions which score highly in terms of equity 765 in the poorest nations are acute dialysis and kidney transplantation for 766 children, but lack of cost-effectiveness data precludes their recommendation 767 for coverage<sup>275</sup>. Cost-effectiveness analyses can however only be based on 768 intervention studies including diasadvantaged groups. 769

770

## 1771 Improve affordable care

Technologic options like hemodialysis should be made affordable and more 772 reliable, accounting for the harsher conditions frequently encountered in low resource situations (e.g. more resistant to heat, humidity, energy-efficient)<sup>276</sup>. 774 Costs for dialysis supplies can be reduced by waiving importation taxes or by 775 local production of PD material<sup>190,228</sup>. In higher-resource settings, home dialysis 776 uptake could be stimulated through financial incentives, policy measures (PD 777 first), fair price setting by industry, patient education, and benchmarking<sup>277</sup>. 778 Health systems should be strengthened to include safe and legal 779 transplantation programs. 780

781

### 782 Local level

## 783 *Raise awareness of kidney diseases*

All those concerned with kidney health and care (including non-professionals) 784 have a responsibility to be aware of and to create awareness of the problems 785 related to kidney diseases<sup>25</sup>. This includes addressing the causes and 786 consequences of the structural determinants of health which entrench 787 inequities. Healthcare professionals should be trained throughout their studies 788 and continued education to identify and address these problems through 789 advocay and/or concrete measures<sup>278</sup>. Patient associations and NGOs play an 790 important role in this process to improve equity and should engage in training 791 initiatives to optimize their own advocacy skills <sup>31,279</sup>. Patients must raise their 792 voices in holding healthcare planners and leaders to account, activate 793 partnerships for harmonization among regions/countries and expose 794 organizational shortcomings, e.g. calling for availability of specific medication, 795 dialysis or transplantation. 796

797

#### <sup>798</sup> Improve accessibility of equitable quality care

Holistic kidney care requires strong health systems and public health strategies 799 to reduce burden of kidney diseases, and to promote early detection and 800 treatment, integration of kidney care into existing programmes for NCDs and 801 some infectious diseases, and reduction of organ specialty-linked silos. The 802 common diagnostic tools for kidney diseases (serum creatinine and 803 albuminuria) are simple and affordable in many (but not all) countries, and 804 should be made available as much as possible, but also ensuring this is followed 805 by appropriate interpretation and therapeutic intervention. Primary care and 806 non-nephrology physicians and other healthcare workers could play an 807 essential role, but may be insufficiently familiar with kidney diseases and 808 should be educated appropriately<sup>18,280</sup>. Since kidney patients have multiple 809 comorbidities and require multiple healthcare providers, integration of care is 810 quintessential. Capacity building and audit-based education may support 811 implementation of appropriate preventative measures<sup>281</sup>. Accessibility of 812 essential medications should be assured to permit early intervention and 813 stop/delay progression of acute and chronic kidney diseases. Telemedicine and 814 eHealth should be harnessed for remote outreach<sup>282</sup>. Quality assurance 815 activities, including tracking of inequities, should be integrated into clinical 816 routines. 817

818

## 819 Avoid cherry-picking

In poorly designed pay-for-performance systems, self-interest with utility as the prevailing principle could lead clinicians, hospitals and dialysis units to target high throughput by favoring inclusion of patients with greater resources and more favourable (less complex) clinical characteristics<sup>283</sup>. If applied to the extreme, this morally dubious practice creates an additional disadvantage for

the less privileged, as they will start with less favorable conditions and will be
driven towards less favorable therapeutic environments<sup>284</sup>. Conflicts of interest
may lead to fewer transplantation referrals from private dialysis units<sup>285</sup>.
Reporting and monitoring of patient mixes and outcomes is mandatory,
especailly in dialysis units where this data is easily obtained.

830

# 831 Individual level

# 832 Tackle health illiteracy

To improve health literacy, a coordinated health systems approach informed by consumers and representatives of the concerned groups is needed, with adapted and innovative educational methods to meet various needs. Specific support may be needed for children and families affected by kidney diseases, to optimize adherence and minimize disruptions associated with the high demands of kidney care.

One system level change adopted in other chronic diseases such as diabetes is 839 the introduction of navigators<sup>120,125</sup>, who assist patients and caregivers in 840 understanding diseases and treatments and optimize self-care. Such programs 841 have been successful in remote parts of Australia with Indigenous People. In 842 the US, animation has been applied successfully for diabetes education where 843 language barriers exist<sup>286</sup>. Medical professionals need to recognize their own 844 limitations in terms of socal and cultural literacy. Since medical professionals 845 are usually not well-trained in education, advice should be sought from experts 846 in other fields (e.g. pedagogy, animation, telecommunication, health 847 illiteracy)<sup>287-289</sup>. 848

849

## 850 Patient empowerment

A move from paternalistic care (doctors making decisions without patient 851 input) to shared decision making (decisions guided by deliberation between 852 individual patients, their caregivers and practitioners)<sup>290,291</sup> as an approach to 853 enhance equity in therapy choice contributes to more patient satisfaction, 854 adherence and health<sup>292</sup>. All steps should be reported transparently, which 855 helps to avoid imposing therapies for financial or other reasons that may not 856 benefit the patient. Patient organizations may play a central role in facilitating 857 this shift of paradigm. When interacting with patients, decreases in cognitive 858 function should be taken into account, especially in advanced CKD<sup>293</sup>, as this 859 common complication affects alertness and hinders fast and accurate decision 860 making. Extra care must be taken in children with kidney diseases and their 861 families to enhance understanding of kidney care and cooperation. 862

863

#### 864 **Conclusions**

Kidney diseases are associated with significant inequities that increase risk and
are imposed by the many social and structural factors, the relative invisibility of
the condition as a public health threat, and the time- and resource-intensive
therapies required for advanced disease, especially dialysis.

All professionals involved in kidney care should be alert for local inequities and their impact on patient lives, as well as those occurring on a broader, regional,

national and international level. Recognition is the first step towards

<sup>872</sup> developing actionable solutions.

873

Inequities include those specific to countries and regions, among social groups,

and those related to accessibility of preventive and therapeutic modalities. In

addition to adverse clinical outcomes, inequities also raise health economic and

ethical concerns, and are heavily compounded by non-medical social and

structural determinants such as poverty, social injustice, violence, racism, lack

of education, and cultural and religious barriers.

- 880 Solutions range from the individual to the global level. Awareness of potential
- solutions is important to encourage advocacy and action by all stakeholders.
- Although not all solutions may be universally applicable or implemented, there
- is a collective need to develop and implement innovative strategies to tackle
- <sup>884</sup> barriers to equitable kidney health and kidney care. All nephrology
- <sup>885</sup> professionals should have the conviction to advocate within their communities,
- armed with local and international data, and to engage with policy makers,
- administrators and insurers, to raise awareness about inequities in kidney
- health and to improve kidney care across the globe.

