# CCA2 Secure Certificateless Encryption Schemes Based on RSA

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Abstract. Certificateless cryptography, introduced by Al-Riyami and Paterson eliminates the key escrow problem inherent in identity based cryptosystem. In this paper, we present two novel and completely different RSA based adaptive chosen ciphertext secure (CCA2) certificateless encryption schemes. The new schemes are efficient when compared to other existing certificateless encryption schemes that are based on the costly bilinear pairing operation and are quite comparable with the certificateless encryption scheme based on multiplicative groups (without bilinear pairing) by Sun et al. [18] and the RSA based CPA secure certificateless encryption scheme by Lai et al. [11]. We consider a slightly stronger security model than the ones considered in [11] and [18] to prove the security of our schemes.

Keywords. Certificateless encryption, Adaptive Chosen Ciphertext Secure (CCA2), RSA Assumption, Random Oracle model.

# 1 Introduction

Cryptosystem based on Public Key Infrastructure (PKI) allows any user to choose his own private key and the corresponding public key. The public key is submitted to a certification authority (CA), which verifies the identity of the user and issues certificates linking his identity and the public key. Thus, a PKI based system needs digital certificate management that is too cumbersome to maintain and manage. Adi Shamir introduced the notion of Identity Based Cryptography (IBC) [15] to reduce the burden of a PKI due to digital certificate management. In IBC, the private key of a user is not chosen by him, instead it is generated and issued by a trusted authority called the Private Key Generator (PKG) or Trust Authority (TA). This private key corresponds to the user's public key which is generated from strings that represent the user's identity, avoiding the need for certificates altogether. The inherent weakness of IBC is the key escrow problem. The PKG is responsible for generating the private keys of all the users in the system and it knows the private keys of all the users in the system, which is informally called as the key escrow problem. Certificateless Cryptography (CLC) introduced by Al-Riyami and Paterson [1] addresses this issue to some extent, while avoiding the use of certificates and the need for CA. The principle behind CLC is to partition the private key of a user into two components: an identity based partial private key (generated by the PKG) and a noncertified private key (which is chosen by the user and not known to the PKG). This technique potentially combines the best features of IBC and PKI.

CLC also uses identities that uniquely identify a user in the system as in IBC but the public key of a user is not his identity alone but it is a combination of his identity and the public key corresponding to the non-certified private key chosen by the user. CLC involves a trusted third party as in IBC, named as the Key Generation Center (KGC), who generates partial private keys for the users registered with it. Each user selects his own secret value and a combination of the partial private key and the secret value acts as the full private key of the user. The authors of [1] have shown realization for certificateless encryption (CLE), signature (CLS) and key exchange (CLK) schemes in their paper. Huang et al. [10] and Castro et al. [4] independently showed that the signature scheme in [1] is not secure against Type-I adversary (explained in later sections), i.e. it is possible to launch a key replacement attack on the scheme and they also gave a new certificateless signature scheme. Lot of CLE schemes were proposed, whose security were proved both in the random oracle model [2, 5, 16, 18] and standard model [12, 14]. Recently, Dent [6] has given a survey on the

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various security models for CLE schemes, mentioning the subtle difference in the level of security offered by each model. Dent has also given the generic construct and an efficient construction for CLE. The initial constructs for certificateless cryptosystem were all based on bilinear pairing [5, 16, 12, 14]. Baek et al. [2] were the first to propose a CLE scheme without bilinear pairing. Certificateless cryptosystem are prone to key replacement attack because the public keys are not certified and anyone can replace the public key of any legitimate user in the system. The challenging task in the design of certificateless cryptosystem is to come up with schemes which resists key replacement attacks. The CLE in [2] did not withstand key replacement attack, which was pointed out by Sun et al. in [18]. Sun et al. fixed the problem by changing the partial key extract and setting public key procedures.

**Related Works.** Both the aforementioned schemes, namely [2] and [18] were based on multiplicative groups. Lai et al. in [11] proposed the first RSA-based CLE scheme. They have proved their scheme secure against chosen plaintext attack (CPA). In fact they left the design of a CCA secure system based on RSA as open. One may be tempted to think that the CPA secure scheme of Lai et al. in [11] can be made CCA secure by using any well known transformations like [9], [8] but giving access to the secret value of the target identity and strong decryption oracle to the Type-I adversary makes the resulting scheme insecure. Moreover, the scheme in [11] cannot be directly extended to a CLE scheme, whose Type-I and Type-II security relies on RSA assumption without making considerable changes in the scheme, hence we design a totally new scheme from scratch.

**Our Contribution.** In this paper, we propose two CLE schemes. The Type-I security of the first scheme is based on the RSA assumption and the Type-II security is based on the composite computational Diffe Hellman assumption (CCDH). Both Type-I and Type-II securities of our second scheme are based on the RSA assumption. Thus, we provide a scheme which is partially RSA based (like [11], but CCA2 secure) and another scheme which is fully RSA based. We formally prove both our schemes to be Type-I and Type-II secure under adaptive chosen ciphertext attack (CCA2) in the random oracle model. This is the strongest security notion for any encryption scheme. One of the striking features of our schemes is the novel key construction algorithm, which is completely new and different from other key constructs used so far in designing CLE. Moreover, the security model for the two existing secure schemes, [11] and [18] do not provide access to the secret value corresponding to the target identity during the Type-I confidentiality game. We also provide the strong decryption oracle for Type-I adversary. Strong decryption oracle means the decryption corresponding to a ciphertext is provided by the challenger even if the public key of a user is replaced after the generation of the ciphertext [6]. We provide these oracle queries to the Type-I adversary of both the schemes and prove the security of our schemes in this stronger model. We stress that our second scheme is the major contribution in this paper and the first scheme is a stepping stone towards our fully RSA secure scheme. Even though computation of bilinear pairing has become efficient, finding out pairing friendly curves are difficult [7] and most of the efficient curves and means of compressing are patented. Thus, we have only a hand full of elliptic curves that support pairing for designing cryptosystem. Besides, since the RSA patent expired in the year 2000, designing cryptographic schemes based on RSA assumption gets more attention these days. Hence, the research in pairing free protocol is a very important and worthwhile effort.

We use the following well known hard problems to establish the security of our new schemes:

**Definition 1.** (The RSA Problem): Given an RSA public key (n, e), where n = pq, p, q, (p-1)/2 and (q-1)/2 are large prime numbers, e is an odd integer such that  $gcd(e, \phi(n)) = 1$  and  $b \in_R \mathbb{Z}_n^*$ , finding  $a \in \mathbb{Z}_n^*$  such that  $a^e \equiv b \pmod{a}$  is referred as the RSA problem.

An RSA problem solver with  $\epsilon$  advantage is a probabilistic polynomial algorithm  $\mathcal{A}_{RSA}$  which solves the RSA problem and  $\epsilon = Prob[a \leftarrow \mathcal{A}_{RSA}(n, e, b = a^e)].$ 

**Definition 2.** (The Composite Computational Diffie Hellman Problem (CCDH) [17], [13]) Given  $p, q, n, \langle g, g^a, g^b \rangle \in \mathbb{Z}_n^*$ , where n is a composite number with two big prime factors p and q, also (p-1)/2 and (q-1)/2 are prime numbers, finding  $g^{ab}$  mod n is the Composite Computational Diffie Hellman Problem in  $\mathbb{Z}_n^*$ , where  $a, b \in \mathbb{Z}_n^{odd}$ .

The advantage of any probabilistic polynomial time algorithm  $\mathcal{A}$  in solving the CCDH problem in  $\mathbb{Z}_n^*$  is defined as

$$Adv_{\mathcal{A}}^{CCDH} = Pr\left[\mathcal{A}(p,q,n,g,g^a,g^b) = g^{ab} \mid a,b \in \mathbb{Z}_n^{odd}\right]$$

The CCDH Assumption is that, for any probabilistic polynomial time algorithm  $\mathcal{A}$ , the advantage  $Adv_{\mathcal{A}}^{CCDH}$  is negligibly small.

# 2 Framework and Security Models

In this section, we discuss the general framework for CLE. We adopt the definition of certificateless public key encryption, given by Baek et al. [2]. Their definition of CLE is weaker than the original definition by Al-Riyami and Paterson [1] because the user has to obtain a partial public key from the KGC before he can create his public key (While in Al-Riyami and Paterson's original CLE this is not the case). We also review the notion of Type-I and Type-II adversaries and provide the security model for CLE.

### 2.1 Framework for CLE

A certificateless public-key encryption scheme is defined by six probabilistic, polynomial-time algorithms which are defined below:

**Setup:** This algorithm takes as input a security parameter  $1^{\kappa}$  and returns the master private key msk and the system public parameters *params*. This algorithm is run by the KGC in order to initialize a certificateless system.

**Partial Key Extract:** This algorithm takes as input the public parameters *params*, the master private key msk and an identity  $ID_A \in \{0,1\}^*$  of a user A. It outputs the partial private key  $s_A$  and a partial public key  $PPK_A$  of user A. This algorithm is run by the KGC once for each user and the corresponding partial private key and partial public key is given to A through a secure and authenticated channel.

Set Private Key: This algorithm is run once by each user. It takes the public parameters *params*, the user identity  $ID_A$  and A's partial private key  $s_A$  as input. The algorithm generates a secret value  $y_A \in S$ , where S is the secret value space. Now, the full private key  $D_A$  is a combination of the secret value  $y_A$  and the partial private key  $s_A$  of A.

**Set Public Key:** This algorithm run by the user, takes as input the public parameters *params*, a user, say A's partial public key  $PPK_A$  and the full private key  $D_A$ . It outputs a public key  $PK_A$  for A. This algorithm is run once by the user and the resulting full public key is widely and freely distributed. The full public key of user A consists of  $PK_A$  and  $ID_A$ .

**Encryption:** This algorithm takes as input the public parameters *params*, a user A's identity  $ID_A$ , the user public key  $PK_A$  and a message  $m \in \mathcal{M}$ . The output of this algorithm is the ciphertext  $\sigma \in \mathcal{CS}$ . Note that  $\mathcal{M}$  is the message space and  $\mathcal{CS}$  is the ciphertext space.

**Decryption:** This algorithm takes as input the public parameters *params*, a user, say A's private key  $D_A$  and a ciphertext  $\sigma \in C$ . It returns either a message  $m \in M$  - if the ciphertext is valid, or *Invalid* - otherwise.

### 2.2 Security model for CLE

The confidentiality of any CLE scheme is proved by means of an interactive game between a challenger C and an adversary. In the confidentiality game for certificateless encryption (IND-CLE-CCA2) the adversary is given access to the following five oracles. These oracles are simulated by C:

**Partial Key Extract for**  $ID_A$ : C responds by returning the partial private key  $s_A$  and the partial public key  $PPK_A$  of the user A.

**Extract Secret Value for**  $ID_A$ : If A's public key has not been replaced then C responds with the secret value  $y_A$  for user A. If the adversary has already replaced A's public key, then C does not provide the corresponding private key to the adversary.

**Request Public Key for**  $ID_A$ : C responds by returning the full public key  $PK_A$  for user A. (First by choosing a secret value if necessary).

**Replace Public Key for**  $ID_A$ : The adversary can repeatedly replace the public key  $PK_A$  for a user A with any valid public key  $PK'_A$  of its choice. The current value of the user's public key is used by C in any computations or responses.

**Decryption for ciphertext**  $\sigma$  and identity  $ID_A$ : The adversary can issue a decryption query for ciphertext  $\sigma$  and identity  $ID_A$  of its choice, C decrypts  $\sigma$  and returns the corresponding message to the adversary. C should be able to properly decrypt ciphertexts, even for those users whose public key has been replaced, i.e. this oracle provides the decryption of a ciphertext, which is generated with the current valid public key. The strong decryption oracle returns *Invalid*, if the ciphertext corresponding to any of the previous public keys were queried. This is a strong property of the security model (Note that, C may not know the correct private

key of the user). However, this property ensures that the model captures the fact that changing a user's public key to a value of the adversary's choice may give the adversary an advantage in breaking the scheme. This is called as strong decryption in [6]. Our schemes provides strong decryption for Type-I adversary.

