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Johra, Hicham: Veit, Martin: Poulsen, Mathias Østergaard: Christensen, Albert Daugbierg: Gade, Rikke; Moeslund, Thomas B.; Jensen, Rasmus Lund

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Aalborg University Department of the Built Environment Division of Sustainability, Energy & Indoor Environment

**Technical Report No. 316** 

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by

Hicham Johra Martin Veit Mathias Østergaard Poulsen Albert Daugbjerg Christensen Rikke Gade Thomas Moeslund Rasmus Lund Jensen

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# Foreword

The aim of this technical report is to provide a description and access to labelled image and video datasets of human faces that have been generated for different indoor visual comfort and glare visual discomfort situations. These datasets have been used to train and test a computer-vision artificial neural network detecting glare discomfort from images of human faces [1].

# Abstract

Glare is a common local and subjective visual discomfort that is difficult to identify with conventional light sensors. However, computer vision technology can be used to detect it from the analysis of human facial expressions. The current dataset consists of labelled and categorized facial images and video footage samples from 23 different building occupants who are exposed (or not) to glare visual discomfort. The occupants sit at a desktop table while reading a text and answering questions on a computer screen during a controlled laboratory experiment. Spotlights placed at various positions with different angles relative to the occupants are used to generate glare visual discomfort. The dataset includes face extractions, which allow to directly use the occupant's face as a glare visual discomfort sensor. The dataset is structured into a training data subset and a testing data subset. Each subset is further divided into data corresponding to glare visual discomfort experienced by the occupant and data corresponding to no glare discomfort. The data release contains two versions of the dataset: one which has the raw video footage samples and the other one which has images resampled from the video footage samples. This dataset is suited for, but not limited to, machine learning methods, and the training, testing and benchmarking of computer vision algorithms. Although containing only 23 different participants, this dataset was proven useful and was used to successfully train a Convolutional Neural Network (CNN) classifier that can detect glare visual discomfort from face images with an out-of-sample assessment accuracy of around 90% [1]. Such algorithms can be the basis for an efficient feedback control system to regulate shading devices to eliminate the local glare discomfort in an indoor environment.

# Keywords

Glare visual discomfort detection, experimental ground truth, human face analysis, artificial intelligence algorithm training, computer vision.

# Specifications table

Subject	Computer Vision and Pattern Recognition, Applied Machine Learning, Control and Systems Engineering, Architecture		
Specific subject area	Detection of glare visual discomfort from image analysis of human faces. Training and testing datasets for machine learning methods and computer vision algorithms.		
Type of data	Images Videos		
How the data were acquired	The data acquisition consists in recording the video footage of the face of the participants while they were sitting in a lined-up office environment. During the experiment, the participants were sitting at a desktop table and asked to read a series of short texts on a computer screen and answer a few questions concerning these texts (the questions are irrelevant to the experiment itself, they are only intended to keep the participants performing a typical office task at a desktop).		
	The illuminance inside the test room is maintained at around 500 lux by artificial dimmable light. Spotlights placed on the other side of the test room window (outside the test room, in the laboratory environment) generate glare visual discomfort, similar to that produced by the sun when it is low on the horizon at sunrise or sunset.		
	Throughout the different phases of the experiment, the different sources of glare (spotlight) are alternatively switched on and off to generate (or not) visual discomfort (or absence of visual discomfort) at different horizontal orientations with regard to the occupant sitting at the desktop. At each phase of the experiment, a new visual comfort/discomfort situation is set and the occupant is asked to read a small text and answer a few questions. After each series of questions, the occupant is asked to indicate the perceived thermal, visual and acoustic discomfort.		
	The video footage of the face of the occupant is continuously recorded with a webcam (Logitech Webcam C930e: Full HD 1080p / 30 FPS resolution) placed above the computer screen on which participants are reading and answering questions. The video footages are labelled as "glare" (presence of glare visual discomfort) and "noglare" (no glare visual discomfort experienced by the occupant) based on the occupants' statements about their visual discomfort at each phase of the experiment. The test participants are not instructed about the exact goal of the experiment.		

	The dataset is gathered from 23 participants and includes a certain variety of characteristics: age, gender, face feature, glasses, etc.				
	In order to generate the labelled image dataset, the labelled video footages are resampled at a 0.5 FPS rate, and the YOLOFace extraction algorithm [2] is used to crop the image down to the face of the occupants only.				
	Detailed information on the acquisition method for this dataset can be found in Johra et al., 2021 [1].				
Data format	Raw Filtered Classified				
Description of data collection	<ul> <li>The video and image datasets are generated from experimental tests on 23 different participants and includes a certain variety in characteristics: age, gender, face feature, glasses, etc. The original dataset was generated from experimental tests on 24 different participants but all the data of one of the participants was entirely excluded as this participant did not want his/her data to be publically available.</li> <li>After synchronization of the video footages with the answers of the participants regarding their visual discomfort at the different phases of the experiment to label the video dataset, the video footages are resampled at a 0.5 FPS rate, and the YOLOFace extraction algorithm [2] is used to crop the image down to the face of the occupants only and generate the labelled image dataset.</li> </ul>				
Data source	Institution: Aalborg University, Department of the Built Environment				
location	City/Town/Region: Aalborg Country: Denmark				
Data accessibility	Repository name: zenodo.org Data identification name of the image dataset: Training and testing labelled dataset of human faces for different indoor visual comfort and glare visual discomfort situations – images: dataset_images_zin				
	Direct URL to the image dataset: https://doi.org/10.5281/zenodo.6895080 [3]				

