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## Design of Multi-Layered Lenses for Resolution Enhancement

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DESIGN OF MULTI-LAYERED META-LENSES FOR  
IMAGE RESOLUTION ENHANCEMENT

A Thesis

by

S M ZIA UDDIN

Submitted to the Graduate College of  
The University of Texas Rio Grande Valley  
In partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE IN ENGINEERING

May 2021

Major Subject: Electrical Engineering



DESIGN OF MULTI-LAYERED META-LENSES FOR  
IMAGE RESOLUTION ENHANCEMENT

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May 2021



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## ABSTRACT

Uddin, S M Zia, Design of multi-layered meta-lenses for image resolution enhancement, Master of Science (MS), May 2021, 120 pp., 3 tables, 53 figures, references, 50 titles.

Lenses are important optical device having numerous applications in our day-to-day life. As the conventional lenses, we use concave or convex lenses. These lenses are made with natural materials and the image quality imperfect. Furthermore, they are bulky, and design is complex. Consequently, mass production is difficult.

The shapes of entrance and exit faces of lenses has impact on its imaging capability [30]. The Lens material also play a vital role for reconstructing the image. Flat lenses can be considered as a good alternative of the conventional lenses. One of the advantages of this Flat lenses is that it can restore both the propagating and evanescent waves in the image plane. The conventional lenses only restore the information contained by the propagating waves and the evanescent waves that contains small subwavelength information of the object decays in the image plane. Flat Lenses are preferable in terms of image quality.





## DEDICATION

My mother REHANA AKTHER, my father SYED MD. NASIM UDDIN and my sister SYEDA NABILA SULTANA wholeheartedly supported and inspired me. I am grateful to them. Thank you for love and patience.



## ACKNOWLEDGMENTS

I am truly grateful to my advisor Dr. Nantakan Wongkasem for her remarkable guidance, support, advice, and patience throughout the research. I have learned a lot from her. She taught me how to think in an innovative way. I also would like to thank Dr. Foltz, Dr. Zhou, Dr. Huq, Dr. Ghalia, Dr. Islam for their suggestions and advice throughout the semesters. I should mention especially GM Shashi, Sazid Hasan for their support in pursuing my master's degree. I also would like to mention Md. Ali Zaker Shawon, Md. Shakil Arman, Md. Ashif Hossain Chowdhury and Md Shoriful Islam for their support throughout the master's. I also thank Dr. Parwinder Grewal, who initiated financial support in UTRGV, that helps to prosper in research works. I also got a lot of help from Bangladeshi Students and Community living in the Rio Grande Valley during my research period. My heartiest thanks to them. Finally, I would like to thank Dr. Wongkasem for inspiring me all the time.



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## CHAPTER I

### INTRODUCTION

Meta-lenses or metamaterial (MTM)-based lenses, ultra-thin flat lenses, hold the promise to revolutionize optics. Meta-lenses have been proposed by respective consideration of propagating and evanescent waves for far-field and near-field operation, to manipulate light to an aberration-free focus, as well as to amplify evanescent waves. With a complete planar symmetry and no principal optical axis, meta-lenses with an infinite aperture lead to unique imaging possibility. Meta-lenses can be made as a single metallic layer, metal-dielectric multi-layers, and patterned metasurface structures, focusing on developing a planar homogeneous medium that is either isotropic with negative index, or anisotropic with negative diagonal epsilons.

In this research, we propose novel single- and multi-layered flat meta-lenses. The focus is on getting a higher resolution image by enhancing the evanescent waves, which greatly relies on the materials chosen for conductive lens and dielectric substrate layers. Silver (Ag), Titanium oxide (TiO<sub>2</sub>), and commercial conductive polymer, poly(N-isopropylacrylamide) (pNIPAM), based on their conductivity and complex permittivity at the operating visible frequency, 821 THz are selected to design for the single-layered lenses. Polymethyl methacrylate (PMMA) is chosen as a substrate for the Ag and conductive polymer, while SCOTT-SF (Dense flint) glass is chosen as a substrate for the TiO<sub>2</sub> lens, respectively. It has shown that the enhanced transmission of the evanescent components occurs only in a finite band centered at a surface plasmon wave vector, where the photon impinging on the metal surface can excite a collective oscillation of conduction



electrons, resulting in a surface plasmon with maximum efficiency. The peak enhancement for various thicknesses of the stacked multilayer lens (Ag-TiO<sub>2</sub>) is investigated. Measuring the electric energy density serves to quantify the transitivity through various points of the meta-lenses. The thickness of each layer is varied to observe its independent changes to the overall transmissivity of the lens. Comparative simulations of the image of the sub-diffractive object, with and without the proposed meta-lenses proves the super-resolving capabilities of the lens slabs at the proposed meta-lenses' paraxial image location. Furthermore, these spatial energy density distribution results highlight the super-resolution imaging found in our proposed single-layered and multi-layered lenses.

## CHAPTER II

### THEORIES AND LITERATURE REVIEWS

#### **2.1: Idea of Metamaterials**

Metamaterials are engineered materials that possess the unconventional material properties. In 1968, Veselago first theoretically proposed the idea of a medium which has both  $\epsilon$  and  $\mu$  negative and this type of medium would show reversal of Doppler shift and Cherenkov radiation, anomalous refraction, or reverse equivalence of Snell's law [1,2,3].

In 1995, Pendry gave the idea that simple metallic microstructure comprising a regular array of thin wires could provide negative permittivity [4] and in 1999, he also presented that a split ring shape placed along the direction of propagation could display negative permeability [5]. In 1999, D. R. Smith and his team experimentally demonstrated that a periodic array of interspaced conducting nonmagnetic split ring resonators and continuous wires forms a composite medium which can be considered as new metamaterial. [2]

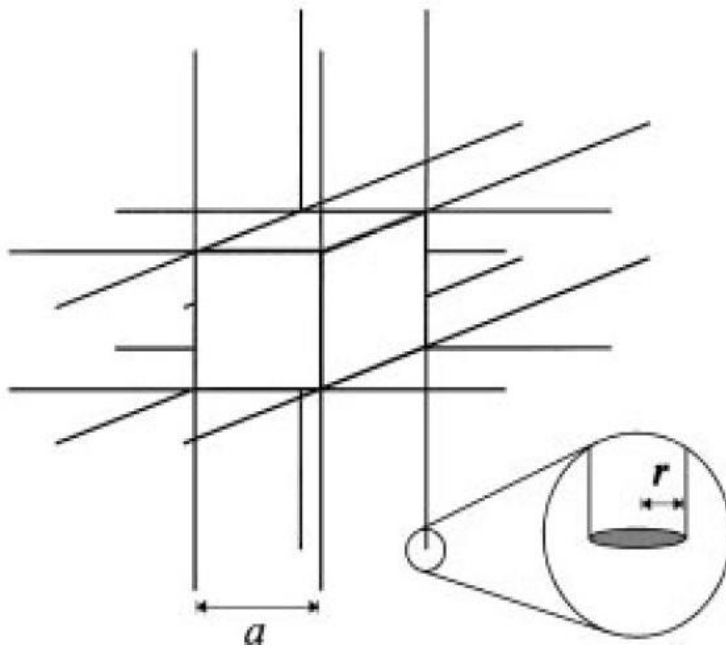


Figure 2.1: Regular Array of thin wires [4]

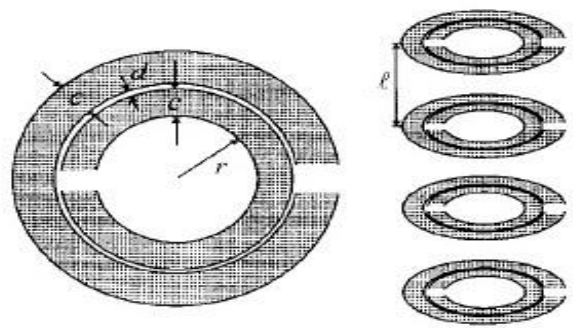


Figure 2.2: Split ring structure [5]

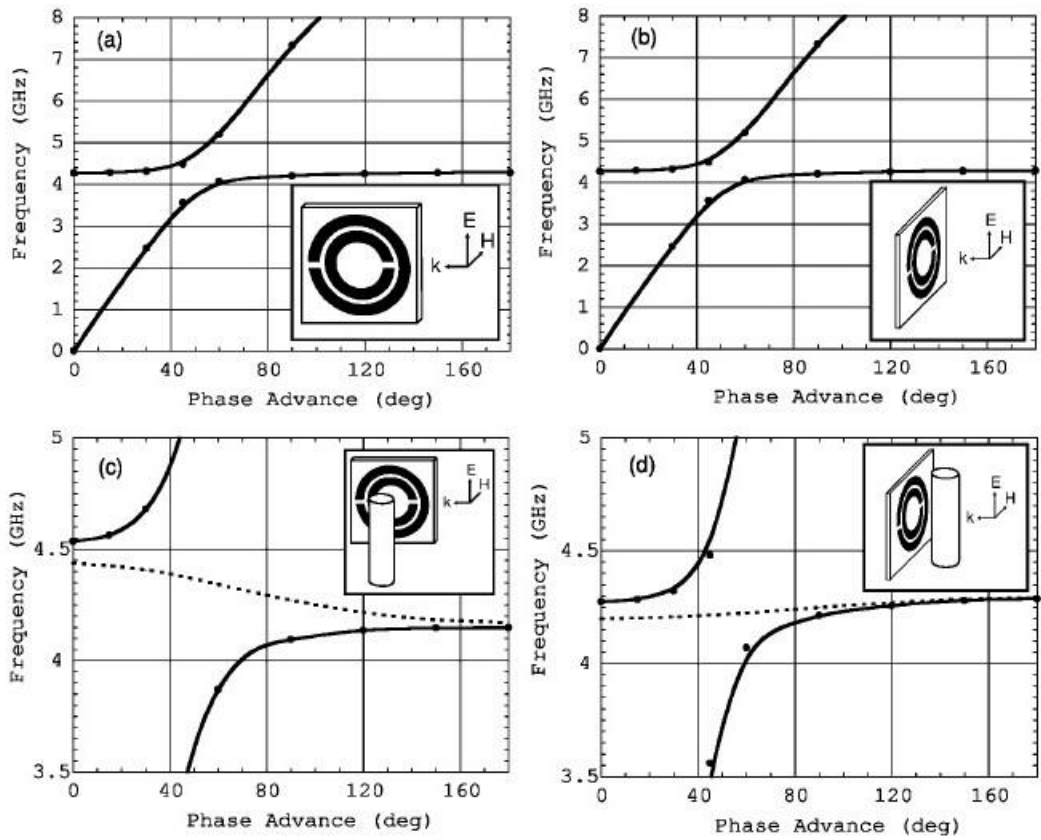


Figure 2.3: Combination of Split-ring structure and Thin wire [2]

## 2.2: Meta-Lenses

The meta-lenses or metamaterial-based lenses possess the property of super-resolution and desirable features of traditional lenses. [6]. In 2000, Pendry pointed out an idea of “superlens” that is free from the limitation of traditional lenses. He suggested that a negative refractive index material can focus light where both the dielectric function ( $\epsilon$ ) and magnetic permeability ( $\mu$ ) are negative. It is important to that there is relation between surface plasmon and the focusing action where  $\epsilon = -1$  is required for the existence of surface plasmon. To focus image and to restore amplitude of the higher order Fourier components, Pendry used a silver

slab. In these lenses, both the propagating and evanescent waves has significant contribution for the image resolution [7].

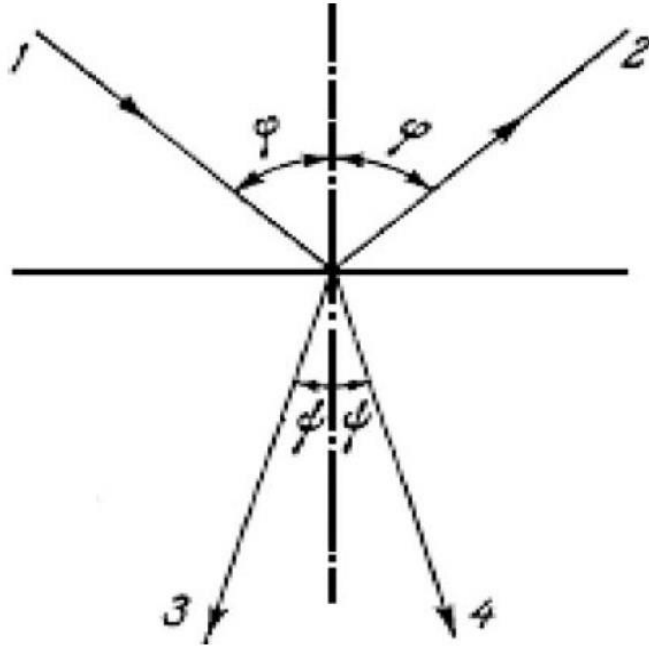


Figure 2.4: Snell's law for positive  $\epsilon$  &  $\mu$  (1-4) and negative  $\epsilon$  &  $\mu$  (1-3). [9]

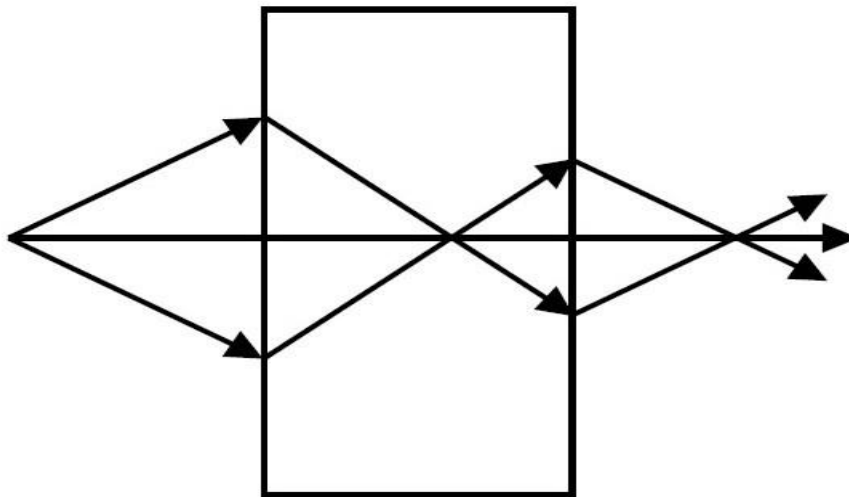


Figure 2.5: Double focusing through negative index material.[7]

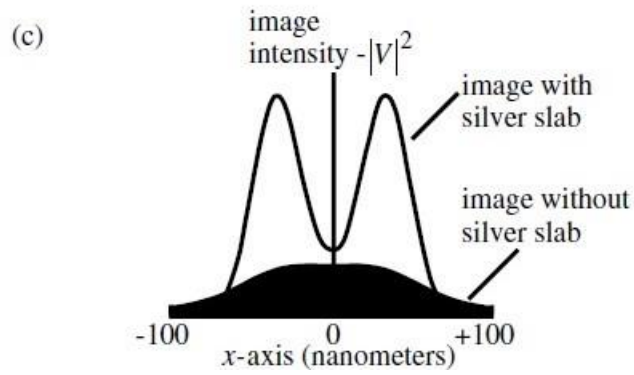
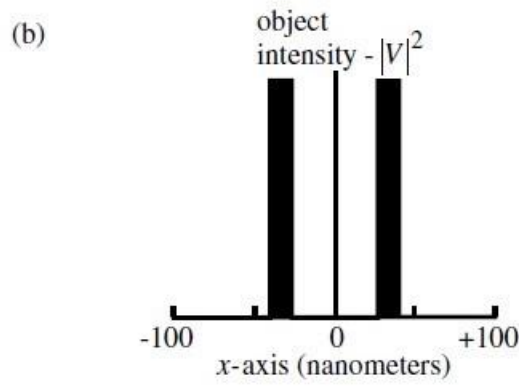
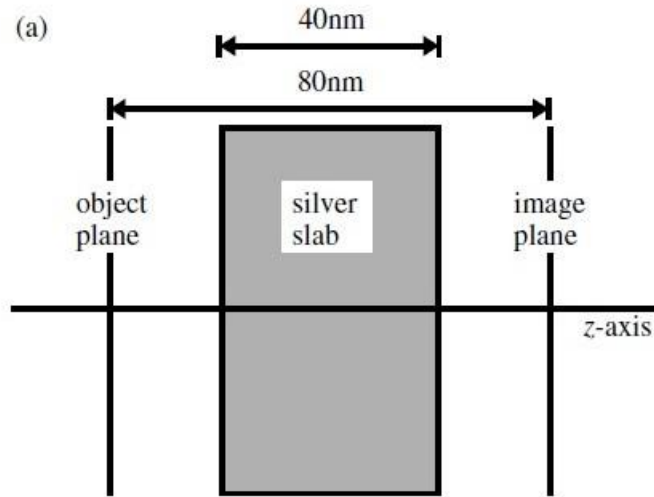


Figure 2.6: Pendry's silver lens (a) Imaging through silver lens (b) The electrostatic field in the object plane. (c) The electrostatic field in the image plane with and without the silver slab in place.[7]

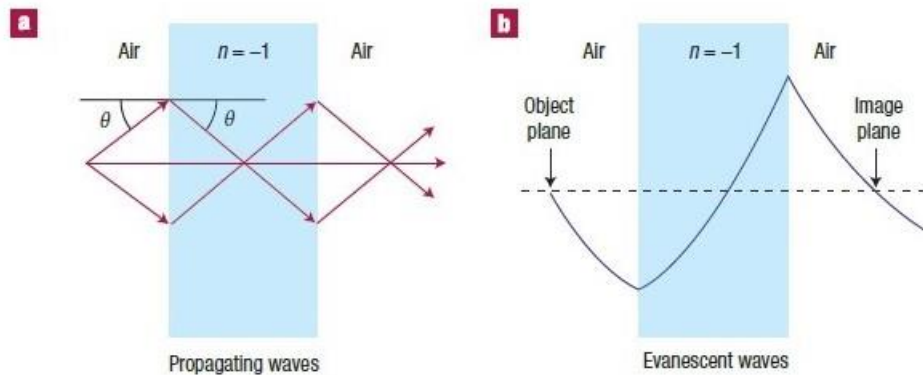
Emitted or scattered light from an object contains both propagating and evanescent waves and these evanescent waves carry the subwavelength detail of the object. In case of positive refractive index medium, the evanescent wave decays exponentially and for this reason they cannot be collected by the traditional lens. As a result, the image becomes diffraction limited. Negative Index Material (NIM) gives a solution to this.

When an object is placed near an NIM lens, the near-field evanescent waves are strongly improved through the lens. After coming out of the lens, evanescent waves deteriorates again until their amplitudes reach their original level at the image plane. In addition to that, the propagating waves go through the NIM lens with the occurrence of negative refraction and reversed phase front simultaneously and in the image plane there is zero phase change. Thus, a perfect image is formed though completely recovering both propagating and evanescent waves in phase and amplitude. The resolution of conventional lens is diffraction limited whereas the resolution of the superlens is determined by the enhancement and by the number of restored evanescent modes.[8]

In case of subwavelength scale, the electrostatic limit can be applied. Here, the electric and magnetic responses of a material decouple. To support resonant surface waves, for one specific polarization (transverse magnetic, TM or transverse electric, TE mode) only one material property ( $\epsilon$  or  $\mu$ ) needs to be negative. Single negative index medium can act as a superlens for single polarization of light. A silver slab can be considered as a natural candidate for superlens effect which has negative  $\epsilon$  at optical frequency. Superlens properties has been investigated in terms of resolution, inherent loss and so on.

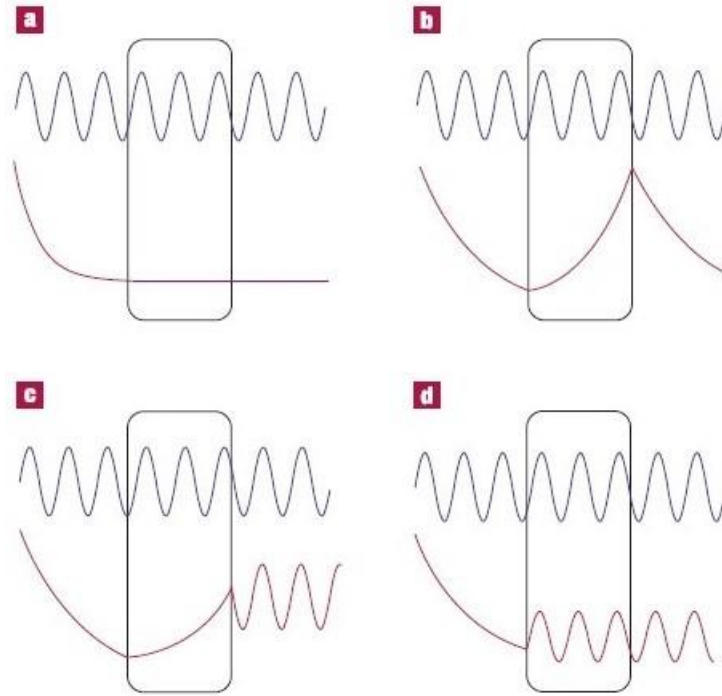
In 2003, it has been found in an optical experiment that silver lens exhibits superlens effect by enhancing the evanescent wave. It has also been observed in the experiment that the optimized thickness of the film/material and surface roughness must be considered to get the best outcome from the superlens.

In microwave and optical frequencies, superlens has different designs. Wires and split ring resonator form a microwave metamaterial which demonstrate superlensing effect in microwave range. Thin slab of silver works as a superlens in optical frequency and could image 60nm features ( $\lambda/6$ ) which is well below the diffraction limit. [8]



**Figure 2.7:** (a) Propagating and (b) Evanescent waves through the NIM [8]





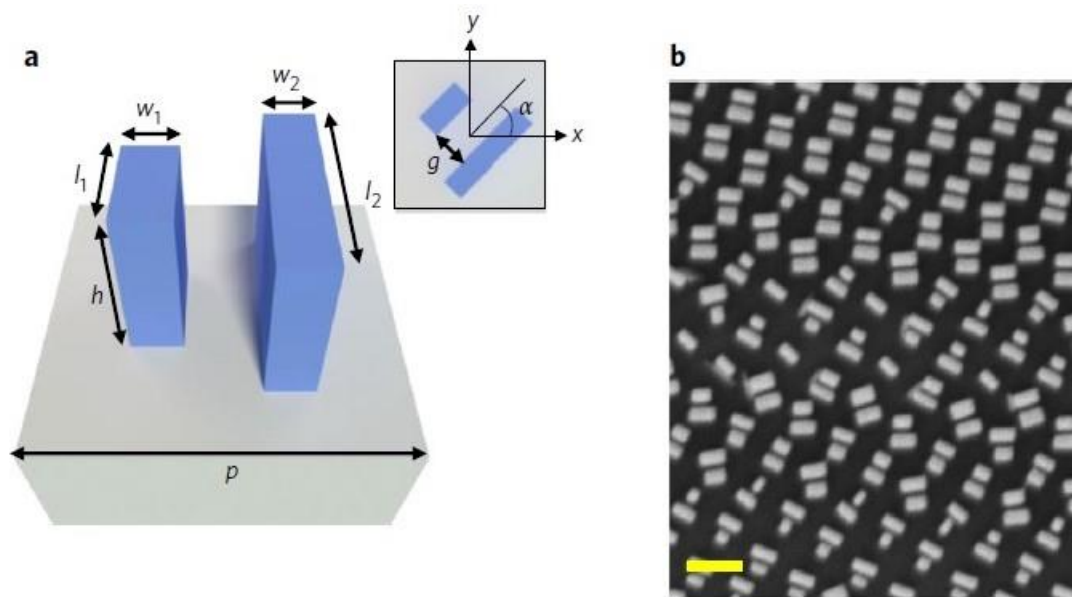
**Figure 2.8:** Comparison of various types of optical lenses. a, Conventional lens. b, Near-field superlens. c, Far-field superlens. d, Hyperlens. The wavy curves and smooth curves represent propagating waves and evanescent waves, respectively. [8]

### 2.3: Classification of Meta-Lenses

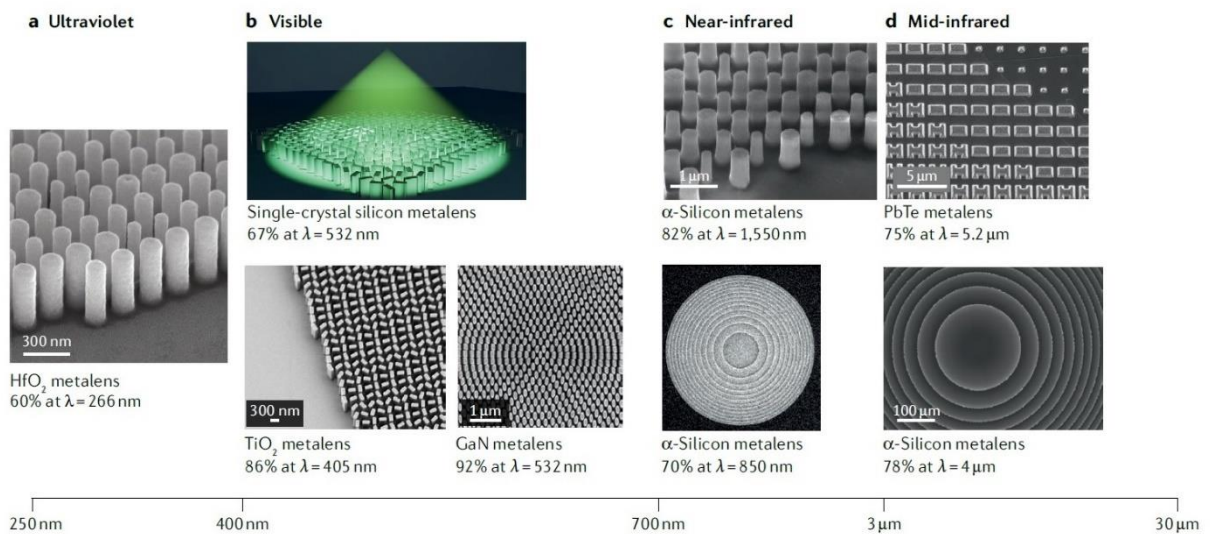
Meta-lenses can be classified into patterned which is meta-surface based and/or layered lens. [10]

#### 2.3.1: Patterned Meta-Lenses

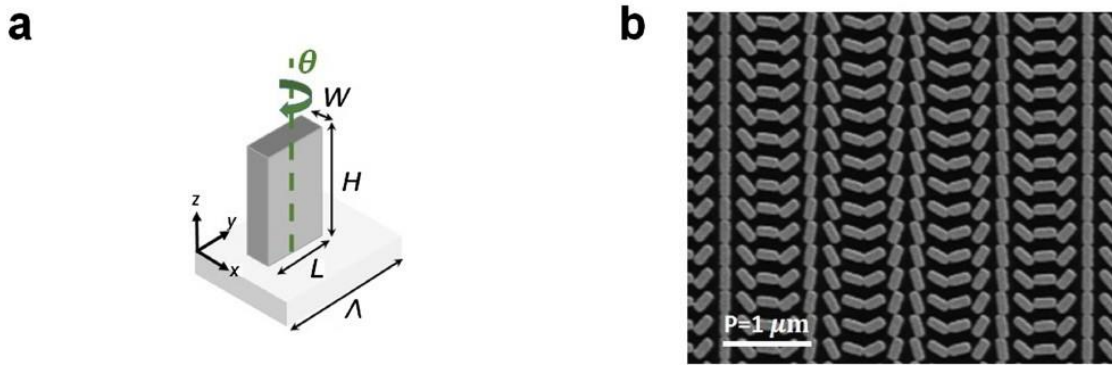
Subwavelength spaced nanostructures at an interface forms meta-surface which can control properties of light which includes phase, amplitude, and polarization of light. [11]



**Figure 2.9:** a, Schematic of a metalens element. b, Scanning electron micrograph of a region of a fabricated metalens [12]



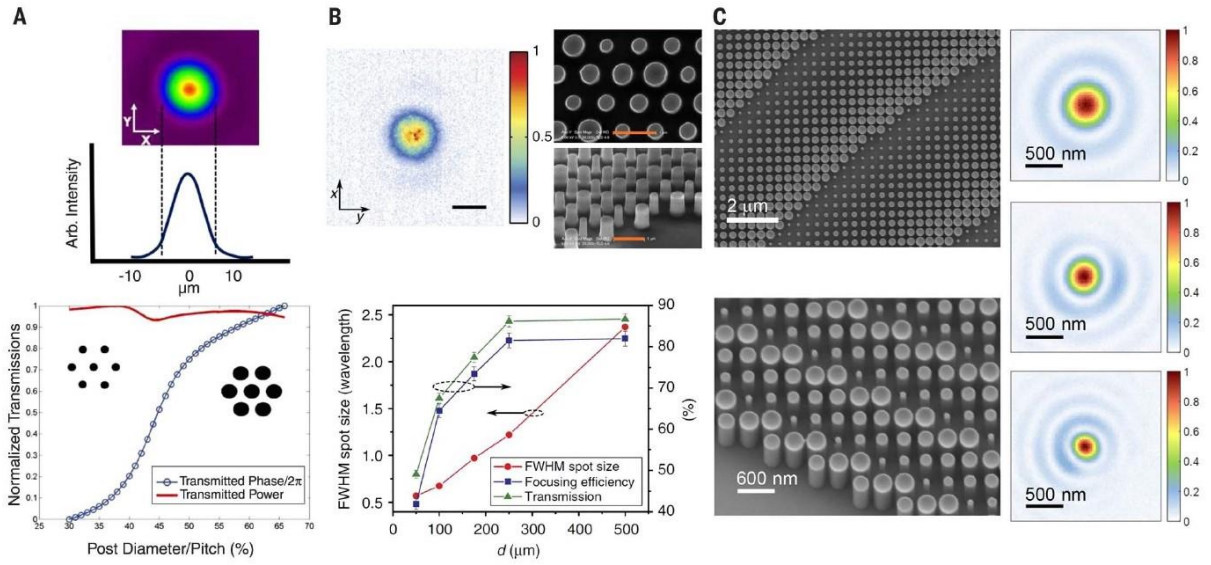
**Figure 2.10:** Chromatic metalenses across the electromagnetic spectrum [13]



**Figure 2.11:** (a) Schematic of a single nanofin on a glass substrate. (b) Top-view scanning electron microscope image of a meta-grating comprised of arrays of nanofins [14]

	Plasmonic Metasurfaces	Reflectarray	Dielectric Metasurfaces and High-Contrast Transmitarray	
Resonance tuning	Maximum phase delay $\pi$	(a)	(b)	(c)
Pancharatnam-Berry phase	(d)	(e)	(f)	(g)
Hybrid: Pancharatnam-Berry and resonant tuning	(h)	(i)	(j)	(k)
	sub- $\lambda$ Regime		Near- $\lambda$ Regime	