There are two types of adversaries (namely Type-I and Type-II) to be considered for any certificateless encryption scheme. The Type-I adversary models the attack by a third party attacker, (i.e. anyone except the legitimate receiver or the KGC) who is trying to gain some information about a message from the encryption. The Type-II adversary models the honest-but-curious KGC who tries to break the confidentiality of the scheme. Here, the attacker is allowed to have access to master private key msk. This means that we do not have to give the attacker explicit access to partial key extraction, as the adversary is able to compute these value on its own. The most important point about Type-II security is that the adversary modeling the KGC should not have replaced the public key for the target identity before the challenge is issued.

The IND-CLE-CCA2 security model distinguishes the two types of adversary Type-I and Type-II with the following constraints.

- Type-I adversary  $A_I$  is allowed to change the public keys of users at will but does not have access to the master private key msk.
- Type-II adversary  $\mathcal{A}_{II}$  is equipped with the master private key msk but is not allowed to replace public keys corresponding to the target identity.

IND-CLE-CCA2 game for Type-I Adversary: The game is named as IND-CLE-CCA2-I. This game, played between the challenger C and the Type-I adversary  $A_I$ , is defined below:

**Setup:** Challenger C runs the setup algorithm to generate master private key msk and public parameters params. C gives params to  $A_I$  while keeping msk secret. After receiving params,  $A_I$  interacts with C in two phases:

**Phase I:**  $A_I$  is given access to all the five oracles.  $A_I$  adaptively queries the oracles consistent with the constraints for Type-I adversary described above.

**Challenge:** At the end of **Phase I**,  $\mathcal{A}_I$  gives two messages  $m_0$  and  $m_1$  of equal length to  $\mathcal{C}$  on which it wishes to be challenged.  $\mathcal{C}$  randomly chooses a bit  $\delta \in_R \{0, 1\}$  and encrypts  $m_\delta$  with the target identity  $ID^*$ 's public key to form the challenge ciphertext  $\sigma^*$  and sends it to  $\mathcal{A}_I$  as the challenge. (Note that the partial Private Key corresponding to  $ID^*$  should not be queried by  $\mathcal{A}_I$  but the secret value corresponding to  $ID^*$  may be queried. This makes our security model stronger when compared to the security models of [11] and [18].)

**Phase II:**  $\mathcal{A}_I$  adaptively queries the oracles consistent with the constraints for Type-I adversary described above. Besides this  $\mathcal{A}_I$  cannot query *Decryption* on  $(\sigma^*, ID^*)$  and the partial private key of the receiver should not have been queried to the *Extract Partial Private Key* oracle.

**Guess:**  $A_I$  outputs a bit  $\delta'$  at the end of the game.  $A_I$  wins the IND-CLE-CCA2-I game if  $\delta' = \delta$ . The advantage of  $A_I$  is defined as -

$$Adv_{\mathcal{A}_{I}}^{IND-CLE-CCA2-I} = |2Pr[\delta = \delta'] - 1|$$

IND-CLE-CCA2 game for Type-II Adversary: The game is named as IND-CLE-CCA2-II. This game, played between the challenger C and the Type-II adversary  $A_{II}$ , is defined below:

**Setup:** Challenger C runs the setup algorithm to generate master private key msk and public parameters params. C gives params and the master private key msk to  $A_{II}$ . After receiving params,  $A_{II}$  interacts with C in two phases:

**Phase I:**  $\mathcal{A}_{II}$  is not given access to the *Extract partial Private Key* oracle because  $\mathcal{A}_{II}$  knows msk, it can generate the partial private key of any user in the system. All other oracles are accessible by  $\mathcal{A}_{II}$ .  $\mathcal{A}_{II}$  adaptively queries the oracles consistent with the constraints for Type-II adversary described above.

**Challenge:** At the end of **Phase I**,  $\mathcal{A}_{II}$  gives two messages  $m_0$  and  $m_1$  of equal length to  $\mathcal{C}$  on which it wishes to be challenged.  $\mathcal{C}$  randomly chooses a bit  $\delta \in_R \{0, 1\}$  and encrypts  $m_{\delta}$  with the target identity  $ID^*$ 's public key to form the challenge ciphertext  $\sigma^*$  and sends it to  $\mathcal{A}_{II}$  as the challenge. (Note that the Secret Value Corresponding to  $ID^*$  should not be queried by  $\mathcal{A}_{II}$  and the public key corresponding to  $ID^*$  should not be replaced during **Phase I**.)

**Phase II:**  $A_{II}$  adaptively queries the oracles consistent with the constraints for Type-II adversary described above. Besides this  $A_{II}$  cannot query *Decryption* on  $(\sigma^*, ID^*)$  and the Secret Value corresponding to the

receiver should not be queried to the *Extract Secret Value* oracle and the public key corresponding to  $ID^*$  should not be replaced during *Phase I*.

**Guess:**  $A_{II}$  outputs a bit  $\delta'$  at the end of the game.  $A_{II}$  wins the IND-CLE-CCA2-II game if  $\delta' = \delta$ . The advantage of  $A_{II}$  is defined as -

$$Adv_{\mathcal{A}_{II}}^{IND-CLE-CCA2-II} = |2Pr[\delta = \delta'] - 1|$$

# 3 Basic RSA-Based CLE Scheme (RSA-CLE<sub>1</sub>)

In this section, we propose the basic RSA based certificateless encryption scheme RSA-CLE<sub>1</sub> and also prove the security of the scheme against both Type-I and Type-II adversaries under adaptive chosen ciphertext attack (CCA2). For this scheme the Type-I security relies on the RSA assumption and the Type-II security is based on the composite computational Diffie Hellman assumption (CCDH).

**Notation**: We use the notation  $\mathbb{Z}_n^{odd}$  to represent the odd numbers from [0, n]. Throughout the paper, in order to choose a random odd number from the range [1, n], we randomly pick an element in  $\mathbb{Z}_n$  and check whether it is odd, if it is odd, we accept it, else we subtract 1 from the chosen number. These numbers are represented as  $\mathbb{Z}_n^{odd}$ .

#### 3.1 The RSA-CLE<sub>1</sub> Scheme

The proposed scheme comprises the following six algorithms. Unless stated otherwise, all computations except those in the Setup algorithm are done mod n.

Setup: The KGC does the following to initialize the system and to setup the public parameters.

- Chooses two primes p and q, such that p = 2p' + 1 and q = 2q' + 1 where p' and q' are also primes.
- Computes n = pq and the Euler's totient function  $\phi(n) = (p-1)(q-1)$ .
- It also chooses four cryptographic hash functions  $H : \{0,1\}^* \to \mathbb{Z}_n^*, H_1 : \{0,1\}^* \times \mathbb{Z}_n^* \to \mathbb{Z}_n^{odd}, H_2 : \{0,1\}^l \times \mathbb{Z}_n^* \to \mathbb{Z}_n^{odd} \text{ and } H_3 : \mathbb{Z}_n^* \times \mathbb{Z}_n^* \times \{0,1\}^* \to \{0,1\}^{l+|\mathbb{Z}_n^{odd}|}, \text{ where } l \text{ is the size of the message.}$
- Now, KGC publicizes the system parameters,  $params = \langle n, H, H_1, H_2, H_3 \rangle$  and keeps the factors of n, namely p and q as the master private key.

Note: Since n is a product of two strong primes, a randomly chosen number in  $\mathbb{Z}_n^{odd}$  is relatively prime to  $\phi(n)$  with overwhelming probability. The RSA modulus n is set to n = pq and p, q are chosen such that p = 2p' + 1, q = 2q' + 1 where both p' and q' are also large primes. Considering  $\phi(n) = 2^2p'q'$  with only three factors 2, p', q', the probability of any odd number being co-prime to  $\phi(n)$  is overwhelming, because finding a number not co-prime to 4p'q' is equivalent to finding p' or q' or finding p or q. Thus, hardness of factoring implies that the random odd number in  $\mathbb{Z}_n$  is relatively prime to  $\phi(n)$  with very high probability.

- **Partial Key Extract:** Our partial key extraction is not a deterministic algorithm, i.e. this algorithm gives different partial keys for the same identity when queried more than once. Examples for this type of key extraction can be found in [2] and [18]. This algorithm is executed by the KGC and upon receiving the identity  $ID_A$  of a user A the KGC performs the following to generate the corresponding partial private key  $d_A$ .
  - Chooses  $x_A \in_R \mathbb{Z}_n^{odd}$ .
  - Computes  $g_A = H(ID_A)$ .
  - Computes the partial public key  $PPK_A = g_A^{x_A}$
  - Computes the value  $e_A = H_1(ID_A, PPK_A)$ .
  - Computes  $d_A$  such that  $e_A d_A \equiv 1 \mod \phi(n)$  and sends the partial private key  $s_A = x_A + d_A \mod \phi(n)$  and the partial public key  $PPK_A$  to the user through a secure channel.

The validity of the partial private key can be verified by user A by performing the following check:

$$(g_A^{x_A})^{e_A}g_A \stackrel{?}{=} (g_A)^{s_A e_A} \tag{1}$$

**Note:** However, this can be made deterministic by obtaining the randomness used in the computation of the partial public key through a secure MAC (Message Authentication Code) with the identity of the user as input and the master private key as the key to the MAC.

- Set Private Key: On receiving the partial private key the user with identity  $ID_A$  does the following to generate his full private key.
  - Chooses  $y_A \in_R \mathbb{Z}_n^{odd}$  as his secret value.
  - Sets the private key as  $D_A = \langle D_A^{(1)}, D_A^{(2)} \rangle = \langle s_A, y_A \rangle$ . (Note that both the KGC and the corresponding user knows  $D_A^{(1)}$  and the user with identity  $ID_A$  alone knows  $D_A^{(2)}$ ).

Set Public Key: The user with identity  $ID_A$  computes the public key corresponding to his private key as described below:

- Computes  $g_A = H(ID_A)$ .
- Computes the value  $g_A^{D_A^{(2)}}$ .

- Makes 
$$PK_A = \langle PK_A^{(1)}, PK_A^{(2)}, PK_A^{(3)} \rangle = \langle PPK_A, g_A^{D_A^{(2)}}, g_A^{D_A^{(1)}} \rangle$$
 public.

Note that  $g_A^{x_A}$  was sent by KGC to the user while setting  $ID_A$ 's partial private key. The validity of the public key can be publicly verified using the following verification test:

- Compute  $e_A = H_1(ID_A, PK_A^{(1)}).$
- Check whether the following holds:

$$(PK_A^{(3)})^{e_A} \stackrel{?}{=} (PK_A^{(1)})^{e_A} g_A \tag{2}$$

*Encryption:* To encrypt a message m to a user with identity  $ID_A$ , one has to perform the following steps:

- Check the validity of the public key corresponding to  $ID_A$ .
- Choose  $r \in_R \mathbb{Z}_n^{odd}$ .
- Compute  $e_A = H_1(ID_A, PK_A^{(1)}), g_A = H(ID_A)$  and  $h = H_2(m, r)$ .
- Compute  $c_1 = g_A^h$ , and  $c_2 = (m \| r) \oplus H_3\left( (PK_A^{(1)})^{he_A}, (PK_A^{(2)})^h, ID_A \right).$

Now,  $\sigma = (c_1, c_2)$  is send as the ciphertext to the user A.

**Decryption:** The receiver with identity  $ID_A$  does the following to decrypt a ciphertext  $\sigma = (c_1, c_2)$ :

- Retrieves 
$$(m||r) = H_3\left(\frac{(c_1)^{D_A^{(1)}e_A}}{c_1}, (c_1)^{D_A^{(2)}}, ID_A\right) \oplus c_2$$

- Computes  $h' = H_2(m, r)$  and checks whether  $c_1 \stackrel{?}{=} g_A^h$ .

User A accepts the message only if the above check holds.

