# Blockcipher-based MACs: Beyond the Birthday Bound without Message Length

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**Abstract.** We present blockcipher-based MACs (Message Authentication Codes) that have beyond the birthday bound security without message length in the sense of PRF (Pseudo-Random Function) security. Achieving such security is important in constructing MACs using blockciphers with short block sizes (e.g., 64 bit).

Luykx et al. (FSE 2016) proposed LightMAC, the first blockcipher-based MAC with such security and a variant of PMAC, where for each n-bit blockcipher call, an m-bit counter and an (n-m)-bit message block are input. By the presence of counters, LightMAC becomes a secure PRF up to  $O(2^{n/2})$  tagging queries. Iwata and Minematsu (TOSC 2016, Issue 1) proposed  $F_t$ , a keyed hash function-based MAC, where a message is input to t keyed hash functions (the hash function is performed t times) and the t outputs are input to the xor of t keyed blockciphers. Using the LightMAC's hash function,  $F_t$  becomes a secure PRF up to  $O(2^{tn/(t+1)})$  tagging queries. However, for each message block of (n-m) bits, it requires t blockcipher calls.

In this paper, we improve  $\mathsf{F}_t$  so that a blockcipher is performed only once for each message block of (n-m) bits. We prove that our MACs with  $t \leq 7$  are secure PRFs up to  $O(2^{tn/(t+1)})$  tagging queries. Hence, our MACs with  $t \leq 7$  are more efficient than  $\mathsf{F}_t$  while keeping the same level of PRF-security.

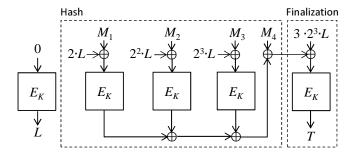
**Keyword:** MAC, blockcipher, PRF, PRP, beyond the birthday bound, message length, counter.

### 1 Introduction

A MAC (Message Authentication Code) is a fundamental symmetric-key primitive that produces a tag to authenticate a message. MACs are often realized by using a blockcipher so that these become secure PRFs (Pseudo-Random Functions) under the standard assumption that the underlying keyed blockciphers are pseudo-random permutations. Hence, in security proofs, these are replaced with random permutations. The advantage of PRF-security is commonly measured by using the parameters: n the block length, q the total number of tagging queries,  $\ell$  the maximum message length (in blocks) of each query and  $\sigma$  the total message length (in blocks) of all queries. Many blockcipher-based MACs are

provided with the so-called birthday security. The basic birthday bound looks like  $O(\ell^2 q^2/2^n)$  or  $O(\sigma^2/2^n)$ .

Blockcipher-based MACs are mainly categorized into CBC-type MACs and PMAC-type ones. These MACs are constructed from two functions: hash and finalization functions, where a hash function produces a fixed length hash value from an arbitrary length message; a finalization function produces a tag from a hash value. CBC-type MACs [2,8,16,21,31,32] use hash functions that iterate a keyed blockcipher. The PRF-security bound becomes the birthday one due to the collision in the chaining values. PMAC-type MACs [9,35] use hash functions using a keyed blockcipher parallelly. The following figure shows the structure of PMAC1, where  $E_K$  is a keyed blockcipher (K is a secret key),  $M_1, M_2, M_3$  and  $M_4$  are n-bit message blocks and multiplications are performed over the multiplication subgroup of  $GF(2^n)$ . For collision inputs to the keyed blockcipher, the outputs are canceled out before the finalization function. Hence, the collision might trigger a distinguishing attack. By the birthday analysis for the input collision, the PRF-security bound becomes the birthday one.



MACs with Beyond the Birthday Bound Security. The birthday bound security may not be enough for blockciphers with short block sizes such as Triple-DES and lightweight blockciphers, as mentioned in [7]. Hence, designing a MAC with beyond the birthday bound (BBB) security is an important research of MAC design. Such MACs contribute not only to blockciphers with short block sizes but also to the longevity of 128-bit blockciphers.

Yasuda proposed a CBC-type MAC, called SUM-ECBC [38], and a PMAC-type one, called PMAC-Plus [39]. He proved that the PRF-security bounds become  $O(\ell^3q^3/2^{2n})$ . Later, Zhang et al. proposed a CBC-type MAC, called 3kf9 [42] that is more efficient than SUM-ECBC. These hash functions have a double length (2n bit) internal state and produce a 2n-bit value. These finalization functions have the xor of two keyed blockciphers that generates a tag from a 2n-bit hash value. By the double length internal state, the influences of  $\ell$  and q on the bounds are weakened.

Yasuda designed a PMAC-type MAC, called PMAC with Parity [40], with the aim of weakening the influence of  $\ell$ . He proved that the PRF-security bound becomes  $O(q^2/2^n + \ell q\sigma/2^{2n})$ . Later, Zhang proposed a PMAC-type MAC with better efficiency, called PMACX [43]. Luykx *et al.* proposed a PMAC-type MAC,

**Table 1.** The numbers of tagging queries of changing a key and the times.

	PMAC_Plus	LightMAC	$F_2 (t=2)$	$F_3 \ (t=3)$	$F_4 (t=4)$	
Queries	$2^{29}$	$2^{22}$	$2^{36}$	$2^{43}$	$2^{47}$	
Times	13 hrs	12 min	274 days	96 years	1539 years	

called LightMAC [26]. LightMAC is the counter-based construction that is used in the XOR MAC [1] and the protected counter sum [6]. LightMAC can be seen as a counter-based PMAC in which  $(i)_m || M_i$  is input to the *i*-th keyed blockcipher call, where  $(i)_m$  is the *m*-bit binary representation of *i* and  $M_i$  is the *i*-th message block of n-m bits. By the presence of counters, the input collision can be avoided, thereby the influence  $\ell$  can completely be removed. They proved that the PRF-security bound becomes  $O(q^2/2^n)$ , namely, LightMAC is a secure PRF up to  $O(2^{n/2})$  tagging queries.

Recently, Iwata and Minematsu proposed MACs with beyond the  $O(2^{n/2})$ -security, called  $\mathsf{F}_t$  [17].  $\mathsf{F}_t$  is based on t keyed hash functions  $H_{L_1},\ldots,H_{L_t}$  and t keyed blockciphers  $E_{K_1},\ldots,E_{K_t}$ , where  $L_1,\ldots,L_t$  are hash keys. For a message M, the tag is defined as  $\mathsf{F}_t(M) = \bigoplus_{i=1}^t E_{K_i}(S_i)$  where  $S_i = H_{L_i}(M)$ . They proved that the PRF-security bound becomes  $O(q^{t+1} \cdot \epsilon^t)$  as long as the keyed hash functions are  $\epsilon$ -almost universal. They pointed out that the hash function of LightMAC is a  $O(1/2^n)$ -almost universal hash function, and adopting it as these hash functions, the PRF-security bound becomes  $O(q^{t+1}/2^{tn})$ . Namely, it is a secure PRF up to  $O(2^{tn/(t+1)})$  tagging queries.

Why BBB-Security without Message Length? We explain the importance of achieving BBB-security without message length. Here we consider the following example: the block length n = 64, the message length  $2^{15}$  bits (4 Kbytes), and the threshold  $1/2^{20}$  (a key is changed when the security bound equals the threshold). The message length is the case of HTTPS connection given in [7] and the threshold is given in [26]. We define the counter size as m = n/3 (rounded to the nearest multiple of 8) (in this case, n = 64 and m = 24). Putting these parameters into security bounds of PMAC\_Plus  $(O(\ell^3 q^3/2^{2n}))$ , LightMAC  $(O(q^2/2^n))$ , and  $F_t$  using LightMAC  $(O(q^{t+1}/2^{tn}))$ , a key is changed after the tagging queries given in Table 1 (Line with "Queries"). Then, we consider the case that 2900 tagging queries of message length 4 Kbytes per second can be made. This example is the case of HTTPS connection given in [7]. In this case, a key is changed after the times given in Table 1 (Line with "Times"). Note that the security bound of PMAC\_Plus depends on the message length, thereby increasing the length decreases the time. As shown Table 1, PMAC\_Plus and LightMAC require a rekeying within a day, whereas  $F_t$  does not require such frequent rekeyings.

**Question.** As mentioned above, achieving BBB-security without message length is important for blockciphers with short block sizes, and  $F_t$  using LightMAC achieves such security. However, it is inefficient because for each input block

**Table 2.** Comparison of our MACs and other BBB-secure MACs. Column "# bits/BCs" refers to the number of bits of input message processed per blockcipher call. Column "# BCs in FF" refers to the number of blockcipher calls in a finalization function.  $F_t$  uses the hash function of LightMAC. LightMAC\_Plus2 has the condition t < 7.

Scheme	# keys	# bits/BC	# BCs in FF	Security	Ref.
PMAC_Plus	3	n	2	$O(\ell^3 q^3 / 2^{2n})$	[39]
LightMAC	2	n-m	1	$O(q^2/2^n)$	[26]
$F_t$	2t	(n-m)/t	t	$O(q^{t+1}/2^{tn})$	[17]
${\tt LightMAC\_Plus}$	3	n-m	2	$O(q^3/2^{2n})$	This paper
LightMAC_Plus2	t+3	n-m	t+2	$O(q^{t+1}/2^{tn} + \epsilon)$	This paper

 $(i)_m || M_i$  it requires t blockcipher calls. It is roughly t times slower than Light-MAC. Therefore, the main question of this paper is: can we design more efficient MACs than  $\mathsf{F}_t$  while keeping  $O(2^{tn/(t+1)})$ -security?

Our Results. Firstly, we focus to design a MAC that is more efficient than  $F_2$  and achieves the  $O(2^{2n/3})$ -security. As the research direction from PMAC to LightMAC, it is natural to consider a counter-based PMAC\_Plus. We call the resultant scheme "LightMAC\_Plus." Regarding the efficiency, LightMAC\_Plus requires roughly one blockcipher call for each input block  $(i)_m || M_i$ , while  $F_2$  requires two blockcipher calls. Hence, LightMAC\_Plus is more efficient than  $F_2$ . Regarding the PRF-security, by the presence of counters, the influence of  $\ell$  can be removed. We prove that the PRF-security bound becomes  $O(q^3/2^{2n})$ , namely, LightMAC\_Plus is a secure PRF up to  $O(2^{2n/3})$  queries.

Next, we focus to design a MAC that is more efficient than  $\mathsf{F}_t$  and achieves  $O(2^{tn/(t+1)})$ -security, where  $t \geq 3$ . Regarding the hash function, we also use that of LightMAC\_Plus. Hence, this hash function is roughly t times faster than that of  $\mathsf{F}_t$ . In order to ensure randomnesses of tags, we use the xor of t keyed blockciphers. However, there is a gap between the output length of the hash function (2n bit) and the input length of the xor function (tn bit). Therefore, we propose a new construction that links between a 2n-bit output and a tn-bit input. We call the resultant scheme "LightMAC\_Plus2", and prove that if  $t \leq 7$ , then the PRF-security bound becomes  $O(q^{t+1}/2^{tn}+q^2/2^{2n})$ , namely, it is a secure PRF up to  $O(2^{tn/(t+1)})$  tagging queries. In the proof of LightMAC\_Plus2, we generalize the hash function by an  $\epsilon$ -almost universal one, and prove that if  $t \leq 7$ , then the PRF-security bound is  $O(q^{t+1}/2^{tn}+\epsilon)$ . We prove that the counter-based hash function is  $O(q^2/2^{2n})$ -almost universal, which offers the PRF-security bound:  $O(q^{t+1}/2^{tn}+q^2/2^{2n})$ .

