# A New Post-Quantum Key Agreement Protocol and Derived Cryptosystem Based on Rectangular Matrices

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Abstract. In this paper, we present an original algorithm to generate session keys and a subsequent generalized ElGamal-type cryptosystem. The scheme presented here has been designed to prevent both linear and brute force attacks using rectangular matrices and to achieve high complexity. Our algorithm includes a new generalized Diffie-Hellmann scheme based on rectangular matrices and polynomial field operations. Two variants are presented, the first with a double exchange between the parties and the second with a single exchange, thus speeding up the generation of session keys.

Keywords: key-exchange protocol, noncommutative algebraic cryptography, postquantum cryptography, rectangular matrices, GDHP.

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#### 1. Introduction

It is well known that generating secure key exchange algorithms is a priority for implementing symmetric protocols [16]. The idea of public key cryptography goes back to the work of James Ellis [8] and the seminal work of Diffie-Hellman [7] and its variants, which were the first practical solutions universally used in SSL, TLS, SSH, IPsec, PKI, Signal, etc. On the other hand, the imminent appearance of quantum computers able to implement Shor's and Grover's algorithms [2], which seriously affect the currently used cryptographic methods, led to the current research efforts in post quantum cryptography (PQC).

This paper was inspired by E. Stickels's proposals [22], which were cryptanalyzed by V. Shpilrain [19] and C. Mullan [18]. More recently, S. Kanwal and R. Ali [12] published an interesting protocol, but it was also cryptanalyzed by J. Liu et al. [15]. A natural alternative was to use rank-deficient matrices, but this has been cryptanalyzed by F. Virdia using Jordan canonical forms [24]. More recently, Daniel Brown [4] presented a promising attack on our original algorithm which led to this updated version.

It is worthwhile to note that in the NIST competition for standardization of postquantum protocols [20], there is none based on the use of noncommutative algebraic systems [19], those dedicated to key exchange protocols (KEP) and their canonical asymmetric

cryptosystems, derived using a generalized ElGamal scheme. This paper aims to provide an alternative solution in this regard.

#### 2. Paper organization

First, we present an overall description of the proposed algorithms and the corresponding protocols, the proof that Alice and Bob will derive a common key, security considerations, and finally some experimental results and a discussion.

#### 3. The notation used in this work

dim: integer (rows of a square matrix),  $Z_p$ : set of non-negative residuals mod p, products in  $Z_p$  (represented by dots),  $\oplus$ : field sum of integers or matrices in F<sub>256</sub>,  $\odot$ : field product of integers or matrices in F<sub>256</sub>,  $X^{\odot k}$ : field k-power of a X-matrix in F<sub>256</sub>,  $\parallel$ : concatenation Per[A]: the permanent of matrix A, A(i, j): matrix component of the i-th row and j-th column,  $\in_{rand}$ : random uniform selection in a closed interval.

#### 4. Overview of the key exchange algorithm

It is a key exchange algorithm (KEM) that operates on rectangular matrices, mixing conventional linear operations with operations on the polynomial ring of matrices. We present a two-step and a single-step variation of the KEM:

 $R\{M(\mathbb{F}_{256}, dim), \oplus, \odot\}$ . The entries of the matrices are elements of the polynomial field  $F_{256}/x^8+x^6+x^3+x^2+1$  [13, 14], and therefore each one is a byte.

The algebraic security of the protocol is based on the difficulty of solving a class of the generalized Diffie-Hellman problem (GDHP)[16,19], that is on the difficulty of solving discrete log problems over matrix powers that use field operations since no classical or quantum P-class algorithm is known to solve it [19].