**Figure 2.12:** This table summarizes different solutions proposed to address wavefront control with metasurfaces [15]



**Figure 2.13:** All-dielectric polarization-independent transmissive meta-lenses [16]

### 2.3.2: Layered Meta-Lenses

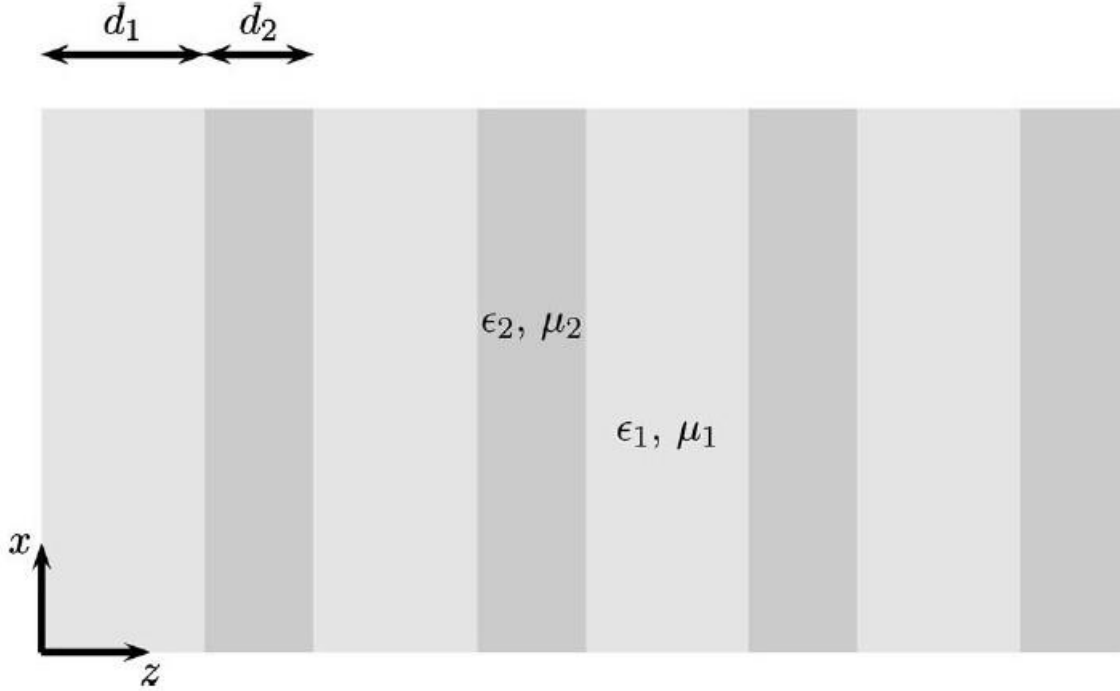
In these meta-lenses, isotropic medium where  $n=-1$  can be used or anisotropic medium with a constitutive tensor having diagonal components of opposite sign where  $\epsilon_1\epsilon_2=-1$  can be used.[10]

The following permittivity tensor form is required for the effective propagation of transmission line modes: [17]

$$\bar{\bar{\epsilon}} = xx + yy + \alpha zz \dots \dots (1)$$

In case of natural materials, it is impossible to get high permittivity value in optical range. But through metamaterial where layered metal-dielectric structures have been used, it is possible to attain high permittivity value. [17]

Ramakrishna *et al* proposed a metamaterial which consists of alternating metal and dielectric layers. This layered system can act as a superlens.[19]



**Figure 2.14:** System geometry. The layers are infinite in extent in the x-y plane. [18]

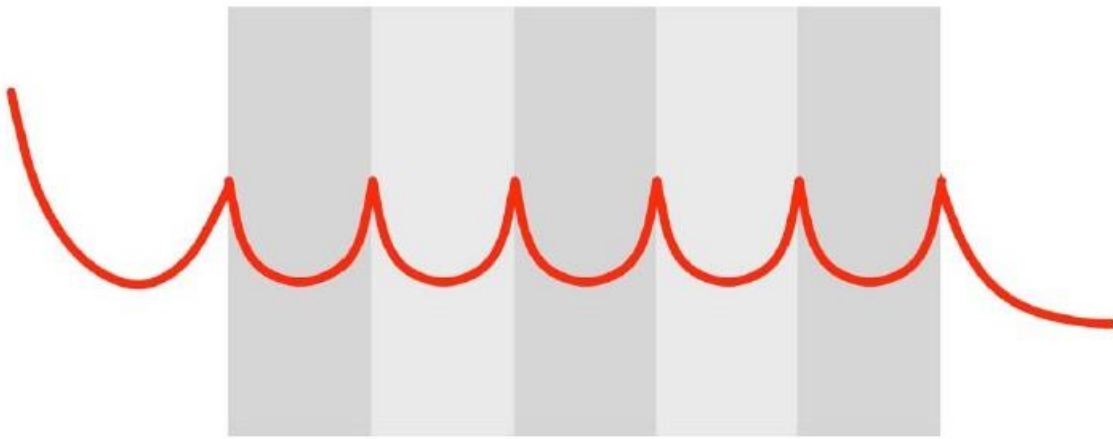
In Figure 14, Layered structure has been presented. This type of metamaterial can be described through the following permittivity tensor form: [17]

$$\bar{\bar{\epsilon}} = \epsilon_{\parallel} (xx + yy) + \epsilon_{\perp} zz \dots \dots (2)$$

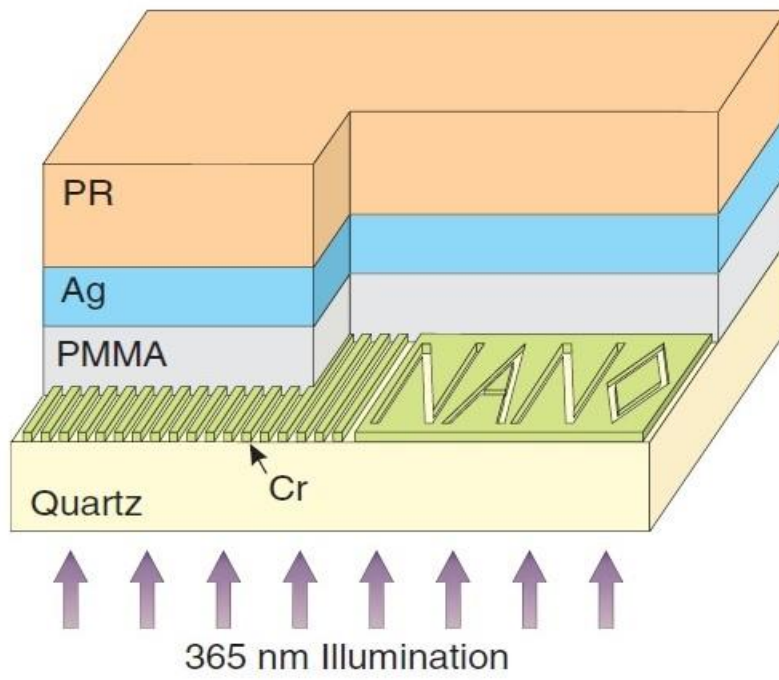
where,

$$\epsilon_{\parallel} = \frac{\epsilon_1 d_1 + \epsilon_2 d_2}{d_1 + d_2}, \quad \epsilon_{\perp} = \left[ \frac{\epsilon_1^{-1} d_1 + \epsilon_2^{-1} d_2}{d_1 + d_2} \right]^{-1} \dots \dots (3)$$

To achieve a permittivity tensor like equation 1, the parameter for the layered metamaterial should be  $\epsilon_1/\epsilon_2 = -d_1/d_2$  and  $\epsilon_1 + \epsilon_2 = 1$ . From the aforementioned equations, it can be said that one of the layers need to have negative permittivity and so one dielectric and one metallic layer is necessary to form a layered metamaterial structure. For example,  $\epsilon_1 = 2$ ;  $\epsilon_2 = -1$  and  $d_1/d_2 = 2$  can be chosen to design a layered structure. [17]



**Figure 2.15:** Schematic diagram of the transmission of normally evanescent waves, showing the role of surface plasmons. The line represents the electric field strength. [18]



**Figure 2.16:** Optical superlensing experiment. [20]

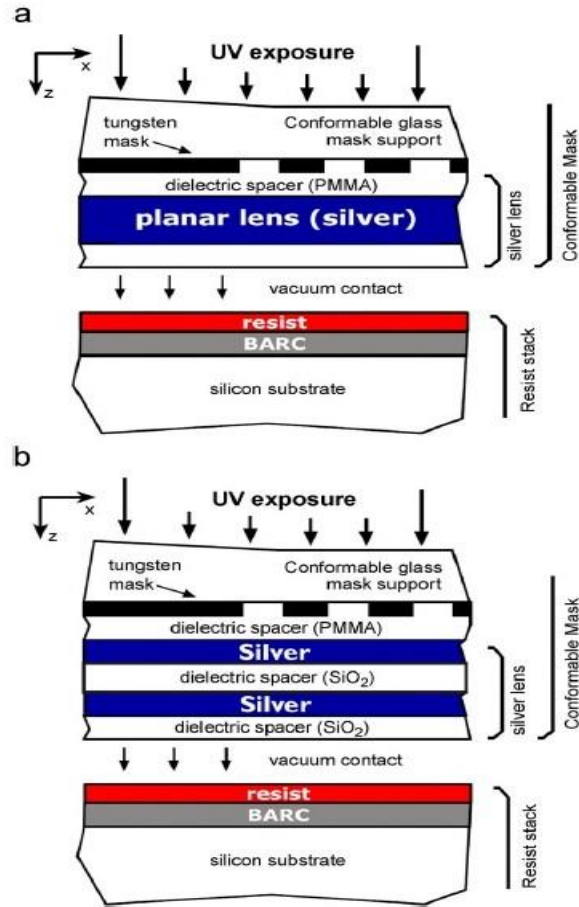


Figure 2.17: Schematic diagrams of (a) a single-layer and (b) double-layer super lenses [21]

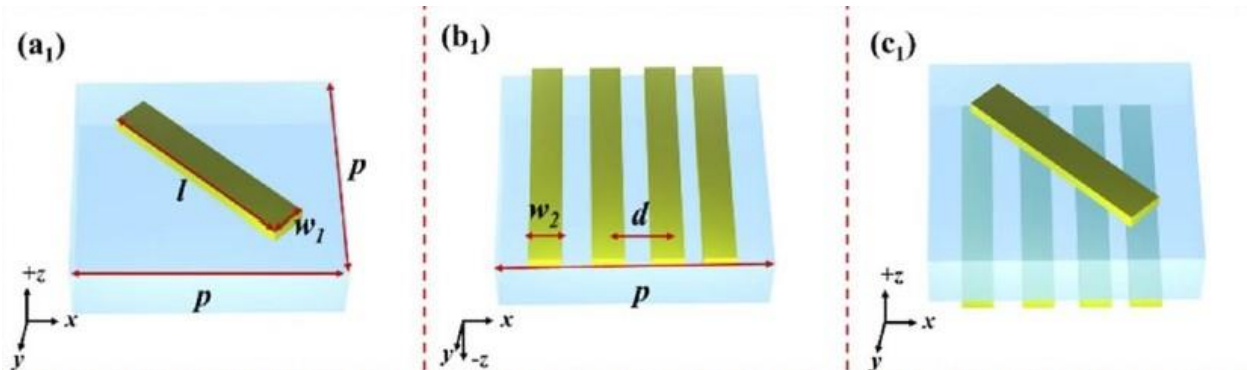


Figure 2.18: Design of dual-layered meta-surfaces [22]



## CHAPTER III

### RESEARCH METHODOLOGY

The source excitation was set to enter the lens through the substrate material for each 3 lenses. The PR (Photoresist) was used as a common coating material for all the lenses to create image distance. Electric energy density was monitored at the frequency of 821THz to evaluate the effect of evanescent waves.

We observed how the E-energy density has been changed while passing through the prime lens material (Ag, TiO<sub>2</sub> or PNIPAM) as well as the substrate and coating material. The particle size or skin depth of the main lens material for each lens was studied as it points out to the least feasible thickness of the material for fabrication purposes. All the thicknesses were in the nanoscale range. A full-wave excitation, operating from 800-850 THz covering the optical frequency range was run perpendicularly along the z axis to the lens structure plane.

The periodic boundary was set so the structure was repeated in both axes, x, and y, on the structure plane. All the simulations were done using CST Microwave Studio software. TM polarization mode was performed for all the simulations where the value of  $\mu$  was kept 1 for all three lenses and complex value of  $\epsilon$  was used to materialize the property close to the ideal superlens.

Multi-Layered Lenses have been formed though using single layered lenses' materials.

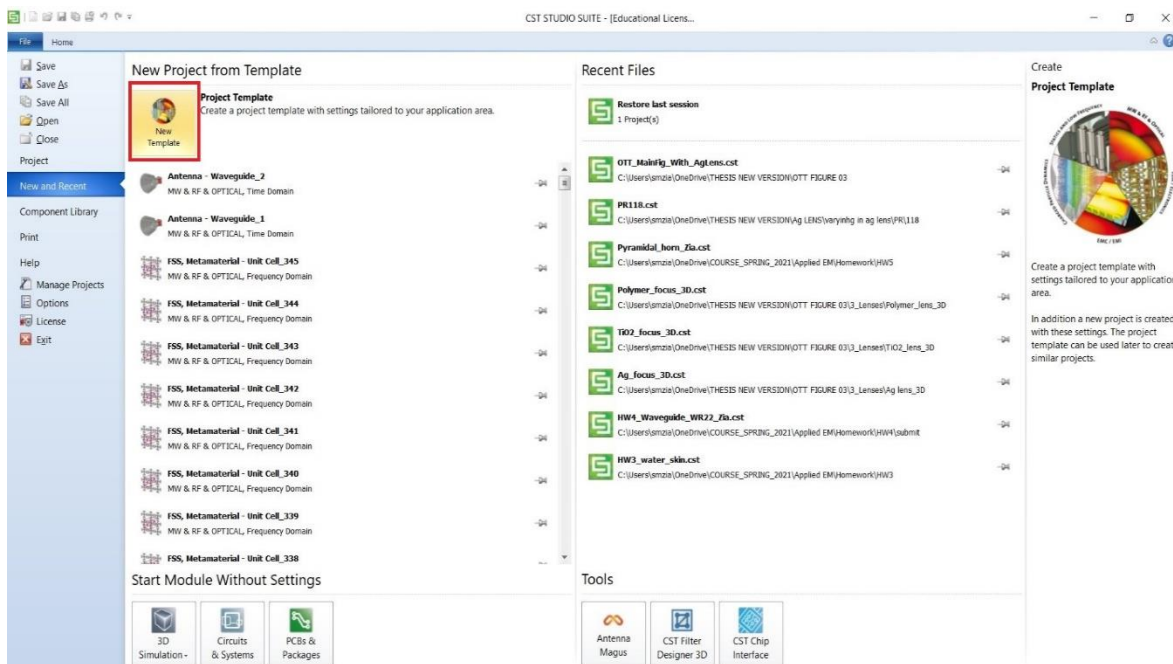
The E-energy density of the multi-layered lenses has also been observed. E-energy density demonstrates the amplification of evanescent waves.

The focusing performance of each single-layered and multi-layered meta-lenses has also been observed. The parameter used here is E-field. At the focusing point, the E-field intensity is highest than its surroundings.

The meta-lenses performance has been monitored through its evanescent wave amplification and also, it's focusing.

### 3.1.1 1-D electric energy density analysis

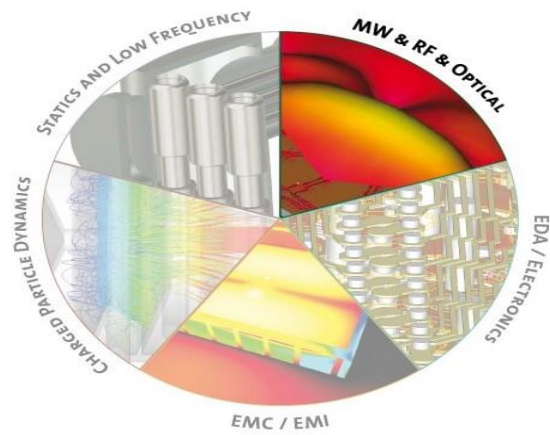
#### Step 1: Click on New Template



#### Step 2: Click on MW & RF & Optical

### Create Project Template

Choose an application area and then select one of the workflows:

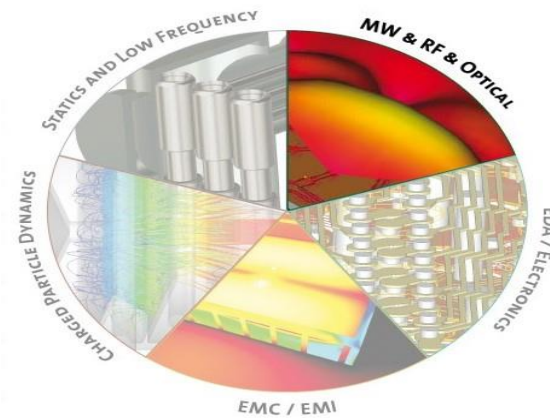






Next > Cancel

### Step 3: Select Periodic structures

### Create Project Template

Choose an application area and then select one of the workflows:



-  **Antennas**
-  **Circuit & Components**
-  **Radar Cross Section**
-  **Biomedical, Exposure, SAR**
-  **Optical Applications**
-  **Periodic Structures**

Next > Cancel

### Step 4: Select FSS, Metamaterial-Unit Cell

### Create Project Template

MW & RF & OPTICAL | Periodic Structures

Please select a workflow:

FSS, Metamaterial – Unit Cell

FSS – Full Structure

Metamaterial – Full Structure

< Back   Next >   Cancel

**Step 5:** Select phase reflection diagram.

### Create Project Template

MW & RF & OPTICAL | Periodic Structures | FSS, Metamaterial – Unit Cell

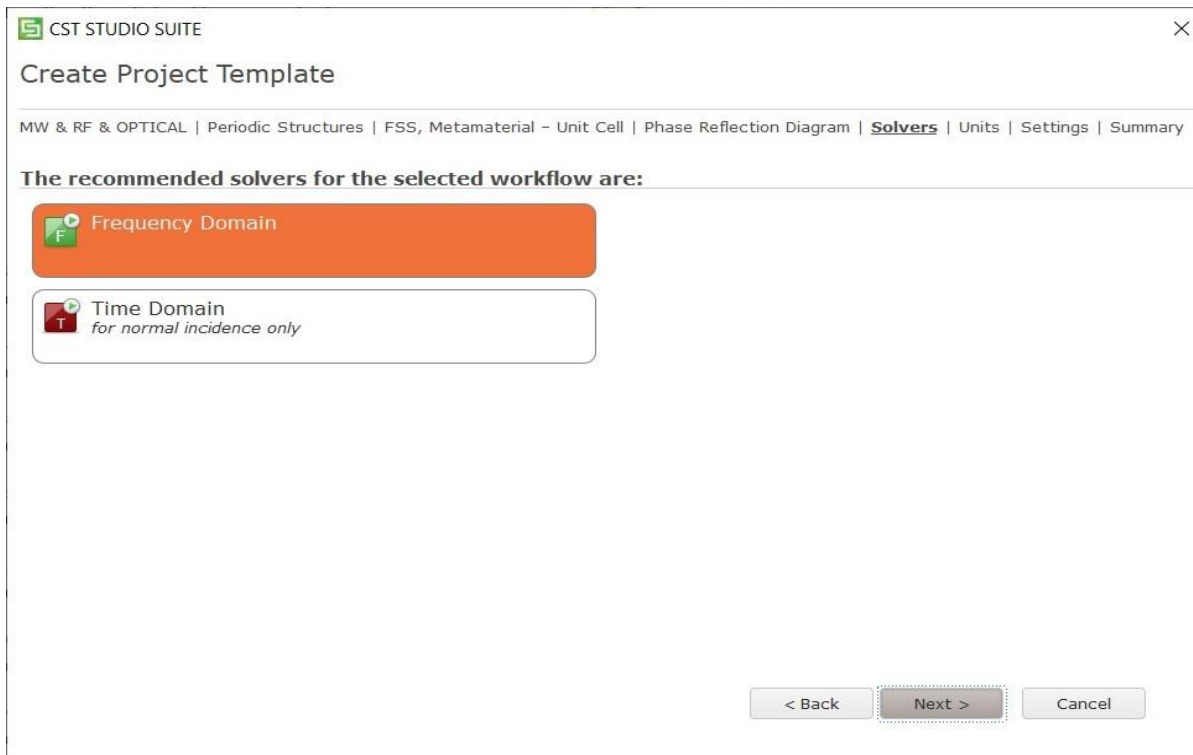
Please select a workflow:

Dispersion Diagram

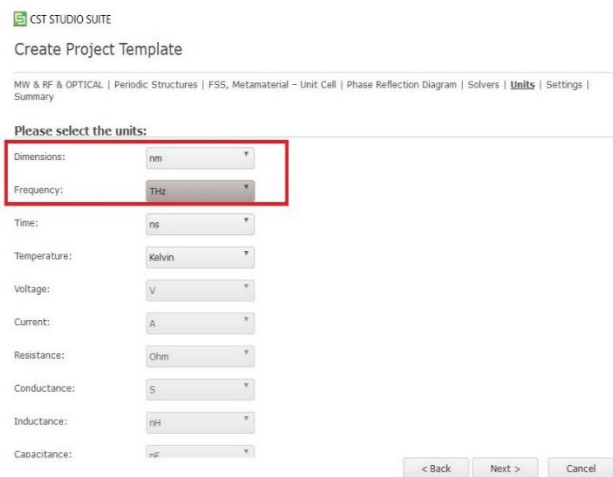
Phase Reflection Diagram

< Back   Next >   Cancel

**Step 6:** Select Frequency Domain



### Step 7: Dimensions in nm & frequency in THz:



## Step 8: Define at 800; 821; 850; 900 THz

CST STUDIO SUITE

Create Project Template

MW & RF & OPTICAL | Periodic Structures | FSS, Metamaterial - Unit Cell | Phase Reflection Diagram | Solvers | Units | **Settings** | Summary

Please select the Settings

Define using:  Frequency  Wavelength

Frequency Min.: 800 THz

Frequency Max.: 900 THz

Monitors:  E-field  H-field  Farfield  Power flow  Power loss

Define at: 800;821;850;900 THz  
Use semicolon as a separator to specify multiple values.  
e.g. 20;30;30.1;30.2;30.3

Calculate reflectance, transmittance and absorbance

< Back Next > Cancel

## Step 9: New project template has been created.

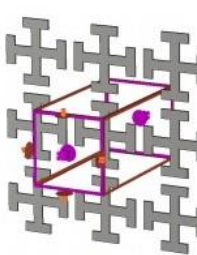
CST STUDIO SUITE


Create Project Template

MW & RF & OPTICAL | Periodic Structures | FSS, Metamaterial - Unit Cell | Phase Reflection Diagram | Solvers | Units | Settings | **Summary**

Please review your choice and click 'Finish' to create the template:

Template Name: FSS, Metamaterial - Unit Cell\_346

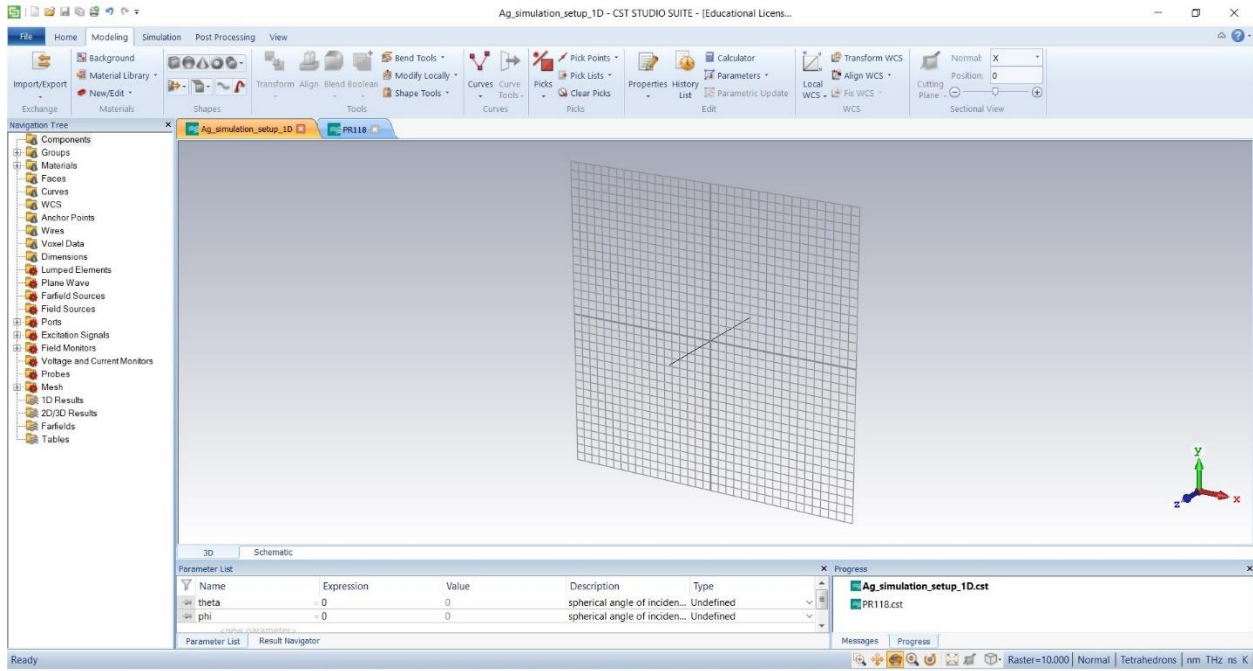


Solver	Units	Settings
 Frequency Domain	- Dimensions: nm - Frequency: THz - Time: ns - Temperature: Kelvin	- Frequency Min.: 800 THz - Frequency Max.: 900 THz - Monitors: E-field, H-field - Define At: 800;821;850;900 - Calculate reflectance, transmittance and absorbance

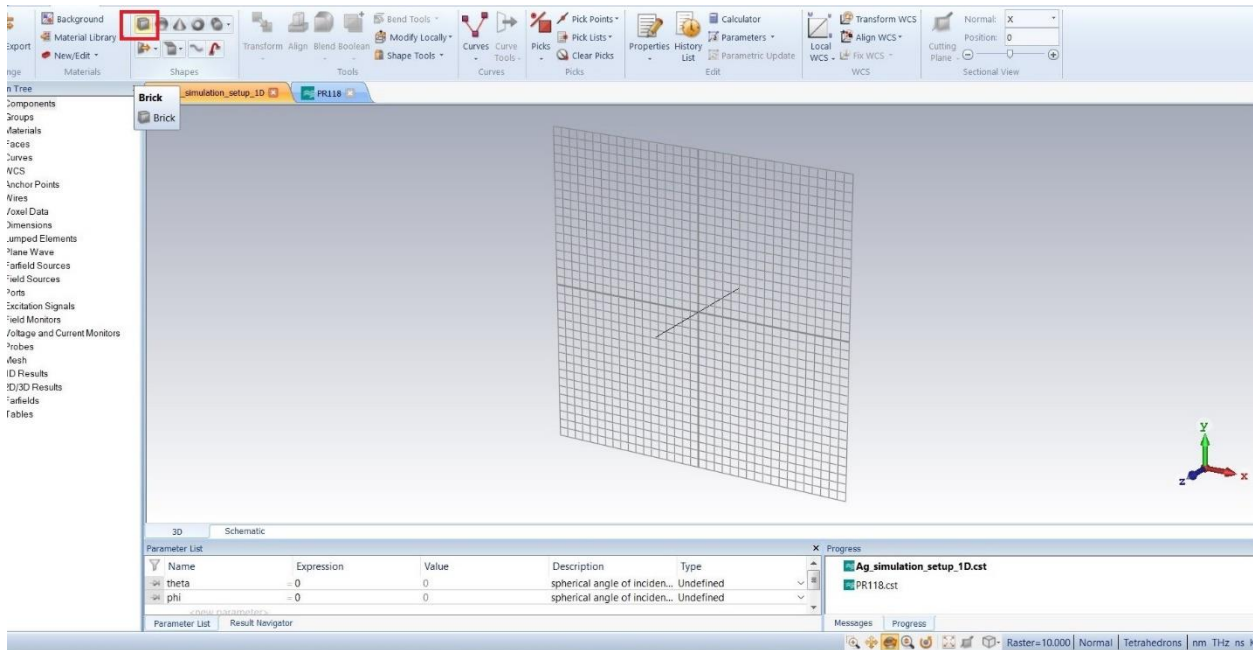
Periodic structures such as Frequency Selective Surfaces (FSS) or metamaterials (DNG, AMC, etc.) can be modelled by a single cell if infinite extent of the structure is assumed. Unit Cell boundary conditions with a full Floquet port mode formulation can be used to simulate arbitrary angles of wave incidence; if only normal incidence is of interest, Periodic boundaries can be used.

