# A New Class of Public Key Cryptosystems Constructed Based on Error-Correcting Codes, Using K(III) Scheme 

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#### Abstract

In this paper, we present a new scheme referred to as $K(I I I)$ scheme which would be effective for improving a certain class of PKC's. Using $\mathrm{K}(\mathrm{III})$ scheme, we propose a new method for constructing the public-key cryptosystems based on error-correcting codes. The constructed PKC is referred to as K(V)SE(1)PKC. We also present more secure version of $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$, referred to as $\mathrm{K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$, using $\mathrm{K}(\mathrm{I})$ scheme previously proposed by the present author, as well as K (III) scheme.


Key words Public Key Cryptosystem, Error-Correcting Code, Multivariate PKC, Linear PKC, McEliece PKC, PQC.

## 1. Introduction

Most of the multivariate PKC's so far proposed are constructed by simultaneous equations of degree larger than or equal to 2 [1-6]. Recently the present author proposed a several classes of multivariate PKC's that are constructed by many sets of linear equations [7,8], in a sharp contrast with the conventional multivariate PKC's where a single set of simultaneous equations of degree more than or equal to 2 are used. In Ref.[9], the present author proposed a new scheme referred to as $\mathrm{K}(\mathrm{I})$ scheme. This scheme can be applied for constructing a wide class of new PKC's.

In this paper, we present a new scheme referred to as K (I II) scheme which would be effective for improving a certain class of PKC's that are constructed based on error correcting codes. Using $\mathrm{K}($ III $)$ scheme, we propose a new method for constructing the PKC's based on error-correcting codes. The constructed PKC is referred to as $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$. We also present a more secure version of $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$, referred to as $K^{*}(V) S E(1) P K C$, using $K(I)$ scheme. The $\mathrm{K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$ has the following remarkable features:

- Coding rate of exactly 1.0 .
- Significantly small size of public key compared with the conventional SE(1)PKC.

Throughout this paper, when the variable $v_{i}$ takes on a value $\tilde{v}_{i}$, we shall denote the corresponding vector $\boldsymbol{v}=$ $\left(v_{1}, v_{2}, \cdots, v_{n}\right)$ as

$$
\begin{equation*}
\tilde{\boldsymbol{v}}=\left(\tilde{v}_{1}, \tilde{v}_{2}, \cdots, \tilde{v}_{n}\right) . \tag{1}
\end{equation*}
$$

The vector $\boldsymbol{v}=\left(v_{1}, v_{2}, \cdots, v_{n}\right)$ will be represented by the polynomial as

$$
\begin{equation*}
v(x)=v_{1}+v_{2} x+\cdots+v_{n} x^{n-1} \tag{2}
\end{equation*}
$$

The $\tilde{u}, \tilde{u}(x)$ et al. will be defined in a similar manner. Throughout this paper, $(n, k, d)$ code implies the code of length $n$, number of information symbols $k$ and the minimum distance $d$.

## 2. $K(V) S E(1) P K C$

## 2. 1 Construction of $\mathrm{K}(\mathrm{V}) \mathrm{SE}$ (1)PKC

Let the message vector $M$ over $\mathbb{F}_{2^{m}}$ be represented by

$$
\begin{equation*}
\boldsymbol{M}=\left(M_{1}, M_{2}, \cdots, M_{k}\right) . \tag{3}
\end{equation*}
$$

Throughout this paper we assume that the messages $M_{1}, M_{2}, \cdots, M_{k}$ are mutually independent and equally likely. Let $M$ be transformed as

$$
\begin{equation*}
\left(M_{1}, M_{2}, \cdots, M_{k}\right) A_{I}=\left(m_{1}, m_{2}, \cdots, m_{k}\right) \tag{4}
\end{equation*}
$$

where $A_{I}$ is a $k \times k$ non-singular matrix over $\mathbb{F}_{2^{m}}$.
Let the error vector $\boldsymbol{E}$ over $\mathbb{F}_{2^{m}}$ be represented by

$$
\begin{equation*}
\boldsymbol{E}=\left(\alpha_{1} E_{1}, \alpha_{2} E_{2}, \cdots, \alpha_{n} E_{n}\right) \tag{5}
\end{equation*}
$$