Correctness of the Public Key verification test:

$$\begin{aligned} \text{L.H.S} &= (PK_A^{(3)})^{e_A} = g_A^{(x_A+d_A)e_A} \\ &= g_A^{x_Ae_A}g_A^{d_Ae_A} \\ &= g_A^{x_Ae_A}g_A, \text{ since } d_Ae_A \equiv 1 \mod \phi(n) \\ &= (PK_A^{(1)})^{e_A}g_A = \text{R.H.S} \end{aligned}$$

<u>Correctness of the scheme</u>: During decryption there are two key components in the computation of the  $H_3$  hash function, namely  $\frac{(c_1)^{D_A^{(1)}e_A}}{c_1}$  and  $(c_1)^{D_A^{(2)}}$ . The correctness for the first component is shown below:

$$\frac{(c_1)^{D_A^{(1)}e_A}}{c_1} = \frac{(g_A^h)^{(x_A+d_A)e_A}}{g_A^h} = \frac{(g_A^{x_A+d_A})^{he_A}}{g_A^h} = \frac{g_A^{x_Ahe_A+d_Ahe_A}}{g_A^h}$$
$$= \frac{g_A^{x_Ahe_A+h}}{g_A^h}, \text{ since } d_A e_A \equiv 1 \mod \phi(n)$$
$$= g_A^{x_Ahe_A} = (PK_A^{(1)})^{he_A}$$

The correctness for the second component follows, because,

$$(c_1)^{D_A^{(2)}} = (g_A^h)^{y_A} = (g_A^{y_A})^h = (PK_A^{(2)})^h$$

#### Security Proof $\mathbf{3.2}$

In order to prove the confidentiality of a certificateless encryption scheme, it is required to consider the attacks by Type-I and Type-II adversaries. In the two existing secure schemes [11] and [18], the Type-I adversary is not allowed to extract the secret value corresponding to the target identity. In order to capture the ability of the adversary who can access the secret keys of the target identity, we give access to the user secret value of the target identity to the Type-I adversary. We also state that, allowing the extract secret value query corresponding to the target identity makes the security model for Type-I adversary more stronger.

#### Confidentiality against Type-I Adversary:

**Theorem 1.** Our certificateless public key encryption scheme RSA-CLE<sub>1</sub> is IND-RSA-CLE<sub>1</sub>-CCA2-I secure in the random oracle model, if the RSA problem is intractable in  $\mathbb{Z}_n^*$ , where p, q, (p-1)/2 and (q-1)/2 are large prime numbers.

**Proof:** The challenger  $\mathcal{C}$  is challenged with an instance of the RSA problem, say  $\langle n, e \in_R \mathbb{Z}_n^{add}, b \rangle \in \mathbb{Z}_n^*$ , where n is a composite number with two big prime factors p and q, (p-1)/2 and (q-1)/2 are also primes. Let us consider that there exists an adversary  $\mathcal{A}_I$  who is capable of breaking the IND-RSA-CLE<sub>1</sub>-CCA2-I security of the RSA-CLE<sub>1</sub> scheme. C can make use of  $\mathcal{A}_I$  to compute a such that  $a^e \equiv b \mod n$ , by playing the following interactive game with  $\mathcal{A}_I$ .

Setup: C begins the game by setting up the system parameters as in the RSA-CLE<sub>1</sub> scheme. C takes n from the instance of the RSA problem that C has received and sends  $params = \langle n \rangle$  to  $\mathcal{A}_I$ . C also designs the four hash functions H,  $H_1$ ,  $H_2$  and  $H_3$  as random oracles  $\mathcal{O}_H$ ,  $\mathcal{O}_{H_1}$ ,  $\mathcal{O}_{H_2}$  and  $\mathcal{O}_{H_3}$ .  $\mathcal{C}$  maintains four lists L,  $L_1$ ,  $L_2$  and  $L_3$  in order to consistently respond to the queries to the random oracles  $\mathcal{O}_H$ ,  $\mathcal{O}_{H_1}$ ,  $\mathcal{O}_{H_2}$  and  $\mathcal{O}_{H_3}$ respectively. To maintain the consistency of the private key request and public key request oracle queries,  $\mathcal{C}$ maintains lists  $L_S$  and  $L_P$  respectively. A typical entity in list  $L_i$  will have the parameters of  $H_i$  (for i = 1to 4) followed by the corresponding hash value returned as the response to the hash oracle query. The list  $L_S$  consists of the tuples of the form  $\langle ID_i, D_i^{(1)}, PK_i^{(1)}, D_i^{(2)} \rangle$  and that of  $L_P$  consists of the tuples of the form  $\langle ID_i, PK_i^{(1)}, PK_i^{(2)}, PK_i^{(3)}, H_1(ID_i, g_i^{x_i}) \rangle$ . The game proceeds as described in the security model for Type-I adversary in section 2.2.

**Phase I:**  $A_I$  performs a series of queries to the oracles provided by C. The descriptions of the oracles and the responses given by  $\mathcal{C}$  to the corresponding oracle queries by  $\mathcal{A}_I$  are described below:

Note: We assume that  $\mathcal{O}_H(.)$  oracle is queried with  $ID_i$  as input, before any other oracle is queried with the corresponding identity,  $ID_i$  as one of the inputs.

 $\mathcal{O}_H(ID_i)$ : We follow the proof methodology introduced in [3] and make a simplifying assumption that  $\mathcal{A}_I$ queries the  $\mathcal{O}_H$  oracle with distinct identities in each query. This is because, if the same identity is repeated, by definition, the oracle consults the list L and gives the same response. Thus, we assume that  $\mathcal{A}_I$  asks  $q_H$ distinct queries for  $q_H$  distinct identities. Among this  $q_H$  identities, a random identity has to be selected as target identity by  $\mathcal{C}$ .  $\mathcal{C}$  selects a random index  $\gamma$ , where  $1 \leq \gamma \leq q_H$  and  $\mathcal{C}$  does not reveal  $\gamma$  to  $\mathcal{A}_I$ . When  $\mathcal{A}_I$  generates the  $\gamma^{th}$  query on  $ID_{\gamma}$ ,  $\mathcal{C}$  fixes  $ID_{\gamma}$  as target identity for the challenge phase.

For answering the  $\mathcal{O}_H$  query,  $\mathcal{C}$  performs the following, for  $1 \leq \gamma \leq q_H$ 

- If a tuple of the form  $\langle ID_i, e_i, \beta_i, g_i \rangle$  exists in the list L then C retrieves the corresponding  $g_i$ .

$$-$$
 Else,

- If  $i \neq \gamma$ , C performs the following:
  - \*  $\mathcal{C}$  chooses  $e_i \in_R \mathbb{Z}_n^{odd}$ ,  $\beta_i \in_R \mathbb{Z}_n^*$  and computes  $g_i = \beta_i^{e_i}$ .
  - \* Generates the partial private key corresponding to  $ID_i$  as follows:
    - · Chooses  $s_i \in_R \mathbb{Z}_n^{odd}$ .
    - Computes  $g_i \in_R \mathbb{Z}_n^{s_i}$ . Let  $\frac{g_i^{s_i}}{\beta_i} = g_i^{x_i}$  for some  $x_i$ . (Note that  $x_i$  is not known to  $\mathcal{C}$ .) Chooses  $y_i \in_R \mathbb{Z}_n^{odd}$  and adds the tuple  $\langle ID_i, s_i, g_i^{x_i}, y_i \rangle$  in the list  $L_S$ .
  - \* Adds the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  in the list  $L_1$ .
  - \* Computes  $g_i^{y_i}$  and  $g_i^{x_i}$ , adds the tuple  $\langle ID_i, g_i^{x_i}, g_i^{y_i}, g_i^{x_i}, e_i \rangle$  into the list  $L_P$ .

Lemma 1 below shows that the value in the list  $L_1$ ,  $L_S$  and  $L_P$  form a consistent set of private key / public key values for  $ID_i$ .

**Lemma 1.** The value in the list  $L_1$ ,  $L_S$  and  $L_P$  form a consistent set of private key / public key values for  $ID_i$  for all  $i \neq \gamma$ .

Recall that the structure of  $L_S$  and  $L_P$  must be of the form  $L_S = \langle ID_i, D_i^{(1)}, PK_i^{(1)}, D_i^{(2)} \rangle$  and  $L_P =$  $\langle ID_i, PK_i^{(1)}, PK_i^{(2)}, PK_i^{(3)}, H_1(ID_i, g_i^{x_i}) \rangle$  respectively.

From  $L_P$  we infer that  $PK_i^{(1)} = g_i^{x_i}$  and  $H_1(ID_i, PK_i^{(1)}) = H_1(ID_i, g_i^{x_i}) = e_i$  and thus is consistent with the entry in the list  $L_1$ .

Since the L list entry corresponding to  $ID_i$  is  $\langle ID_i, e_i, \beta_i, g_i \rangle$ , we get  $H(ID_i) = g_i$ . Recall from equation (1) that the partial private key formed above will be valid set of values if they satisfy the following condition:

$$(g_i^{x_i})^{e_i}g_i \stackrel{?}{=} (g_i)^{s_i e_i} \tag{3}$$

Let  $d_i$  be such that

$$e_i d_i \equiv 1 \mod phi(n) \tag{4}$$

Therefore,

$$g_i = \beta_i^{e_i} \Rightarrow \beta_i = g_i^{d_i} \tag{5}$$

Now,  $\frac{g_i^{s_i}}{\beta_i} = \frac{g_i^{s_i}}{g_i^{d_i}} = g_i^{s_i - d_i} = g_i^{x_i}$  and this implies

$$s_i - d_i = x_i \tag{6}$$

Using equation (6) we can show that the validity of equation (3). In fact,

LHS = 
$$g_i^{(s_i - d_i)e_i} g_i = g_i^{(s_i e_i)} g_i^{-1} g_i = g_i^{s_i e_i} =$$
RHS

- If  $i = \gamma$ ,  $\mathcal{C}$  performs the following:
  - \*  $\mathcal{C}$  chooses  $\beta_i \in_R \mathbb{Z}_n^*$  and  $\omega \in_R \mathbb{Z}_n^{odd}$  and computes  $z = \omega^2$ . Let  $z = x_i^{-1} d^2$ , for some  $x_i$ . Sets  $e_i = e_i$ and computes  $q_i = \beta_i^{ze_i^2}$ .

**Note:** It is to be noted that the tuple  $\langle ID_{\gamma}, e_{\gamma}, \beta_{\gamma}, g_{\gamma} \rangle$  in the list L is equal to  $\langle ID_{\gamma}, e, \beta_{\gamma}, \beta_{\gamma}^{ze^{2}} \rangle$ . \* Chooses  $y_{i} \in_{R} \mathbb{Z}_{n}^{odd}$  and computes  $PK_{i} = \langle PK_{i}^{(1)}, PK_{i}^{(2)}, PK_{i}^{(3)} \rangle = \langle \beta_{i}, g_{i}^{y_{i}}, \beta_{i}\beta_{i}^{ze_{i}} \rangle$ . C now adds the tuple  $\langle ID_i, \beta_i, g_i^{y_i}, \beta_i \beta_i^{ze_i}, e_i \rangle$  into the list  $L_P$ . The public key thus generated passes the

- verification test done by  $\mathcal{A}_I$  as shown below:  $(PK_i^{(3)})^{e_i} = (\beta_i \beta_i^{ze_i})^{e_i} = (\beta_i^{e_i} \beta_i^{ze_i^2}) = (PK_i^{(1)})^{e_i} g_i \text{ (Since } \beta_i^{ze_i^2} = g_i)$ \* Adds the tuple  $\langle ID_i, g_i^{x_i} = \beta_i, e_i \rangle$  in the list  $L_1$ .
- $-\mathcal{C}$  adds the tuple  $\langle ID_i, e_i, \beta_i, g_i \rangle$  to the list L and returns  $g_i$  to  $\mathcal{A}_I$ .

 $\mathcal{O}_{H_1}(ID_i, \Delta_i)$ : To respond to this query,  $\mathcal{C}$  retrieves the tuple that corresponds to  $ID_i$ , which is of the form  $\langle ID_i, g_i^{x_i}, g_i^{y_i}, g_i^{s_i}, e_i \rangle$  from the list  $L_P$  and performs the following:

- If  $g_i^{x_i} = \Delta_i$ , a tuple of the form  $\langle ID_i, \Delta_i, e_i \rangle$  will exist in the list  $L_1$ ,  $\mathcal{C}$  returns the corresponding  $e_i$ . If  $g_i^{x_i} \neq \Delta_i$ ,  $\mathcal{C}$  chooses  $\hat{e}_i \in_R \mathbb{Z}_n^{odd}$ , adds the tuple  $\langle ID_i, \Delta_i, \hat{e}_i \rangle$  in the list  $L_1$  and returns  $\hat{e}_i$  as the response.