### 890 Keypoints:

Insufficient investment across the spectrum of kidney health and kidney
 care (from awareness raising, to prevention, diagnosis and treatment) is
 a fundamental source of inequity. This affects all people at risk of, or
 living with, kidney diseases.

Social and structural inequities are major risk factors for, and contribute
 to, poorer outcomes in kidney diseases both within and between
 countries.

There is insufficient accessibility of essential diagnostics and medications
 to treat kidney diseases and to track their burden. This disadvantages
 patients in low- and middle-income countries from the very beginning of
 their disease course.

Ability to access the entire spectrum of kidney care (from basic
 medication to dialysis and transplantation) without experiencing
 financial hardship is very inequitable across the globe. Transplantation is
 the most equitable form of kidney replacement therapy, but is highly
 unaccessible in lower income settings. This results in vastly different
 outcomes and life courses for patients with the same diseases living
 under different circumstances.

Novel therapies for rare (orphan) diseases are often only available at
 extremely high prices, which frequently affects or excludes children and
 adolescents.

All nephrology professionals should become skilled at advocating on
 behalf of their patients to communities, policy makers, administrators
 and insurers, to develop constructive strategies and collectively reach
 optimal solutions to improve equity in accessibility of quality kidney care
 locally and across the globe.

917

### 918 CAPTIONS TO FIGURES

Figure 1: Factors contributing to inequities by increasing risk and by affecting
 accessibility of preventative measures, care and therapies. The description
 considers global, national/regional, community-related, health system-related
 and individual elements.

Figure 2: Ethical dilemmas in inequitable accessibility of kidney care: fromglobal to local.

Figure 3: The Universal Health Coverage cube: expanding universal healthcare 025 coverage for kidney diseases in low resource settings. The health needs of the 926 population are depicted by the larger transparent box, the funds available for 927 health financing are depicted in the blue box. In many high-income countries 928 the size of the blue and the transparent boxes are very similar (almost all 929 health needs are covered), whereas in low resource settings the blue box is 930 considerably smaller than the transparent box, meaning that many health 931 needs that do not fall within the blue box are not covered by the health system 932 and must be provided/paid for by individuals. As countries set health priorities 933 and expand their health coverage they must consider the impact across all 3 934 dimensions: who should be covered, which services should be provided and 935 how much of the costs can be covered by the health system. KRT falls outside 936 of the blue box in most low-resource settings. Suggestions here include how 937 accessibility of kidney care can be progressively expanded under universal 938 heath coverage. Priority setting must consider prevalence of a condition, cost 939 of therapeutic options and available resources. AKI: acute kidney injury; CKD: 940 chronic kidney disease; KRT: kidney replacement therapy; CHE: catastrophic 941 health expenditure. \*: data on disease burden missing in many places. 942 **Reprinted from Publication** 943

- "Making fair choices on the path to universal health coverage: final report of
- the WHO consultative group on equity and universal health coverage.
- 946 <u>https://apps.who.int/iris/bitstream/handle/10665/112671/9789241507158\_en</u>
- 947 g.pdf?sequence=1&isAllowed=y Figure 1.1, page 5, Copyright (2014)."

# Box 1: Motivating scenarios for inequitable care specific groups of people

#### Social and economic position

"When you enter through the emergency department, you arrive in bad shape...you need to have a high potassium or they send you home even though you feel you are dying. Sometimes, you crawl out when they decide to not do dialysis. You eat a banana because it is high in potassium even though you may die and you go back and wait and hope that they will do dialysis so that you don't feel like you are drowning and so that the anxiety goes away." (An undocumented immigrant in the USA receiving only emergency dialysis services)<sup>123</sup>

"We have a population of about 30 million people and have less than 20 trained nephrologists. Unfortunately, due to lack of knowledge about kidney disease and its management, over 70% of patients report late to the teaching hospital with kidney failure and since care is not reimbursed by the National Health Insurance scheme, about a third of patients with kidney disease die on admission for which we have to sign death certificates and this does not include those who are stable enough to be discharged home with no hope of sustaining themselves on dialysis. It's really sad. Without money you die when you have kidney failure."

(A health professional's perspective on kidney care in Ghana)<sup>126</sup>

#### Discrimination

"In my doctors they used to have this thing that when you signed in it was on a screen and you had to select in front of everyone in the waiting room whether you were male or female. Even that half a second just breaks my brain every time and I'm like, I kind of don't want to go to this appointment now".

(An LGBTQ+ patient on attending their appointment)127

"My doctor[s]... be shocked when I asked them, well why is there so many Black people on dialysis and they don't have no real answer for me. I really don't like that. And so then on top of that he only spends ninety seconds with me... I'm like wow, I feel like cattle." (A patient receiving dialysis discussing mistrust in their nephrologists connected with race)<sup>122</sup>

#### Inequity within algorithms and guidelines

A 54 year old woman of mixed race is opportunistically found to have a low eGFR when attending hospital with a minor injury. She is advised that this is probably due to muscle mass and goes home. Several months later she reattends hospital in need of emergency dialysis.

"The insights about sexist and racist biases... are important because information organizations, from libraries to schools and universities to governmental agencies, are increasingly reliant on being displaced by a variety of web-based "tools" as if there are no political, social, or economic consequences of doing so."

(Safiya Umoja Noble, on reinforcing structural discrimination by use of algorithms)<sup>294</sup>

#### **Health literacy**

"I'd say about the hardest part was when he was on the prednisolone when he's on a high dosage, it's very lunatic. And then we went to the doctor to what's going on. Oh, it's the prednisolone. It causes anger and stress. So, more information and education of the carers as to what possible side effects could be and talk to you about this is what's going to happen."

(A caregiver's perspectives on kidney transplant aftercare and education)<sup>115</sup>

"I would have really liked to have sat and talked with somebody who had gone the journey before me, and to give me a heads up on about what I'm going to experience from the importance of taking the medication, understanding what the kidney function is in my body, understanding about my fluids, my nutrition, all of those coming together of the importance, because as a primary school teacher, you're having to say it at least 20 times before it clicks." (A care partner)<sup>125</sup>

"All the patient navigators that I've met, have been on dialysis and going through transplant and their second transplant. They know exactly what it's like to sit in that chair, and have treatment for hours on end, the restrictions that you're on, the medications that your body must handle after transplant. And although someone can sit and tell you about this importance, I think it comes at another level with someone else who's experienced that and been successful, and that you can draw on and build a relationship with, and it's kind of upskilling." (A patient's perspective of lay navigators)<sup>128</sup>

#### Geography and accessibility of care

J lives in a town with no dialysis services, the closest is 175kms away. He is ineligible for a transplant or home dialysis. His only choice is to move or receive conservative care.