where $\alpha_{i} \in \mathbb{F}_{2^{m}}$ and we assume that $n$ is larger than $k$. Let us transform $\boldsymbol{E}$ into $\boldsymbol{e}$,

$$
\begin{align*}
\left(\alpha_{1} E_{1}, \alpha_{2} E_{2}, \cdots, \alpha_{n} E_{n}\right) A_{I I} & =\boldsymbol{e} \\
& =\left(e_{1}, e_{2}, \cdots, e_{k}\right) \tag{6}
\end{align*}
$$

where $A_{I I}$ is an $n \times k$ matrix over $\mathbb{F}_{2^{m}}$.
Let the message vector $\boldsymbol{m}_{E}$ added with error variables $e_{1}, e_{2}, \cdots, e_{k}$ be defined by

$$
\begin{equation*}
\boldsymbol{m}_{E}=\left(m_{1}+e_{1}, m_{2}+e_{2}, \cdots, m_{k}+e_{k}\right) \tag{7}
\end{equation*}
$$

We then encode $\boldsymbol{m}_{E}$ to a code word of an $(n, k, d)$ code over $\mathbb{F}_{2^{m}}$ as

$$
\begin{equation*}
m_{E}(x) x^{g} \equiv r(x) \quad \bmod G(x), \tag{8}
\end{equation*}
$$

where $G(x)$ is the generator polynomial of a cyclic code of degree $g=n-k$ over $\mathbb{F}_{2^{m}}$.

We assume that the minimum distance of the code is given by $2 t+1$. Denoting $r(x)$ in a vector form by $\left(r_{1}, r_{2}, \cdots, r_{g}\right)$ over $\mathbb{F}_{2^{m}}$, the code word $\boldsymbol{w}$ can be represented by

$$
\begin{equation*}
\boldsymbol{w}=\left(r_{1}, r_{2}, \cdots, r_{g}, m_{1}+e_{1}, \cdots, m_{k}+e_{k}\right) . \tag{9}
\end{equation*}
$$

We then construct the word $\boldsymbol{v}$ by adding the error vector $\boldsymbol{E}=\left(E_{1}, E_{2}, \cdots, E_{n}\right)$ on $\boldsymbol{w}:$

$$
\begin{align*}
\boldsymbol{v}= & \boldsymbol{w}+\boldsymbol{E} \\
= & \left(r_{1}+\alpha_{1} E_{1}, r_{2}+\alpha_{2} E_{2}, \cdots, r_{g}+\alpha_{g} E_{g},\right.  \tag{10}\\
& \left.m_{1}+e_{1}+\alpha_{g+1} E_{g+1}, \cdots, m_{k}+e_{k}+\alpha_{n} E_{n}\right) .
\end{align*}
$$

We see that any component of $\boldsymbol{v}$ consists of a linear equation in the variables $M_{1}, M_{2}, \cdots, M_{k}$ and $E_{1}, E_{2}, \cdots, E_{n}$.

Remark 1: The error vector $E=\left(\alpha_{1} E_{1}, \alpha_{2} E_{2}, \cdots, \alpha_{n} E_{n}\right)$ is useful for hiding the structure of the code $\boldsymbol{w}$. Besides the $\boldsymbol{w}$ itself is further transformed to $\boldsymbol{u}_{E}$ using non-singular random matrix $A_{\text {III }}$ over $\mathbb{F}_{2^{m}}$, as we see below.

Let us define $K$ (III) scheme:

K (III) scheme: The process of obtaining the vector $\boldsymbol{v}$ from the message $\boldsymbol{m}_{E}$ is very useful, because it can improve the security or coding rate of a large class of PKC's that are constructed based on error correcting codes (See Fig.1).


Fig. $1 \quad K($ III $)$ scheme

Let us further define a similar but simplified scheme, $\mathrm{K}^{*}$ (III) scheme, in the following:
$\mathrm{K}^{*}$ (III) scheme: Let us first define a predetermined error vector $\boldsymbol{e}=\left(e_{1}, e_{2}, \cdots, e_{n}\right)$ whose Hamming weight $w(\boldsymbol{e})=t$. Let the hashed vector of $\boldsymbol{e}$ be $h(\boldsymbol{e})=\left(e_{1}^{\prime}, e_{2}^{\prime}, \cdots, e_{k}^{\prime}\right)$. The vectors $\boldsymbol{m}_{E}, \boldsymbol{w}, \boldsymbol{v}$ are given in an exactly similar manner as those given from Eqs.(7), (9) and (10).