ALICE	BOB					
Setup F <sub>256</sub> / x <sup>8</sup> +x <sup>6</sup> +x <sup>3</sup> +	$x^{2}+1 / $ operations					
dim $\in_{random} \mathbb{Z}$ ; cols=dim;	rows < cols; dim $\geq$ 16; h $\geq$ 64					
A1 (rows x cols) $\in_{random} \mathbb{Z}_{256}$	A2 (rows x cols) $\in_{random} \mathbb{Z}_{256}$					
B1 (cols x rows) $\in_{random} \mathbb{Z}_{256}$	B2 (cols x rows) $\in_{random} \mathbb{Z}_{256}$					
$Pa = A1 . B1 \pmod{256}$	→ Pa					
Pb 🗲	Pb = A2. B2 (mod 256)					
$core = Pa \odot Pb$	$core = Pa \odot Pb$					
expoA $\in_{random} [2^{h-1}, 2^h]$	expoB $\in_{random} [2^{h-1}, 2^h]$					
$U = core^{\odot expoA}$ —	→ U					
V •	$V = core^{\odot expoB}$					
$Ka = V^{\odot expoA}$	$Kb = U^{\odot expoB}$					
compact Ka = Per [Ka] $(mod 2^{h})$	compact Kb= Per[Kb] $(mod 2^{h})$					

#### 5. Diagram of each cycle of the two-pass key exchange algorithm

## 6. Diagram of each cycle of the single-pass key exchange algorithm

KEM preparation (off-line)									
ALICE	CLOUD	BOB							
$F_{256} / x^8 + x^6 + x^3 + x^2 + 1 / < x + 1 > operations$									
dim $\in_{random} \mathbb{Z}$ ; cols=dim; rows < cols; dim $\geq 16$ ; h $\geq 64$									
A1 (rows x cols) $\in_{random} \mathbb{Z}_{256}$		A2 (rows x cols) $\in_{random} \mathbb{Z}_{256}$							
B1 (cols x rows) $\in_{random} \mathbb{Z}_{256}$		B2 (cols x rows) $\in_{random} \mathbb{Z}_{256}$							
$Pa = A1 \cdot B1 \pmod{256}$	▶	$ Pb = A2 . B2 \pmod{256}$							
	public Px storage								

Jast single-slep KEM protocol (on-line)								
ALICE	CLO	OUD	BOB					
setup	$\square$	$\square$	setup					
Pb ◀			► Pa					
$core = Pa \odot Pb$			$core = Pa \odot Pb$					
	public P	x storage						
expoA $\in_{random} [2^{h-1}, 2^h]$			expoB $\in_{random} [2^{h-1}, 2^h]$					
$U = core^{\odot expoA}$			→ U					
V 🗲			$$ V = core <sup><math>\odot</math>expoB</sup>					
$Ka = V^{\odot expoA}$		$Kb = U^{\odot expoB}$						
compact $Ka = Per [Ka] \pmod{1}$	2 <sup>h</sup> )	compact Kb= Per[Kb] $(mod 2^h)$						

## fast single-step KEM protocol (on-line)

## 7. Diagram of the coupled El Gamal cipher algorithm (for both procedures)

coupled cipher algorithm						
ALICE	BOB					
Setup $F_{256} / x^8 + x^6 + x^3$	$3+x^2+1/(x+1)$ operations					
H(): SHA	A3-512; $h \ge 64$					
	msg ="any secret here" (padded to 512-bits) $C = V = core^{\odot expoB}$					
	$D = H(compact Kb) \bigoplus msg$					
(C, D) ◀	- (C,D)					
$Ka = C^{\odot expoA}$						
compact Ka = Per [Ka] $(mod 2^{h})$						
$msg = D \oplus H(compact Ka)$						

8. Key exchange algorithm

## ALGORITHM 1: PQC multiKEP (two-step protocol)

#### COMMENTS

The key exchange algorithm (KEP) uses several internal cycles as defined below and is therefore defined here as a multiKEP. **INPUT:** see the initial configuration. **OUTPUT:** shared session key of 512 bits.

INITIAL CONFIGURATION (PUBLIC VALUES):

dim: integer (proposed  $\geq 16$ )

rows[X], columns[X]: dimensions of the matrices X:{A, B}, where rowsA=columnsB=dim, columnsA=rowsB and rowsA > columnsA. h: integer (proposed  $\geq$  64) t: number of iterations (proposed  $\geq$  10) H(): hashing SHA3-512.