"Just the understanding, like if you're having a transplant, you've got to deal with the city hospital and they say, "Okay, I'll book you in 8:00 in the morning, tomorrow morning, can you make it?" Well, I live in XXX. All right, well can you travel? Well, it's six hours away and I've got a family to organize and I'm on dialysis so it's like they don't get it. And then they'll say, "Come back next week and see me." Like, you're kidding. Can't you have

the one stop thing?" (A patient experience of lack of accessible care due to remoteness) <sup>125</sup>		
950		
951	Abbreviations: LGBTQ+, lesbian, gay, bisexual, transgender, queer, and other	
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#### Table 1 – Barriers to optimal kidney care

	Patient level (inherent to kidney diseases and care)	Health system level (inherent to organisation of healthcare)	Population level (inherent to environment)
Chronic Kidney Disease*	<ul> <li>Lack of symptoms in early stage kidney diseases</li> <li>Lack of awareness of symptoms of kidney diseases</li> <li>Late diagnosis of kidney disease due to lack of appropriate screening of those at risk</li> <li>Late diagnosis of kidney disease due to long asymptomatic phase</li> <li>Late start of measures to prevent kidney disease progression</li> <li>Inadequate monitoring, surveillance and treatment</li> <li>Poor health literacy associated with lower concordance with medications, clinical plans, dietary requirements</li> <li>Instability of living environment (financial resources, housing, recreation facilities, freedom of persecution or war)</li> </ul>	<ul> <li>Inadequate screening for kidney disease in at risk populations</li> <li>Lack of funding of prevention</li> <li>Unavailability or lack of reimbursement of kidney function tests</li> <li>Shortage and brain drain of nephrologists and shortage of kidney centres</li> <li>Lack of healthcare funding for expensive drugs</li> <li>Limitation of healthcare provision or reimbursement to certain groups or certain therapeutic options</li> <li>Lack of education of primary and secondary healthcare professionals regarding early signs of kidney disease and when to refer to specialist teams</li> <li>No consideration of priorities and outcomes that matter to patients</li> </ul>	<ul> <li>Lack of accessibility of healthy food, clean water, health facilities</li> <li>Healthy diet and lifestyle may be unaffordable for some people</li> <li>No financial incentives to stimulate healthy diet and lifestyle</li> <li>Unhealthy or polluted living areas or working conditions</li> <li>Working conditions in conflict with health needs (e.g. to attend check-up appointments)</li> <li>Population burden of diabetes, obesity and hypertension is exacerbated by social dterminants of health</li> <li>Inadequate dietary information for population, e.g., on salt intake</li> <li>Religion, culture or tradition may interfere with optimal solutions for kidney health</li> <li>Discrimination of race or minorities</li> </ul>

- Distrust, fear and misunderstanding of health professionals
- Language barriers
- Follow-up by (too) many healthcare providers due to multimorbidity
- Competing complications and outcomes may disturb decision making
- Difficult to treat symptoms (fatigue, itching) may disturb confidence in care providers
- Chronic stress without sufficient adaptive oping strategies
- Cognitive dysfunction, visual and hearing impairment, learning difficulties, mental illness) hamper decision making
- Inability to pay for drugs
- Expensive special diets
- Potential loss of income attending outpatient clinics
- Complexity of required decisions
- Distrust of healthcare system
- Fear of stigmatisation
- Unavailability of personal health insurance

- Lack of training of healthcare professionals on how to provide culturally appropriate care and how to deal with discrimination, unconscious bias or health illiteracy
- Lack of research and research funding on kidney health and care
- Unavailability of structural health insurance (universal health insurance coverage)

 Lack of education of general population on kidney health and care

	<ul><li>Non-adherence augmented in adolescents</li><li>Stigmatization</li></ul>		
Dialysis	<ul> <li>Inadequate accessibility of pre- dialysis nephrology care</li> <li>Inability to engage in decision making regarding choices / health illiteracy</li> <li>Ageing and frailty</li> <li>Dependence on family and social support which is not always available</li> <li>Inability to pay out-of-pocket expenses</li> <li>Distance from kidney centre</li> <li>Certain options (home hemodialysis, peritoneal dialysis, self-care) not available</li> <li>Long-term dependence on life- saving treatment as cause of lack of adherence</li> <li>Accesibility problems in humanitarian crises (wars, refugees, undocumented migrants)</li> <li>Child size limits dialysis possibilities</li> </ul>	<ul> <li>Availability of dialysis facilities</li> <li>Government funding</li> <li>Education of healthcare providers about dialysis options</li> <li>Number of nephrologists and specialist dialysis nurses</li> <li>Availability of multi- professional teams for psychosocial support</li> <li>Availability of dialysis-related drugs e.g., erythropoietin</li> <li>Insufficient possibilities to diagnose, prevent and treat acute kidney injury</li> <li>Unconscious/structural biases of healthcare providers, favouring patients of their own age, sex, and social and ethnic background</li> <li>Limitation in availability of dialysis modalities – PD and HD variably accessible</li> </ul>	<ul> <li>Lack of awareness of dialysis options</li> <li>Lack of medical support for comorbidities</li> <li>Lack of welfare support for patients</li> <li>Urban vs. rural living area</li> <li>Geographic distribution of dialysis centers</li> </ul>

Conservative care	<ul> <li>Inadequate accessibility of pre- dialysis nephrology care</li> <li>Inability to engage in decision making regarding choices / health literacy</li> <li>Dependence on family and social support which is not always available</li> <li>Cultural / religious beliefs perturbing decision making</li> <li>Lack of training of health care workers</li> </ul>	<ul> <li>Lack of respect for patient autonomy and shared decision making</li> <li>Lack of government funding</li> <li>Lack of training in decision making related to frailty</li> <li>Lack of vision or know-how on person-centred care</li> <li>Unavailability of palliative care</li> <li>Unavailability of community / primary care</li> </ul>	<ul> <li>Skewed healthcare beliefs regarding end-of-life decision</li> <li>Religion, philosophy, culture or tradition interfere with decision making</li> <li>Family members or acquaintances may impose their views</li> </ul>
Transplantation	<ul> <li>Perturbing inaccurate knowledge and beliefs</li> <li>Socio-economic situation and inability to afford long-term medications</li> <li>Racial/ ethnic/gender/ cultural inequities and differences</li> <li>Concern for living donor (hesitancy to ask)</li> <li>Dependence of caregivers and family support</li> <li>Co-morbidities</li> <li>Risk of recurrence of primary disease (e.g. aHUS)</li> <li>Availability limited by need for matching</li> <li>Child size limits transplantation possibilities</li> </ul>	<ul> <li>Lack of government funding</li> <li>Unavailability of transplant program</li> <li>Unavailability of immunosuppression</li> <li>Delayed nephrology referral and referral for transplantation</li> <li>Lack of health literacy support for education regarding transplantation</li> <li>Systemic racism</li> <li>Lack of transplantation workup tests and protocols</li> <li>Certain options (e.g. pediatric transplantation, living donation, organ exchange programs, cross-over</li> </ul>	<ul> <li>Lack of awareness and community education regarding organ donation</li> <li>Opt-in versus opt-out deceased organ donation policy</li> <li>Inadequate organ allocation algorithms</li> <li>Population disease burden</li> <li>Centralisation of transplantation services to major metropolitan areas.</li> <li>Government policies for financial support of living donors and recipients insufficient</li> <li>Unavailability of transplantation registry</li> <li>Cultural and legal restrictions</li> </ul>

