# Factor Base Discrete Logarithms in Kummer Extensions 

Dianyan Xiao ${ }^{1}$, Jincheng Zhuang ${ }^{2,3}$, and Qi Cheng ${ }^{4}$<br>${ }^{1}$ Institute for Advanced Study, Tsinghua University, Beijing, China<br>${ }^{2}$ State Key Laboratory of Information Security, Institute of Information Engineering Chinese Academy of Sciences, Beijing, China<br>${ }^{3}$ State Key Laboratory of Mathematical Engineering and Advanced Computing<br>Wuxi, China<br>${ }^{4}$ School of Computer Science, University of Oklahoma, Norman, OK, USA


#### Abstract

The discrete logarithm over finite fields of small characteristic can be solved much more efficiently than previously thought. This algorithmic breakthrough is based on pinpointing relations among the factor base discrete logarithms. In this paper, we concentrate on the Kummer extension $\mathbb{F}_{q^{2(q-1)}}=\mathbb{F}_{q^{2}}[x] /\left(x^{q-1}-A\right)$. It has been suggested that in this case, a small number of degenerate relations (from the Borel subgroup) are enough to solve the factor base discrete logarithms. We disprove the conjecture, and design a new heuristic algorithm with an improved bit complexity $\tilde{O}\left(q^{1+\theta}\right)$ (or algebraic complexity $\tilde{O}\left(q^{\theta}\right)$ ) to compute discrete logarithms of all the elements in the factor base $\left\{x+\alpha \mid \alpha \in \mathbb{F}_{q^{2}}\right\}$, where $\theta<2.38$ is the matrix multiplication exponent over rings. Given additional time $\tilde{O}\left(q^{4}\right)$, we can compute discrete logarithms of at least $\Omega\left(q^{3}\right)$ many monic irreducible quadratic polynomials. We reduce the correctness of the algorithm to a conjecture concerning the determinant of a simple $(q+1)$-dimensional lattice, rather than to elusive smoothness assumptions. We verify the conjecture numerically for all prime powers $q$ such that $\log _{2}\left(q^{2(q-1)}\right) \leq 5134$, and provide theoretical supporting evidences.


Keywords: Discrete logarithms, Finite fields, Kummer extension, Character Sum

## 1 Introduction

One of the basic assumptions in cryptography is the difficulty of solving discrete logarithm over a finite field. While the assumption still holds now for a general field, in particular a prime order field, it has been weakened dramatically if the characteristic of the field is small, due to recent ground-breaking work [10, 16, $17,4]$. The new algorithms follow the same two-step strategy as in the index calculus, function field sieve and number field sieve [2,3]. In the first step the discrete logarithms of elements in a factor base are calculated. In the second step, the discrete logarithm of the target element is computed. The factor base
consists of small prime numbers, or small degree irreducible polynomials. It is closely related to the concept of smoothness, which plays a critical role in many algorithms attacking public key cryptosystems. An integer is smooth if all its prime factors are small. A polynomial is smooth if it can be factored into a product of irreducible polynomials of small degrees. If an equality of two smooth elements can be established in a finite field, one obtains a linear relation of logarithms of members in the factor base. While previous approaches use exhaustive search to find such relations, the new algorithms $[10,16,17,12]$ rely on a guided way, dubbed as "pinpointing" in [16]. It works very well in practice, inspires the first heuristic quasi-polynomial time algorithm [4], and produces a sequence of record-breaking numerical results. However, the correctness of these algorithms is based on smoothness assumptions that are difficult to prove using current number theoretical techniques.

In the method of [4], to solve the discrete logarithm problems in small characteristic fields such as $\mathbb{F}_{q^{2 n}}=\mathbb{F}_{q^{2}}[X]$, the factor base consists of the polynomial in $\mathbb{F}_{q^{2}}[X]$ of degree 1 . The logarithms of factor base elements can be solved by the method proposed in [17]. From every element in $P G L_{2}\left(\mathbb{F}_{q^{2}}\right)$, one obtains an equation, where the left hand side is a product of linear polynomials in $\mathbb{F}_{q^{2}}[X]$, and the right hand side is of low degree. A relation is found if the right hand side can be factored completely into linear factors. It has been observed that for the Kummer extension $\mathbb{F}_{q^{2(q-1)}}$, in the equation obtained from an element in the Borel subgroup of $P G L_{2}\left(\mathbb{F}_{q^{2}}\right)$, the right hand is automatically linear [15]. The relations from the subgroup give us a linear system of $q^{2}-1$ variables and $O\left(q^{2}\right)$ many equations, without using smoothness assumptions. A natural question is whether it is sufficient to solve the discrete logarithm of linear factors from this system. In this paper, we first give a negative answer to the question. We then propose to add a few simple relations that are not derived from an element in $P G L_{2}\left(\mathbb{F}_{q^{2}}\right)$. Our examples show that after adding them, the discrete logarithm can be computed. To analyze the algorithm, we formulate a conjecture concerning the determinant of a $(q+1)$-dimensional lattice. If the conjecture is true, it implies that discrete logarithms of the factor base (of cardinality $q^{2}$ ) in $\mathbb{F}_{q^{2(q-1)}}$ can be solved in $\tilde{O}\left(q^{1+\theta}\right)$ bit operations ( or algebraic complexity $\left.\tilde{O}\left(q^{\theta}\right)\right)$. We have verified the conjecture numerically for all $q$ 's such that $\log _{2}\left(q^{2(q-1)}\right) \leq 5134$, which covers all the fields that are practically relevant. We also provide theoretical evidences that support the conjecture.

Given a finite field $\mathbb{F}_{p^{n}}$, the group $\mathbb{F}_{p^{n}}^{*}$ is known to be cyclic. Finding one generator will have many applications, however this is not an easy problem. Several approaches have been taken to tackle this problem. One approach is to construct elements with high order, such as $[22,6,1]$. Another approach is to find a small generating set, such as $[9,20,23]$. One can also focus on some restricted parameters, such as small characteristics $[15,19]$.

Our Motivation Even though in cryptography, the Kummer extensions are not used, and fields with small characteristic are generally avoided, we feel that it is worthwhile to study the discrete logarithm problem in Kummer extensions:

- The Kummer extensions are usually the testbeds for new ideas on solving discrete logarithms. The efficiency of the algorithm in the Kummer case attains the upper bound, thus many of the numerical records are achieved in Kummer extensions.
- All the new algorithms are heuristic, even in the case of Kummer extensions, except a recent result in [11], where it is randomized. Removing the heuristic and/or the randomness from the algorithm, or even just weakening the heuristic, is an interesting and important problem. The Kummer extensions are naturally the first candidates for investigations.


### 1.1 New Method of Finding Relations

Let $\mathbb{F}_{q}$ be a finite field with $q$ elements. Let $h_{0}(x)$ and $h_{1}(x)$ be polynomials over $\mathbb{F}_{q^{2}}$ of small degrees. Let $g$ be an element in $\mathbb{F}_{q^{2}}$ such that $\langle g\rangle=\mathbb{F}_{q^{2}}^{*}$. Following Joux's idea, we start with the identity in $\mathbb{F}_{q^{2}}[x]$ :

$$
\prod_{\alpha \in \mathbb{F}_{q}}(x-\alpha)=x^{q}-x
$$

Applying the Möbius transformation

$$
x \mapsto \frac{a x+b}{c x+d}
$$

where the matrix $m=\left(\begin{array}{ll}a & b \\ c & d\end{array}\right) \in \mathbb{F}_{q^{2}}^{2 \times 2}$ is nonsingular, we have

$$
\prod_{\alpha \in \mathbb{F}_{q}}\left(\frac{a x+b}{c x+d}-\alpha\right)=\left(\frac{a x+b}{c x+d}\right)^{q}-\frac{a x+b}{c x+d}
$$

Clearing the denominator, we get

$$
\begin{aligned}
& (c x+d) \prod_{\alpha \in \mathbb{F}_{q}}((a x+b)-\alpha(c x+d)) \\
= & (a x+b)^{q}(c x+d)-(a x+b)(c x+d)^{q} \\
= & \left(a^{q} x^{q}+b^{q}\right)(c x+d)-(a x+b)\left(c^{q} x^{q}+d^{q}\right) .
\end{aligned}
$$

Multiplying both sides by $h_{1}(x)$ and replacing $x^{q} h_{1}(x)$ by $h_{0}(x)$, we obtain

$$
\begin{align*}
& h_{1}(x)(c x+d) \prod_{\alpha \in \mathbb{F}_{q}}((a x+b)-\alpha(c x+d)) \\
= & \left(a^{q} h_{0}(x)+b^{q} h_{1}(x)\right)(c x+d)-(a x+b)\left(c^{q} h_{0}(x)+d^{q} h_{1}(x)\right) \\
& \quad\left(\bmod x^{q} h_{1}(x)-h_{0}(x)\right) . \tag{1}
\end{align*}
$$

The left hand side is a product of linear polynomials if $h_{1}(x)$ has degree $\leq 1$. Let $f(x)$ be irreducible factor of $x^{q} h_{1}(x)-h_{0}(x)$ of degree $n$. If the right hand side, which already has small degree, can be factored into linear factors, then we have a relation among factor base elements in $\mathbb{F}_{q^{2}}[x] /(f(x)) \cong \mathbb{F}_{q^{2 n}}$. One hopes to find enough relations so that the factor base discrete logarithm can be found.