## ALICE

1. for k=1 to t 2. for i=1 to rowsA 3. for j=1 to columnsA 4. A1<sub>k</sub>(i,j)  $\in_{rand} \mathbb{Z}_{256}$ 5. next j 6. next i 7. for i=1 to rowsB 8. for j=1 to columnsB 9.  $B1_k(i,j) \in_{rand} \mathbb{Z}_{256}$ 10. next j 11. next i 12.  $Pa_k = A1_k \cdot B1_k \pmod{256}$ 13. next k 14. Send the vector  $Pa = (Pa_1, ..., Pa_t)$  to Bob and receive vector Pb

#### 15. for k=1 to t

16.	$core_k = Pa_k \odot Pb_k$
17.	$expoA_k \in_{rand} [2^{h-1}, 2^h]$
18.	$U_k = core_k^{\odot expoAk}$
19.	next k
20.	Send the vector $U = (U_1,, U_t)$ to Bob and receive vector V

## BOB

21. for k=1 to t
22. <b>for</b> i=1 <b>to</b> rowsB
23. for $j=1$ to columnsB
24. $A2_k(i,j) \in_{rand} \mathbb{Z}_{256}$
25. <b>next</b> j
26. <b>next</b> i
27. <b>for</b> i=1 <b>to</b> rowsA
28. for $j=1$ to columnsB
29. $B2_k(i,j) \in_{rand} \mathbb{Z}_{256}$
30. <b>next</b> j
31. <b>next</b> i
32. $Pb_k = A2_k \cdot B2_k \pmod{256}$
33. next k
34. Send the vector $Pb = (Pb_1,, Pb_t)$ to Bob and receive vector Pa

- 35. for k=1 to t
- 36.  $\operatorname{core}_k = \operatorname{Pa}_k \odot \operatorname{Pb}_k$
- 37.  $expoB_k \in_{rand} [2^{h-1}, 2^h]$
- 38.  $V_k = core_k^{\odot expoBk}$
- 39. next k
- 40. Send the vector  $V = (V_1, ..., V_t)$  to Bob and receive vector U

## SESSION KEY OBTAINED BY ALICE

- 41. **for** k=1 **to** t
- 42. A-KEY<sub>k</sub> = Per[ $V_k^{\odot expoAk}$ ] (mod 2<sup>h</sup>)
- 43. next k
- 44. A-CONCAT = A-KEY<sub>1</sub> || A-KEY<sub>2</sub> ||... || A-KEY<sub>t</sub>
- 45.  $KEY_{alice} = H(A-CONCAT)$

## SESSION KEY OBTAINED BY BOB

46. for k=1 to t 47. B-KEY<sub>k</sub> = Per[ $U_k^{\bigcirc expoBk}$ ] (mod 2<sup>h</sup>) 48. next k 49. B-CONCAT = B-KEY<sub>1</sub> || B-KEY<sub>2</sub> ||... || B-KEY<sub>t</sub> 50. KEY<sub>bob</sub> =H(B-CONCAT)

#### 9. Algorithm 1: keys equality proof

#### Lemma 1:

The keys given by Algorithm 1 are equal,  $A-KEY_{alice} = B-KEY_{bob}$ 

#### Proof:

The result follows from the fact that the matrix powers of equal base commute in the matrix ring, and hence  $U = core^{\odot expoA}$  and  $V = core^{\odot expoB}$  satisfy  $U \odot V = V \odot U = core^{\odot expoA.expoB} = core^{\odot expoB.expoA}$ 

#### 10. Derived cipher algorithm

## ALGORITHM 2: PQC multiKEP + ElGamal cipher

Observation: Bob sends a message to Alice. Vector U was received by Bob

Insert here algorithm 1

## ELGAMAL (C, D):

- 1. Select msg string padded to 512-bits
- 2. C = V
- 3.  $D = H(compact Kb) \bigoplus msg$
- 4. Send (C, D) to Alice

#### ALICE RECOVERS THE MESSAGE FROM BOB

- 5.  $Ka = C^{\odot expoA}$
- 6. compact  $Ka = Per[Ka] \pmod{2^h}$
- 7.  $msg = D \oplus H(compact Ka)$

#### **11. KEP and ELGAMAL cipher protocols**

It is necessary to define a protocol allowing for an interchange of information between Alice and Bob asynchronously to achieve the following objectives:

- deferred communications
- check the integrity of the exchanged information
- mutual authentication to avoid attacks from active adversaries (e.g., man-in-themiddle)
- block replay attacks
- availability of the exchanged information
- perfectly defined formats

The following protocol aims to fulfill these requirements.