< Back Finish Cancel

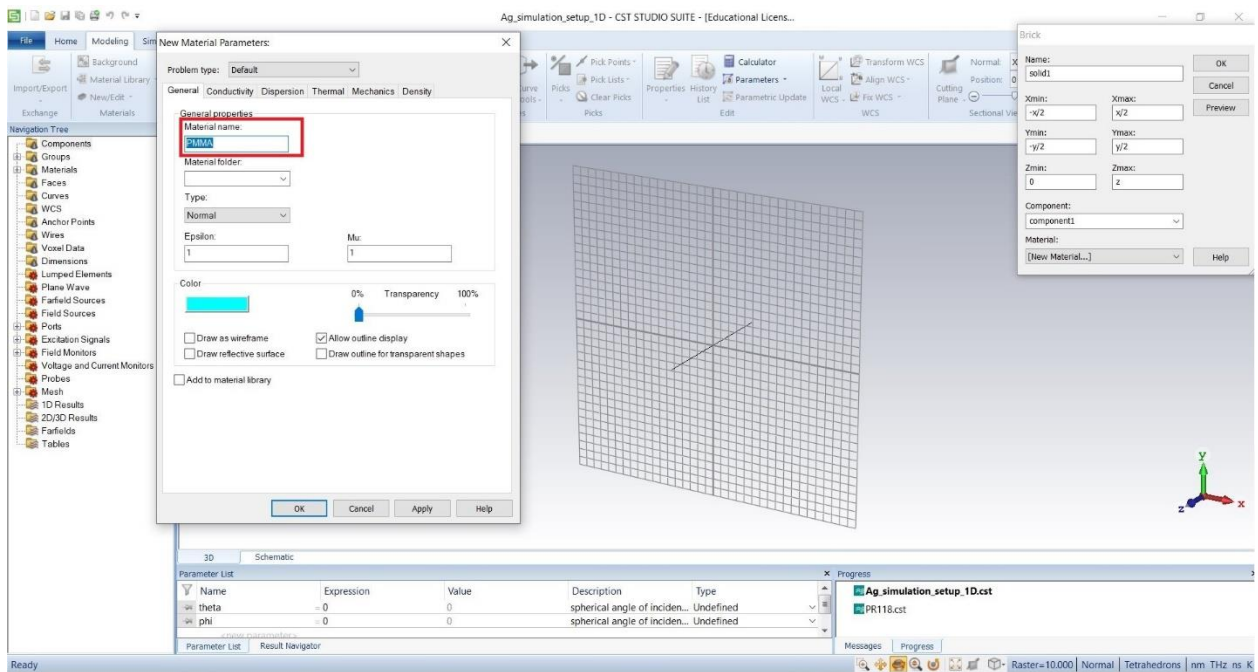
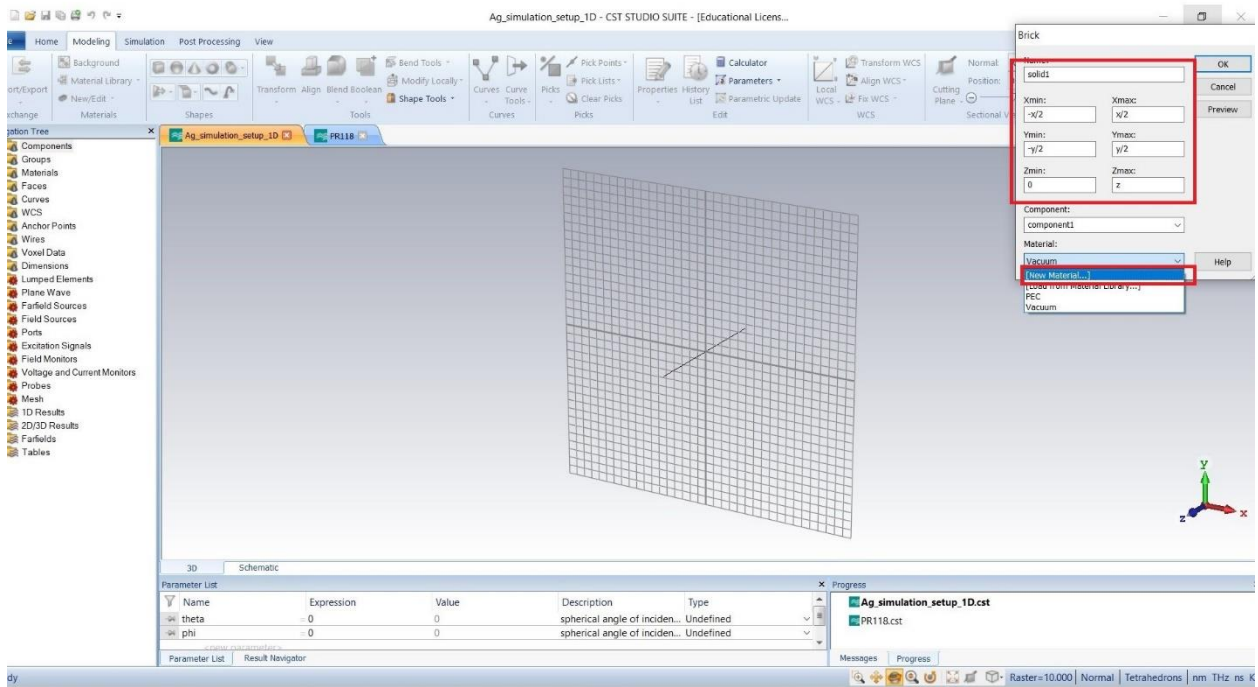
## Step 10: Main workspace



## Step 11: Select Brick for a new material

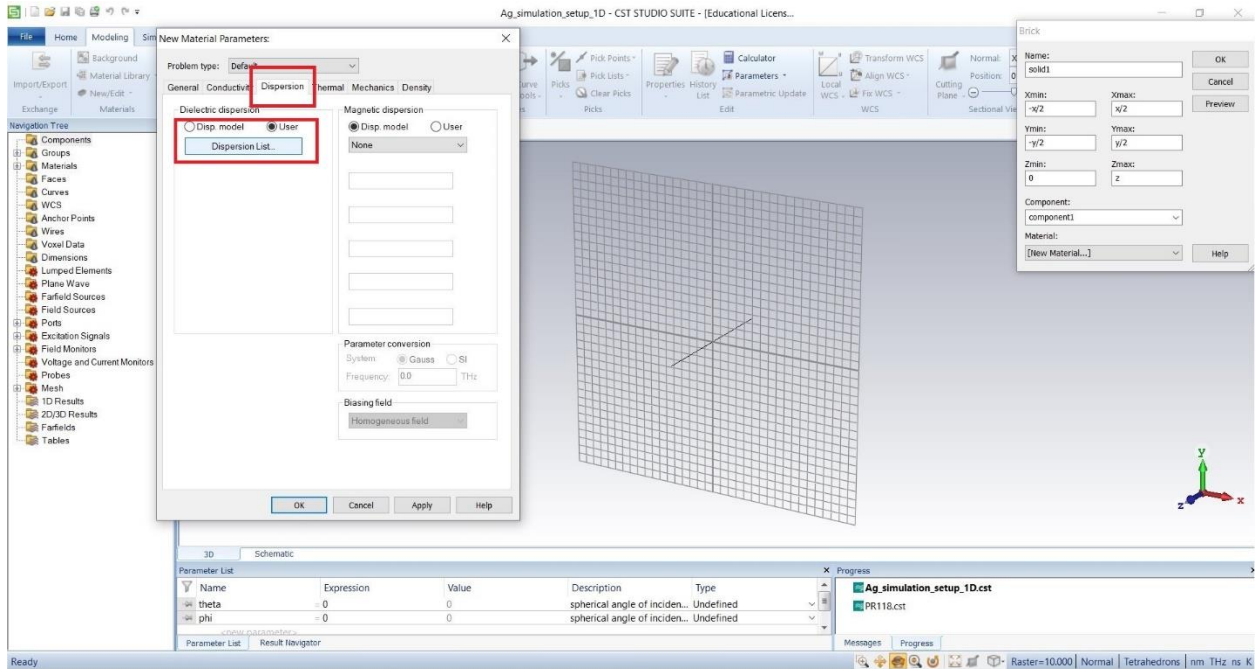


## Step 12: Define dimension & Select New Material

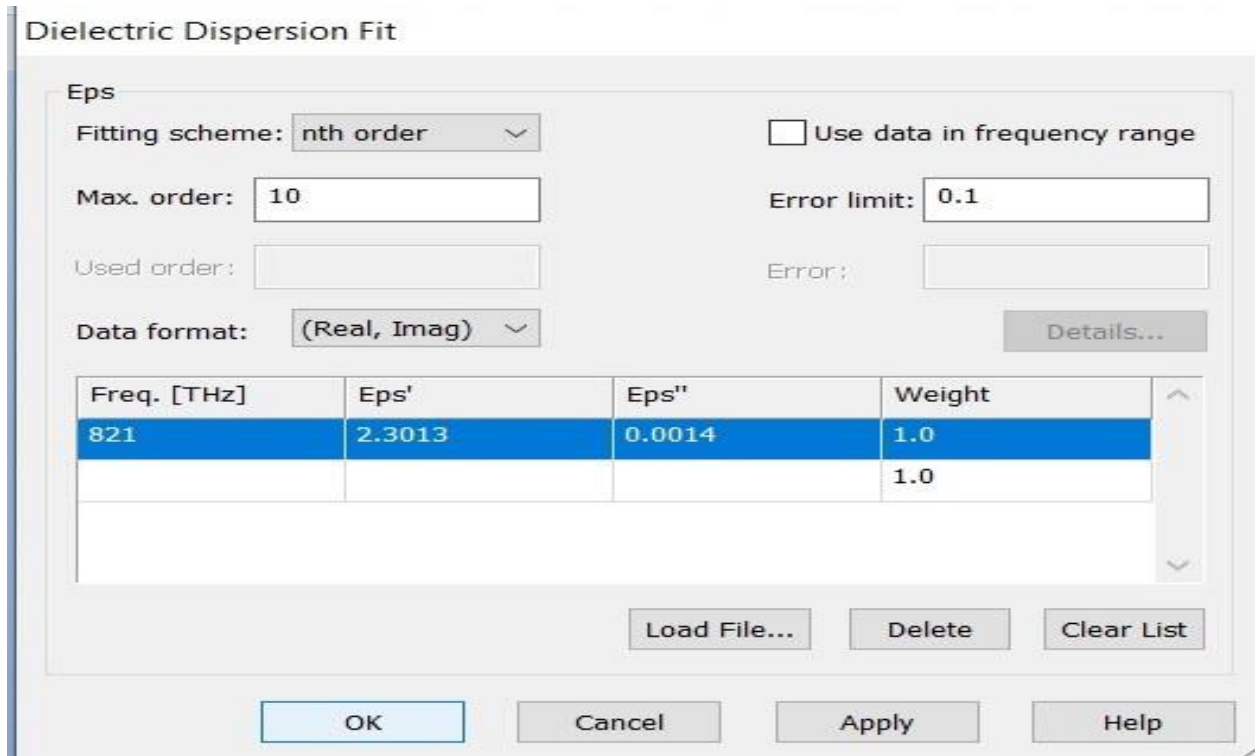


**Step 13:** Select Dispersion> Dispersion list.





**Step 14:** Define permittivity at 821 THz for PMMA.



**Step 15:** Dimension for PMMA

**Brick**

Name:

Xmin:  Xmax:

Ymin:  Ymax:

Zmin:  Zmax:

Component:

Material:

Buttons: OK, Cancel, Preview, Help

**Step 16: Define Parameter value**

**New Parameter** [Close]

Define missing parameter

Parameter:

Value:

Description:

Buttons: OK, Cancel

**New Parameter** [Close]

Define missing parameter

Parameter:

Value:

Description:

Buttons: OK, Cancel

**New Parameter** [Close]

Define missing parameter

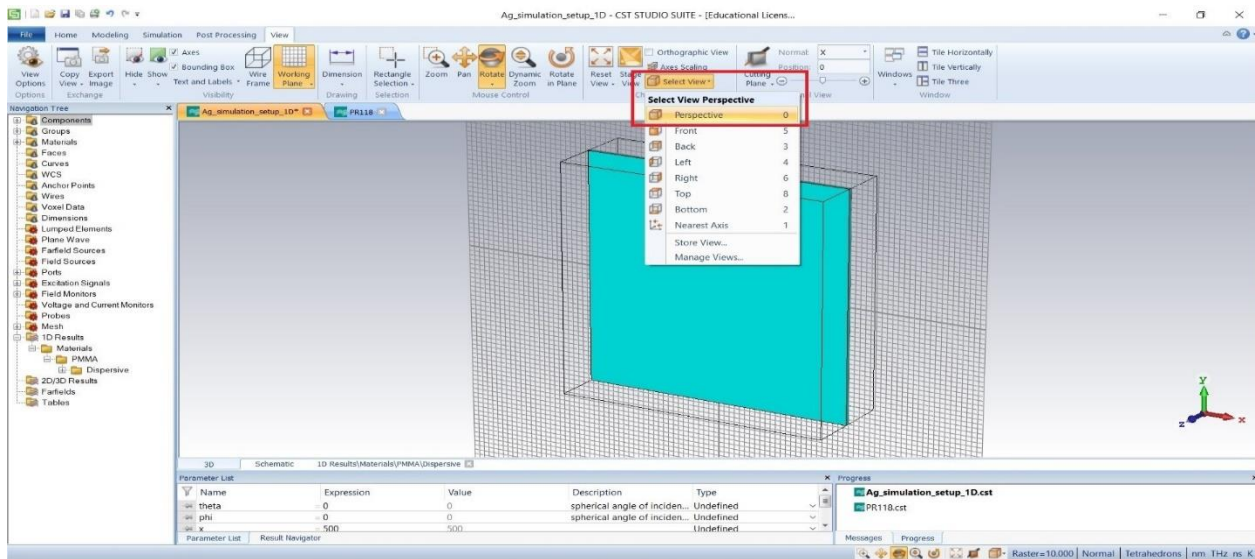
Parameter:

Value:

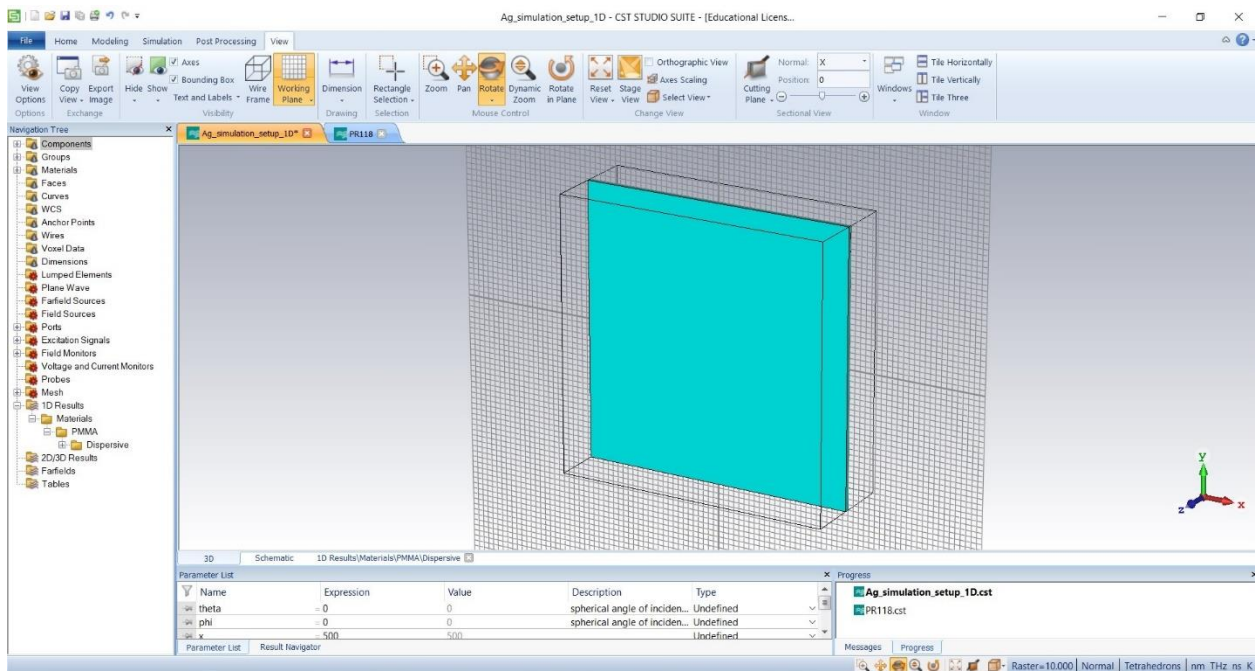
Description:

Buttons: OK, Cancel

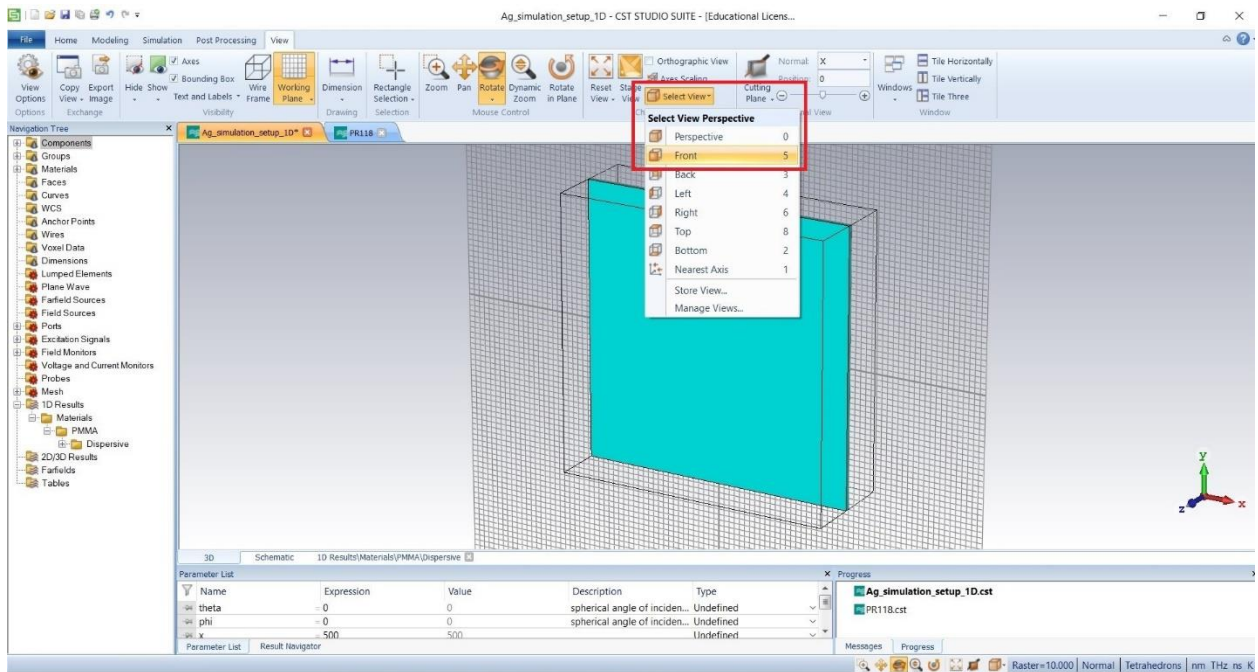
**Step 17:** Select view > Perspective.



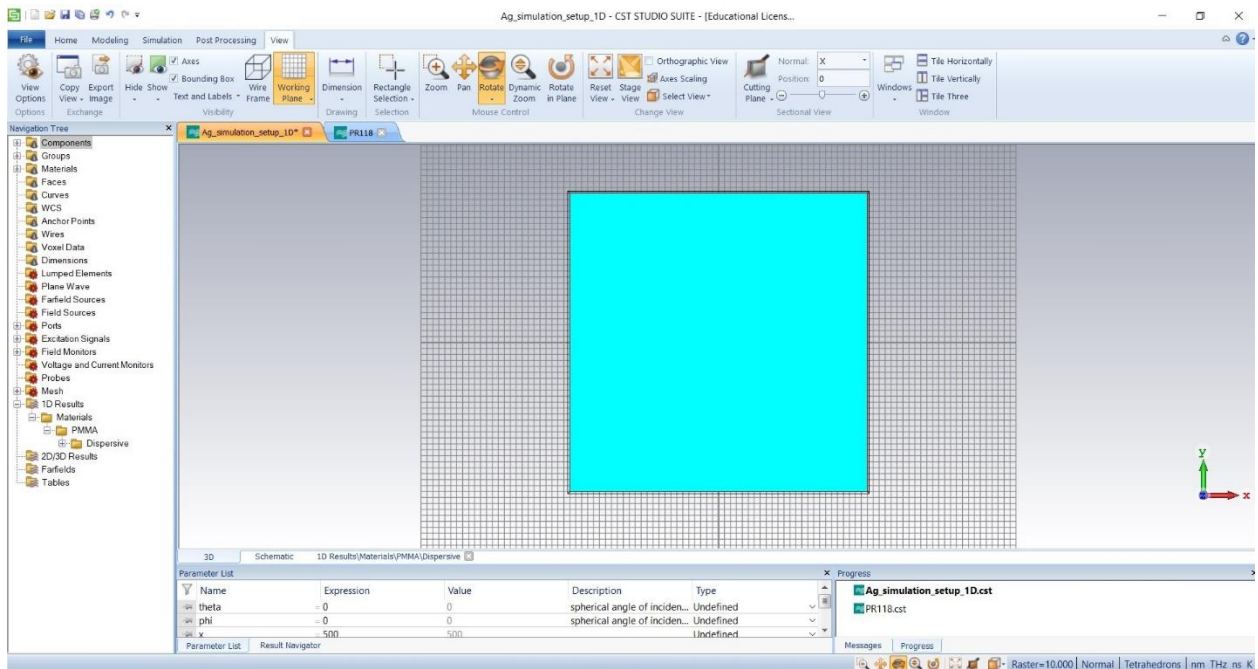
**Step 18:** Perspective view:



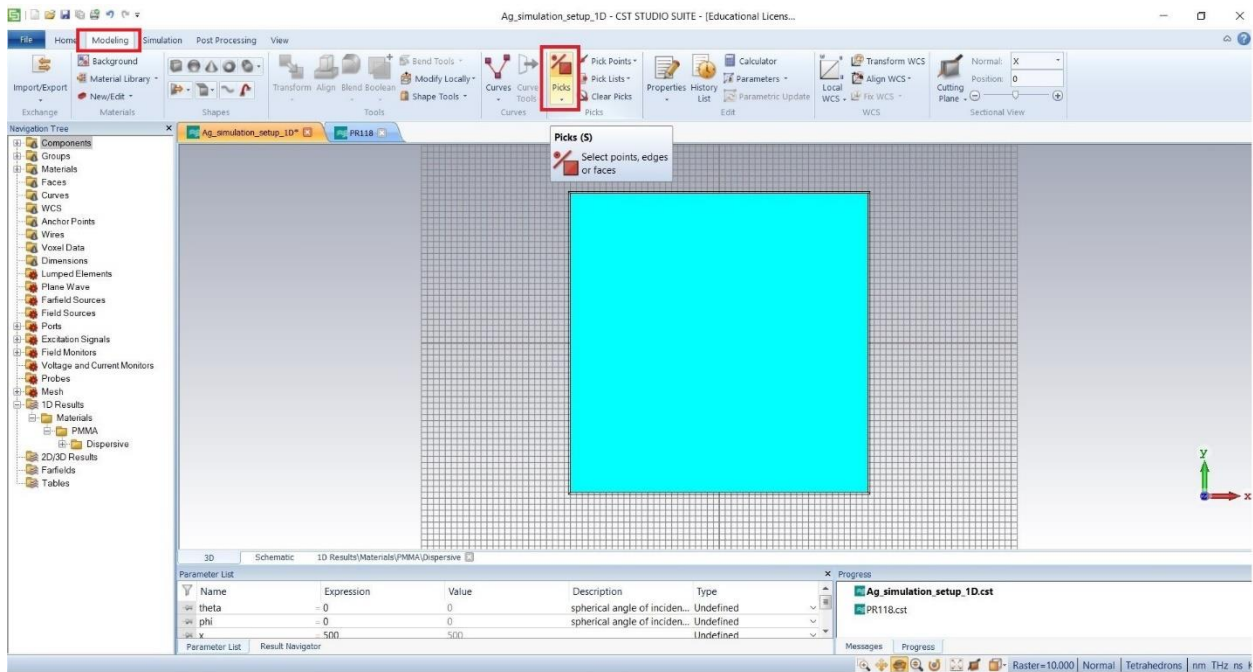
**Step 19:** Select view > Front to add Ag layer at the front of PMMA.



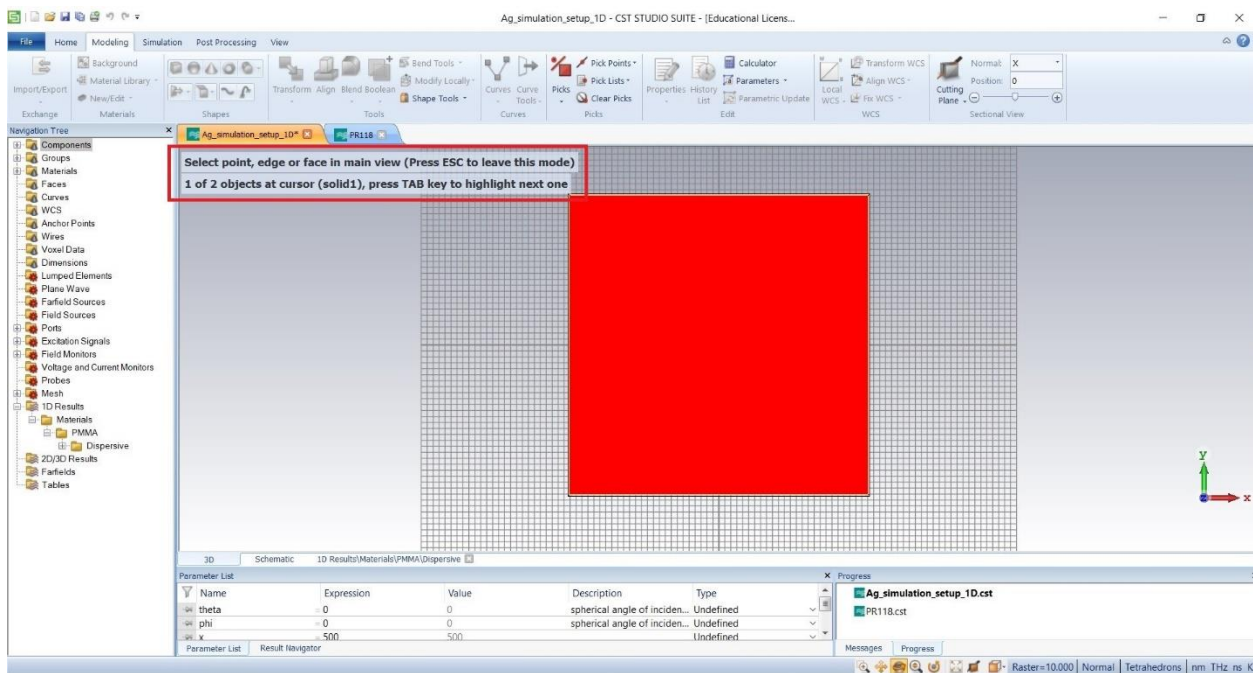
## Step 20: Front view



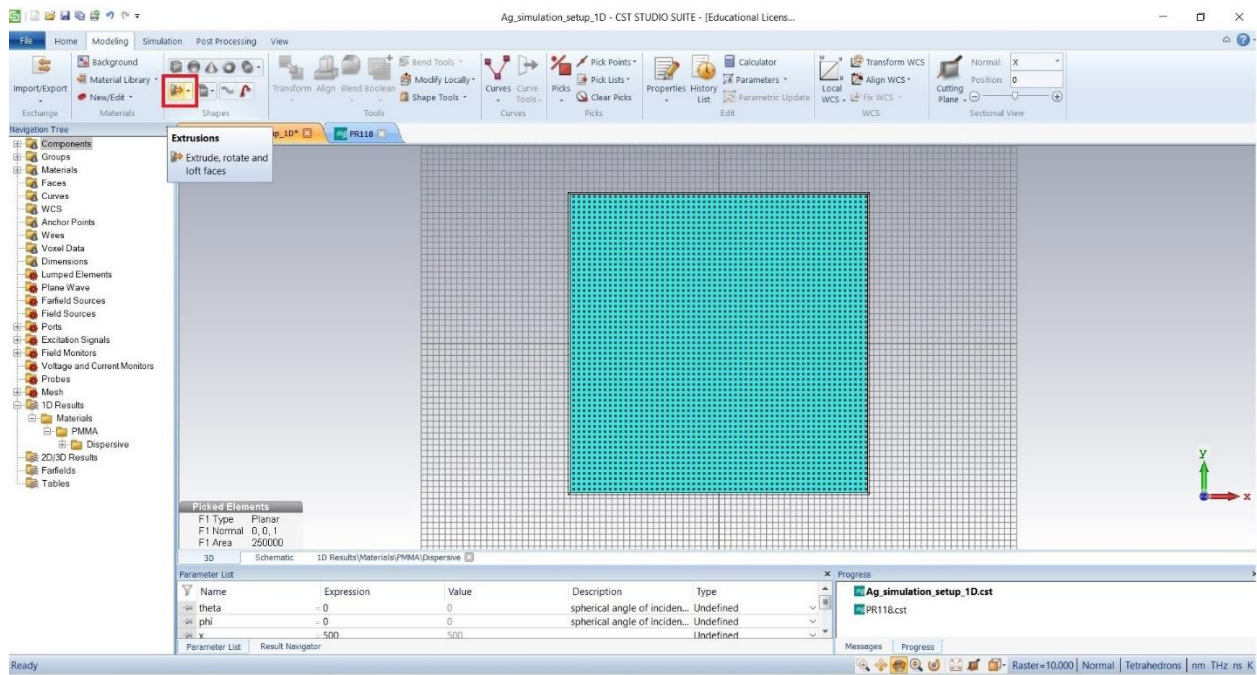
## Step 21: Modeling > Picks



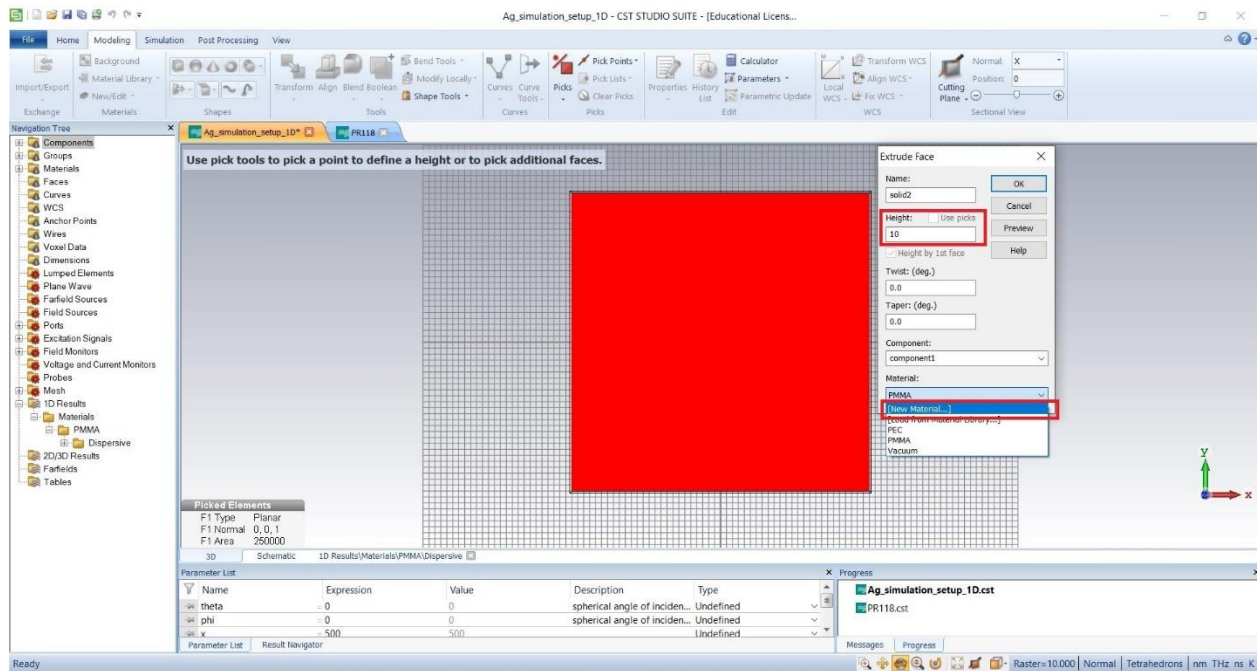
**Step 22:** Select the desired face to add extra layer.