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\*: Applies to all CKD care (also dialysis, transplantation, conservative care)

Table 2: Health-economic sources of inequity, depending on the country's financial status

Higher income countries*	Lower income countries
Selection bias in favor of health literate for home dialysis and transplantation leaving those remaining in-center at high risk of certain complications (e.g. COVID-19)	Countries with lower incomes invest proportionally more of their healthcare budget in (in-center) hemodialysis, making less funds available for measures that would benefit more people (e.g. prevention of CKD)
Higher uptake of peritoneal dialysis and home hemodialysis in wealthier countries	Unavailability of the therapeutic options with the lowest societal or out-of-pocket cost (prevention, transplantation, home dialysis) because of organizational or infrastructural problems
Lack of adequate screening and prevention programs creates a disadvantage for the deprived, health illiterate and minoritized communities who face barriers in obtaining health care	No or inadequate screening and prevention and no or inadequate education will cause large groups or regions to be missed out
Educational initiatives, if any, are not adapted to health illiteracy, culture, language	Educational initiatives, if any, are not adapted to health illiteracy, culture, language
Higher risk for employment loss and financial disadvantage for less educated and deprived if they suffer from NCDs including CKD	High risk of employment loss and financial disadvantage for less educated and deprived if they suffer from NCDs including CKD
Even if KRT is fully reimbursed, if there are remaining out-of-pocket costs these will be more problematic for the deprived (e.g. for transportation, medication co-payments) potentially leading to abandoning KRT	If KRT is not reimbursed, risk of impoverishment for patients and their families until all resources are exhausted and treatment must be abandoned
Even if KRT is fully reimbursed, if there is no global educational and financial support for approaches for early diagnosis and prevention of progression towards kidney failure, including preventive	Insufficient resources to pay or reimburse basic preventive medication (antihypertensives, antidiabetics) will especially harm the deprived

medication. Accordingly, deprived populations may be at higher risk of progression, because of fewer opportunities to make healthy choices	
Shortage of workforce for delivery of care will especially be felt by financially deprived	Shortage of workforce for delivery of care may be more substantial in lower income countries
	Medical technology (dialysis) not adapted to local conditions (heat, humidity), no possibilities for repair, no financial resources
	Two-tiered health care systems (public for the poor and private for the rich) are a basic form of inequity although not necessarily resulting in unequal quality of therapy)
	If no universal health care insurance, only the rich, the employed and certain classes (e.g. government officials) will be covered
	Money invested in reimbursement of dialysis cannot be invested in prevention
	The poor in lower income countries may be forced to sell their kidneys, and as a consequence may be victim of criminal, unethical or harmful practices

\*: the dichotomy between higher and lower income country is artificial and only for illustrative reasons. There may also be exceptions to these general principles.

NCDs: non-communicable diseases; CKD: chronic kidney disease; KRT: kidney replacement therapy

Table 3: Examples of ethical dilemmas caused by structural inequities in nephrology

Case – by Level of Care	Sources of Inequity	Ethical Dilemmas Arising from Inequity
Case – by Level of CarePrimary LevelPoor accesibility of primary care and preventative kidney careA 35-year-old mother of 3 from a poor rural farming background in a LIC was diagnosed with gestational diabetes, pre-eclampsia and proteinuria during her third pregnancy. At her postnatal check fingerstick blood glucose and BP were still elevated. She was advised to get HbA1c, urine albumin creatinine ratio and kidney function testing but these were not available in the primary healthcare center nearby.The woman's family, not wanting to spend money on travel to a nearby city for care, instead took her to a traditional medicine practitioner. When the woman became too fatigued to carry out her household duties, she was brought to a referral hospital where diabetes, hypertension and proteinuria were confirmed. In addition to ACE inhibitors, SGLT2 inhibitors were considered.The medical team contemplated on enrolling her in a clinical trial of SGLT2 inhibitors but all studies required frequent clinic visits that were considered too burdensome for the family. The woman's family decided to continue whatever care was available at the primary care center and in addition resumed traditional medicine.	<ul> <li>Sources of Inequity</li> <li>Poverty</li> <li>Poor primary healthcare</li> <li>Low health literacy</li> <li>Discrimination against women</li> <li>Effective medications too expensive</li> <li>Inequitable inclusion in clinical trials</li> <li>Cultural mistrust of regular medicine</li> <li>Lack of universal health care</li> </ul>	<ul> <li><u>Adverse effect of Social Determinants of Health on</u> <u>Outcomes and the principle of Justice:</u></li> <li>The woman's social determinants of health (low socioeconomic status, sex and geographic location) very likely affected her possibilities to obtain primary care and prevention of progression of kidney disease.</li> <li>Are these differences avoidable through better governance or change in circumstances (would a woman with the same disease living in a wealthy urban educated family have had better possibilities to obtainpreventative care?). If yes, then the inequality in outcome is unfair, and addressing this inequity is a moral imperative.</li> <li><u>Gender Discrimination</u></li> <li>inclusion into clinical research and thus determination of efficacy of important preventive medications are unfairly biased against women who are pregnant or breastfeeding. This inequity must be addressed to improve outcomes.</li> </ul>
Secondary Level		
Poor accesibility of early diagnosis and treatment		Poor prioritization of pediatric kidney disease