 $\mathcal{O}_{H_2}(m,r)$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle m,r,h\rangle$  exists in the list  $L_2$ . If a tuple of this form exists, C returns the corresponding h, else chooses  $h \in_R \mathbb{Z}_n^{odd}$ , adds the tuple  $\langle m, r, h \rangle$  to the list  $L_2$  and returns h to  $\mathcal{A}_I$ .

 $\mathcal{O}_{H_3}(k_1, k_2, ID_i)$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple  $\langle k_1, k_2, ID_i, h_3 \rangle$  exists in the list  $L_3$ . If a tuple of this form exists, C returns the corresponding  $h_3$  else chooses  $h_3 \in_R \{0,1\}^{l+|\mathbb{Z}_n^{odd}|}$ , adds the tuple  $\langle k_1, k_2, ID_i, h_3 \rangle$  to the list  $L_3$  and returns  $h_3$  to  $\mathcal{A}_I$ .

 $\mathcal{O}_{PartialKeyExtract}(ID_i)$ : To respond to this query,  $\mathcal{C}$  does the following:

- If  $i = \gamma$ , C aborts the game.

- If  $i \neq \gamma$ , C retrieves the tuple of the form  $\langle ID_i, s_i, g_i^{x_i}, y_i \rangle$  from list  $L_S$  and returns  $s_i$  as the partial private key and  $PPK_i = g_i^{x_i}$  as the partial public key corresponding to the identity  $ID_i$ .

 $\mathcal{O}_{ExtractSecretValue}(ID_i)$ :  $\mathcal{C}$  retrieves a tuple of the form  $\langle ID_i, s_i, g_i^{x_i}, y_i \rangle$  from the list  $L_S$  and returns the corresponding  $y_i$  as the secret value corresponding to the identity  $ID_i$ . If the entry corresponding to  $y_i$  in the tuple is "-" then  $\mathcal{A}_I$  has replaced the private key corresponding to  $ID_i$ .

Note: Our security model is stronger when compared to the models in [18] and [11] where the Type-I adversary is not provided the extract secret value query oracle for the target identity  $ID_{\gamma}$ . It should be noted, that the scheme in [11] is not secure if the secret value of the target identity is revealed to the Type-I adversary. We consider the model wherein the secret value corresponding to the target identity is given to the Type-I adversary  $\mathcal{A}_I$ , which makes it stronger.

 $\mathcal{O}_{RequestPublicKey}(ID_i)$ :  $\mathcal{C}$  retrieves the tuple of the form  $\langle ID_i, g_i^{x_i}, g_i^{y_i}, g_i^{s_i}, e_i \rangle$  from the list  $L_P$  and returns  $PK_i = \langle \beta_i, g_i^{y_i}, \beta_i \beta_i^{e_i} \rangle$  as the public key corresponding to the identity  $ID_i$ .

 $\mathcal{O}_{ReplacePublicKey}(ID_i, PK'_i)$ : To replace the public key of  $ID_i$  with a new public key  $PK'_i = \langle PK'^{(1)}_i, PK'_i \rangle$  $PK_i^{\prime(2)}, PK_i^{\prime(3)}$ , chosen by  $\mathcal{A}_I, \mathcal{C}$  does the following:

- Updates the corresponding tuples in the list  $L_P$  as  $\langle ID_i, PK_i^{\prime(1)}, PK_i^{\prime(2)}, PK_i^{\prime(3)}, e_i \rangle$ , only if  $(PK_i^{\prime(3)})^{e_i} =$  $(PK_i^{(1)})^{e_i}g_i$ , where  $g_i$  corresponding to  $ID_i$  is retrieved from the list L. - Return *Invalid*, otherwise.

 $\mathcal{O}_{StrongDecryption}(\sigma, ID_i, PK_i)$ : This oracle provides the decryption of a ciphertext, which is generated with the current valid public key. It should be noted that the strong decryption oracle returns *Invalid*, if the ciphertext corresponds to any of the previous public keys. "Giving access to the secret value corresponding to the target identity for a Type-I adversary, captures the scenario where the user secret value of the target identity is compromised (some how comes to know) by the adversary". Hence it is a stronger type of adversary.  $\mathcal{C}$  performs the following to decrypt the ciphertext  $\sigma = \langle c_1, c_2 \rangle$ :

- $\mathcal{C}$  performs the following to decrypt the ciphertext  $\sigma = \langle c_1, c_2 \rangle$ :
  - Checks the validity of  $PK_i$  and rejects the ciphertext  $\sigma$  if this check fails, else proceeds with the following steps.
  - Retrieves the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  from list L.
  - For each  $\langle m, r, h \rangle \in L_2$  list performs the following:
    - \* Checks whether  $g_i^h \stackrel{?}{=} c_1$ .

    - \* If True, computes  $k_1 = (PK_i^{(1)})^{e_ih}$  and  $k_2 = (PK_i^{(2)})^h$ . \* Checks in list  $L_3$ , for an entry corresponding to  $(k_1, k_2, ID_i)$ . If a tuple exists then retrieves the corresponding  $h_3$  value and checks whether  $c_2 \oplus h_3 \stackrel{?}{=} (m \| r)$ , where m, r are retrieved from the list  $L_2$ .
    - \* If True, outputs m as the message.
  - If no tuple satisfies all the above tests, returns Invalid.

Challenge: At the end of Phase I,  $A_I$  produces two messages  $m_0$  and  $m_1$  of equal length and an identity  $ID^*$ . C aborts the game if  $ID^* \neq ID_{\gamma}$ , else randomly chooses a bit  $\delta \in_R \{0,1\}$  and computes a ciphertext  $\sigma^*$  with  $ID_{\gamma}$  as the receiver by performing the following steps:

- Set  $c_1^* = b^z$ , where b is taken from the RSA problem instance received by C and z is the value chosen during the  $\mathcal{O}_H(.)$  oracle query corresponding to  $ID_{\gamma}$ .
- Choose  $c_2^* \in_R \{0,1\}^{l+|\mathbb{Z}_n^{odd}|}$ .

Now,  $\sigma^* = \langle c_1^*, c_2^* \rangle$  is sent to  $\mathcal{A}_I$  as the challenge ciphertext. It should be noted that with overwhelming probability,  $\sigma^*$  is a invalid ciphertext and since  $\mathcal{A}_I$  is disallowed to query the strong decryption oracle with  $\sigma^*$  as input,  $\mathcal{A}_I$  will not be able to identity whether  $\sigma^*$  is valid or not.

**Phase II:**  $\mathcal{A}_I$  performs the second phase of interaction, where it makes polynomial number of queries to the oracles provided by  $\mathcal{C}$  with the following conditions:

 $-\mathcal{A}_I$  should not have queried the Strong Decryption oracle with  $(\sigma^*, PK_{\gamma}, ID_{\gamma})$  as input. (It is to be noted that  $PK_{\gamma}$  is the public key corresponding to  $ID_{\gamma}$  during the challenge phase.  $\mathcal{A}_{I}$  can query the decryption oracle with  $(\sigma^*, PK^*, ID_{\gamma})$  as input,  $\forall PK^* \neq PK_{\gamma}$ )

- $\mathcal{A}_I$  should not query the partial private key corresponding to  $ID_{\gamma}$ .
- $-A_I$  can query the secret value corresponding to  $ID_{\gamma}$  and  $PK_{\gamma}$ .

**Guess:** At the end of **Phase II**,  $\mathcal{A}_I$  produces a bit  $\delta'$  to  $\mathcal{C}$ , but  $\mathcal{C}$  ignores the response and performs the following to output the solution for the RSA problem instance.

- For each tuple of the form  $\langle k_1, k_2, ID_i, h_3 \rangle$  in list  $L_3, \mathcal{C}$  checks whether  $k_1^e \stackrel{?}{=} b$ . (where e and b are taken from the RSA problem instance.)
- Outputs the corresponding  $k_1$  value for which the above check holds as the solution (i.e.,  $a = k_1$ ) for the RSA problem instance.

Correctness: Below, we show that the  $k_1$  value obtained through the above steps is indeed a, such that  $b = a^e$ mod n

- The public key corresponding to  $ID_{\gamma}$  is set to be  $PK_{\gamma} = \langle PK_{\gamma}^{(1)}, PK_{\gamma}^{(2)}, PK_{\gamma}^{(3)} \rangle = \langle \beta_{\gamma}, g_{\gamma}^{y_{\gamma}}, \beta_{\gamma}\beta_{\gamma}^{ze} \rangle$  by  $\mathcal{C}$ . Since  $g_{\gamma} = \beta_{\gamma}^{ze^2}, \beta_{\gamma} = g_{\gamma}^{z^{-1}e^{-2}} = g_{\gamma}^{z^{-1}d^2}$  (because  $d \equiv e^{-1} \mod \phi(n)$ ). Thus  $PK_{\gamma} = \langle g_{\gamma}^{z^{-1}d^2}, g_{\gamma}^{y_{\gamma}}, g_{\gamma}^{z^{-1}d^2}g_{\gamma}^d \rangle$ . The partial private key corresponding to this public key is  $s_{\gamma} = D_{\gamma}^{(1)} = x_{\gamma} + d_{\gamma} = z^{-1}d^2 + d$  which is
- unknown to  $\mathcal{C}$ . This is due to the following facts:

$$PK_{\gamma}^{(3)} = \beta_{\gamma}\beta_{\gamma}^{ze} = g_{\gamma}^{z^{-1}d^2}g_{\gamma}^d$$
  
=  $g_{\gamma}^{z^{-1}d^2+d} = H(ID_{\gamma})^{z^{-1}d^2+d}$ , (since  $H(ID_{\gamma}) = g_{\gamma}$ )  
$$PK_{\gamma}^{(1)} = \beta_{\gamma} = g_{\gamma}^{z^{-1}d^2}$$
, (since  $g_{\gamma} = \beta_{\gamma}^{ze^2}$ )  
=  $H(ID_{\gamma})^{z^{-1}d^2}$ , (since  $H(ID_{\gamma}) = g_{\gamma}$ )

- We know that  $PK_{\gamma}^{(3)} = H(ID_{\gamma})^{x_{\gamma}+d_{\gamma}}$  and  $PK_{\gamma}^{(1)} = H(ID_{\gamma})^{x_{\gamma}}$ . Thus,  $x_{\gamma} = d^2$  and  $d_{\gamma} = d$ . C has set the  $c_1^*$  component of the challenge ciphertext  $\sigma^*$  as " $b^{z}$ " (where b is taken from the RSA problem instance) during the challenge phase.
- In order to decrypt the cipher text  $\sigma^*$ ,  $\mathcal{A}_I$  should have computed a value  $\frac{(c_1^*)^{D_{\gamma}^{(1)}e_{\gamma}}}{c_1^*}$  and queried the  $H_3$  oracle with it as the  $k_1$  component. (It should be further noted that  $\mathcal{A}_I$  could make a number of queries to the  $\mathcal{O}_{H_2}$  oracle and see if the resulting h satisfies  $c_1^* = (g_{\gamma}^h)$  but this cannot be true because choosing the correct r will be negligible. Even if r has to be obtained from  $\sigma^*$ ,  $\mathcal{A}_I$  should have computed the  $\mathcal{O}_{H_3}$ oracle.)
- We show that  $\frac{(c_1^*)^{D_{\gamma}^{(1)}e_{\gamma}}}{c_1^*} = a$ , such that  $a^e \mod n = b$ . (Here e, b and n are the elements from the RSA problem instance.) It is known that  $D_{\gamma}^{(1)} = z^{-1}d^2 + d$  and  $e_{\gamma} = e$ . Therefore,

$$\frac{(c_1^*)^{D_{\gamma}^{(1)}e_{\gamma}}}{c_1^*} = \frac{(b^z)^{(z^{-1}d^2+d)e}}{b^z} = \frac{(b^z)^{(z^{-1}d+1)}}{b^z} = b^d = a \text{ (Since } d \equiv e^{-1} \mod \phi(n))$$

Thus,  $\mathcal{C}$  obtains the solution to the RSA problem with almost the same advantage of  $\mathcal{A}_I$  in the IND-RSA- $CLE_1$ -CCA2-I game. 