	Data identification name of the video dataset: Training and testing labelled dataset of human faces for different indoor visual comfort and glare visual discomfort situations – videos: dataset_videos.zip				
	Direct URL to the video dataset: <u>https://doi.org/10.5281/zenodo.6895054</u> [4]				
	A copy of the GDPR (General Data Protection Regulation in the European Union) consent form that has been signed by each participant of this data collection campaign is available as supplementary materials to that dataset.				
	The access to the datasets is controlled. To access the datasets and download them, request access directly on the zenodo website (click the "request access" button).				
	This dataset is available for research and scientific purposes only. Proper reference and citation to that dataset are required. To use this dataset for other purposes, such as commercial applications, please contact Hicham Johra ( <u>hj@build.aau.dk</u> ) or Aalborg University, Department of the Built Environment ( <u>build@build.aau.dk</u> ).				
Related research article	H. Johra, R. Gade, M.Ø. Poulsen, A.D. Christensen, M.S. Khanie, T. Moeslund, R.L. Jensen, Artificial Intelligence for Detecting Indoor Visual Discomfort from Facial Analysis of Building Occupants, Journal of Physics: Conference Series 2042 (2021), 012008. https://doi.org/10.1088/1742-6596/2042/1/012008				

# Value of the data

- Glare is a common local and subjective visual discomfort that is difficult to identify with conventional light sensors but can be detected with computer vision methods by analysing human facial expressions.
- This dataset consists of labelled and categorized facial images and video footage samples from 23 different building occupants who are exposed (or not) to glare visual discomfort.
- This dataset is suited for, but not limited to, machine learning methods, and the training, testing and benchmarking of computer vision algorithms for the detection of glare discomfort.
- The facial expression analysis allows using the occupant's face as a subjective glare visual discomfort sensor.
- The feedback from a computer vision-based glare detection algorithm can be used to control a shading device that eliminates the local visual discomfort in the indoor environment.

# Objective

This dataset was generated to address the challenge of identifying glare discomfort with computer vision algorithms, which is a common visual discomfort that is difficult to detect using conventional sensors and assessment methods. The dataset consists of labelled and categorized facial images and video footage samples from 23 different building occupants who were exposed (or not) to glare visual discomfort. This dataset is suited for, but not limited to, machine learning methods and the training, testing and benchmarking of computer vision algorithms. Although limited in the number of different subjects, this dataset was proven useful as it was used to successfully train a Convolutional Neural Network (CNN) classifier detecting glare discomfort from face images with an out-of-sample assessment accuracy of around 90%. This CNN classifier was presented at a peer-reviewed international scientific conference [1]. The current data article adds value to the published research article [1] by describing the acquisition method for the dataset in a synthetic manner and making the dataset available for research, development and other scientific purposes.

# **Data description**

The dataset contains two subsets: one being the labelled sampled images, the other being the labelled videos from the experiments.

Although containing only 23 different participants, this dataset is believed to be very useful because of its uniqueness. There is, at the moment, no similar dataset for the detection of glare discomfort in the indoor environment. This dataset can thus seed the development of new datasets for detecting glare discomfort from images and videos of human faces. Moreover, the relevance of this dataset has been demonstrated in a peer-reviewed article [1]. This dataset was sufficient to successfully train and test a Convolutional Neural Network (CNN) classifier that can detect glare visual discomfort from face images with an out-of-sample assessment accuracy of around 90%. This glare detection classifier algorithm was developed with off-the-shelf pre-trained neural networks that can readily be used for image analysis and object detection [1].

# Image dataset

The image dataset consists of two main folders. One includes the full-size images of the participants in the experiment. The other includes the cropped faces extracted from the full-size images.

Both main folders contain a total of 14,133 samples. These are divided into a training set with 18 participants and 11,096 samples and a testing set with 5 participants and 3,037 samples. The testing and training datasets are formed to represent, as much as possible, the general dataset characteristics with regards to age, gender, glasses, beards distribution and a representative amount of "glare" and "noglare" samples. The participants are fully split between the two sets, i.e., none of the participants is present in both the training and the testing set. Apart from the training and testing set, the dataset is divided into "glare" and "noglare" folders. These consist of a subfolder for each participant in the set. This subfolder is given a person ID and contains all the samples for the given person. The name of a sample (image file) is the test ID and the frame number, which allows identifying all independent samples in the video. The folder structure is given in the figure below.