The vector $\boldsymbol{v}$ is further transformed into $\boldsymbol{u}$,

$$
\begin{align*}
\boldsymbol{v} A_{I I I} & =\boldsymbol{u}  \tag{11}\\
& =\left(u_{1}, u_{2}, \cdots, u_{n}\right) .
\end{align*}
$$

We have the following set of keys:

| Public key: | $\left\{u_{i}\right\}$. |
| :--- | :--- |
| Secret key: | $A_{I}, A_{I I}, A_{I I I}, G(x),\left\{\alpha_{i}\right\},\left\{e_{i}\right\}$. |

### 2.2 Parameters

We see that $u_{i}$ in Eq.(11) is a linear equation in the variables $M_{1}, M_{2}, \cdots, M_{k}$ and $E_{1}, E_{2}, \cdots, E_{n}$. Thus, the total number of equations, $N_{E}$, and the total number of variables, $N_{V}$, are proved to be given by

$$
\begin{equation*}
N_{E}=n=k+g \tag{12}
\end{equation*}
$$

and

$$
\begin{equation*}
N_{V}=k+n=2 k+g \tag{13}
\end{equation*}
$$

respectively.
The size of the public key, $S_{p k}$, is given by

$$
\begin{align*}
S_{p k} & =N_{E} \cdot N_{V} \cdot m  \tag{14}\\
& =(k+g)(2 k+g) m .
\end{align*}
$$

The coding rate, $\rho$, is given by

$$
\begin{equation*}
\rho=\frac{\text { number of information symbols }}{\text { length of ciphertext }}=\frac{k}{n} . \tag{15}
\end{equation*}
$$

## 2. 3 Encryption

The encryption can be performed by the following steps:
Step 1: Letting the Hamming weight of $\tilde{\boldsymbol{E}}$ be denoted by $w_{H}(\tilde{\boldsymbol{E}})$, the sending end chooses nonzero $\tilde{E}_{i}$ 's under the condition that

$$
\begin{equation*}
w_{H}(\tilde{\boldsymbol{E}})=t \tag{16}
\end{equation*}
$$

in a random manner.
Step 2: The ciphertext $c$ is given by

$$
\begin{equation*}
c=\left(\tilde{u}_{1}, \tilde{u}_{2}, \cdots, \tilde{u}_{n}\right) . \tag{17}
\end{equation*}
$$

The component $\tilde{u}_{i}$ is given by

$$
\begin{equation*}
\tilde{u}_{i}=f_{i}^{(1)}\left(\tilde{M}_{1}, \tilde{M}_{2}, \cdots, \tilde{M}_{k}, \tilde{E}_{1}, \tilde{E}_{2}, \cdots, \tilde{E}_{n}\right), \tag{18}
\end{equation*}
$$

where $f_{i}^{(1)}(*)$ implies a linear equation.

### 2.4 Decryption

The decryption can be performed by the following steps:
Step 1: Given $c=\left(\tilde{u}_{1}, \tilde{u}_{2}, \cdots, \tilde{u}_{n}\right)$, the receiving end transforms $c$ into the vector $\tilde{\boldsymbol{v}}$,

$$
\begin{align*}
\left(\tilde{u}_{1}, \tilde{u}_{2}, \cdots, \tilde{u}_{n}\right) A_{I I I}^{-1} & =\tilde{\boldsymbol{v}} \\
& =\left(\tilde{v}_{1}, \tilde{v}_{2}, \cdots, \tilde{v}_{n}\right) . \tag{19}
\end{align*}
$$