Analysis: We now derive the advantage of  $\mathcal{C}$  breaking the RSA problem using the adversary  $\mathcal{A}_I$ . The simulations of H,  $H_1$ ,  $H_2$  and  $H_3$  clearly shows that the hash oracles are perfectly random. Let  $\epsilon$  be the advantage of  $\mathcal{A}_I$  in winning the IND-RSA-CLE<sub>1</sub>-CCA2-I game.

The events in which  $\mathcal{C}$  aborts the game and the respective probabilities are given below:

- 1.  $\mathcal{E}_1$  The event in which  $\mathcal{C}$  aborts when  $\mathcal{A}_I$  queries the partial private key corresponding to  $ID_{\gamma}$ .
- 2.  $\mathcal{E}_2$  The event in which  $ID_{\gamma}$  is not chosen as the target identity by  $\mathcal{A}_I$  for the challenge.

Suppose  $\mathcal{A}_I$  has made  $q_H$  number of  $\mathcal{O}_H$  queries and  $q_{ppk}$  number of  $\mathcal{O}_{PartialKeyExtract}$  queries, then:  $\Pr[\mathcal{E}_1] =$  $\frac{q_{ppk}}{q_{H}}$  and  $\Pr[\mathcal{E}_2] = 1 - \frac{1}{q_{H} - q_{-1}}$ .

Therefore, 
$$\Pr[\neg abort] = [\neg \mathcal{E}_1 \land \neg \mathcal{E}_2] = \left[1 - \frac{q_{ppk}}{q_H}\right] \cdot \left[1 - 1 - \frac{1}{q_H - q_{ppk}}\right] = \frac{1}{q_H}.$$

Therefore, the advantage of  $\mathcal{C}$  solving the RSA problem is  $\epsilon' \geq \left(\epsilon \cdot \frac{1}{q_H}\right)$ .

**Confidentiality against Type-II Adversary:** The master private key of the RSA-CLE<sub>1</sub> scheme are the prime factors p and q of the composite modulus n. Since the Type-II adversary in CLE should be given access to the master private key, the security against Type-II adversary of the RSA-CLE<sub>1</sub> scheme cannot be reduced to RSA assumption. The situation in the RSA based scheme in [11] is also the same. That is why, the scheme in [11] as well as RSA-CLE<sub>1</sub>, the Type-II security is related to CCDH problem. However, in our next scheme RSA-CLE<sub>2</sub> the security against Type-II adversary is based on RSA problem.

**Theorem 2.** Our certificateless public key encryption scheme RSA-CLE<sub>1</sub> is IND-RSA-CLE<sub>1</sub>-CCA2-II secure in the random oracle model, if the CCDH problem is intractable in  $\mathbb{Z}_n^*$ , where n = pq and p, q, (p-1)/2, (q-1)/2 are large prime numbers.

**Proof:** Suppose an adversary  $\mathcal{A}_{II}$  is capable of breaking the IND-RSA-CLE<sub>1</sub>-CCA2-II security of our RSA-CLE<sub>1</sub> scheme and a challenger  $\mathcal{C}$  is challenged with an instance of the CCDH problem say  $p, q, n, \langle g, g^a, g^b \rangle \in \mathbb{Z}_n^*$ , where n is a composite number with two big prime factors p and q also (p-1)/2 and (q-1)/2 are primes.  $\mathcal{C}$  can make use of  $\mathcal{A}_{II}$  to compute  $g^{ab}$ , by playing the following interactive game with  $\mathcal{A}_{II}$ .

Setup: C begins the game by setting up the system parameters as in the RSA-CLE<sub>1</sub> scheme. C takes p, q, nand g as in the instance of the CCDH problem and sends  $params = \langle n \rangle$  and p, q as the master private key msk to  $\mathcal{A}_{II}$ . C also designs the four hash functions  $H, H_1, H_2$  and  $H_3$  as random oracles  $\mathcal{O}_H, \mathcal{O}_{H_1}, \mathcal{O}_{H_2}$  and  $\mathcal{O}_{H_3}$ . C maintains four lists  $L, L_1, L_2$  and  $L_3$  in order to consistently respond to the queries to the random oracles  $\mathcal{O}_H, \mathcal{O}_{H_1}, \mathcal{O}_{H_2}$  and  $\mathcal{O}_{H_3}$  respectively and to maintain the consistency of the private key request and public key request oracles, C maintains lists  $L_S$  and  $L_P$  respectively.

**Phase I:**  $\mathcal{A}_{II}$  performs a series of queries to the oracles provided by  $\mathcal{C}$ . The descriptions of the oracles and the responses given by  $\mathcal{C}$  to the corresponding oracle queries by  $\mathcal{A}_{II}$  are described below. We assume that  $\mathcal{O}_H(.)$  oracle is queried with  $ID_i$  as input, before any other oracle is queried with the corresponding identity,  $ID_i$  as one of the input parameters.

 $\mathcal{O}_H(ID_i)$ : We will make a simplifying assumption that  $\mathcal{A}_{II}$  queries the  $\mathcal{O}_H$  oracle with distinct identities in each query. If the same identity is repeatedly queried to this oracle, by definition, the oracle consults the list L and gives the same response. Thus, we assume that  $\mathcal{A}_{II}$  asks  $q_H$  distinct queries for  $q_H$  distinct identities.  $\mathcal{C}$  selects a random index  $\gamma$ , where  $1 \leq \gamma \leq q_H$  and  $\mathcal{C}$  does not reveal  $\gamma$  to  $\mathcal{A}_{II}$ . When  $\mathcal{A}_{II}$  generates the  $\gamma^{th}$  query on  $ID_{\gamma}$ ,  $\mathcal{C}$  fixes  $ID_{\gamma}$  as target identity for the challenge phase.

In order to answer a query to the  $\mathcal{O}_H$  oracle,  $\mathcal{C}$  checks whether a tuple of the form  $\langle ID_i, g_i \rangle$  exists in the list L and if a tuple of this form exists,  $\mathcal{C}$  returns the corresponding  $g_i$ . If it does not exist,  $\mathcal{C}$  checks whether  $i \stackrel{?}{=} \gamma$ :

- If  $i \neq \gamma$ ,  $\mathcal{C}$  chooses  $g_i \in_R \mathbb{Z}_n^*$ , adds the tuple  $\langle ID_i, g_i \rangle$  to the list L and returns  $g_i$  to  $\mathcal{A}_{II}$ .
- If  $i = \gamma$ , C sets  $g_i = g$  (where g is taken from the CCDH instance), adds the tuple  $\langle ID_i, g_i \rangle$  to the list L and returns  $g_i$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{H_1}(ID_i, g_i^{x_i})$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle ID_i, g_i^{x_i}, e_i \rangle$  exists in the list  $L_1$ . If it exists,  $\mathcal{C}$  returns the corresponding  $e_i$ , else  $\mathcal{C}$  chooses  $e_i \in_R \mathbb{Z}_n^{odd}$ , adds the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  to the list  $L_1$  and returns  $e_i$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{H_2}(m,r)$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle m,r,h \rangle$  exists in the list  $L_2$ . If a tuple of this form exists,  $\mathcal{C}$  returns the corresponding h else chooses  $h \in_R \mathbb{Z}_n^{odd}$ , adds the tuple  $\langle m,r,h \rangle$  to the list  $L_2$  and returns h to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{H_3}(k_1, k_2, ID_i)$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle k_1, k_2, ID_i, h_3 \rangle$  exists in the list  $L_3$ . If a tuple of this form exists,  $\mathcal{C}$  returns the corresponding  $h_3$  else chooses  $h_3 \in_R \{0, 1\}^{l+|\mathbb{Z}_n^{odd}|}$ , adds the tuple  $\langle k_1, k_2, ID_i, h_3 \rangle$  to the list  $L_3$  and returns  $h_3$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{PartialKeyExtract}(ID_i)$ : To respond to this query,  $\mathcal{C}$  does the following:  $\mathcal{C}$  checks whether a tuple of the form  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, y_i \rangle$  exists in list  $L_S$ .

- If it exists then, C outputs the corresponding  $s_i$  as the partial private key and  $PPK_i = g_i^{x_i}$  as the partial public key corresponding to the identity  $ID_i$ .
- If a tuple does not exist then,  $\mathcal{C}$  performs the following:
  - Chooses  $x_i, e_i \in_R \mathbb{Z}_n^{odd}$ .
  - Retrieves the tuple  $\langle ID_i, g_i \rangle$  from the list L.

- Computes  $g_i^{x_i}$ ,  $d_i = e_i^{-1} \mod \phi(n)$  (Note that this is possible because C knows the prime factors of n) and  $s_i = x_i + d_i$
- Stores the tuple  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, \rangle$  in the list  $L_S$  and the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  in the list  $L_1$ .
- Returns  $s_i$  as the partial private key and  $PPK_i = g_i^{x_i}$  as the partial public key corresponding to the identity  $ID_i$ .

 $\mathcal{O}_{ExtractSecretValue}(ID_i)$ :  $\mathcal{C}$  checks whether  $i \stackrel{?}{=} \gamma$ :

- If  $i = \gamma$  then,  $\mathcal{C}$  aborts the game.
- If  $i \neq \gamma$  then,  $\mathcal{C}$  checks for a tuple of the form  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, y_i \rangle$  in list  $L_S$  and returns the corresponding  $y_i$  as the secret value corresponding to the identity  $ID_i$ . If the entry corresponding to  $y_i$  in the tuple is "-" then,  $\mathcal{C}$  chooses  $y_i \in_R \mathbb{Z}_n^{odd}$ , updates the corresponding tuple in the list  $L_S$  as  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, y_i \rangle$  and returns  $y_i$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{RequestPublicKey}(ID_i)$ :  $\mathcal{C}$  checks for an entry of the form  $\langle ID_i, g_i^{x_i}, g_i^{y_i}, g_i^{s_i} \rangle$  in list  $L_P$  and performs the following accordingly:

- If an entry of this form exists, C returns  $\langle g_i^{x_i}, g_i^{y_i}, g_i^{s_i} \rangle$  as the public key corresponding to the identity  $ID_i$ .
- If no tuple exists then,  $\mathcal{C}$  checks whether  $i \stackrel{?}{=} \gamma$ :
  - If  $i \neq \gamma$ , C retrieves the tuple  $\langle ID_i, g_i \rangle$  from the list L and the tuple  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, y_i \rangle$  from the list  $L_S$  and computes  $g_i^{y_i}$  and  $g_i^{s_i}$ , adds the tuple  $\langle ID_i, g_i^{x_i}, g_i^{y_i}, g_i^{s_i} \rangle$  into the list  $L_P$  and returns  $\langle g_i^{x_i}, g_i^{y_i}, g_i^{s_i} \rangle$  as the public key corresponding to the identity  $ID_i$ .
  - If  $i = \gamma$ ,  $\mathcal{C}$  retrieves the tuple  $\langle ID_i, g_i \rangle$  from the list L and the tuple  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, \rangle$  from the list  $L_S$ .  $\mathcal{C}$  sets  $PK_i = \langle PK_i^{(1)}, PK_i^{(2)}, PK_i^{(3)} \rangle = \langle g_i^{x_i}, g^a, g_i^{s_i} \rangle$ .  $\mathcal{C}$  now adds the tuple  $\langle ID_i, g_i^{x_i}, g^a, g_i^{s_i} \rangle$  into the list  $L_P$  and returns  $\langle g_i^{x_i}, g^a, g_i^{s_i} \rangle$  as the public key corresponding to the identity  $ID_i$ . (Note that  $g^a$  is taken from the CCDH problem instance and  $g_i = g$ , set during the  $\mathcal{O}_H(ID_i)$  query.)