Finally, in Table 2, we compare our MACs with BBB-secure MACs PMAC\_Plus, LightMAC, and  $F_t$ . These MACs are PMAC-type ones, and thus parallelizable. We note that the PRF-security bound of LightMAC\_Plus2 is satisfied when  $t \leq 7$ . Proving the PRF-security with t > 7 is left as an open problem.

Related Works. The PRF-security bounds of CBC-type MACs and PMAC-type MACs were improved to  $O(\ell q^2/2^n)$  [3, 28] and  $O(\sigma q/2^n)$  [30]. Luykx et al. studied the influence of  $\ell$  in the PMAC's bound [25]. They showed that PMAC with Gray code [9] may not achieve the PRF-security bound of  $O(q^2/2^n)$ . Gaži et al. [14] showed that there exists an attack to PMAC with Gray code with the probability of  $\Omega(\ell q^2/2^n)$ , and instead proved that PMAC with 4-wise independent masks achieves the PRF-security bound of  $O(q^2/2^n)$ , where the input masks are defined by using 4 random values. Dodis and Steinberger [12] proposed a secure MAC from unpredicable keyed blockciphers with beyond the birthday bound security. Note that the security bound of their MAC includes the message length. Several randomized MACs achieve beyond the birthday bound security [19, 20, 27]. These require a random value for each query, while our MACs are deterministic, namely, a random value is not required.

Several compression function-based MACs achieve BBB security e.g., [13, 22, 37, 41]. Naito [29], List and Nandi [23], and Iwata et al. [18] proposed tweakable blockcipher-based MACs with BBB security. These MACs also employ the counter-based PMAC\_Plus-style construction, where a counter is input as tweak. Namely, in the security proofs, the power of a tweakable blockcipher is used (distinct tweaks offer distinct random permutations). On the other hand, our MACs do not change the permutation in the hash function for each message block and the permutations in the finalization function. Peyrin and Seurin proposed a nonce-based and tweakable blockcipher-based MAC with BBB security [33]. Several Wegman-Carter-type MACs with BBB security were proposed e.g., [10, 11, 36]. These MACs use a random value or a nonce, whereas our MACs do not require either of them.

Organization. In Section 2, we give notations and the definition of PRF-security. In Section 3, we give the description of LightMAC\_Plus and the PRF-security bound. In Section 4, we give the proof of the PRF-security. In Section 5, we give the description of LightMAC\_Plus2 and the PRF-security bound. In Section 6, we give the proof of the PRF-security. Finally, in Section 7, we improve the efficiency of the hash function of LightMAC\_Plus2.

### 2 Preliminaries

**Notation.** Let  $\{0,1\}^*$  be the set of all bit strings. For a non-negative integer n, let  $\{0,1\}^n$  be the set of all n-bit strings, and  $0^n$  the bit string of n-bit zeroes. For a positive integer i,  $[i] := \{1,2,\ldots,i\}$ . For non-negative integers i, m with  $i < 2^m$ ,  $(i)_m$  denotes the m-bit binary representation of i. For a finite set X,  $x \stackrel{\$}{=} X$  means that an element is randomly drawn from X and is assigned to x. For a positive integer n, Perm(n) denotes the set of all permutations:  $\{0,1\}^n \to \{0,1\}^n$  and Func(n) denotes the set of all functions:  $\{0,1\}^* \to \{0,1\}^n$ . For sets X and Y,  $X \leftarrow Y$  means that Y is assigned to X. For a bit string x and a set X, |x| and |X| denote the bit length of x and the number of elements in X, respectively.

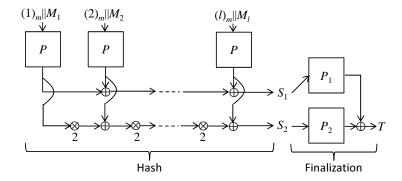


Fig. 1. LightMAC\_Plus where  $P := E_K$ ,  $P_1 := E_{K_1}$  and  $P_2 := E_{K_2}$ .

 $X^s$  denotes the s-array cartesian power of X for a set X and a positive integer s

Let  $GF(2^n)$  be the field with  $2^n$  points and  $GF(2^n)^*$  the multiplication subgroup of  $GF(2^n)$  which contains  $2^n-1$  points. We interchangeably think of a point a in  $GF(2^n)$  in any of the following ways: as an n-bit string  $a_{n-1}\cdots a_1a_0\in\{0,1\}^n$  and as a formal polynomial  $a_{n-1}\mathbf{x}^{n-1}+\cdots+a_1\mathbf{x}+a_0\in GF(2^n)$ . Hence we need to fix an irreducible polynomial  $a(\mathbf{x})=\mathbf{x}^n+a_{n-1}\mathbf{x}^{n-1}+\cdots+a_1\mathbf{x}+a_0$ . This paper uses an irreducible polynomial with the property that the element  $2=\mathbf{x}$  generates the entire multiplication group  $GF(2^n)^*$  of order  $2^n-1$ . Examples of irreducible polynomial for n=64 and n=128 are given in [35]:  $a(\mathbf{x})=\mathbf{x}^{64}+\mathbf{x}^4+\mathbf{x}^3+\mathbf{x}+1$  and  $a(\mathbf{x})=\mathbf{x}^{128}+\mathbf{x}^7+\mathbf{x}^2+\mathbf{x}+1$ , respectively.

**PRF-Security.** We focus on the information-theoretic model, namely, all keyed blockciphers are assumed to be random permutations, where a random permutation is defined as  $P \stackrel{\$}{\leftarrow} \text{Perm}(n)$ . Through this paper, a distinguisher  $\mathcal{D}$  is a computationally unbounded algorithm. It is given query access to an oracle  $\mathcal{O}$ , denoted by  $\mathcal{D}^{\mathcal{O}}$ . Its complexity is solely measured by the number of queries made to its oracles. Let  $F[\mathbf{P}]$  be a function using s permutations  $\mathbf{P} = (P^{(1)}, \dots, P^{(s)})$ .

The PRF-security of  $F[\mathbf{P}]$  is defined in terms of indistinguishability between the real and ideal worlds. In the real world,  $\mathcal{D}$  has query access to  $F[\mathbf{P}]$  for  $\mathbf{P} \stackrel{\$}{\leftarrow} \mathsf{Perm}(n)^s$ . In the ideal world, it has query access to a random function  $\mathcal{R}$ , where a random function is defined as  $\mathcal{R} \stackrel{\$}{\leftarrow} \mathsf{Func}(n)$ . After interacting with an oracle  $\mathcal{O}, \mathcal{D}$  outputs  $y \in \{0,1\}$ . This event is denoted by  $\mathcal{D}^{\mathcal{O}} \Rightarrow y$ . The advantage function is defined as

$$\mathbf{Adv}^{\mathsf{prf}}_{F[\mathbf{P}]}(\mathcal{D}) = \Pr\left[\mathbf{P} \xleftarrow{\$} \mathsf{Perm}(n)^s; \mathcal{D}^{F[\mathbf{P}]} \Rightarrow 1\right] - \Pr\left[\mathcal{R} \xleftarrow{\$} \mathsf{Func}(n); \mathcal{D}^{\mathcal{R}} \Rightarrow 1\right] \ .$$

Note that the probabilities are taken over  $\mathbf{P}, \mathcal{R}$  and  $\mathcal{D}$ .

### Algorithm 1 LightMAC\_Plus

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▶ Main Procedure LightMAC_Plus[E_K, E_{K_1}, E_{K_2}](M)
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- 1:  $(S_1, S_2) \leftarrow \mathsf{Hash}[E_K](M)$
- 2:  $T_1 \leftarrow E_{K_1}(S_1)$ ;  $T_2 \leftarrow E_{K_2}(S_2)$ ;  $T \leftarrow T_1 \oplus T_2$
- 3: return T

### ▶ Subroutine $\mathsf{Hash}[E_K](M)$

- 1: Partition  $M|10^*$  into n-m-bit blocks  $M_1, \ldots, M_l; S_1 \leftarrow 0^n; S_2 \leftarrow 0^n$
- 2: **for** i = 1, ..., l **do**
- 3:  $B_i \leftarrow (i)_m || M_i; C_i \leftarrow E_K(B_i); S_1 \leftarrow S_1 \oplus C_i; S_2 \leftarrow S_2 \oplus 2^{l-i} \cdot C_i$
- 4: end for
- 5: return  $(S_1, S_2)$

### 3 LightMAC\_Plus

#### 3.1 Construction

Let  $\{E_K\}_{K\in\mathcal{K}}\subseteq \mathsf{Perm}(n)$  be a family of n-bit permutations (or a blockcipher) indexed by the key space  $\mathcal{K}$ , where k>0 is the key length. Let m be the counter size with m< n. Let  $K, K_1, K_2 \in \mathcal{K}$  be three keys for E. For a message M, the response of LightMAC\_Plus $[E_K, E_{K_1}, E_{K_2}]$  is defined by Algorithm 1. Fig. 1 illustrates the subroutine  $\mathsf{Hash}[E_K]$ . Here,  $M\|10^*$  means that first 1 is appended to M, and if the bit length of  $M\|1$  is not a multiple of n-m bits, then a sequence of the minimum number of zeros is appended to  $M\|1$  so that the bit length becomes a multiple of n-m bits. Note that  $M\|10^* = M_1\|M_2\|\cdots\|M_l$  and  $\forall i \in [l]: |M_i| = n-m$ . By the counter size m and the padding value  $10^*$ , the maximum message length in bits is at most  $(2^m-1)\times(n-m)-1$  bit.

### 3.2 Security

We prove the PRF-security of LightMAC\_Plus in the information-theoretic model, namely,  $E_K$ ,  $E_{K_1}$  and  $E_{K_2}$  are replaced with random permutations P,  $P_1$  and  $P_2$ , respectively. The upper-bound of the PRF-security advantage is given below, and the security proof is given in Section 4.