Step 2: Given $\tilde{\boldsymbol{v}}$, the error vector $\tilde{\boldsymbol{E}}=\left(\alpha_{1} \tilde{E}_{1}, \alpha_{2} \tilde{E}_{2}, \cdots\right.$, $\left.\alpha_{n} \tilde{E}_{n}\right)$ can be successfully corrected, as $w_{H}(\tilde{\boldsymbol{E}})$ satisfies $w_{H}(\tilde{\boldsymbol{E}})=t$, yielding $\tilde{\boldsymbol{m}}_{E}$ and $\tilde{\boldsymbol{e}}=\left(\tilde{e}_{1}, \tilde{e}_{2}, \cdots, \tilde{e}_{k}\right)$.
Step 3: The vector $\tilde{\boldsymbol{e}}=\left(\tilde{e}_{1}, \tilde{e}_{2}, \cdots, \tilde{e}_{k}\right)$ is subtracted from $\tilde{\boldsymbol{m}}_{E}$, yielding vector $\tilde{\boldsymbol{m}}$.
Step 4: The vector $\tilde{\boldsymbol{m}}$ is inverse-transformed into the original message $\tilde{M}$,

$$
\begin{equation*}
\tilde{\boldsymbol{M}}=\left(\tilde{M}_{1}, \tilde{M}_{2}, \cdots, \tilde{M}_{k}\right) . \tag{20}
\end{equation*}
$$

### 2.5 Security Considerations

In $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$, we do not necessarily recommend to use the Goppa codes. Namely we believe that the use of the conventional code such as BCH code or Reed-Solomon code would cause no deterioration of security, in our proposed scheme.

The linear transformation matrices $A_{I}, A_{I I}$, and $A_{I I I}$ would be effective to hide the code structure. Besides we add the following error vector $\boldsymbol{E}$ on $\boldsymbol{w}$ :

$$
\begin{equation*}
\boldsymbol{E}=\left(\alpha_{1} E_{1}, \alpha_{2} E_{2}, \cdots, \alpha_{n} E_{n}\right), \tag{21}
\end{equation*}
$$

where $\alpha_{i} \in \mathbb{F}_{2^{m}}$ is chosen in a random manner.
As $E_{i}$ takes on the value in $\mathbb{F}_{2^{m}}$ also in a random manner, the ambiguity of $E_{i}, h\left(E_{i}\right)$, can be given by

$$
\begin{equation*}
h\left(E_{i}\right)=\log _{2}\left(2^{m}-1\right) \text { (bit). } \tag{22}
\end{equation*}
$$

In the examples given in this paper, the ambiguity of $\boldsymbol{E}$ will be chosen sufficiently large.

Remark 2: For $m=1$, we let $\alpha_{i}=1 ; i=1,2, \cdots, n$. Thus the entropy $h\left(\alpha_{i}\right)=0$ (bit).

The entropy of the vector $\boldsymbol{E}, h(\boldsymbol{E})$, can be given by

$$
\begin{equation*}
h(\boldsymbol{E})={ }_{n} C_{t} t \log _{2}\left(2^{m}-1\right)(\text { bit }), \tag{23}
\end{equation*}
$$

for $m \geqq 2$.
Remark 3: The error vector $\boldsymbol{E}$ is added on $\boldsymbol{w}$ whose component is given by a linear combination of $E_{1}, E_{2}, \cdots, E_{n}$. We thus conclude that the error vector $\boldsymbol{E}$ having a large ambiguity is able to hide the structure of the code used. Furthermore $\boldsymbol{w}+\boldsymbol{E}$ is transformed into $\boldsymbol{u}$ using $A_{I I I}$ whose ambiguity can be given approximately by $m n^{2}$ bit.

One of the most strong attacks on $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$ would be the following attack.

Attack I: Attack on $\boldsymbol{E}$.

On Attack I, we assume the following two cases.
Case I: Attack I successfully estimates a set of error free symbols in the ciphertext at $k$ locations, $S_{1}, S_{2}, \cdots, S_{k}$.
Case II: Attack I successfully estimates $t$ nonzero symbols of the error vector $\boldsymbol{E}$.