During an antenatal ultrasound of a 20-year-old woman's first pregnancy in an LMIC, a kidney and bladder anomaly of the fetus was suspected. Unable to travel to the maternal-fetal referral center 250 km away, she delivered a low birth weight male at home. The infant did not feed well, had a poor urinary stream and became progressively lethargic. At the primary health center, he received some antibiotics, improved and was discharged. After multiple similar admissions and persistent failure to thrive, at the age of one, he was referred to a private pediatric nephrology center 300 km away where the parents had to pay out-of-pocket for care. The possibilities for an effective treatment in the private center in that country were considered minimal. By this time the child already had growth failure, rickets, blood urea of 200mg/dL and a serum creatinine of 4mg/dL. At work-up a diagnosis of posterior urtheral valves (PUV) was made. The family was told about the need for expensive surgery to treat the PUV to salvage some kidney function and possibility of dialysis. Unable to afford care, they left against medical advice.	<ul> <li>Geographic remoteness</li> <li>Poverty</li> <li>Inadequate accessibility of effective maternal screening</li> <li>Low awareness of pediatric kidney disease</li> <li>Inadequate pediatric kidney care services</li> <li>Poor public-private partnership rendering treatment unaffordable</li> <li>Poor social services support for children</li> <li>No accessibility of UHC</li> </ul>	<ul> <li>In low resource settings, funding pediatric kidney disease detection (by good perinatal follow-up of structural anomalies) is of low priority for governments. Thus accessible public sector care is inadequate, resulting in late diagnosis, high morbidity and poor outcomes.</li> <li>Where UHC is absent, ability to pay determines outcomes</li> <li>When specialized pediatric care is only available in the private sector, life-saving therapy is accessible only for those who can afford to pay. This violates the ethical principle of justice</li> <li>Parental refusal of treatment</li> <li>Refusal of treatment by the parents is undoubtedly against the best interest of the child. However, given the expense to family should we consider the interests of the family as well? Patient families in LIC and LMIC often exhaust all their financial resources without reaching positive outcomes (no cure and no transplantation). This morally distressing question results from inequitable accessibility of care.</li> </ul>
Tertiary Level		
<u>Case 1: Rationing Dialysis</u> A 50-year-old father of 3 with kidney failure due to type 2 diabetes in a MIC was assessed by the healthcare team for eligibility for the single remaining spot for government-funded maintenance dialysis. He was not considered a candidate for kidney transplantation due to his diabetes complications and was denied dialysis.	<ul> <li>Rationed availability of free or low cost dialysis</li> <li>Age-based discrimination</li> <li>Disease-based discrimination</li> <li>Rationing policies favoring those with</li> </ul>	<ul> <li>Ethical challenges of rationing life-saving therapy</li> <li>Rationing access to dialysis may result in biased unethical decisions based on prejudices related to age, sex, race or socioeconomic status</li> <li>The patient's ability to exercise his autonomy to make treatment choices is constrained by (lack of) policy</li> </ul>

transplantationA 50-year-old wealthy man with kidney failure in a MIC desired kidney transplantation. There were no compatible living donors in his family and his native country had no deceased donor transplant program. He travelled to a private sector, for-profit hospital in a LMIC accompanied by a 50-year-old woman, from a lower socioeconomic background. The patient claimed she was a distant cousin. He requested living donor transplantation be performed and furnished a government certificate giving clearance for altruistic kidney donation. Communicating with the recipient and his donor was limited and required an interpreter.• Poor accessibility of deceased donor transplantation• Without UHC to the poor • Although pay ensure finan donors finan transplantation• Inadequate surveillance process and legal framework to prevent organ trafficking• Trafficked do outcomes• Nations may deceased do citizens• Nations may deceased do citizens• Nations have	oning decisions result in moral distress ysicians forced to deny life-saving care to stributive justice (a fair, transparent, iority-setting process with stakeholder sential for policy makers but is rarely
the patient again and did not show up for follow-up care.often neglec often neglec• Preventing care.	y have a moral obligation to provide onor transplantation services to their re a major obligation to combat paid a legal and judiciary means, but this is cted organ trafficking is a global responsibility len of decision making unfairly falls most

<u>Case 3: Caregiver burden</u> A 15-year-old boy with PUV in a rural area of a HIC was initiated on PD in a city 450 km from his home. The indigenous family had owned a farm for 3 generations. His parents incurred high out-of-pocket costs for travel to a pediatric nephro-urology centre and lost income during monthly clinic visits. When his mother initiated work-up for a living donor transplantation, the hospital visits became more frequent. The family decided to sell their farm to move closer to the city where they had no family or friends. The mother stayed home to be the primary caregiver. The father relied on daily odd jobs, the family's income plunged and the existence of all centered around keeping the boy alive.	<ul> <li>Inadequate accesibility of pediatric focused KRT</li> <li>Geographic remoteness reduces accessibility of care</li> <li>Poor support for living donor indirect costs</li> <li>Caregivers not provided with enough social support</li> </ul>	<ul> <li><u>Caregiver burden worsened by socioeconomic and</u> <u>demographic factors</u></li> <li>Patients in remote areas face unfair obstacles to accessing care and a greater financial burden.</li> <li>It is unfair that caregivers of kidney failure patients be expected to shoulder these burdens</li> <li>To satisfy the principle of justice and non- maleficence, clinicians might consider discussing these burdens with caregivers before beginning dialysis but this may lead to a difficult and uneasy conversation</li> <li>The inequitable accessibility of care unfairly burdens indigenous populations, minorities and immigrants</li> </ul>
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HIC: high income country; LIC: Low income country; LMIC: low middle income country; MIC: middle income country; BP: blood pressure; HbA1C: hemoglobin A1C; PUV: posterior urethral valves; UHC: universal health care; PD: peritoneal dialysis; KRT: kidney replacement therapy Case stories are based on the clinical experience of the authors. Table 4: Opportunities for stakeholders to mitigate inequities

STAKEHOLDER GROUP	ATTENTION POINTS
Patients, patient organizations	- Raise patient voices
	- Raise awareness of CKD
	- Advocate for fair therapeutic price setting
	- Advocate to combat inequities
	- Facilitate empowerment and communication training
	<ul> <li>Include people from all origins and social classes in their activities</li> </ul>
Nephrology professionals	<ul> <li>Self-awareness of own socio-cultural knowledge/communication limitations</li> </ul>
	- Listen to patient voices
	- Favour patient empowerment
	<ul> <li>Increase advocacy efforts to draw appropriate attention to kidney diseases</li> </ul>
	- Embed structural competency in the training process, including inequities and their mechanisms, and
	apply approaches to avoid those, e.g. by shunning racism and paying special attention to health
	illiteracy
	- Steer clear of therapeutic cherry picking
	- Use language translation
	<ul> <li>Increase diversity among nephrology work force</li> </ul>
	<ul> <li>Share equipment and expertise with emerging countries</li> </ul>
Nephrology societies	<ul> <li>Lead by example, ensure diverse and equitable global representation</li> </ul>
	<ul> <li>Include local experts in guideline committees, decision-making, research calls</li> </ul>
	<ul> <li>Include sessions devoted to equity in their meetings and congresses</li> </ul>
	<ul> <li>Collect reliable data on disease burden, costs and inequities related to CKD</li> </ul>
	- Generate a shift of mind from cure to screening and prevention, by engaging with policy makers,
	those involved in healthcare design, and funders
	<ul> <li>Achieve harmonization among countries by support and exchange of information</li> </ul>
	<ul> <li>Create patient education materials adapted for language and culture</li> </ul>
	<ul> <li>Advocate at regional and global level</li> </ul>
General educators	<ul> <li>Ensure all children, both boys and girls, have access to quality education</li> </ul>
	- Include health in education
	- Promote healthy lifestyle through education about food, exercise, smoking, alcohol etc
	<ul> <li>Provide healthful food and sport opportunities in schools</li> </ul>