### 1.2 Our Contributions

For any $(n, q)(n<q)$ of cryptographic interests, the small degree polynomials $h_{0}(x)$ and $h_{1}(x)$ can be found easily so that $x^{q} h_{1}(x)-h_{0}(x)$ has an irreducible factor of degree $n$. However proving that they exist in general is a very hard mathematical problem. One can compare it with the much weaker HansenMullen Conjecture [13, Conjecture B] concerning the distribution of irreducible polynomials with some prefixed coefficients, and subsequent work such as [23]. Because this work focuses on provability of the computational complexity, we feel that the Kummer extension $\mathbb{F}_{q^{2(q-1)}}$ should be dealt with firstly. It can be modeled by $\mathbb{F}_{q^{2}}[x] /\left(x^{q-1}-A\right)$, where $A \in \mathbb{F}_{q^{2}}$ and $x^{q-1}-A$ is irreducible over $\mathbb{F}_{q^{2}}$. In this case, existence of $h_{0}$ and $h_{1}$ can be easily established, and in fact,

$$
h_{1}(x)=1, h_{0}(x)=A x
$$

Equation (1) becomes

$$
\begin{align*}
& (c x+d) \prod_{\alpha \in \mathbb{F}_{q}}((a-\alpha c) x+(b-\alpha d)) \\
= & A\left(a^{q} c-a c^{q}\right) x^{2}+\left(\left(b^{q} c-a d^{q}\right)-A\left(b c^{q}-a^{q} d\right)\right) x+\left(b^{q} d-b d^{q}\right) \quad\left(\bmod x^{q}-A x\right) . \tag{2}
\end{align*}
$$

If $a^{q} c=a c^{q}$, then the right hand side has degree one, which gives us a relation. To satisfy $a^{q} c=a c^{q}$, we can set $a=0$, in which case $c$ can be made to 1 ; or we set $c=0$, in which case $a$ can be made to 1 ; or we can set $a=1$ and $c=1$. One can verify that these three cases give us the same set of relations, since they are in the same $P G L_{2}\left(\mathbb{F}_{q}\right)$-coset of the group $P G L_{2}\left(\mathbb{F}_{q^{2}}\right)$, and the elements in the same $P G L_{2}\left(\mathbb{F}_{q}\right)$-coset generate the same linear equation. We need to note that if $b^{q} d=b d^{q}$, the situation is similar, since the LHS quadratic polynomial is a product of $x$ and a non-trivial linear factor. W.l.o.g., we will assume that $c=0$ and $d=1$. Denote $X=x\left(\bmod x^{q-1}-A\right)$, we have

$$
\prod_{\alpha \in \mathbb{F}_{q}}(a X+b-\alpha)=\left(A a^{q}-a\right) X+b^{q}-b
$$

If $b \notin \mathbb{F}_{q}$, then we can simply assume that $b=g$, and obtain

$$
a^{q} \prod_{\alpha \in \mathbb{F}_{q}}\left(X+\frac{g-\alpha}{a}\right)=\left(a^{q} A-a\right)\left(X+\frac{g^{q}-g}{a^{q} A-a}\right) .
$$

Let log be the discrete logarithm based on a prefixed multiplicative generator of $\left(\mathbb{F}_{q^{2(q-1)}}\right)^{*} / \mathbb{F}_{q^{2}}^{*}$. For example, $\log a=0$ for every $a \in \mathbb{F}_{q^{2}}^{*}$. We obtain a linear system

$$
\begin{equation*}
\forall a \in \mathbb{F}_{q^{2}}^{*}, \sum_{\alpha \in \mathbb{F}_{q}} \log \left(X+\frac{g-\alpha}{a}\right)=\log \left(X+\frac{g^{q}-g}{a^{q} A-a}\right) \tag{3}
\end{equation*}
$$

of $q^{2}-1$ equations in $q^{2}-1$ variables, which represent $\log (X+h)\left(h \in \mathbb{F}_{q^{2}}^{*}\right)$.

We observe that $\frac{g^{q}-g}{A a^{q}-a}$ runs over $\mathbb{F}_{q^{2}}^{*}$ when $a$ goes through $\mathbb{F}_{q^{2}}^{*}$, then we could write the variables in order $\log \left(X+\frac{g^{q}-g}{A g^{2 q}-g^{2}}\right)\left(i=0,2, \cdots, q^{2}-2\right)$. Consequently the linear system can be formulated as

$$
\forall 0 \leq i \leq q^{2}-2, \quad \sum_{0 \leq j \leq q^{2}-2} m_{i, j} \log \left(X+\frac{g^{q}-g}{g^{j q} A-g^{j}}\right)=\log \left(X+\frac{g^{q}-g}{g^{i q} A-g^{i}}\right)
$$

where

$$
m_{i, j}=\left\{\begin{array}{l}
1, \text { if } \exists \alpha \in \mathbb{F}_{q}, \text { s.t. } \frac{g-\alpha}{g^{i}}=\frac{g^{q}-g}{A g^{j}-g^{j}} \\
0, \text { otherwise }
\end{array}\right.
$$

Denote the matrix $M=\left(m_{i, j}\right)_{0 \leq i, j \leq q^{2}-2}$. One can verify that the coefficient matrix of the linear system is $M-I$. It becomes an interesting problem to study the eigenvalue of $M$.

Note that

$$
\left\{\left.\left(\begin{array}{ll}
a & b \\
0 & 1
\end{array}\right) \right\rvert\, a \in \mathbb{F}_{q^{2}}^{*}, b \in \mathbb{F}_{q^{2}}\right\}
$$

is the Borel subgroup of $P G L_{2}\left(\mathbb{F}_{q^{2}}\right)$. We should only consider $P G L_{2}\left(\mathbb{F}_{q}\right)$-coset representatives, which can be partitioned into two subsets

$$
\left\{\left.\left(\begin{array}{ll}
a & g \\
0 & 1
\end{array}\right) \right\rvert\, a \in \mathbb{F}_{q^{2}}^{*}\right\} \cup\left\{\left.\left(\begin{array}{ll}
a & 0 \\
0 & 1
\end{array}\right) \right\rvert\, a \in \mathbb{F}_{q^{2}}^{*} / \mathbb{F}_{q}^{*}\right\} .
$$

The linear system (3) is obtained by considering the first subset. The second subset gives us a system of $q+1$ equations:

$$
\begin{equation*}
\forall a \in \mathbb{F}_{q^{2}}^{*} / \mathbb{F}_{q}^{*}, \sum_{\alpha \in \mathbb{F}_{q}^{*}} \log \left(X+\frac{-\alpha}{a}\right)=0 \tag{4}
\end{equation*}
$$

None of the equations contains the variable corresponding to $\log X$, which is known as a trap [5]. But it is easy to calculate $\log (X)$ in the Kummer case since the order of $X$ is small. Note that the linear system (3), as well as (4), is homogeneous. Let $N$ be the largest factor of $q^{2(q-1)}-1$ that is free of prime factors $\leq q^{2}-1$. If the solution space is one dimensional over $\mathbb{Z} / N \mathbb{Z}$, then the discrete logarithms of linear factors can be determined up to a scalar that depends on the logarithm base. We will show that the linear system (3) is not sufficient for the purpose of solving discrete logarithm of the factor base. To achieve this, we prove that the eigenvalues of $M$, viewed as an integral matrix, include 1 with multiplicity at least $(q-1) / 2$.

We also show that adding (4), we can reduce the number of variables in (3) from $q^{2}-1$ to $q^{2}-q-2$, which derives a $\left(q^{2}-q-2\right) \times\left(q^{2}-q-2\right)$ matrix $M^{\prime}$, a direct summand of $M$. We prove that all the complex eigenvalues of $M^{\prime}$ have complex norm $\sqrt{q}$, using the character sum technique. In particular, it implies that $M^{\prime}-I$ has no solution over complex numbers. As for the solvability over $\mathbb{Z} / N \mathbb{Z}$, we have found a numerical example that for some $q$ and a large prime
$l \mid q^{2(q-1)}-1, M^{\prime}$ has eigenvalue 1 over $\mathbb{F}_{l}$ with multiplicity 2 , which shows adding (4) does not help to determine the factor base discrete logarithms.

We then propose to add a simple relation into the linear system. Note that over $\mathbb{F}_{q^{2(q-1)}}^{*} / \mathbb{F}_{q^{2}}^{*},(X+a)^{q^{2}}=X+\frac{a}{A^{q+1}}$, thus we get

$$
\begin{equation*}
\forall a \in \mathbb{F}_{q^{2}}^{*}, q^{2} \log (X+a)=\log \left(X+\frac{a}{A^{q+1}}\right) \tag{5}
\end{equation*}
$$

The technique of using Frobenius action to reduce the smoothness basis size has been used in previous work [17]. Actually we can use

$$
\begin{equation*}
\forall a \in \mathbb{F}_{q^{2}}^{*}, q \log (X+a)=\log \left(X+\frac{a^{q}}{A}\right) \tag{6}
\end{equation*}
$$

instead of (5) to have a slightly better algorithm, which will not improve the complexity order..