## **PROTOCOL: KEP AND CIPHER PUBLIC DATA EXCHANGES**

**INPUT:** any kind of data to be exchanged between entities.

OUTPUT: encapsulated message (msg).

## **INITIAL CONFIGURATION:**

msg: any kind of information to be exchanged between entities.

Universal-Keyed Message Authentication Code (UMAC): here proposed to assure strong symmetric authentication [3, 11].

ID: any elsewhere predefined and sender-receiver shared identification tag. K: sender-receiver shared key.

Tag: a smart label that can store any sort of information from identification numbers as a brief description for each entity. Here, the tag is Tag = HMAC-SHA3-512 (HM  $\parallel$  Nonce). See Fig 1 and more in [3]

HM:  $NH_K(msg_1) \parallel NH_K(msg_2) \parallel \cdots \parallel NH_K(msg_r) \parallel$  Len; see the NH definition in [3].

Nonce: pseudorandom and unique number that changes with each generated tag.

Timestamp: formatted date and time.

## **MESSAGE AUTHENTICATION**

- 1. Acquire K and msg
- 2. **Define** a fixed-length Nonce
- 3. Generation of UMAC/SHA3-512/ID/Data
- 4. Encapsulate the concatenation of msg || UMAC/SHA3-512 (HM || Nonce) || Timestamp into a file
- 5. Send the file and Nonce to the receiver

#### MESSAGE VALIDATION

- 1. Acquire at any time the sent file
- 2. Recover msg and UMAC/SHA3-512 (HM || Nonce)
- 3. Verify integrity and sender's identity using K, Nonce, and msg
- 4. Accept or Dismiss msg according to the verification result

#### 12. Security of the public storage of Px products against analytical attacks

The factorizations  $U_k = A1_k$ .  $B1_k \pmod{p}$ , k=1,...,t can be solved in  $U_k \in \mathbb{R}^{n \times n}$ ,  $A1_k \in \mathbb{R}^{n \times m}$ ,  $B1_k \in \mathbb{R}^{m \times n}$  (real realm), using the SVD (Singular Value Decomposition) if there are no restrictions regarding the nonnegativity of the factors, but if they are imposed as in our proposal, then Vavasis and references therein [23] proved that the problem is NP-hard in the continuous case. For the Boolean case, N. Gillis [10] wrote: "...for exact factorizations, the rank-one problem is trivial. For higher ranks, Boolean factorization is equivalent to finding a rectangle covering the matrix U. This is equivalent to the so-called biclique problem (given a bipartite graph defined by U, find the smallest number of complete bipartite subgraphs that cover the graph), which is NP-complete, as proved in [21]. (sic)". Boolean NP-complete complexity was formally proven by Miettinen and Neumann [17].

#### 13. Semantic security of algorithm 2

Here, we provide an informal view of this aspect based on concepts mostly derived from Bellare's work [1]. In summary, semantical security measures the resistance of any encryption algorithm to attacks using chosen plaintext or ciphertext selected by the attacker, who has access to the encryption and decryption modules working as oracles without knowledge of the key selected for enciphering. The semantic security term is strongly related to other definitions: the one-way functions and the non-malleability of ciphertexts.

