

# LIPOSOMES AS VEHICLES FOR TOPICAL OPHTHALMIC DRUG DELIVERY AND OCULAR SURFACE PROTECTION

## ABSTRACT (maximum 200 words)

**Introduction:** The development of ophthalmic formulations able to deliver hydrophilic and hydrophobic drugs to the inner structures of the eye and restore the precorneal tear film has been a leading topic of discussion over the last few years. In this sense, liposomes represent a suitable strategy to achieve these objectives in ocular drug delivery.

**Areas covered:** Knowledge of the different physiological and anatomical structures of the eye, and specially the ocular surface are critical to better understanding and comprehending the characteristics required for the development of topical ophthalmic liposomal formulations. In this review, several features of liposomes are discussed such as the essential materials used for their fabrication, basic structure and preparation methods, from already established to novel techniques, allowing the control and design of special characteristics. Besides, physicochemical properties, purification processes and important strategies to overcome delivery or encapsulation challenges are also presented.

**Expert opinion:** Regarding ocular drug delivery of liposomes, there are some features that can be re-designed. Specific biocompatible and biodegradable materials presenting therapeutic properties, such as lipidic compounds or polymers significantly change the way of tackling ophthalmic diseases. Besides, liposomes entail an effective, safe and versatile strategy for the treatment of diseases in the clinical practice.

**Keywords:** Ocular topical liposomes dry eye, ocular surface, ophthalmology, ocular drug delivery.

### Article highlights box:

- The precorneal tear film preserves ocular surface integrity, cornea and conjunctiva.
- Corneal low permeability entails a challenge to deliver active substances that target both the anterior and posterior segment of the eye.
- Liposomes are biocompatible and biodegradable lipid-made spherical vesicles that resemble cell membranes able to permeate and deliver both hydrophilic and hydrophobic drugs.
- The use of liposomes with similar components to those present in the precorneal tear film entails a novel strategy in the treatment of dry eye disease.
- Methods based on ethanol injection and microfluidics resulted the best options for liposome scaling-up due to their feasibility, robustness and optimization potential.
- Technological strategies such as the incorporation of bioadhesive biocompatible polymers or positively charged phospholipids help to increase mucoadhesion, retention time and permeation of liposomes in the cornea.
- One of the major issues that limits the use of liposomal formulations is the sterilization. A combination of sterilizing filtration and cold methods seems to be the most suitable alternative to industrial fabrication of liposomes.
- A simultaneous administration of topical ophthalmic liposomal formulations with supplements, such as vitamins or fatty acids, represent an important strategy for the recovery of the tear film lipid layer in ocular surface pathologies such as the dry eye syndrome.
- Development of technological strategies that increase the stability of liposomal dispersion is required.

- 53 • The attachment of highly specific biomolecules to the liposomal surface and using  
54 intrinsic therapeutic materials might entail the next generation of nano-liposome  
55 formulations.

ACCEPTED MANUSCRIPT

56 **1. Introduction.**

57 The development of drug delivery systems for the treatment of ocular diseases  
58 is a great challenge mainly owing to the numerous mechanisms of eye protection  
59 against exogenous substances that act as effective barriers hindering the entry of  
60 drugs [1].

61 Anatomically, the eye can be divided into anterior and posterior segments. The  
62 anterior segment is formed by the first third of the eyeball, made up of structures  
63 such as the cornea, conjunctiva, iris, ciliary body, the lens and aqueous humor. The  
64 most important pathologies related to the anterior segment include dry eye disease,  
65 cataracts, conjunctivitis and keratitis. On the other hand, the posterior segment  
66 encompasses the retina, optic nerve, choroid, and vitreous humor. It can be affected  
67 by diseases that cause a significant damage in vision, including irreversible  
68 blindness, such as glaucoma, age-related macular degeneration and diabetic  
69 retinopathy, among others [2]. The treatment of the majority of ocular pathologies,  
70 requires that the drug overcomes anatomical barriers such as the cornea,  
71 conjunctiva, sclera and retina, and the blood-aqueous and blood-retinal barriers. If  
72 the formulation is administered topically physiological barriers such as eye drainage,  
73 blinking, dilution in tears and blood and lymphatic flow also limit the access of  
74 ophthalmic drugs to the intraocular target tissues.

75 The routes of ocular drug administration differ according to the desired site of  
76 action. The most frequent treatments of the anterior segment diseases consist in  
77 the topical administration of eye drops on the ocular surface, which has important  
78 advantages over the systemic route: Less toxicity, quicker onset of action and less  
79 dose required. Furthermore, the topical route is less invasive than other routes of  
80 ocular administration. However, the main problem is often the low bioavailability of  
81 the topical administration if the drug has to reach intraocular targets: It is estimated  
82 that only 5% of the administered drug reaches the aqueous humor [3][4][5]. For the  
83 treatment of posterior segment diseases, the challenge is much greater. Drugs  
84 administered by the topical route do not achieve the target site as easily due to the  
85 ocular barriers, so intravitreal and periocular administrations such as intravitreal,  
86 subconjunctival and retrobulbar injections are preferred. As a drawback, these  
87 routes must be used repeatedly to maintain therapeutic drug levels, which entails  
88 numerous adverse effects[5][6][7][8].

89 The main limitations for the topical ocular administration are the tear drainage  
90 and dilution of the eye drops, the low residence time of the formulation, the poor  
91 corneal and conjunctival absorption of the drug and the drug loss in systemic  
92 circulation. To improve topical ocular bioavailability, several resources are used to  
93 increase penetration and minimize drug loss (i.e., use of polymers that increase  
94 viscosity and mucoadhesion). Another alternative involves the use of drug delivery  
95 systems to enhance ocular delivery, such as nanosystems or microsystems capable  
96 of raising the bioavailability of the active substance and providing a controlled and  
97 sustained drug release [3][9][10].

98 Micro- and nanotechnologies are widely used for the development of controlled  
99 drug delivery systems because they are able to protect the drug from external  
100 factors and increase its bioavailability. Some of these systems include  
101 microparticles, nanoparticles, nanoemulsions, microemulsions and liposomes.

102            Microparticles (MPs) are drug delivery systems with sizes between 1 and 1000  
103             $\mu\text{m}$ . For ophthalmic use, the biodegradable polymers polylactic acid (PLA),  
104            polyglycolic acid (PGA) and poly (lactic-co-glycolic acid) (PLGA) are the most  
105            commonly employed. Microparticles can be classified into microspheres and  
106            microcapsules depending on whether the active ingredient is dispersed in the  
107            polymeric matrix (microspheres) or is surrounded by the polymeric membrane  
108            (microcapsules) [11]. Microparticles are under investigation for the intraocular  
109            administration of drugs whose objective is the treatment of diseases affecting the  
110            posterior segment of the eye [5]. They have the advantage that can be injected as a  
111            suspension in a physiological vehicle, thus allowing the sustained release of the  
112            drug and therefore the reduction of the number of administrations, which supposes  
113            a reduction of the risks associated to repeated interventions. Furthermore,  
114            bioadhesive polymers such as hydroxypropyl methylcellulose (HMPC) or hyaluronic  
115            acid (HA) can be used to increase the viscosity of the vehicle and enhance  
116            injectability [11]. Nanoparticles (NPs) are smaller in size (1-1000 nm) and can be  
117            also classified into nanospheres and nano capsules depending on their structure.  
118            The small size of nanoparticles makes them available to be easily taken up by cells  
119            and being used to treat retinal pathologies. When used for topical administration,  
120            their small sizes also reduce eye discomfort and improves their contact and  
121            retention time with the ocular surface, onto the ocular surface [11]. However, they  
122            have a more limited sustained release capacity when comparing to microparticles.  
123            Microemulsions (ME) and nanoemulsions are capable of incorporating hydrophilic  
124            and lipophilic drugs. They are made up of an aqueous phase, an oily phase and  
125            surfactants combined in different proportions allowing the system to be stabilized.  
126            That is the reason why ME are normally considered thermodynamically stable  
127            systems. Thanks to the small droplet sizes (<150 nm) and due to its low viscosity  
128            and surface tension these pharmaceutical systems spread easily over the ocular  
129            surface, making it a good alternative for topical administration. In addition, positively  
130            charged components can be added to increase the retention time in the cornea after  
131            topical administration [5][11].

132            Liposomes are lipidic spherical vesicles biocompatible and biodegradable  
133            formed by lipid bilayers with a size range between 10 nm and 10  $\mu\text{m}$ . Its lipid bilayer  
134            structure surrounding an aqueous core allows the incorporation of both hydrophilic  
135            and lipophilic active substances. In this way, the hydrophilic drugs can be entrapped  
136            inside the liposomes or dissolved in the vehicle in which the vesicles are dispersed,  
137            while the lipophilic ones are incorporated in the lipid bilayers. Several factors are  
138            important in determining the effectiveness of liposomal formulations, such as the  
139            properties of the encapsulated active substance, the size of the liposomes and their  
140            charge. The use of liposomes for the treatment of ocular diseases has been widely  
141            studied due to their good tolerance and their capacity to increase both hydrophilic  
142            and lipophilic drugs penetration when applied topically. This is due to their ability to  
143            interact with eye tissues such as the cornea. Liposomes present various alternatives  
144            for drug release, being able to increase the retention time of drugs on the ocular  
145            surface, as well as providing a sustained release after their administration. For  
146            example, lipid nanosystems and liposomes in combination with siRNA (lipoplexes)  
147            silencing specific genes has been employed for treating some degenerative  
148            diseases of the posterior segment of the eye such as diabetic retinopathy through  
149            injection [12]. Furthermore, the topical administration of liposomal formulations does  
150            not require the use of invasive methods [11][13][14]. Besides, apart from being used  
151            as drug delivery systems, liposomes have been also developed to be used as  
152            artificial tears, demonstrating their ability to restore the lipid layer of the tear film,  
153            improving the symptoms of pathologies such as dry eye disease (DED) [15].

154 The following sections will review the improvements provided by the use of  
155 liposomes in topical ocular administrations, including their composition,  
156 technological requirements and methods of preparation and encapsulation of drugs  
157 with different properties. In addition, the efficacy of the several developed  
158 technological strategies to enhance topical bioavailability and its extended use to  
159 different types of active substances will be discussed. In this review, the role of  
160 liposomes in tear film recovery and the future prospects in this area will also be  
161 emphasized.

## 162 2. The ocular surface

### 163 2.1. Precorneal tear film

164 The precorneal tear film is constituted by a thin layer that broadens all  
165 over the ocular surface, including cornea, conjunctiva and sclera. This  
166 structure plays a decisive function in nurturing and protecting the eye  
167 surface. The tear film is composed of an aqueous mucinous gel covering by  
168 a lipid layer [16].

169 The aqueous layer is secreted by the lacrimal gland and accessory  
170 lacrimal tissues. Its composition includes salts, glucose, urea, albumin and  
171 immune proteins that help to protect the ocular surface [17][18]. The function  
172 of the aqueous layer is extremely important providing the cornea with  
173 nutrients and oxygen as well as eliminating foreign bodies and toxins from  
174 the ocular surface. Furthermore, there are several proteins that play an  
175 important antimicrobial role, such as lysozyme that contributes to the stability  
176 of the tear film, or lactoferrin [19]. Other proteins present in the precorneal  
177 tear film are antibodies, such as Immunoglobulin A (IgA) immunoglobulin G  
178 (IgG) and immunoglobulin M (IgM) [18]. IgA is the main immunoglobulin  
179 present in the preocular tear film (10–80 mg/dL) playing an important role in  
180 immunity protecting against viruses, bacteria and parasites[18]. Besides,  
181 lipocalin is worth to be mentioned due to its binding properties to lipids in  
182 tears [17].

183 Mucins present in the preocular tear film are divided in membrane  
184 associated mucins and secreted mucins. Secreted mucins are divided into  
185 gel-forming and soluble mucins. The presence of soluble mucins has been  
186 shown to play an important role in tear film extensibility due to its ability to  
187 reduce surface tension[18][20]. Gel-forming mucins, which can reach  
188 molecular weights of 40 MDa, provide the necessary rheological properties  
189 that allow to adjust the viscosity of the preocular tear film when blinking [21].  
190 One of the most important gel-forming mucins is MUC5AC, which is  
191 produced by conjunctival caliciform cells and grant the hydration of the  
192 ocular surface. The membrane associated mucins including MUC1, MUC4,  
193 and MUC16 are anchored to the plasma membrane of corneal and  
194 conjunctival epithelial cells via their hydrophobic terminal transmembrane  
195 domain. In addition, they form a glicocalix which main function appears to be  
196 the anchoring of a layer made out of secreted mucins. Secreted mucins are  
197 hydrophilic and negatively charged, which favors a repulsion that allows the  
198 secreted ones to slide over the epithelial mucins. In addition, this facilitates  
199 the sliding of the eyelid without adhesion to the epithelium. All these creates  
200 a lubricating layer on the ocular surface [17][22][23].

201

202 High molecular weight mucins along with proteins confer the tear film a  
203 non-Newtonian viscoelastic behavior, regulating tear viscosity when blinking  
204 and thus protecting the surface [24]. They are synthesized by the corneal  
205 and conjunctival epithelium as well as conjunctival goblet cells. The  
206 presence of terminal residues such as sialic acid gives to these glycoproteins  
207 a high negative charge, which favors the movement of mucins on the surface  
208 and helps to repel pathogens from the epithelium. Further, the high content  
209 of sialic acid could favor the blocking of the adhesion of pathogenic bacteria  
210 by binding to the adhesins to which these pathogens bind [18][25].

211 The production and volume management of tears is controlled by the  
212 lacrimal gland and accessory lacrimal tissues as previously mentioned and  
213 also by regulating the water flow through the cornea. Fluid removal occurs  
214 through drainage caused by the eye blink and evaporation. Thus, when the  
215 ocular surface is exposed to adverse environmental conditions evaporation  
216 occurs with a consequent increment in the tear tonicity. These events will  
217 create a flow of water through the corneal epithelium due to the channels of  
218 aquaporin, recovering the initial tone [17][26].