 $\mathcal{O}_{ReplacePublicKey}(ID_i, PK'_i)$ : To replace the public key of  $ID_i$  with a new public key  $PK'_i = \langle PK'^{(1)}, PK'^{(2)}, PK'^{(3)} \rangle$ , chosen by  $\mathcal{A}_{II}, \mathcal{C}$  checks whether  $i \stackrel{?}{=} \gamma$  and does the following:

- If  $i = \gamma$  then, C aborts the game.
- If  $i \neq \gamma$  then, updates the corresponding tuples in the list  $L_P$  as  $\langle ID_i, PK_i^{'(1)}, PK_i^{'(2)}, PK_i^{'(3)} \rangle$ , only if  $(PK_i^{'(3)})^{e_i} = (PK_i^{'(1)})^{e_i}g_i$ , where  $g_i$  corresponding to  $ID_i$  is retrieved from the list L.

**Note:** The replace public key oracle for Type-II adversary was not considered in both [18] and [11].  $\mathcal{O}_{Decryption}(\sigma, ID_i, PK_i)$ :  $\mathcal{C}$  performs the following to decrypt the ciphertext  $\sigma = \langle c_1, c_2 \rangle$ :

- If  $i \neq \gamma$ , C performs decryption in the normal way since C knows the private key corresponding to  $ID_i$ .
- If  $i = \gamma$ , C performs the following to decrypt the ciphertext  $\sigma = \langle c_1, c_2 \rangle$ :
  - Retrieves the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  from list  $L_1$ .
  - For each  $\langle m, r, h \rangle \in L_2$  list performs the following
    - \* Checks whether  $g_i^h \stackrel{?}{=} c_1$ .
    - \* If True, computes  $k_1 = (PK_i^{(1)})^{e_i h}$  and  $k_2 = (PK_i^{(2)})^h$ .
    - \* Checks in list  $L_3$ , for an entry corresponding to  $(k_1, k_2, ID_i)$ . If a tuple exists then retrieves the corresponding  $h_3$  value and checks whether  $c_2 \oplus h_3 \stackrel{?}{=} (m||r)$ , where m, r is retrieved from the list  $L_2$ .
    - \* If True, outputs m as the message.
  - If no tuple satisfies all the above tests, returns *Invalid*.

**Challenge:** At the end of **Phase I**,  $\mathcal{A}_{II}$  gives  $\mathcal{C}$  two messages  $m_0, m_1$  of equal length.  $\mathcal{C}$  aborts the game if  $ID^* \neq ID_{\gamma}$ , else  $\mathcal{C}$  randomly chooses a bit  $\delta \in_R \{0,1\}$  and computes a ciphertext  $\sigma^*$  with  $ID_{\gamma}$  as the receiver by performing the following steps:

- Set  $c_1^* = g^b$ . (Where  $g^b$  is taken from the CCDH instance.)
- Choose  $c_2^* \in_R \{0,1\}^{l+|\mathbb{Z}_n^{odd}|}$ .

Now,  $\sigma^* = \langle c_1^*, c_2^* \rangle$  is sent to  $\mathcal{A}_{II}$  as the challenge ciphertext.

**Phase II:** Again  $A_{II}$  can perform polynomially bounded number of queries to the oracles provided by C with the following conditions:

- $\mathcal{A}_{II}$  should not have queried the decryption oracle with  $(\sigma^*, PK_{\gamma}, ID_{\gamma})$  as input. (It is to be noted that  $PK_{\gamma}$  is the public key corresponding to  $ID_{\gamma}$  during the challenge phase.  $\mathcal{A}_{II}$  is allowed to query the decryption oracle with  $(\sigma^*, PK^*, ID_{\gamma})$  as input,  $\forall PK^* \neq PK_{\gamma}$ ).
- $\mathcal{A}_{II}$  should not have queried the secret value corresponding to  $ID_{\gamma}$ .
- $\mathcal{A}_{II}$  should not have replaced the public key corresponding to the identity  $ID_{\gamma}$ .

**Guess:** At the end of **Phase II**,  $A_{II}$  produces a bit  $\delta'$  to C but C ignores the response and performs the following to output the solution to the CCDH problem instance.

- Randomly picks a  $k_2$  value from the list  $L_3$  and outputs it as the solution to the CCDH problem instance.

Correctness: Below, we show that the  $k_2$  value obtained through the above step is indeed  $g^{ab}$ .

- The public key component  $PK_{\gamma}^{(2)}$  corresponding to  $ID_{\gamma}$  is set to be  $g^a$  by  $\mathcal{C}$  during the request public key query and thus  $H(ID_{\gamma})^{y_{\gamma}} = g^a$ .
- Since  $H(ID_{\gamma}) = g_{\gamma} = g, D_{\gamma}^{(2)} = y_{\gamma} = a$
- C has set the  $c_1^*$  component of the challenge ciphertext  $\sigma^*$  as  $g^b$  during the challenge phase.
- In order to decrypt the ciphertext  $\sigma^*$ ,  $\mathcal{A}_{II}$  should have computed a value  $(c_1^*)^{D_{\gamma}^{(2)}}$  and queried the  $H_3$  oracle with it as the  $k_2$  component.

- Now, 
$$(c_1^*)^{D_{\gamma}^{(2)}} = (g^b)^a = g^{ab}.$$

Thus, C obtains the solution to the CCDH problem with almost the same advantage of  $A_{II}$  in the IND-RSA-CLE<sub>1</sub>-CCA2-II game.

**Analysis:** We now derive the advantage of C breaking the CCDH problem using the adversary  $A_{II}$ . The simulations of H,  $H_1$ ,  $H_2$  and  $H_3$  clearly shows that the hash oracles are perfectly random. Let  $\epsilon$  be the advantage of  $A_{II}$  in winning the IND-RSA-CLE<sub>1</sub>-CCA2-I game.

The events in which  $\mathcal{C}$  aborts the game and the respective probabilities are given below:

- 1.  $\mathcal{E}_1$  The event in which  $\mathcal{C}$  aborts when  $\mathcal{A}_{II}$  queries the secret value corresponding to  $ID_{\gamma}$ .
- 2.  $\mathcal{E}_2$  The event in which  $\mathcal{C}$  aborts when  $\mathcal{A}_{II}$  replaces the public key corresponding to  $ID_{\gamma}$ .
- 3.  $\mathcal{E}_3$  The event in which  $ID_{\gamma}$  is not chosen as the target identity by  $\mathcal{A}_{II}$  for the challenge.

Suppose  $\mathcal{A}_{II}$  has made  $q_H$  number of  $\mathcal{O}_H$  queries,  $q_{sv}$  number of  $\mathcal{O}_{ExtractSecretValue}$  queries,  $q_{rpk}$  number of identities for which  $\mathcal{O}_{ReplacePublicKey}$  queries and  $q_{srk}$  be the total number of identities for which the secret value is extracted and the public key is replaced, then:

 $\Pr[\mathcal{E}_1] = \frac{q_{sv}}{q_H}, \Pr[\mathcal{E}_2] = \frac{q_{rpk}}{q_H} \text{ and } \Pr[\mathcal{E}_3] = 1 - \frac{1}{q_H - q_{sv}}.$ Therefore,

$$\Pr[\neg abort] = [\neg \mathcal{E}_1 \land \neg \mathcal{E}_2 \land \neg \mathcal{E}_3] \\ = \left[1 - \frac{q_{sv}}{q_H}\right] \cdot \left[1 - \frac{q_{rpk}}{q_H}\right] \cdot \left[1 - \left[1 - \frac{1}{q_H - q_{srk}}\right]\right] = \left[1 - \frac{q_{sv}}{q_H}\right] \cdot \left[1 - \frac{q_{rpk}}{q_H}\right] \cdot \left[\frac{1}{q_H - q_{srk}}\right].$$

Therefore, the advantage of  $\mathcal{C}$  solving the CCDH problem is  $\epsilon' \ge \left(\epsilon \cdot \left[1 - \frac{q_{sv}}{q_H}\right] \cdot \left[1 - \frac{q_{rpk}}{q_H}\right] \cdot \left[\frac{1}{q_H - q_{srk}}\right]\right).$ 

## 4 Fully RSA Based CLE Scheme (RSA-CLE<sub>2</sub>)

In this section, we propose the fully RSA based certificateless encryption scheme RSA-CLE<sub>2</sub>. The Type-I security is similar to that of the Type-I security proof of RSA-CLE<sub>1</sub>. We prove the security of the scheme against Type-II attacks under adaptive chosen ciphertext attack (CCA2) assuming the hardness of RSA problem.

#### 4.1The RSA-CLE<sub>2</sub> Scheme

The proposed scheme comprises the following six algorithms. Unless stated otherwise all computations except those in the setup algorithm are done mod n.

**Setup:** The KGC does the following to initialize the system and to setup the public parameters.

- Chooses two primes p and q, such that p = 2p' + 1 and q = 2q' + 1 where p' and q' are also primes.
- Computes n = pq and the Euler's totient function  $\phi(n) = (p-1)(q-1)$ .
- It also chooses three cryptographic hash functions  $H': \{0,1\}^* \xrightarrow{\frown} \mathbb{Z}_n^*, H_1: \{0,1\}^* \times \mathbb{Z}_n^* \to \mathbb{Z}_n^{odd}, H_2: \{0,1\}^l \times \mathbb{Z}_n^* \to \mathbb{Z}_n^{odd} \text{ and } H_3: \mathbb{Z}_n^* \times \{0,1\}^* \times \{0,1\}^* \to \{0,1\}^{l+|\mathbb{Z}_n^*|}, \text{ where } l \text{ is the size of the } l \in \mathbb{Z}_n^*$ message.
- Now, KGC publicizes the system parameters,  $params = \langle n, H, H_1, H_2, H_3 \rangle$  and keeps the factors of n, namely p and q as the master private key.

Partial Key Extract: This algorithm is executed by the KGC and upon receiving the identity  $ID_A$  of a user A the KGC performs the following to generate the corresponding partial private key  $d_A$ .

- Chooses  $x_A \in_R \mathbb{Z}_n^{odd}$ .
- Computes  $g_A = H(ID_A)$ .
- Computes the partial public key  $PPK_A = g_A^{x_A}$
- Computes the value  $e_A = H_1(ID_A, PPK_A)$ .
- Computes  $d_A$  such that  $e_A d_A \equiv 1 \mod \phi(n)$  and sends the partial private key  $s_A = x_A + d_A \mod \phi(n)$  $\phi(n)$  and the partial public key  $PPK_A$  to the user through a secure channel.
- Set Private Key: On receiving the partial private key the user with identity  $ID_A$  does the following to generate his secret key.
  - Chooses two primes  $P_A$  and  $Q_A$ , such that  $P_A = 2P'_A + 1$  and  $Q_A = 2Q'_A + 1$ , where  $P'_A$  and  $Q'_A$  are also primes.

- Computes. - Computes  $N_A = P_A Q_A$  and the Euler's totient function  $\phi(N_A) = (P_A - 1)(Q_A - 1)$ . - Chooses  $\hat{e}_A \in_R \mathbb{Z}_{N_A}^{odd}$  as the user public key and computes  $\hat{d}_A \equiv \hat{e}_A^{-1} \mod \phi(N_A)$ . - Sets the private key as  $D_A = \langle D_A^{(1)}, D_A^{(2)}, D_A^{(3)}, D_A^{(4)} \rangle = \langle s_A, \hat{d}_A, P_A, Q_A \rangle$ . Set Public Key: The user with identity  $ID_A$  computes the public key corresponding to his private key as

 $PK_A = \langle PK_A^{(1)}, PK_A^{(2)}, PK_A^{(3)}, PK_A^{(4)} \rangle = \langle PPK_A, g_A^{D_A^{(1)}}, \hat{e}_A, N_A \rangle \text{ and makes it public.}$ Note that  $g_A^{x_A}$  was sent by KCG to the user while setting  $ID_A$ 's partial private key. The validity of the

Note that  $g_A^{a^A}$  was sent by KCG to the user while setting  $ID_A$ 's partial private key. The validity of the public key can be publicly verified using the following verification test: - Compute  $e_A = H_1(ID_A, PK_A^{(1)})$  and  $g_A = H(ID_A)$ . - Check whether  $(PK_A^{(2)})^{e_A} \stackrel{?}{=} (PK_A^{(1)})^{e_A}g_A$  **Encryption:** To encrypt a message m to a user with identity  $ID_A$ , one has to perform the following steps: - Check the validity of the public key corresponding to  $ID_A$ . - Choose  $r \in_R \mathbb{Z}_n^{odd}$  and  $\hat{g} \in_R \mathbb{Z}_{N_A}^*$ . - Compute  $e_A = H_1(ID_A, PK_A^{(1)})$ ,  $g_A = H(ID_A)$  and  $h = H_2(m, r)$ . - Compute  $c_1 = g_A^h$ ,  $c_2 = \hat{g}^{PK_A^{(3)}} \mod N_A$  and  $c_3 = (m||r) \oplus H_3\left((PK_A^{(1)})^{he_A}, \hat{g}, ID_A\right)$ .