Indistinguishability under a chosen plaintext attack (represented as IND-CPA) is equivalent to the property of semantic security and is considered a basic requirement for most <u>provably</u> <u>secure</u> public-key cryptosystems [1]. One-way refers to bidirectional functions that have a probabilistic-polynomial time algorithm that converts domains into codomains, but no such algorithm is known that inverts the procedure. Non-malleability refers to the resistance to slightly modifying the ciphertext to obtain meaningful recovered plaintext. The next concept to define is the indistinguishability of different ciphertexts of two similar but different plaintexts; an attacker cannot assign a ciphertext of one of them to any one of the plaintexts. This feature is generally presented as a game between a challenger (the algorithm defender) and an adversary (the algorithm attacker) [1]. The challenger generates a key pair PK, SK (public key and secret key, respectively), based on any security parameter k (which can be the key size in bits), and publishes PK to the adversary. The challenger retains SK.

Here, we describe the adaptative version of the game. The adversary may perform any number of encryptions, decryptions, or any other operations. (The adversary is a probabilistic polynomial Turing Machine) [1]. Eventually, the adversary submits two distinct chosen plaintexts  $m_0$  and  $m_1$  to the challenger (of the same length). The challenger selects a bit  $b \in \{0, 1\}$  uniformly at random and sends the challenge ciphertext C = E (PK,  $m_b$ ) back to the adversary. The adversary is free to perform any number of additional computations or decryptions (except C, this step is the adaptative phase of the attack). Finally, its outputs in polynomial time a guess for the value of b [1].

The adversary wins the game if it guesses the bit b, and winning means the algorithm is not indistinguishable and secure; otherwise, the algorithm reaches the strongest available security level: IND-CCA2. (Indistinguishable chosen ciphertext adaptative attack). Formally, a cryptosystem is indistinguishable under an adaptative chosen ciphertext attack if no adversary can win the above game with probability p greater than  $1/2 + \varepsilon_k$ , where  $\varepsilon_k \leq 1/\Pi^K$  ( $\Pi^K$  arbitrary polynomial function) and  $\varepsilon_k$  is defined as a negligible function in the security parameter k [1]. For Algorithm 2, we prove the IND-CPA security level and explain how it could be easily adapted to reach the IND-CCA2 security level.

The use of the UMAC function [3, 11] in our Protocol fills this need in such a way that the practical implementation of Algorithms 1 and 2 culminates with the desired provable-security level.

## 14. A toy numerical example

#### a. Setup

dim=8; rows=dim; cols=2; h=32

#### **b.** First exchange

A1 =	126	204 4 49 138 132 236 109 240	B1 =	67 254	152 187	149 152		19 74	61 225	159 166	42 152
Pa	$ \begin{array}{r} 195\\ 253\\ 160\\ 230\\ 200\\ 197\\ 29\\ 99\end{array} $	148 219 158 236 204 215	166 70 16 171 57	245 238 50 128 109	221 12 62 248 5 9	255 15 80 52 79 86	222 17 19 16 20	5 3 6 5 0 1 8 1 1 5 5 1	56 92 4		
A2 =	172 117 59 60 192	11 6	$2 = \frac{1}{2}$	12 1 12 2	56 3 32 2	30 1 52 1	27 11		159 182	189 221	202 213
Pb =	52 196 120 224 84 84 196 244	8 200 188 212 104 88 72 164	158	25 165 25 253 9	17 76 122	166 239 109 30 186	23 123 143 203 231 11 91 216	223 80 106 59	1		