Observe that given the value $\log (X+a)$ for any $a \in \mathbb{F}_{q^{2}}^{*}, \log (X+a \beta)$ for all $\beta \in \mathbb{F}_{q}^{*}$ can be computed from (5), since $\frac{1}{A^{q+1}}$ is a generator of the multiplicative group $\mathbb{F}_{q}^{*}$. This allows us to reduce the number of variables further to $q+1$. We show that to find the factor base discrete logarithms, we need to solve a $(q+1) \times(q+1)$ matrix $\hat{M}-I$, where $\hat{M}$ is a direct summand of $M^{\prime}$ over $\mathbb{Z} / N \mathbb{Z}$. The efficiency is improved to bit complexity $O\left(q^{3.38}\right)$. To analyze the new algorithm, we introduce a conjecture about the determinant of a simple $(q+1)$-dimensional lattice, derived from $\hat{M}-I$. The conjecture implies that this more efficient algorithm can solve the factor base discrete logarithm for any $\mathbb{F}_{q^{2(q-1)}}$. We have done an extensive numerical study to confirm the conjecture.

This paper is organized as follows. In Section 2, we decompose $M$ into a block diagonal form, and show that adding (4) essentially removes one small block from $M$, thus will not have a big impact on the efficiency of the algorithm. In Section 3, we show that adding (5) allows us to select just one block from the block diagonal form of $M$, which greatly improves the efficiency. We formulate a conjecture that implies the correctness of our algorithm, and supply some numerical and theoretical evidences. We make some concluding remarks in the last section.

## 2 Block Diagonal Form of $M$ over $\mathbb{C}$

In this section, we show that the linear system (3) is singular over $\mathbb{Q}$ with a kernel of dimension at least $(q-1) / 2$. To this end, we first decompose $M$, viewed as a $\mathbb{C}$-linear transformation of $\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right)$,

$$
M\left(x^{k}\right)=\sum_{i=0}^{q^{2}-2} m_{i, k} x^{i}=\sum_{\alpha \in \mathbb{F}_{q}} x^{\log _{g}\left((g+\alpha) \cdot \frac{A g^{k q}-g^{k}}{g^{q}-g}\right)}, \text { for all } 0 \leq k \leq q^{2}-2
$$

into a direct sum of linear transformations. For the linear system (4), we have a corresponding $\mathbb{C}$-linear transformation under the same base,

$$
C\left(x^{k}\right)=\sum_{\alpha \in \mathbb{F}_{q}} x^{\log _{g}\left(-\alpha \cdot \frac{A g^{k q}-g^{k}}{g^{q}-g}\right)}, \text { for all } 0 \leq k \leq q^{2}-2
$$

Definition 1. Define two linear transformations $G$ and $T$ over the $\mathbb{C}$-linear space $\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right)$ as:

$$
G\left(x^{k}\right)=x^{k} \sum_{\alpha \in \mathbb{F}_{q}} x^{\log _{g}(g+\alpha)}, \quad T\left(x^{k}\right)=x^{k} x^{\log _{g} \frac{A g^{k(q-1)}-1}{g^{q}-g}}
$$

Note that $M, C, G$ and $T$ are well-defined, since $\log _{g}$ is a map from $\mathbb{F}_{q^{2}}^{*}$ to $\mathbb{Z} /\left(q^{2}-1\right) \mathbb{Z}$ if $g$ is a multiplicative generator of $\mathbb{F}_{q^{2}}$.

Theorem 1. We have $M=G T$.
Proof. For any $0 \leq k \leq q^{2}-2$,

$$
\begin{aligned}
M\left(x^{k}\right) & =\sum_{\alpha \in \mathbb{F}_{q}} x^{\log _{g}\left((g+\alpha) \cdot \frac{A g^{k q}-g^{k}}{g^{q}-g}\right)} \\
& =\left(\sum_{\alpha \in \mathbb{F}_{q}} x^{\log _{g}(g+\alpha)}\right) \cdot x^{\log _{g} \frac{A g^{k q}-g^{k}}{g^{q-g}}}
\end{aligned}
$$

which proves the theorem.
According to Chinese Remainder Theorem, we have a ring isomorphism:

$$
\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right) \rightarrow \bigoplus_{i=0}^{q-2} \mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)
$$

where $\zeta_{q-1}=e^{\frac{2 \pi \mathrm{i}}{q-1}}$. It decomposes the linear space $\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right)$ into $q-1$ subspaces, each has dimension $q+1$. The following theorem shows that each of the components is an invariant subspace for $T$ and $G$, thus $M$ can be represented by a block-diagonal matrix.
Theorem 2. The linear transformation $M$ over $\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right)$ defined above is similar to a block-diagonal matrix:

$$
M=U^{-1}\left(\begin{array}{llll}
M_{0} & & &  \tag{7}\\
& M_{1} & & \\
& & \ddots & \\
& & & M_{q-2}
\end{array}\right) U
$$

where for $i=0,1, \cdots, q-2, M_{i}=\left.M\right|_{\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)}$, denoting the transformation of $M$ acting on the invariant subspace $\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)$, and $U$ is an invertible matrix.

Proof. Let $V_{i, j}$ be the polynomial

$$
x^{j} \prod_{k \neq i}\left(x^{q+1}-\zeta_{q-1}^{k}\right)
$$

in $\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right)$. It is easy to see that for any $0 \leq j \leq q, 0 \leq i \leq q-2$, if $k \neq i$, we have

$$
V_{i, j}=0 \quad\left(\bmod x^{q+1}-\zeta_{q-1}^{k}\right)
$$

And $V_{i, 0}, V_{i, 1}, \cdots, V_{i, q}$ is a basis of subspace $C[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)$. One can verify that

$$
\begin{aligned}
T\left(x^{m(q+1)} x^{n}\right) & =x^{m(q+1)} x^{n} x^{\log _{g} \frac{A_{g}(n+m(q+1))(q-1)}{g^{q}-g}} \\
& =x^{m(q+1)} x^{n} x^{\log _{g} \frac{A_{g^{n(q-1)}-1}^{g^{q}-g}}{}} \\
& =x^{m(q+1)} T\left(x^{n}\right)
\end{aligned}
$$

for any integer $m$ and $n$. We have $T\left(V_{i, j}\right)=y V_{i, j^{\prime}}$ for some integer $j^{\prime}$ and $y \in \mathbb{C}$. Thus, the space spanned by $V_{i, 0}, V_{i, 1}, \cdots, V_{i, q}$ is invariant under $T$. It is also invariant under $G$, the block diagonal structure of $M$ is derived.

### 2.1 The Linear Transformation $T$

It turns out that $T$ is a very simple transformation.
Theorem 3. Let $0 \leq i \leq q-2$ be an integer. Note that since $\frac{1-A^{q+1}}{\left(g^{q}-g\right)^{2}} \in \mathbb{F}_{q}^{*}$, there must exist a unique complex number $\tau$ that is congruent to

$$
x^{\log _{g} \frac{1-A^{q+1}}{\left(g^{q}-g\right)^{2}}} \quad\left(\bmod x^{q+1}-\zeta_{q-1}^{i}\right) .
$$

The linear transformation $T_{i}=\left.T\right|_{\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)}$ can be represented by

$$
\left(\begin{array}{cccccccc}
D & & & & & & & \\
& 0 & y_{1} & & & & & \\
& \tau / y_{1} & 0 & & & & & \\
& & & 0 & y_{2} & & & \\
& & & \tau / y_{2} & 0 & & & \\
& & & & & \ddots & & \\
& & & & & & 0 & \\
& & & & & & & \\
& & & & & & & \\
& & & y_{d} & 0
\end{array}\right)
$$

where $D=$ diagonal $\left(\gamma_{1}, \cdots, \gamma_{t}\right)$ satisfying $\gamma_{j}^{2}=\tau$ for all $1 \leq j \leq t$. In addition, we have: $t=1$, if $q$ is even; $t$ is 0 or 2 , if $q$ is odd.

Proof. In the polynomial ring $\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)$, we have

$$
T^{2}\left(x^{k}\right)=x^{\log _{g} \frac{1-A^{q+1}}{\left(g^{q}-g\right)^{2}}} \cdot x^{k}=\tau x^{k}
$$

since

$$
\frac{A\left(\frac{A g^{k q}-g^{k}}{g^{q}-g}\right)^{q}-\frac{A g^{k q}-g^{k}}{g^{q}-g}}{g^{q}-g}=g^{k} \cdot \frac{1-A^{q+1}}{\left(g^{q}-g\right)^{2}}
$$

For any integer $0 \leq k_{1} \leq q$, there must exist an integer $k_{2}$ and $y \in \mathbb{C}$, such that $T\left(x^{k_{1}}\right)=y x^{k_{2}}$, and $T\left(x^{k_{2}}\right)=\frac{\tau}{y} x^{k_{1}}$. When $k_{1}=k_{2}$, we have $T\left(x^{k_{1}}\right)=\gamma x^{k_{1}}$ with $\gamma^{2}=\tau$. We claim that the number of these $k_{1}$ is at most 2 .