Medical educators	- Ensure diversity among educators
	- Include inequities in the educational curricula
	- Improve teaching about kidney diseases and especially their diagnosis and prevention
	- Consciously act as role models
Researchers, developers	- Develop therapeutic options that are affordable for all
	<ul> <li>Ensure that newly promoted approaches are made available and evaluated across subsets where inequities may occur</li> </ul>
	<ul> <li>Prioritize research that focuses on equity and accessibility of kidney care in disadvantaged populations</li> </ul>
	<ul> <li>Prioritize research on health illiteracy</li> </ul>
	<ul> <li>Develop and study approaches to prevent CKD or progression of CKD</li> </ul>
	- Be conscious of the risks of research in exacerbating inequities
	<ul> <li>Include patients with kidney diseases in clinical trials, including in non-kidney areas (e.g. cardiology, oncology)</li> </ul>
	<ul> <li>Ensure diverse representation of patients included in clinical trials</li> </ul>
	- Consider ethnic and sex/gender aspects in clinical trials, drug metabolism, patient reported
	outcomes
Pharmacological and medical technology	- Develop therapeutic options that are affordable for all
sectors	<ul> <li>Develop and study approaches to prevent CKD or progression of CKD</li> </ul>
	<ul> <li>Being transparent on investments in therapy development and real cost of therapies</li> </ul>
	<ul> <li>Apply fair prices and fair profit principles</li> </ul>
	- Register and distribute therapeutic products (e.g. peritoneal dialysis fluids) in all countries
Governments, administrations, insurers	<ul> <li>Acknowledge CKD as a public health problem</li> </ul>
	- Collect reliable data on disease burden, costs and inequities related to CKD to support priority setting
	<ul> <li>Negotiate fair price setting of medications</li> </ul>
	<ul> <li>Favour affordable therapies and therapies with high value-for-money, without further exacerbation of inequities</li> </ul>
	<ul> <li>Aim for progressive expansion of universal health coverage</li> </ul>
	<ul> <li>Generate a shift of paradigm from focus on cure towards focus on screening and prevention</li> <li>Achieve harmonization among countries by support and exchange of information</li> </ul>
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Selection bias in favor of health literate for home dialysis and transplantation leaving those remaining in-center at high risk of certain complications (e.g. COVID-19)	Countries with lower incomes invest proportionally more of their healthcare budget in (in-center) hemodialysis, making less funds available for measures that would benefit more people (e.g. prevention of CKD)
Higher uptake of peritoneal dialysis and home hemodialysis in wealthier countries	Unavailability of the therapeutic options with the lowest societal or out-of-pocket cost (prevention, transplantation, home dialysis) because of organizational or infrastructural problems
Lack of adequate screening and prevention programs creates a disadvantage for the deprived, health illiterate and minoritized communities who face barriers in obtaining health care	No or inadequate screening and prevention and no or inadequate education will cause large groups or regions to be missed out
Educational initiatives, if any, are not adapted to health illiteracy, culture, language	Educational initiatives, if any, are not adapted to health illiteracy, culture, language
Higher risk for employment loss and financial disadvantage for less educated and deprived if they suffer from NCDs including CKD	High risk of employment loss and financial disadvantage for less educated and deprived if they suffer from NCDs including CKD
Even if KRT is fully reimbursed, if there are remaining out-of-pocket costs these will be more problematic for the deprived (e.g. for transportation, medication co-payments) potentially leading to abandoning KRT	If KRT is not reimbursed, risk of impoverishment for patients and their families until all resources are exhausted and treatment must be abandoned
Even if KRT is fully reimbursed, if there is no global educational and financial support for approaches for early diagnosis and prevention of progression towards kidney failure, including preventive	Insufficient resources to pay or reimburse basic preventive medication (antihypertensives, antidiabetics) will especially harm the deprived

medication. Accordingly, deprived populations may be at higher risk of progression, because of fewer opportunities to make healthy choices	
Shortage of workforce for delivery of care will especially be felt by financially deprived	Shortage of workforce for delivery of care may be more substantial in lower income countries
	Medical technology (dialysis) not adapted to local conditions (heat, humidity), no possibilities for repair, no financial resources
	Two-tiered health care systems (public for the poor and private for the rich) are a basic form of inequity although not necessarily resulting in unequal quality of therapy)
	If no universal health care insurance, only the rich, the employed and certain classes (e.g. government officials) will be covered
	Money invested in reimbursement of dialysis cannot be invested in prevention
	The poor in lower income countries may be forced to sell their kidneys, and as a consequence may be victim of criminal, unethical or harmful practices

\*: the dichotomy between higher and lower income country is artificial and only for illustrative reasons. There may also be exceptions to these general principles.

NCDs: non-communicable diseases; CKD: chronic kidney disease; KRT: kidney replacement therapy

Table 3: Examples of ethical dilemmas caused by structural inequities in nephrology