**Theorem 1.** Let  $\mathcal{D}$  be a distinguisher making q tagging queries. Then we have

$$\mathbf{Adv}^{\mathrm{prf}}_{\mathtt{LightMAC\_Plus}[P,P_1,P_2]}(\mathcal{D}) \leq \frac{2q^2}{2^{2n}} + \frac{4q^3}{2^{2n}} \ .$$

### 4 Proof of Theorem 1

Let  $F = LightMAC_Plus$ . In this section, we upper-bound the PRF-advantage

$$\mathbf{Adv}^{\mathsf{prf}}_{F[P,P_1,P_2]}(\mathcal{D}) = \Pr[(P,P_1,P_2) \xleftarrow{\$} \mathsf{Perm}(n)^3; \mathcal{D}^{F[P,P_1,P_2]} \Rightarrow 1] \\ - \Pr[\mathcal{R} \xleftarrow{\$} \mathsf{Func}(n); \mathcal{D}^{\mathcal{R}} \Rightarrow 1] \ .$$

#### Initialization

- 1:  $P \stackrel{\$}{\leftarrow} \mathsf{Perm}(n)$
- 2:  $\forall i \in [2], S_i \in \{0,1\}^n : P_i(S_i) \leftarrow \bot$

Main Game: Upon the  $\alpha$ -th query  $M^{\alpha}$  do

- 1:  $(S_1^{\alpha}, S_2^{\alpha}) \leftarrow \mathsf{Hash}[P](M^{\alpha})$
- 2: If  $S_1^{\alpha} \in \mathsf{Dom} P_1^{\alpha-1}$  and  $S_2^{\alpha} \in \mathsf{Dom} P_2^{\alpha-1}$  then goto Case A
  3: If  $(S_1^{\alpha} \in \mathsf{Dom} P_1^{\alpha-1} \text{ and } S_2^{\alpha} \notin \mathsf{Dom} P_2^{\alpha-1})$  or  $(S_1^{\alpha} \notin \mathsf{Dom} P_1^{\alpha-1} \text{ and } S_2^{\alpha} \in \mathsf{Dom} P_2^{\alpha-1})$ then goto Case B
- 4: If  $S_1^{\alpha} \notin \mathsf{Dom} P_1^{\alpha-1}$  and  $S_2^{\alpha} \notin \mathsf{Dom} P_2^{\alpha-1}$  then goto Case C
- 5: return  $T^{\alpha}$

Fig. 2. Main Game.

Without loss of generality, we assume that  $\mathcal{D}$  is deterministic and makes no repeated query.

In this proof, we use the following notations. For  $\alpha \in [q]$ , values defined at the  $\alpha$ -th query are denoted by using the superscript character of  $\alpha$  such as  $B_i^{\alpha}, C_i^{\alpha}, S_i^{\alpha}$ , etc, and the message length l at the  $\alpha$ -th query is denoted by  $l_{\alpha}$ . For  $\alpha \in [q]$  and  $j \in [2]$ ,  $\mathsf{Dom}P_{j}^{\alpha} := \bigcup_{\delta=1}^{\alpha} \{S_{j}^{\delta}\}$ ,  $\mathsf{Rng}P_{j}^{\alpha} := \bigcup_{\delta=1}^{\alpha} \{T_{j}^{\delta}\}$  and  $\overline{\mathsf{Rng}P_i^{\alpha}} := \{0,1\}^n \backslash \mathsf{Rng}P_i^{\alpha}.$ 

### **Proof Strategy**

This proof largely depends on the so-called game-playing technique [4, 5]. In this proof, a random permutation P used in Hash is defined before starting the game, whereas other random permutations  $P_1$  and  $P_2$  are realized by lazy sampling. Before starting the game, for  $i \in [2]$ , all responses of  $P_i$  are not defined, that is,  $\forall S_i \in \{0,1\}^n : P_i(S_i) = \perp$ . When  $P_i(S_i^{\alpha})$  becomes necessary, if  $P_i(S_i^{\alpha}) = \perp$ (or  $S_i^{\alpha} \not\in \mathsf{Dom} P_i^{\alpha-1}$ ), then it is defined as  $P_i(S_i^{\alpha}) \xleftarrow{\$} \overline{\mathsf{Rng} P_i^{\alpha-1}}$ , and otherwise,  $P_i(S_i^{\alpha})$  is not updated.

The main game is given in Fig. 2, where there are three sub-cases (See lines 2-4 in Fig. 2) and these procedures are defined in Fig. 3. The analysis of Case C is based on the proofs of Sum<sup>2</sup> construction by Lucks [24] and SUM-ECBC by Yasuda [38]. We say a set  $\operatorname{\sf Fair}^{\alpha} \subseteq (\{0,1\}^n)^2$  is fair if for each  $T \in \{0,1\}^n$ ,

$$|\{(T_1,T_2)\in\operatorname{\sf Fair}^{lpha}\mid T_1\oplus T_2=T\}|=rac{|\operatorname{\sf Fair}^{lpha}|}{2^n}.$$

Let  $L^{\alpha} = \overline{\mathsf{Rng}P_1^{\alpha-1}} \times \overline{\mathsf{Rng}P_2^{\alpha-1}}$ . Lucks pointed out that at the  $\alpha$ -th query, there exists a set  $W \subset L^{\alpha}$  of size at most  $(\alpha - 1)^2$  such that  $L^{\alpha} \setminus W$  is fair. In Case C, the fair set is defined as  $\mathsf{Fair}^{\alpha} := L^{\alpha} \backslash W$ . Hence, the  $\alpha$ -th output  $(T^{\alpha} = T_1^{\alpha} \oplus T_2^{\alpha})$ is uniformly random over  $\{0,1\}^n$  as long as  $(T_1^{\alpha},T_2^{\alpha}) \in \mathsf{Fair}^{\alpha}$ . See Lemma 2 of [24] or [38] for explicit constructions of fair sets.

Let bad = bad<sub>A</sub>  $\vee$  bad<sub>B</sub>  $\vee$  bad<sub>C</sub>. By the fundamental lemma of game-playing [4, 5, we have

$$\mathbf{Adv}^{\mathsf{prf}}_{F[P,P_1,P_2]}(\mathcal{D}) \le \Pr[\mathsf{bad}] \le \Pr[\mathsf{bad}_{\mathsf{A}}] + \Pr[\mathsf{bad}_{\mathsf{B}}] + \Pr[\mathsf{bad}_{\mathsf{C}}]. \tag{1}$$

#### Case A:

- 1: If  $\neg bad \ then \ bad_A \leftarrow true$
- $2: T^{\alpha} \stackrel{\$}{\leftarrow} \{0,1\}^n$

3: 
$$T_1^{\alpha} \leftarrow P_1(S_1^{\alpha}); T_2^{\alpha} \leftarrow P_2(S_2^{\alpha}); T^{\alpha} \leftarrow T_1^{\alpha} \oplus T_2^{\alpha}$$
 > Removed in the ideal world

Case B: In the following procedure,  $S_j^{\alpha} \in \mathsf{Dom} P_j^{\alpha-1}$  and  $S_{j+1}^{\alpha} \not\in \mathsf{Dom} P_{j+1}^{\alpha-1}$ , where  $j \in [2]$  and if j=2 then j+1 is regarded as 1.

- $\begin{array}{ll} 1: \ T_{j+1}^{\alpha} \stackrel{\$}{\leftarrow} \{0,1\}^n \\ 2: \ \ \mathbf{if} \ T_{j+1}^{\alpha} \in \operatorname{Rng} P_{j+1}^{\alpha-1} \ \mathbf{then} \\ 3: \ \ \ \ \mathbf{if} \ \neg \operatorname{bad} \ \mathbf{then} \ \operatorname{bad}_{\mathsf{B}} \leftarrow \operatorname{true} \end{array}$

4: 
$$T_{j+1}^{\alpha} \stackrel{\$}{\leftarrow} \overline{\mathsf{Rng}} P_{j+1}^{\alpha-1}$$
  
5: **end if**

 $\triangleright$  Removed in the ideal world

- 6:  $P_{j+1}(S_{j+1}^{\alpha}) \leftarrow T_{j+1}^{\alpha}; T_j^{\alpha} \leftarrow P_j(S_j^{\alpha}); T^{\alpha} \leftarrow T_1^{\alpha} \oplus T_2^{\alpha}$

#### Case C:

- 1: Choose a fair set  $\mathsf{Fair}^{\alpha} \subseteq \overline{\mathsf{Rng}P_1^{\alpha-1}} \times \overline{\mathsf{Rng}P_2^{\alpha-1}}$ 2:  $(T_1^{\alpha}, T_2^{\alpha}) \stackrel{\$}{\leftarrow} \overline{\mathsf{Rng}P_1^{\alpha-1}} \times \overline{\mathsf{Rng}P_2^{\alpha-1}}; T^{\alpha} \leftarrow T_1^{\alpha} \oplus T_2^{\alpha}$ 3: **if**  $(T_1^{\alpha}, T_2^{\alpha}) \not\in \mathsf{Fair}^{\alpha}$  **then**
- $\mathbf{if} \ \neg \mathsf{bad} \ \mathbf{then} \ \mathsf{bad}_\mathsf{C} \leftarrow \mathsf{true}$

5: 
$$(T_1^{\alpha}, T_2^{\alpha}) \stackrel{\$}{\leftarrow} \mathsf{Fair}^{\alpha}; T^{\alpha} \leftarrow T_1^{\alpha} \oplus T_2^{\alpha}$$

 $\triangleright$  Removed in the real world

- 6: end if
- 7:  $P_1(S_1^{\alpha}) \leftarrow T_1^{\alpha}$ ;  $P_2(S_2^{\alpha}) \leftarrow T_2^{\alpha}$

Fig. 3. Case A, Case B and Case C.

Hereafter, we upper-bound Pr[bad<sub>A</sub>], Pr[bad<sub>B</sub>] and Pr[bad<sub>C</sub>].

#### Upper-Bound of Pr[bad<sub>A</sub>] 4.2

First we define the following event:

$$\operatorname{coll} \Leftrightarrow \exists \alpha, \beta \in [q] \text{ with } \alpha \neq \beta \text{ s.t. } (S_1^{\alpha}, S_2^{\alpha}) = (S_1^{\beta}, S_2^{\beta}).$$

Then we have

$$\Pr[\mathsf{bad}_{\mathsf{A}}] \leq \Pr[\mathsf{coll}] + \Pr[\mathsf{bad}_{\mathsf{A}}|\neg\mathsf{coll}]$$
.

By Propositions 1 and 2, we have

$$\Pr[\mathsf{bad}_{\mathsf{A}}] \le \frac{2q^2}{2^{2n}} + \frac{\frac{4}{3}q^3}{2^{2n}} \ . \tag{2}$$

Proposition 1.  $\Pr[\mathsf{coll}] \leq \frac{2q^2}{2^{2n}}$ .

Proof. Lemma 1 shows the upper-bound of the probability that for distinct two messages  $M^{\alpha}, M^{\beta} \in \{0,1\}^*$ ,  $\mathsf{Hash}[P](M^{\alpha}) = \mathsf{Hash}[P](M^{\beta})$ , which is at most  $4/2^{2n}$ . The sum of the upper-bounds for all combinations of message pairs gives

$$\Pr[\mathsf{coll}] \le \binom{q}{2} \cdot \frac{4}{2^{2n}} \le \frac{2q^2}{2^{2n}} \ .$$

**Lemma 1.** For distinct two messages  $M^{\alpha}, M^{\beta} \in \{0,1\}^*$ , the probability that  $\mathsf{Hash}[P](M^{\alpha}) = \mathsf{Hash}[P](M^{\beta})$  is at most  $4/2^{2n}$ .

*Proof.* Without loss of generality, we assume that  $l_{\alpha} \leq l_{\beta}$ . Hash $[P](M^{\alpha}) = \text{Hash}[P](M^{\beta})$  implies that

$$S_1^{\alpha} = S_1^{\beta} \text{ and } S_2^{\alpha} = S_2^{\beta} \Leftrightarrow \bigoplus_{i=1}^{l_{\alpha}} C_i^{\alpha} \oplus \bigoplus_{i=1}^{l_{\beta}} C_i^{\beta} = 0^n \text{ and } \bigoplus_{i=1}^{l_{\alpha}} 2^{l_{\alpha} - i} \cdot C_i^{\alpha} \oplus \bigoplus_{i=1}^{l_{\beta}} 2^{l_{\beta} - i} \cdot C_i^{\beta} = 0^n.$$
 (3)

We consider the following three cases.