Case I provides the $k$ linear equations in $k$ variables, yielding the message symbols $m_{1}, m_{2}, \cdots, m_{k}$. However each equation has an error component given by a linear combination of $t$ errors. Let the probability that an error component consisted of $t$ errors happens to be zero be denoted by $P_{E}(0)$. The $P_{E}(0)$ is given by

$$
\begin{equation*}
P_{E}(0)=2^{-m} \tag{24}
\end{equation*}
$$

for sufficiently large $t$. The probability that Case I where $k$ error components happen to be all zeros occurs, $P_{c}(\mathrm{I})$, is given by

$$
\begin{equation*}
P_{c}(\mathrm{I})=2^{-m k} . \tag{25}
\end{equation*}
$$

In the examples given in Table 1, the probabilities $P_{c}(\mathrm{I})$ 's are made to be sufficiently small.
The probability that the Case II occurs, $P_{c}($ II $)$, is given by

$$
\begin{equation*}
P_{c}(\mathbb{I I})=\frac{1}{{ }_{n} C_{t}}\left(2^{m}-1\right)^{-t} \tag{26}
\end{equation*}
$$

We shall also see that the probability $P_{c}(\mathbb{I I})$ is made sufficiently small in the examples in Table 1.

## 2. 6 Example

In Table 1, we resent several example of $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$.
表 1 Examples of $\mathrm{K}(\mathrm{V}) \mathrm{SE}(1) \mathrm{PKC}$ over $\mathbb{F}_{2}{ }^{m}$

|  | $m$ | Code | $n, N_{E}$, | $k$ | $n+k, N_{V}$ | $g, n-k$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Example I | 1 | $\mathrm{KS}[12]$ | 197 | 101 | 293 | 96 |
| Example II | 1 | $\mathrm{BCH}[12]$ | 255 | 147 | 402 | 108 |
| Example III | 8 | $\mathrm{~S} \cdot \mathrm{RS}^{* 1}$ | 128 | 112 | 240 | 12 |
| Example IV | 8 | $\mathrm{~S} \cdot \mathrm{RS}^{* 1}$ | 64 | 48 | 112 | 16 |
|  | $t$ | $P_{c}(\mathrm{I})$ |  | $P_{c}(\mathbb{I I})$ |  | $S_{p k}(\mathrm{Kbit})$ |
|  | $\rho$ |  |  |  |  |  |
| Example I | 13 | $3.94 \times 10^{-31}$ | $2.57 \times 10^{-18}$ | 58 | 0.512 |  |
| Example II | 14 | $5.60 \times 10^{-45}$ | $2.55 \times 10^{-23}$ | 197 | 0.58 |  |
| Example III | 6 | $1.89 \times 10^{-270}$ | $6.54 \times 10^{-25}$ | 246 | 0.875 |  |
| Example IV | 8 | $2.53 \times 10^{-116}$ | $1.23 \times 10^{-29}$ | 57 | 0.75 |  |

${ }^{* 1}$ S•RS: Shortened Reed-Solomon code.

In Table 1, we present two examples of K(V)SE(1)PKC over $\mathbb{F}_{2^{8}}$.

## 3. Construction of $\mathrm{K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$

## 3. 1 K* $\mathbf{K}^{*}$ )SE(1)PKC

In Ref.[9], the present author proposed a new scheme that has successfully strengthened a class of public key cryptosystems. Based on the new scheme, referred to as $\mathrm{K}(\mathrm{I})$ scheme, a new class of public key cryptosystem, K(IV)SE(1)PKC, is proposed in Ref.[9]. The K(IV)SE(1)PKC has the following remarkable features:

- Simple process of decryption as it uses a small class of perfect codes such as $(7,4,3)$ Hamming code.
- Coding rate of exactly 1.0.
- Significantly small size of public key compared with that of McEliece PKC presented in 1977.

In this section we present another class of PKC, $K^{*}(V) S E(1) P K C$, by applying $K(I)$ scheme for $K(V) S E(1) P K C$. The principle of $K(I)$ scheme is given in Fig.1. In $K(I)$ scheme, we assume that the conditional entropy $H\left(\boldsymbol{M} \mid \boldsymbol{m}_{p}\right)$ satisfies the following relation holds:

$$
\begin{equation*}
H\left(\boldsymbol{M} \mid \boldsymbol{m}_{P}\right) \geqq 80 \text { bit. } \tag{27}
\end{equation*}
$$