Now,  $\sigma = (c_1, c_2, c_3)$  is send as the ciphertext to the user A.

**Decryption:** The receiver with identity  $ID_A$  does the following to decrypt a ciphertext  $\sigma = (c_1, c_2, c_3)$ :

- Computes  $k_1 = \frac{(c_1)^{D_A^{(1)}}e_A}{c_1}$  and  $k_2 = (c_2)^{D_A^{(2)}} \mod N_A$ . Retrieves  $(m||r) = H_3(k_1, k_2, ID_A) \oplus c_3$ .
- Computes  $h' = H_2(m, r)$  and checks whether  $c_1 \stackrel{?}{=} g_A^h$ . User A accepts the message only if the above check holds.

Correctness of the scheme: During decryption there are two key components in the computation of the  $H_3$ hash function, namely  $k_1$  and  $k_2$ . The correctness for the first component is shown below:

$$k_{1} = \frac{(c_{1})^{D_{A}^{(1)}e_{A}}}{c_{1}} = \frac{(g_{A}^{h})^{(x_{A}+d_{A})e_{A}}}{g_{A}^{h}} = \frac{(g_{A}^{x_{A}+d_{A}})^{he_{A}}}{g_{A}^{h}} = \frac{g_{A}^{x_{A}he_{A}+d_{A}he_{A}}}{g_{A}^{h}}$$
$$= \frac{g_{A}^{x_{A}he_{A}+h}}{g_{A}^{h}} \text{ (since } d_{A}e_{A} \equiv 1 \mod \phi(n))$$
$$= g_{A}^{x_{A}he_{A}} = (PK_{A}^{(1)})^{he_{A}}$$

The correctness for the second component follows, because,

 $k_2 = (c_1)^{D_A^{(2)}} \mod N_A = (\hat{g}^{\hat{e}_A})^{\hat{d}_A} \mod N_A = \hat{g} \text{ (Since } \hat{e}_A \hat{d}_A \equiv 1 \mod \phi(N_A))$ 

#### Confidentiality against Type-I Adversary:

**Theorem 3.** Our certificateless public key encryption scheme RSA-CLE<sub>2</sub> is IND-RSA-CLE<sub>2</sub>-CCA<sub>2</sub>-I secure in the random oracle model, if the RSA problem is intractable in  $\mathbb{Z}_n^*$ , where p, q, (p-1)/2 and (q-1)/2 are large prime numbers.

The proof for this theorem is similar to that of the Type-I proof of RSA-CLE<sub>1</sub> (IND-RSA-CLE<sub>1</sub>-CCA2-I).

#### Confidentiality against Type-II Adversary:

**Theorem 4.** Our certificateless public key encryption scheme RSA-CLE<sub>2</sub> is IND-RSA-CLE<sub>2</sub>-CCA2-II secure in the random oracle model, if the RSA problem is intractable in  $\mathbb{Z}_N^*$ , where N = PQ and P, Q, (P-1)/2, (Q-1)/2 are large prime numbers.

**Proof:** Suppose an adversary  $\mathcal{A}_{II}$  is capable of breaking the IND-RSA-CLE<sub>2</sub>-CCA2-II security of our RSA-CLE<sub>2</sub> scheme and a challenger  $\mathcal{C}$  is challenged with an instance of the RSA problem say  $\langle N, \hat{e}, b \rangle$ , where N is a composite number with two big prime factors P and Q, (P-1)/2 and (Q-1)/2 are also primes,  $\hat{e} \in_R \mathbb{Z}_N^{odd}$  and  $b \in \mathbb{Z}_N^*$ .  $\mathcal{C}$  can make use of  $\mathcal{A}_{II}$  to compute a, such that  $a^{\hat{e}} \equiv b \mod N$ , by playing the following interactive game with  $\mathcal{A}_{II}$ . It is to be noted that both P and Q are not known to  $\mathcal{C}$ .

Setup: C begins the game by setting up the system parameters as in the IND-RSA-CLE<sub>2</sub>-CCA2-II scheme. C chooses two big primes p and q, computes n = pq and sends  $params = \langle n \rangle$  and, p and q as the master private key to  $\mathcal{A}_{II}$ . C also designs the four hash functions H,  $H_1$ ,  $H_2$  and  $H_3$  as random oracles  $\mathcal{O}_H$ ,  $\mathcal{O}_{H_1}$ ,  $\mathcal{O}_{H_2}$  and  $\mathcal{O}_{H_3}$ . C maintains three lists L,  $L_1$ ,  $L_2$  and  $L_3$  in order to consistently respond to the queries to the random oracles  $\mathcal{O}_H$ ,  $\mathcal{O}_{H_1}$ ,  $\mathcal{O}_{H_2}$  and  $\mathcal{O}_{H_3}$  respectively and to maintain the consistency of the private key request and public key request oracles, C maintains lists  $L_S$  and  $L_P$  respectively.

**Phase I:**  $\mathcal{A}_{II}$  performs a series of queries to the oracles provided by  $\mathcal{C}$ . The descriptions of the oracles and the responses given by  $\mathcal{C}$  to the corresponding oracle queries by  $\mathcal{A}_{II}$  are described below. We assume that  $\mathcal{O}_H(.)$  oracle is queried with  $ID_i$  as input, before any other oracle is queried with the corresponding identity,  $ID_i$  as one of the input parameters.

 $\mathcal{O}_H(ID_i)$ : We will make a simplifying assumption that  $\mathcal{A}_{II}$  queries the  $\mathcal{O}_H$  oracle with distinct identities in each query. If the same identity is repeatedly queried to this oracle, by definition, the oracle consults the list L and gives the same response. Thus, we assume that  $\mathcal{A}_{II}$  asks  $q_H$  distinct queries for  $q_H$  distinct identities.  $\mathcal{C}$  selects a random index  $\gamma$ , where  $1 \leq \gamma \leq q_H$  and  $\mathcal{C}$  does not reveal  $\gamma$  to  $\mathcal{A}_{II}$ . When  $\mathcal{A}_{II}$  generates the  $\gamma^{th}$  query on  $ID_{\gamma}$ ,  $\mathcal{C}$  fixes  $ID_{\gamma}$  as target identity for the challenge phase.

In order to answer a query to the  $\mathcal{O}_H$  oracle,  $\mathcal{C}$  checks whether a tuple of the form  $\langle ID_i, g_i \rangle$  exists in the list L and if a tuple of this form exists,  $\mathcal{C}$  returns the corresponding  $g_i$ . If it does not exist,  $\mathcal{C}$  chooses  $g_i \in_R \mathbb{Z}_n^*$ , adds the tuple  $\langle ID_i, g_i \rangle$  to the list L and returns  $g_i$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{H_1}(ID_i, g_i^{x_i})$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle ID_i, g_i^{x_i}, e_i \rangle$  exists in the list  $L_1$ . If it exists,  $\mathcal{C}$  returns the corresponding  $e_i$ , else  $\mathcal{C}$  chooses  $e_i \in_R \mathbb{Z}_n^{odd}$ , adds the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  to the list  $L_1$  and returns  $e_i$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{H_2}(m,r)$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle m,r,h\rangle$  exists in the list  $L_2$ . If a tuple of this form exists,  $\mathcal{C}$  returns the corresponding h else chooses  $h \in_R \mathbb{Z}_n^{odd}$ , adds the tuple  $\langle m,r,h\rangle$  to the list  $L_2$  and returns h to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{H_3}(k_1, k_2, ID_i)$ : To respond to this query,  $\mathcal{C}$  checks whether a tuple of the form  $\langle k_1, k_2, ID_i, h_3 \rangle$  exists in the list  $L_3$ . If a tuple of this form exists,  $\mathcal{C}$  returns the corresponding  $h_3$  else retrieves  $N_i$  corresponding to  $ID_i$  from the list  $L_P$ , chooses  $h_3 \in_R \{0, 1\}^{l+|\mathbb{Z}_{N_i}^*|}$ , adds the tuple  $\langle k_1, k_2, ID_i, h_3 \rangle$  to the list  $L_3$  and returns  $h_3$  to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{PartialKeyExtract}(ID_i)$ : To respond to this query,  $\mathcal{C}$  does the following:  $\mathcal{C}$  checks whether a tuple of the form  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, \hat{d_i}, P_i, Q_i \rangle$  exists in list  $L_S$ .

- If it exists then, C outputs the corresponding  $s_i$  as the partial private key and  $PPK_i = g_i^{x_i}$  as the partial public key of the identity  $ID_i$ .
- If a tuple does not exist then, C performs the following:
  - Chooses  $x_i, e_i \in_R \mathbb{Z}_n^{odd}$ .
  - Retrieves the tuple  $\langle ID_i, g_i \rangle$  from the list L.

- Computes  $g_i^{x_i}$ ,  $d_i = e_i^{-1} \mod \phi(n)$  (Note that this is possible because C knows the prime factors of n) and  $s_i = x_i + d_i$
- Stores the tuple  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, -, -, -, \rangle$  in the list  $L_S$  and the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  in the list  $L_1$ .
- Stores the tuple  $\langle ID_i, g_i^{x_i}, g_i^{s_i}, e_i, -, -, \rangle$  in the list  $L_P$ .
- Returns  $s_i$  as the partial private key and  $PPK_i = g_i^{x_i}$  as the partial public key corresponding to the identity  $ID_i$ .

 $\mathcal{O}_{ExtractSecretValue}(ID_i)$ :  $\mathcal{C}$  checks whether  $i \stackrel{?}{=} \gamma$ :

- If  $i = \gamma$  then, C aborts the game.
- If  $i \neq \gamma$  then, C checks for a tuple of the form  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, \hat{d}_i, P_i, Q_i \rangle$  in list  $L_S$  and returns the corresponding  $\hat{d}_i, P_i, Q_i$  as the secret values corresponding to the identity  $ID_i$ . If the entries corresponding to  $(\hat{d}_i, P_i, Q_i)$  in the tuple are (''-",''-") then, C performs the following:
  - Chooses two big primes  $P_i$  and  $Q_i$  and computes  $N_i = P_i Q_i$ ,
  - Chooses  $\hat{d}_i \in_R \mathbb{Z}_{N_i}^*$  and computes  $\hat{e}_i \equiv \hat{d}_i^{-1} \mod \phi(N_i)$ ,
  - Updates the corresponding tuple in the list  $L_P$  as  $\langle ID_i, g_i^{x_i}, g_i^{s_i}, e_i, \hat{e}_i, N_i, \rangle$ ,
  - Updates the corresponding tuple in the list  $L_S$  as  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, \hat{d}_i, P_i, Q_i \rangle$  and
  - Returns  $(\hat{d}_i, P_i, Q_i)$  as the secret values to  $\mathcal{A}_{II}$ .

 $\mathcal{O}_{RequestPublicKey}(ID_i)$ : We assume that this query is executed only after  $\mathcal{O}_{PartialKeyExtract}(ID_i)$  and  $\mathcal{O}_{ExtractSecretValue}(ID_i)$  queries.  $\mathcal{C}$  performs the following to respond to this query:

- If  $i \neq \gamma$  then an entry of the form  $\langle ID_i, g_i^{x_i}, g_i^{s_i}, e_i, \hat{e}_i, N_i, \rangle$  must exist in the list  $L_P$ , C returns  $\langle g_i^{x_i}, g_i^{s_i}, \hat{e}_i, N_i \rangle$  as the public key corresponding to the identity  $ID_i$ .
- If  $i = \gamma$ , C performs the following:
  - Retrieves the tuples  $\langle ID_i, g_i \rangle$ ,  $\langle ID_i, g_i^{x_i}, e_i \rangle$  and  $\langle ID_i, x_i, d_i, s_i, g_i^{x_i}, -, -, \rangle$  from the lists  $L, L_1$  and  $L_S$  respectively.
  - C sets  $PK_i = \langle PK_i^{(1)}, PK_i^{(2)}, PK_i^{(3)}, PK_i^{(4)} \rangle = \langle g_i^{x_i}, g_i^{s_i}, \hat{e}, N \rangle$ . (It is to be noted that  $\hat{e}$  and N are taken from the RSA problem instance received by C.)
  - C now adds the tuple  $\langle ID_i, g_i^{x_i}, g_i^{s_i}, e_i, \hat{e}, N \rangle$  into the list  $L_P$  and returns  $\langle g_i^{x_i}, g_i^{s_i}, \hat{e}, N \rangle$  as the public key corresponding to the identity  $ID_i$ .