Observe that $T\left(x^{k}\right)=\gamma x^{k}$ for some $\gamma \in \mathbb{C}$ if and only if $\frac{A g^{k(q-1)}-1}{\left(g^{q}-g\right)} \in \mathbb{F}_{q}$. Then we must have

$$
\left(\frac{A g^{k(q-1)}-1}{g^{q}-g}\right)^{q}=\frac{A g^{k(q-1)}-1}{g^{q}-g}
$$

Namely $A g^{2 k(q-1)}-2 g^{k(q-1)}+A^{q}=0$, which is a quadratic equation in $g^{k(q-1)}$. In addition, $g^{q-1}$ has order $q+1$, thus there are at most two $0 \leq k \leq q$ satisfying the formula.

With proper order of the basis $\left\{1, x, x^{2}, \cdots, x^{q}\right\}$, we obtain our conclusion.

Corollary 1. The characteristic polynomial of the linear transformation $T_{0}=$ $\left.T\right|_{\mathbb{C}[x] /\left(x^{q+1}-1\right)}$ is
$-\left(x^{2}-1\right)^{q / 2}(x-1)$ if $q$ is even;
$-\left(x^{2}-1\right)^{(q+1) / 2}$ if $q$ is odd and $t=0$;
$-\left(x^{2}-1\right)^{(q-1) / 2}(x-1)^{2}$ if $q$ is odd and $t=2$.
Let us consider the action of $M$ on subspace $\mathbb{C}[x] /\left(x^{q+1}-1\right)$. By Chinese Remainder Theorem,

$$
\mathbb{C}[x] /\left(x^{q+1}-1\right) \cong \mathbb{C}[x] /(x-1) \oplus \mathbb{C}[x] /\left(x^{q}+x^{q-1}+\cdots+1\right)
$$

In the component $\mathbb{C}[x] /(x-1) \cong \mathbb{C}, x^{q}+x^{q-1}+\cdots+1 \in \mathbb{C}[x] /\left(x^{q+1}-1\right)$ is the base. Acting on the base, $G_{0}=\left.G\right|_{\mathbb{C}[x] /\left(x^{q+1}-1\right)}$ is just a multiplication by $q$, and $T$ fixes the base. In the other component $\mathbb{C}[x] /\left(x^{q}+x^{q-1}+\cdots+1\right)$, one base is $\left\{x^{i}(x-1) \mid 0 \leq i \leq q-1\right\}$. The action $G_{0}$ is a multiplication by

$$
\sum_{\alpha \in \mathbb{F}_{q}} x^{\log _{g}(g+\alpha)}=\sum_{1 \leq i \leq q} x^{i}=-1
$$

since for any $\alpha \in \mathbb{F}_{q}, \beta \in \mathbb{F}_{q},(g+\alpha) /(g+\beta) \notin \mathbb{F}_{q}$ if $\alpha \neq \beta$. The eigenvalue of $M_{0}$ is thus equal to the negation of eigenvalue of $T_{0}$. Hence
Theorem 4. Let $f_{0}(x)$ be the characteristic polynomial of $M_{0}$, we have

$$
f_{0}(x)=\left\{\begin{array}{cc}
(x-q)\left(x^{2}-1\right)^{\frac{q}{2}}, & q \text { is even } \\
(x-q)\left(x^{2}-1\right)^{\frac{q-1}{2}}(x \pm 1), & q \text { is odd }
\end{array}\right.
$$

From Theorem 4, we conclude that $M$ has eigenvalue 1 with multiplicity at least $(q-1) / 2$. Hence $M-I$ has a kernel space of dimension $(q-1) / 2$ over $\mathbb{Q}$. It means that the $q^{2}-1$ relations in the linear system (3) are not enough to compute the discrete logarithms of linear factors.

### 2.2 The Linear Transformation $C$

Theorem 5. We have

$$
C=U^{-1}\left(\begin{array}{llll}
(q-1) T_{0} & & & \\
& 0 & & \\
& & \ddots & \\
& & & 0
\end{array}\right) U
$$

where $T_{0}$ is a permutation matrix, and $U$ is the same base change matrix in (7).
Proof. It is easy to verify that $C=H T$, where $H$ is a linear transformation over $\mathbb{C}$-linear space $\mathbb{C}[x] /\left(x^{q^{2}-1}-1\right)$ defined as:

$$
H\left(x^{k}\right)=x^{k} \sum_{\alpha \in \mathbb{F}_{q}^{*}} x^{\log _{g}(-\alpha)}
$$

and $T$ is defined in Definition 1.
In the ring $\mathbb{C}[x] /\left(x^{q+1}-1\right)$, we have

$$
\sum_{\alpha \in \mathbb{F}_{q}^{*}} x^{\log _{g}(-\alpha)}=\sum_{1 \leq j \leq q-1}\left(x^{q+1}\right)^{j}=q-1
$$

That is, for any polynomial $P \in \mathbb{C}[x] /\left(x^{q+1}-1\right)$,

$$
\begin{aligned}
C(P(x)) & =H T(P(x)) \\
& =T(P(x)) \cdot \sum_{\alpha \in \mathbb{F}_{q}^{*}} x^{\log _{g}(-\alpha)} \\
& =(q-1) T(P(x)) \neq 0
\end{aligned}
$$

On the other hand, in the ring $\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right), 1 \leq i \leq q-2$, we have

$$
\sum_{\alpha \in \mathbb{F}_{q}^{*}} x^{\log _{g}(-\alpha)}=\sum_{1 \leq j \leq q-1}\left(x^{q+1}\right)^{j}=\sum_{0 \leq j \leq q-1}\left(\zeta_{q-1}^{i}\right)^{j}=0 .
$$

Then for any $P(x)$, one may obtain:

$$
C(P(x))=T(P(x)) \cdot \sum_{\alpha \in \mathbb{F}_{q}^{*}} x^{\log _{g}(-\alpha)}=0 .
$$

We conclude that the solution space of (4) belongs to the solution space of $M_{i}, 1 \leq i \leq q-2$, but not $M_{0}$.

Corollary 2. Adding the equations of (4) to the equations of (3), we obtain a linear system $M^{\prime}-I$ where

$$
M^{\prime}=M_{1} \oplus M_{2} \oplus \cdots M_{q-2}
$$

The corollary basically shows that after adding (4), the dimension of the linear system that we need to solve drops from $q^{2}-1$ to $q^{2}-q-2$, which is only a negligible improvement.

## 3 The Main Theorem and the Conjecture

Assume that $q^{2(q-1)}-1$ has factorization

$$
q^{2(q-1)}-1=p_{1}^{e_{1}} p_{2}^{e_{2}} \cdots p_{s}^{e_{s}} N
$$

where $p_{1}, \cdots, p_{s}$ are primes less than $q^{2}$, and $N$ is free of prime factors less than $q^{2}$. Denote $S=p_{1}^{e_{1}} p_{2}^{e_{2}} \cdots p_{s}^{e_{s}}$. To solve the discrete logarithm problem in $\mathbb{F}_{q^{2(q-1)}}$, we factor the group through isomorphism

$$
\mathbb{F}_{q^{2(q-1)}}^{*} \cong \mathbb{Z} / N \mathbb{Z} \times \mathbb{Z} / S \mathbb{Z}
$$

The discrete logarithm in the subgroup of order $S$ can be solved in $O\left(q^{2}\right)$ by Pohlig-Hellman algorithm [18], since the group order is smooth. So we should focus on the subgroup of order $N$. To compute the discrete logarithm in this subgroup, we will have to solve the equation system (3) combining with (4) over $\mathbb{Z} / N Z$. Ideally it is preferable to solve linear systems in a finite field $\mathbb{F}_{l}$, where $l \mid N$, as there are no zero divisors in a field. However it is hard and time-consuming to factor $N$ in general. In order to avoid the complexity cost $\exp \left(\frac{1}{2} \log _{2} N+o\left(\log _{2} N\right)\right)$ for factoring $N$, we solve the linear system by computing the Smith Normal Form, instead of using the Gauss Elimination.

As we have shown in the previous sections, the system (3) alone is not enough, since it has a kernel over $\mathbb{Q}$ of dimension much bigger than 1 . Can we avoid the problem by adding the linear equations (4)? We found that when $q=31, M^{\prime}$ has eigenvalue 1 with multiplicity 2 over $\mathbb{F}_{l}$ for the prime factor $l=2521$ of $N$, thus the $M^{\prime}-I$ have a kernel of dimension 2 over $\mathbb{F}_{l}$. Here we include the details:

For the case $q=31$, we build the extension field $\mathbb{F}_{q^{2}}=\mathbb{F}_{q}[x] /\left(x^{2}-2 x+3\right)$. Let $g=x\left(\bmod x^{2}-2 x+3\right)$. One can verify that $g$ is a multiplicative generator of $\mathbb{F}_{q^{2}}^{*}$. The element $A$ is selected to be $g$. We compute $h(x)$, the characteristic polynomial of $M^{\prime}$, and find that when $l=2521$, the power of the factor $x-1$ in $h(x)(\bmod l)$ is 2 .