Fig. $2 \quad K(I)$ scheme

## $3.2 \mathrm{~K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$ based on $(7,4,3)$ cyclic Hamming code

### 3.2.1 Construction

Using $K(I)$ scheme, let us construct $K^{*}(V) S E(1) P K C$ based on $(7,4,3)$ cyclic Hamming code. Let us partition the message vector $\boldsymbol{m}$ into $\boldsymbol{m}_{E N C}$ and $\boldsymbol{m}_{P U B}$

$$
\begin{equation*}
\boldsymbol{m}_{E N C}=\left(\boldsymbol{m}_{1}, \boldsymbol{m}_{2}, \cdots, \boldsymbol{m}_{L}\right) \tag{28}
\end{equation*}
$$

where $\boldsymbol{m}_{i}=\left(m_{i 1}, m_{i 2}, m_{i 3}, m_{i 4}\right)$, and

$$
\begin{equation*}
\boldsymbol{m}_{P U B}=\left(m_{4 L+1}, m_{4 L+2}, \cdots, m_{4 L+H}\right) \tag{29}
\end{equation*}
$$

respectively.
The component $\boldsymbol{m}_{i}$ of $\boldsymbol{m}_{E N C}$ is encoded to $(7,4,3)$ cyclic Hamming code. The $\boldsymbol{m}_{P U B}$ is publicized.

Let the error vector $\boldsymbol{E}_{i}$ be,

$$
\begin{equation*}
\boldsymbol{E}_{i}=\left(E_{i 1}, E_{i 2}, \cdots, E_{i 7}\right) \tag{30}
\end{equation*}
$$

From $\boldsymbol{E}_{i}$ we obtain the error vector $\boldsymbol{e}_{i}$ in a similar manner as we have obtained $\boldsymbol{e}$ from Eq.(6).

Let the i-th component of $\boldsymbol{m}_{E N C}, \boldsymbol{m}_{i}$, be encoded to the code word of $(7,4,3)$ cyclic Hamming code, a member of the perfect codes, as

$$
\begin{align*}
& \left\{m_{i}(x)+e_{i}(x)\right\} x^{3} \\
& =d_{i 1}+d_{i 2} x+d_{i 3} x^{2} \bmod \left(1+x+x^{3}\right)  \tag{31}\\
& \\
& \\
& \quad ; i=1, \cdots, L .
\end{align*}
$$

The code word $\boldsymbol{w}_{i}$ is given by

$$
\begin{array}{r}
\boldsymbol{w}_{i}=\left(d_{i 1}, d_{i 2}, d_{i 3}, m_{i 1}+e_{i 1}, \cdots, m_{i 4}+e_{i 4}\right)  \tag{32}\\
; i=1, \cdots, L
\end{array}
$$

The $\boldsymbol{w}_{i}$ is added with $\boldsymbol{E}_{i}$,

$$
\begin{align*}
\boldsymbol{w}_{i}+\boldsymbol{E}_{i} & =\boldsymbol{v}_{i}  \tag{33}\\
& =\left(v_{i 1}, v_{i 2}, \cdots, v_{i 7}\right)
\end{align*}
$$

The word $\boldsymbol{v}_{i}$ is then transformed into $\boldsymbol{u}_{i}$,

$$
\begin{align*}
\boldsymbol{v}_{i} A_{I V} & =\boldsymbol{u}_{i} \\
& =\left(u_{i 1}, u_{i 2}, \cdots, u_{i 7}\right) \tag{34}
\end{align*}
$$

where $A_{I V}$ is a $7 \times 7$ nonsingular matrix.
Letting $A_{V}$ be an $H \times 7 L$ matrix over $\mathbb{F}_{2}$, the message $\boldsymbol{m}_{P}$ is transformed as

$$
\begin{equation*}
\left(m_{4 L+1}, \cdots, m_{4 L+H}\right) A_{V}=\left(\boldsymbol{\lambda}_{1}, \boldsymbol{\lambda}_{2}, \cdots, \boldsymbol{\lambda}_{L}\right) \tag{35}
\end{equation*}
$$

where $\boldsymbol{\lambda}_{i}$ is

$$
\begin{equation*}
\boldsymbol{\lambda}_{i}=\left(\lambda_{i 1}, \lambda_{i 2}, \cdots, \lambda_{i 7}\right) \tag{36}
\end{equation*}
$$

Let $\boldsymbol{u}_{i}$ be defined as

$$
\begin{equation*}
\boldsymbol{y}_{i}=\boldsymbol{u}_{i}+\boldsymbol{\lambda}_{i}(i=1, \cdots, L) \tag{37}
\end{equation*}
$$