1. 
$$(l_{\alpha} = l_{\beta}) \wedge (\exists a \in [l_{\alpha}] \text{ s.t. } B_a^{\alpha} \neq B_a^{\beta}) \wedge (\forall i \in [l_{\alpha}] \setminus \{a\} : B_i^{\alpha} = B_i^{\beta}).$$

2. 
$$(l_{\alpha} = l_{\beta}) \wedge (\exists a_1, a_2 \in [l_{\alpha}] \text{ s.t. } B_{a_1}^{\alpha} \neq B_{a_1}^{\beta} \wedge B_{a_2}^{\alpha} \neq B_{a_2}^{\beta})$$

3. 
$$\left(l_{\alpha} \neq l_{\beta}\right)$$

The first case is that there is just one position a where the inputs are distinct, whereas the second case is that there are at least two positions  $a_1, a_2$  where the inputs are distinct. For each case, we upper-bound the probability that (3) is satisfied.

- Consider the first case:  $\exists a \in [l_{\alpha}] \text{ s.t. } B_a^{\alpha} \neq B_a^{\beta} \text{ and } \forall i \in [l_{\alpha}] \setminus \{a\} : B_i^{\alpha} = B_i^{\beta}$ . Since  $B_a^{\alpha} \neq B_a^{\beta} \Rightarrow C_a^{\alpha} \neq C_a^{\beta}$  and  $B_i^{\alpha} = B_i^{\beta} \Rightarrow C_i^{\alpha} = C_i^{\beta}$ ,  $A_{3,1} \neq 0^n$  and  $A_{3,2} \neq 0^n$ . Hence, the probability that (3) is satisfied is 0.
- Consider the second case:  $\exists a_1, a_2, \ldots, a_j \in [l_{\alpha}]$  with  $j \geq 2$  s.t.  $\forall i \in [j]$ :  $B_{a_i}^{\alpha} \neq B_{a_i}^{\beta}$ . Note that  $B_{a_i}^{\alpha} \neq B_{a_i}^{\beta} \Rightarrow C_{a_i}^{\alpha} \neq C_{a_i}^{\beta}$ . Eliminating the same outputs between  $\{C_i^{\alpha}: 1 \leq i \leq l_{\alpha}\}$  and  $\{C_i^{\beta}: 1 \leq i \leq l_{\beta}\}$ , we have

$$A_{3,1} = \bigoplus_{i=1}^{j} \left( C_{a_i}^{\alpha} \oplus C_{a_i}^{\beta} \right) \text{ and } A_{3,2} = \bigoplus_{i=1}^{j} 2^{l_{\alpha} - a_i} \cdot \left( C_{a_i}^{\alpha} \oplus C_{a_i}^{\beta} \right) .$$

Since in  $A_{3,1}$  and  $A_{3,2}$  there are at most  $l_{\alpha} + l_{\beta}$  outputs, the numbers of possibilities for  $C_{a_1}^{\alpha}$  and  $C_{a_2}^{\alpha}$  are at least  $2^n - (l_{\alpha} + l_{\beta} - 2)$  and  $2^n - (l_{\alpha} + l_{\beta} - 1)$ , respectively. Fixing other outputs, the equations in (3) provide a unique solution for  $C_{a_1}^{\alpha}$  and  $C_{a_2}^{\alpha}$ . As a result, the probability that (3) is satisfied is at most  $1/(2^n - (l_{\alpha} + l_{\beta} - 2))(2^n - (l_{\alpha} + l_{\beta} - 1))$ .

- Consider the third case. Without loss of generality, assume that  $l_{\alpha} < l_{\beta}$ . Eliminating the same outputs between  $\{C_i^{\alpha} : 1 \leq i \leq l_{\alpha}\}$  and  $\{C_i^{\beta} : 1 \leq i \leq l_{\beta}\}$ , we have

$$A_{3,1} = \bigoplus_{i=1}^{u} C_{a_i}^{\alpha} \oplus \bigoplus_{i=1}^{v} C_{b_i}^{\beta} ,$$

where  $a_1, \ldots, a_u \in [l_{\alpha}]$  and  $b_1, \ldots, b_v \in [l_{\beta}]$ . By  $l_{\alpha} < l_{\beta}, l_{\beta} \in \{b_1, \ldots, b_v\}$  and  $l_{\beta} \neq 1$ . Since in  $A_{3,1}$  and  $A_{3,2}$  there are at most  $l_{\alpha} + l_{\beta}$  outputs, the numbers of possibilities for  $C_1^{\beta}$  and  $C_{l_{\beta}}^{\beta}$  are at least  $2^n - (l_{\alpha} + l_{\beta} - 2)$  and  $2^n - (l_{\alpha} + l_{\beta} - 1)$ , respectively. Fixing other outputs, the equations in (3) provide a unique solution for  $C_1^{\beta}$  and  $C_{l_{\beta}}^{\beta}$ . As a result, the probability that (3) is satisfied is at most  $1/(2^n - (l_{\alpha} + l_{\beta} - 2))(2^n - (l_{\alpha} + l_{\beta} - 1))$ .

The above upper-bounds give

$$\Pr\left[\mathsf{Hash}[P](M^\alpha) = \mathsf{Hash}[P](M^\beta)\right] \leq \frac{1}{(2^n - (l_\alpha + l_\beta))^2} \leq \frac{4}{2^{2n}} \enspace ,$$

assuming  $l_{\alpha} + l_{\beta} \leq 2^{n-1}$ .

**Proposition 2.**  $\Pr[\mathsf{bad}_{\mathsf{A}}|\neg\mathsf{coll}] \leq \frac{\frac{4}{3}q^3}{2^{2n}}$ .

*Proof.* First, fix  $\alpha \in [q]$  and  $\beta, \gamma \in [\alpha - 1]$  with  $\beta \neq \gamma$  (from the condition  $\neg \text{coll}$ ), and upper-bound the probability that  $S_1^{\alpha} = S_1^{\beta} \wedge S_2^{\alpha} = S_2^{\gamma}$ , which implies

$$\underbrace{\bigoplus_{i=1}^{l_{\alpha}-1} C_i^{\alpha} \oplus \bigoplus_{i=1}^{l_{\beta}-1} C_i^{\beta}}_{A_{4,1}} = 0^n \text{ and } \underbrace{\bigoplus_{i=1}^{l_{\alpha}-1} 2^{l_{\alpha}-i} \cdot C_i^{\alpha} \oplus \bigoplus_{i=1}^{l_{\gamma}-1} 2^{l_{\gamma}-i} \cdot C_i^{\gamma}}_{A_{4,2}} = 0^n. \tag{4}$$

Since  $M^{\alpha}$ ,  $M^{\beta}$  and  $M^{\gamma}$  are distinct, there are at least two distinct outputs  $C^{\alpha,\beta}$  and  $C^{\alpha,\gamma}$  where  $C^{\alpha,\beta}$  appears in  $A_{4,1}$  and  $C^{\alpha,\gamma}$  appears in  $A_{4,2}$ . Fixing other outputs in  $A_{4,1}$  and  $A_{4,2}$ , the equations in (4) provide a unique solution for  $C^{\alpha,\beta}$  and  $C^{\alpha,\gamma}$ . Since there are at most  $l_{\alpha} + l_{\beta}$  outputs in  $A_{4,1}$ , the number of possibilities for  $C^{\alpha,\beta}$  is at least  $2^n - (l_{\alpha} + l_{\beta} - 1)$ . Since there are at most  $l_{\alpha} + l_{\gamma}$  outputs in  $A_{4,2}$ , the number of possibilities for  $C^{\alpha,\gamma}$  is at least  $2^n - (l_{\alpha} + l_{\gamma} - 1)$ . Hence, the probability that (4) is satisfied is at most

$$\frac{1}{(2^n - (l_\alpha + l_\beta - 1))(2^n - (l_\alpha + l_\gamma - 1))} \le \frac{4}{2^{2n}} ,$$

assuming  $l_{\alpha} + l_{\beta} - 1 \leq 2^{n-1}$  and  $l_{\alpha} + l_{\gamma} - 1 \leq 2^{n-1}$ .

Finally, we just run induces  $\alpha, \beta$ , and  $\gamma$  to get

$$\begin{split} \Pr[\mathsf{bad}_\mathsf{A}|\neg\mathsf{coll}] & \leq \sum_{\alpha=1}^q \left( \sum_{\beta,\gamma \in [1,\alpha-1] \text{ s.t. } \beta \neq \gamma} \frac{4}{2^{2n}} \right) \leq \sum_{\alpha=1}^q \frac{4(\alpha-1)^2}{2^{2n}} = \sum_{\alpha=1}^{q-1} \frac{4\alpha^2}{2^{2n}} \\ & \leq \frac{4}{2^{2n}} \times \frac{q(q-1)(2q-1)}{6} \leq \frac{\frac{4}{3}q^3}{2^{2n}} \ . \end{split}$$

### 4.3 Upper-Bound of Pr[bad<sub>B</sub>]

First, fix  $\alpha \in [q]$  and  $j \in [2]$ , and upper-bound the probability that  $\mathcal{D}$  sets  $\mathsf{bad}_\mathsf{B}$  at the  $\alpha$ -th query, namely,  $S^\alpha_j \in \mathsf{Dom} P^{\alpha-1}_j$ ,  $S^\alpha_{j+1} \not\in \mathsf{Dom} P^{\alpha-1}_{j+1}$ , and  $T^\alpha_{j+1} \in \mathsf{Rng} P^{\alpha-1}_{j+1}$ . Note that if j=2 then j+1 is regarded as 1.

- Regarding  $S_j^{\alpha} \in \operatorname{Rng} P_j^{\alpha-1}$ , fix  $\beta \in [\alpha-1]$  and consider the case that  $S_j^{\alpha} = S_j^{\beta}$ . Since  $M^{\alpha} \neq M^{\beta}$ , there is an output  $C^{\alpha,\beta}$  in  $\{C_1^{\alpha}, \dots, C_{l_{\alpha}}^{\alpha}, C_1^{\beta}, \dots, C_{l_{\beta}}^{\beta}\}$  that is distinct from other outputs. Fixing other outputs,  $S_j^{\alpha} = S_j^{\beta}$  provides a unique solution for  $C^{\alpha,\beta}$ . There are at most  $2^n (l_{\alpha} + l_{\beta} 1)$  possibilities for  $C^{\alpha,\beta}$ . Hence, the probability that  $S_j^{\alpha} \in \operatorname{Dom} P_j^{\alpha-1}$  is at most  $|\operatorname{Dom} P_j^{\alpha-1}| \times 1/(2^n (l_{\alpha} + l_{\beta} 1)) \leq 2(\alpha 1)/2^n$ , assuming  $l_{\alpha} + l_{\beta} 1 \leq 2^{n-1}$ .
- $1/(2^n (l_\alpha + l_\beta 1)) \leq 2(\alpha 1)/2^n, \text{ assuming } l_\alpha + l_\beta 1 \leq 2^{n-1}.$   $\text{ Regarding } T_{j+1}^\alpha \in \text{Rng} P_{j+1}^{\alpha-1}, \ T_{j+1}^\alpha \text{ is randomly drawn from } \{0,1\}^n \text{ after } S_j^\alpha \in \text{Rng} P_j^{\alpha-1} \text{ and } S_{j+1}^\alpha \not\in \text{Dom} P_{j+1}^{\alpha-1} \text{ are satisfied. In this case, } T_{j+1}^\alpha \text{ is defined independently from } S_j^\alpha \text{ and } S_{j+1}^\alpha. \text{ Since } |\text{Rng} P_{j+1}^{\alpha-1}| \leq \alpha 1, \text{ this probability that } T_{j+1}^\alpha \in \text{Rng} P_{j+1}^{\alpha-1} \text{ is at most } (\alpha 1)/2^n.$

Hence, the probability that  $\mathcal{D}$  sets  $\mathsf{bad}_\mathsf{B}$  at the  $\alpha$ -th query is upper-bounded by the multiplication of the above probabilities, which is  $\frac{2(\alpha-1)^2}{2^{2n}}$ .