This shows that the discrete logarithm over the subgroup of size $l$ can not be uniquely determined by the linear system (3) plus (4). Furthermore, even in the case that (3) plus (4) is sufficient, it is not efficient, since we need to solve a linear system with $O\left(q^{2}\right)$ many variables, namely $\log (x+\alpha), \alpha \in \mathbb{F}_{q^{2}}$.

Nevertheless if we add (5), numerical data confirm that discrete logarithm can always be found.

### 3.1 Computing the Discrete Logarithms of Factor Base

Let us consider the new linear system consisting of (3),(4)and(5). The new linear system have only $q+1$ variables, and the coefficient matrix can be described by the action of $G$ and $T$, as defined in Definiton 1 , on the $\mathbb{Z} / N Z$ module $(\mathbb{Z} / N Z)[x] /\left(x^{q+1}-\tilde{\mu}\left(g^{q+1}\right)\right)$, where $\tilde{\mu}$ is homomorphism from $\mathbb{F}_{q}^{*}$ to $\left\langle q^{2}\right\rangle \in(\mathbb{Z} / N Z)^{*}$ satisfying

$$
\tilde{\mu}\left(1 / A^{q+1}\right)=q^{2}
$$

We will denote the coefficient matrix of $G T$ in base $\left\{x^{i} \mid 0 \leq i \leq q\right\}$ by $\hat{M}$, which can be regarded as an integer matrix. We use $L$ to denote the map from an integer matrix to the lattice generated by the row vectors of the matrix. Construct a lattice

$$
\Lambda=L(\hat{M}-I)+N \mathbb{Z}^{q+1}
$$

Theorem 6. We have $N|\operatorname{det}(\Lambda)| N^{q+1}$.
Proof. Note that $N \mathbb{Z}^{q+1}$ is a sublattice of $\Lambda$, we conclude that $\operatorname{det}(\Lambda) \mid N^{q+1}$.
The linear factors $X+a\left(a \in \mathbb{F}_{q^{2}}\right)$ generate the cyclic multiplicative group $\mathbb{F}_{q^{2(q-1)}}^{*}[7]$. From (5), we conclude that $\left\langle X+g^{i} \mid 0 \leq i \leq q\right\rangle$ contains the cyclic multiplicative group $\mathbb{F}_{q^{2(q-1)}}^{*} /\langle X\rangle$, which includes the subgroup of cardinality $N$. There is an injection from this subgroup into of $\mathbb{Z}^{q+1} / \Lambda$, thus $N \mid \operatorname{det}(\Lambda)$. Note that if we need to use a different base for the $\mathbb{Z} / N Z$-module $(\mathbb{Z} / N Z)[x] /\left(x^{q+1}-\right.$ $\left.\tilde{\mu}\left(g^{q+1}\right)\right)$, the determinant of lattice remains the same.

We make the following conjecture
Conjecture 1. $\operatorname{det}(\Lambda)=N$.
It implies that we can use Smith Normal Form of $\Lambda$ to find a generator of subgroup of cardinality $N$, and determine the factor base discrete logarithm with respect to that element in the subgroup. We have verified the conjecture for all the prime power $q$ less than 311 by generating instances as illustrated above. Details of the experiment are included in A.

Theorem 7. Assume that the conjecture is true. We can find a generator of the subgroup of cardinality $N$ in $\mathbb{F}_{q^{2(q-1)}}^{*}$, and compute the discrete logarithms of linear factors with respect to the generator within $\tilde{O}\left(q^{\theta}\right)$ algebraic operations, where $\theta<2.38$ is the matrix multiplication exponent over rings.

Proof. Assuming that for any basis $B$ of lattice $\Lambda$, we have the Smith Normal Form transformation $D=S_{1} B S_{2}$, where $D$ is the Smith Normal Form of lattice $\Lambda$, and $S_{1}, S_{2}$ are corresponding transformations with respect to $B$. Then it is easy to verify that the last column of $S_{2}$ are the ratio of the discrete logarithms of

$$
X+\frac{g^{q}-g}{A-1}, X+\frac{g^{q}-g}{A g^{q}-g}, \cdots, X+\frac{g^{q}-g}{A g^{k q}-g^{k}}, \cdots, X+\frac{g^{q}-g}{A g-g^{q}}
$$

over $\mathbb{Z} / N Z$ respectively.

Assuming that the last row of $S_{2}^{-1}$ is $\left(e_{0}^{\prime}, e_{1}^{\prime}, \cdots, e_{q}^{\prime}\right)$, one may verify that $\left\langle\prod_{k=0}^{q}\left(X+\frac{g^{q}-g}{A g^{k q}-g^{k}}\right)^{e_{k}^{\prime}}\right\rangle$ contains the subgroup of $\mathbb{F}_{q^{2(q-1)}}^{*}$ of order $N$. With the ratio, it is easy to calculate the discrete logarithms of $X+\frac{g^{q}-g}{A g^{k q}-g^{k}}(k=$ $0,1, \cdots, q$ ) with respect to the generator. And the discrete logarithm of other elements in the factor base can be obtained through relation (5).

We need to compute the Smith Normal Form of $B$ and its corresponding transform matrix over $\mathbb{Z} / N \mathbb{Z}$, which can be achieved within $O\left(q^{\theta}\right)$ arithmetic operations ([21]), where $\theta$ is less than 2.38 according to [8].

Remark 1. If necessary, we can also include a portion of irreducible quadratic polynomials in the factor base. The logarithms of these elements can be deduced once the logarithms of linear elements are known. Details are included in B.

### 3.2 Other Eigenvalues of $M$ over $\mathbb{C}$

Theorem 6 states that $N|\operatorname{det}(\Lambda)| N^{q+1}$. We conjecture that $\operatorname{det}(\Lambda)$ is in fact $N$, so qualitatively the determinant of an $N$-ary lattice derived from $\hat{M}-I$ should be small. In this subsection, we show that the determinant of $M_{i}-I$ over $\mathbb{C}$ is indeed small.

Theorem 8. For $1 \leq i \leq q-2$, the complex norm of the determinant of $M_{i}-I$ is not zero, and it is no larger than $(\sqrt{q}+1)^{q+1}$.

Note that the determinant is in general not a rational integer, but a cyclotomic integer in $\mathbb{Z}\left[\zeta_{q-1}\right]$. In our view, it provides a strong supporting evidence of the conjecture. The theorem follows easily from the statement that the eigenvalues of $M_{i}(1 \leq i \leq q-2)$ have complex norm $\sqrt{q}$, which we prove in this subsection. First we compute the eigenvalue of $G_{i}$ for $i \neq 0$.

Lemma 1. Acting on any subspace $\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)(1 \leq i \leq q-2)$, all of the eigenvalues of $G_{i}$ have complex norm $\sqrt{q}$.

Proof. We can factor $x^{q+1}-\zeta_{q-1}^{i}$ completely over $\mathbb{C}$, and by Chinese Remainder Theorem,

$$
\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right) \cong \bigoplus_{j=0}^{q} \mathbb{C}[x] /\left(x-\zeta_{q^{2}-1}^{j(q-1)+i}\right)
$$

where $\zeta_{q^{2}-1}=e^{2 \pi \mathbf{i} /\left(q^{2}-1\right)}$. In each component, $G$ is a multiplication by a constant, thus the eigenvalue of $G$ is equal to

$$
\sum_{\alpha \in \mathbb{F}_{q}} \zeta_{q^{2}-1}^{(j(q-1)+i) \log _{g}(g+\alpha)}=\sum_{\alpha \in \mathbb{F}_{q}} \mu_{j}(g+\alpha)
$$

where $\mu_{j}$ is a multiplicative character from $\mathbb{F}_{q^{2}}^{*}$ to $\mathbb{C}$ by sending $g$ to $\zeta_{q^{2}-1}^{j(q-1)+i}$. The lemma follows from Lemma 2.

Lemma 2. Let $\mu$ be a multiplicative character for $\mathbb{F}_{q^{2}}^{*}$ that is not trivial over $\mathbb{F}_{q}^{*}$, we have $\left|\sum_{\alpha \in \mathbb{F}_{q}} \mu(g+\alpha)\right|=\sqrt{q}$.

Note that if $\mu$ is trivial over $\mathbb{F}_{q^{2}}^{*}$, we have $\sum_{\alpha \in \mathbb{F}_{q}} \mu(g+\alpha)=q$. If $\mu$ is not trivial over $\mathbb{F}_{q^{2}}^{*}$ but is trivial over $\mathbb{F}_{q}^{*}$, then $\sum_{\alpha \in \mathbb{F}_{q}, \beta \in \mathbb{F}_{q}} \mu(g \beta+\alpha)=0$. On the other hand

$$
\begin{aligned}
\sum_{\alpha \in \mathbb{F}_{q}, \beta \in \mathbb{F}_{q}} \mu(g \beta+\alpha) & =\sum_{\alpha \in \mathbb{F}_{q}} \mu(\alpha)+\sum_{\beta \in \mathbb{F}_{q}^{*}} \sum_{\alpha \in \mathbb{F}_{q}} \mu(g \beta+\alpha) \\
& =q-1+(q-1) \sum_{\alpha \in \mathbb{F}_{q}} \mu(g+\alpha)
\end{aligned}
$$

hence we have $\sum_{\alpha \in \mathbb{F}_{q}} \mu(g+\alpha)=-1$. This gives another way to explain the eigenvalues of $G_{0}$.