Public Key: $\left\{m_{4 L+1}, \cdots, m_{4 L+H}\right\},\left\{\boldsymbol{y}_{i}\right\}$
Secret Key: $\quad A_{I}, A_{I I}, A_{I V}, A_{V},\left\{\boldsymbol{u}_{i}\right\},\left\{\boldsymbol{\lambda}_{i}\right\}$

### 3.2.2 Encryption and Decryption

The ciphertext $\boldsymbol{c}$ is given by

$$
\begin{equation*}
\boldsymbol{c}=\left(\tilde{\boldsymbol{m}}_{P}, \tilde{\boldsymbol{y}}_{1}, \tilde{\boldsymbol{y}}_{2}, \cdots, \tilde{\boldsymbol{y}}_{L}\right) \tag{38}
\end{equation*}
$$

Because the component of $\tilde{\boldsymbol{y}}_{i}$ is a linear combination of the message variables $\tilde{M}_{1}, \tilde{M}_{2}, \cdots, \tilde{M}_{k}$ added with error vector $\tilde{\boldsymbol{e}}_{i}$, the encryption can be performed fast.

The decryption can be performed in an exactly similar manner as in Ref.[9]. The decryption can be performed by
(1) Linear transformations by $A_{I}^{-1}, A_{I I}^{-1}, A_{I V}^{-1}$, and $A_{V}^{-1}$,
(2) Single error correction for $(7,4,3)$ cyclic Hamming code. We see that the decryption is also simple and can be performed fast.

### 3.2.3 Security Considerations

From the given ciphertext, $\tilde{m}_{4 L+1}, \cdots, \tilde{m}_{4 L+H}$ are given as they are. However it should be noted that the total number of equations in $m_{4 L+1}, \cdots, m_{4 L+H}, N_{E}$, is significantly smaller than the total number of the variables, $N_{V}=n$. Namely, $N_{V} \gg N_{E}$. Thus the most powerful attack on $\mathrm{K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$ would be the following attack:

Attack II: Given the ciphertext, Attack II estimates an error symbol from the given $\tilde{\boldsymbol{y}}_{i}(i=1, \cdots, L)$.

Let us assume that $H$ and $L$ are given by $H=80$ and $L=16$ respectively. Let $P\left(C_{\mathrm{EST}}\right)$ be the probability that 4 components of $\boldsymbol{w}_{i}$ are estimated correctly when $\tilde{\boldsymbol{y}}_{i}$ is given. The probability $P\left(C_{\mathrm{EST}}\right)$ is evidently given by

$$
\begin{equation*}
P\left(C_{\mathrm{EST}}\right) \leqq\left(\frac{1}{2}\right)^{4} \tag{39}
\end{equation*}
$$

The probability that the correct estimation can be performed for all of the $\boldsymbol{y}_{i}$ 's is given by

$$
\begin{equation*}
\left[P\left(C_{\mathrm{EST}}\right)\right]^{L} \leqq\left(\frac{1}{16}\right)^{16}=5.42 \times 10^{-20} \tag{40}
\end{equation*}
$$

sufficiently small value. We thus conclude that $K^{*}(V) \operatorname{SE}(1) P K C$ is secure against the Attack II.

Attack III: Given the ciphertext, Attack III discloses the message $\tilde{\boldsymbol{m}}_{i}$ using the decoding table of a very small size.

The $\boldsymbol{w}_{i}$ takes on only $2^{4}$ values. However $\boldsymbol{\lambda}_{i}$ is added on $\boldsymbol{w}_{i}$, $\boldsymbol{u}_{i}$ takes on one of the $2^{7}$ values equally likely. Consequently $\mathrm{K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$ is secure against the Attack III.

### 3.3 Parameters

Let us assume that $H=80$ and $L=16$, then $N_{E}, N_{V}$, and $S_{P K}$ are given as

$$
\begin{align*}
& N_{E}=H+7 L=192,  \tag{41}\\
& N_{V}=n=4 L+H=146, \tag{42}
\end{align*}
$$

and

$$
\begin{equation*}
S_{P K}=N_{E} \cdot N_{V}=28.0 \mathrm{Kbit}, \tag{43}
\end{equation*}
$$

respectively.
We see that the size of public key is smaller than 524 Kbit of the McEliece PKC by a factor of 18 .