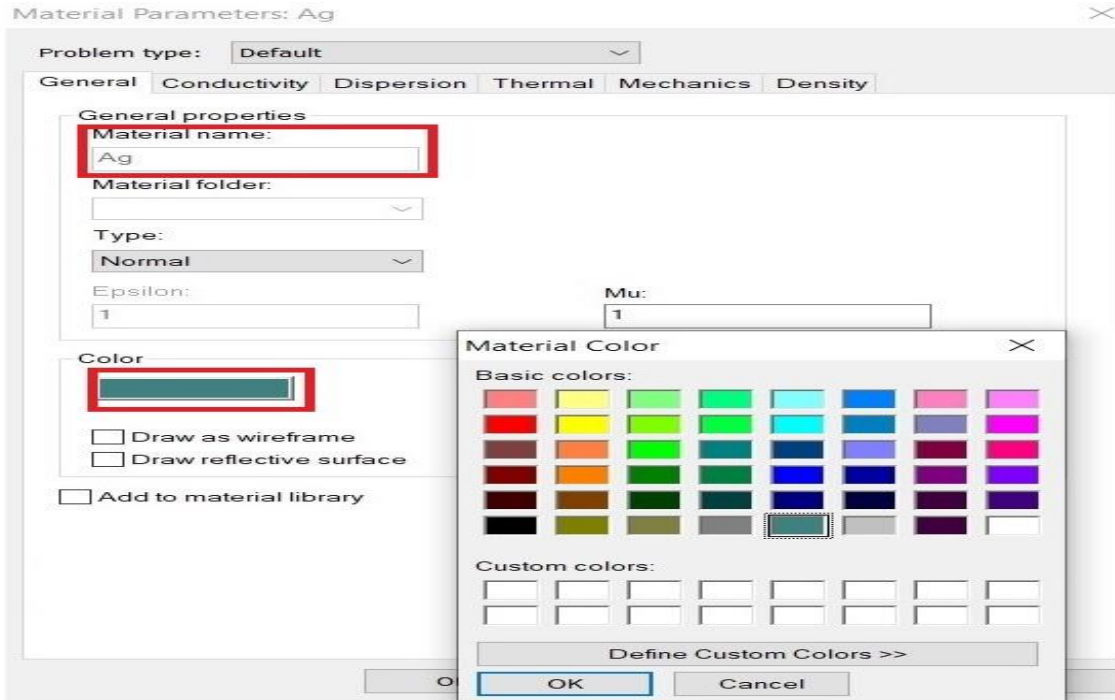
### Step 23: After selecting the face click on Extrusions



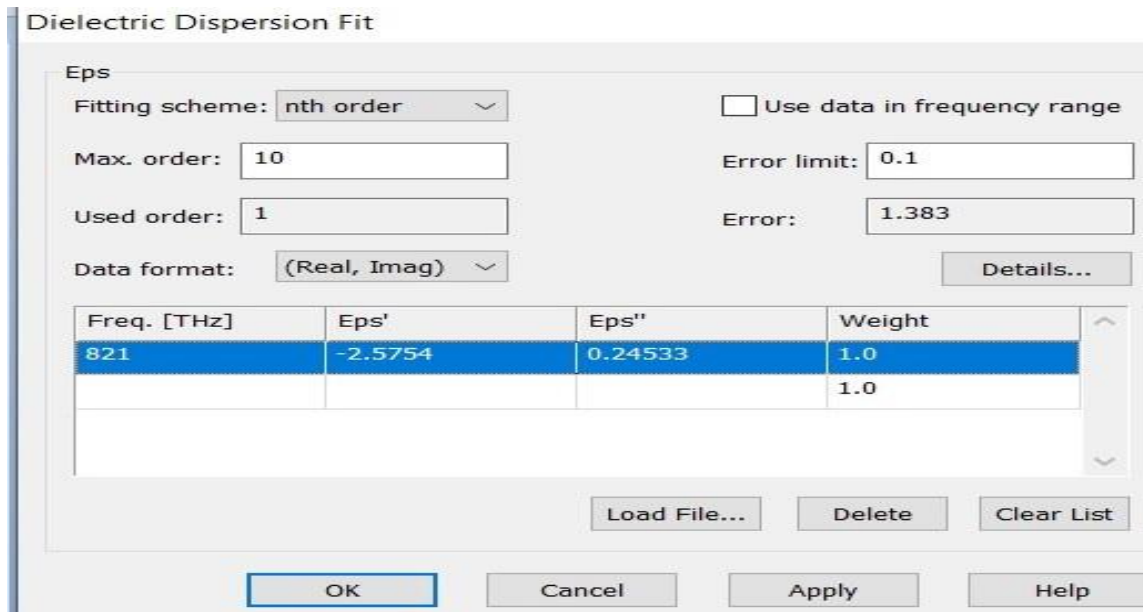
### Step 24: Choose New Material (Ag=10nm)



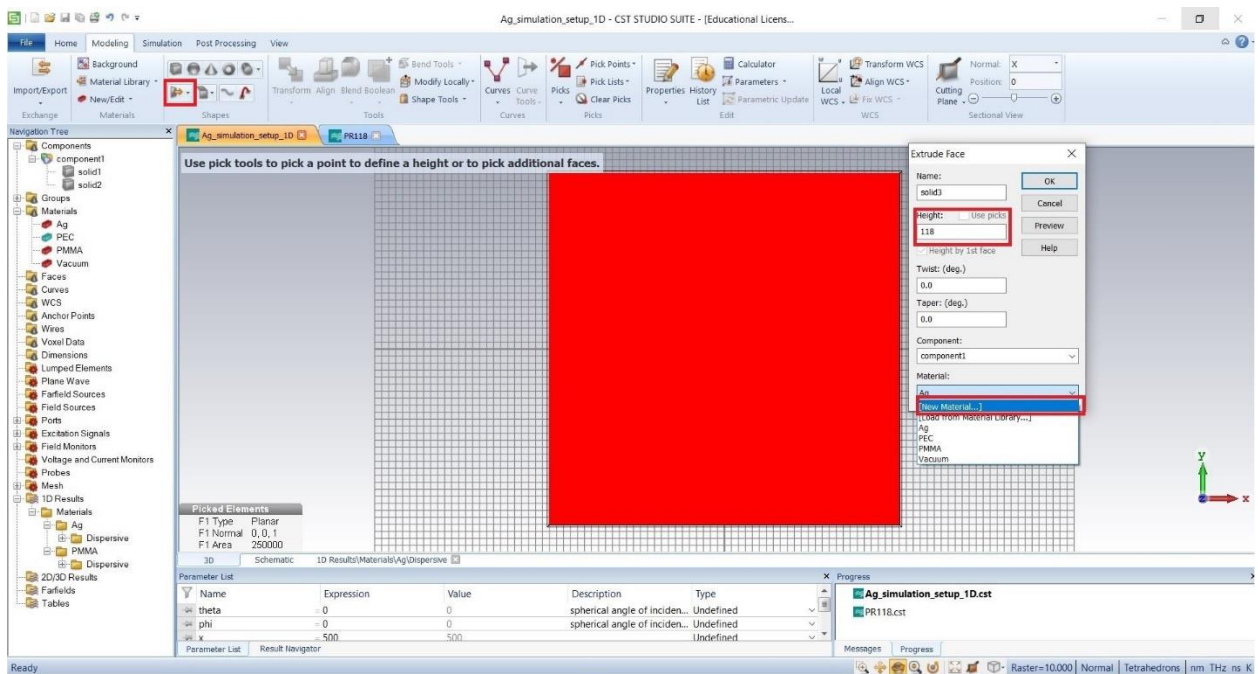
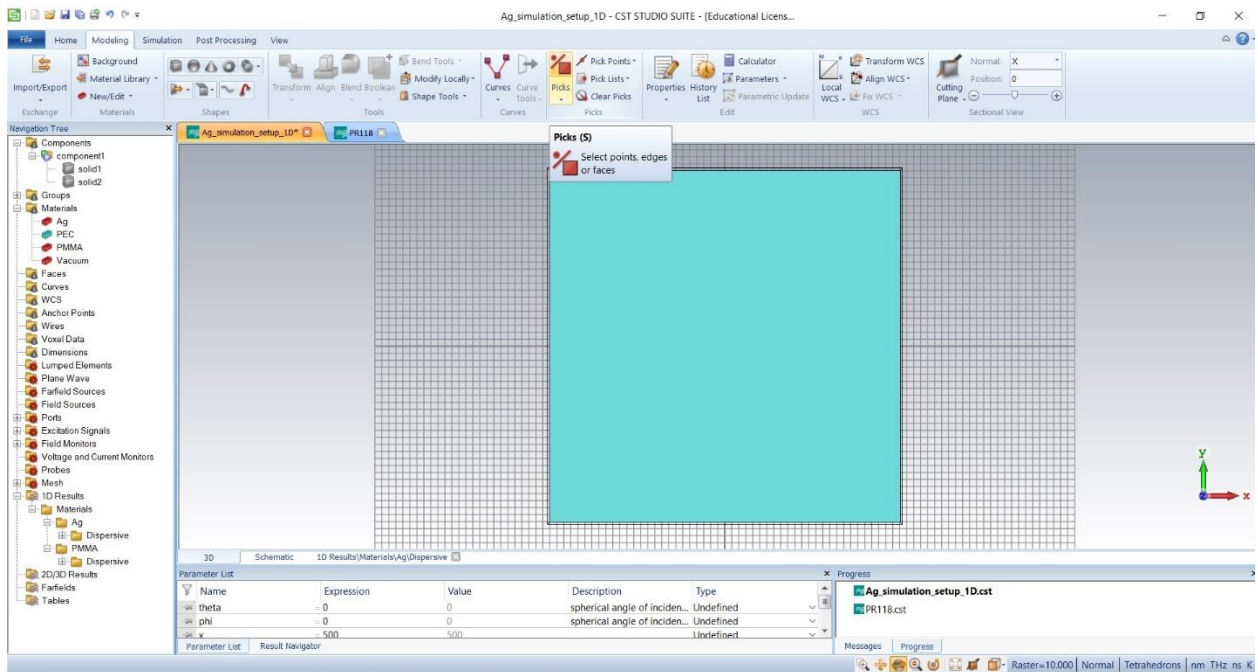
### Step 25: Color can be changed.



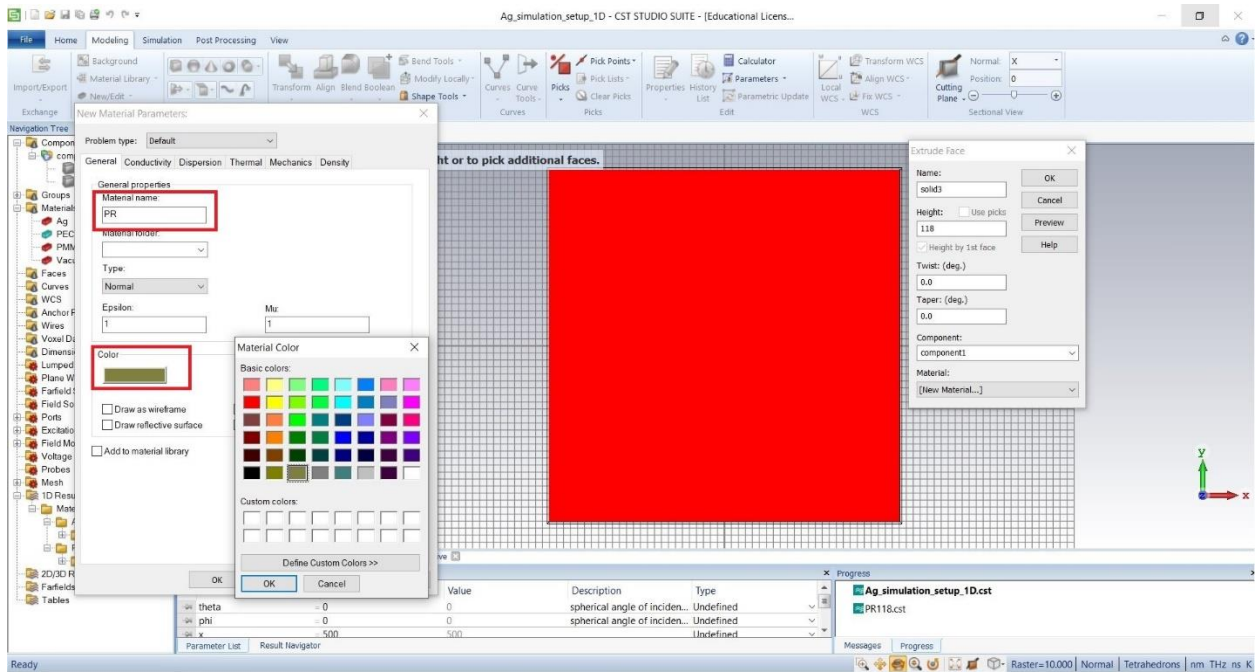
**Step 26:** Define permittivity for Ag at 821 THz.



**Step 27:** Choose Picks and then Extrusion for adding PR (118nm) layer.

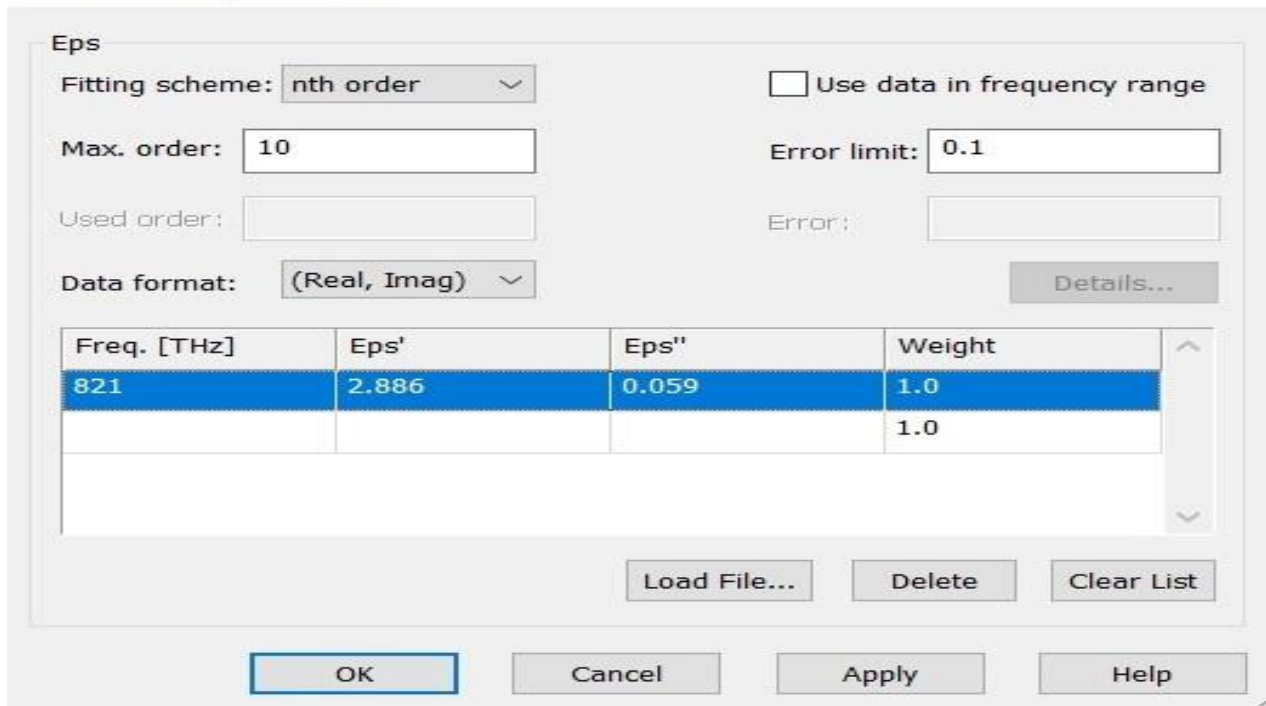




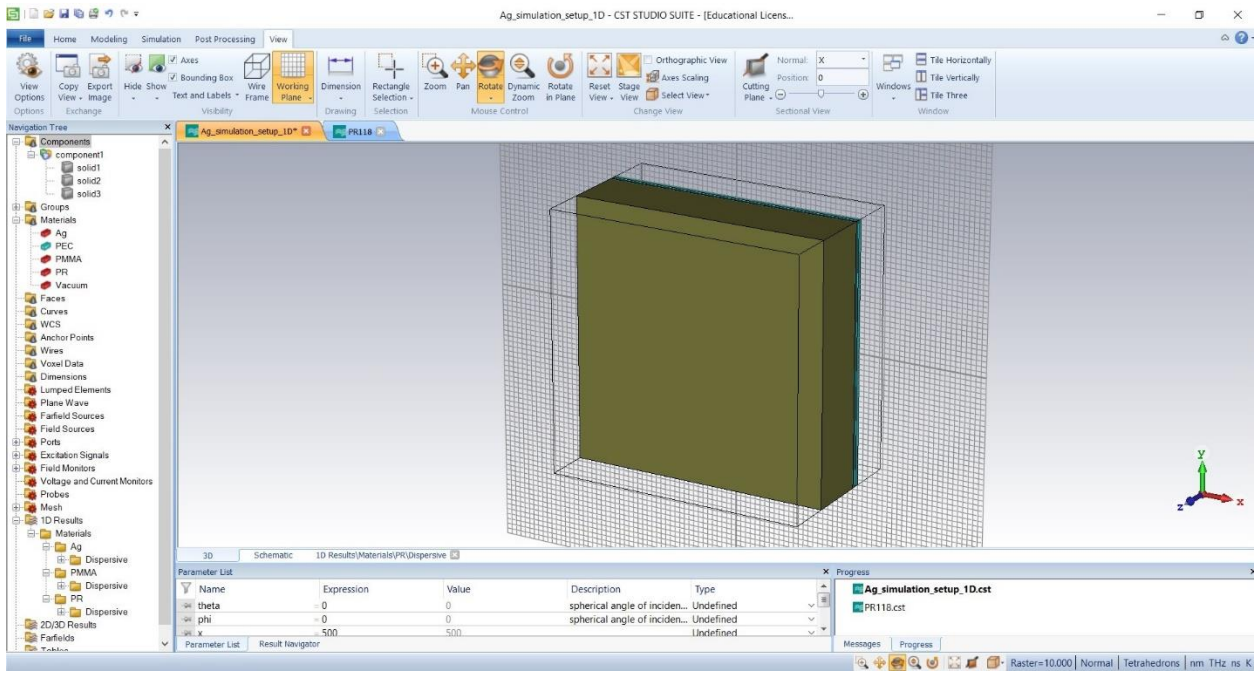


**Step 28:** Define permittivity for PR layer.

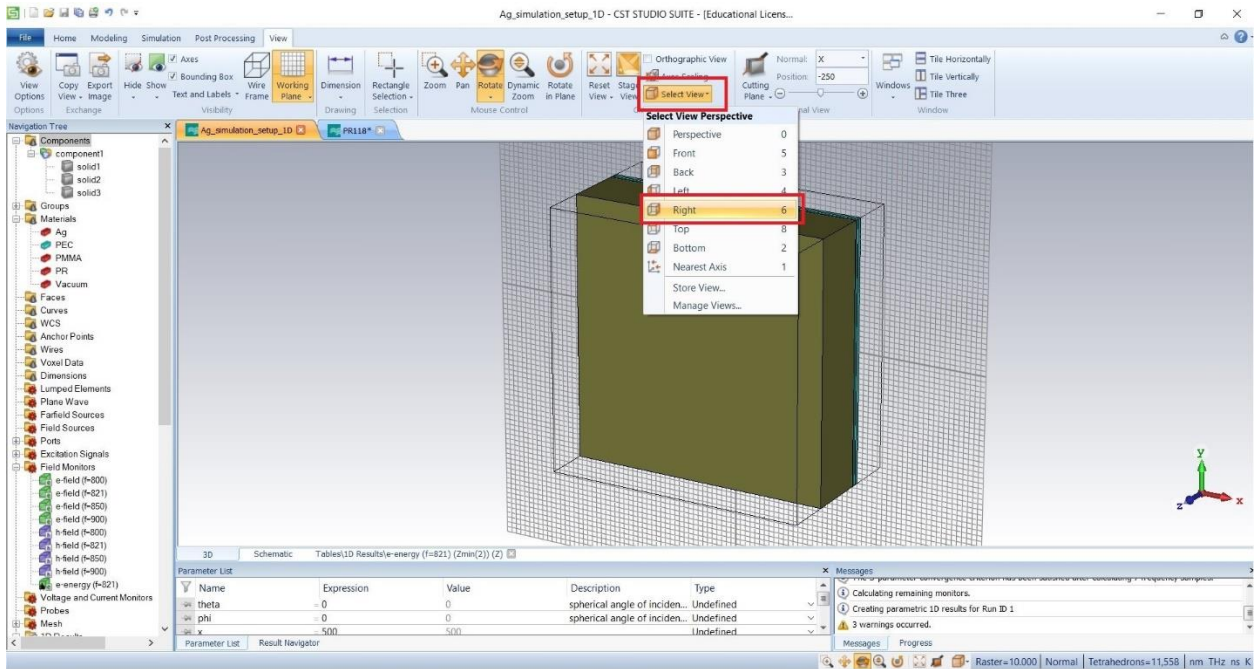
### Dielectric Dispersion Fit



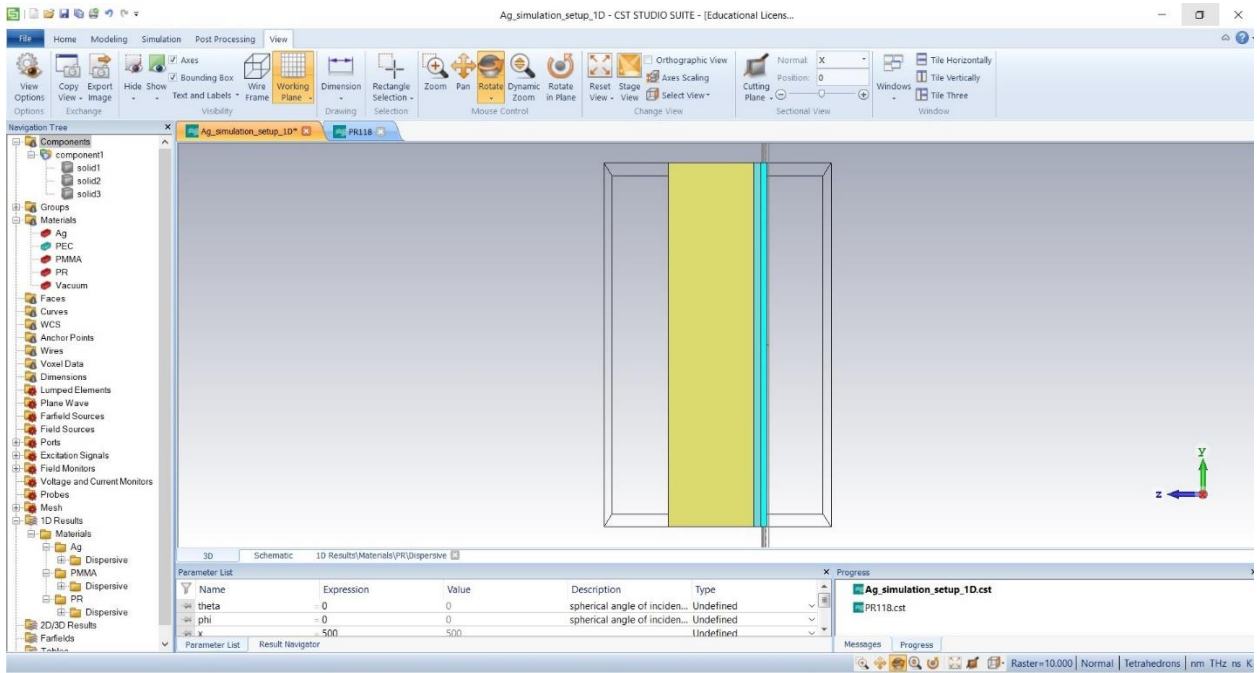
## Step 29: Perspective view



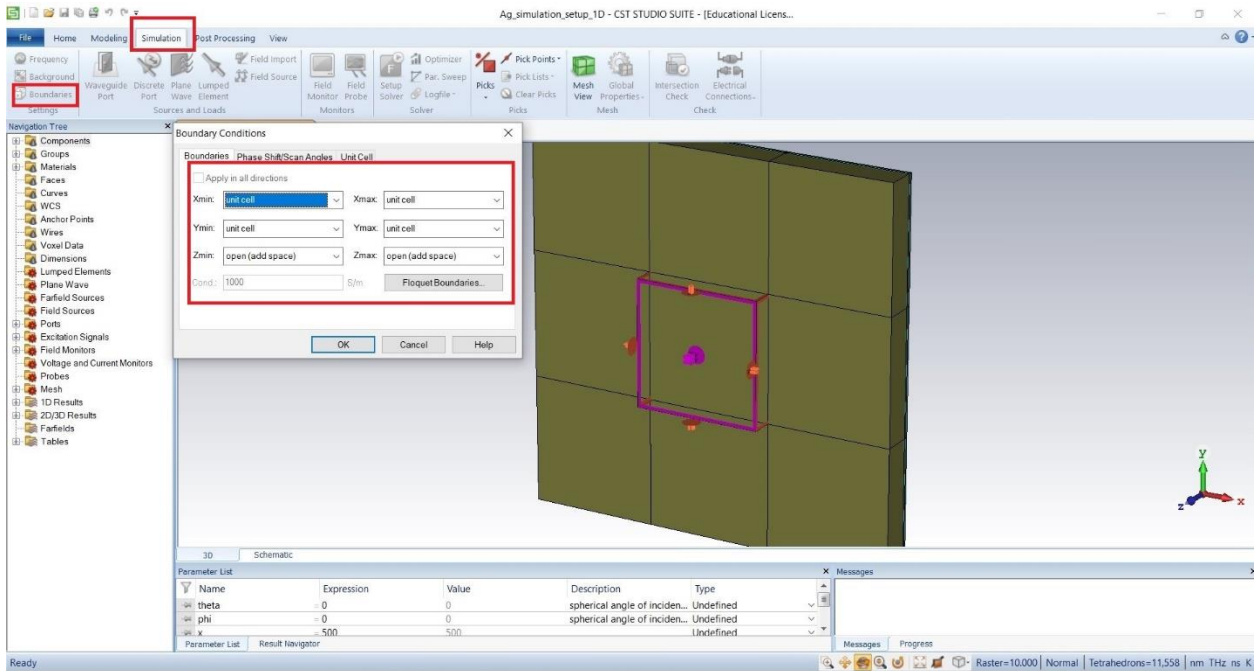
## Step 30: Select view > Right.



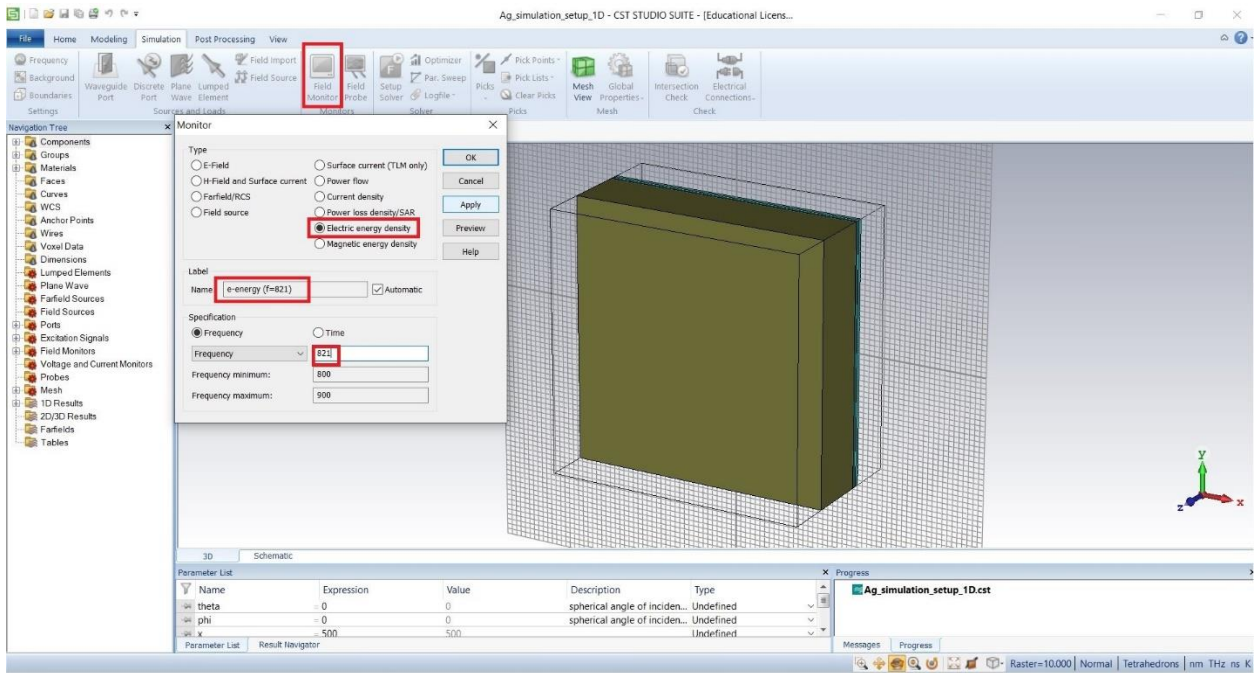
### Step 31: Right side view.