219 The lipid layer is the outermost layer of the preocular tear film and has  
220 been widely associated to the reduction of the surface tension favoring the  
221 spread of the tear film over the entire surface and the protection against tear  
222 evaporation [22][27]. Its production occurs in the Meibomian glands [24][28],  
223 and include a complex variety of lipids. The tear lipidome contains  
224 amphiphilic and nonpolar lipids which have different function. The group of  
225 amphiphilic lipids is composed of phospholipids including  
226 phosphatidylcholine, lysophosphatidylcholine and phosphatidylethanolamine  
227 and others such as sphingolipids [28][29][30]. Amphiphilic lipids appear to  
228 form a sublayer capable of interacting with polar and nonpolar tear  
229 compounds. The polar heads are oriented towards the aqueous layer and  
230 the apolar ones interact with the non-polar lipids. In this way, the amphiphilic  
231 sublayer allows the formation of a stable non-polar lipid sublayer on the  
232 surface and its spreading [30][31]. Non-polar lipid sublayer comprehends  
233 mainly wax esters, cholesteryl esters, and triglycerides. This sublayer is  
234 directly in contact with the air and when it is in proper amounts prevents the  
235 evaporation of aqueous layer. If the lipid layer is destabilized, aqueous  
236 evaporation increases, causing pathologies such as dry eye disease (DED)  
237 [31][32].

238 The stability of the lipid film is also related to the presence of proteins.  
239 An example of this is lipocalin, which as previously mentioned plays an  
240 important role related to the lipid layer. Tear lipocalin (TLc) is able to bind  
241 lipids such as cholesterol, fatty acids and phospholipids enabling its  
242 solubilization and transport. Therefore, lipocalin acts as a scavenger  
243 removing lipids from the corneal surface and transporting them to the lipid  
244 layer stabilizing the tear film by reducing the surface tension [27][30][33][34].  
245 Lipocalin also binds with lipids and reinforces tears viscosity [24][35].

246

247

248

249 **2.2. Cornea and conjunctiva**

250 The cornea, a transparent tissue with refractive properties [36], is the  
251 central structure of the ocular surface. It performs essential functions such as  
252 allowing vision, protecting against damage and preventing infections [37].  
253 The cornea is divided in five layers: Epithelium, Bowman's layer, stroma,  
254 Descemet's membrane and endothelium [38][39].

255 The stratified, scaly and not keratinized corneal epithelium is composed  
256 by 5 to 7 layers. The deepest layer is composed of basal cells with mitotic  
257 properties, followed by wing cells and finally superficial cells [40]. One of its  
258 characteristics is that it is constantly renewed [41]. It is responsible for  
259 protecting the cornea and can rebuilt itself after injury by sliding epithelial  
260 cells to cover the region followed by a mitotic process [42][43]. In addition,  
261 epithelial corneal cells express aquaporin-5 channels in charge of  
262 transporting water through the epithelium [44]. The epithelial basement  
263 membrane (BM), with a high content of type IV collagen and laminin  
264 produced by the basal cells [41][43], is located between the corneal  
265 epithelium and the stroma and regulates the levels of cytokines and growth  
266 factors in both of them. In addition is in charge of the adhesion of epithelial  
267 cells to the stroma and is involved in the migration, proliferation, and  
268 differentiation of epithelial cells [42] [45].

269 Bowman's layer is an acellular structure formed by collagen which has  
270 no regenerative capacity. It represents the superficial layer of the stroma,  
271 enclosed by the basement membrane and the anterior stroma. This layer  
272 appears to form as a result of the interaction between the corneal epithelial  
273 cells and the stromal keratocytes [39][46]. It provides protection to  
274 subepithelial nerve plexus [47].

275 The stroma represents 90% of the corneal thickness [39]. It is a highly  
276 innervated layer [47] composed of collagen (the major component disposed  
277 in regular lamellae), keratocytes and proteoglycans such as lumican and  
278 keratocan. The characteristic distribution allows light to pass through the  
279 collagen and prevents its dispersion [39][48]. This process is possible  
280 because proteoglycans interact with collagen (type I, IV and XII), allowing  
281 collagen fibrils to maintain their position [49]. Keratocytes, arranged among  
282 the lamellae, are in charge of synthesizing the components of the stroma.  
283 Moreover, they can respond to signals from corneal epithelial cells, going  
284 into apoptosis or activate into reparative phenotypes in the presence of  
285 damage [50].

286 Descemet's membrane is composed of an anterior layer formed by  
287 collagen and a posterior layer secreted by the endothelium, which thickens  
288 over time [39]. The presence of type IV and VIII collagen is characteristic  
289 in this membrane, forming a hexagonal grid. Its function is to maintain corneal  
290 hydration and protect the endothelium. Furthermore, it seems to have  
291 resistance capacity against intraocular pressure [51][52][53].

292 The deepest corneal layer is the endothelium, a single layer of  
293 hexagonal cells [39]. Although endothelial cells have proliferative capacity, it  
294 is too slow to replace cell loss, so the number of these cells decreases with  
295 age [54][55]. Its main function is to regulate the hydration of the stroma,  
296 allowing the transparency of the cornea to be maintained. The underlying  
297 mechanisms by which it is regulated involves the presence ionic pumps [56].  
298 Also, the aquaporin-1 channels present in the endothelium have been  
299 suggested as responsible for regulating the transport of water through the  
300 endothelium and as a key to preventing corneal edema [44].

301 With regard to conjunctiva, it is a thin transparent mucous layer. Unlike  
302 the cornea, the conjunctiva is highly vascularized. It covers the sclera, which  
303 is made up of collagen fibrils and proteoglycans as well as the stroma, and  
304 the inner part of the eyelids. According to its location, it is divided into two  
305 areas: bulbar and palpebral conjunctiva. Bulbar conjunctiva covers the  
306 anterior part of the eye and surrounds the cornea. Palpebral conjunctiva is in  
307 charge of covering the back of the eyelids [40][57]. Conjunctival structure is  
308 formed by the epithelium with 3–5 cell layers resting on the basal membrane  
309 and the lamina propria. The lamina propria is composed of connective tissue  
310 and is highly vascularized. The conjunctival epithelium is separated from the  
311 corneal epithelium by the limbal epithelium and contains two main types of  
312 cells: stratified squamous cells and goblet cells. Both types of cells appear to  
313 be regulated by growth factors, and while the stratified squamous cells  
314 secrete water and electrolytes, the goblet cells, as mentioned above, are  
315 responsible for the secretion of mucins present in the tear film. This function  
316 is essential to maintain a correct lubrication of the eye, and its reduction may  
317 be responsible for pathologies such as dry eye disease [58][59][60][61].  
318 Moreover, it has been shown the presence of Langerhans cells involved in  
319 the immune response, capable of migrating to the cornea when inflammation  
320 of the conjunctiva occurs [62]. Another important feature of the conjunctiva is  
321 the presence of the conjunctival associated lymphatic system (CALT)  
322 attached to the immune protection of the ocular surface. It is composed of  
323 lymphoid follicles as accumulations of B lymphocytes and follicular dendritic  
324 cells, specialized vessels, intraepithelial lymphocytes and a lymphoid layer  
325 located in the lamina propria which contain lymphocytes, mainly T cells, and  
326 plasma cells which mostly produce IgA [63][64][65].

### 327 **2.3. Drug delivery across the ocular surface**

328 As previously mentioned, topical administration of drugs, whose site of  
329 action is usually the anterior segment of the eye, including the ocular surface  
330 presents low drug bioavailability. The passage of drug through the cornea  
331 allows it to reach internal tissues such as the iris, the ciliary body and the  
332 lens. Otherwise, conjunctival penetration allows the drug to enter tissues  
333 such as the sclera, the choroid, and even the retina.



334 For the ocular topical administration of drugs, the physiological role of  
335 the tear film must be taken first into account. The tear film has a volume of 7  
336  $\mu\text{l}$  and a restoration time of 2 to 3 minutes. The maximum volume of eye  
337 drops that the eye can contain is 30  $\mu\text{l}$ , which means that a limited volume of  
338 the ophthalmic formulation can be deposited in the eye. In addition, most of  
339 the eye drops are eliminated rapidly from the human eye surface due to  
340 blinking and tear turnover: 16% of the tear will be replenished in one minute.  
341 This means that less than 5% of the drug reaches the intraocular tissues due  
342 to the short time retention, which supposes a great loss of drug. [66][67][68].  
343 The mucin layer attached to the corneal surface presents hydrophilic  
344 properties, and also present a negative charge due to its composition. Thus,  
345 the use of positive charged delivery systems implies an increase in the  
346 residence time on the surface, and therefore in its permeability [69].

347 Regarding the passage through the cornea, its low permeability and  
348 small surface area also becomes a challenge. Drugs can pass through the  
349 cornea via the transcellular or paracellular routes. The former involves  
350 dealing with the different layers of the tissue that act as a barrier. The  
351 corneal epithelium is a lipophilic layer, which supposes a resistance to the  
352 penetration of hydrophilic molecules. The corneal stroma composed of  
353 collagen fibrils has hydrophilic properties, making it difficult for lipophilic  
354 molecules to pass through. Endothelium, the barrier among the stroma and  
355 aqueous humor, as well as epithelium, is a lipophilic layer. With regards to  
356 the paracellular route, in the epithelium the superficial cells have a small  
357 junction space that hinders the paracellular penetration of the drug.  
358 Nevertheless, in the endothelium the leaky junctions between the cells are  
359 easier for macromolecules to traverse between stroma and aqueous humor,  
360 being less limiting than the epithelium. Accordingly, the main barriers for  
361 hydrophilic and lipophilic substances are the epithelium and stroma  
362 respectively [66][70].

363 The ability of drugs to cross the cornea is conditioned by the size and  
364 the distribution coefficient of the active substance. The higher the diffusion  
365 coefficient, the greater the importance of the transcellular pathway. For  
366 values of distribution coefficient between 0,01-10, the pass through the  
367 lipophilic epithelium and endothelium becomes more viable. When the value  
368 is higher than 10, almost all the passage occurs through the transcellular  
369 route and the stroma becomes the limiting barrier. This is the reason why  
370 when the distribution coefficient is too large the permeability stops  
371 increasing. However, in the case of solutes with a low distribution coefficient,  
372 that is, substances with a hydrophilic nature, the main impediment is the  
373 epithelium and the main passage through the cornea is the paracellular  
374 route. In this sense, the passage of hydrophilic substances depends on their  
375 size or molecular weight, being this process easier for small solutes with a  
376 molecular weight less than 500 Da, and especially difficult for  
377 macromolecules [71][72][73][74]. After penetration through the cornea, the  
378 drug will reach the intraocular tissues. First, the drug reaches the aqueous  
379 humor, from where it will pass to the intraocular tissues of the anterior  
380 segment. By this way, the drug will have to go through the anterior segment  
381 to reach the posterior segment [75].

382

383 Absorption through the conjunctiva is less productive due to the  
384 presence of blood and lymphatic vessels that cause a loss of the drug  
385 through the systemic circulation. Blood and lymphatic clearance are  
386 important dynamic barriers for the administration of drugs through the eye. It  
387 has been observed that the clearing produced by the blood and lymphatic  
388 vessels is related to the size of the drugs, being easier the elimination of  
389 small molecules [66]. When the drug enters the palpebral conjunctiva, a  
390 systemic absorption occurs. However, when it is absorbed through the  
391 bulbar conjunctiva which covers the sclera, the majority is lost in the  
392 systemic circulation but a small part of it passes to the intraocular tissues,  
393 being postulated as a possible via for the topical posterior segment treatment  
394 [70][76][77]. The sclera, with a similar composition to the corneal stroma,  
395 owns hydrophilic properties. In addition, because the negatively charged  
396 proteoglycans, the passage of positively charged molecules thought this  
397 layer is hindered by their binding to them [78][79].

398 Apart from passive diffusion, the presence of efflux and influx membrane  
399 transporters in the corneal and conjunctiva cells also plays an important role  
400 in drug delivery. The efflux transporters are responsible for decreasing  
401 bioavailability expelling the molecules out of the cells. Examples of efflux  
402 transporters are P-glycoprotein (P-gp), Breast Cancer Resistance Protein  
403 (BCRP) and Multidrug resistance protein (MRP). P-gp is a transporter of  
404 lipophilic molecules, which reduce the absorption of lipophilic drugs.  
405 Otherwise, MRP transporter effluxes organic anions and conjugated  
406 substances and BCRP transporter is also related to drug resistance [66][68].  
407 On the other hand, the role of influx transporters is related to the transport  
408 through the membrane of nutrients and xenobiotics, so they are capable of  
409 transporting drugs with targeted modifications [70]. There are many types of  
410 influx transporters identified in ocular tissues, such as vitamins, glucose,  
411 nucleoside and monocarboxylate transporters. Among those, peptide and  
412 amino acid transporters are widely applied in ocular drug delivery.  
413 Transporter knowledge enables the development of targeted prodrugs  
414 capable of being recognized by carriers as substrates increasing ocular  
415 absorption [70][80][81][82].

### 416 3. Development and technological aspects of liposomes

#### 417 3.1. Components and structure

418 As previously mentioned, liposomes are defined as spherical vesicles  
419 composed of lipid bilayer membranes dispersed in an aqueous solution or  
420 buffer [83]. The composition of such membranes can be tailored depending  
421 on the different physicochemical properties or characteristics that are  
422 required for the system. Normally, one type of phospholipid or a combination  
423 is chosen to engineer the liposome basic structure. All these constitutes the  
424 basic scaffold for adding the rest of the components including excipients,  
425 drugs or other substances.

426           Regarding ocular topical administration, soy phosphatidylcholine [84]  
427 and other phospholipids such as dioleoylphosphatidylglycerol (DOPG) have  
428 been employed due to their low immunoreactivity and benefits to corneal  
429 regeneration [85]. Besides, it is worth mentioning that soybean  
430 phosphatidylcholine is one of the most commonly used and interesting  
431 phospholipids, since contains phosphatidylcholine, the most common  
432 phospholipid present in cell membranes and incorporates a remarkably wide  
433 and rich profile of fatty acids, such as palmitic (C16:0), stearic (C18:0), oleic  
434 (C18:1), linoleic (C18:2), and linolenic (C18:3). Some of them are  
435 unsaturated, that means that might provide an antioxidant effect for the  
436 ocular surface and the formulation itself [86].

437           Another essential component that stabilize liposomal membranes and  
438 provides bilayer rigidity is cholesterol [87]. In fact, cholesterol was previously  
439 described as a stabilizer of intermolecular forces between phospholipids  
440 improving stability and avoiding dispersion in liposomes [88]. According to  
441 the total amount or number of bilayers present as well as their size  
442 distribution, liposomes can be classified in multilamellar vesicles (MLVs) and  
443 unilamellar vesicles (ULVs). ULVs are also subdivided into small unilamellar  
444 vesicles (SUVs) and large unilamellar vesicles (LUVs) [83]. MLVs are  
445 commonly obtained in the first steps of liposome fabrication, being reduced  
446 up to LUVs or SUVs by mechanical procedures. Despite that, MLVs are  
447 composed of different superposed bilayers with diameters between 1-50  $\mu\text{m}$ .