Finally, we just run induces  $\alpha$  and j to get

$$\Pr[\mathsf{nosol}] \le \sum_{\alpha=1}^{q} \sum_{i=1}^{2} \frac{2(\alpha-1)^2}{2^{2n}} \le \frac{\frac{4}{3}q^3}{2^{2n}} \ . \tag{5}$$

### 4.4 Upper-Bound of Pr[bad<sub>C</sub>]

For each  $\alpha \in [q]$ , since  $\left| \overline{\mathsf{Rng}P_1^{\alpha-1}} \times \overline{\mathsf{Rng}P_2^{\alpha-1}} \middle| \mathsf{Fair}^{\alpha} \right| \leq (\alpha-1)^2$ , the probability that  $(T_1^{\alpha}, T_2^{\alpha}) \notin \mathsf{Fair}^{\alpha}$  is at most

$$\frac{(\alpha-1)^2}{(2^n-(\alpha-1))^2} \le \frac{4(\alpha-1)^2}{2^{2n}} ,$$

assuming  $\alpha - 1 \leq 2^{n-1}$ . Hence, we have

$$\Pr[\mathsf{bad}_\mathsf{C}] \le \sum_{\alpha=1}^q \frac{4(\alpha-1)^2}{2^{2n}} = \sum_{\alpha=1}^{q-1} \frac{4(\alpha-2)^2}{2^{2n}} \le \frac{\frac{4}{3}q^3}{2^{2n}} \ . \tag{6}$$

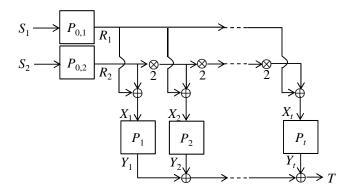
#### 4.5 Conclusion of Proof

Putting (2), (5) and (6) into (1) gives

$$\mathbf{Adv}^{\mathsf{prf}}_{F[P,P_1,P_2]}(\mathcal{D}) \leq \frac{2q^2}{2^{2n}} + \frac{\frac{4}{3} \cdot q^3}{2^{2n}} + \frac{\frac{4}{3}q^3}{2^{2n}} + \frac{\frac{4}{3}q^3}{2^{2n}} \leq \frac{2q^2}{2^{2n}} + \frac{4q^3}{2^{2n}}$$

## Algorithm 2 LightMAC\_Plus2 $[H_{K_H}, E_{K_{0,1}}, E_{K_{0,2}}, E_{K_1}, \dots, E_{K_t}]$

- $\blacktriangleright$  Main Procedure LightMAC\_Plus2 $[H_{K_H}, E_{K_{0,1}}, E_{K_{0,2}}, E_{K_1}, \dots, E_{K_t}](M)$
- 1:  $(S_1, S_2) \leftarrow H_{K_H}(M)$
- 2:  $R_1 \leftarrow E_{K_{0,1}}(S_1)$ ;  $R_2 \leftarrow E_{K_{0,2}}(S_2)$ ;  $T \leftarrow 0^n$
- 3: **for** i = 1, ..., t **do**
- 4:  $X_i \leftarrow R_1 \oplus 2^{i-1} \cdot R_2; Y_i \leftarrow E_{K_i}(X_i); T \leftarrow T \oplus Y_i$
- 5: end for
- 6: return T



**Fig. 4.** Finalization function of LightMAC\_Plus2, where  $P_{0,1}:=E_{K_{0,1}},P_{0,2}:=E_{K_{0,2}},P_1:=E_{K_1},\ldots,P_t:=E_{K_t}.$ 

### 5 LightMAC\_Plus2

### 5.1 Construction

Let  $\mathcal{K}$ ,  $\mathcal{K}_H$  and  $\mathsf{Dom}H$  be three non-empty sets. Let  $\{E_K\}_{K\in\mathcal{K}}\subset\mathsf{Perm}(n)$  be a family of n-bit permutations (or a blockcipher) indexed by key space  $\mathcal{K}$ . Let  $\{H_{K_H}\}_{K_H\in\mathcal{K}_H}$  be a family of hash functions:  $\mathsf{Dom}H\to\{0,1\}^{2n}$  indexed by key space  $\mathcal{K}_H$ . Let m be the counter size with m< n. Let  $K_{0,1},K_{0,2},K_1,\ldots,K_t\in\mathcal{K}$  be the E's keys and  $K_H\in\mathcal{K}_H$  the hash key. For a message M, the response of LightMAC-Plus2 $[H_{K_H},E_{K_{0,1}},E_{K_{0,2}},E_{K_1},\ldots,E_{K_t}]$  is defined by Algorithm 2, where  $|S_1|=n$  and  $|S_2|=n$ . The finalization function is illustrated in Fig. 4.

### 5.2 Almost Universal Hash Function

In the security proof, we assume that the hash function H is an almost universal (AU) hash function. The definition is given below.

**Definition 1.** Let  $\epsilon > 0$ . H is an  $\epsilon$ -AU hash function if for any two distinct messages  $M, M' \in \mathsf{Dom} H$ ,  $\Pr[K_H \xleftarrow{\$} \mathcal{K}_H; H_{K_H}(M) = H_{K_H}(M')] \leq \epsilon$ .

#### 5.3 Security

We prove the PRF-security of LightMacPlus2 in the information-theoretic model, where permutations  $E_{K_{0,1}}, E_{K_{0,2}}, E_{K_1}, \dots, E_{K_{t-1}}$  and  $E_{K_t}$  are replaced

with random permutations  $P_{0,1}, P_{0,2}, P_1, \ldots, P_{t-1}$  and  $P_t$ , respectively, and H is assumed to be an  $\epsilon$ -AU hash function, where a key is drawn as  $K_H \stackrel{\$}{\leftarrow} \mathcal{K}_H$ . The upper-bound of the PRF-security advantage is given below, and the security proof is given in Section 6.

**Theorem 2.** Assume that  $t \leq 7$ . Let H is an  $\epsilon$ -AU hash function. Let  $\mathcal{D}$  be a distinguisher making q tagging queries. Then we have

$$\mathbf{Adv}^{\mathrm{prf}}_{\mathrm{LightMAC\_Plus2}[H_{K_H}, P_{0,1}, P_{0,2}, P_1, \dots, P_{t-1}, P_t]}(\mathcal{D}) \leq 0.5q^2\epsilon + \frac{2^tq^{t+1}}{(2^n-q)^t} \ .$$

Define the hash function as  $H_{K_H} := \mathsf{Hash}[P]$  (given in Algorithm 1). By Lemma 1, Hash is a  $4/2^{2n}$ -AU hash function, where  $\mathcal{K}_H = \mathsf{Perm}(n)$  and  $K_H = P$ . Hence, combining Lemma 1 and Theorem 2, the following corollary is obtained.

Corollary 1. Let  $H_{K_H} := \mathsf{Hash}[P]$ . Then we have

$$\mathbf{Adv}^{\mathrm{prf}}_{\mathrm{LightMAC\_Plus2}[H_{K_H}, P_{0,1}, P_{0,2}, P_1, \dots, P_{t-1}, P_t]}(\mathcal{D}) \leq \frac{2q^2}{2^{2n}} + \frac{2^t q^{t+1}}{(2^n - q)^t} \ .$$

### 6 Proof of Theorem 2

Assume that  $t \leq 7$ . Let  $F = \text{LightMAC\_Plus2}$  and  $\mathbf{P} = (P_{0,1}, P_{0,2}, P_1, \dots, P_t)$ . In this section, we upper-bound the PRF-advantage

$$\begin{split} \mathbf{Adv}^{\mathrm{prf}}_{F[H_{K_H},\mathbf{P}]}(\mathcal{D}) = & \Pr[\mathbf{P} \xleftarrow{\$} \mathrm{Perm}(n)^{t+2}; K_H \xleftarrow{\$} \mathcal{K}_H; \mathcal{D}^{F[H_{K_H},\mathbf{P}]} \Rightarrow 1] \\ & - \Pr[\mathcal{R} \xleftarrow{\$} \mathrm{Func}(n); \mathcal{D}^{\mathcal{R}} \Rightarrow 1] \end{split}.$$

Without loss of generality, we assume that  $\mathcal{D}$  is deterministic and makes no repeated query.

In this proof, we use the following notations. For  $\alpha \in [q]$ , values defined at the  $\alpha$ -th query are denoted by using the superscript of  $\alpha$  such as  $B_i^{\alpha}, C_i^{\alpha}, S_i^{\alpha}$ , etc, and the message length l at the  $\alpha$ -th query is denoted by  $l_{\alpha}$ . For  $\alpha \in [q]$  and  $j \in [t]$ ,  $\mathsf{Dom}P_j^{\alpha} := \bigcup_{\delta=1}^{\alpha} \{X_j^{\delta}\}$ ,  $\mathsf{Rng}P_j^{\alpha} := \bigcup_{\delta=1}^{\alpha} \{Y_j^{\delta}\}$  and  $\overline{\mathsf{Rng}P_j^{\alpha}} := \{0,1\}^n \backslash \mathsf{Rng}P_j^{\alpha}$ .

### 6.1 Proof Strategy

This proof uses the same strategy as in the proof of Theorem 1 (given in Subsection 4.1). In this proof, random permutations  $P_{0,1}$  and  $P_{0,2}$  are defined before starting the game, whereas other random permutations are realized by lazy sampling. The main game is given in Fig. 5, where there are three sub-cases defined by inputs to random permutations  $X_1^{\alpha}, \ldots, X_t^{\alpha}$  (See lines 4-6 in Fig. 5). The sub-cases are given in Fig. 6. Note that for  $i \in [t]$ , " $X_i^{\alpha}$  is new" means that  $X_i^{\alpha} \notin \mathsf{Dom} P_i^{\alpha-1}$ , and " $X_i^{\alpha}$  is not new" means that  $X_i^{\alpha} \in \mathsf{Dom} P_i^{\alpha-1}$ .