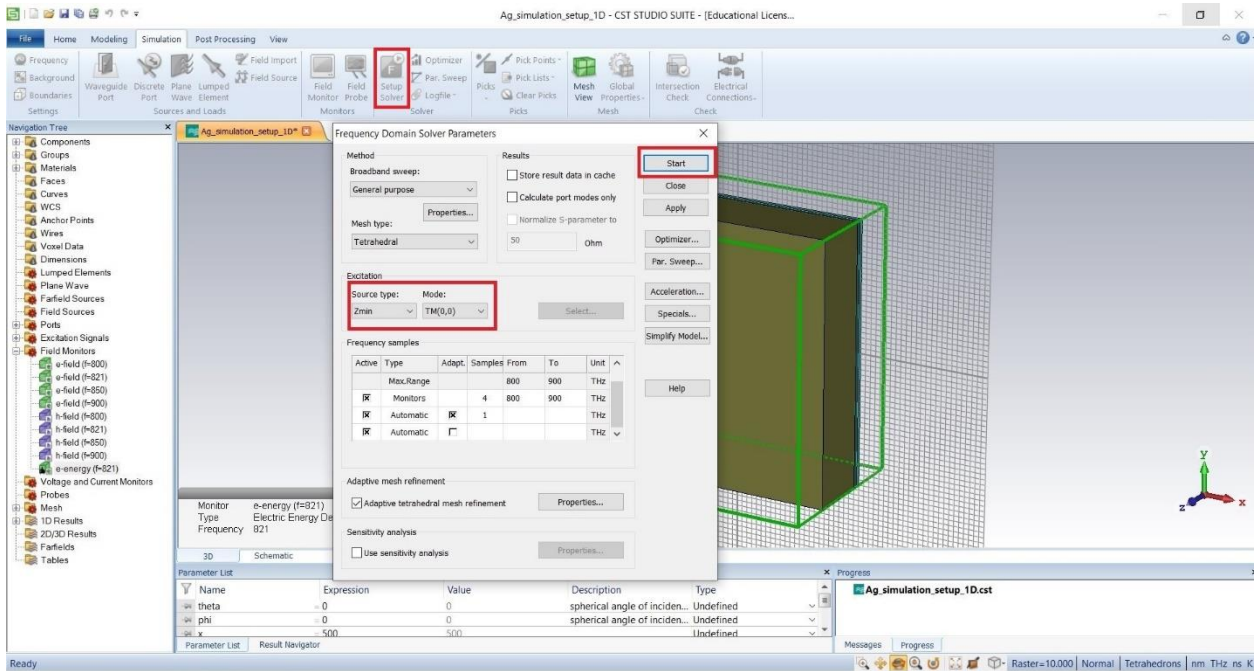
### Step 32: Simulation > Boundaries > Define Boundary Conditions



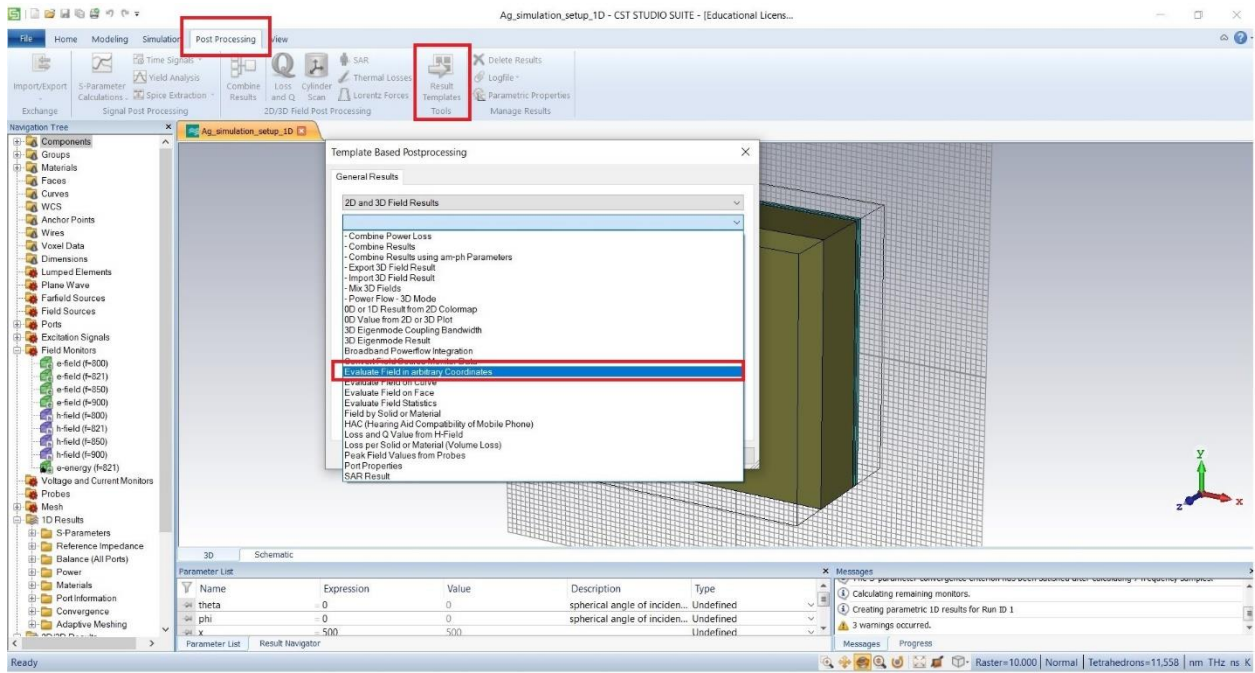
**Step 33: Field monitor > Define Electric energy density at 821 THz.**



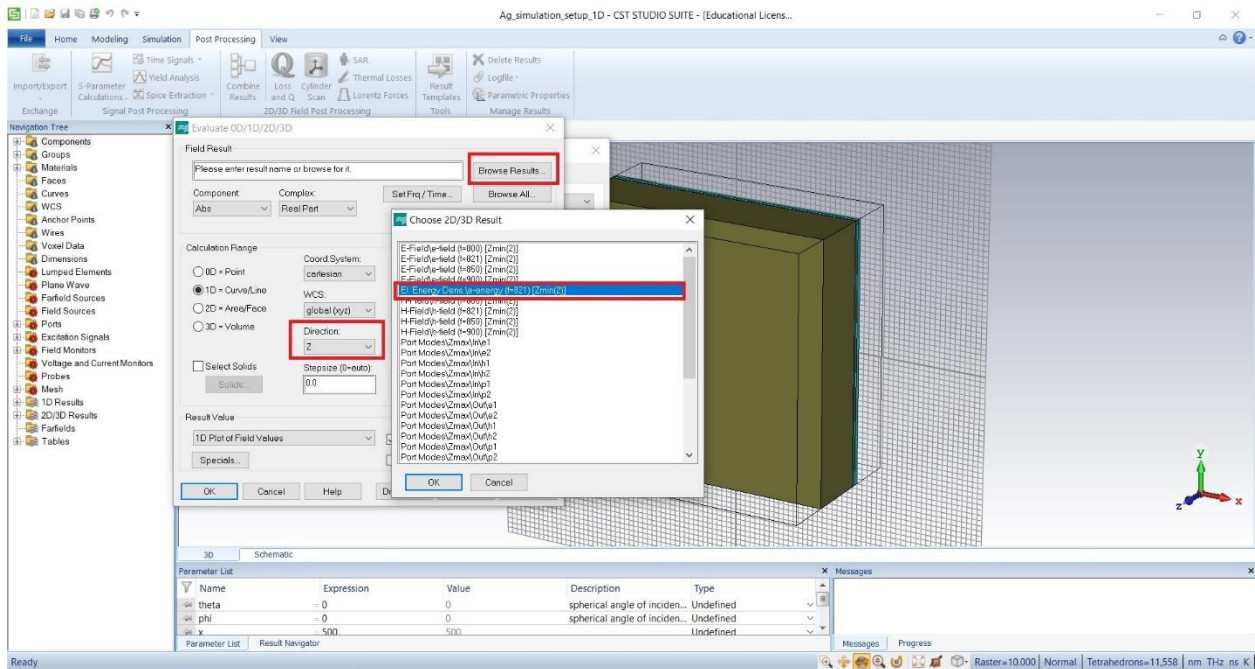
**Step 34: Select Zmin as source and TM as mode then Start the simulation.**



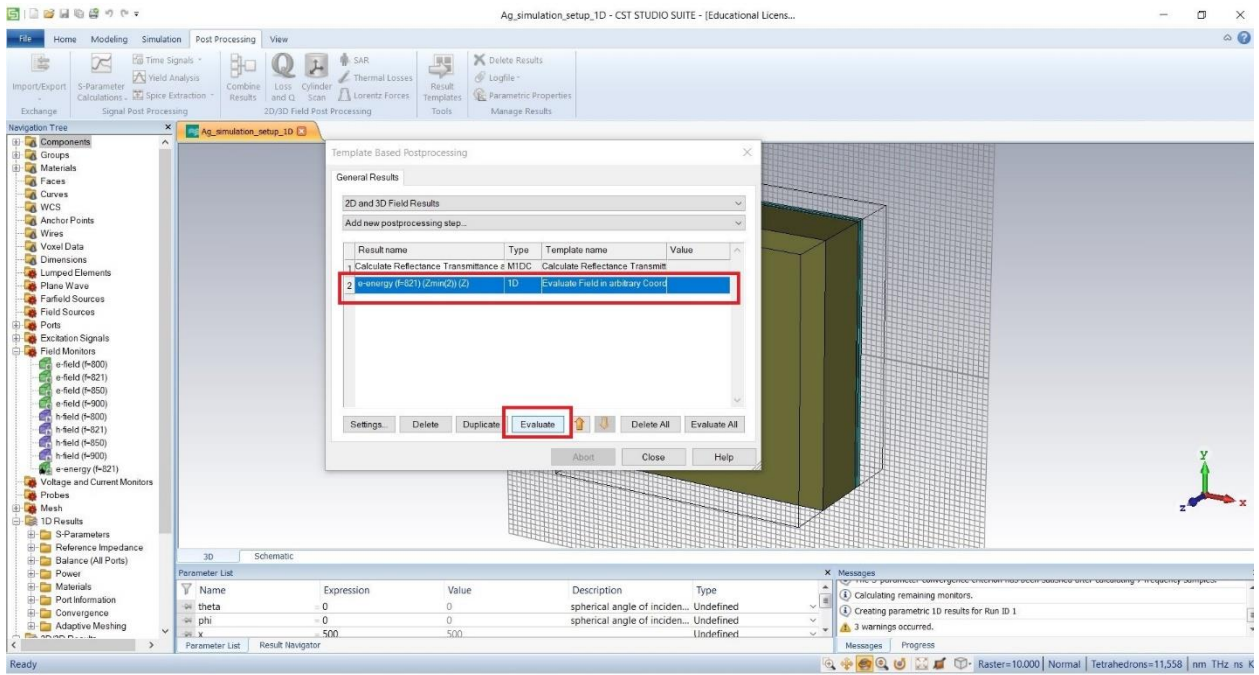
**Step 35: Post processing > Result Template > Evaluate field in arbitrary coordinates.**



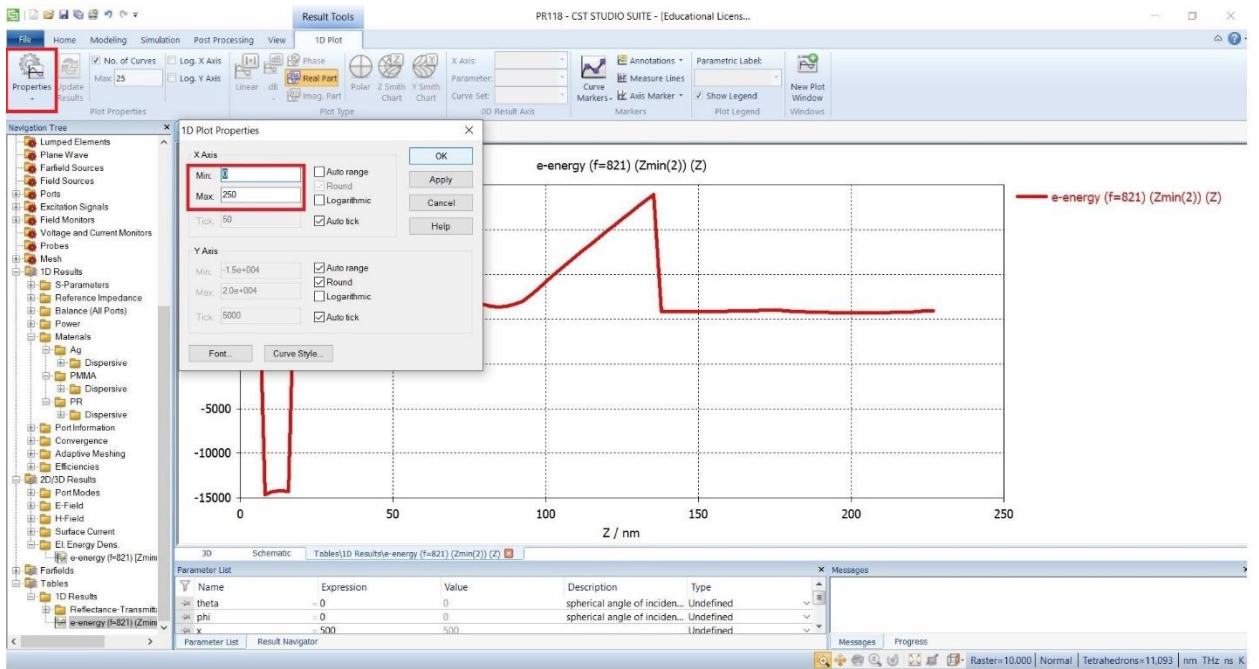
**Step 36: Click browse results then select Electric energy density at z direction.**



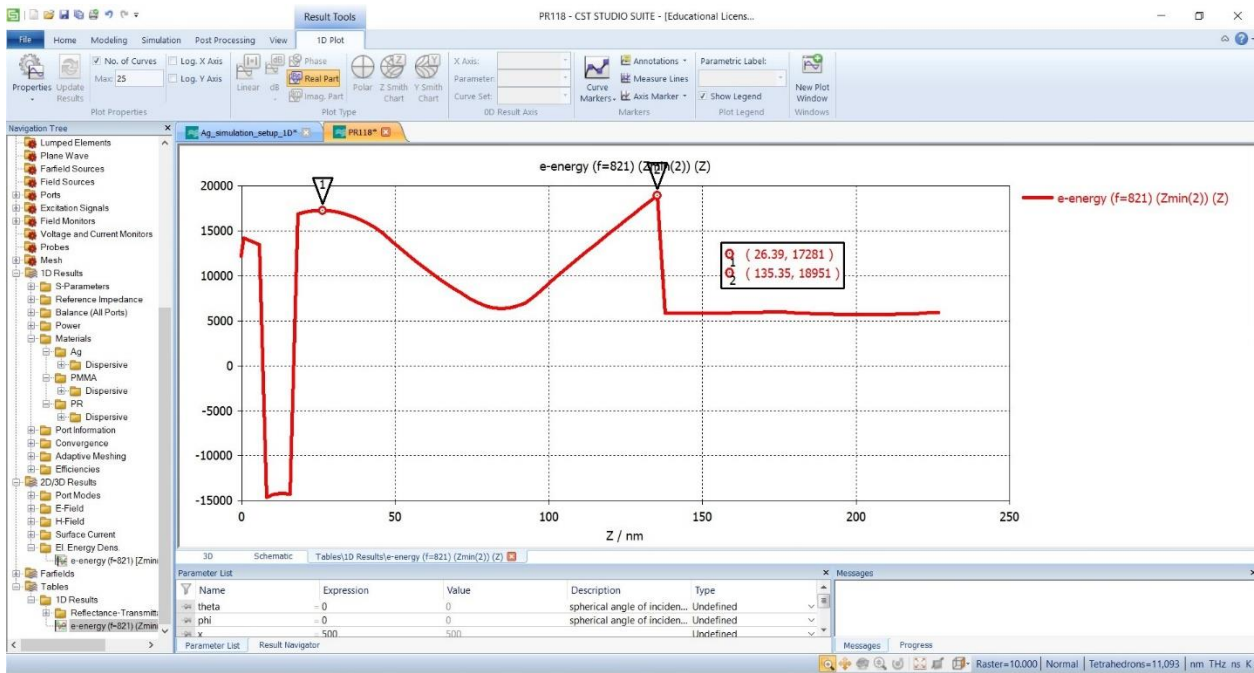
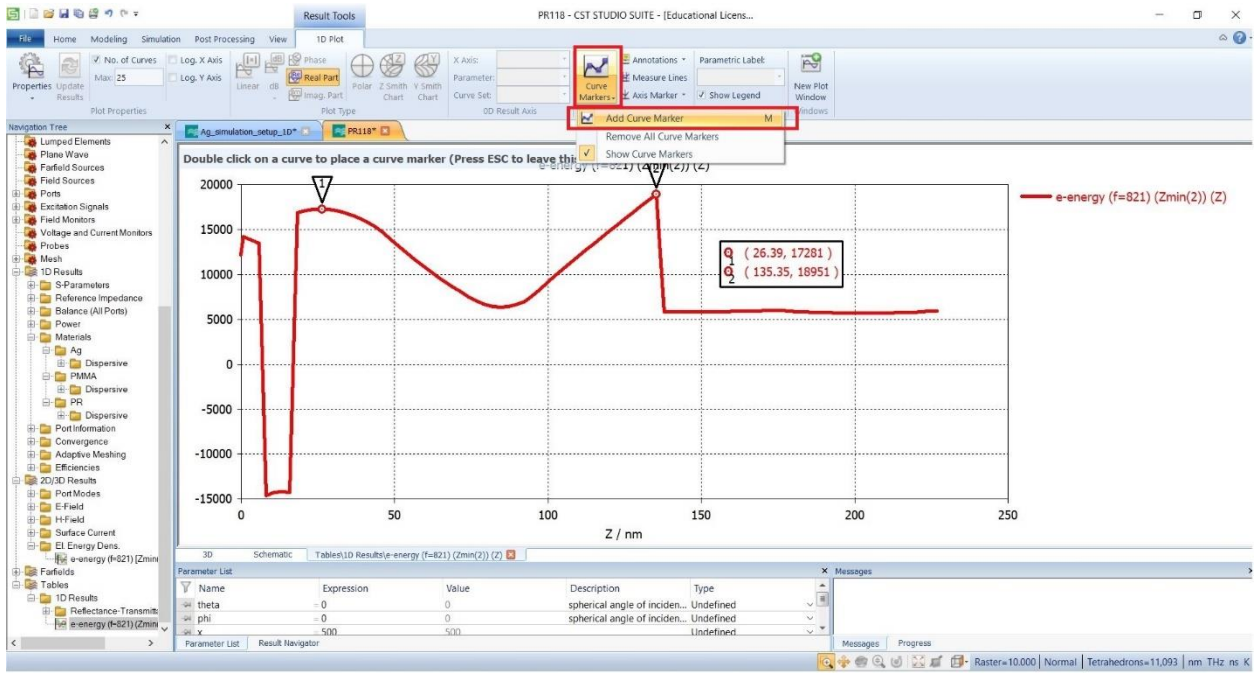
### Step 37: Evaluate E-energy density.



### Step 38: Scale can be set in properties.



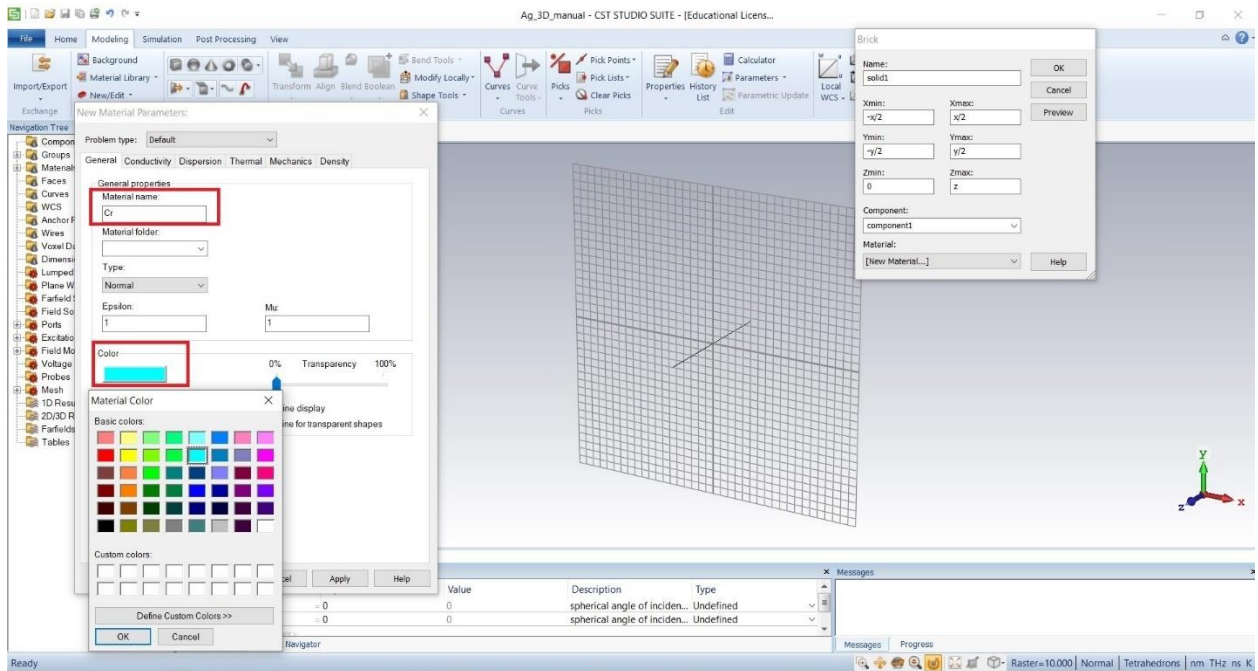
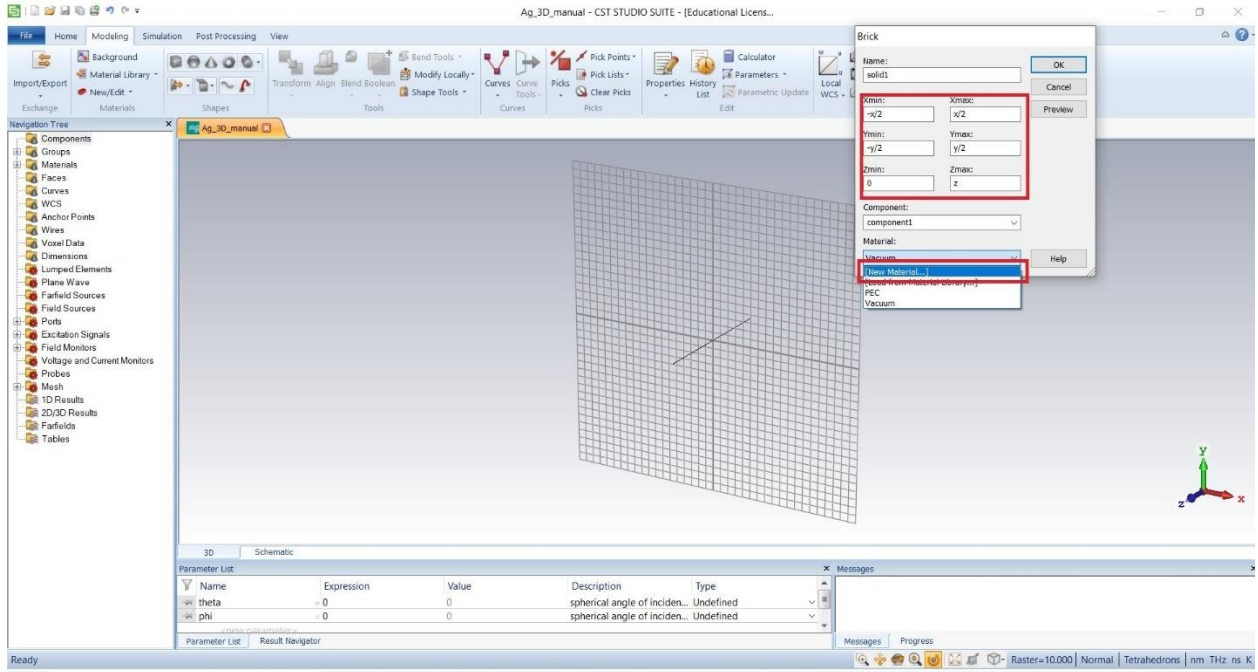
### Step 39: Curve markers can be added to define a certain point.



### 3.1.2: 3-D Image of the sub-diffractive object Vs. meta-lenses' paraxial image location

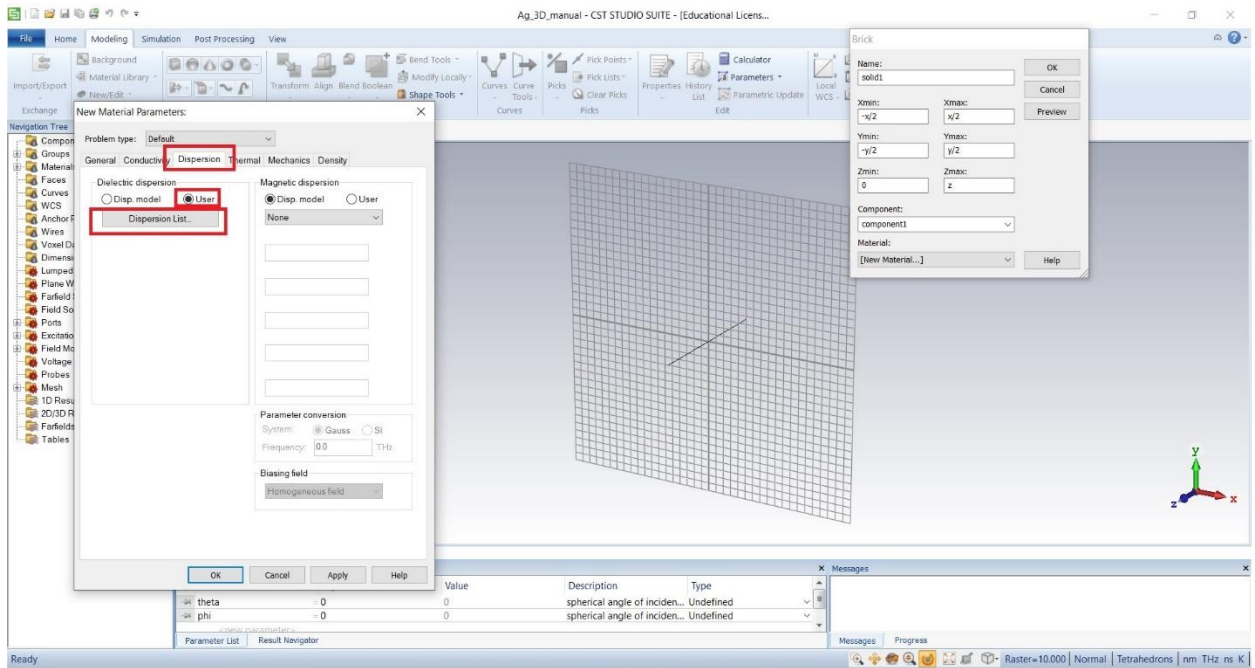
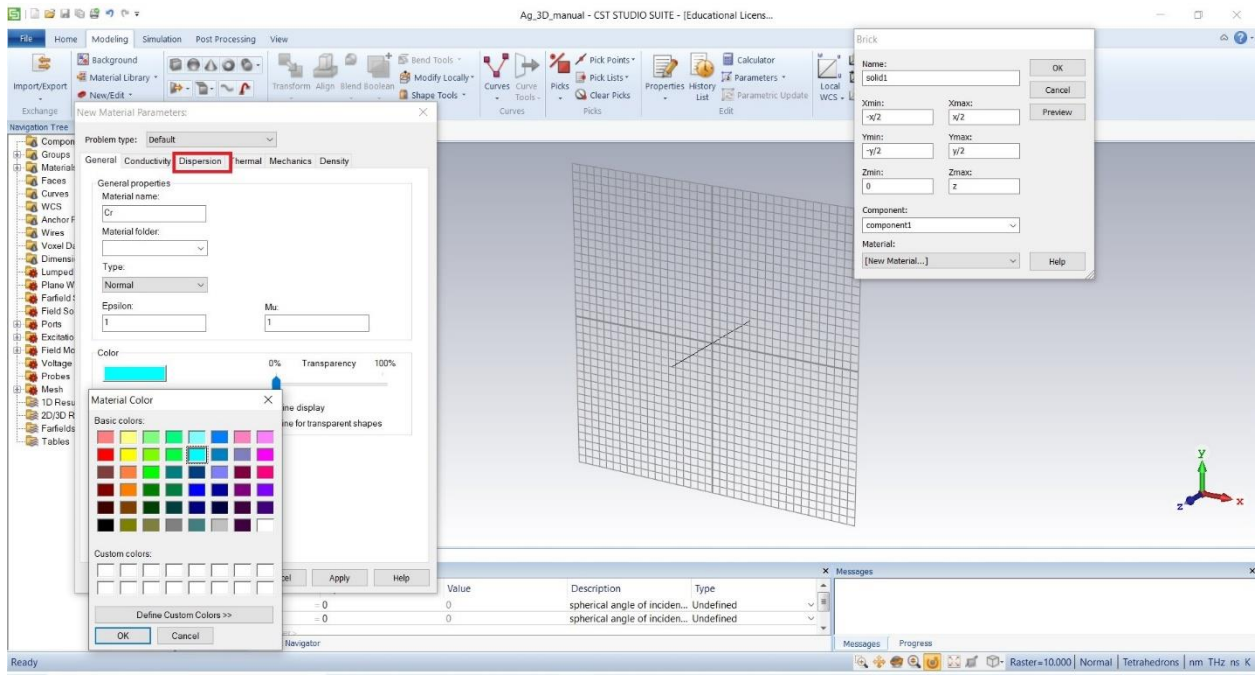
Repeat step 1 to step 11 from previous section.