448           On the contrary, SUVs and LUVs only contain a single lipid bilayer but  
449 differing in the vesicle size. Furthermore, while SUVs tend to have 20-100  
450 nm sizes, LUVs are in the range of 100 nm - 1  $\mu\text{m}$  of diameter. Besides, a  
451 fourth type of liposomes has been proposed, giants unilamellar vesicles  
452 (GUVs). GUVs like MLVs, approaches to 1-50  $\mu\text{m}$  but unlike them they are  
453 composed of a single lipid bilayer (Figure 2). Therefore, it could be said that  
454 GUVs share properties according to size of the different types of the above-  
455 mentioned vesicles, particularly MLVs and LUVs [89].

### 456   **3.2. Methods for liposome preparation**

457           Several manufacturing procedures can be used for liposome  
458 preparation. Some of them have been widely used for decades, and others  
459 that have recently become of great interest. Before getting into any method,  
460 it is important to note that in any selected method the phase transition  
461 temperature ( $T_c$ ) of the phospholipids is critical in order to successfully  
462 prepare the liposomal dispersion. Working conditions below  $T_c$  and in  
463 particular while re-hydration and extrusion, could hamper the process and  
464 avoid the lipid mixture to go from gel state into the preferred 'fluid' or  
465 crystalline state [90]. For example,  $T_c$  of DOPG is  $-18\text{ }^\circ\text{C}$  and soy  
466 phosphatidylcholine  $-20\text{ }^\circ\text{C}$  to  $-30\text{ }^\circ\text{C}$ , but DMPC (dimyristoyl  
467 phosphatidylcholine) or DPPC (dipalmitoyl phosphatidylcholine) have  $23\text{ }^\circ\text{C}$   
468 and  $41\text{ }^\circ\text{C}$   $T_c$  respectively [91]. In this section, different methods are  
469 described as well as their applications, optimization, advantages and  
470 disadvantages.

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### Lipid film rehydration

Perhaps, one of the most famous methods for liposome preparation is the film rehydration method, first described by Bangham et al. where a lipid mixture is dissolved in an organic solvent to be later evaporated under vacuum ('Bangosomes'). Afterwards, the dry lipid film is rehydrated in a buffer solution forming the typical and well-known multilayer structures called liposomes [92].

Cholesterol is commonly added to provide rigidity to the membranes [84]. Normally, film rehydration methods tend to yield MLVs and that is why extrusion, freeze thawing and sonication methods are needed to homogenize sizes and stabilize the dispersion [93].

On one hand, the main benefits of using lipid film rehydration are the simplicity of the process and its capability of being used with different types of lipid mixtures. On the other hand, the main difficulties associated are poor encapsulation ratios of drugs associated to its chemical properties, low vesicle size homogeneity and the need of other techniques to tackle the issue and problems in industrial up-scaling [94].

### Reverse-phase evaporation method

The reverse-phase evaporation technique first intends to form a two-phase system composed of inverted micelles in an aqueous phase or a water in oil (W/O) emulsion, and an organic phase such as chloroform, ethanol, methanol or a combination of those. Sometimes can be hard to distinguish this method from the lipid film rehydration since the first steps are usually the same. In the reverse-phase evaporation method, when the lipid film is formed in the rotary evaporator, an organic solvent and a buffer are added. Then the organic solvent is again removed by the rotary evaporator. Finally, the liposomal sample can undergo other processes discussed in the rest of the section, such as sonication, extrusion or freeze-thawing to obtain the desired liposomal dispersion [95]. Currently there are improved versions of this technique that have been further optimized through supercritical fluid technology. If a supercritical fluid is use, it dissolves the lipid film and while the aqueous buffer is added the solvent is completely removed. Supercritical CO<sub>2</sub> is one of the best supercritical fluids that could be chosen for this method due to its environmentally friendly properties [96].

### Dehydration-rehydration method

This method aims to develop new liposomes by fusion of already made liposomes. It uses dehydration and controlled rehydration in order to obtain MLVs and SUVs. With this technique large molecules such as DNA could be entrapped achieving high loading ratios. It was also described that encapsulation of small molecules is unstable [97]. Furthermore, liposomes are normally centrifuged, freeze-dried and slowly undergo a very controlled rehydration. The loss of lipids and materials during the different cycles can alter the osmotic conditions of the dispersion, thus changing concentrations and activity of entrapped compounds [98].

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#### Freeze-thaw method

Freeze-thawing is a widely known technique that is generally is utilized in MLVs in order to increase their encapsulation efficiency or drug loading. This process occurs because in every freeze-thawing step MLVs are destroyed and reassembled again, thus decreasing the number of layers in every step. Normally the liposomal dispersions are immersed in a cooling bath or a freezer with a temperature range from -20 to -70°C overnight. Finally, they can be introduced in a water bath at the desired optimized temperature or at room temperature [99].

#### Sonication

Ultrasounds have also been used to considerably homogenize and reduce the size of MLVs to form SUVs [100]. Normally the lipid mixture is achieved by means of an ultrasound bath or a sonication probe in order to achieve higher homogeneity ratios as well as smaller vesicles. The high pressures created by the ultrasounds violently breaks the vesicles that are spontaneously reassembled into small ones, forming SUVs. Furthermore, there is the inconvenience that, some metallic traces from the sonicator probe can stay in the sample, being difficult to be completely removed [101].

#### Ether and ethanol injection

Ether injection has been previously used to achieve single and homogeneous SUVs suspensions ranging from 100-300 nm [102]. Ether and ethanol injection consist of firstly prepare a lipid solution in ether, diethyl ether or ethanol and then slowly add it into an aqueous solution, normally containing a buffer that will finally form the liposomal dispersion [103] [104]. Ethanol injection together with microfluidics and micro emulsification are the chosen methods for scaling-up [105][106].

#### Calcium-induced liposome fusion method

This preparation method aims to obtain LUVs or even GUVs liposomes. The procedure is based on the fact that when SUVs interact with calcium, 'cochleate cylinders' structures are created by fusion of vesicles. Then, a planar sheet like figure is rolled in order to create circular structures [107]. Subsequently, ethylenediaminetetraacetic acid (EDTA) is added in order to create LUVs liposomal dispersions. As the main disadvantage, it is important to remark that this procedure can be only achieved with phospholipids that enclose an acidic nature.

#### Microfluidics

Microfluidics is a novel technology that as the name states, aims to manipulate fluids, such as lipid mixtures and aqueous solutions at micro or nano scale. This technique allows to monitor every parameter and therefore being able to control and adjust size distribution, polydispersity index and multi or mono-layered structures [108].

577 In comparison with other above-mentioned methods, microfluidics in  
578 general can be considered a novel technique that has provided significant  
579 advantages over other conventional methods. Thus, that allows to control  
580 sizes in a much more precise way and certain parameters such as flow rate  
581 as well as the ratios between injections of lipid mixtures and aqueous  
582 buffers. All these controlled features lead to obtain superior quality  
583 formulations and enhance drug loadings.

### 584 **3.3. Physicochemical properties of liposomes, liposomal formulations and** 585 **purification methods** 586

587 As previously mentioned, it is worth noting that liposomes are  
588 thermodynamically unstable systems. Therefore, physicochemical  
589 characterization is a critical step in order to ensure that drug loading, stability  
590 and biocompatibility of the developed formulation. All these physicochemical  
591 properties can be tailored in order to make them acceptable for ocular  
592 surface drug delivery.

#### 593 **3.3.1. Physicochemical properties**

##### 594 Size distribution and zeta potential measurements 595

596 Normally, size depends on the type of liposomes that have been  
597 developed (GUVs, MLVs, LUVs or SUVs) according to the different  
598 procedures shown before. The ideal method for measuring size distribution  
599 is DLS (Dynamic Light Scattering), although cryo-TEM (cryo-Electron  
600 Transmission Microscopy) can be also used. The size and number of layers  
601 will affect drug loading as well as entrapment efficiency, depending on the  
602 nature of the loaded drug [109]. As mentioned in previous sections,  
603 extrusion, sonication or freeze thawing are some of the most effective  
604 methods to reduce size and increase homogeneity in size distribution [99].

605 Zeta potential determine the overall charge of the particles; therefore, it  
606 is going to play an important function when topical liposomes are in contact  
607 with the epithelial barrier and interact with cell membranes. Cells  
608 membranes are negatively charged, so in order to improve the pass of  
609 liposomes through membranes some cationic lipids or surfactants can be  
610 used. However, special care should be taken when adding cationic  
611 substances since they have been described as potentially toxic for the  
612 ocular surface [110].  
613

614 According to some studies SUVs present the highest permeation ratio  
615 through the corneal epithelial barrier while MLVs the lowest [14]. Besides,  
616 some studies with the lipophile fluorophore cumarin-6 have demonstrated  
617 that liposomes around 190-200 nm pass through every corneal epithelial  
618 layer and are able to reach the stroma [111]. Regarding topical ophthalmic  
619 administration, liposomes close to 200 nm are normally desired to deliver  
620 drugs to the ocular surface [112]. Besides sizes of liposomes carrying  
621 hypotensive drugs are between 100 - 200 nm [113]. Furthermore, liposomes  
622 with sizes between 100 and 200 nm have been studied for avoiding the  
623 mononuclear phagocytic system uptake [114].  
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### Morphology

Changes in liposome morphology can result in alteration of layers, liposome types (GUVs, MLVs, LUVs or SUVs) or even the drug loading efficiency. [115].

Optical microscopy is a good option when micrometric liposomes are developed. Fluorescence can be a proper tool to evaluate the presence of labelled proteins internalization in the inner aqueous compartment, particularly in GUVs [116]. However, a wide variety of them (SUVs and LUVs) are in the range of nanometers. Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) are not suitable techniques since freeze-dried samples and negative staining normally produce significant changes in structure and morphology of the vesicles. Besides, placing the sample in TEM grids dehydrate the sample and the high vacuum that experiment before taking the images break in many cases the liposomes and hamper the visualization of the structures [117]. Therefore, the most ideal technique and widely used for studying the morphology of liposomes is cryo-TEM which allows observation of the inner architecture and structure of liposomes [118].

When using Environmental scanning electron microscopy (ESEM) vesicles remains rehydrated during image acquisition. However, a main disadvantage entails the lack of information that can be achieved since only external structure can be analyzed [119]. Last but not least, atomic force microscopy (AFM) is presented as an interesting and useful method to study liposomes. AFM provides with information about the surface of liposomes and nanoparticles. In some studies AFM has been used to study in detail the attachment of certain antibodies, pegylated phospholipids or even polymers to the surface of the liposomes [120]. It entails a very useful method to find out whether specific bioadhesive polymers or potential therapeutic substances are fixed to the surface of the liposome [121].

### Viscosity

Generally, liposomal dispersions for ocular topical administration present low viscosity values that are close to those of the natural tears (1 to 8.3 mPa·s), since higher viscosity values might cause blurry vision and discomfort [35]. In some cases, the use of viscosity enhancers in liposomal ophthalmic formulations increased their retention on the ocular surface for longer periods of time. For this purpose, also bioadhesive polymers can be included in the formulations. These compounds can also interact with the mucins on the precorneal tear film increasing, by a complementary mechanism, the contact time of formulations on the ocular surface [122].

### Surface Tension

Ophthalmic formulations with surface tension values similar to those of the natural tears (43.6 +/- 2,7mN/m) show a proper spreadability when blinking [123]. Surface tension values must be close to the one of the precorneal tear film to ensure proper spreadability. Caution must be taken with low surface tension values because the inner structure of the precorneal tear film and the epithelium can be damaged [84]. One of the most important features that should be taken into account is that drugs and auxiliary substances may change surface tension properties of the liposomal formulation resulting in incompatibilities with the ocular surface [124].

685 It is well known that some components present in liposomal  
686 formulations, such as cholesterol and phospholipids (i.e., soy  
687 phosphatidylcholine) have relatively low surface tension values which result  
688 to be highly compatible with rather adhesive surfaces such as the ocular  
689 surface [125].

#### 690 Osmolarity

692 Isotonicity of formulations is a rather important feature to adjust, but  
693 particularly in topical ocular liposomal dispersions. Tear osmolarity is  
694 minimum at night when the lids are closed and show its higher value during  
695 the day. Besides, alteration in osmolarity can make cellular tight junctions  
696 weaker and decrease the number of mucus secreting goblet cells [126].  
697 Generally, in healthy individuals the average osmolarity of tears and ocular  
698 surface is 300 mOsm/L [127]. In fact, tear osmolarity values higher than 308  
699 mOsm/L are indicators of instability of the precocular tear film and are related  
700 to initial dry eye disease and over 316 mOsm/L moderate or severe dry eye  
701 disease [128]. That is the reason why some liposomal formulations  
702 developed for ocular surface diseases like keratoconjunctivitis sicca present  
703 hypotonic osmolar values between 200-290 mOsm/L in order to tackle  
704 hypertonic environment [129].

#### 706 pH

707 pH of the ophthalmic formulations must be compatible with natural tears  
708 (pH 6.6 - 7.6) [130]. According to some of the established requirements for  
709 administering topical ophthalmic formulations the acceptable range of pH for  
710 topical ophthalmic drug delivery systems is between pH 6 – 9 [111].

711 The acidic pHs on the ocular surface may result in discomfort,  
712 inflammation and reduced wound healing capacity. Besides, a decrease in  
713 cell viability has been associated to pHs below 6 or above 8, so this  
714 parameter is an important feature to control when optimizing a novel  
715 liposomal formulation for topical ocular drug delivery [131].

### 720 **3.3.2. Purification methods**

721 A common inconvenience when developing liposomal formulations with  
722 hydrophilic or partially water-soluble drugs, is that a portion of the drug is  
723 free in the aqueous buffer or inside the aqueous core, and concentrations  
724 are balanced depending on the gradient. Normally, partially water-soluble  
725 drugs try to keep balance between the outer and the inner core of the  
726 liposome. However, when it comes to full or almost full water-soluble drugs it  
727 is important to purify the liposomal dispersion and discard the excess of the  
728 drug that has not been encapsulated [132]. That could be the case of  
729 potentially toxic drugs dissolved in the aqueous media. For this reason,  
730 purifying methods are of vital importance, because they can help also to  
731 remove lipidic debris that are not forming the liposomes and could generate  
732 toxic degradation products. The common purifying methods are dialysis, gel  
733 filtration column chromatography, ion exchange chromatography,  
734 centrifugation, ultrafiltration, protamine aggregation, liposome extruder  
735 purification and microfluidic [133].