Proof. Observe that for any two pairs $\left(\alpha_{1}, \beta_{1}\right) \neq\left(\alpha_{1}, \beta_{1}\right)$ in $\mathbb{F}_{q}^{2}$, where $\alpha_{1} \neq \beta_{1}$ and $\alpha_{2} \neq \beta_{2}$, we have $\left(g+\alpha_{1}\right) /\left(g+\beta_{1}\right) \neq\left(g+\alpha_{2}\right) /\left(g+\beta_{2}\right)$. So the map from $\mathbb{F}_{q}^{2}-\left\{(a, a) \mid a \in \mathbb{F}_{q}\right\}$ to $\mathbb{F}_{q^{2}}$ that sends $(\alpha, \beta)$ to $(g+\alpha) /(g+\beta)$ is an injection, whose image is $\mathbb{F}_{q^{2}}-\mathbb{F}_{q}$, so we have

$$
\begin{aligned}
& \left(\sum_{\alpha \in \mathbb{F}_{q}} \mu(g+\alpha)\right)\left(\sum_{\alpha \in \mathbb{F}_{q}} \mu^{-1}(g+\alpha)\right) \\
= & q+\sum_{\alpha \in \mathbb{F}_{q}, \beta \in \mathbb{F}_{q}, \alpha \neq \beta} \mu((g+\alpha) /(g+\beta)) \\
= & q+\sum_{\gamma \in \mathbb{F}_{q^{2}}-\mathbb{F}_{q}} \mu(\gamma) \\
= & q+\sum_{\gamma \in \mathbb{F}_{q^{2}}} \mu(\gamma)-\sum_{\gamma \in \mathbb{F}_{q}} \mu(\gamma) \\
= & q
\end{aligned}
$$

Note that

$$
\begin{aligned}
\sum_{\alpha \in \mathbb{F}_{q}} \mu^{q}(g+\alpha) & =\sum_{\alpha \in \mathbb{F}_{q}} \mu\left((g+\alpha)^{q}\right) \\
& =\sum_{\alpha \in \mathbb{F}_{q}} \mu\left(g^{q}+\alpha\right) \\
& =\sum_{\alpha \in \mathbb{F}_{q}} \mu(S-g+\alpha) \\
& =\mu(-1) \sum_{\alpha \in \mathbb{F}_{q}} \mu(g+\alpha)
\end{aligned}
$$

So these sums come in pairs. We have the following conclusion about the eigenvalues of $M$ :

Theorem 9. For $M$ in any subspace $\mathbb{C}[x] /\left(x^{q+1}-\zeta_{q-1}^{i}\right)(1 \leq i \leq q-2)$, all of the eigenvalues of $M_{i}$ have complex norm $\sqrt{q}$.

Proof. With the consideration of Theorem $3,\left.T\right|_{\mathbb{C}[x] /\left(x^{q-1}-\zeta_{q-1}^{i}\right)}$ is a unitary transformation under the basis $1, x, \cdots, x^{q}$. On the other hand, by Lemma 1, $\left.\frac{1}{\sqrt{q}} G\right|_{\mathbb{C}[x] /\left(x^{q-1}-\zeta_{q-1}^{i}\right)}$ is also a unitary matrix under that basis. It can be diagonalized by the unitary matrix $\tilde{U}=\left(\mu_{j}\left(g^{k}\right)\right)(j, k \in[q])$, where $\mu_{j}$ is a multiplicative character from $\mathbb{F}_{q^{2}}^{*}$ to $\mathbb{C}$ satisfying $\mu_{j}\left(g^{q+1}\right)=\zeta_{q-1}^{i}$.

Thus we have that $G T / \sqrt{q}$ is a unitary transformation([14]), which implies our conclusion.

Corollary 3. Let $1 \leq i \leq q-1$. We have $M_{i} \times \operatorname{transpose}\left(M_{q-1-i}\right)=q I$.

With a direct deduction, we obtain the following theorem:
Theorem 10. Let $f(x)$ be the characteristic polynomial of $M$, we have:

$$
f(x)=\left\{\begin{array}{c}
(x-q)\left(x^{2}-1\right)^{\frac{q}{2}} h(x), \quad q \text { is even } ; \\
(x-q)\left(x^{2}-1\right)^{\frac{q-1}{2}}(x \pm 1) h(x), q \text { is odd }
\end{array}\right.
$$

where $h(x)$ is a polynomial in $\mathbb{Z}[x]$ with degree $q^{2}-q-2$, all of whose roots have complex norm $\sqrt{q}$.

## 4 Concluding Remarks

In this work we focus on provability of the recent ground-breaking algorithm on the discrete logarithm over small characteristic finite fields. We feel that the Kummer case can be tackled using the current techniques, so we concentrate on this interesting case. We design a more efficient algorithm to solve the factor base discrete logarithm, and reduce the correctness of algorithm to a conjecture on the determinant of a simple lattice. We leave the proof of the conjecture as an open problem.

## Acknowledgements

This work was partially supported by 973 Program (No. 2013CB834205) for D. Xiao; by the National Natural Science Foundation of China (No. 61502481, No. 61672019), and the Open Project Program of the State Key Laboratory of Mathematical Engineering and Advanced Computing for J. Zhuang; by China 973 Program (No. 2013CB834201) and by US NSF (No. CCF-1409294) for Q. Cheng.

## References

1. Elemetns of high order on finite fields from elliptic curves. Bull. Aust. Math. Soc., 81:425-429, 2010.
2. L.M. Adleman. A subexponential algorithm for the discrete logarithm problem with applications to cryptography. In FOCS, pages 55-60. IEEE Computer Society, 1979.
3. L.M. Adleman. The function field sieve. In L.M. Adleman and M. Huang, editors, ANTS, volume 877 of $L N C S$, pages 108-121. Springer, 1994.
4. R. Barbulescu, P. Gaudry, A. Joux, and E. Thomé. A heuristic quasi-polynomial algorithm for discrete logarithm in finite fields of small characteristic. In P.Q. Nguyen and E. Oswald, editors, Advances in Cryptology - EUROCRYPT 2014, volume 8441 of $L N C S$, pages 1-16. Springer, 2014.
5. Q. Cheng, D. Wan, and J. Zhuang. Traps to the BGJT-algorithm for discrete logarithms. LMS Journal of Computation and Mathematics, 17:218-229, 2014.
6. Qi Cheng, Shuhong Gao, and Daqing Wan. Constructing high order elements through subspace polynomials. In Proceedings of the Twenty-Third Annual ACMSIAM Symposium on Discrete Algorithms, SODA, pages 1457-1463, 2012.
7. F.R.K. Chung. Diameters and eigenvalues. Journal of American Mathematical Society, 2(2):187-196, 1989.
8. Don Coppersmith and Shmuel Winograd. Matrix multiplication via arithmetic progressions. Journal of symbolic computation, 9(3):251-280, 1990.
9. H. Davenport. On primitive roots in finite fields. Quart. J. Math., 8:308-312, 1937.
10. F. Göloglu, R. Granger, G. McGuire, and J. Zumbrägel. On the function field sieve and the impact of higher splitting probabilities. In R. Canetti and J.A. Garay, editors, Advances in Cryptology - CRYPTO 2013, volume 8043 of LNCS, pages 109-128. Springer, 2013.
11. R. Granger, T. Kleinjung, and J. Zumbrägel. On the discrete logarithm problem in finite fields of fixed characteristic. Transactions of the AMS,, page to appear. Cryptology ePrint Archive, Report 2015/685.
12. R. Granger, T. Kleinjung, and J. Zumbrägel. On the powers of 2. Cryptology ePrint Archive, Report 2014/300, 2014.
13. T. Hansen and G.L. Mullen. Primitive polynomials over finite fields. Mathematics of Computation, 59(200):639-643, 1992.
14. F.E. Hohn. Elementary matrix algebra. Courier Corporation, 2013.
15. M. Huang and A.K. Narayanan. Finding primitive elements in finite fields of small characteristic. In Proc. 11th Int. Conf. on Finite Fields and Their Applications, Topics in Finite Fields, AMS Contemporary Mathematics Series, 2015.
16. A. Joux. Faster index calculus for the medium prime case application to 1175 -bit and 1425 -bit finite fields. In T. Johansson and P.Q. Nguyen, editors, Advances in Cryptology - EUROCRYPT 2013, volume 7881 of LNCS, pages 177-193. Springer, 2013.
17. A. Joux. A new index calculus algorithm with complexity $\mathrm{L}(1 / 4+\mathrm{o}(1))$ in small characteristic. In T. Lange, K.E. Lauter, and P. Lisonek, editors, Selected Areas in Cryptography - SAC 2013, volume 8282 of LNCS, pages 355-379. Springer, 2013.
18. S.C. Pohlig and M.E. Hellman. An improved algorithm for computing logarithms over GF(p) and its cryptographic significance. IEEE Transactions on Information Theory, 24(1):106-110, 1978.
19. Roman Popovych. Multiplicative orders of elements in conway's towers of finite fields. arXiv:1509.01958, 2015.
20. V. Shoup. Searching for primitive roots in finite fields. Mathematics of Computation, 58:369-380, 1992.
21. A. Storjohann. Near optimal algorithms for computing smith normal forms of integer matrices. In E. Engeler, B.F. Caviness, and Y.N. Lakshman, editors, ISSAC 1996, pages 267-274. ACM, 1996.
22. J. von zur Gathen and I. Shparlinski. Gauss perionds in finite fields. In Proceedingsf of 5th Conference of Finite Fields and their Applications, pages 162-177, 2001.
23. D. Wan. Generators and irreducible polynomials over finite fields. Mathematics of Computation, 66(219):1195-1212, 1997.