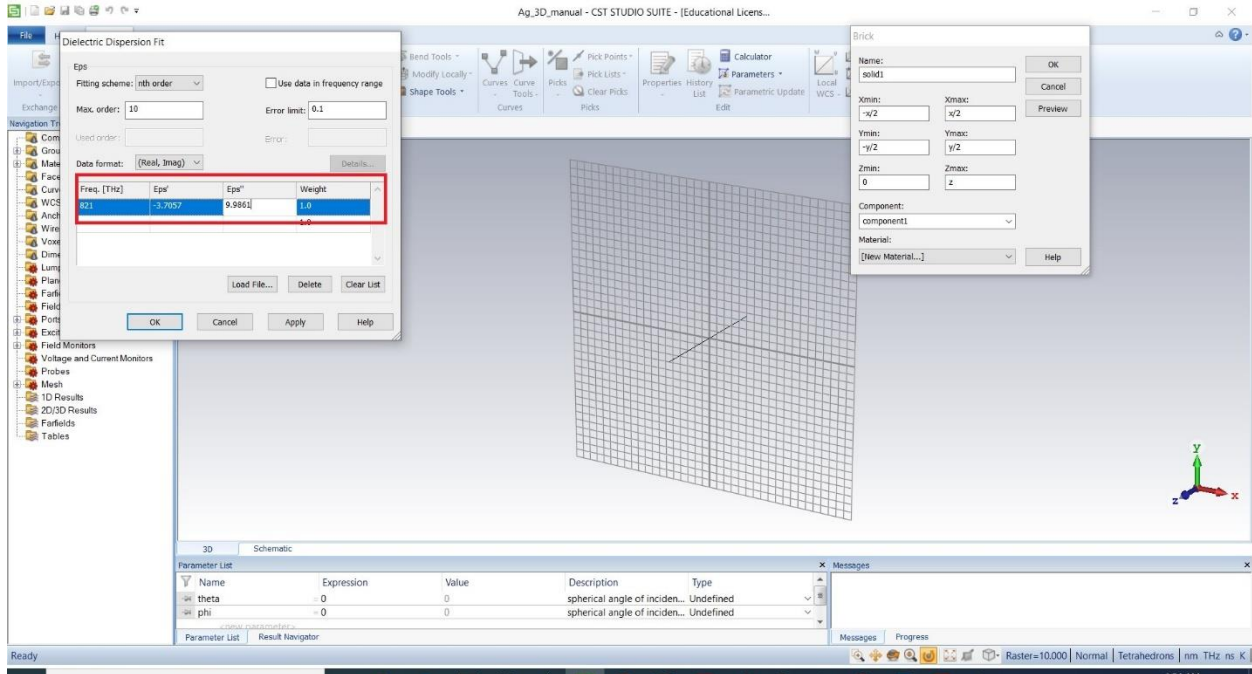
Step 12: Selecting 'Cr' as new material



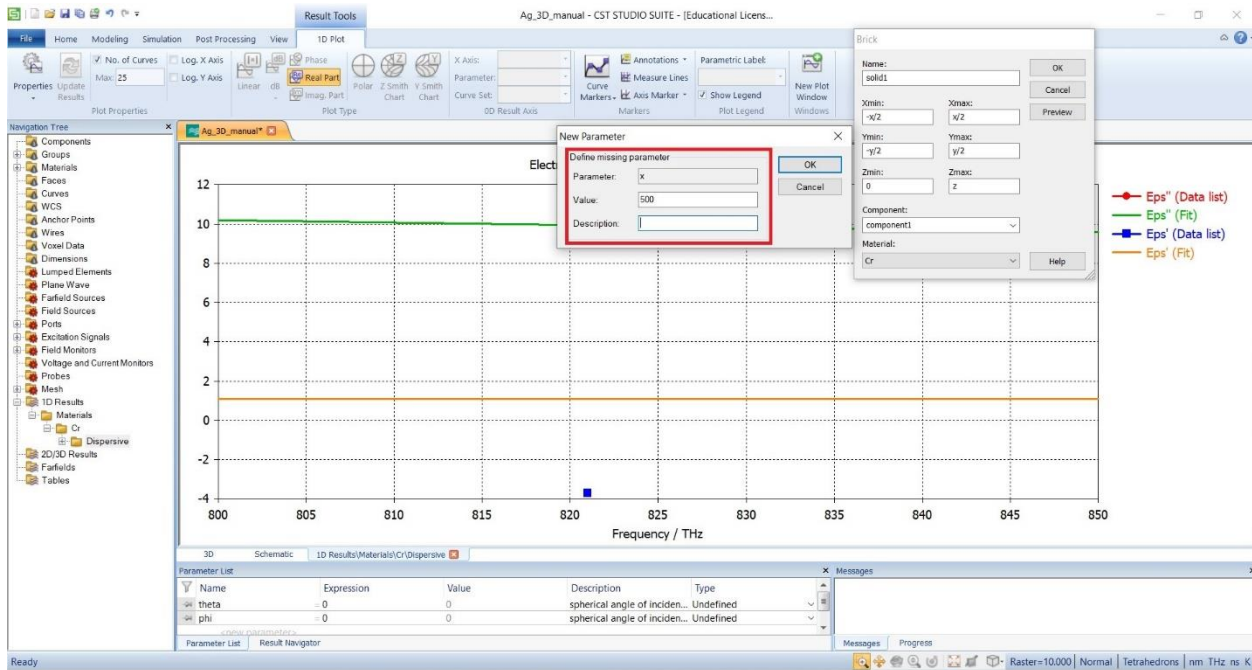


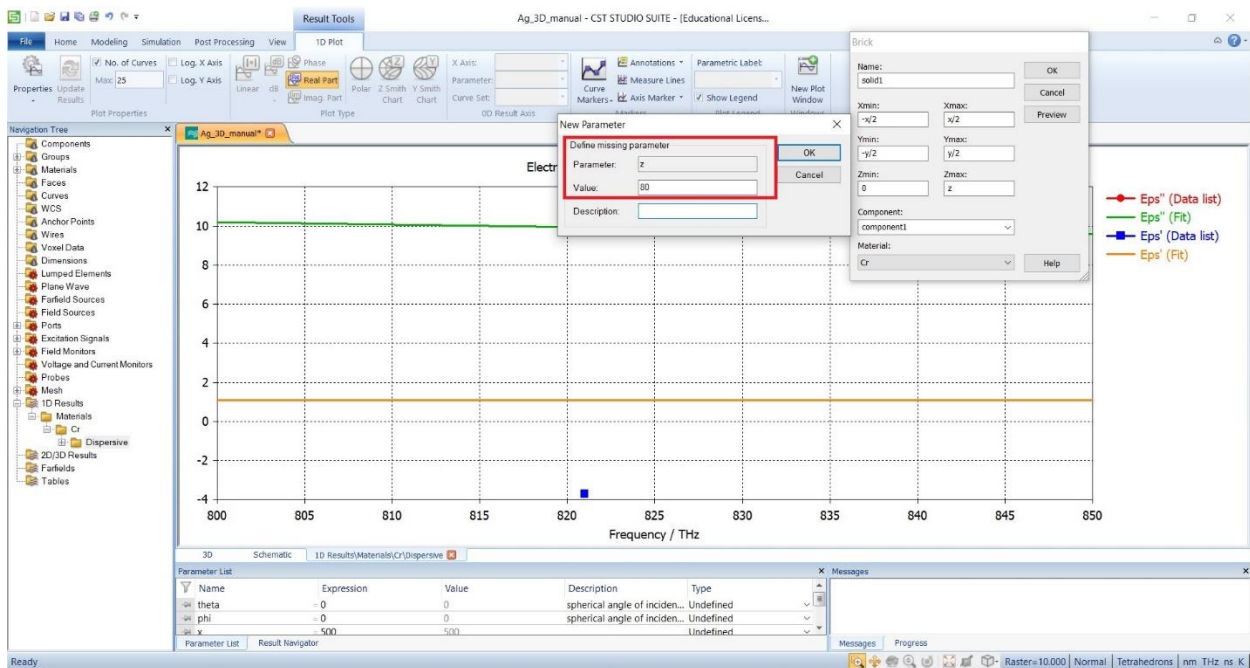
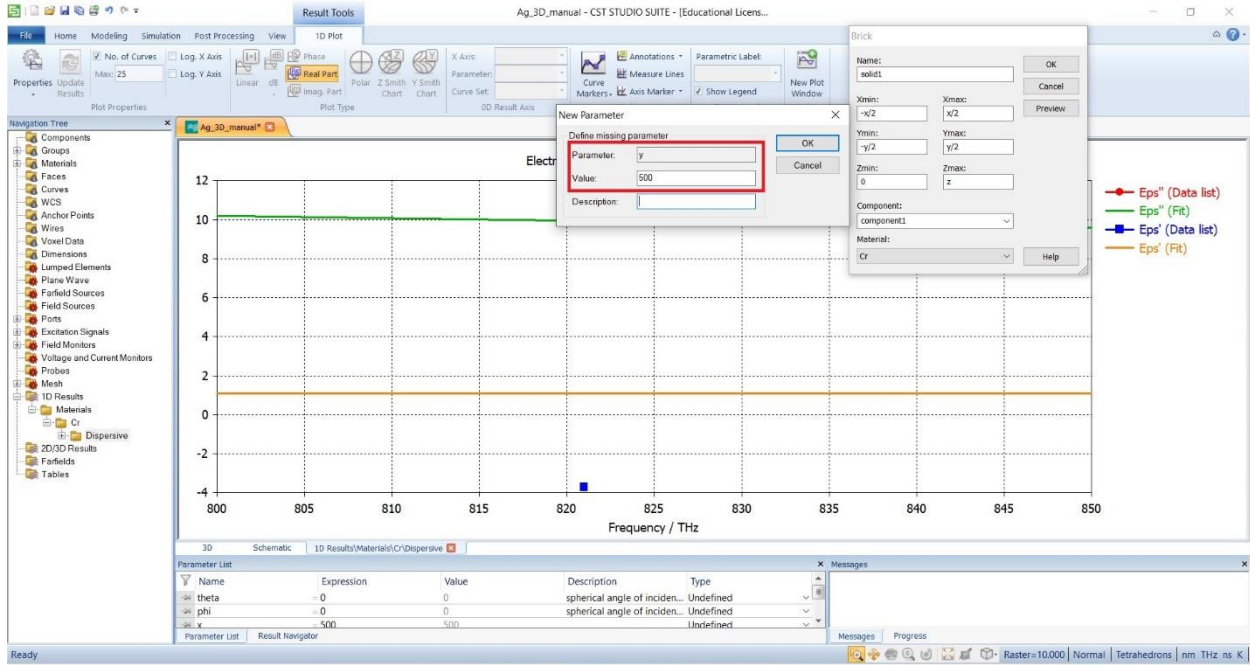
### Step 13: Define permittivity of 'Cr'



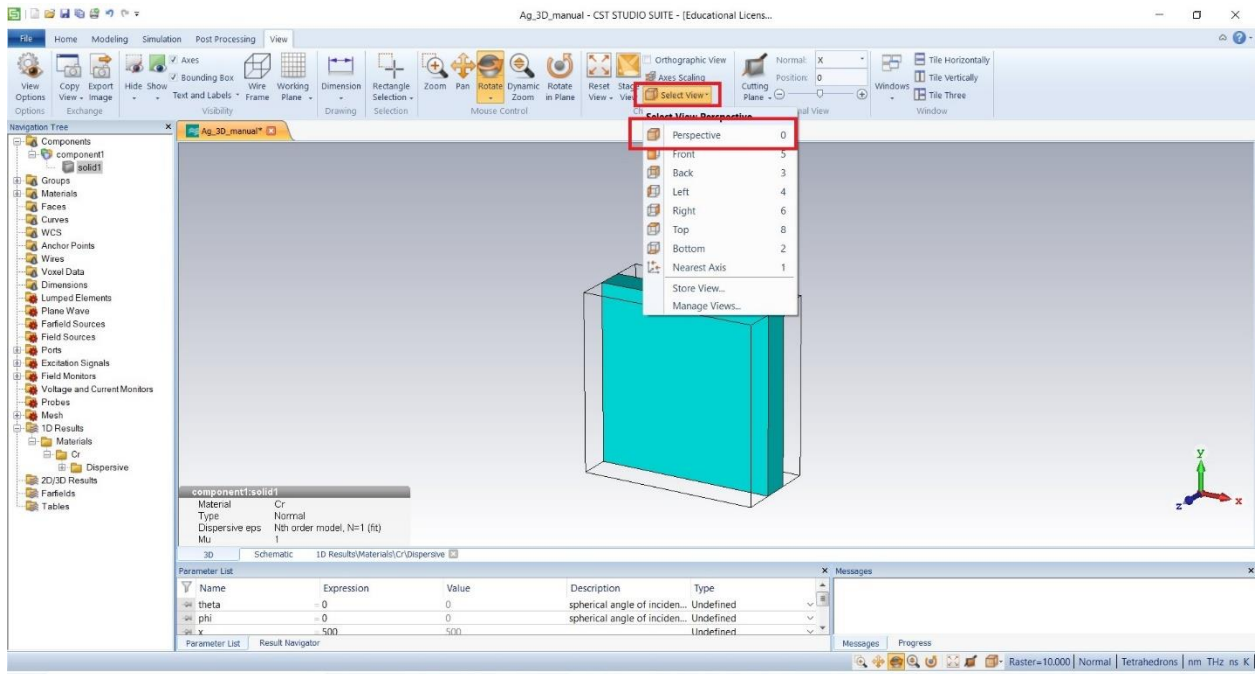
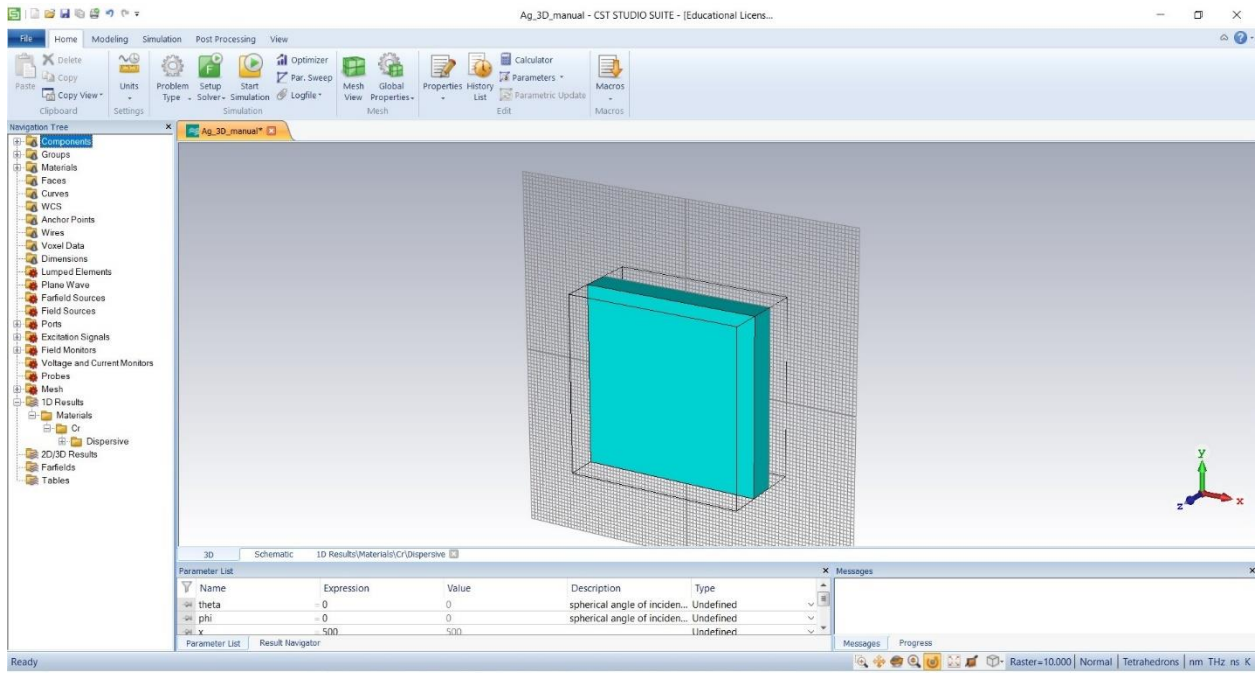


### Step 14: Define dimantion of 'Cr'

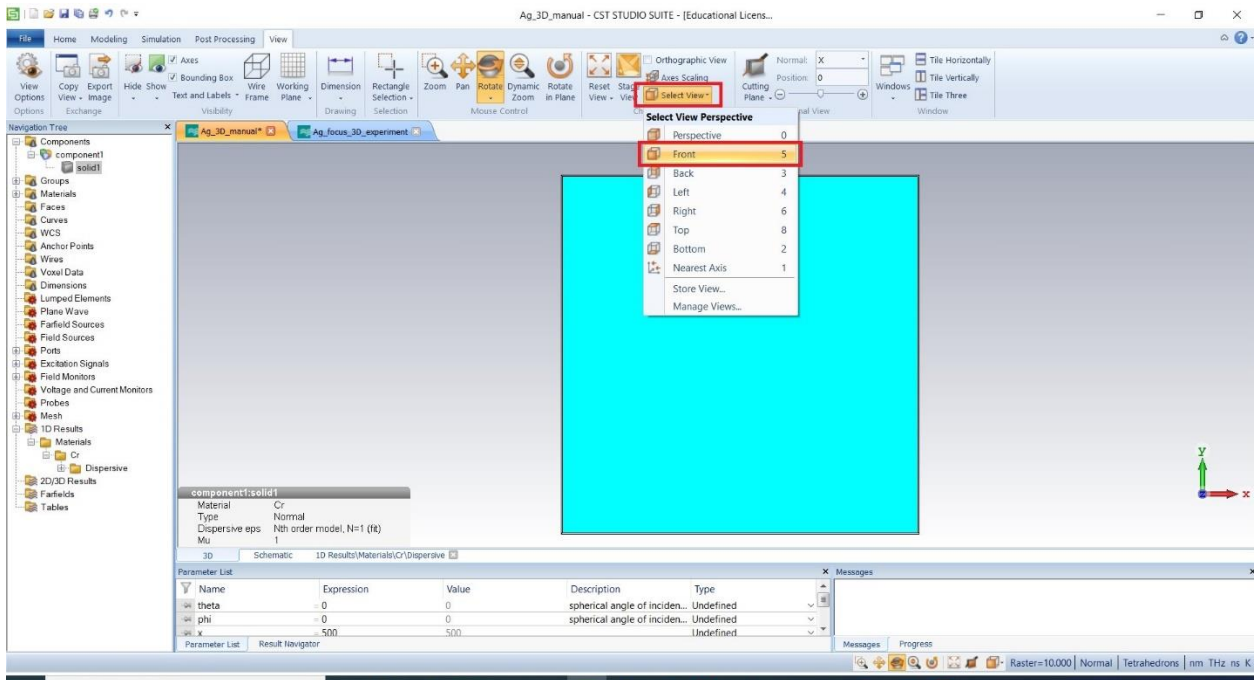




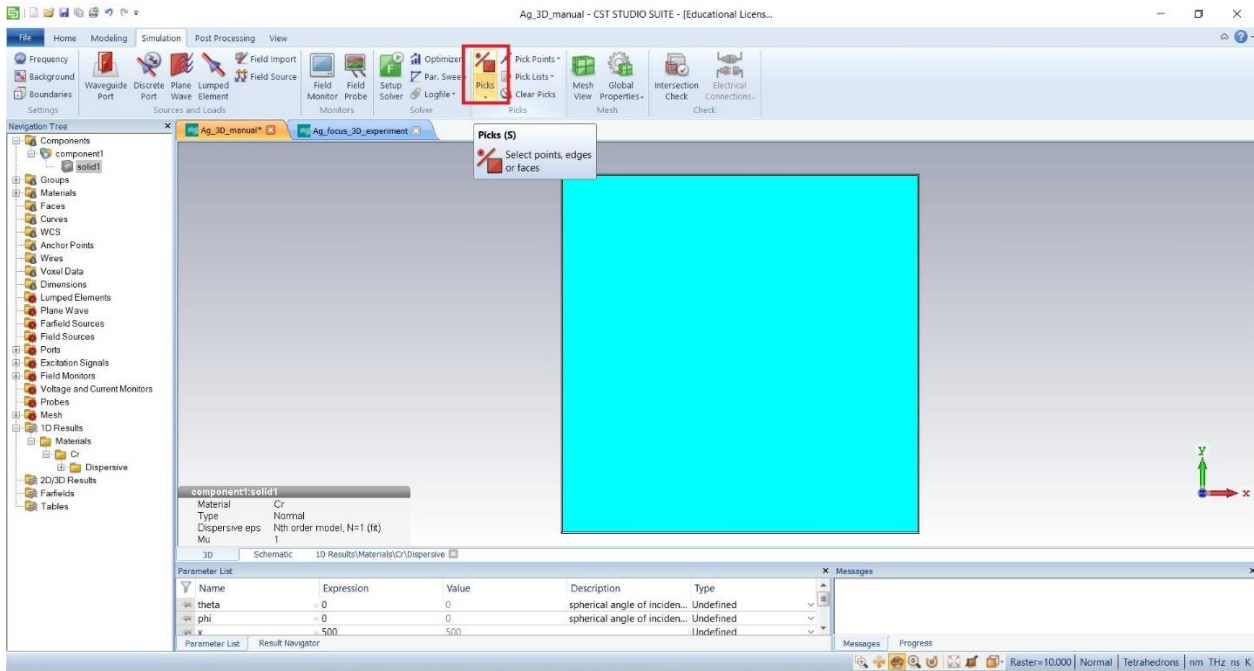
## Step 15: Perspective view



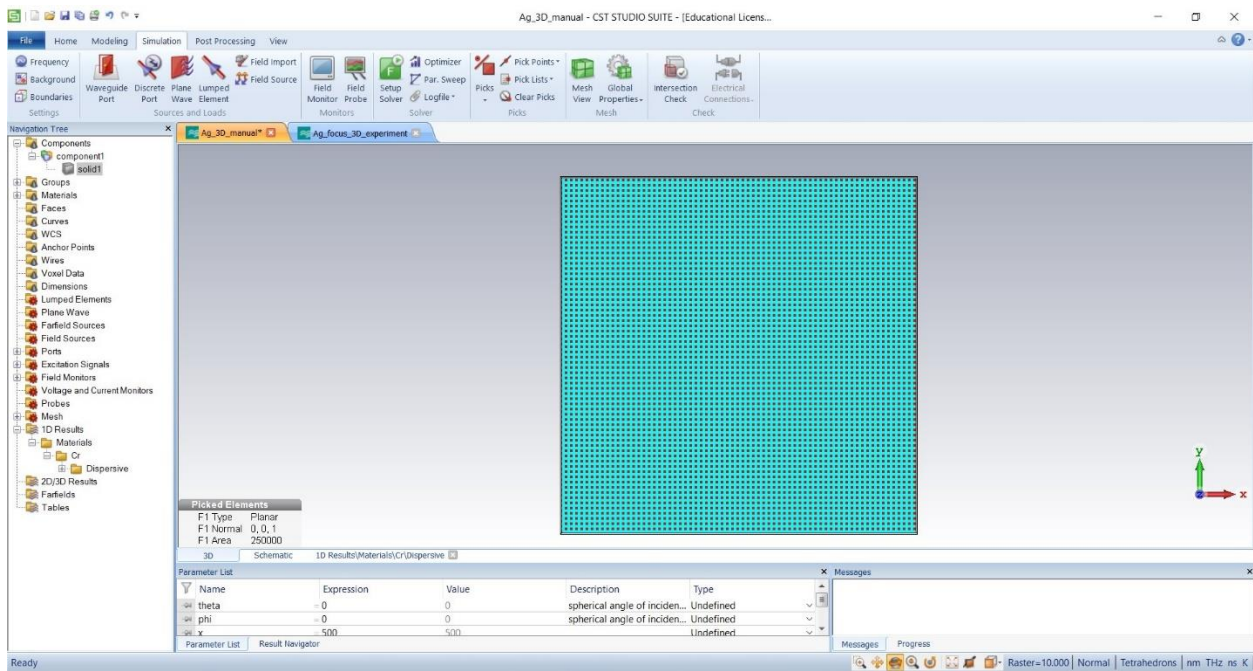
## Step 16: Front view



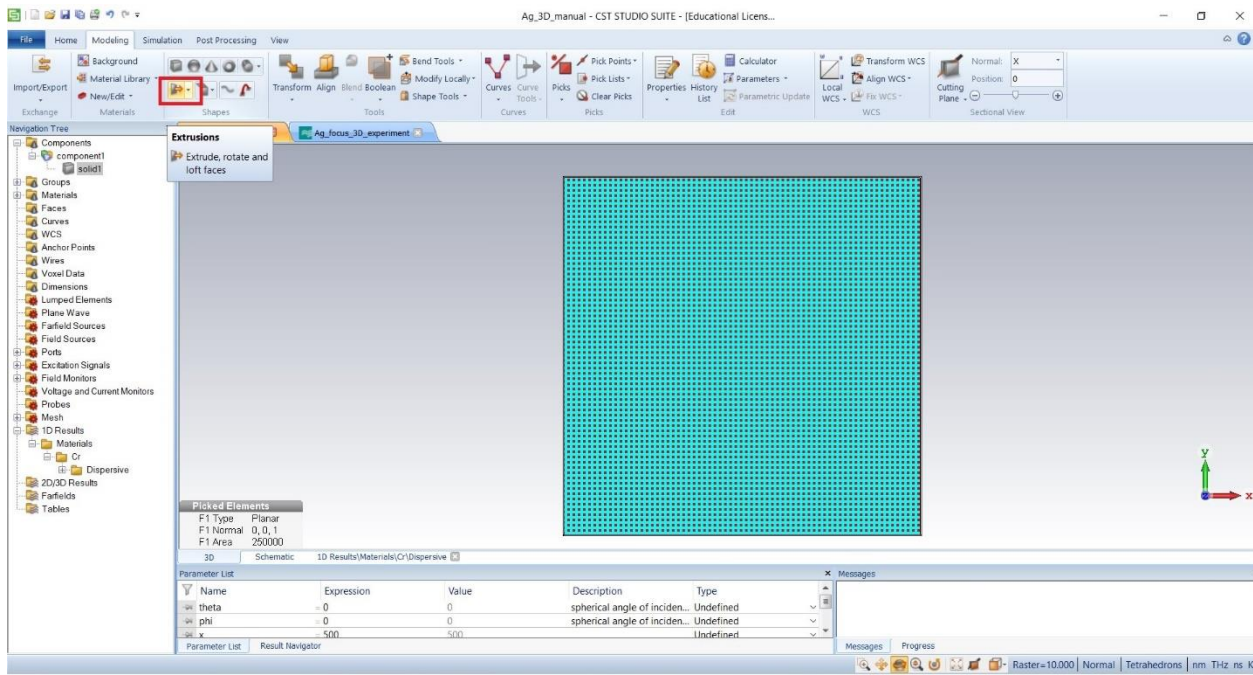
## Step 17: Select 'picks'



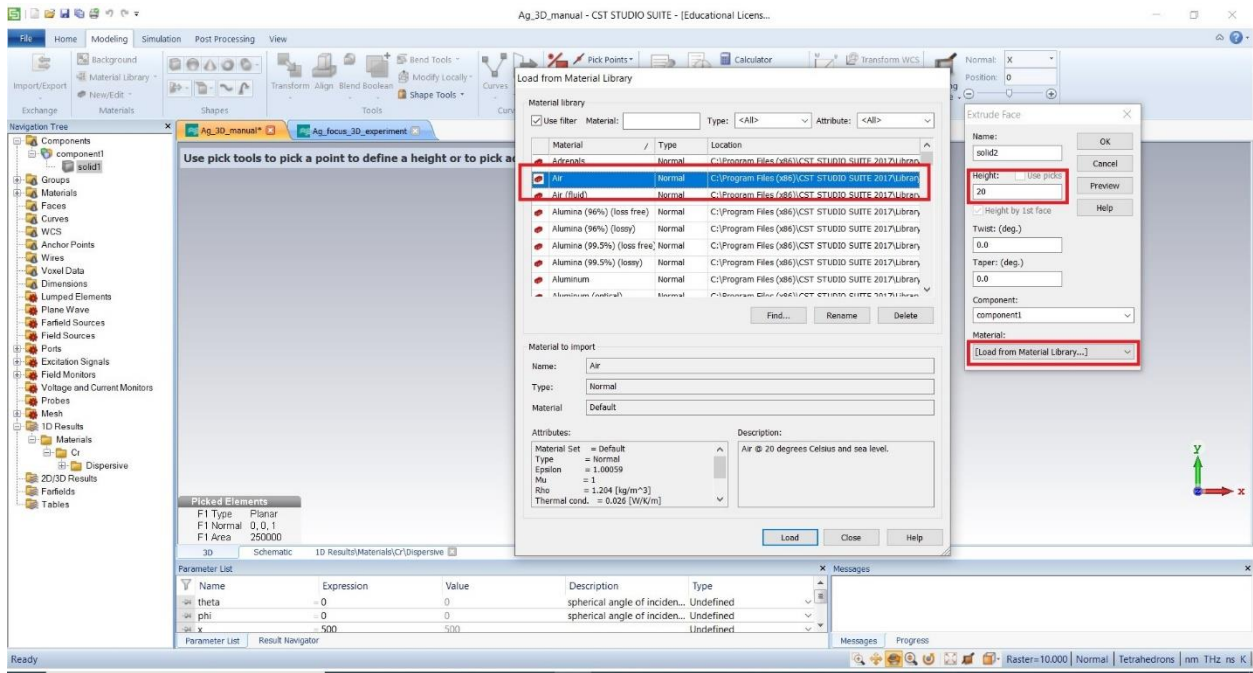
## Step 17: Select Front faces of the existing material (Cr)



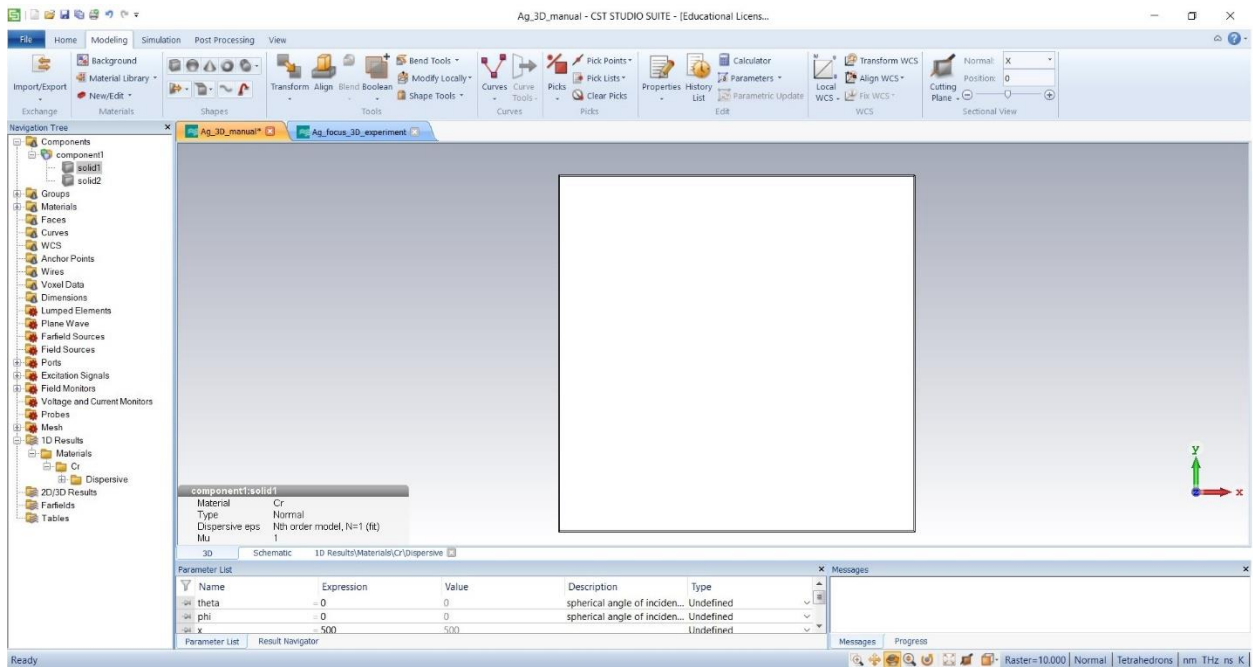
## Step 18: select 'Extrusions'



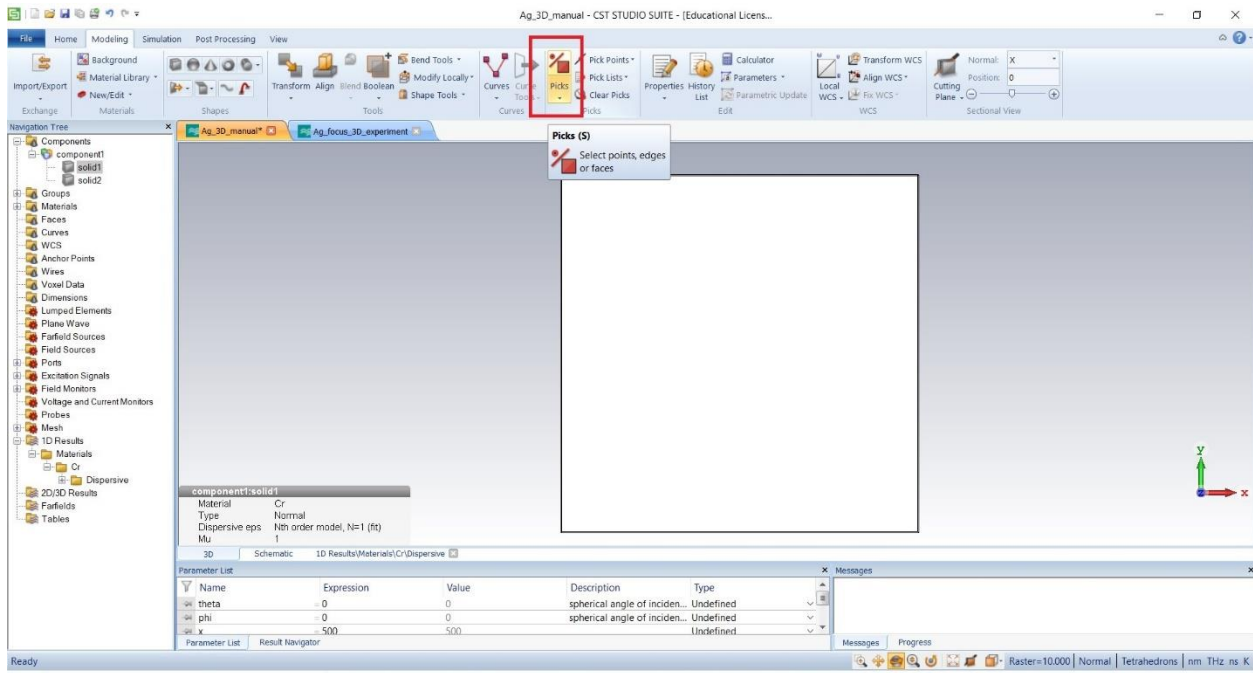
## Step 19: Material selection from library.