 $\mathcal{O}_{ReplacePublicKey}(ID_i, PK'_i)$ : To replace the public key of  $ID_i$  with a new public key  $PK'_i = \langle PK'^{(1)}, PK'^{(2)}, PK'^{(3)}, PK'^{(4)}_i \rangle$ , chosen by  $\mathcal{A}_{II}$ ,  $\mathcal{C}$  checks whether  $i \stackrel{?}{=} \gamma$  and does the following:

- If  $i = \gamma$  then, C aborts the game.
- If  $i \neq \gamma$  then, updates the corresponding tuples in the list  $L_P$  as  $\langle ID_i, PK_i^{'(1)}, PK_i^{'(2)}, e_i, PK_i^{'(3)}PK_i^{'(4)} \rangle$ , only if  $(PK_i^{'(2)})^{e_i} = (PK_i^{'(1)})^{e_i}g_i$ , where  $g_i$  corresponding to  $ID_i$  is retrieved from the list L.

Note: This oracle was not considered in both [18] and [11] for Type-II adversary.

 $\mathcal{O}_{Decryption}(\sigma, ID_i, PK_i)$ :  $\mathcal{C}$  performs the following to decrypt the ciphertext  $\sigma = \langle c_1, c_2, c_3 \rangle$ :

- If  $i \neq \gamma$ , C performs decryption in the normal way since C knows the private key corresponding to  $ID_i$ .
- If  $i = \gamma$ , C performs the following to decrypt the ciphertext  $\sigma = \langle c_1, c_2, c_3 \rangle$ :
  - Retrieves the tuple  $\langle ID_i, g_i^{x_i}, e_i \rangle$  from the list  $L_1$ .
  - For each  $\langle m, r, h \rangle \in L_2$  list performs the following:
    - \* Checks whether  $g_i^h \stackrel{?}{=} c_1$ .
    - \* If True, computes  $k_1 = (PK_i^{(1)})^{e_i h}$ .
    - \* Checks in list  $L_3$ , for the tuples of the form  $\langle k_1, k_2, ID_i, h_3 \rangle$  (Note that there may be more than one tuple in the list with the same  $k_1$ ). Picks up the tuple for which the value  $k_2^{e_i} = c_2$  and retrieves the corresponding  $h_3$  value. Checks whether  $c_3 \oplus h_3 \stackrel{?}{=} (m || r)$ , where m, r is retrieved from the list  $L_2$ .
    - \* If True, outputs m as the message.
  - If no tuple satisfies all the above tests, returns *Invalid*.

**Challenge:** At the end of **Phase I**,  $A_{II}$  gives C two messages  $m_0, m_1$  of equal length and an identity  $ID^*$ for the challenge.  $\mathcal{C}$  aborts the game if  $ID^* \neq ID_{\gamma}$ , else  $\mathcal{C}$  randomly chooses a bit  $\delta \in_R \{0,1\}$  and computes a ciphertext  $\sigma^*$  with  $ID_{\gamma}$  as the receiver by performing the following steps:

- Chooses  $h \in \mathbb{Z}_n^{odd}$
- Computes  $c_1^* = g_i^h$ .
- Sets  $c_2^* = b$  (Here b is taken from the RSA problem instance.)
- Choose  $c_3^* \in_R \{0,1\}^{l+|\mathbb{Z}_n^*|}$ .

Now,  $\sigma^* = \langle c_1^*, c_2^*, c_3^* \rangle$  is sent to  $\mathcal{A}_{II}$  as the challenge ciphertext.

**Phase II:** Again  $\mathcal{A}_{II}$  can perform polynomially bounded number of queries to the oracles provided by  $\mathcal{C}$ with the following conditions:

- $-\mathcal{A}_{II}$  should not have queried the decryption oracle with  $(\sigma^*, PK_{\gamma}, ID_{\gamma})$  as input. (It is to be noted that  $PK_{\gamma}$  is the public key corresponding to  $ID_{\gamma}$  during the challenge phase.  $\mathcal{A}_{II}$  is allowed to query the decryption oracle with  $(\sigma^*, PK^*, ID_{\gamma})$  as input,  $\forall PK^* \neq PK_{\gamma})$ .
- $\mathcal{A}_{II}$  should not have queried the secret value corresponding to  $ID_{\gamma}$ .
- $\mathcal{A}_{II}$  should not have replaced the public key corresponding to the identity  $ID_{\gamma}$ .

**Guess:** At the end of **Phase II**,  $\mathcal{A}_{II}$  produces a bit  $\delta'$  to  $\mathcal{C}$  but  $\mathcal{C}$  ignores the response and performs the following to output the solution to the RSA problem instance.

- Randomly picks a  $k_2$  value from the list  $L_3$  and outputs it as the solution to the RSA problem instance.

Correctness: Below, we show that the  $k_2$  value obtained through the above step is indeed a, such that  $a^{\hat{e}} = b$ .

- The public key components  $PK_{\gamma}^{(3)}$  and  $PK_{\gamma}^{(4)}$  corresponding to  $ID_{\gamma}$  were set to be  $\hat{e}$  and N by C during the request public key query, therefore the private key component  $D_{\gamma}^{(2)} = \hat{d} \mod N$ , such that  $\hat{d} \equiv \hat{e} \mod d$  $\phi(N)$  (It is to be noted that  $\mathcal{C}$  does not know  $\hat{d}$ ).
- $\mathcal{C}$  has set the  $c_2^*$  component of the challenge ciphertext  $\sigma^*$  as b during the challenge phase.
- In order to decrypt the ciphertext  $\sigma^*$ ,  $\mathcal{A}_{II}$  should have computed a value  $(c_2^*)^{D_{\gamma}^{(2)}} \mod N$  and queried the  $H_3$  oracle with it as the  $k_2$  component. - We show that  $(c_2^*)^{D_{\gamma}^{(2)}} \mod N = a$ ,

$$(c_2^*)^{D_{\gamma}^{(2)}} \mod N = b^{\hat{d}} \mod N = a^{\hat{e}\hat{d}} \mod N = a \mod N \text{ (Since } \hat{d} \equiv \hat{e} \mod \phi(N))$$

Thus,  $\mathcal{C}$  obtains the solution to the RSA problem with almost the same advantage of  $\mathcal{A}_{II}$  in the IND-RSA- $CLE_2$ -CCA2-II game.  $\square$ 

Analysis: We now derive the advantage of C breaking the CCDH problem using the adversary  $A_{II}$ . The simulations of H,  $H_1$ ,  $H_2$  and  $H_3$  clearly shows that the hash oracles are perfectly random. Let  $\epsilon$  be the advantage of  $\mathcal{A}_{II}$  in winning the IND-RSA-CLE<sub>2</sub>-CCA<sub>2</sub>-I game.

The events in which  $\mathcal{C}$  aborts the game and the respective probabilities are given below:

- 1.  $\mathcal{E}_1$  The event in which  $\mathcal{C}$  aborts when  $\mathcal{A}_{II}$  queries the secret value corresponding to  $ID_{\gamma}$ .
- 2.  $\mathcal{E}_2$  The event in which  $\mathcal{C}$  aborts when  $\mathcal{A}_{II}$  replaces the public key corresponding to  $ID_{\gamma}$ .
- 3.  $\mathcal{E}_3$  The event in which  $ID_{\gamma}$  is not chosen as the target identity by  $\mathcal{A}_{II}$  for the challenge.

Suppose  $\mathcal{A}_I$  has made  $q_H$  number of  $\mathcal{O}_H$  queries,  $q_{sv}$  number of  $\mathcal{O}_{ExtractSecretValue}$  queries,  $q_{rpk}$  number of identities for which  $\mathcal{O}_{ReplacePublicKey}$  queries and  $q_{srk}$  be the total number of identities for which the secret value is extracted and the public key is replaced, then:

$$\Pr[\mathcal{E}_1] = \frac{q_{sv}}{q_H}, \Pr[\mathcal{E}_2] = \frac{q_{rpk}}{q_H} \text{ and } \Pr[\mathcal{E}_3] = 1 - \frac{1}{q_H - q_{sv}}$$
  
Therefore,

$$\Pr[\neg abort] = [\neg \mathcal{E}_1 \land \neg \mathcal{E}_2 \land \neg \mathcal{E}_3] \\ = \left[1 - \frac{q_{sv}}{q_H}\right] \cdot \left[1 - \frac{q_{rpk}}{q_H}\right] \cdot \left[1 - \left[1 - \frac{1}{q_H - q_{srk}}\right]\right] = \left[1 - \frac{q_{sv}}{q_H}\right] \cdot \left[1 - \frac{q_{rpk}}{q_H}\right] \cdot \left[\frac{1}{q_H - q_{srk}}\right].$$

Therefore, the advantage of  $\mathcal{C}$  solving the CCDH problem is  $\epsilon' \ge \left(\epsilon \cdot \left[1 - \frac{q_{sv}}{q_H}\right] \cdot \left[1 - \frac{q_{rpk}}{q_H}\right] \cdot \left[\frac{1}{q_H - q_{srk}}\right]\right).$ 

# 5 Comparison Study

We compare our schemes with the two existing secure schemes [11] and [18]. We compare the level of security offered by each schemes and the assumptions used to prove the security against the two adversaries. The Type-I security of the scheme in [11] is based on RSA assumption and thus operates on composite groups and is CPA secure against both Type-I and Type-II adversaries. The Type-II security is based on the composite computational Diffie Hellman Assumption (CCDH). Both Type-I and Type-II securities of the scheme in [18] are based on the CDH assumption in multiplicative groups with prime order. Our schemes are based on RSA assumption and operates on composite groups. The major operations in all the schemes are multiplication and exponentiation, still, we do not consider them for the comparison due to the fact that the security parameters are different for RSA based schemes and schemes based on multiplicative groups with prime order.

Scheme	Security	Assumption	
		Type-I	Type II
Lai et al. [11]	CPA	RSA	CCDH
Sun et al. $[18]$	CCA2	CDH	CDH
$RSA-CLE_1$	CCA2	RSA	CCDH
RSA-CLE <sub>2</sub>	CCA2	RSA	RSA

Table-1: Comparison of level of security and assumptions

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# 7 Conclusion

In this paper, we have proposed two CCA2 secure certificateless encryption schemes. For the first scheme the Type-I security is based on the RSA assumption and Type-II security is based on the composite computational Diffie Hellman assumption. Both Type-I and Type-II securities of our second scheme are based on the RSA assumption. Our schemes are quite novel and based on entirely different key construct and protocol. It should be further noted that the existing schemes [11] and [18] consider a security model in which the Type-I adversary is not provided the extract secret value oracle, for the target identity. Our security model is stronger because we permit the extract secret value oracle corresponding to the target identity to the Type-I adversary. In fact, the scheme in [11] is not secure with this oracle access. However, in our security model the secret value corresponding to the target identity is given to the Type-I adversary, which makes it stronger. Moreover, we provide strong decryption oracle for Type-I adversary, i.e., the decryption of a ciphertext is provided by the challenger even if the public key of the corresponding user is replaced after the generation of the ciphertext. Thus we provide a CCA2 secure CLE whose security is partly based on RSA and another scheme which is fully based on RSA assumption. We have proved the security of our schemes in the random oracle model. We leave it an interesting open problem to design a CLE scheme in the original model [1] with the security of the scheme fully based on RSA assumption.

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