Case – by Level of Care	Sources of Inequity	Ethical Dilemmas Arising from Inequity
Case – by Level of CarePrimary LevelPoor accesibility of primary care and preventative kidney careA 35-year-old mother of 3 from a poor rural farming background in a LIC was diagnosed with gestational diabetes, pre-eclampsia and proteinuria during her third pregnancy. At her postnatal check fingerstick blood glucose and BP were still elevated. She was advised to get HbA1c, urine albumin creatinine ratio and kidney function testing but these were not available in the primary healthcare center nearby. The woman's family, not wanting to spend money on travel to a nearby city for care, instead took her to a traditional medicine practitioner. When the woman became too fatigued to carry out her household duties, she was brought to a referral hospital where diabetes, hypertension and proteinuria were confirmed. In addition to ACE inhibitors, SGLT2 inhibitors were considered.The medical team contemplated on enrolling her in a clinical trial of SGLT2 inhibitors but all studies required frequent clinic visits that were considered too burdensome for the family. The woman's family decided to continue whatever care was available at the primary care center and in addition resumed traditional medicine.	<ul> <li>Sources of Inequity</li> <li>Poverty</li> <li>Poor primary healthcare</li> <li>Low health literacy</li> <li>Discrimination against women</li> <li>Effective medications too expensive</li> <li>Inequitable inclusion in clinical trials</li> <li>Cultural mistrust of regular medicine</li> <li>Lack of universal health care</li> </ul>	<ul> <li>Ethical Dilemmas Arising from Inequity</li> <li>Adverse effect of Social Determinants of Health on Outcomes and the principle of Justice:         <ul> <li>The woman's social determinants of health (low socioeconomic status, sex and geographic location) very likely affected her possibilities to obtain primary care and prevention of progression of kidney disease.</li> <li>Are these differences avoidable through better governance or change in circumstances (would a woman with the same disease living in a wealthy urban educated family have had better possibilities to obtainpreventative care?). If yes, then the inequality in outcome is unfair, and addressing this inequity is a moral imperative.</li> </ul> </li> <li>Gender Discrimination         <ul> <li>inclusion into clinical research and thus determination of efficacy of important preventive medications are unfairly biased against women who are pregnant or breastfeeding. This inequity must be addressed to improve outcomes.</li> </ul> </li> </ul>
Secondary Level		
Poor accesibility of early diagnosis and treatment		Poor prioritization of pediatric kidney disease

woman's first pregnancy in an LMIC, a kidney and bladder anomaly of the fetus was suspected. Unable to travel to the maternal-fetal referral center 250 km away, she delivered a low birth weight male at home. The infant did not feed well, had a poor urinary stream and became progressively lethargic. At the primary health center, he received some antibiotics, improved and was discharged. After multiple similar admissions and persistent failure to thrive, at the age of one, he was referred to a private pediatric nephrology center 300 km away where the parents had to pay out-of-pocket for care. The possibilities for an effective treatment in the private center in that country were considered minimal. By this time the child already had growth failure, rickets, blood urea of 200mg/dL and a serum creatinine of 4mg/dL. At work-up a diagnosis of posterior urtheral valves (PUV) was made. The family was told about the need for expensive surgery to treat the PUV to salvage some kidney function and possibility of dialysis. Unable to afford care, they left against medical advice.	<ul> <li>Geographic remoteness</li> <li>Poverty</li> <li>Inadequate accessibility of effective maternal screening</li> <li>Low awareness of pediatric kidney disease</li> <li>Inadequate pediatric kidney care services</li> <li>Poor public-private partnership rendering treatment unaffordable</li> <li>Poor social services support for children</li> <li>No accessibility of UHC</li> </ul>	<ul> <li>In low resource settings, funding pediatric kidney disease detection (by good perinatal follow-up of structural anomalies) is of low priority for governments. Thus accessible public sector care is inadequate, resulting in late diagnosis, high morbidity and poor outcomes.</li> <li>Where UHC is absent, ability to pay determines outcomes</li> <li>When specialized pediatric care is only available in the private sector, life-saving therapy is accessible only for those who can afford to pay. This violates the ethical principle of justice</li> <li>Parental refusal of treatment</li> <li>Refusal of treatment by the parents is undoubtedly against the best interest of the child. However, given the expense to family should we consider the interests of the family as well? Patient families in LIC and LMIC often exhaust all their financial resources without reaching positive outcomes (no cure and no transplantation). This morally distressing question results from inequitable accessibility of care.</li> </ul>
Tertiary Level	Definition of the 1991 of	Ethical challen was of retioning life and in the second
Case 1: Rationing Dialysis A 50-year-old father of 3 with kidney failure due to type 2 diabetes in a MIC was assessed by the healthcare team for eligibility for the single remaining spot for government-funded maintenance dialysis. He was not considered a candidate for kidney transplantation due to his diabetes complications and was denied dialysis.	<ul> <li>Rationed availability of free or low cost dialysis</li> <li>Age-based discrimination</li> <li>Disease-based discrimination</li> <li>Rationing policies favoring those with</li> </ul>	<ul> <li>Ethical challenges of rationing life-saving therapy</li> <li>Rationing access to dialysis may result in biased unethical decisions based on prejudices related to age, sex, race or socioeconomic status</li> <li>The patient's ability to exercise his autonomy to make treatment choices is constrained by (lack of) policy</li> </ul>

Unable to pay for dialysis in the private sector, he was forced to accept palliative care. By policy, a younger patient with no comorbidities was deemed eligible instead.	highest likelihood of survival (utilitarianism)	<ul> <li>Forced rationing decisions result in moral distress amongst physicians forced to deny life-saving care to patients</li> <li>Ensuring distributive justice (a fair, transparent, equitable priority-setting process with stakeholder input) is essential for policy makers but is rarely applied</li> </ul>
Case 2: Inequitable global accessiblility of transplantation A 50-year-old wealthy man with kidney failure in a MIC desired kidney transplantation. There were no compatible living donors in his family and his native country had no deceased donor transplant program. He travelled to a private sector, for-profit hospital in a LMIC accompanied by a 50-year-old woman, from a lower socioeconomic background. The patient claimed she was a distant cousin. He requested living donor transplantation be performed and furnished a government certificate giving clearance for altruistic kidney donation. Communicating with the recipient and his donor was limited and required an interpreter. Paid donation was suspected but could not be proven. After the transplantation, the patient returned for care to his native country. The donor was never seen with the patient again and did not show up for follow-up care.	<ul> <li>Poor accessibility of deceased donor transplantation</li> <li>Necessity for physicians to rely on living donation as only option for transplantation</li> <li>Inadequate surveillance process and legal framework to prevent organ trafficking</li> </ul>	<ul> <li>Inequitable accessibility of donor transplantation drives people to organ trade and unjustly exploits donors</li> <li>Without UHC, transplantation is largely inaccessible to the poor</li> <li>Although paying for donor evaluation and surgery to ensure financial neutrality is acceptable, offering donors financial gain is unethical and illegal</li> <li>Trafficked donors have poor health and economic outcomes</li> <li>Trafficked donors are often unfairly exploited by intermediary persons Organ trafficking remains a serious and prevalent problem despite global condemnation.</li> <li>Nations may have a moral obligation to provide deceased donor transplantation services to their citizens</li> <li>Nations have a major obligation to combat paid donation via legal and judiciary means, but this is often neglected</li> <li>Preventing organ trafficking is a global responsibility but the burden of decision making unfairly falls most on healthcare providers.</li> </ul>