737



### 3.3.3. Freeze drying of liposomes

Freeze drying has been widely used in the industry and research facilities to increase the stability, long storage capability of formulations such as nanoparticles as well as decreasing the risk of potential contaminations [134]. Regarding liposomal formulations, freeze-drying has been used for some authors to prepare lipidic materials in order to create liposomal transfection agents [135]. However, the freezing process and undergoing vacuum make liposomal dispersions unstable thus disrupting the vesicles leading to drug leakage as the potential disadvantage. However, recent research points out that when appropriate amounts of cryo-protectants such as trehalose are added to liposomal dispersions these problems could be avoided. Besides, coating liposomes with smart polymers could resolve the stability and leakage issues [106], therefore allowing researchers and industries to storage them as a powder. Another study has visualized liposomes through confocal and transmission electron microscopy techniques in order to demonstrate that liposomes that underwent freeze drying exhibited similar sizes and polydispersity indexes than those that were not freeze dried [117].

### 3.3.4. Sterilization

It seems that sterilization of liposomal dispersions is still unclear. The methods that can be used to sterilizing liposomal formulations are particularly challenging since, due to their nature, many of the lipid substances that create the system are rather unstable at high temperatures or susceptible to denaturation. Filtration through a sterilizing membrane is one of the best options because no heat is produced and as far as the liposomes size is below 200 nm, they can pass through 0.2  $\mu\text{m}$  sterilizing filters. However, some difficulties that are related to viscosity and surface tension of the formulation can lead to a quick blockage of the filtration membrane, and an increment in permeation of bacteria and pathogens may occur. Therefore, it is important to choose the most appropriate membrane depending on the conditions for the liposomal sample [136]. For that reason, although these conventional methods might be enough, a final sterilizing process is still required by some manufacturers.

The most common used procedures for the industry involve the use of irradiation ( $\gamma$  or UV), which links to a direct damage of the DNA through the formation of free radicals that make DNA strand unstable. Furthermore, lipid peroxidation is the main problem that occurs when  $\gamma$ -irradiation or UV-irradiation hit phospholipids and cholesterol present in the liposomal dispersions, thus creating  $\text{O}_2^-$  and  $\bullet\text{OH}$  radicals respectively [137].

Another well-known sterilization method is steam sterilization with the use of an autoclave (121°C or 134°C for 15-20 minutes). Although lipid peroxidation is avoided in this process due to the lack of oxygen and generation of free radicals, hydrolysis of the lipidic materials might occur. These could lead to an alteration in drug loading efficiency as well as a variation in size distribution [137]. However, when selecting an ideal aqueous buffer these issues could be minimized [138]. Regarding the use of dry heat sterilization, it has been described as an unsuitable method for liposomal formulations because of the constant heating ratios leads to the evaporation of the aqueous phase and the alteration of every property of the mixture [137].

790 Finally, sterilization through ethylene oxide is discussed as an  
791 alternative 'cold' method commonly used for thermosensitive preparations.  
792 According to previous published works this method does not alter neither  
793 vesicle size nor structure and liposomes are reconstituted upon lyophilization  
794 without any apparent changes [139]. However, one of the main drawbacks of  
795 this technique when used for industry manufacturing is the potential risk that  
796 encompass the presence of ethylene oxide vapors residues such as  
797 mutagenicity, flammability or being a potential carcinogen [140].

798 Therefore, according the above-mentioned methods, sterilizing filtration  
799 can be considered as the best option combined with cold methods if  
800 scalability or an industry approach is desired.

#### 801 **4. Liposomes as drug delivery systems in anterior and posterior segment** 802 **diseases**

803 Liposomes have been extensively studied for topical ocular administration due  
804 to their properties of biodegradability and biocompatibility and their ability to act  
805 as drug carriers. Furthermore, as previously mentioned, both hydrophilic and  
806 lipophilic drugs can be entrapped. Liposomes facilitate drug penetration in  
807 intraocular tissues by coming into intimate contact with the corneal and  
808 conjunctival surface, which resulted in special relevance for high molecular  
809 weight drugs, poorly soluble drugs or those with low distribution coefficients  
810 [141] [142].

811 Liposomes are able to interact with cells (Fig.3) and release the entrapped  
812 active substance, facilitating the entry of drugs by various mechanisms. Among  
813 them, specific or unspecific adsorption on the surface of cells, fusion with the  
814 membrane, lipid exchange by the transfer-protein-mediated exchange or  
815 endocytosis are the most employed. When endocytosis of liposomes occurs,  
816 the endosome can break and release the content in the cell cytoplasm, or  
817 reaching lysosomes where they are degraded (Figure 3) [14] [143] [144] [145].

818 Many authors have studied the advantages of liposomal formulations for  
819 ophthalmic application of active substances, reducing their potential toxicity and  
820 increasing their penetration and bioavailability compared to the free drug.

##### 821 **4.1. Antimicrobial agents**

822 Liposomes are extremely versatile systems able to entrap a wide variety of  
823 substances such as hydrophilic, hydrophobic or biotechnological products like  
824 antibodies, genetic material or proteins. It is worth mentioning that entrapping  
825 substances of different nature into liposomes could entail an interesting strategy  
826 to increase the stability of potential therapeutic drugs that in solution could  
827 suffer hydrolytic or proteolytic processes as well as enzymatic degradation  
828 [113].

829 Although encapsulation is the common term to explain drug internalization or  
830 uptake in liposomes, entrapment efficiency is the most suitable one, since it  
831 may refer to adhered drug to the surface, entrapment in the bilayers or inclusion  
832 in the aqueous core of the liposomes [146]. For instance, these features have  
833 been used to entrap antibiotics, reduce their toxicity and increase their  
834 effectivity. Water soluble and moderate soluble antibiotics vancomycin,  
835 teicoplanin and rifampin were successfully encapsulated in liposomes,  
836 achieving high encapsulation efficiencies for teicoplanin and rifampin, 82.7%  
837 and 84.1% respectively through the reverse phase evaporation method [147].  
838 For example, tobramycin is one of the most well-known and used topical  
839 antibiotics that has been successfully entrapped in liposomes. In fact, a volume  
840 of 0,4 mL of a 'mega' liposomal dispersion (10-100  $\mu\text{m}$ ) containing entrapped  
841 tobramycin (35 mg/mL) administered in a single dose to rabbits demonstrated  
842 higher or comparable efficacies compared to rabbits that received repeated  
843 instillations every hour [112] [148].

844 Liposomes have been studied as transporters in ocular infections due to their  
845 properties, being a vehicle that requires less dosage, increases its  
846 effectiveness, avoid systemic exposure and decreases antibiotic resistance  
847 [143][149]. Ciprofloxacin is a fluoroquinolone effective against gram-positive  
848 and gram-negative bacteria. Besides, 1 mg/mL of ciprofloxacin formulated in  
849 liposomes allows the *in vitro* controlled release of the drug for 24 hours in  
850 contrast to the drug solution at same concentration, which showed a 92,62% of  
851 the released drug in only 2-hour [150]. This ability was previously reported in  
852 other studies [151]. In another *in vivo* study, after topical application of 50  $\mu\text{l}$  of  
853 different formulations in rabbits, at least 3-folds greater bioavailability was  
854 obtained for liposomal formulations with doses ranging 107.63-114.52  $\mu\text{g}$   
855 ciprofloxacin compared to the dose contained in commercial eye drops (150  $\mu\text{g}$   
856 ciprofloxacin). Besides, higher concentrations of ciprofloxacin were found in the  
857 aqueous humor. In this sense, the liposomal formulation which reached the  
858 highest concentration in the aqueous humor obtained 3.87  $\mu\text{g/ml}$ , compared to  
859 2.68  $\mu\text{g/ml}$  obtained with the commercial aqueous formulation [152].  
860 Azithromycin liposomes also showed an increase in corneal permeability,  
861 increasing the permeability coefficient from  $4.43 \pm 0.27$  cm/s in solution to  $8.92$   
862  $\pm 0.56$  cm/s for the liposomal formulation. On the other hand, in a dry eye rat  
863 model, after topical instillation for 7 days, 3 times a day of 20  $\mu\text{L}$  of different eye  
864 drops, an improvement in symptoms were observed when using azithromycin  
865 liposomes, compared to the drug in solution (10 mg/mL of azithromycin in both  
866 of them), with a significantly greater improvement in tear break up time and  
867 fluorescein staining score ( $P < 0.01$ ) [153].

#### 868 4.2. Antiviral therapy

869 Topical treatment of viral eye infections like herpes simplex virus (HSV) or  
870 secondary herpes simplex keratitis has also been improved with the use of  
871 liposomes [154] [155]. A study in rabbits compared ganciclovir liposomes (1  
872 mg/mL) with a ganciclovir solution (1 mg/mL) after the topical instillation of  
873 50 $\mu\text{L}$ . The results shown a greater corneal permeability, resulting in an  
874 apparent coefficient of permeability 3.9 times higher than the drug solution, and  
875 an increase in absorption, obtaining an area under the curve of the  
876 concentration in aqueous humor 1.7 times higher [156]. Also, distamycin A  
877 liposomes used for acyclovir-resistant HSVs were reported to have the same  
878 antiviral capacity as distamycin in solution and to be less cytotoxic on rabbit  
879 corneal epithelial cells (the 48-hour viability of the liposomal formulation  
880 resulted 80% versus 60% of the drug solution).

881 Moreover, the amount of drug detected in the corneal tissues 30 minutes  
882 after 50  $\mu$ L instillation of eye drops (0.05 mg of distamycin) in rabbits was  
883 greater for the liposomal formulation than for the solution, being  $2,028 \pm 0.063$   
884 ng / mg and  $1,579 \pm 0.087$  ng / mg respectively [157].

#### 885 4.3. Antifungal agents

886 Regarding to fungal keratitis, several authors have carried out studies with  
887 liposomal antifungal formulations [158][159]. A study in 40 rabbits with *Candida*  
888 *albicans* showed that the administration of 50  $\mu$ l of topical liposomes loaded  
889 with fluconazole (2 mg/mL) significantly improved the healing compared to the  
890 same concentration of fluconazole solution, obtaining a whole healing at 3  
891 weeks in 86.4% of cases, compared to 50% obtained in rabbits to which the  
892 fluconazole solution had been administered. The drops were administered  
893 during the first 3 days with a frequency of 4 times a day, and subsequently with  
894 a frequency of 3 times a day [160]. On the other hand, a clinical study was  
895 carried out with 11 patients with keratitis caused by *Candida albicans*. Patients  
896 were administered a 2 mg/mL fluconazole liposomal formulation 3 times a day.  
897 The mean diameter of the ulcers presented by the patients decreased from 5.5  
898 mm to 1.3 mm after one month of treatment, obtained an amelioration in the  
899 rate of recovery and a decrease in the frequency of administration for  
900 fluconazole liposomes. [161]. It is worth mentioning that a liposomal collyrium of  
901 Amphotericin B 0.5% is developed and used for fungal infections of the ocular  
902 surface in hospitals [162].

#### 903 4.4. Hypotensive agents as glaucoma treatment

904 Numerous studies have been conducted with drugs capable of reducing  
905 intraocular pressure carried with liposomes for topical administration.  
906 Brinzolamide, a carbonic anhydrase inhibitor, was characterized and tested *in*  
907 *vitro* and *in vivo* compared to a drug suspension with ten times more  
908 concentration (0.1% and 1% respectively). The transcorneal permeability study  
909 with the Franz diffusion chamber showed an increase in permeability compared  
910 to a commercial brinzolamide suspension, resulting 6 times higher for  
911 liposomes ( $2.58 \pm 0.04$  in liposomes versus  $0.35 \pm 0.01$  in suspension).  
912 Furthermore, *in vivo* studies in rabbits showed that one topical instillation of 50  
913  $\mu$ L of the liposomal formulation was more effective in reducing long-term  
914 intraocular pressure, so that for liposomes the sustained effect in the reduction  
915 of intraocular pressure lasted 12 hours, while the suspension was no longer  
916 effective 30 minutes later [163].

917 LUVs have reported to be an interesting way of achieving a sustained  
918 release of lipophilic drugs into the eye. A good example of that, is a liposomal  
919 formulation of LUVs made out of egg phosphatidylcholine at a concentration of  
920 18 mM ( $109 \pm 18$  nm average size) that was able to release latanoprost through  
921 a single subconjunctival injection for up to 90 days in rabbits. The animals were  
922 instilled with 1.5  $\mu$ g of latanoprost per drop daily. The liposomal formulation  
923 presented high ratios of drug loading ( $94\% \pm 5\%$ ) and was able to lower the IOP  
924 in rabbits in more than 2.8 folds with residual effect of 50 days [113]. In addition,  
925 the incorporation of viscous polymers such as hypromellose (HPMC) 0.3% or  
926 hyaluronic acid (HA) 1.2% [11] has been previously reported for increasing drug  
927 uptake and efficacy of topical hypotensive liposomal formulations. Apart from  
928 increasing the time the formulation was in contact with the eye, therefore  
929 increasing permeation, these two polymers were hypothesized to contribute to  
930 the ocular surface protection [84].

931 **4.5. Anti-inflammatory agents**

932 Triamcinolone acetonide, usually employed in intravitreal injections for  
933 vitreoretinal diseases, was administered in a topical liposomal formulation in  
934 rabbits: 50 µL every 2 hours, 6 times a day for 14 days. The formulation (2  
935 mg/mL) was able to reach the vitreous and the retina. Drug concentrations at 12  
936 hours were  $252.10 \pm 90.00$  ng / g in the retina, and  $32.6 \pm 10.27$  ng / g in the  
937 vitreous humor [164]. In a recent pilot study, 2 mg/mL triamcinolone acetonide  
938 liposomal formulation was tested in 12 patients suffering from refractory  
939 pseudophakic cystoid macular edema. In this study, a drop of the topical  
940 liposomal formulation was applied every 2 hours for 90 days, providing an  
941 adequate tolerability and therapeutic activity. Results showed an improvement  
942 of  $20.08 \pm 10.35$  letters in the best corrected visual acuity (BVCA) and a  
943 reduction of  $206.75 \pm 135.72$  µm in the central foveal thickness (CFT) at 20  
944 weeks after the beginning of the study [165].