#### Initialization

- 1:  $K_H \stackrel{\$}{\leftarrow} \mathcal{K}_H$ ;  $(P_{0,1}, P_{0,2}) \stackrel{\$}{\leftarrow} \mathsf{Perm}(n)^2$
- 2:  $\forall i \in [t], X_i \in \{0,1\}^n : P_i(X_i) \leftarrow \bot$

Main Game: Upon the  $\alpha$ -th query  $M^{\alpha}$  do

- 1:  $(S_1^{\alpha}, S_2^{\alpha}) \leftarrow H_{K_H}(M^{\alpha})$
- 2:  $R_1^{\alpha} \leftarrow P_{0,1}(S_1^{\alpha}); R_2 \leftarrow P_{0,2}(S_2^{\alpha});$ 3: **for**  $i \in [t]$  **do**  $X_i^{\alpha} = R_1^{\alpha} \oplus \left(2^{i-1} \cdot R_2^{\alpha}\right)$
- 4: if all of  $X_1^{\alpha}, \dots, X_t^{\alpha}$  are not new then goto Case A
- 5: if one of  $X_1^{\alpha}, \dots, X_t^{\alpha}$  is new then goto Case B
- 6: if two ore more of  $X_1^{\alpha}, \ldots, X_t^{\alpha}$  are new then goto Case C
- 7: return  $T^{\alpha}$

Fig. 5. Main Game.

As is the case with the proof of Theorem 1, Case C uses a fair set for the xor of s random permutations with  $s \geq 2$ . For s random permutations  $P_{a_1}, \dots, P_{a_s}$ at the  $\alpha$ -th query, we say a set  $\mathsf{Fair}^{\alpha} \subseteq (\{0,1\}^n)^s$  is fair if for each  $T \in \{0,1\}^n$ ,

$$\left|\left\{(Y_{a_1},Y_{a_2},\ldots,Y_{a_s})\in \mathsf{Fair}^\alpha\left|\bigoplus_{i\in[s]}Y_{a_i}=T\right\}\right|=\frac{|\mathsf{Fair}^\alpha|}{2^n}.$$

Let  $L^{\alpha} := \overline{\mathsf{Rng}P_{a_1}^{\alpha-1}} \times \overline{\mathsf{Rng}P_{a_2}^{\alpha-1}} \times \cdots \times \overline{\mathsf{Rng}P_{a_s}^{\alpha-1}}$ . Lucks [24] pointed out that when s is even, there exists a set  $W \subset L^{\alpha}$  of size at most  $(\alpha - 1)^s$  such that  $L^{\alpha}\backslash W$  is fair, and when s is odd, there exists a set  $W'\subset (\{0,1\}^n)^s$  of size at most  $(\alpha - 1)^s$  with  $W' \cap L^{\alpha} = \emptyset$  such that  $W' \cup L^{\alpha}$  is fair. See Lemma 2 of [24] or [38] for explicit constructions of fair sets. In Case C, the fair set is defined as  $\mathsf{Fair}^{\alpha} := L^{\alpha} \backslash W$  when s is even;  $\mathsf{Fair}^{\alpha} := L^{\alpha} \cup W'$  when s is odd.

Let  $bad = bad_A \vee bad_B \vee bad_C$ . Then by the fundamental lemma of gameplaying [4, 5], we have

$$\mathbf{Adv}^{\mathsf{prf}}_{F[\mathbf{P}]}(\mathcal{D}) \leq \Pr[\mathsf{bad}] \leq \Pr[\mathsf{bad}_{\mathsf{A}}] + \Pr[\mathsf{bad}_{\mathsf{B}}] + \Pr[\mathsf{bad}_{\mathsf{C}}]. \tag{7}$$

Hereafter, we upper-bound Pr[bad<sub>A</sub>], Pr[bad<sub>B</sub>] and Pr[bad<sub>C</sub>].

### Upper-Bound of Pr[bad<sub>A</sub>]

First we define the following event:

$$\operatorname{coll} \Leftrightarrow \exists \alpha, \beta \in [q] \text{ with } \alpha \neq \beta \text{ s.t. } (S_1^{\alpha}, S_2^{\alpha}) = (S_1^{\beta}, S_2^{\beta}).$$

Then we have

$$\Pr[\mathsf{bad}_{\mathsf{A}}] \leq \Pr[\mathsf{coll}] + \Pr[\mathsf{bad}_{\mathsf{A}}|\neg\mathsf{coll}]$$
,

Regarding Pr[coll], since H is an  $\epsilon$ -AU hash function, the sum of  $\epsilon$  for all combinations of message pairs gives

$$\Pr[\mathsf{coll}] \le \binom{q}{2} \cdot \epsilon \le 0.5q^2 \epsilon$$
.

```
Case A:
   1: if \negbad then bad<sub>A</sub> \leftarrow true
  2: T^{\alpha} \stackrel{\$}{\leftarrow} \{0,1\}^n
  3: for i \in [t] do Y_i^{\alpha} \leftarrow P_i(X_i^{\alpha})
  4: \overline{T^{\alpha} \leftarrow \bigoplus_{i=1}^{t} Y_{i}^{\alpha}}
                                                                                                                                           ▶ Removed in the ideal world
Case B: In the following procedure, X_a^{\alpha} is new, and for all i \in [t] \setminus \{a\} X_i^{\alpha} is not new.
  \begin{array}{l} 1: \ Y_a^{\alpha} \xleftarrow{\$} \{0,1\}^n \\ 2: \ \textbf{if} \ Y_a^{\alpha} \in \mathsf{Rng}P_a^{\alpha-1} \ \textbf{then} \end{array}
              \mathbf{if}^{\neg}\mathsf{ba}\underline{\mathsf{d}}\ \mathbf{then}\ \mathsf{bad}_{\mathsf{B}} \leftarrow \mathsf{true}
               Y_a^\alpha \xleftarrow{\$} \overline{\mathsf{Rng}P_a^{\alpha-1}}
                                                                                                                                           ▶ Removed in the ideal world
  5: end if
  \begin{array}{l} 6 \colon P_i(X_a^\alpha) \leftarrow Y_a^\alpha \\ 7 \colon \text{ for } i \in [t] \backslash \{a\} \text{ do } Y_i^\alpha \leftarrow P_i(X_i^\alpha) \\ 8 \colon T^\alpha \leftarrow \bigoplus_{i=1}^t Y_i^\alpha \end{array}
Case C: In the following procedure, X_{a_1}^{\alpha}, \ldots, X_{a_s}^{\alpha} are new with a_1, \ldots, a_s \in [t] and
other inputs are not new where s \geq 2.
  1:\ L^{\alpha} \leftarrow \overline{\mathsf{Rng}P_{a_1}^{\alpha-1}} \times \overline{\mathsf{Rng}P_{a_2}^{\alpha-1}} \times \cdots \times \overline{\mathsf{Rng}P_{a_s}^{\alpha-1}}
  2: if s is even then
               Choose a fair set \mathsf{Fair}^\alpha \subseteq L^\alpha; (Y_{a_1}^\alpha, Y_{a_2}^\alpha, \dots, Y_{a_s}^\alpha) \xleftarrow{\$} L^\alpha
               if (Y_{a_1}^{\alpha}, Y_{a_2}^{\alpha}, \dots, Y_{a_s}^{\alpha}) \notin \mathsf{Fair}^{\alpha} then
  4:
  5:
                     \mathbf{if} \neg \mathsf{bad} \ \mathbf{then} \ \mathsf{bad}_\mathsf{C} \leftarrow \mathsf{true}
                       (Y_{a_1}^\alpha,Y_{a_2}^\alpha,\dots,Y_{a_s}^\alpha) \xleftarrow{\$} \mathsf{Fair}^\alpha
  6:
                                                                                                                                              ▶ Removed in the real world
               end if
  7:
  8: end if
  9: if s is odd then
               Choose a fair set \mathsf{Fair}^\alpha \supseteq L^\alpha; \ (Y_{a_1}^\alpha, Y_{a_2}^\alpha, \dots, Y_{a_s}^\alpha) \overset{\$}{\leftarrow} \mathsf{Fair}^\alpha
11:
                if (Y_{a_1}^{\alpha}, Y_{a_2}^{\alpha}, \dots, Y_{a_s}^{\alpha}) \not\in L^{\alpha} then
                      if \neg bad then bad_C \leftarrow true
12:
                       (Y_{a_1}^{\alpha}, Y_{a_2}^{\alpha}, \dots, Y_{a_s}^{\alpha}) \stackrel{\$}{\leftarrow} L^{\alpha}
13:
                                                                                                                                           ▶ Removed in the ideal world
                end if
14:
15: end if
16: for i \in [s] do P_i(X_{a_i}^{\alpha}) \leftarrow Y_{a_i}^{\alpha}

17: for i \in [t] \setminus \{a_1, \dots, a_s\} do Y_i^{\alpha} \leftarrow P_i(X_i^{\alpha})

18: T^{\alpha} \leftarrow \bigoplus_{i=1}^{t} Y_i^{\alpha}
```

Fig. 6. Case A, Case B and Case C.

Regarding Pr[bad<sub>A</sub>] $\neg$ coll], for  $\alpha \in [q]$ , Lemma 2 gives the upper-bound of the probability that all of  $X_1^{\alpha}, \ldots, X_t^{\alpha}$  are not new, which is  $\left(\frac{\alpha-1}{2^n-q}\right)^t$ . Then, we run the index  $\alpha$  to get

$$\Pr[\mathsf{bad}_\mathsf{A}|\neg\mathsf{coll}] \leq \sum_{\alpha=1}^q \left(\frac{\alpha-1}{2^n-q}\right)^t = \sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n-q}\right)^t \enspace .$$

Finally we have

$$\Pr[\mathsf{bad}_{\mathsf{A}}] \le 0.5q^2 \epsilon + \sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n - q}\right)^t . \tag{8}$$

**Lemma 2.** Assume that coll does not occur. Fix  $\alpha \in [q]$ ,  $s \leq t$  and  $a_1, a_2, \ldots, a_s \in$ [t] such that  $a_1, a_2, \ldots, a_s$  are distinct. Then the probability that  $\forall i \in [s]: X_{a_i}^{\alpha}$  is not new, that is,  $\exists \beta_i \in [\alpha - 1]$  s.t.  $X_{a_i}^{\alpha} = X_{a_i}^{\beta_i}$  is at most  $\left(\frac{\alpha - 1}{2^n - q}\right)^s$ .