<u>Case 3: Caregiver burden</u> A 15-year-old boy with PUV in a rural area of a HIC was initiated on PD in a city 450 km from his home. The indigenous family had owned a farm for 3 generations. His parents incurred high out-of-pocket costs for travel to a pediatric nephro-urology centre and lost income during monthly clinic visits. When his mother initiated work-up for a living donor transplantation, the hospital visits became more frequent. The family decided to sell their farm to move closer to the city where they had no family or friends. The mother stayed home to be the primary caregiver. The father relied on daily odd jobs, the family's income plunged and the existence of all centered around keeping the boy alive.	<ul> <li>Inadequate accesibility of pediatric focused KRT</li> <li>Geographic remoteness reduces accessibility of care</li> <li>Poor support for living donor indirect costs</li> <li>Caregivers not provided with enough social support</li> </ul>	<ul> <li><u>Caregiver burden worsened by socioeconomic and</u> <u>demographic factors</u></li> <li>Patients in remote areas face unfair obstacles to accessing care and a greater financial burden.</li> <li>It is unfair that caregivers of kidney failure patients be expected to shoulder these burdens</li> <li>To satisfy the principle of justice and non- maleficence, clinicians might consider discussing these burdens with caregivers before beginning dialysis but this may lead to a difficult and uneasy conversation</li> <li>The inequitable accessibility of care unfairly burdens indigenous populations, minorities and immigrants</li> </ul>
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HIC: high income country; LIC: Low income country; LMIC: low middle income country; MIC: middle income country; BP: blood pressure; HbA1C: hemoglobin A1C; PUV: posterior urethral valves; UHC: universal health care; PD: peritoneal dialysis; KRT: kidney replacement therapy Case stories are based on the clinical experience of the authors. Table 4: Opportunities for stakeholders to mitigate inequities

STAKEHOLDER GROUP	ATTENTION POINTS
Patients, patient organizations	- Raise patient voices
	- Raise awareness of CKD
	<ul> <li>Advocate for fair therapeutic price setting</li> </ul>
	- Advocate to combat inequities
	- Facilitate empowerment and communication training
	- Include people from all origins and social classes in their activities
Nephrology professionals	<ul> <li>Self-awareness of own socio-cultural knowledge/communication limitations</li> </ul>
	- Listen to patient voices
	- Favour patient empowerment
	<ul> <li>Increase advocacy efforts to draw appropriate attention to kidney diseases</li> </ul>
	- Embed structural competency in the training process, including inequities and their mechanisms, and
	apply approaches to avoid those, e.g. by shunning racism and paying special attention to health
	illiteracy
	- Steer clear of therapeutic cherry picking
	- Use language translation
	<ul> <li>Increase diversity among nephrology work force</li> </ul>
	- Share equipment and expertise with emerging countries
Nephrology societies	<ul> <li>Lead by example, ensure diverse and equitable global representation</li> </ul>
	<ul> <li>Include local experts in guideline committees, decision-making, research calls</li> </ul>
	<ul> <li>Include sessions devoted to equity in their meetings and congresses</li> </ul>
	<ul> <li>Collect reliable data on disease burden, costs and inequities related to CKD</li> </ul>
	- Generate a shift of mind from cure to screening and prevention, by engaging with policy makers,
	those involved in healthcare design, and funders
	<ul> <li>Achieve harmonization among countries by support and exchange of information</li> </ul>
	<ul> <li>Create patient education materials adapted for language and culture</li> </ul>
	- Advocate at regional and global level
General educators	<ul> <li>Ensure all children, both boys and girls, have access to quality education</li> </ul>
	- Include health in education
	- Promote healthy lifestyle through education about food, exercise, smoking, alcohol etc
	<ul> <li>Provide healthful food and sport opportunities in schools</li> </ul>

Medical educators	- Ensure diversity among educators
	- Include inequities in the educational curricula
	- Improve teaching about kidney diseases and especially their diagnosis and prevention
	- Consciously act as role models
Researchers, developers	- Develop therapeutic options that are affordable for all
	- Ensure that newly promoted approaches are made available and evaluated across subsets where
	inequities may occur
	<ul> <li>Prioritize research that focuses on equity and accessibility of kidney care in disadvantaged populations</li> </ul>
	- Prioritize research on health illiteracy
	- Develop and study approaches to prevent CKD or progression of CKD
	- Be conscious of the risks of research in exacerbating inequities
	<ul> <li>Include patients with kidney diseases in clinical trials, including in non-kidney areas (e.g. cardiology, oncology)</li> </ul>
	<ul> <li>Ensure diverse representation of patients included in clinical trials</li> </ul>
	<ul> <li>Consider ethnic and sex/gender aspects in clinical trials, drug metabolism, patient reported</li> </ul>
	outcomes
Pharmacological and medical technology	- Develop therapeutic options that are affordable for all
sectors	- Develop and study approaches to prevent CKD or progression of CKD
	- Being transparent on investments in therapy development and real cost of therapies
	<ul> <li>Apply fair prices and fair profit principles</li> </ul>
	- Register and distribute therapeutic products (e.g. peritoneal dialysis fluids) in all countries
Governments, administrations, insurers	<ul> <li>Acknowledge CKD as a public health problem</li> </ul>
	- Collect reliable data on disease burden, costs and inequities related to CKD to support priority setting
	<ul> <li>Negotiate fair price setting of medications</li> </ul>
	- Favour affordable therapies and therapies with high value-for-money, without further exacerbation
	of inequities
	<ul> <li>Aim for progressive expansion of universal health coverage</li> </ul>
	- Generate a shift of paradigm from focus on cure towards focus on screening and prevention
	<ul> <li>Achieve harmonization among countries by support and exchange of information</li> </ul>
	<ul> <li>Apply reimbursement models that disincentivize cherry picking</li> </ul>
	<ul> <li>Stimulate and finance research on health inequities and health illiteracy</li> </ul>

### GLOBAL

geography – standing – lack of solidarity - power – industry – economics – false information – leadership - trade-offs

### COUNTRY

Income group - leadership - healthcare policies – affordability/accessibility of quality therapeutics – transparency – data collection/reporting – neglect

## COMMUNITY

 neighbourhoods – transportation – social networks – public health – distance to health facilities – home support – mistrust – lack o solidarity – discrimination – minoritization – prejudices – food deserts

# **HEALTH SYSTEM**

Universal health coverage - availability – accessibility – acceptability - capacity prioritization strategies - triage – funding – public health strategies – logistics – outreach – health care worker burnout – public - private

## INDIVIDUAL

race/ethnicity - age – birth weight/gestational age - genetic predisposition – immunological reactivity – propensity to infection – lifestyle adherence - comorbidities - poverty – food insecurity - employment/type - education - family structure - isolation - citizenship - migrant status language - culture – sex/gender – insurance – health illiteracy

In-centre haemodialysis - home dialysis transplantation – conservative management