c.	Second exc	change									
		0	53	218	13	25	238	59	1	17	
			141 57	163 191	69 140	64 121	239 68	3 167	54 239	39 208	
		02	183	146	138	176	24	166	202		
	core (by A	45	23	198	51	246	77	87	131		
		232	132	138	155	144	19	49	236		
			61 115	36 61	142 111	134 187	253 190	223 137	212 48	204 46	
			115	01		107	170	157	40	40	
			53	218	13	25	238	59	1	17	
			141 57	163 191	69 140	64 121	239 68	3 167	54 239	39 208	
		. 1. \	83	183	146	138	176	24	166	200	
	core (by B		45	23	198	51	246	77	87	131	
			232	132	138	155	144	19	49	236	
			61 115	36 61	142 111	134 187	253 190	223 137	212 48	204 46	
			115	01	111	107	170	157	40	40	
	expoA = 2027723037										
	expoB = 1962405525										
	102	220	129	55	136	241	29	74			
	52	55	33	115	106	162	101	10			
	105 11 _ 226	108 246	31 168	247 104	132 93	21 64	51 39	105 225			
	$U = \frac{220}{101}$	50	167	135	26	150	236	164			
	182	253	34	118	13	36	179	59			
	80	198	47	251	166	252	63	104			
	104	90	199	189	134	166	228	196			
	48	178	46	2	54	35	43	255			
	1 44	30 228	231 56	89 63	249 230	71 132	188 93	58 100			
	v = 107	27	77	104	144	137	196	215			
	155	237	134	215	69	212	249	86			
	231 101	186 11	190 194	211 13	113 233	221 227	174 212	159 74			
	101	51	194 241	233	235 93	164	212 90	127			
d.	Session ke	ve									
u.	8	142	81	79	249	129	125	84			
	51	137	68	84	161	209	209	30			
	107 32	49 51	60	164 250	147	238	81	129			
	$Ka = \frac{32}{42}$	51 220	28 216	230 21	76 210	1 96	17 202	175 249			
	224	224	52	153				68			
	51	177	26	22				246			
	181	51	246	202	188	192	33	35			
	8	142	81	79							
	51 107	137 49	68 60	84 164				30 129			
	32	49 51	28	250			17	175			
	KD = 42	220	216				202				
	224	224	52					68			
	51 181	177 51	26 246					246 35			
					100	, 192	. 33	33			
	Compact V	a = 0	10671	ENG							

Compact Ka = 940671506 Compact Kb = 940671506

#### e. Bob to Alice enciphered message

```
PLAINTEXT msg = secret string (padded to 512-bita)
CIPHERTEXT C = V =
{{155,172,125,25,19,186,176,176}, {31,113,22,102,164,214,92,213},
{153,152,105,47,28,210,155,66}, {207,172,118,190,115,224,88,155},
{58,186,55,245,127,58,46,212}, {48,138,194,247,234,37,149,115},
{211,254,118,16,89,233,7,37}, {172,205,217,27,204,77,79,219}}
HASH (Kb)=
623f543dd1968404add50ce2a3c10a66a9ab182290414544fd0b
4f5218c9b1612487783a08c423b9c5c71e965ee9eb23ca9fdd95e4
5eee79d0759e6868f89163
CIPHERTEXT D =
{17,90,55,79,180,226,164,119,217,167,101,140,196,225,42,70,137,
139,56,2,176,97,101,100,221,43,111,114,56,233,145,65,4,167,88,26
,40,228,3,153,229,231,62,182,126,201,203,3,234,191,253,181,196,
126,206,89,240,85,190,72,72,216,177,67}
```

f. Alice recovers the message Compact Ka = 940671506 RECOVERED msg = secret string

#### 15. Discussion

Algorithm 1 has been implemented in different computer languages and shows that extremely high complexity can be easily achieved on a standard processor. The fact that by modifying the input variables (dim, number of rows, columns, iterations), practically any security level can be easily obtained without resorting to multiple precision leads to very fast implementations. Depending upon the computer architecture and software implementation, larger *dim* values can be used for reaching higher complexity levels. It is particularly important to use the UMAC function in the Protocol because it is similar to Merkle's trees for PQC digital signatures [2] and plays the role of achieving maximal semantic security [1] and simultaneously strengthening its postquantum character.

**Note:** as Black et al. [3] state, "the security of UMAC is rigorously proven, in the sense of giving exact and quantitatively strong results which demonstrate an inability to forge UMAC-authenticated messages assuming an inability to break the underlying cryptographic primitive. (sic)"

## 16. Conclusions

This paper presents a variant of interest in the framework of non-commutative algebraic cryptography. By employing rectangular matrices and their NP-complete factorization products, together with high order powers of these products using finite field operations, potentially significant levels of security could be achieved considering the difficulty of solving the generalized discrete logarithm Diffie-Hellman problem. Increasing the matrices' dimensions, using high-order prime fields, and the number of the algorithm internal cycles increase the security. We propose using the single-step variant to achieve good time performance.

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