Let us append an additional message sequence $M_{A}=$ $\left(M_{n+1}, M_{n+2}, \cdots, M_{n+3 L}\right)$. It should be noted that when the message variables are mutually independent and equally likely, any error symbol $e_{i j}(j=1, \cdots, 7)$ can be substituted by a set of additional meesage $\boldsymbol{M}_{i}^{A}=\left(M_{i 1}, M_{i 2}, M_{i 3}\right)$ without deteriorating the security of $\mathrm{K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$, yielding the improvement of the coding rate. Letting $\boldsymbol{M}_{i}^{A}=$
( $M_{i 1}, M_{i 2}, M_{i 3}$ ), in the substitution, $\boldsymbol{M}_{i}^{A}$ is read as the natural binary number. For example, when $\boldsymbol{M}_{i}^{A}=(011), \boldsymbol{M}_{i}^{A}$ is read as $\left|\boldsymbol{M}_{i}{ }^{A}\right|=3$. With this transformation $\boldsymbol{M}_{i}^{A}$ is substituted by an error $x^{\left|\boldsymbol{M}_{i}^{A}\right|-1}$ for $1 \leqq\left|\boldsymbol{M}_{i}^{A}\right| \leqq 7$. For $\left|\boldsymbol{M}_{i}^{A}\right|=0, e_{i}$ takes on the value 0 . The coding rate $\rho$ is given by

$$
\begin{equation*}
\rho=\frac{N_{V}}{N_{E}}=1.0 \tag{44}
\end{equation*}
$$

It should be noted that with the substitution coding rate of exactly 1.0 is achieved.

## 3. $4 \mathrm{~K}^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$ based on $(3,1,3)$ code

In an exactly similar manner in the preceding subsection, a simpler scheme can be constructed based on $(3,1,3)$ cyclic Hamming code, the smallest error correcting code but a perfect code over $\mathbb{F}_{2}$. Let $m_{i}$, the i-th component of $\boldsymbol{m}_{E}$, be encoded to the code word of $(3,1,3)$ cyclic Hamming code as

$$
\begin{equation*}
\left(m_{i}+e_{i}\right) x^{2}=d_{i 1}+d_{i 2} x \quad \bmod \left(1+x+x^{2}\right) \tag{45}
\end{equation*}
$$

The word $\boldsymbol{v}_{i}$ is given by

$$
\begin{equation*}
\boldsymbol{v}_{i}=\boldsymbol{w}_{i}+\boldsymbol{E}_{i} . \tag{46}
\end{equation*}
$$

Letting $H=60$ and $L=64$, the probability $P\left(C_{\mathrm{EST}}\right)$ and $\left[P\left(C_{\mathrm{EST}}\right)\right]^{L}$ are given by

$$
\begin{equation*}
P\left(C_{\mathrm{EST}}\right)=\frac{1}{2} \tag{47}
\end{equation*}
$$

and

$$
\begin{equation*}
\left[P\left(C_{\mathrm{EST}}\right)\right]^{L}=\left(\frac{1}{2}\right)^{64}=5.42 \times 10^{-20} \tag{48}
\end{equation*}
$$

respectively.
The $N_{E}, N_{V}, S_{P K}$ and $\rho$ are given by

$$
\begin{align*}
& N_{E}=H+3 L=252,  \tag{49}\\
& N_{V}=n=H+L=124  \tag{50}\\
& S_{P K}=N_{E} \cdot N_{V}=31.2 \mathrm{Kbit} \tag{51}
\end{align*}
$$

and

$$
\begin{equation*}
\rho=1.0 \tag{52}
\end{equation*}
$$

by the substitution.

## 4. Conclusion

We have presented a new class of PKC, referred to as K(V)SE(1)PKC. We have shown that the K(V)SE(1)PKC can be made sufficiently secure against the attack based on linear transformations. We have also presented $K^{*}(\mathrm{~V}) \mathrm{SE}(1) \mathrm{PKC}$ based on the members of the class of perfect codes, using K(I) scheme. The $K^{*}(V) S E(1) P K C$ has the following remarkable features:

- Coding rate of exactly 1.0 .
- Small size of public key compared with the conventional SE(1)PKC.

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