945 A liposome-based formulation also had the capacity of encapsulate the  
946 corticoid medroxyprogesterone acetate (MPA) (0,2 mg/mL) to treat  
947 inflammatory eye processes. The anti-inflammatory effect was tested in vitro  
948 after a 60-minute exposure, showing a further improvement of the effect in  
949 Human corneal epithelial cells than reference non-liposomal formulation  
950 (Medrivas®). The cytokine production after TNFα stimulation was determined  
951 by an enzyme-linked immunosorbent assay (ELISA). While the MPA solution  
952 only showed a reduction in the IL-6 cytokine, the liposomal formulation reduced  
953 both IL-6 and IL-8 production. The uptake of the liposomes by the cells was  
954 also evaluated in rabbits using coumarin-6 (C6) liposomes. After 5 minutes, the  
955 corneal epithelium showed fluorescence and after a 60-minute exposure, also  
956 the corneal stroma. [111]. Similar liposomal formulation was employed to  
957 encapsulate the thrombospondin-1-derived peptide KRFK. The liposomal  
958 formulation was tested in an in vitro model of corneal and conjunctival  
959 epithelium, obtaining an apparent enhancement in corneal permeability [166].

960 The use of antiangiogenic and anti-inflammatory-loaded liposomes entails a  
961 potential effective therapy for the treatment of diseases that affect the posterior  
962 segment of the eye. However, precipitation and crystallization are one the main  
963 drawbacks when co-encapsulating these compounds. Some of the strategies to  
964 solve these difficulties involves the use of different cholesterol/lecithin ratios or  
965 the adjustment of the pH, that help increase the active drug loading. With  
966 respect to this issue, Lai S et al studied the difficulty of encapsulating drugs of  
967 different polarities in liposomal formulations since two drugs that differ too much  
968 between their polarities could result in precipitation or decrease in  
969 encapsulation [167].

970 **4.6. Antitumoral substances**

971 Other strategies to increase drug entrapment or encapsulation include the  
972 attachment of PEG-like polymers to the surface of the liposomes that may  
973 increase interactions between the drug and polymers. In reference to this,  
974 liposomes have been used as useful and specific tools to encapsulate  
975 immunoreactive substances such as anti-tumoral products targeting HER2/ neu  
976 and CD20 receptors [168].

977

978

#### 979 4.7. Gene delivery

980 Furthermore, over the last few years cationic liposomes containing DOTAP  
981 or DOTMA [169] loaded with genetic material have gained much attention since  
982 they constitute a useful approach to tackle genetic diseases, cancer, or even  
983 deliver vaccines [170]. For example, an interesting strategy to increase the  
984 DNA plasmid loading capacity of cationic liposomes is to combine it in  
985 appropriate ratios that allows DNA condensation and to include it together with  
986 cyclodextrins [114], as well as adding a spacer, based on amino acids,  
987 introduced between the polar head and the hydrocarbon tail. This strategy aims  
988 to increment the cellular uptake of liposomes containing a plasmid and to  
989 decrease lysosomal degradation. According to this study, liposomes containing  
990 a tailored lysine group as a spacer achieved much higher encapsulation ratios  
991 (22%) and transfection efficiencies than others. Furthermore, the combination of  
992 cationic liposomes and these poly-lysine spacer present encapsulation  
993 efficiencies similar to transfections reagents but showing a decrease in toxicity  
994 [135].

995 With respect to the use of liposomes that contain genetic material for topical  
996 ophthalmic administration, surface engineered liposomes have been developed  
997 in order to carry siRNA molecules to the retinal pigmented epithelium or  
998 regulate VEGF expression in age-related macular degeneration (AMD). This  
999 strategy could entail a less invasive and more effective strategy as an  
1000 alternative for intravitreal injections [171]. SiRNA liposomes tackling heat shock  
1001 protein 47 (HSP47) combined with vitamin A are presented as an attractive  
1002 strategy for dry eye disease [172]. It is important to remark that liposomes are  
1003 very interesting and attractive systems that allow to encapsulate and deliver  
1004 topical ophthalmic substances that administered systemically or via intravitreal  
1005 injections could entail risks or generate side effects [173].

#### 1006 4.8. Immunosuppressants

1007 Immunosuppressive drugs such as cyclosporine, tacrolimus or everolimus  
1008 have been successfully employed for treating mild to severe symptoms of dry  
1009 eye disease or avoiding graft versus host disease after allogeneic transplants  
1010 [174][175][176]. For example, a study published by Y. Dai demonstrated that  
1011 liposomes carrying bile salts such as sodium deoxycholate, sodium  
1012 taurocholate and sodium glycocholate as an alternative to cholesterol, and  
1013 together with tacrolimus, experimented higher transcorneal permeation ratios  
1014 ( $29.50 \pm 5.78$ ,  $36.24 \pm 3.51$  and  $29.73 \pm 4.03$  cm/sec respectively) than  
1015 conventional liposomes loaded with the single drug ( $8.00 \pm 2.05$  cm/sec) [177].

1016 Another comparative study between cyclosporine liposomes and the  
1017 commercial emulsion Restasis® demonstrated enhanced corneal permeation  
1018 and uptake by immunosuppressive liposomes. It seems that stabilization of the  
1019 tear film by liposomes may seem an interesting and effective strategy to  
1020 increase drug permeation through corneal layers [178]. Besides, latanoprost  
1021 has been also included in liposomal systems for subconjunctival administration  
1022 to treat ocular hypertension in glaucoma. Liposomes containing latanoprost  
1023 0.005% demonstrated enhanced drug permeation in clinical trials  
1024 (NCT02466399) [179][164].

1025

1026

1027 **5. Strategies to increase ocular retention time of topical liposomal formulations**

1028 Different technological approaches have been developed to increase the  
1029 retention time of liposomal ophthalmic formulations applied topically. To this,  
1030 the use of positively charged liposomes or the addition of polymers with  
1031 different properties to liposomal formulations have been assayed.

1032 Positively charged liposomes have shown a more prolonged interaction with  
1033 the negatively charged ocular surface allowing the formation of a layer that  
1034 completely covers the eye surface. Electrostatic interactions between positively  
1035 charged liposomes and the negative charges of the mucin layer increase the  
1036 retention time of the ophthalmic formulation [112]. On this subject, stearylamine  
1037 is a lipid that included in liposomes confers a positive charge on the lipid bilayer  
1038 and has been studied to encapsulate different drugs. In a study conducted with  
1039 acyclovir-loaded liposomes, stearylamine and dicetylphosphate were used to  
1040 confer positive and negative charge to the vesicles respectively. Researchers  
1041 reported an increase in the absorption of the drug into the cornea of rabbits  
1042 when using positively charged liposomes. The charge of the liposomes  
1043 influenced the amount of the drug in the cornea. Corneal concentration 2.5  
1044 hours after topical administration of 50  $\mu$ L (1.24 mg/mL) resulted 1093.3  $\pm$  279.7  
1045 ng/g and 571.7  $\pm$  105.3 ng/g after the use of positively and negatively charged  
1046 liposomes respectively, and 253.3 $\pm$ 72.0 ng/g after the administration of the  
1047 solution. In addition, the positively charged liposomes seemed to bind more  
1048 intensely to the corneal surface [155].

1049 The use of liposomes with different charges to encapsulate a acetazolamide,  
1050 has also been studied. In this case, liposomes were formulated with  
1051 phosphatidylcholine and cholesterol in different molar ratios and stearylamine or  
1052 dicetylphosphate as charge inducing agents. The effect of both formulations  
1053 was compared *in vivo*. Results showed that positively charged liposomes  
1054 provided a more effective decrease in intraocular pressure in rabbits: The best  
1055 results were obtained using multilamellar liposomes prepared with  
1056 phosphatidylcholine: cholesterol: stearylamine in a molar ratio of 7: 4: 1, so that  
1057 3 hours after topical administration of positively charged liposomes (50  $\mu$ L) with  
1058 a 1% concentration of acetazolamide provided an IOP reduction of 7.8 mmHg  
1059 versus 5.5 mmHg when neutral liposomes were used. [180]. A different  
1060 investigation with 1.25 mg/mL prednisolone acetate positively charged  
1061 liposomes composed of 1,2 dipalmitoyl-sn-glycerol-3-phosphocholine,  
1062 cholesterol and stearylamine yielded similar results, observing a 2-times slower  
1063 release rate than the solution (1.25 mg/mL) and an increase in the  
1064 concentration of the drug in the aqueous humour of rabbits about 27-40% with  
1065 respect to the drug solution after a topical administration of 50  $\mu$ L. Furthermore,  
1066 the AUC of positively charged liposomes was higher than that of neutral  
1067 liposomes [181].

1068 Another lipid component used to confer a positive charge on liposomes  
1069 is dioleoyl-3-trimethylammonium propane chloride (DOTAP). A study with a  
1070 voriconazole liposomal formulation with DOTAP concluded that liposomes  
1071 were capable of adhering to mucins *in vitro*, so that when adding a  
1072 suspension of mucins with 500 nm size to the liposomal formulation, the size  
1073 of liposomes increased from  $96.5 \pm 2.2$  nm to  $2441.3 \pm 164.5$  nm, showing  
1074 the formation of aggregates, which was not observed with vesicles without  
1075 positive charge. In addition, tolerance measured using the HET-CAM  
1076 test (Hen's Egg Test corioallantoic membrane) showed a weak irritation. In an  
1077 *ex-vivo* permeation test performed with porcine corneas, the DOTAP-  
1078 liposomal formulation with 2.5 mg/mL of voriconazole also managed to reach  
1079 a voriconazole concentration of  $45.31 \pm 2.02$  and  $62.14 \pm 7.84$   $\mu\text{g} / \text{cm}^2$  after  
1080 exposures times of 30 and 60 minutes respectively. [158]. In a recent study,  
1081 researchers developed positively charged liposomes using DOTAP to  
1082 encapsulate the antioxidant astaxanthin. The formulation was tested in a dry  
1083 eye disease rat model. They observed a higher corneal affinity when using  
1084 positively charged liposomes in comparison with a neutral liposomal  
1085 formulation. The antioxidant positive liposomal formulation also appeared to  
1086 be more effective in suppressing the up-regulated expression of age-related  
1087 markers presented in the DED rat model [182].

1088 Different polymers have been used together with liposomes to increase  
1089 the retention time and mucoadhesion of ophthalmic formulations. One of the  
1090 most studied polymers is chitosan, a biodegradable cationic  
1091 heteropolysaccharide with great biocompatibility and low toxicity capable of  
1092 interacting with the negatively charged corneal surface, increasing the  
1093 retention time and drug penetration. Ciprofloxacin HCl loaded-liposomes  
1094 (composed of phospholipid, cholesterol, and dicetylphosphate as negatively  
1095 charged agent) were coated with 1% medium-molecular-weight chitosan. In  
1096 this study a reduction in encapsulation efficiency was observed for the  
1097 chitosan coated liposomes, being  $60.280\% \pm 0.642$  compared to  $71.400\% \pm$   
1098  $0.247$  in the non-coated liposomes. The apparent permeability coefficient  
1099 was higher than that of the non-coated liposomes and the free drug in *ex*  
1100 *vivo* permeability studies ( $8,632 \pm 0.354$ ,  $4,412 \pm 0.113$  and  $5,188 \pm 0.228$   
1101 respectively). Moreover, the chitosan-coated liposomes were able to inhibit  
1102 the growth of *Pseudomonas aeruginosa* 24 hours after a single dose  
1103 administration of 50  $\mu\text{L}$  in rabbits with induced bacterial conjunctivitis [183].  
1104 In a different study, chitosan coating of flurbiprofen deformable liposomes  
1105 improved transcorneal permeation *in vitro*, with an apparent permeability  
1106 coefficient 1.29 folds higher than the uncoated ones and 4.59-fold higher  
1107 than the 0.03% flurbiprofen solution. The study of the residence time *in vivo*  
1108 also showed a significant improvement, being more than 2 times greater  
1109 than the results of deformable liposomes without chitosan [184]. Chitosan  
1110 coated timolol maleate liposomes (50  $\mu\text{L}$ ) resulted also more effective in  
1111 reducing intraocular pressure than eye drops. In addition, the chitosan  
1112 coated liposomes showed the ability to bind to mucins in a mucoadhesive  
1113 study, showing an increase in the size of the liposomes from  $151.2 \pm 20.3$   
1114 nm to  $1013 \pm 81.2$  nm due to the formation of aggregates when adding  
1115 mucins and an increase in the apparent diffusion coefficient in 3 times with  
1116 respect to eye drops [185].



1117 Recently, several studies have been conducted with triamcinolone  
1118 acetonide encapsulated in chitosan-coated liposomes. *In vitro* and *in vivo*  
1119 studies of chitosan 0.5% coated liposomes containing 1.5 mg/mL  
1120 triamcinolone acetonide (TA) were performed. The authors reported a more  
1121 sustained release profile for the coated liposomes than the ones observed  
1122 for a suspension or non-coated liposomes of the drug. Moreover, the results  
1123 of a histological study after the administration of the formulation in C57BL/6  
1124 mice showed an absence of corneal and conjunctive cell toxicity. In addition,  
1125 they assessed the ability of chitosan-coated liposomes to reach the anterior  
1126 and posterior segment eye tissues after topical application using Coumarin-6  
1127 (C6) as a fluorescent marker. C6 was carried in both liposomes with and  
1128 without chitosan and in solution. The results suggested a higher up-take in  
1129 corneal epithelial cells (HCEC) and retinal pigment epithelial cells (ARPE-19)  
1130 for chitosan-coated liposomes, showing greater fluorescence. 5  $\mu$ l of these  
1131 formulations were also administered topically to C57BL/6 mice. The results  
1132 showed higher fluorescence intensity in the anterior and posterior segment  
1133 for C6 encapsulated in liposomes coated with chitosan [186]. After these  
1134 results, the same researchers studied the application of TA in chitosomal  
1135 liposomes for the treatment of macular edema (ME) in a rat model with  
1136 induced retinal edema. The results after the administration of 20  $\mu$ L with a  
1137 TA concentration of 160 mg/L showed a remission of the edema after 10  
1138 days, similar to that produced by intravitreal injection of a suspension of  
1139 triamcinolone acetate, being suggested as an alternative to intraocular  
1140 injections, reducing the resulting complications [187]. Mehanna et al. also  
1141 studied a triamcinolone acetate chitosan coated liposomal formulation in a  
1142 rat model with induced Choroidal neovascularization (CNV), obtaining as a  
1143 result a sufficient level of drug in the vitreous humour after topical  
1144 administration of 2.5 mg/mL (0.5 mL) TA chitosan liposomes 3 times per day  
1145 for 15 days [188].