Trai	ning	Testing	
Share	78.5 %	Share	21.5 %
N <sub>total</sub>	11,096	N <sub>total</sub>	3,037
$N_{glare}$	3,738	N <sub>glare</sub>	928
N <sub>noglare</sub>	7,358	N <sub>noglare</sub>	2,109

Dataset (full-size/extracted-faces)



# Video dataset

The structure of the video dataset is similar to the image dataset. The videos are split into a training and a testing set with the same 18 and 5 participants, respectively. Each video is cut into 12 phases, which have been divided into "glare" and "noglare" folders according to the perceived visual discomfort. These consist of a subfolder for each participant in the set. This subfolder is given a person ID and contains all the videos for the given person. The name of a sample (video file) is the test ID and the phase number. The folder structure is given in the figure below.

Dataset (videos) training/testing set glare personID TestID\_phaseNumber.mp4 noglare personID TestID\_phaseNumber.mp4

# Experimental design, materials and methods

The data acquisition consisted in recording the video footage of the face of the participants while they were sitting in a lined-up office environment. During the experiment, the participants were sitting at a desktop table placed next to a large window through which glare visual discomfort could occur. The participants were asked to read a series of short texts on a computer screen and answer a few questions concerning these texts. These questions are irrelevant to the experiment itself; they are only intended to keep the participants performing a typical office task at a desktop and blur the exact goal of the experimental test. The test participants are purposely not instructed about the exact goal of the experiment to avoid disturbances and biases in the results.

The illuminance inside the test room is maintained at around 500 lux by artificial dimmable light. Spotlights placed on the other side of the test room window (outside the test room, in the laboratory environment) and directed at the office's occupant generate glare visual discomfort, similar to that produced by the sun when it is low on the horizon at sunrise or sunset. The sources of glare (spotlight) have 3 different horizontal orientations with regard to the occupant: slightly behind the head of the occupant; on the side of the occupant, perpendicular to his/her line of sight; slightly in front of the occupant (see **Figure 1**).



**Figure 1:** View of the experimental setup for the acquisition of the dataset. Re-published with permission from Johra et al., 2021, "Artificial Intelligence for Detecting Indoor Visual Discomfort from Facial Analysis of Building Occupants", Journal of Physics: Conference Series 2042, 012008. <u>https://doi.org/10.1088/1742-6596/2042/1/012008</u> [1].

Throughout the 12 different phases of the experiment, the different spotlights are alternatively switched on and off to generate (or not) glare visual discomfort (or absence of visual discomfort) at different horizontal orientations with regard to the occupant sitting at the desktop. At each phase of the experiment, a new visual comfort/discomfort situation is set and the occupant is asked to read a small text and answer a few questions. After each series of questions, the occupant is asked to indicate the perceived thermal, visual and acoustic discomfort.

The video footage of the face of the occupant is continuously recorded with a webcam placed above the computer screen on which participants are reading and answering questions. The video footages are labelled as "glare" (presence of glare visual discomfort) and "noglare" (no glare visual discomfort experienced by the occupant) based on the occupants' statements about their visual discomfort at each phase of the experiment. The labelled videos are then resampled with 0.5 FPS (one image every two seconds), to generate an image dataset. The YOLOFace [2] face extractor is used to extract the face of the occupants.

The dataset is gathered from 23 participants and includes a certain variety of characteristics: age, gender, facial feature, glasses, etc.

# **Ethics statements**

To conduct the experiments for the generation of the present dataset, the appropriate administrative body (Aalborg University – AAU Innovation – Grants & Contracts) has been contacted in order to verify the ethical soundness of the experiment and the necessary measures that had to be taken regarding the General Data Protection Regulation (GDPR). After informing this administrative body (Aalborg University – AAU Innovation – Grants & Contracts; protocol number: 2021-068-01292), all the participants in the experiment have been informed about the use of the collected data and a GDPR consent form has been sent to them. The authors hereby confirm that the relevant informed consent was obtained from all subjects who have participated in the generation of that dataset. A copy of the original consent form can be found in the dataset. Copies of the signed informed consent are retained by the authors.

No additional approval from institutional review boards or local ethics committees was necessary to conduct this experiment.

## **Credit author statement**

Hicham Johra: Conceptualization, Methodology, Data acquisition, Data curation, Investigation, Supervision, Writing - Original draft preparation. Martin Veit: Methodology, Data acquisition, Data curation, Investigation. Mathias Østergaard Poulsen: Methodology, Data acquisition, Data curation, Investigation, Visualization. Albert Daugbjerg Christensen: Methodology, Data acquisition, Data curation, Investigation, Visualization. Rikke Gade: Methodology, Supervision. Thomas B. Moeslund: Methodology, Supervision, Resources. Rasmus Lund Jensen: Methodology, Supervision, Resources.

## **Credit author statement**

The authors would like to express their gratitude to all the subjects who have participated in the generation of that dataset. This work was supported by the AI for the People Center (<u>https://www.ai.aau.dk</u>) of Aalborg University, Denmark.

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