## A Supporting numerical evidence

We have done amounts of experiments to support Conjecture 1. We constructed $\mathbb{F}_{q^{2}}$ through $\mathbb{F}_{p}[x] /(f(x))$, where $p$ is the characteristic and $f(x)$ is a primitive polynomial of $\mathbb{F}_{p}[x]$.Then $g=x \bmod f(x)$ is a multiplicative generator of $\mathbb{F}_{q^{2}}^{*}$. Obviously, $g^{q+1}$ is a generator of $\mathbb{F}_{q}$, the subfield of $\mathbb{F}_{q^{2}}$ of degree 2.

The finite field $K$ is extended as $K=\mathbb{F}_{q^{2}}[x] /\left(x^{q-1}-A\right)$ taking $A=g$. Thus the matrix $\hat{M}-I$ can be easily computed by definition in Section 2 and Section 3. We checked $\operatorname{det}(\hat{M}-I) \bmod N$ and $\operatorname{det}(\hat{M}-I) \bmod N^{2}$. The experimental date showes that

$$
\operatorname{det}(\hat{M}-I) \bmod N=0, \quad \operatorname{gcd}(\operatorname{det}(\hat{M}-I) / N, N)=1
$$

holds for every prime power $q \leq 311$. Our conjecture 1 can be directly verified due to the fact that $\operatorname{det}(L)|\operatorname{det}(\hat{M}-I), \operatorname{det}(L)| N^{q+1}$.

The verified instances are listed in the table.
Actually, $f(x)$ is not necessarily a primitive polynomial of $\mathbb{F}_{p}[x]$. We use primitive polynomials to quickly find out a generator $g$. One may easily figure out that our conclusion also holds for general picked irreducible polynomial $f \in$ $\mathbb{F}_{p}[x]$. In addition, those instances taking $A=g^{k}$ with $\operatorname{gcd}(k, q-1)=1$ can be verified as well.

## B Logarithms of quadratic polynomials

We would like to introduce an efficient method to compute the discrete logarithms of a portion of irreducible quadratic polynomials in Kummer extensions. We have the following observation.

Lemma 3. For the Kummer extension defined above, there exists an algorithm with cost $\tilde{O}(q)$ that given discrete logarithms of linear factor, can compute the discrete logarithms of an arbitrary quadratic polynomials in the set

$$
\mathcal{S}=\left\{\left.X^{2}+s X+\frac{\gamma}{A} \right\rvert\, s \in \mathbb{F}_{q^{2}}, \gamma \in \mathbb{F}_{q}\right\}
$$

| K | $q$ | $f(x)$ | K | $q$ | $f(x)$ | K |  | $f(x)$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{F}_{2} 12$ | $2^{2}$ | $x^{4}+x+1$ | $\mathrm{F}_{37} 72$ | 37 | $x^{2}+33 x+2$ | $\mathbb{F}_{167}{ }^{3}$ |  | $x^{2}+166 x+5$ |
| $\mathrm{F}_{2}{ }^{42}$ | $2^{3}$ | $x^{6}+x^{4}+x^{3}+x+1$ | ${ }^{\mathrm{F}} 4180$ | 41 | $x^{2}+38 x+6$ | $\mathbb{F}_{173}{ }^{34}$ |  | $x^{2}+169 x+2$ |
| $\mathbb{F}_{2} 120$ | $2^{4}$ | $x^{8}+x^{4}+x^{3}+x+1$ | $\mathbb{F}_{43}{ }^{84}$ | 43 | $x^{2}+42 x+3$ | $\mathbb{F}_{179}{ }^{35}$ |  | $x^{2}+172 x+2$ |
| $\mathrm{F}_{2} 310$ | $2^{5}$ | $x^{10}+x^{6}+x^{5}+x^{3}+x^{2}+x+1$ | $\mathbb{F}_{47}{ }^{92}$ | 47 | $x^{2}+45 x+5$ | $\mathbb{F}_{181}{ }^{36}$ |  | $x^{2}+177 x+2$ |
| $\mathbb{F}_{2} 756$ | $2^{6}$ | $x^{12}+x^{7}+x^{6}+x^{5}+x^{3}+x+1$ | $\mathbb{F}_{53} 104$ | 53 | $x^{2}+49 x+2$ | $\mathbb{F}_{191}{ }^{188}$ |  | $x^{2}+190 x+19$ |
| $\mathrm{F}_{2} 1778$ | $2^{7}$ | $x^{14}+x^{7}+x^{5}+x^{3}+1$ | $\mathbb{F}_{59} 116$ | 59 | $x^{2}+58 x+2$ | $\mathbb{F}_{193}{ }^{38}$ |  | $x^{2}+192 x+5$ |
| $\mathrm{F}_{2} 4080$ | $2^{8}$ | $x^{16}+x^{5}+x^{3}+x^{2}+1$ | $\mathbb{F}_{61} 120$ | 61 | $x^{2}+60 x+2$ | $\mathbb{F}_{197}{ }^{39}$ |  | $x^{2}+192 x+2$ |
| $\mathrm{F}_{3}{ }^{4}$ | 3 | $x^{2}+2 x+2$ | $\mathbb{F}_{67} 132$ | 67 | $x^{2}+63 x+2$ | $\mathbb{F}_{199}{ }^{39}$ |  | $x^{2}+193 x+3$ |
| $\mathrm{F}_{3} 32$ | $3^{2}$ | $x^{4}+2 x^{3}+2$ | $\mathbb{F}_{71} 140$ | 71 | $x^{2}+69 x+7$ | $\mathbb{F}_{211}{ }^{42}$ |  | $x^{2}+207 x+2$ |
| $\mathrm{F}_{3} 256$ | $3^{3}$ | $x^{6}+2 x^{4}+x^{2}+2 x+2$ | $\mathbb{F}_{73}{ }^{144}$ | 73 | $x^{2}+70 x+5$ | $\mathbb{F}_{223}{ }^{\text {24 }}$ | 223 | $x^{2}+221 x+3$ |
| $\mathrm{F}_{3} 640$ | $3^{4}$ | $x^{8}+2 x^{5}+x^{4}+2 x^{2}+2 x+2$ | $\mathbb{F}_{79}{ }^{156}$ | 79 | $x^{2}+78 x+3$ | $\mathbb{F}_{227}$ | 22 | $x^{2}+220 x+2$ |
| $\mathrm{F}_{3} 2420$ | $3^{5}$ | $x^{10}+2 x^{6}+2 x^{5}+2 x^{4}+x+2$ | $\mathrm{F}_{83}{ }^{164}$ | 83 | $x^{2}+82 x+2$ | $\mathbb{F}_{229}$ | 22 | $x^{2}+228 x+6$ |
| $\mathbb{F}_{5}{ }^{8}$ | 5 | $x^{2}+4 x+2$ | $\mathbb{F}_{89^{176}}$ | 89 | $x^{2}+82 x+3$ | $\mathbb{F}_{233}{ }^{4}$ |  | $x^{2}+232 x+3$ |
| ${ }_{F}{ }_{5} 96$ | $5^{2}$ | $x^{4}+4 x^{2}+4 x+2$ | $\mathbb{F}_{97} 192$ | 97 | $x^{2}+96 x+5$ | $\mathbb{F}_{239}{ }^{476}$ | 239 | $x^{2}+237 x+7$ |
| $\mathbb{F}_{5} 744$ | $5^{3}$ | $x^{6}+x^{4}+4 x^{3}+x^{2}+2$ | $\mathbb{F}_{101} 200$ |  | $x^{2}+97 x+2$ | $\mathrm{F}_{241}{ }^{\text {d8 }}$ | 24 | $x^{2}+238 x+7$ |
| ${ }^{1} 7{ }_{7} 12$ | 7 | $x^{2}+6 x+3$ | $\mathbb{F}_{103}{ }^{2}$ | 103 | $x^{2}+102 x+5$ | $\mathrm{F}_{251} 50$ | 25 | $x^{2}+242 x+6$ |
| ${ }^{1}{ }_{7} 192$ | $7^{2}$ | $x^{4}+5 x^{2}+4 x+3$ | ${ }_{1}{ }_{107}$ | 107 | $x^{2}+103 x+2$ | $\mathbb{F}_{2575}$ | 257 | $x^{2}+251 x+3$ |
| $\mathrm{F}_{11} 20$ | 11 | $x^{2}+7 x+2$ | $\mathbb{F}_{109}{ }^{2}$ | 109 | $x^{2}+108 x+6$ | $\mathbb{F}_{263}{ }^{5}$ |  | $x^{2}+261 x+5$ |
| ${ }^{F}{ }_{11} 484$ | $11^{2}$ | $x^{4}+8 x^{2}+10 x+2$ | $\mathbb{F}_{113}{ }^{22}$ | 113 | $x^{2}+101 x+3$ | $\mathbb{F}_{269}{ }^{536}$ |  | $x^{2}+268 x+2$ |
| $\mathrm{F}_{13}{ }^{24}$ | 13 | $x^{2}+12 x+2$ | $\mathbb{F}_{127}{ }^{25}$ | 127 | $x^{2}+126 x+3$ | $\mathbb{F}_{271} 540$ |  | $x^{2}+269 x+6$ |
| ${ }_{F}{ }_{13} 672$ | $13^{2}$ | $x^{4}+3 x^{2}+12 x+2$ | ${ }_{F}{ }_{131}{ }^{26}$ | 131 | $x^{2}+127 x+2$ | $\mathbb{F}_{277}{ }^{55}$ |  | $x^{2}+274 x+5$ |
| ${ }_{F}{ }_{17} 32$ | 17 | $x^{2}+16 x+3$ | $\mathbb{F}_{137}{ }^{2}$ | 137 | $x^{2}+131 x+3$ | $\mathbb{F}_{281}{ }^{56}$ |  | $x^{2}+280 x+3$ |
| $\mathbb{F}_{17} 1152$ | $17^{2}$ | $x^{4}+7 x^{2}+10 x+3$ | $\mathbb{F}_{139}{ }^{276}$ | 139 | $x^{2}+138 x+2$ | $\mathbb{F}_{283}{ }^{286}$ |  | $x^{2}+282 x+3$ |
| $\mathbb{F}_{19}{ }^{36}$ | 19 | $x^{2}+18 x+2$ | ${ }_{F}{ }_{149} 296$ | 14 | $x^{2}+145 x+2$ | $\mathbb{F}_{293} 584$ |  | $x^{2}+292 x+2$ |
| $\mathrm{F}_{23}{ }^{44}$ | 23 | $x^{2}+21 x+5$ | ${ }_{1}{ }_{151} 300$ |  | $x^{2}+149 x+6$ | $\mathbb{F}_{30761}$ |  | $x^{2}+306 x+5$ |
| $\mathrm{F}_{29}{ }^{56}$ | 29 | $x^{2}+24 x+2$ | ${ }_{F}{ }_{157} 312$ |  | $x^{2}+152 x+5$ | $\mathbb{F}_{311} 620$ |  | $x^{2}+310 x+17$ |
| $\mathrm{F}_{3160}$ | 31 | $x^{2}+29 x+3$ | $\mathbb{F}_{163}{ }^{32}$ | 163 | $x^{2}+159 x+2$ |  |  |  |