*Proof.* First, fix  $\beta_1, \ldots, \beta_s \in [\alpha - 1]$ , and upper-bound the probability that

$$\underbrace{\forall i \in [s] : X_{a_i}^{\alpha} \oplus X_{a_i}^{\beta_i}}_{A_{\Omega}} = 0^n. \tag{9}$$

By Lemma 3, we have only to consider the case where  $\beta_1, \ldots, \beta_s$  are distinct. Thus if  $\alpha \leq s$ , then this probability is 0. In the following, we consider the case:  $\alpha > s$ . Note that  $A_9$  is defined as

$$\begin{split} X_{a_i}^\alpha \oplus X_{a_i}^{\beta_i} &= \left(R_1^\alpha \oplus 2^{a_i-1} \cdot R_2^\alpha\right) \oplus \left(R_1^{\beta_i} \oplus 2^{a_i-1} \cdot R_2^{\beta_i}\right) \\ &= \left(R_1^\alpha \oplus R_1^{\beta_i}\right) \oplus 2^{a_i-1} \cdot \left(R_2^\alpha \oplus R_2^{\beta_i}\right) \enspace, \end{split}$$

where  $R_1^{\alpha} = P_{0,1}(S_1^{\alpha}), \ R_2^{\alpha} = P_{0,2}(S_2^{\alpha}), \ R_1^{\beta_i} = P_{0,1}(S_1^{\beta_i}) \ \text{and} \ R_2^{\beta_i} = P_{0,2}(S_2^{\beta_i}).$ Then, the number of independent random variables in  $\{R_1^{\alpha}, R_1^{\beta_1}, \dots, R_1^{\beta_s}, R_2^{\alpha}, R_2^{\beta_1}, \dots, R_2^{\beta_s}\}$  that appear in  $A_9$  is counted. Note that  $\{R_1^{\alpha}, R_1^{\beta_1}, \dots, R_1^{\beta_s}\}$  are independently defined from  $\{R_2^{\alpha}, R_2^{\beta_1}, \dots, R_2^{\beta_s}\}.$ 

First, the number of independent random variables in  $\{R_1^{\beta_1}, \dots, R_1^{\beta_s}\}$  and  $\{R_2^{\beta_1}, \dots, R_2^{\beta_s}\}$  is counted. By  $\neg$ coll, for all  $i, j \in [s]$  with  $i \neq j$ ,  $(S_1^{\beta_i}, S_2^{\beta_i}) \neq (S_1^{\beta_j}, S_2^{\beta_j})$ , that is,  $(R_1^{\beta_i}, R_2^{\beta_i}) \neq (R_1^{\beta_j}, R_2^{\beta_j})$ . Note that if there are  $z_1$  (resp.,  $z_2$ ) independent random variables in  $\{R_1^{\beta_1}, \dots, R_1^{\beta_s}\}$  (resp.,  $\{R_2^{\beta_1}, \dots, R_2^{\beta_s}\}$ ), then the number of distinct pairs for  $(R_1, R_2)$  is  $z_1 \cdot z_2$  and the number of distinct random variables in  $z_1 \cdot z_2$  and the number of distinct random variables is  $z_1 \cdot z_2$ . random variables is  $z_1 + z_2$ . If  $(z_1 \le 2 \land z_2 \le 2)$  or  $(z_1 = 1 \land z_2 \le 4)$ , then  $z_1 \cdot z_2 \le z_1 + z_2$ , and if  $z_1 = 2$  and  $z_2 = 3$ , then  $z_1 + z_2 = 5 < z_1 \cdot z_2 = 6$ . Since  $s \leq z_1 \cdot z_2$ , the sum of the numbers of independent random variables in  $\{R_1^{\beta_1}, \ldots, R_1^{\beta_s}\}$  and in  $\{R_2^{\beta_1}, \ldots, R_2^{\beta_s}\}$  is at least min $\{5, s\}$ .

By Lemma 4, we have only to consider the case that  $\forall i \in [s]: R_1^{\alpha} \neq s$ 

 $R_1^{\beta_i}$  and  $R_2^{\alpha} \neq R_2^{\beta_i}$ . Hence, the number of independent random variables in

 $\{R_1^{\beta_1},\ldots,R_1^{\beta_s}\}$  and  $\{R_2^{\beta_1},\ldots,R_2^{\beta_s}\}$  is at least  $s\leq \min\{5,s\}+2$ . By  $s\leq t\leq 7$ , there are at least s independent random variables in  $A_9$ .

Fixing other outputs in  $A_9$  except for the s outputs, the equations in (9) provide a unique solution for the s outputs. The number of possibilities for the s outputs are at least  $2^n - s$ . Hence, the probability that (9) is satisfied is at most  $(1/(2^n - s))^s$ .

Finally, the probability that  $\forall i \in [s]: \exists \beta_i \in [\alpha-1] \text{ s.t. } X_{a_i}^{\alpha} = X_{a_i}^{\beta_i} \text{ is at most}$ 

$$(\alpha - 1)^s \cdot \left(\frac{1}{2^n - s}\right)^s \le \left(\frac{\alpha - 1}{2^n - q}\right)^s$$
.

**Lemma 3.** Assume that coll does not occur. For  $\alpha, \beta \in [q]$  with  $\alpha \neq \beta$ , if there exists  $j \in [t]$  such that  $X_j^{\alpha} = X_j^{\beta}$ , then for all  $i \in [t] \setminus \{j\}$ ,  $X_i^{\alpha} \neq X_i^{\beta}$ .

*Proof.* Assume that  $X_j^{\alpha} = X_j^{\beta}$ , which implies

$$X_j^\alpha = X_j^\beta \Leftrightarrow R_1^\alpha \oplus R_1^\beta = 2^{j-1} \cdot \left( R_2^\alpha \oplus R_2^\beta \right) \ .$$

By  $\neg \text{coll}$ ,  $R_1^{\alpha} \oplus R_1^{\beta} \neq 0^n$  and  $R_2^{\alpha} \oplus R_2^{\beta} \neq 0^n$ . Then, for any  $i \in [t] \setminus \{j\}$ 

$$\begin{split} X_i^\alpha \oplus X_i^\beta &= \left(R_1^\alpha \oplus R_1^\beta\right) \oplus 2^{i-1} \cdot \left(R_2^\alpha \oplus R_2^\beta\right) \\ &= \left(2^{j-1} \oplus 2^{i-1}\right) \cdot \left(R_2^\alpha \oplus R_2^\beta\right) \neq 0^n \enspace, \end{split}$$

namely,  $X_i^{\alpha} \neq X_i^{\beta}$ .

**Lemma 4.** For  $\alpha, \beta \in [q]$  with  $\alpha \neq \beta$ , if  $(R_1^{\alpha} \neq R_1^{\beta} \wedge R_2^{\beta} = R_2^{\beta})$  or  $(R_1^{\alpha} = R_1^{\beta} \wedge R_2^{\beta} \neq R_2^{\beta})$ , then for all  $i \in [t]$   $X_i^{\alpha} \neq X_i^{\beta}$ .

*Proof.* Let  $\alpha, \beta \in [q]$  with  $\alpha \neq \beta$ . If  $R_1^{\alpha} \neq R_1^{\beta} \wedge R_2^{\beta} = R_2^{\beta}$ , then for any  $i \in [t]$ ,

$$X_i^\alpha \oplus X_i^\beta = \left(R_1^\alpha \oplus 2^{i-1} \cdot R_2^\alpha\right) \oplus \left(R_1^\beta \oplus 2^{i-1} \cdot R_2^\beta\right) = R_1^\alpha \oplus R_1^\beta \neq 0^n.$$

If  $R_1^{\alpha} = R_1^{\beta} \wedge R_2^{\beta} \neq R_2^{\beta}$ , then for any  $i \in [t]$ ,

$$X_i^\alpha \oplus X_i^\beta = \left(R_1^\alpha \oplus 2^{i-1} \cdot R_2^\alpha\right) \oplus \left(R_1^\beta \oplus 2^{i-1} \cdot R_2^\beta\right) = 2^{i-1} \cdot \left(R_2^\alpha \oplus \cdot R_2^\beta\right) \neq 0^n.$$

### 6.3 Upper-Bound of $Pr[bad_B]$

First, fix  $\alpha \in [q]$  and  $a \in [t]$ , and upper-bound the probability that

$$X_a^{\alpha}$$
 is new,  $\underbrace{\forall i \in [t] \setminus \{a\} : X_i^{\alpha} \text{ is not new}}_{A_{10,2}}$ , and  $\underbrace{Y_a^{\alpha} \in \mathsf{Rng}P_a^{\alpha-1}}_{A_{10,3}}$ . (10)

Regarding  $A_{10,2}$ , by Lemma 2, the probability that  $A_{10,2}$  is satisfied is at most  $\left(\frac{\alpha-1}{2^n-q}\right)^{t-1}$ . Regarding  $A_{10,3}$ , since  $Y_a^{\alpha}$  is randomly drawn and  $|\operatorname{Rng} P_a^{\alpha-1}| \leq \alpha-1$ , the probability that  $A_{10,3}$  is satisfied is at most  $\frac{\alpha-1}{2^n}$ . Hence the probability that (10) is satisfied is at most

$$\left(\frac{\alpha-1}{2^n-q}\right)^{t-1} \cdot \frac{\alpha-1}{2^n} \le \left(\frac{\alpha-1}{2^n-q}\right)^t .$$

Finally, we run induces  $\alpha$  and a to get

$$\Pr[\mathsf{bad}_\mathsf{B}] \le \sum_{\alpha=1}^q \sum_{a=1}^t \left(\frac{\alpha-1}{2^n-q}\right)^t \le \sum_{\alpha=1}^{q-1} t \cdot \left(\frac{\alpha}{2^n-q}\right)^t \ . \tag{11}$$

### 6.4 Upper-Bound of Pr[badc]

First, fix  $\alpha \in [q]$ ,  $s \in \{2, ..., t\}$  and  $a_1, ..., a_s \in [t]$  such that  $a_1, ..., a_s$  are distinct, and consider the case that

$$X_{a_1}^{\alpha}, \dots, X_{a_{s-1}}^{\alpha}$$
 and  $X_{a_s}^{\alpha}$  are new,  $\forall i \in [t] \setminus \{a_1, \dots, a_s\} : X_i^{\alpha}$  is not new, and

$$\underbrace{(Y_{a_1}^{\alpha}, \dots, Y_{a_{s-1}}^{\alpha}, Y_{a_s}^{\alpha}) \not\in \mathsf{Fair}^{\alpha} \text{ if } s \text{ is even}; (Y_{a_1}^{\alpha}, \dots, Y_{a_{s-1}}^{\alpha}, Y_{a_s}^{\alpha}) \not\in L^{\alpha} \text{ if } s \text{ is odd}}_{A_{12,3}}.$$

$$(12)$$

Regarding  $A_{12,2}$ , by Lemma 2, the probability that  $A_{12,2}$  is satisfied is at most  $\left(\frac{\alpha-1}{2^n-q}\right)^{t-s}$ . Regarding  $A_{12,3}$ , if s is even, then since  $|L^{\alpha}\backslash \mathsf{Fair}^{\alpha}| \leq (\alpha-1)^s$ , the probability that  $A_{12,3}$  is satisfied is at most  $\left(\frac{\alpha-1}{2^n-q}\right)^s$ ; if s is odd, then since  $|\mathsf{Fair}^{\alpha}\backslash L^{\alpha}| \leq (\alpha-1)^s$ , the probability that  $A_{12,3}$  is satisfied is at most  $\left(\frac{\alpha-1}{2^n-q}\right)^s$ . Hence, the probability that the conditions in (12) are satisfied is at most

$$\left(\frac{\alpha-1}{2^n-q}\right)^{t-s} \cdot \left(\frac{\alpha-1}{2^n-q}\right)^s = \left(\frac{\alpha-1}{2^n-q}\right)^t.$$

Finally, we run induces  $\alpha$  and s to get

$$\Pr[\mathsf{bad}_\mathsf{C}] \leq \sum_{s=1}^q \sum_{s=2}^t \left( \binom{t}{s} \cdot \left( \frac{\alpha-1}{2^n-q} \right)^t \right) = \sum_{s=2}^t \binom{t}{s} \cdot \left( \sum_{s=1}^{q-1} \left( \frac{\alpha}{2^n-q} \right)^t \right) \ . \ (13)$$

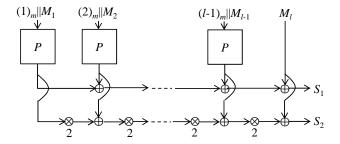


Fig. 7. Hash\*.