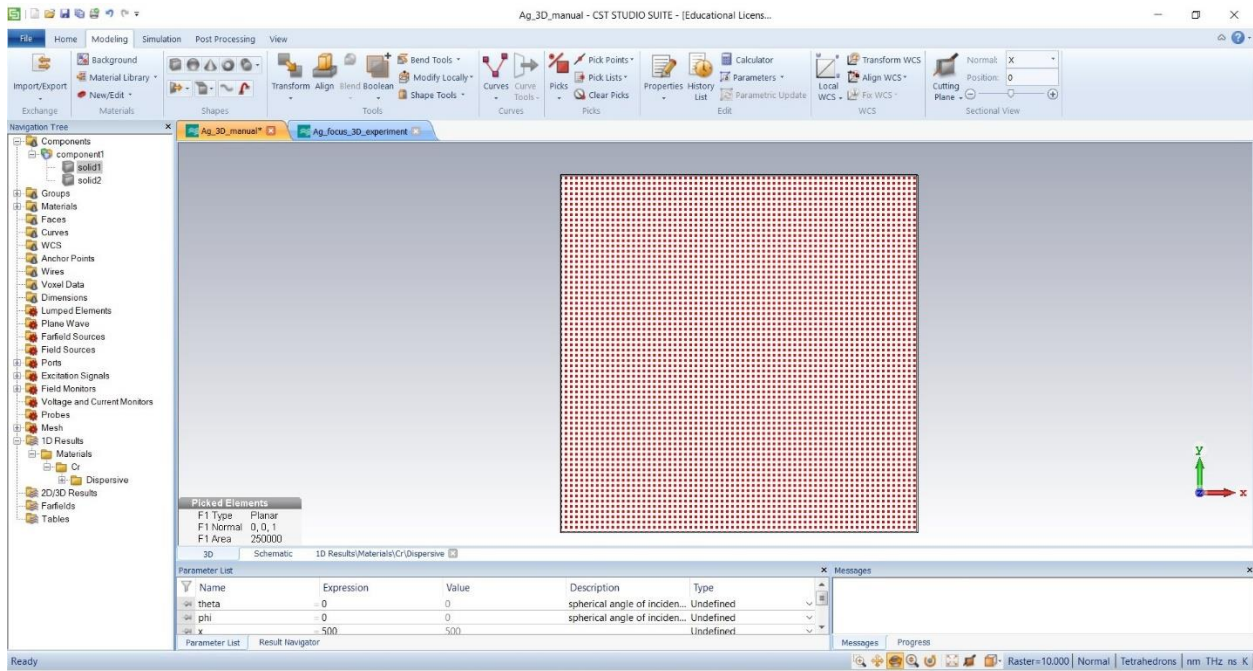
Air has been placed on Cr.



## Step 20: select 'pick' to introduce new material.

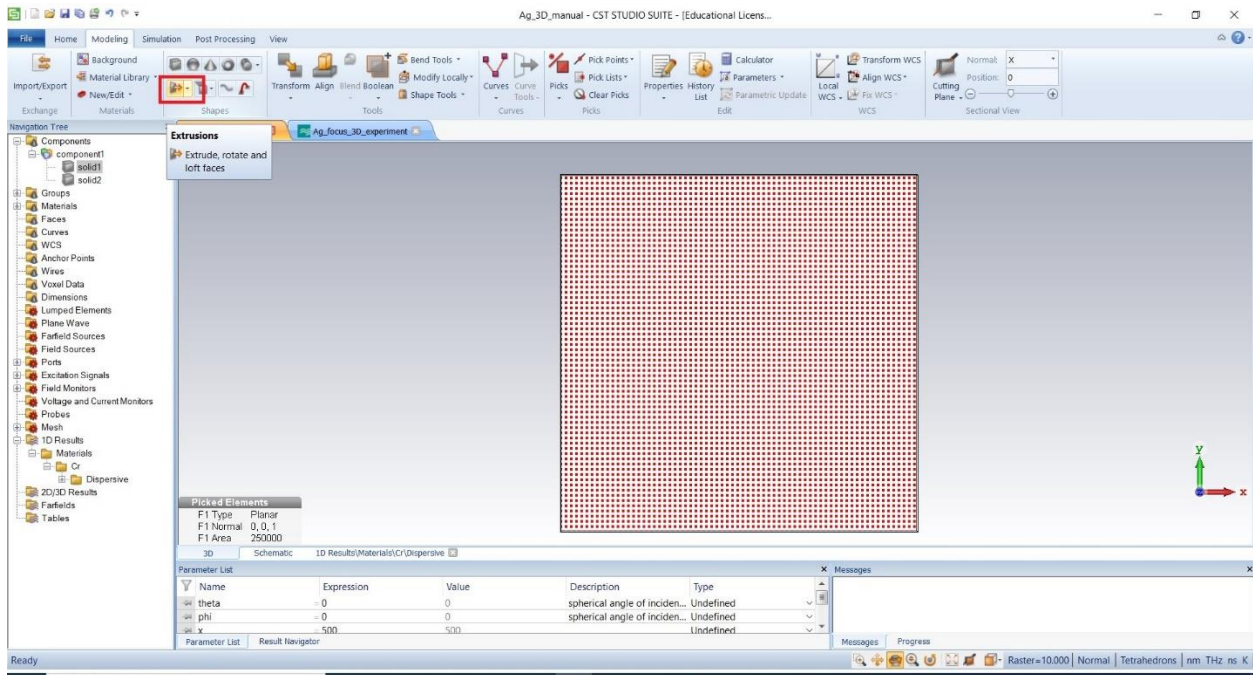


## Step 21: select the face

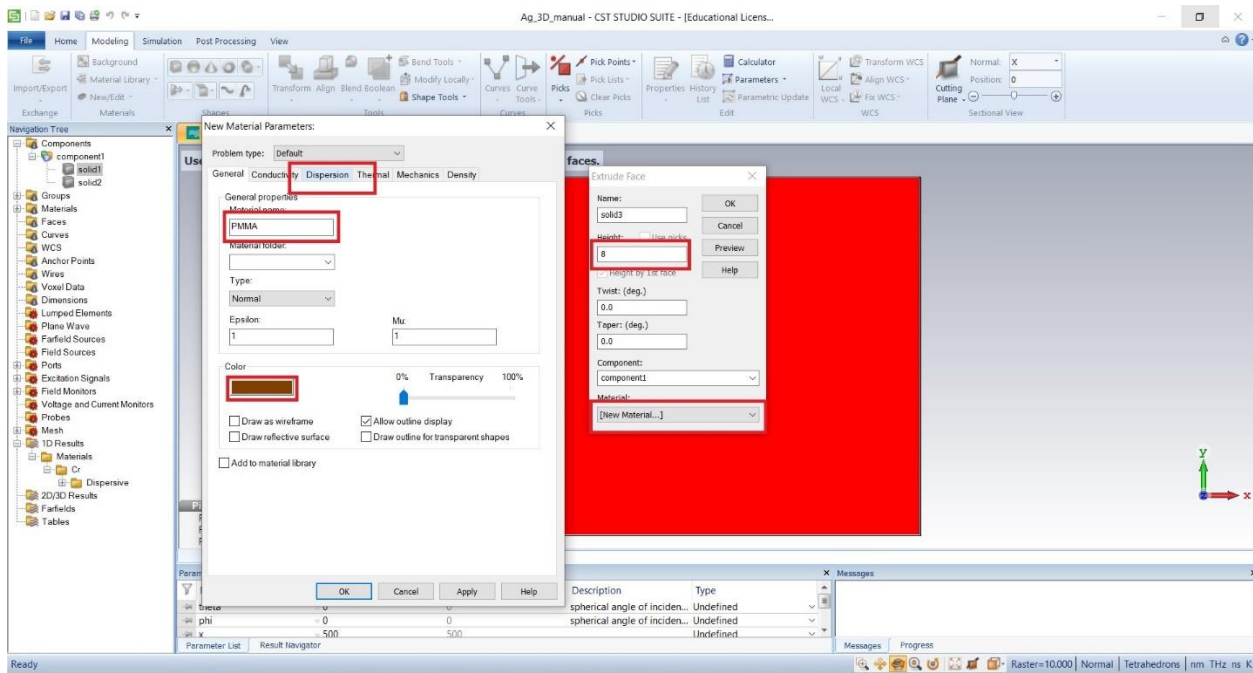


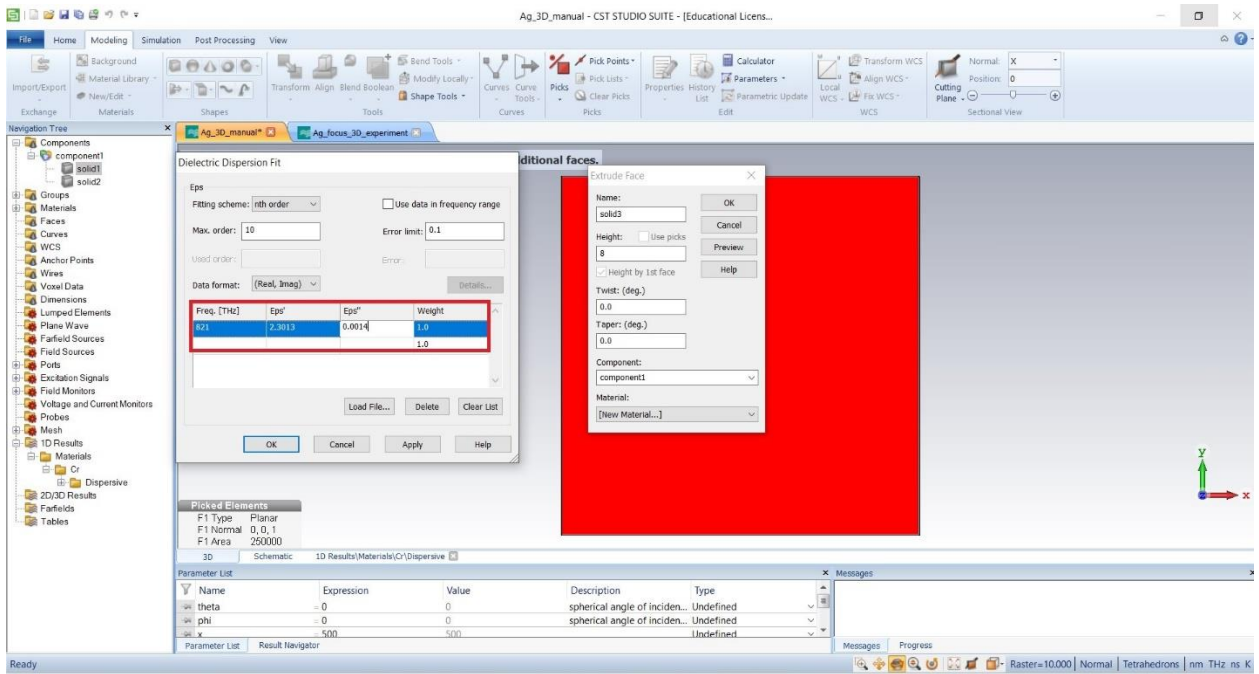
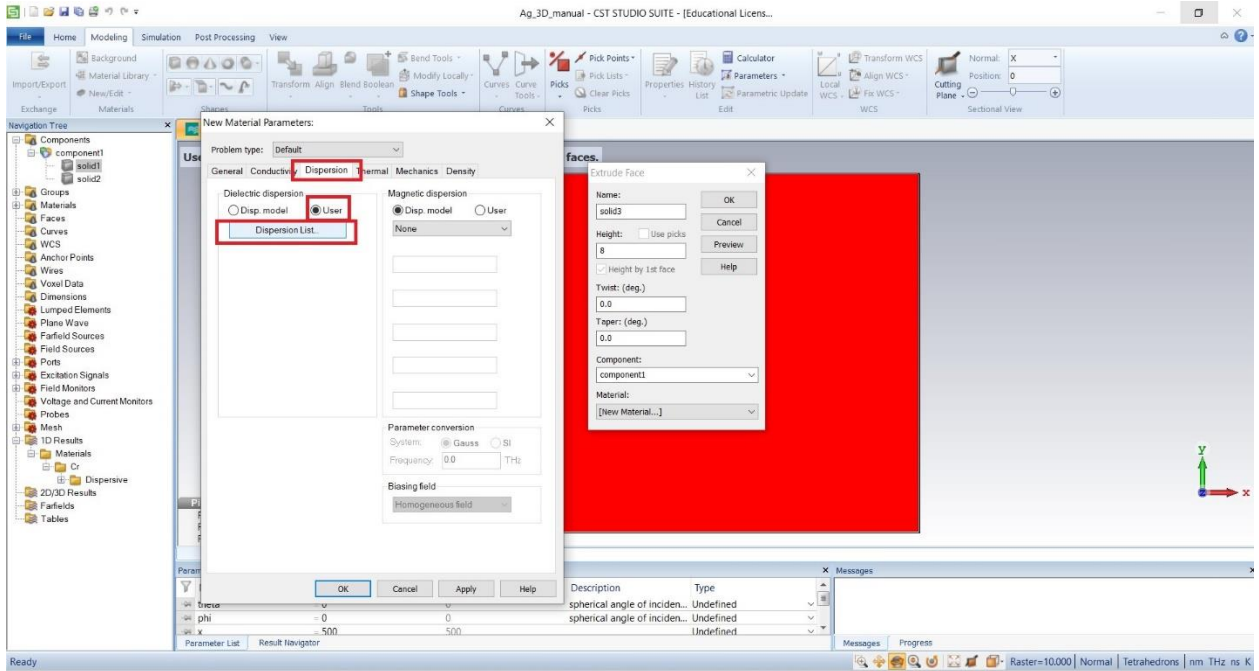


## Step 22: select 'Extrusion'

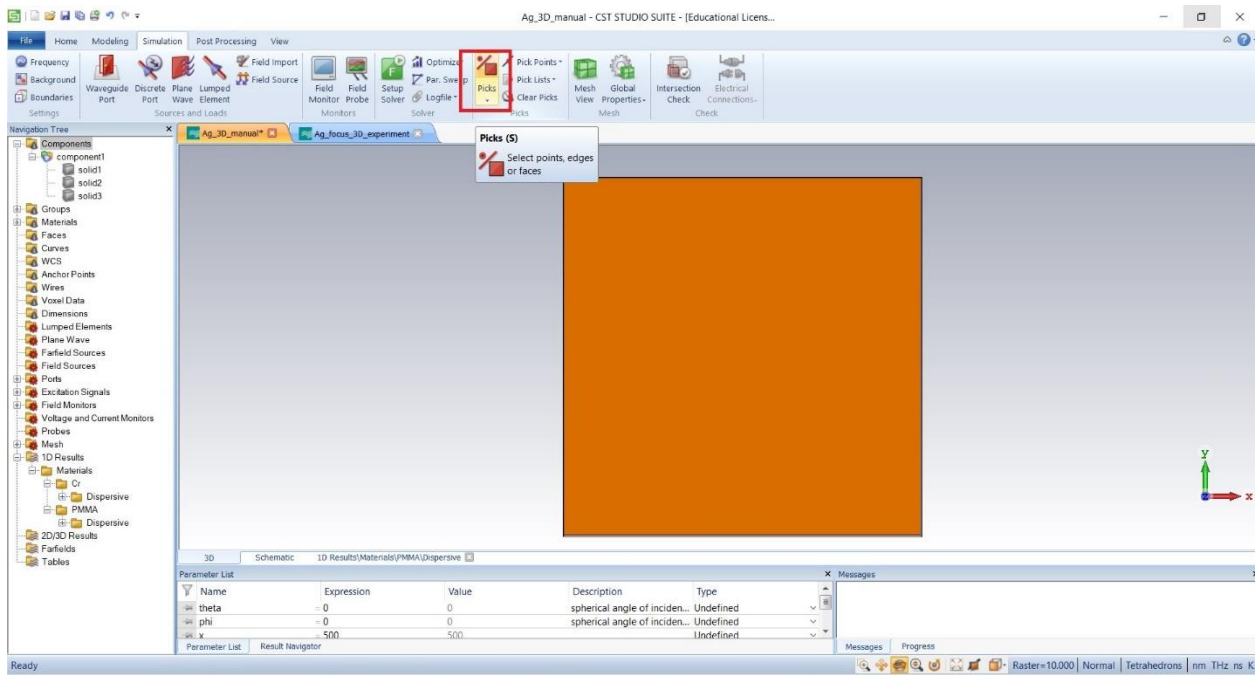


## Step 22: Set the dimension and permittivity of new material (PMMA)

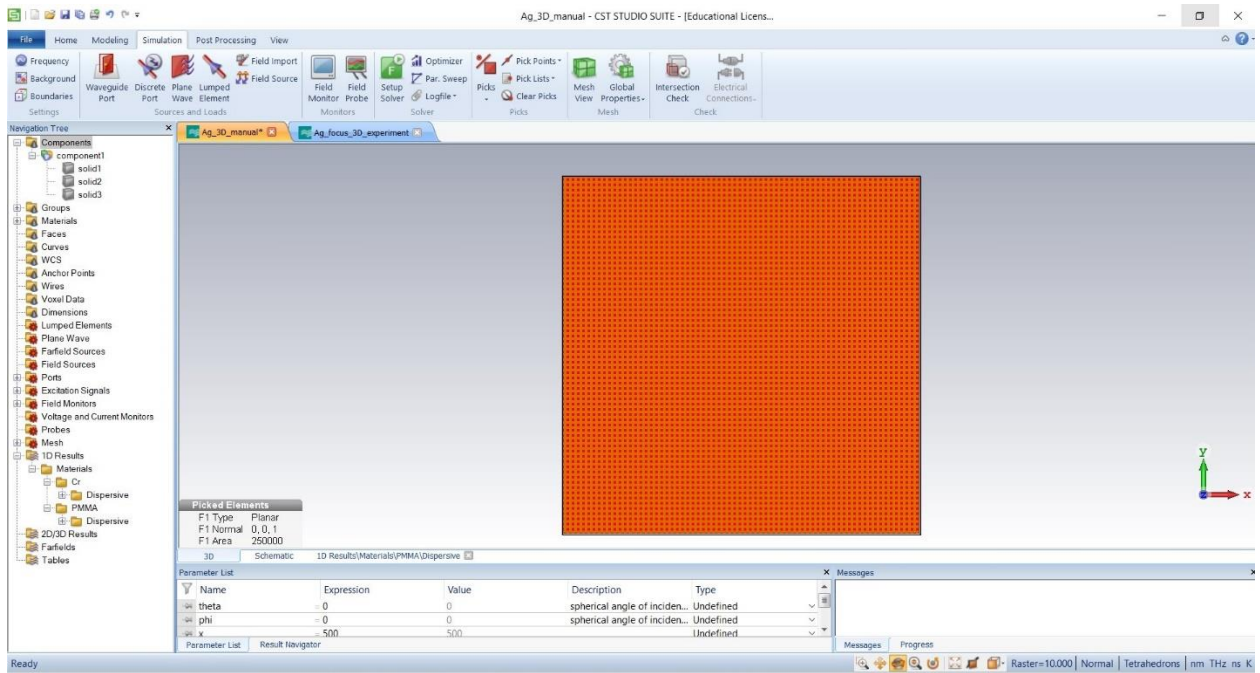




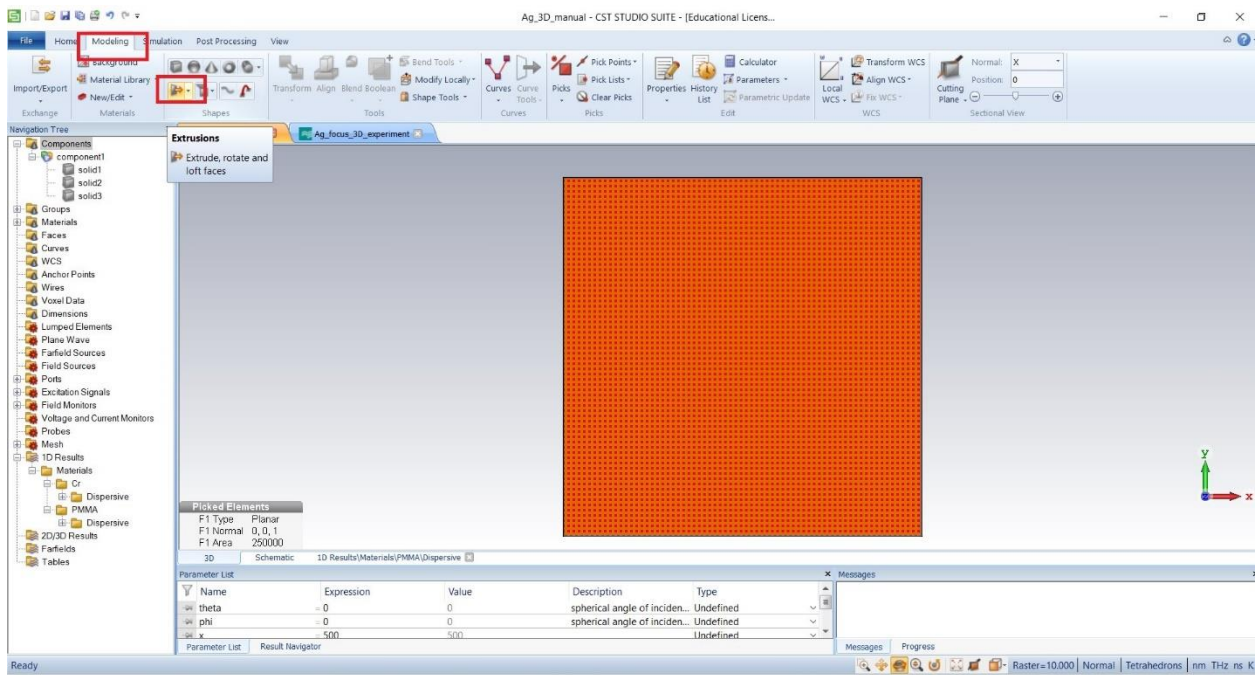
### Step 23: Three materials (Cr, Air, PMMA) have been formed, select pick again



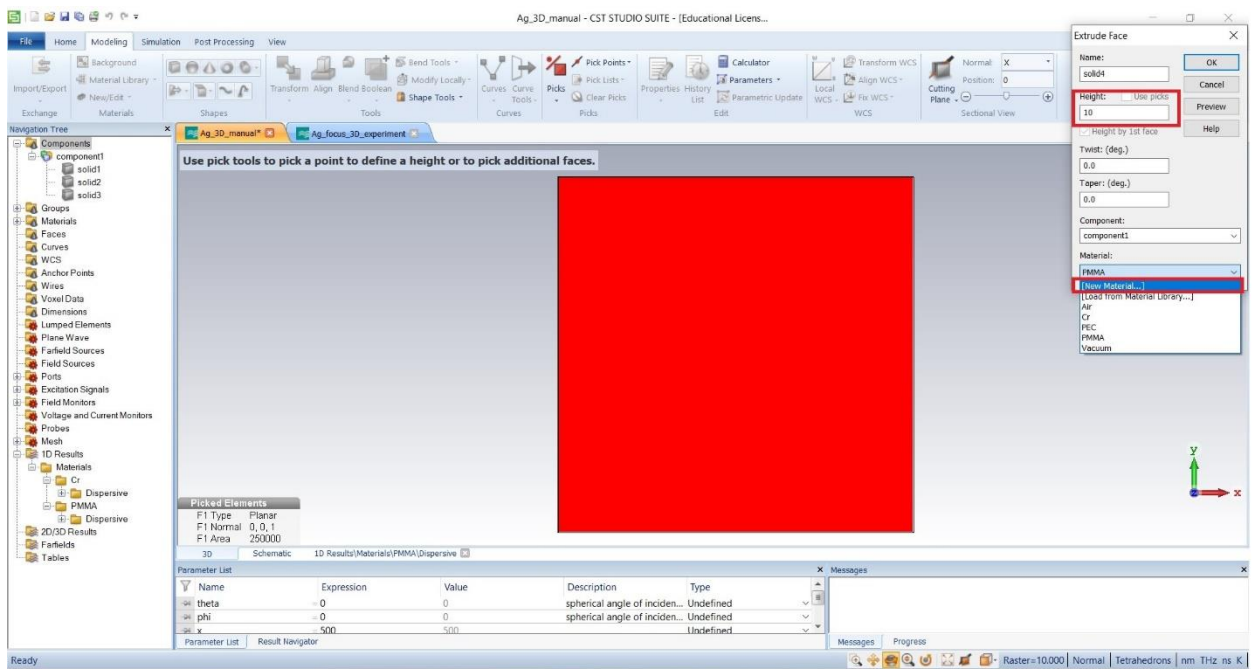
### Step 24: Select 'Face'

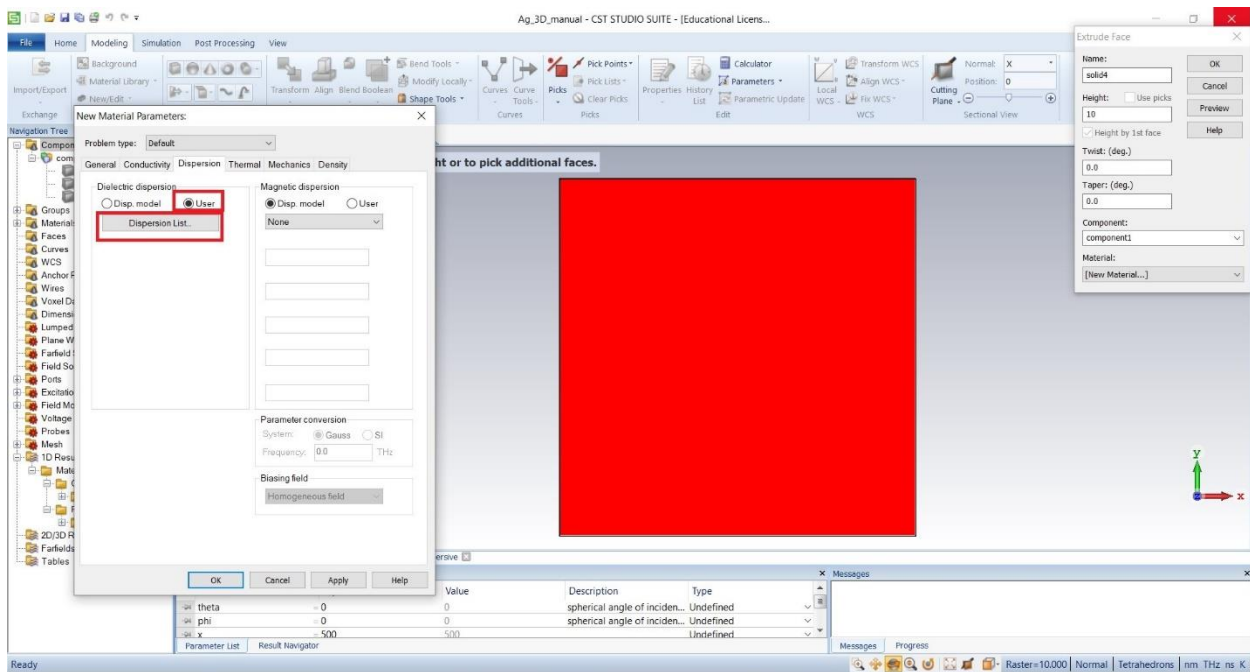
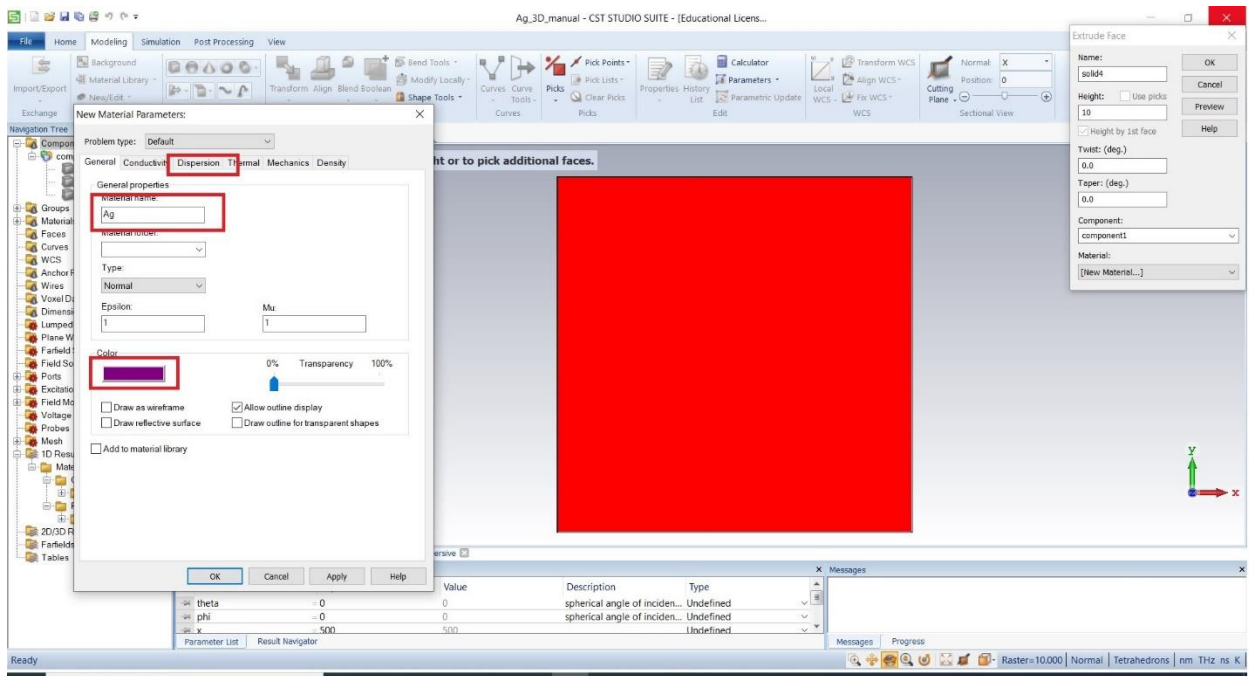