Table 1. Instances constructed for $q \leq 311$

Proof. Let us consider the polynomial which can split completely in $\mathbb{F}_{q^{2}}$

$$
\prod_{k=0}^{q}\left(x-g^{k(q-1)+1}\right)=x^{q+1}-g^{q+1}
$$

Similarly to Joux's methods, we operate Möbius transformation $\left(\begin{array}{ll}a & b \\ c & d\end{array}\right) \in$ $P G L_{2}\left(\mathbb{F}_{q^{2}}\right)$ to $x$. We consider the simple situation where taking transformation $\left(\begin{array}{ll}1 & b \\ 0 & d\end{array}\right)(d \neq 0)$ :

$$
\prod_{k=0}^{q}\left(x+b-g^{k(q-1)+1} d\right)=x^{q+1}+b x^{q}+b^{q} x+b^{q+1}-g^{q+1} d^{q+1}
$$

If we rewrite the equation above by $X \equiv x \bmod \left(x^{q}-A x\right)$ in Kummer extension, then

$$
\begin{equation*}
\prod_{k=0}^{q}\left(X+b-g^{k(q-1)+1} d\right)=A\left(X^{2}+\frac{A b+b^{q}}{A} X+\frac{b^{q+1}-g^{q+1} d^{q+1}}{A}\right) \tag{8}
\end{equation*}
$$

We claim that $\varphi(t)=A t+t^{q}$ is a bijective map from $\mathbb{F}_{q^{2}}$ to $\mathbb{F}_{q^{2}}$. We only need to prove that $\varphi$ is injective. Assume that $t \in \operatorname{ker} \varphi$ and $t \neq 0$, then $A=-t^{q-1}$. When characteristic is 2 , it implies that $A=t^{q-1}$; when characteristic is odd, it
indicates that $A=\left(g^{\frac{q+1}{2}} t\right)^{q-1}$ due to the fact that $g^{\frac{q^{2}-1}{2}}=-1$. It is contrary to the fact that $\operatorname{gcd}\left(\log _{g} A, q-1\right)=1$.

Therefore, for arbitrary $(s, \gamma) \in \mathbb{F}_{q^{2}} \times \mathbb{F}_{q}$, one can easily find a tuple $(b, d) \in$ $\mathbb{F}_{q^{2}}^{2}$, such that

$$
\left\{\begin{array}{l}
\frac{A b+b^{q}}{A}=s, \\
b^{q+1}-g^{q+1} d^{q+1}=\gamma .
\end{array}\right.
$$

If $d=0$, then $X^{2}+s X+\frac{\gamma}{A}$ is always reducible, since $X^{2}+\frac{A b+b^{q}}{A}+\frac{b^{q+1}}{A}=$ $(X+b)\left(X+\frac{b^{q}}{A}\right)$; If $d \neq 0$, we can obtain the discrete logarithms by relation (8)

We study the irreducibility of those quadratic polynomials in $\mathcal{S}=\left\{X^{2}+\right.$ $\left.\left.s X+\frac{\gamma}{A} \right\rvert\, s \in \mathbb{F}_{q}^{2}, \gamma \in \mathbb{F}_{q}\right\}$. When the characteristic is 2, there are two cases: $s=0$ or $s \neq 0$.

- When $s=0, X^{2}+\frac{\gamma}{A}$ is alaways reducible. Because

$$
\log _{g} \gamma-\log _{g} A \equiv 2 t \bmod \left(q^{2}-1\right), \gamma \neq 0
$$

is solvable for $t$ since $q^{2}-1$ is odd.

- When $s \neq 0$, we know that if $X^{2}+s X+\frac{\gamma}{A}$ is reducible, then there must exist a $t \in \mathbb{F}_{q^{2}}^{*}$ such that $t^{2}+s t+\frac{\gamma}{A}=0$. That is,

$$
s=t+\frac{\gamma}{A t}, t \in \mathbb{F}_{q^{2}}^{*}
$$

If $\gamma=0, s$ could be arbitrary elements in $\mathbb{F}_{q^{2}}^{*}$; If $\gamma \neq 0$, consider the map $t \mapsto t+\frac{\gamma}{A t}$. The map will send $t$ and $\frac{\gamma}{A t}$ to the same number, so there are $\frac{q^{2}}{2}-1$ such $s$ 's satisfying $s=t+\frac{\gamma}{A t}$ for some $t \in \mathbb{F}_{q^{2}}^{*}$.

Thus we conclude that when the characteristic is 2 , there are $\frac{1}{2} q^{2}(q+1)$ reducible and $\frac{1}{2} q^{2}(q-1)$ irreducible quadratic polynomials in $\mathcal{S}$.

When the characteristic is an odd prime, $X^{2}+s X+\frac{\gamma}{A}$ is irreducible if and only if its discriminant $\Delta=s^{2}-\frac{4 \gamma}{A}$ is a quadratic non-residue. When $\gamma=0$, it is obviously reducible. When $\gamma \neq 0$, we have $s^{2}-\frac{4 \gamma}{A}$ is a quadratic residue if and only if $\exists \sigma \in \mathbb{F}_{q^{2}}$ such that $s^{2}-\sigma^{2}=(s+\sigma)(s-\sigma)=\frac{4 \gamma}{A}$. That is, there must exist $t \in \mathbb{F}_{q^{2}}^{*}$, such that

$$
\left\{\begin{array}{l}
s+\sigma=t \\
s-\sigma=\frac{4 \gamma}{A t}
\end{array}\right.
$$

Then $s$ is supposed to have the form $s=\frac{1}{2}\left(t+\frac{4 \gamma}{A t}\right)$ for some $t \in \mathbb{F}_{q^{2}}^{*}$. With the observation that $\frac{4 \gamma}{A}$ is a quadratic non-residue, we have $\frac{q^{2}-1}{2}$ such values for $t+\frac{4 \gamma}{A t}$.

Finally, we obtain that when the characterisitc is odd, there are $\frac{1}{2}\left(q^{3}+q^{2}-\right.$ $q+1)$ reducible and $\frac{1}{2}\left(q^{3}-q^{2}+q-1\right)$ irreducible quadratic polynomials in $\mathcal{S}$.