### 6.5 Conclusion of Proof

Putting (8), (11) and (13) into (7) gives

$$\begin{split} &\mathbf{Adv}_{F[H_{K_H},\mathbf{P}]}^{\mathsf{prf}}(\mathcal{D}) \\ &\leq 0.5q^2\epsilon + \sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n-q}\right)^t + t \cdot \sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n-q}\right)^t + \sum_{s=2}^t \binom{t}{s} \left(\sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n-q}\right)^t\right) \\ &\leq 0.5q^2\epsilon + \sum_{s=0}^t \binom{t}{s} \cdot \left(\sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n-q}\right)^t\right) = 0.5q^2\epsilon + 2^t \cdot \left(\sum_{\alpha=1}^{q-1} \left(\frac{\alpha}{2^n-q}\right)^t\right) \\ &= 0.5q^2\epsilon + \sum_{\alpha=1}^{q-1} \left(\frac{2\alpha}{2^n-q}\right)^t \leq 0.5q^2\epsilon + \frac{2^tq^{t+1}}{(2^n-q)^t} \ , \end{split}$$

where the last term uses the fact that  $\sum_{\alpha=1}^{x} \alpha^{t} \leq x^{t+1}$  for  $x \geq 1$  and  $t \geq 1$ .

## 7 Improving the Efficiency of Hash

In this section, we consider a hash function function  $\mathsf{Hash}^*$  with better efficiency than  $\mathsf{Hash}^*$  is defined in Algorithm 3 and is illustrated in Fig. 7. Here,  $M\|10^*$  means that first 1 is appended to M, and if  $|M\|1| \le n$ , then a sequence of the minimum number of zeros is appended to  $M\|1$  so that the length in bits becomes n bit; if  $|M\|1| > n$ , then a sequence of the minimum number of zeros is appended to  $M\|1$  so that the total length minus n becomes a multiple of n-m.

The difference between Hash and Hash\* is that in Hash the last block message  $M_l$  is input to  $E_K$ , while in Hash\* it is not input. Therefore, replacing Hash with Hash\*, the efficiency of LightMAC\_Plus2 is improved.

In Lemma 5, the collision probability of  $\mathsf{Hash}^*$  is given, where  $E_K$  is replaced with a random permutation P. Combining Theorem 2 and Lemma 5 offers the following corollary.

### **Algorithm 3** Hash\* $[E_K](M) = (S_1, S_2)$

```
1: Partition M||10^*| into n-m-bit blocks M_1, \ldots, M_{l-1} and n-bit block M_l
```

2: 
$$S_1 \leftarrow 0^n$$
;  $S_2 \leftarrow 0^n$ 

3: **for** 
$$i = 1, ..., l-1$$
 **do**

4: 
$$B_i \leftarrow (1)_m || M_i; C_i \leftarrow E_K(B_i); S_1 \leftarrow S_1 \oplus C_i; S_2 \leftarrow S_2 \oplus 2^{l-i} \cdot C_i$$

5: end for

6: 
$$S_1 \leftarrow S_1 \oplus M_l$$
;  $S_2 \leftarrow S_2 \oplus M_l$ 

7: **return**  $(S_1, S_2)$ 

### Corollary 2. Assume that $t \leq 7$ . Then we have

$$\mathbf{Adv}^{\mathrm{prf}}_{\mathrm{LightMAC\_Plus2[Hash^*[P]}, P_{0,1}, P_{0,2}, P_{1}, \dots, P_{t-1}, P_{t}]}(\mathcal{D}) \leq \frac{2q^2}{2^{2n}} + \frac{2^t q^{t+1}}{(2^n - q)^t} \ .$$

**Lemma 5.** Let  $P \stackrel{\$}{\leftarrow} \mathsf{Perm}(n)$  be a random permutation. For distinct two messages  $M^{\alpha}, M^{\beta} \in \{0,1\}^*$ , the probability that  $\mathsf{Hash}^*[P](M^{\alpha}) = \mathsf{Hash}^*[P](M^{\beta})$  is at most  $4/2^{2n}$ .

*Proof.* In this proof, values defined from  $M^{\alpha}$  (resp.,  $M^{\beta}$ ) are denoted by using the superscript of  $\alpha$  (resp.,  $\beta$ ), length l of  $M^{\alpha}$  (resp.,  $M^{\beta}$ ) is denoted by  $l_{\alpha}$  (resp.,  $l_{\beta}$ ). Without loss of generality, we assume that  $l_{\alpha} \leq l_{\beta}$ .  $H[P](M^{\alpha}) = H[P](M^{\beta})$ implies that

$$S_1^{\alpha} = S_1^{\beta} \text{ and } S_2^{\alpha} = S_2^{\beta} \Leftrightarrow \bigoplus_{\substack{l_{\alpha} - 1 \\ i = 1}}^{l_{\alpha} - 1} C_i^{\alpha} \oplus \bigoplus_{i = 1}^{l_{\beta} - 1} C_i^{\beta} = Z^{\alpha, \beta} \text{ and } \bigoplus_{i = 1}^{l_{\alpha} - 1} 2^{l_{\alpha} - i} \cdot C_i^{\alpha} \oplus \bigoplus_{i = 1}^{l_{\beta} - 1} 2^{l_{\beta} - i} \cdot C_i^{\beta} = Z^{\alpha, \beta}$$
(14)

where  $Z^{\alpha,\beta} = M_{l_{\alpha}}^{\alpha} \oplus M_{l_{\beta}}^{\beta}$ . We consider the following six cases.

1. 
$$(l_{\alpha} = l_{\beta} = 1)$$

1. 
$$(l_{\alpha} = l_{\beta} = 1)$$
  
2.  $(l_{\alpha} = l_{\beta} \neq 1) \land (\forall a \in [l_{\alpha} - 1] \text{ s.t. } B_{a}^{\alpha} = B_{a}^{\beta}) \land (M_{l_{\alpha}} \neq M_{l_{\beta}})$   
3.  $(l_{\alpha} = l_{\beta} \neq 1) \land (\exists a \in [l_{\alpha} - 1] \text{ s.t. } B_{a}^{\alpha} \neq B_{a}^{\beta}) \land$ 

3. 
$$(l_{\alpha} = l_{\beta} \neq 1) \land (\exists a \in [l_{\alpha} - 1] \text{ s.t. } B_a^{\alpha} \neq B_a^{\beta}) \land (\forall i \in [l_{\alpha} - 1] \backslash \{a\} : B_i^{\alpha} = B_i^{\beta}).$$

4. 
$$(l_{\alpha} = l_{\beta} \neq 1) \land (\exists a_1, a_2 \in [l_{\alpha} - 1] \text{ s.t. } B_{a_1}^{\alpha} \neq B_{a_1}^{\beta} \land B_{a_2}^{\alpha} \neq B_{a_2}^{\beta})$$

5. 
$$(l_{\alpha} \neq l_{\beta}) \land (l_{\beta} = 2)$$

5. 
$$(l_{\alpha} \neq l_{\beta}) \land (l_{\beta} = 2)$$
  
6.  $(l_{\alpha} \neq l_{\beta}) \land (l_{\beta} \geq 3)$ 

Note that by  $l_{\alpha} \leq l_{\beta}$ , when  $l_{\alpha} \neq l_{\beta}$ ,  $l_{\beta} \neq 1$ , thereby we do not have to consider the case of  $(l_{\alpha} \neq l_{\beta}) \wedge (l_{\beta} = 1)$ . The third case is that there is just one position a where the inputs are distinct, whereas the fourth case is that there are at least two positions  $a_1, a_2$  where the inputs are distinct. For each case we evaluate the probability that the equalities in (14) hold.

- Consider the first and second cases. In these cases,  $A_{14,1} = A_{14,2} = 0^n$  and  $Z^{\alpha,\beta} \neq 0^n$ . Hence (14) is not satisfied.
- Consider the third case. In this case,  $A_{14,1} = (C_a^{\alpha} \oplus C_a^{\beta}) \neq 2^{l_{\alpha}-a} \cdot (C_a^{\alpha} \oplus C_a^{\beta}) = A_{14,2}$ . Hence, in (14) is not satisfied.
- Consider the fourth case. First we eliminate the same outputs between  $\{C_i^{\alpha}, 1 \leq i \leq l_{\alpha} 1\}$  and  $\{C_i^{\beta}, 1 \leq i \leq l_{\beta} 1\}$  from  $A_{14,1}$  and  $A_{14,2}$ , and then we have

$$A_{14,1} = \bigoplus_{i=1}^{j} \left( C_{a_i}^{\alpha} \oplus C_{a_i}^{\beta} \right) \text{ and } A_{14,2} = \bigoplus_{i=1}^{j} 2^{l_{\alpha} - a_i} \cdot \left( C_{a_i}^{\alpha} \oplus C_{a_i}^{\beta} \right) ,$$

where  $a_1, \ldots, a_j \in [l_{\alpha} - 1]$  with  $j \geq 2$ . Since in  $A_{14,1}$  and  $A_{14,2}$  there are at most  $l_{\alpha} + l_{\beta} - 2$  outputs, the numbers of possibilities for  $C_{a_1}^{\alpha}$  and  $C_{a_2}^{\alpha}$  are at least  $2^n - (l_{\alpha} + l_{\beta} - 3)$  and  $2^n - (l_{\alpha} + l_{\beta} - 4)$ , respectively. Fixing other outputs, the equations in (14) provide a unique solution for  $C_{a_1}^{\alpha}$  and  $C_{a_2}^{\alpha}$ . Thus, the probability that (14) is satisfied is at most  $1/(2^n - (l_{\alpha} + l_{\beta} - 2))(2^n - (l_{\alpha} + l_{\beta} - 3))$ .

- Consider the fifth case. In this case,  $l_{\alpha}=1$  and  $A_{14,1}=C_1^{\beta}\neq 2\cdot C_1^{\beta}=A_{14,2}$ . Hence (14) is not satisfied.
- Consider the sixth case. We eliminate the same outputs between  $\{C_i^{\alpha}: 1 \leq i \leq l_{\alpha} 1\}$  and  $\{C_i^{\beta}: 1 \leq i \leq l_{\beta} 1\}$  from  $A_{14,1}$ . By  $l_{\alpha} < l_{\beta}$ ,  $C_{l_{\beta}}^{\beta}$  remains in  $A_{14,1}$ . Since in  $A_{14,1}$  and  $A_{14,2}$  there are at most  $l_{\alpha} + l_{\beta} 2$  outputs, the numbers of possibilities for  $C_{l_{\beta}}^{\beta}$  and  $C_1^{\beta}$  are at least  $2^n (l_{\alpha} + l_{\beta} 3)$  and  $2^n (l_{\alpha} + l_{\beta} 4)$ , respectively. Fixing other outputs, the equations in (14) provide a unique solution for  $C_{l_{\beta}}^{\beta}$  and  $C_1^{\beta}$ . As a result, the probability of (14) is at most  $1/(2^n (l_{\alpha} + l_{\beta} 3))(2^n (l_{\alpha} + l_{\beta} 4))$ .

Thus, we have

$$\Pr\left[\mathsf{Hash}^*[P](M^{\alpha}) = \mathsf{Hash}^*[P](M^{\beta})\right] \le \frac{1}{(2^n - (l_{\alpha} + l_{\beta}))^2} \le \frac{4}{2^{2n}} \ ,$$

assuming  $l_{\alpha} + l_{\beta} \leq 2^{n-1}$ .

### References

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