1146 One of the problems with chitosan is its low solubility in water. However,  
1147 its aqueous solubility can be improved by using chitosan derivatives, such as  
1148 low molecular weight chitosan or trimethyl chitosan. Low molecular weight  
1149 chitosan coated liposomes loaded with diclofenac showed greater stability at  
1150 25°C for 30 days than the conventional vesicles and the drug in solution.  
1151 Furthermore, coated liposomes showed an extended release of the drug  
1152 (23.8% at 6 hours compared to 38.9% with conventional liposomes) and an  
1153 increase in the apparent permeability coefficient ( $1.174 \pm 0.080$  versus  $0.789$   
1154  $\pm 0.069$  in the non-coated liposomes). *In vivo* studies in rabbits showed a  
1155 significant increase in the retention time compared to non-coated liposomes  
1156 and the free drug (0.1% diclofenac in all cases). Also, *in vivo* tolerance  
1157 studies showed no irritation in either the short or long term after the  
1158 instillation of 150  $\mu$ L (3 times each 10 minutes and 5 times per day during 7  
1159 days respectively) [189]. The same authors prepared liposomes carried  
1160 cyclosporine A (CsA) coated with low molecular weight chitosan. The  
1161 formulation showed no cytotoxicity in conjunctival epithelial cells (cell viability  
1162 greater than 90% after 2 h of exposure). *In vivo* studies were conducted in  
1163 rabbits, administering 100  $\mu$ L (1 mg/mL CsA) of the formulation topically and  
1164 subsequently measuring the concentration of drug in the eye tissues. This  
1165 study showed an increase in concentration compared to conventional  
1166 liposomes at 2, 6, 12 and 24h in the sclera, conjunctiva and cornea [190]

1167 Coenzyme Q10, an antioxidant used to reduce cataract formation, was  
1168 loaded in N-trimethyl chitosan (TMC) coated liposomes. Rabbit assays  
1169 reported decreased liposome drainage and therefore a longer retention time

1170 on the corneal surface for TMC-coated liposomes compared to <sup>99m</sup>Tc-DTPA  
1171 solution (1.5-fold higher). The effectiveness of Coenzyme Q10 loaded in  
1172 TMC-coated liposomes was evaluated in rats with induced selenite cataracts  
1173 being the opacity of the lens at 8 days 52% after the administration of the  
1174 formulation (5µl/20 g) three times per day for 8 days, compared to 95% of  
1175 the untreated group [191]. Similar results were found for the antioxidant  
1176 cyanidin-3-glycoside (C3G), with a residence time in the cornea 3.3 times  
1177 greater than the drug solution and 1.7 times higher than the conventional  
1178 liposomes. The authors also reported a decrease in lens peroxidation with  
1179 TMC-coated liposomes compared to non-coated liposomes in the rat animal  
1180 model [192].

1181 The low viscosity of liposomal formulation in an aqueous vehicle does  
1182 not allow the retention time on the corneal surface to be sufficiently high, so  
1183 the use of polymers with viscosizing and gelling properties allows the  
1184 formation of a viscous layer covering the entire corneal surface protecting  
1185 the drug from tear drainage. Also, mucoadhesive polymers improve the  
1186 retention time thanks to the interaction with mucins of the precorneal tear film.

1187 Polysaccharide-derived polymers have been extensively employed in the  
1188 preparation of ophthalmic formulations. Hyaluronic acid (HA) is an anionic  
1189 polymer present in the extracellular matrix of animal tissues, being one of the  
1190 main components of these in our body. HA is biocompatible and  
1191 biodegradable with low toxicity. HA is able to retain water forming a hydrogel  
1192 with mucoadhesive properties. Liposomes loaded with Doxorubicin and  
1193 coated with HA showed a longer *in vitro* release, which continues at 24  
1194 hours. In addition, fluorescence cellular uptake studies in corneal epithelial  
1195 cells also showed that liposomes in HA solution reached the cell nucleus the  
1196 most compared to liposomes in an aqueous solution and the free drug. The  
1197 authors also carried out *in vivo* studies in rabbits, with topical instillation of  
1198 different formulations (50 µL with 0,8 mg/mL Doxorubicin). In addition,  
1199 samples of tear fluid or samples of aqueous humour were collected to  
1200 measure the retention time and the pharmacokinetic profile respectively. The  
1201 results showed an increase in the retention time of the formulation with  
1202 respect to the formulation without HA or the free drug: The mean retention  
1203 time was 527.11 ± 604.89 min compared to 211.45 ± 52.04 and 152.73 ±  
1204 3.72 for the non-modified liposomes and free drug respectively. Furthermore,  
1205 the liposomal formulation with hyaluronic acid also had the highest  
1206 bioavailability, being 1.7 times greater than the free drug [193]. Another  
1207 study combined the use of HA to form a hydrogel and liposomes, integrating  
1208 HA into and out of fluconazole-loaded liposomal vesicles. This formulation  
1209 was compared with a conventional liposomal formulation and a fluconazole  
1210 suspension in studies *ex vivo* and *in vivo* (rabbits). *Ex vivo* corneal  
1211 permeation studies showed promising results in relation to increased corneal  
1212 permeation in liposomes with 0.7% HA. The cumulative concentration of  
1213 fluconazole in corneal tissues resulted much higher compared to  
1214 conventional liposomes and drug suspension (0.9% fluconazole), being 1.86  
1215 and 2.6 folds higher respectively after 6 hours. Moreover, *in vivo* studies in  
1216 which drug concentrations in aqueous humour were measured over time  
1217 after a topical administration of 50 µL of the formulations showed a more  
1218 sustained permeation profile and a higher value of area under the curve  
1219 (AUC) after 24 hours in the case of the liposomal formulation with 0.7% HA  
1220 and 0.9% fluconazole compared to fluconazole suspension (0.9%  
1221 fluconazole). The AUC measured by the linear trapezoidal method was  
1222 530.62 ± 44.94 and 204.34 ± 7.46 respectively [194].

1223 Gellan gum is another biocompatible and biodegradable polymer widely  
1224 used in the pharmaceutical industry. In a study with liposomes loaded with  
1225 timolol maleate, the derivative deacetylated gellan gum (DGG) was  
1226 employed. DGG is an anionic polymer that forms a gel in the presence of a  
1227 positive charge. In this study, liposomes were incorporated into the DGG to  
1228 form an ion-sensitive gel *in situ* and the formulation was compared to  
1229 commercial drops of timolol maleate. The researchers reported a 1.93-fold  
1230 increase in the apparent partition coefficient when compared to conventional  
1231 eye drops. Furthermore, *in vitro* release studies comparing formulations with  
1232 DGG and conventional liposomes showed a longer release profiles due to  
1233 DGG. *In vivo* studies in rabbits after 50  $\mu\text{L}$  of topical administration showed  
1234 an absence of eye irritation and a longer corneal retention time of the DGG  
1235 liposomal formulation relative to eye drops, timolol maleate liposomes, and  
1236 gel formulations view by fluorescence imaging. Furthermore, intraocular  
1237 pressure measurements in rabbits after the topical administration of 50  $\mu\text{L}$   
1238 eye drops or DGG liposomes (0.25% timolol maleate) showed greater long-  
1239 term efficacy for the liposomal gel, obtaining a minimum IOP of  $11.96 \pm 0.74$   
1240 mm Hg (1 hour after instillation) and an effect duration of 300 minutes for the  
1241 liposomal formulation with DGG, and a minimum of  $13.61 \pm 0.95$  mm (2  
1242 hours after instillation) and an effect duration of 180 minutes for eye drops  
1243 [195].

1244 Cellulose-derivative polymers such as carboxymethyl cellulose (CMC)  
1245 or hydroxypropyl methyl cellulose (HMPC) have also been extensively  
1246 studied in ocular topical administration. A study carried out with 5-  
1247 methoxycarbonylamino-N-acetyltryptamine (5-MCA-NAT) a hypotensive  
1248 melatonin analogue (100  $\mu\text{M}$  5-MCA-NAT) formulated in solution, in  
1249 liposomes and in liposomes combined with different polymers showed a  
1250 more sustained *in vitro* release profile with the use of liposomes, being even  
1251 slower if used together with polymers. The formulation with a slower release  
1252 profile was the liposomal formulation dispersed in 0.5% CMC. The reduction  
1253 of intraocular pressure in normotensive rabbits *in vivo* also after the  
1254 instillation of 25  $\mu\text{L}$  (0.7  $\mu\text{g}$  5-MCA-NAT) eye drops showed greater  
1255 effectiveness in the case of liposomal formulations combined with polymers.  
1256 The liposomal formulations that provided the significantly greatest reduction  
1257 were the ones prepared with 0.2% sodium hyaluronate (SH) and in 0.5%  
1258 CMC, with intraocular pressure reduction values of  $39.13 \pm 2.21\%$  and  $36.72$   
1259  $\pm 2.77\%$ , respectively. Furthermore, these formulations did not cause  
1260 discomfort or eye irritation in 24-hour *in vivo* tolerance studies [196].  
1261 Tolerance and efficacy studies of acetazolamide formulations were carried  
1262 out by comparing a liposomal formulation loaded with acetazolamide versus  
1263 solution of the drug (0.7 mg/mL) and the vehicle, and subsequently the  
1264 liposomal formulation of acetazolamide (0.7 mg/mL) with and without 0.3%  
1265 HPMC. The reduction in intraocular pressure after topical administration of  
1266 25  $\mu\text{L}$  of the formulations was measured every hour for 8 hours. The results  
1267 first showed a significant decrease in the IOP values when acetazolamide  
1268 was formulated in liposomes. The maximum intraocular pressure reduction  
1269 was 16.6% in the case of liposomes compared to 10.1% in the  
1270 acetazolamide solution. Furthermore, the 8-hour AUC was more than 2  
1271 times higher. Secondly, the addition of 0.3 % HPMC to the liposomal  
1272 formulation showed a significant improvement in the reduction of intraocular  
1273 pressure, increasing the maximum reduction in intraocular pressure by 1.4  
1274 times and providing an 8-hours AUC 1.5-fold higher compared to liposomal  
1275 formulation without HPMC. Furthermore, none of the liposomal formulations

1276 showed signs of ocular toxicity in rabbits after being administered topically  
1277 every 30 minutes for 6 hours [84].

1278 Researchers used different concentrations of poly (vinyl alcohol) (PVA)  
1279 and polymethacrylic acid (PMA) derivatives to increase the viscosity and  
1280 enhance release profile of ciprofloxacin. The results showed that the release  
1281 resulted extended when increasing the polymers concentration.  
1282 Furthermore, when the polymers were used in combination with a liposomal  
1283 formulation of ciprofloxacin the release was more prolonged. The obtained  
1284 values of half-time of release were 4600 minutes when combining the  
1285 encapsulation of ciprofloxacin (0.1% (m/m)) in  $\alpha$ -l-dipalmitoyl-  
1286 phosphatidylcholine (DPPC) liposomes and the use of 0.1% (m/m) PMA,  
1287 compared to 85 minutes in the case of not using liposomes. In the case of  
1288 PVA, the half-time of release increased from 72 minutes to 644 minutes  
1289 when combining 0.14% (m/m) PVA with the liposomal formulation [151].

1290 Another example is carbopol, a polymer of acrylic acid with a large  
1291 number of carboxyl groups in its structure that allow it to form a gel in the  
1292 presence of water. Carbopol 940 was used by researchers to prepare a  
1293 liposomal hydrogel with ciprofloxacin. The results of the study showed that  
1294 the use of carbopol allowed a more delayed and prolonged release of  
1295 ciprofloxacin compared to the liposomal suspension and the drug solution  
1296 (0.3% ciprofloxacin). In addition, the use of the liposomal hydrogel showed  
1297 an increase in the permeation in a study performed with albino rabbit  
1298 corneas, being 5-folds higher than for the aqueous solution of ciprofloxacin.  
1299 In addition, liposomal hydrogel showed a percentage permeated of 30.6% in  
1300 contrast with the 20.4% of the liposomal suspension after 6 hours [197].  
1301 Mostafa Fegghi et al carried out a recent study with Carbomer 934 for  
1302 coating 0.3% ciprofloxacin liposomes. They reported an enhancement in  
1303 permeability and bioavailability, which resulted 4 times higher than  
1304 commercial formulation, and antimicrobial effect in an *in vivo* study in rabbits.  
1305 However, the results showed no improvement over those obtained with non-  
1306 coated liposomes [198].

1307 Poloxamers are co-polymers formed by a polypropylene central chain  
1308 and two polyethylene side chains. In this way, the central chain has  
1309 hydrophobic properties and the lateral ones have hydrophilic properties. An  
1310 example is Pluronic F-127, a temperature sensitive polymer capable of  
1311 changing from a liquid to a gel state when in contact with body temperature.  
1312 The use of Pluronic F-127 as a vehicle in liposomal formulations has been  
1313 studied by several authors as a method to increase the residence time of the  
1314 drug and to provide a sustained release. This co-polymer has been  
1315 employed as a vehicle for a latanoprost liposomal formulation, observing a  
1316 longer release of the drug when compared with conventional liposomes or  
1317 the use of other polymers such as HPMC, so that after 24 hours  
1318 approximately 30% of the drug had been released, compared to the 40% in  
1319 other liposomes. This is probably due to the hydrophobic interactions of the  
1320 central block with latanoprost, which allows a slower diffusion through the  
1321 gel. Moreover, the use of latanoprost-loaded liposomes vehiculized with  
1322 Pluronic F-127 showed more reduction of intraocular pressure in  
1323 normotensive rabbits compared to commercialized eye drops (both 50  $\mu$ g/mL  
1324 latanoprost). In this sense, while the intraocular pressure returned to  
1325 baseline values 24 hours after topical administration of 50  $\mu$ L eye drops, in  
1326 the case of latanoprost liposomal gels the values did not return to baseline  
1327 until 72 hours [199]. This thermosensitive Pluronic F-127 gel was also used

1328 by other authors to disperse ketorolac liposomes. The 24-hour *in vitro*  
1329 release study showed that the liposomal gel formulation provided a more  
1330 sustained release than the liposomal formulation without Pluronic F-127,  
1331 proving to be able to maintain the release for around 24 hours. [200].

1332 Protein polymers have also been used to coat liposomes. This is the  
1333 case of silk fibroin (SF), a non-toxic natural mucoadhesive polymer that can  
1334 be degraded by proteolysis suitable for drug delivery. SF is capable of  
1335 binding to proteoglycans and glycoproteins of the mucous layer. Yixuan  
1336 Dong et al. performed a study comparing SF-coated liposomes with  
1337 conventional ibuprofen-loaded liposomes and a drug solution, showing more  
1338 sustained release profile and a greater corneal permeability *in vitro*. The  
1339 apparent permeability coefficient in the case of SF liposomes was  $1.23 \pm$   
1340  $0.24$  cm/s compared to  $1.16 \pm 0.23$  for normal liposomes. Also, cell viability  
1341 was always above 85% after the addition of SF in a concentration range of  
1342 0.25-2% to human corneal epithelial cells for 2-8 hours. Furthermore, the  
1343 toxicity and adhesion capacity of SF-coated liposomes was also tested on  
1344 human corneal epithelial cells, resulting in an absence of toxicity and rapid  
1345 cell adhesion and strong cellular up-take by observing the fluorescence  
1346 [201].

1347 Biomaterials with binding properties to glycan residues on the corneal  
1348 surface have been also used to increase the retention time of drugs on the  
1349 cornea as it is the case of succinyl- Concanavalin A. Changyou Zhan et al.  
1350 studied functionalized liposomes composed of 1,2-dioleoyl-sn-glycero-3-  
1351 phosphocholine (DOPC), 1,2-dioleoyl-sn-glycero-3-phospho-(1'-rac-glycerol)  
1352 (DOPG) and cholesterol loaded with 1 mg/mL of tetrodotoxin (TTX) and 100  
1353  $\mu$ g/mL of dexmedetomidine (DMED) and functionalized with 25  $\mu$ g/mL  
1354 succinyl-Concanavalin A to increase the duration of the anaesthetic effect of  
1355 TTX and DMED by ocular topical application. The size of the resulting  
1356 liposomes was 508 nm, and the encapsulation efficiency was 43% for TTX  
1357 and 62% for DMED. Cellular toxicity was tested in corneal limbal epithelial  
1358 cells and corneal keratocytes by 24-hour exposure, showing an absence of  
1359 toxicity (approximately 100% viability). The sConA-functionalized liposomal  
1360 formulation was compared to a non-functionalized formulation and the drugs  
1361 in solution. The study in rats showed a significant increase in duration of  
1362 anaesthesia when 30  $\mu$ L of sConA-Lip/TD was administered, being between  
1363 2 and 3.9 times greater than in the case of non-coated liposomes.  
1364 Furthermore, corneal persistence tests performed with fluorescent dye  
1365 rhodamine 6G reported a greater persistence in the cornea when liposomes  
1366 were conjugated with sConA [202].

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1374 **6. Recent applications of liposomes for tear film restoration/recovery**

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**6.1. Ocular surface protection.**

As mentioned previously, the tear film performs important functions in the hydration, homeostasis and protection of the ocular surface. Many ocular pathologies, such as DED, involve an alteration of the tear film and increase of tear evaporation. Dry eye disease is a pathology whose prevalence varies between 5% and 50% depending on the criteria, increasing with age and being more common in women than in men. This disease has a great impact on visual function and quality of life [203]. The integrity of the lipid layer plays an important role in preventing evaporation of the tear film. Furthermore, the thickness of the lipid layer has been related to the evaporation of the tear [203] [204]. For this reason, the inclusion of lipids in artificial tears intended to restore the lipid layer has attracted a lot of attention. In addition, bioadhesive polymers and components with osmoprotective or anti-inflammatory properties can also be included in the formulations to improve effectiveness against DED [205] [15].

Tear film dysfunction has also been linked to environmental factors or as a consequence of medication or systemic diseases. When there is an alteration in the tear film, damage to the ocular surface and symptoms of discomfort can occur. Furthermore, tear film dysfunction has been associated with an increase in hyperosmolarity due to a loss of the aqueous component of the tear [206] [207].

These circumstances have made it necessary to develop treatments based on artificial tears to restore the protection of the ocular surface. Artificial tears have been widely used to lubricate the ocular surface. Furthermore, as mentioned previously, bioadhesive compounds are widely used in eye drops because of their interaction with the negatively charged ocular surface. Polymers such as CMC, HPMC, HA and carbomers, have commonly been included in its composition, which increases the retention time on the ocular surface [206].

Lipid based eye drops have been shown to be well tolerated and to decrease the symptoms of DED. Among these we can find ointments, which do not have aqueous components, emulsions and liposomes [15]. The internal phase of emulsions is made up of oils that form small drops due to the presence of surfactants.

Depending on the components of the emulsions, they can be anionic or cationic emulsions. Cationic emulsions, composed of positively charged nanodroplets due to the presence of substances like stearylamine or DOTAP, are able to interact with the negatively charged ocular surface, resulting in a longer residence time and spreading of artificial tears in the eye and therefore a greater improvement in symptoms [15] [208].

In the market there are several lipid-based artificial tears, such as Neovis® (Horus Pharma, Saint-Laurent du Var, France) which contains hyaluronic acid, lipoic acid and phospholipids, Systane® Balance (Alcon, Fort Worth, Texas) which is composed of an emulsion and propylene glycol or Systane® Complete (Alcon, Fort Worth, Texas) formed by nanoparticles based on lipids and propylene glycol. Both Systane® Balance and Systane® Complete contain the phospholipid dimyristoylphosphatidylglycerol. Systane® Balance was administered in an investigator-masked controlled clinical trial in 49 dry eye patients with meibomian gland dysfunction. Patients were randomly administered Systane® Balance (n = 25) or saline (n = 24) as a control group, 4 times daily topically. After 4 weeks of treatment, patients treated with Systane® Balance experienced an increase in lipid film

1429 stability, with a non-invasive tear film break-up (NITBUT) of  $2.83 \pm 0.74$   
1430 seconds compared to  $0.66 \pm 0.55$  in the control group ( $p < 0.001$ ). In addition,  
1431 in the treated patients there was an increase in the expression of the  
1432 meibomian glands and the density of goblet cells (NCT01718028) [209].  
1433 Systane® Complete has also been tested in a clinical trial in patients with  
1434 symptomatic dry eye for the use of contact lenses. The investigator-masked  
1435 clinical trial was conducted in 46 patients, of whom 22 received Systane®  
1436 Complete and 24 were untreated. After two weeks of treatment, the treated  
1437 patients showed better results on the Contact Lens Dry Eye Questionnaire-8  
1438 (CLDEQ-8), showing an improvement in symptoms, the results were  $12.86 \pm$   
1439  $6.40$  compared to  $17.92 \pm 5.30$  in the untreated group [210].

1440 Cationorm® (Santen) is a hypotonic cationic nanoemulsion (150-300  
1441 nm) free of preservatives used for dry eye treatment. Cationorm® is based  
1442 on Novasorb®, a groundbreaking technology employing high pressure  
1443 homogenization. That aims to use cationic nanoemulsions in specifically  
1444 designed buffers able to bind the cornea and conjunctiva in order to tackle  
1445 different ocular surface diseases [211]. Besides, there are electrostatic  
1446 interactions between the positive charged nano system and the ocular  
1447 surface epithelium negatively charged (cornea and conjunctiva [212].  
1448 Ikervis® (Cyclosporine 1mg/mL) also based on the previously described  
1449 Novasorb® technology is a nanoemulsion system entrapping cyclosporine as  
1450 an effective immunomodulator and anti-inflammatory drug able to reduce  
1451 symptoms and control some level of inflammation caused by  
1452 keratoconjunctivitis sicca or dry eye disease [213]. It constitutes a novel  
1453 technology that increase the drug permeation and efficacy due to the  
1454 enhanced permeation of nanoemulsions through corneal epithelium [214].

1455 Liposomes results of great interest in the treatment of DED. Soy  
1456 phosphatidylcholine is widely used in the manufacture of liposomes. The  
1457 main advantage of using phosphatidylcholine is that it is the main component  
1458 present in the lipid layer of the tear film. In these liposomes, other lipophilic  
1459 components such as vitamin A and vitamin E can also be added to the lipid  
1460 bilayer preventing the oxidation of unsaturated lipids due to their  
1461 antioxidant's properties [15]. A liposomal spray formulation for the treatment  
1462 of dry eye is currently marketed under the name of Tears Again®. This  
1463 liposomal spray is made up of phospholipids (phosphatidylcholine) and  
1464 vitamins A and E and is intended to be applied to the surface of the eyelid  
1465 with the eye closed. A comparative study between Tears Again and a saline  
1466 spray was reported. The controlled, double-blind, prospective and  
1467 randomized study in design was carried out in 22 subjects with dry eye. The  
1468 liposomal spray was applied once to the treated eye, while a saline spray  
1469 was administered to the other eye (control). The results showed a significant  
1470 increase in the thickness of the lipid layer ( $p < 0.005$ ) at 30, 60 and 90  
1471 minutes after the application of the liposomal spray. Furthermore, there was  
1472 an increase in tear film stability in the treated eyes ( $p < 0.001$ ). Moreover,  
1473 70% patients reported greater comfort after 30 minutes of applying the  
1474 liposomal spray [215].

1475 The use of liposomes for the formulation of topical eye drops for the  
1476 treatment of pathologies such as DED has been developed

1477 There is a currently commercialized liposome-based artificial tears  
1478 called Aquoral Lipo® (ESTEVE, Farmigea, Pisa, Italy) (EX3652-19-01).  
1479 Aquoral Lipo is made up of liposomes, cross-linked hyaluronic acid and  
1480 crocin. It is designed to be instilled in the eye topically for the treatment of  
1481 dry eye [216]. Another commercialized formulation is Lacrisek® Ofta (BIOOS  
1482 Italia, Italy), a product based on liposomes with vitamins A and E, intended  
1483 for topical instillation for the treatment of dry eye. This topical formulation  
1484 was tested on evaporative dry eye patients in a single instillation. Results  
1485 showed that 60 minutes after instillation, improvements in tear film  
1486 evaporation and tear break-up time (TBUT) continued, unlike Artelac  
1487 Rebalance®, an aqueous formulation with polyethylene glycol and  
1488 hyaluronic acid, whose protection only lasted 10 minutes [15] [217].

1489 An interesting formulation with lipid components similar to the one  
1490 present in the precocular tear film has been developed by Vicario-de-la-Torre  
1491 M et al. to treat the DED. These authors designed a formulation based on  
1492 phosphatidylcholine, cholesterol and vitamin E in an 8:1:0.8 ratio prepared  
1493 by the lipid film hydration method. The formulations dispersed in water and  
1494 0.9% NaCl were characterized. In addition, a liposomal formulation  
1495 dispersed in 0.9% NaCl diluted in proportions 1/2 with 0.2% sodium  
1496 hyaluronate to increase the corneal surface adhesion was also studied. The  
1497 *in vitro* tolerance of the formulations with 0.9% NaCl and 0.2% sodium  
1498 hyaluronate were evaluated at 15 minutes, 1 hour and 4 hours in  
1499 immortalized human corneal-limbal epithelial cells (HCLE) and normal  
1500 human conjunctive cells (IOBA-NHC). The results showed a cell viability  
1501 greater than 90% in the HCLE and IOBA-NHC cell lines at all times.  
1502 Furthermore, *in vivo* tolerance studies in New Zealand rabbits after topical  
1503 administration of 30 µL of the liposomal formulation with 0.9% NaCl (20  
1504 mg/mL PC) and 0.2% sodium hyaluronate (10 mg/mL PC) every 30 minutes  
1505 and a total duration of 6 hours showed an absence of symptoms of  
1506 discomfort and disturbances [218]. The same group dispersed the liposomes  
1507 in a solution with trehalose, which protects cells from desiccation, and a  
1508 borate buffer solution as a dispersion vehicle. The liposomes had 186.3 nm  
1509 size. This liposomal formulation diluting with sodium hyaluronate (10 mg/mL  
1510 PC and 0.2 % SH) gave *in vitro* cytotoxicity results greater than 80% in  
1511 HCLE and IOBA-NHC cell lines and showing good tolerance *in vivo* after the  
1512 topical administration of 30 µL each 30 minutes for 6 hours [129]. Also, the  
1513 liposomal formulation composed of phosphatidylcholine and cholesterol was  
1514 enriched using vitamin E and vitamin A to form the liposomes. Furthermore,  
1515 in order to achieve *in situ* gelling artificial tears, gellan gum and  
1516 hydroxypropyl methylcellulose were used, with a final concentration of 0.25%  
1517 and 0.12% respectively. Other compounds such as levocarnitine, with  
1518 osmoprotective activity, have been included in the formulation to attenuate  
1519 the hyperosmolarity produced in DED. The resulting liposomes prepared by  
1520 the lipid film hydration method had a size of 200.1 ± 4.4 nm. Cell viability in  
1521 human carcinoma epithelial cells (HeLa) and J774 macrophages was greater  
1522 than 90% after 2 hours of exposure. Furthermore, *in vivo* studies in rabbits  
1523 showed good tolerance after administration of 30 µL of the formulation (0.5%  
1524 PC) every 30 minutes for 6 hours [219].

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## 6.2. Liposomal formulations as supplementation in dry eye treatment

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In addition to phospholipids and other lipids such as cholesterol to replace the lipid layer of the tear film, other components can be added to the lipid bilayer in order to provide additional supplementation. An example of this is the use of vitamin E and vitamin A, mentioned in the previous section. Vitamin E can be incorporated into the liposomal lipid bilayer enabling the stabilization and preventing degradation of phospholipid chains. Vitamin E avoids the oxidation of unsaturated phospholipids, such as phosphatidylcholine, thus increasing the stability of liposomes. Furthermore, its antioxidant properties, making it capable of protecting cells from damage [218].

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Regarding vitamin A, in addition to its antioxidant properties, a study in an animal model of dry eye in mice showed that vitamin A had the ability to reduce apoptosis of corneal epithelial cells. Moreover, it also showed an increase in the volume of the tear film, as well as its stability [220]. Vitamin A is capable of regulating the differentiation and proliferation of corneal epithelial cells, and its supplementation is important to maintain adequate vision. For this reason, the use of liposomes has been studied to increase retention in the cornea, and therefore the bioavailability of vitamin A [221].

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Another interesting possibility for supplementation of the dry eye disease could be the use of fatty acids omega 3 and omega 6. These fatty acids have been shown to be effective in reducing symptoms as an oral supplement due to its anti-inflammatory properties. However, recently they have also been shown to be effective topically in eye drops [222]. A study with eye drops containing hyaluronic acid and omega 3 essential fatty acids showed a decrease in corneal irregularities, in addition to reducing oxidative stress and inflammation in a mouse model of dry eye disease, compared to eye drops containing only hyaluronic acid [223]. Another study used various types of fatty acids formulated in emulsion. The authors tested alpha-linolenic acid omega-3 (ALA) and linoleic acid omega 6 (LA). These fatty acids were tested alone and in combination, compared to the vehicle in a mouse animal model. The formulations were applied topically every 48 hours, up to a total of 3 doses. In the case of treatment with alpha-linolenic acid, the results showed a decrease in damage to the corneal epithelium. Furthermore, the use of ALA showed a decrease in proinflammatory cytokines TNF-alpha and IL-1. These results may mean a decrease in inflammation produced in dry eye pathology [224]. The introduction of these fatty acids in liposomal formulations could be of great interest.

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Other compounds, such as squalene, that can be used as supplements in artificial tears. Squalene, which has been found in the tear, has numerous properties, including antioxidant, anti-inflammatory and hydrating capacity. Squalene appears to be placed on the thinnest regions of the lipid layer of the tear film, thus allowing the entire surface to be covered by this film, increasing protection [225].

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1573 **7. Limitations and future prospects.**

1574 Liposomes represent a great advance in topical ocular administration,  
1575 with the advantage of being well tolerated by the eye thanks to their  
1576 biodegradable and biocompatible properties. Phosphatidylcholines, which  
1577 are the main phospholipids that constitute the lipidic bilayer of liposomes, are  
1578 present in the tear film, providing an adequate tolerability and allowing to  
1579 improve the stability of the tear film. Furthermore, their capacity to act as  
1580 drug carriers, allows to reduce the dose, and therefore the toxicity of the  
1581 administered drugs [15].

1582 Moreover, it is possible to manufacture them by simple methods.  
1583 However, they also have limitations and there is much to improve in the field.  
1584 As mentioned in this review, liposomes are capable of increasing the  
1585 bioavailability of drugs, one of the main problems of ophthalmic topical  
1586 administration. Despite this, there is still much to improve and research in  
1587 this regard. Currently, to solve this problem, there are numerous resources  
1588 to increase mucoadhesion and retention time of topical ophthalmic  
1589 formulations and subsequently, ocular drug bioavailability. These strategies,  
1590 mentioned in depth in this review, include the use of charged components or  
1591 polymers with biodegradable and biocompatible properties, capable of  
1592 increasing drug penetration.

1593 One of the biggest limitations of liposomes is their stability. On the one  
1594 hand, the unsaturated lipids present in the lipid bilayer are easily oxidizable  
1595 and can also undergo hydrolysis processes, which makes liposomes less  
1596 chemically stable. However, this problem can be mitigated by including  
1597 antioxidant compounds, such as vitamin E, in the formulation. On the other  
1598 hand, liposomes can also become physically unstable. For example, they  
1599 can undergo aggregation, forming larger particles that will be more difficult to  
1600 absorb and that produce a greater tendency to be phagocytized. Liposome  
1601 aggregation can be prevented by the development of charged liposomes and  
1602 phagocytosis can be prevented by using polymers that coat the liposomes,  
1603 such as polyethylene glycol. Another stability problem related to liposomes is  
1604 the loss of the encapsulated drug, or the leaked of lipid components of the  
1605 bilayer, being released into the aqueous phase. To avoid the loss of  
1606 encapsulated drug and favor the stability of the liposomes, it is possible to  
1607 resort to the incorporation of appropriate amounts of cholesterol in the  
1608 structure [112].

1609 Regarding sterilization, there is still a need to develop robust methods  
1610 that do not alter the composition of the formulation, since as previously  
1611 mentioned the nature of the sample may be affected by the selected  
1612 sterilization method. The big limitation for sterilizing liposomal dispersion  
1613 would be testing their posterior efficacy and safety, ensuring that no toxic or  
1614 degradation have occurred. Perhaps, studying and developing alternative  
1615 'cold methods' such as ethylene oxide could solve the problem out and  
1616 provide with an established method to sterilize every single liposomal  
1617 formulation on the market without any risks associated [137].

1618 As above mentioned, lyophilization of liposomal formulations is still  
1619 controversial since some have described that presents stability problems but  
1620 others ensure to have developed optimized protocols that allow to sort these  
1621 issues and achieve long periods of storage therefore avoiding alteration of  
1622 the formulation or physicochemical changes [117]. Although this still needs  
1623 to be further investigated, great advances are being developed and perhaps  
1624 in the future the industry could create freeze drying protocols to storage  
1625 liposomes for long times.

1626 Regarding stability of liposomes, there are some important issues that  
1627 are perhaps the limiting step when reaching a clinical translational approach.  
1628 The shelf-life of liposome dispersions using phospholipids are related to  
1629 oxidative and hydrolytic degradation pathways. Oxidation of phospholipids  
1630 molecules takes place via a free radical chain mechanism in the absence of  
1631 specific oxidants. Peroxidation of phospholipids in liposomes can be  
1632 minimized thanks to the use of hydroperoxides purified raw materials, less  
1633 unsaturated fatty acyl chain-containing phospholipids and antioxidants. Also,  
1634 storage at low temperature with protection from light and oxygen and  
1635 working under inert gas atmosphere reduces the oxidation of the  
1636 phospholipids. Hydrolysis kinetic of phospholipids depends on pH,  
1637 temperature, buffer concentration and ionic strength. For long-term stability,  
1638 storage of liposomes in an aqueous dispersion at low temperatures (4-6°C)  
1639 and pH adjustment to values of maximum stability of liposomes is  
1640 recommended [226].

1641 Scalability is another delicate issue that is gaining importance since  
1642 liposomal formulations are entering the market. Developing large amounts of  
1643 liposomes in a fast, easy and not very expensive way is strongly associated  
1644 to the type of liposomes and products that are going to be encapsulated.  
1645 Nonetheless, novel techniques such as microfluidics chips and micro  
1646 emulsification and optimization of other better-known methods, such as  
1647 ethanol injection, are being investigated since they constitute a potential  
1648 source of liposome making for industries [90].

1649 Moreover, it bears mentioning the exosomes, innovative systems  
1650 considered an evolution of liposomal formulations, have gained much  
1651 interest over the last few years. Exosomes are sphere-like extracellular  
1652 vesicles that are produced in endosomes of all eukaryotic cells. They  
1653 constitute an effective and fast mechanism of communication between cells  
1654 and their environment with different specialized functions depending on the  
1655 cell type. A clear example are exosomes present in dry eye patients, which  
1656 can modify the activity of matrix metalloproteinases and therefore play an  
1657 important role in remodeling the extracellular matrix [227]. Exosomes from  
1658 mesenchymal stem cells have shown anti-inflammatory activity, regenerative  
1659 properties and being able to regulate the immune response in the eye.  
1660 Although in many ocular therapies involving exosomes, these systems have  
1661 been administered through intravitreal injections [228], a very recent study  
1662 has shown that exosomes isolated from corneal mesenchymal stromal cells  
1663 can be useful for wound-healing purposes [229]. Besides, a clinical trial is  
1664 being conducted using exosomes from umbilical mesenchymal stem for  
1665 relieving dry eye associated symptoms (NCT04213248).

1666 Finally, some interesting liposomal formulations have been developed  
1667 as a novel approach to treat DED [111]. These formulations contain natural  
1668 phospholipids and lipidic components similar to those present in the tear film.  
1669 In addition, they aim to restore the precorneal tear film by not only treating  
1670 the dry eye symptoms but also restoring normality in the ocular surface and  
1671 suppressing the inflammation cascade given in DED. A good example of  
1672 these type of formulations is one containing soy phosphatidylcholine (20  
1673 mg/mL), cholesterol (2,5 mg/mL) and vitamin E (0,2 mg/mL). Besides, the  
1674 formulation is made hypotonic regarding tears by containing trehalose and a  
1675 borated-buffer solution [129]. These technological approaches aim, not only  
1676 to restore the precorneal tear film but also to tackle the hypertonic  
1677 environment commonly given in DED and supply the ocular surface with  
1678 osmoprotective properties.

## 1679 **8. Conclusion**

1680 Although very accessible, the ocular surface has been for many years and still is a  
1681 rather complex and delicate structure to deliver drugs and formulations. Different  
1682 mechanisms and physiological structures work together making permeation and  
1683 delivery a very difficult task. Fortunately, liposomes are tremendously useful systems  
1684 that were developed with the purpose of entering cells and tissues when other common  
1685 substances could not. Due to their similarity with cell membranes, liposomes  
1686 entrapping a wide variety of therapeutic products are an effective strategy to surpass  
1687 the physiological barriers present in the ocular surface such as tear clearance, tight  
1688 junctions of the corneal epithelium and even corneal stroma and endothelium. Despite  
1689 their suitability and usefulness, there is still a need to study scalability and market  
1690 adaption so everyone can benefit from their countless applications.

## 1691 **9. Expert opinion (500 words minimum)**

1692  
1693 Drug delivery in ophthalmology constitutes a particular challenge since many  
1694 physiological and anatomical barriers work perfectly together in order to avoid  
1695 alterations in tears balance, tear film stability, pH and osmolar changes. Besides, the  
1696 ocular surface is widely prepared to fight and prevent invasion and permeation of  
1697 bacteria and other pathogens.  
1698

1699 Over the last few years ocular drug delivery formulations have experimented a  
1700 dramatic growth and improvement. This is due to the increment in novel and interesting  
1701 techniques that allow to design, and tailor new formulations targeting specific  
1702 structures of the eye and increase drug effectivity. Among many of the systems  
1703 employed with this purpose, liposomes are well studied lipid-based carriers, very  
1704 similar to cell membranes and cell structures. They are in many cases nano-scaled and  
1705 can be specifically design and tailored to interact with specific structures of the tissues  
1706 which allow them to effectively deliver the drug. Furthermore, one of the many reasons  
1707 why liposomes are ideal nanocarriers is the wide variety of designs that can be made  
1708 depending on the target place and function that is desired. Moreover, they have gained  
1709 much interest by its suitability of entrapping both hydrophilic and hydrophobic drugs,  
1710 increasing their stability and lowering drug associated toxicity.  
1711

1712 Despite their long background, liposomes continue being the alternative for many  
1713 researchers to create novel and innovative formulations, particularly in ocular drug  
1714 delivery. High hydrophobic drugs, almost no soluble in aqueous media, have been  
1715 entrapped in liposomes and delivered successfully to the ocular surface. Also,  
1716 liposomes have been assayed to treat posterior segment diseases such as glaucoma  
1717 or AMD.

1718

1719 Some of the last advances in liposome technology highlights the recent use of  
1720 bioactive molecules such as annexin V associated to liposomes to enhance  
1721 bevacizumab topical delivery in AMD. Normally annexin V has been widely used for  
1722 staining techniques in apoptosis detection, but researchers have discovered that helps  
1723 liposomes to go through cell membranes by a trans-cytosis mechanism [230].  
1724 Furthermore, including agents and substances in liposomes that tackle oxidative  
1725 strategies for ocular surface disease is becoming a different and innovative approach  
1726 like including carotenoids in liposomes, regulating gene expression and tear volume  
1727 balance [182].

1728

1729 Despite the great progress in this area, there is still much to improve and optimize  
1730 but important advances are being made. Perhaps, immunogenicity can be tuned and  
1731 modified in order to avoid a disproportionate reaction of the tissue. That is the reason  
1732 why, soy phospholipids are eligible and are being optimized although they show a  
1733 profile rather complex to characterize since their extraction may cause some changes  
1734 in the fatty acid profile [86]. For instance, DOPG has very recently been discovered to  
1735 promote tissue regeneration of the corneal epithelium, so this means that specific  
1736 liposomal systems that inherently possess therapeutic properties can be designed [85].  
1737 siRNA gene therapy is evolving in the field of liposomes and especially in topical  
1738 administration for diseases previously mentioned such as DED or AMD. Apart from the  
1739 containing siRNA molecules recent advances point out the importance of combining  
1740 liposomes with some polymers such as HA and specific target molecules like CD44  
1741 that could enhance adhesion and cell permeation [173].

1742

1743 All these strategies demonstrate that liposomal field is constantly evolving and  
1744 taking advantage of all the new discoveries and growing technology, highly specified  
1745 systems with great tissue affinities can be created so low toxicities, doses and reduced  
1746 administrations may be possible. Moreover, a combination between liposomal  
1747 formulation and exosome technology could be used to specifically re-design these  
1748 systems and fight these diseases from another different perspective. Ocular disease  
1749 needs from these breakthroughs to discover and develop new treatments and  
1750 strategies that focus not only in pathways and therapeutic substances but also in  
1751 substances and materials that already possess beneficial characteristics such as  
1752 antioxidative, anti-inflammatory or wound-healing properties.

1753

1754 Furthermore, the previously mentioned therapies containing natural components  
1755 that resembles the preocular tear film has gained much interest and creates a new  
1756 area of research that could be further investigated to develop new potential therapies  
1757 that allows to treat more effectively ocular surface pathologies. To our view, this novel  
1758 approach opens a new possibility to treat DED and ocular surface pathologies. It aims  
1759 to be the next generation of liposomal formulations not necessarily containing active  
1760 drugs in order to treat pathologies of the ocular surface presenting tear film instability,  
1761 alteration of the physiological properties of ocular surface and ocular inflammation.  
1762 Liposomal formulations with components resembling the preocular tear film could also  
1763 be used as vehicles for active drugs in long term treatments avoiding the associate  
1764 side effects related to chronic therapy.

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1773 **Declaration of interest**

1774 The authors declare no conflict of interest.

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**.\*\*This article demonstrates that topical liposomal anti-VEGF therapy could  
replace repeated intravitreal administration presenting a comparable efficacy**

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ACCEPTED MANUSCRIPT