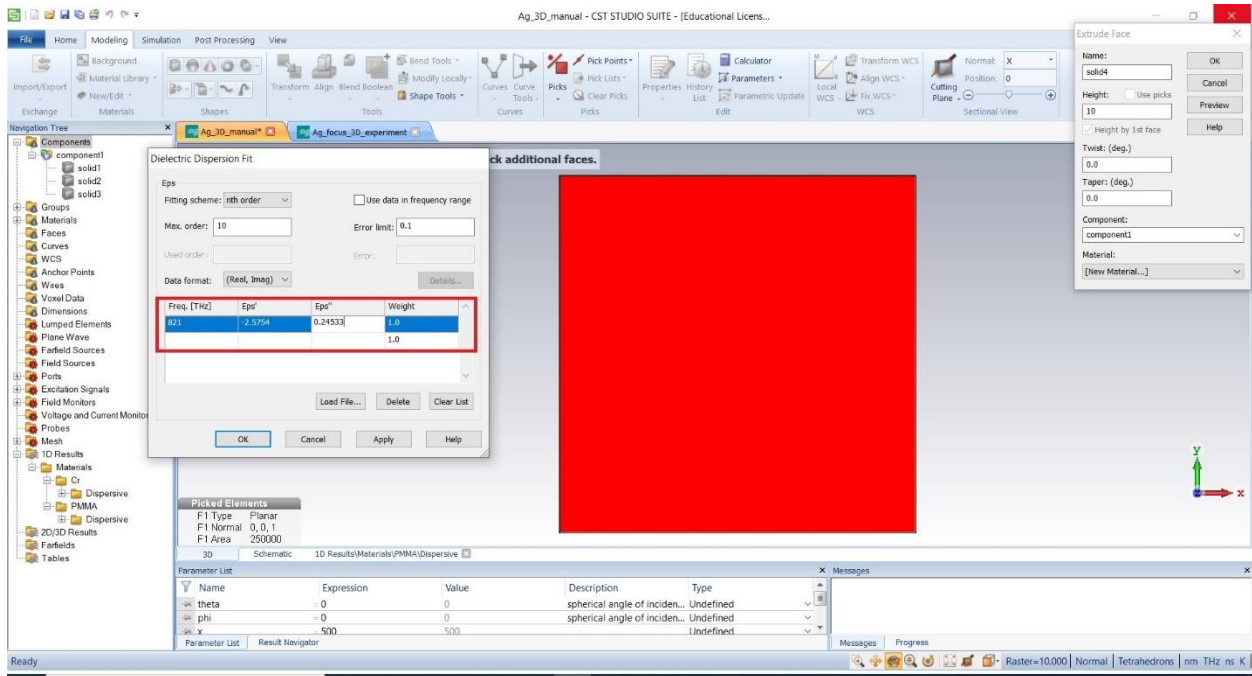
## Step 25: Select 'Extrusion'



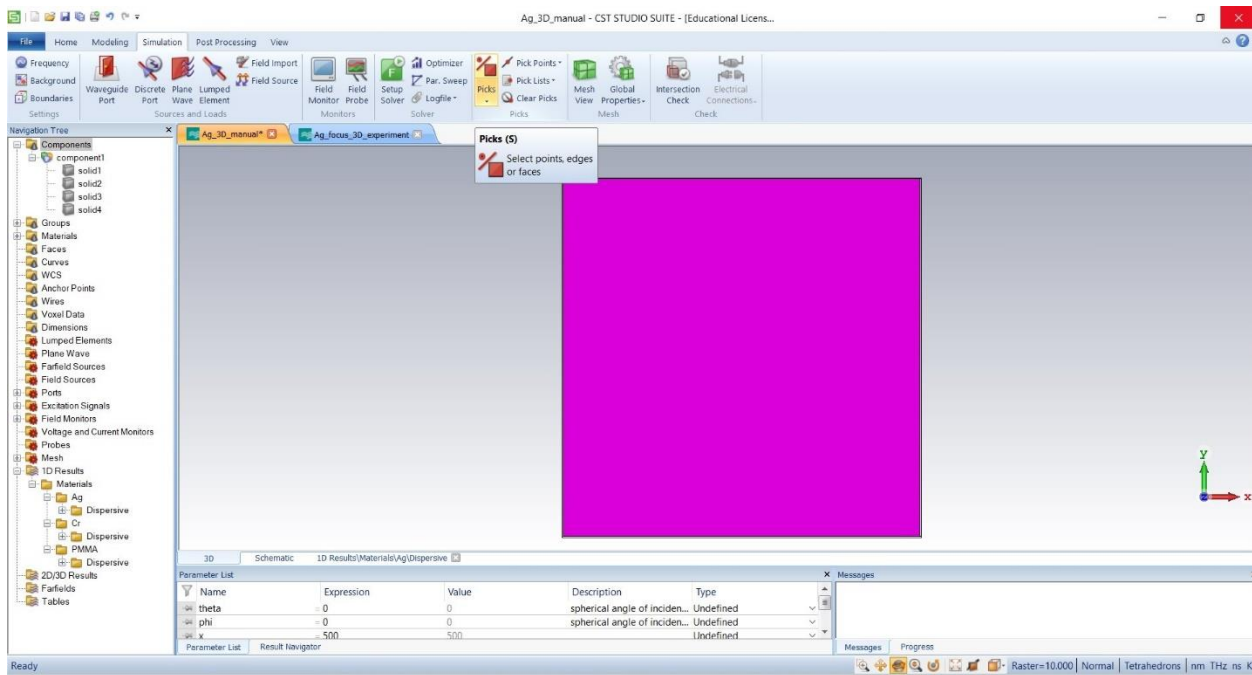
## Step 26: Set the dimension and permittivity of new material (Ag)



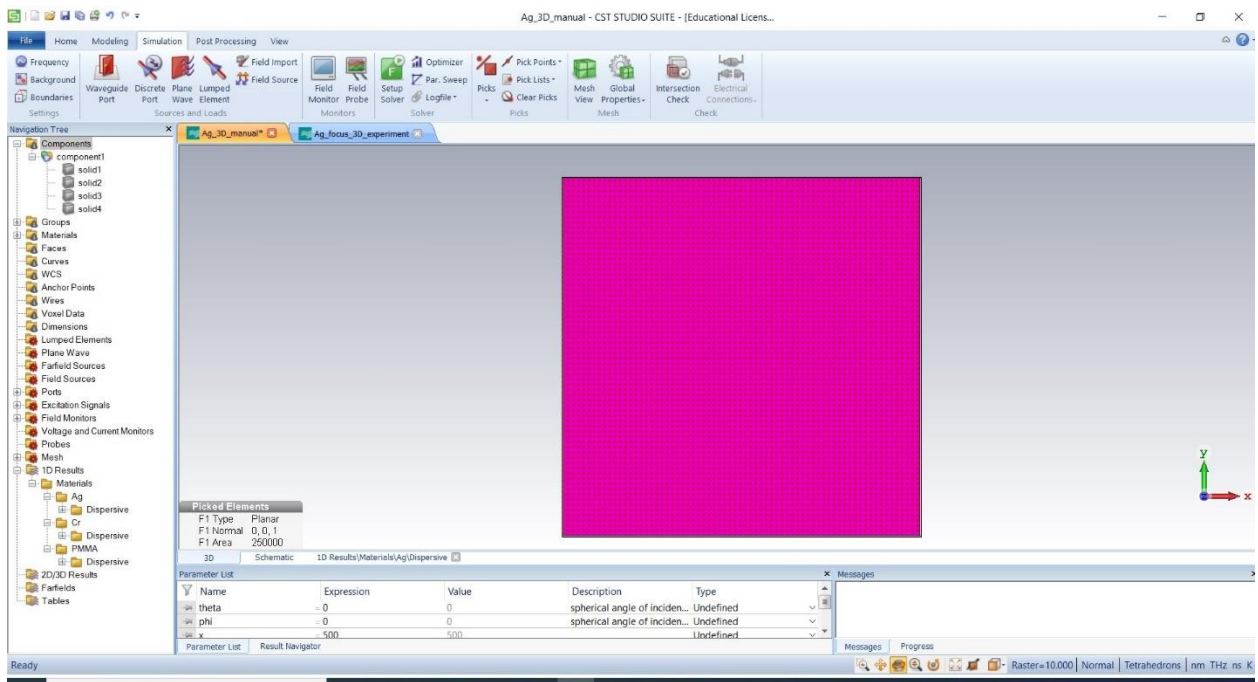




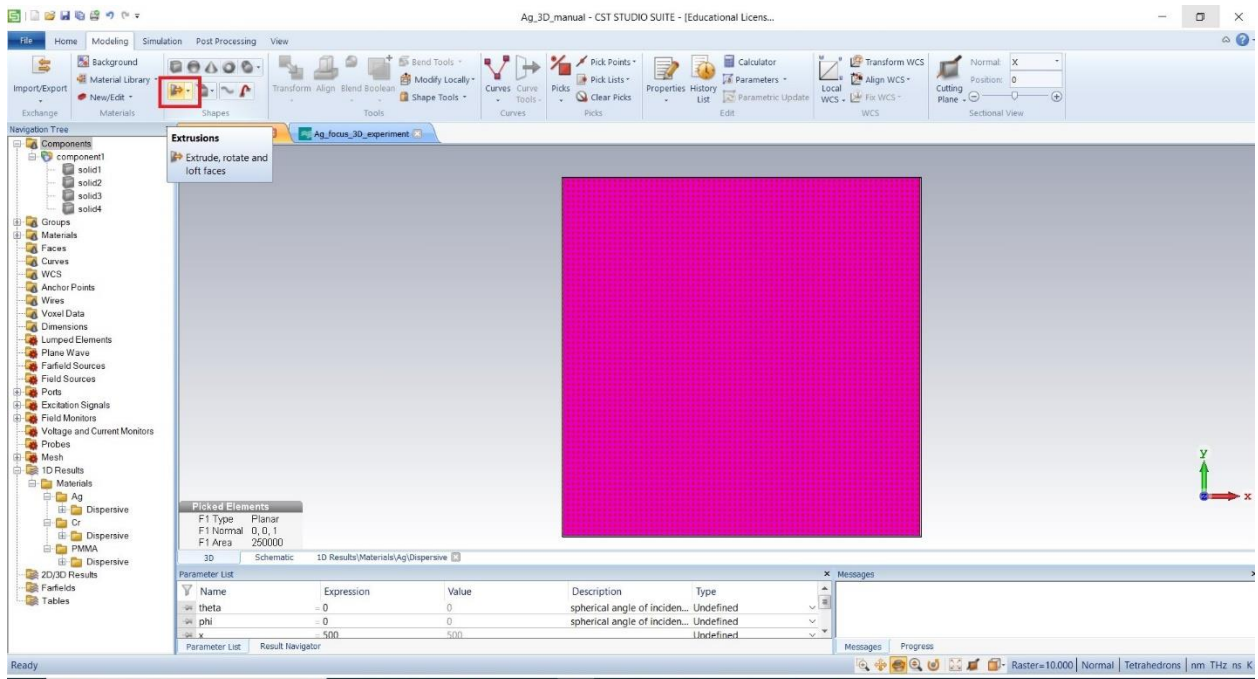
**Step 27:** Four materials (Cr, Air, PMMA, Ag) have been formed, select pick again



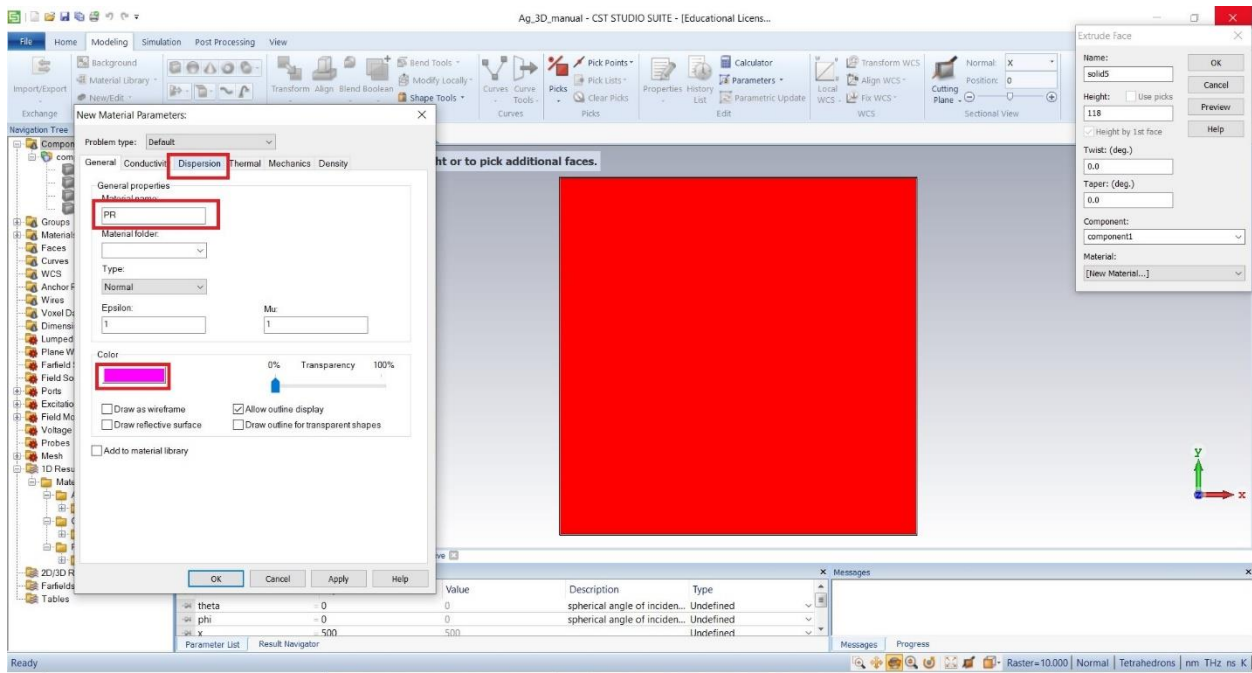
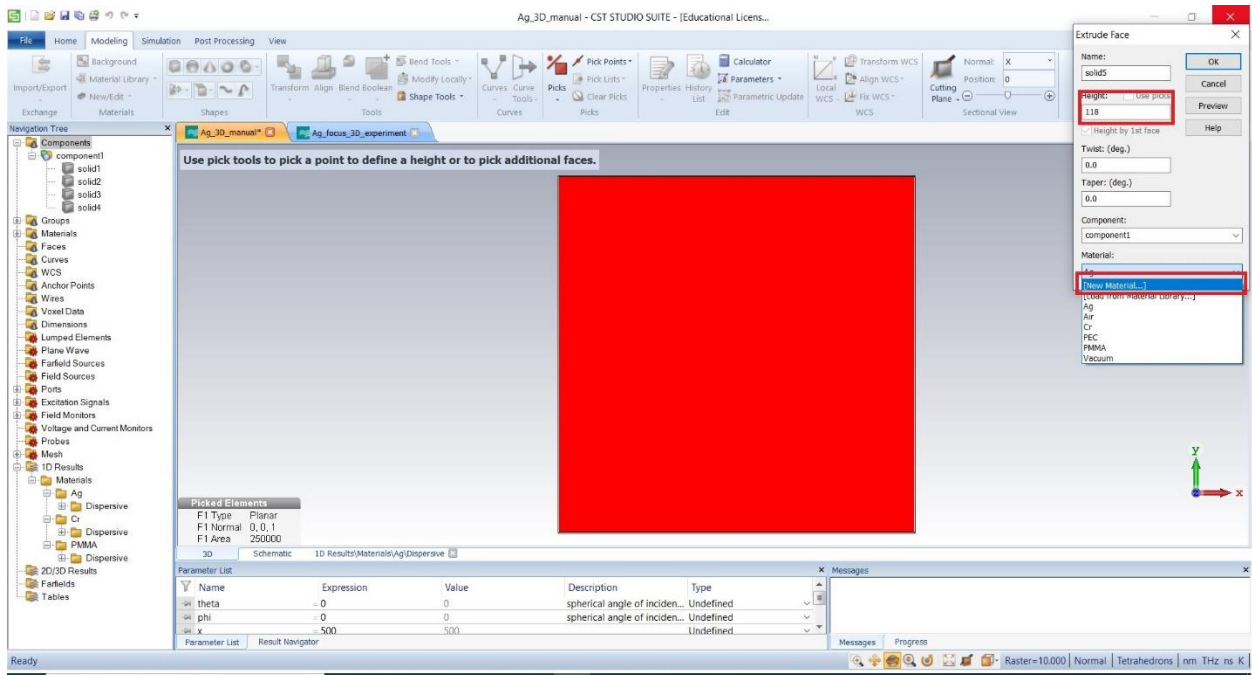
## Step 28: Select 'Face'



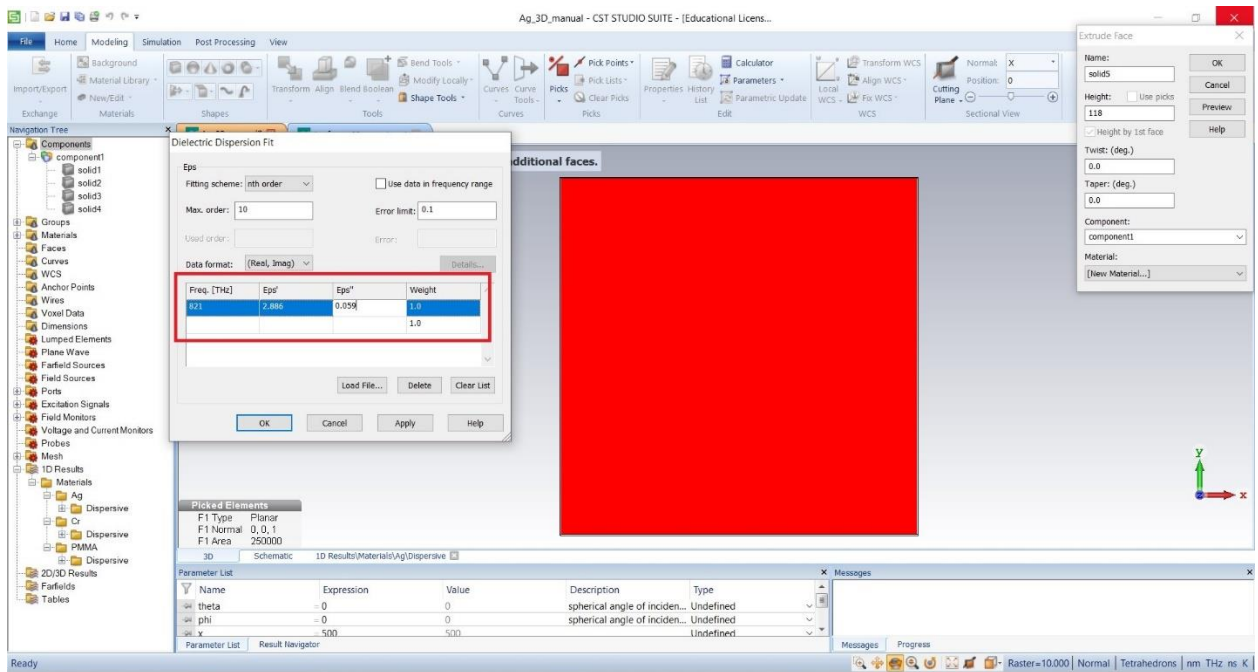
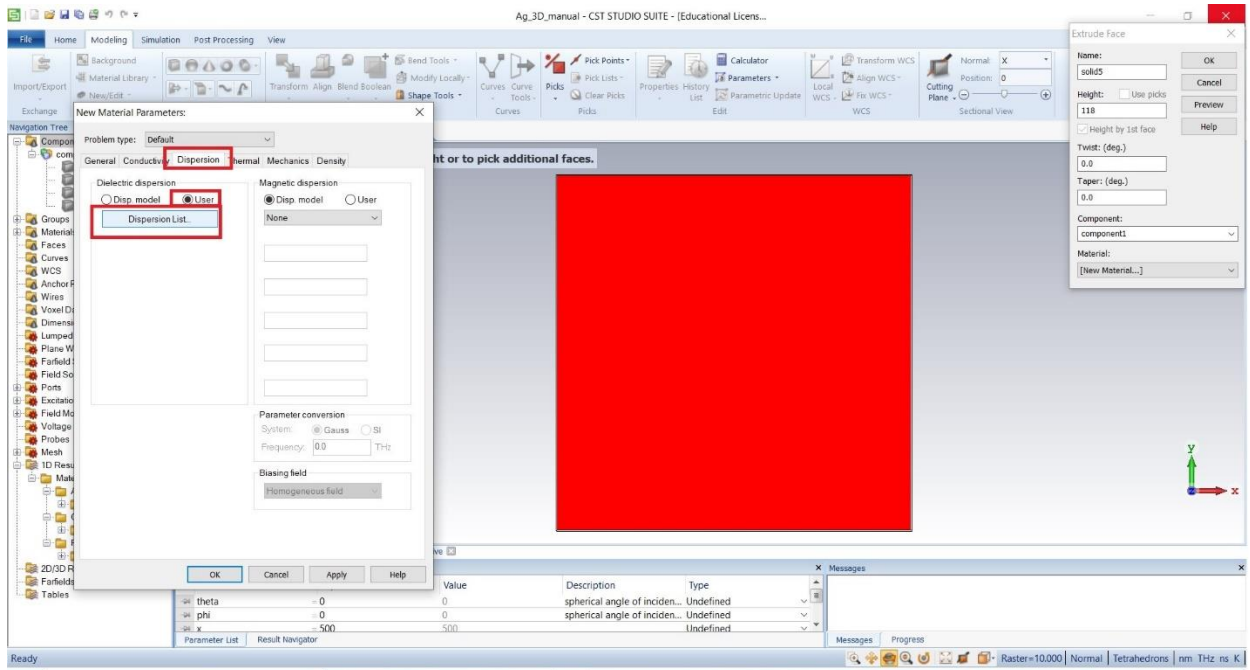
## Step 29: Select 'Extrusion'



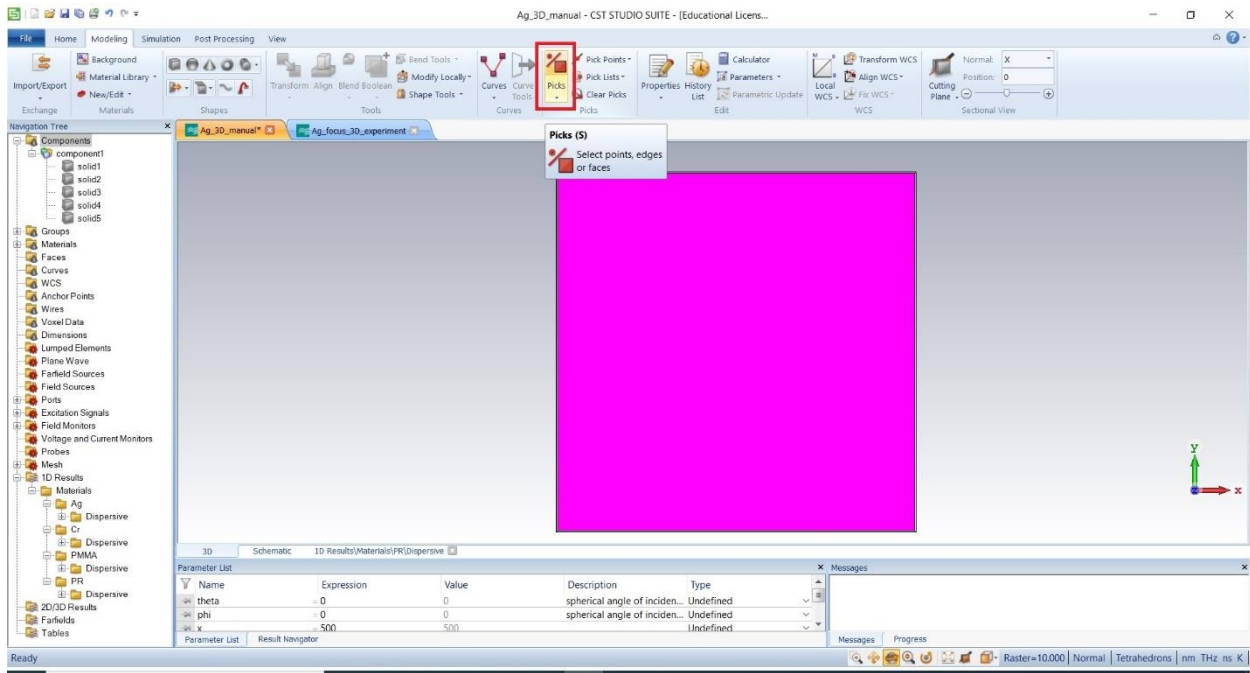
### Step 30: Set the dimension and permittivity of new material (PR)



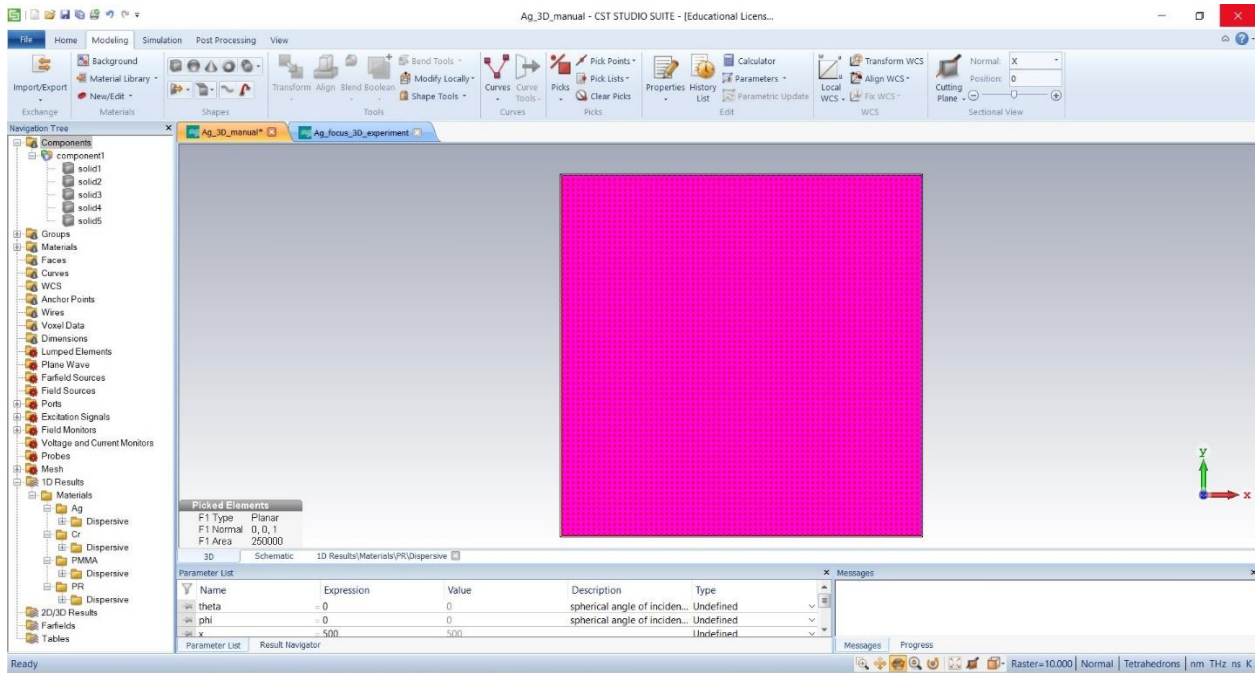




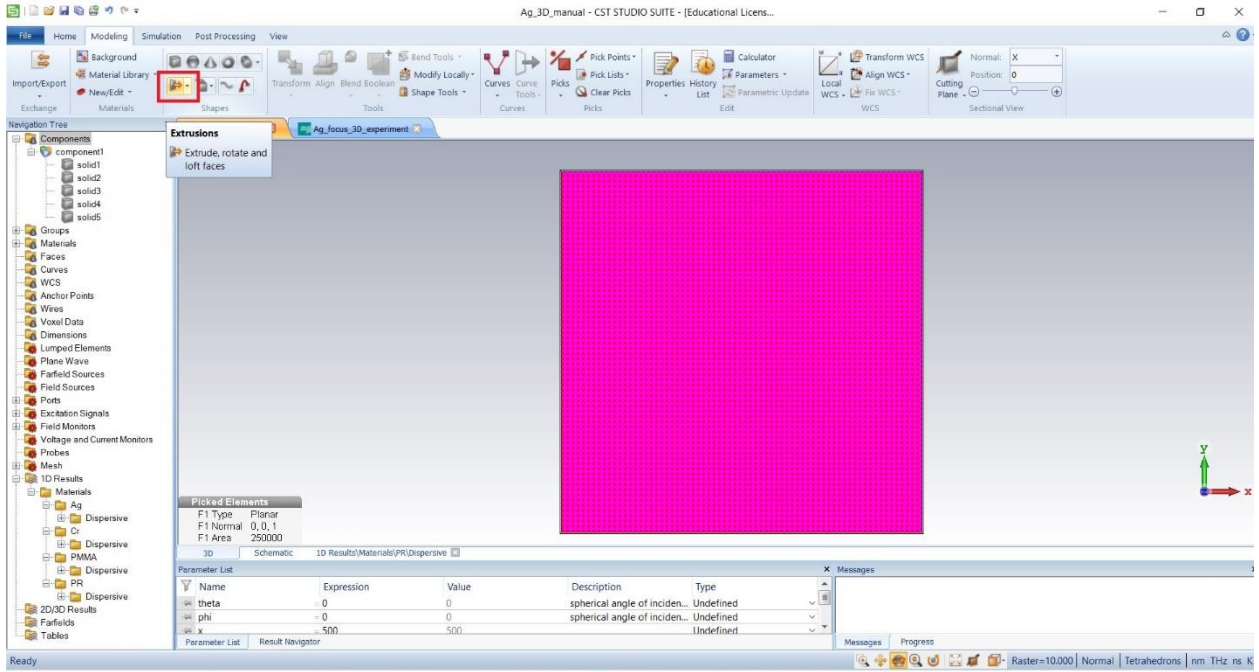
### Step 31: Five materials (Cr, Air, PMMA, Ag, PR) have been formed, select pick again



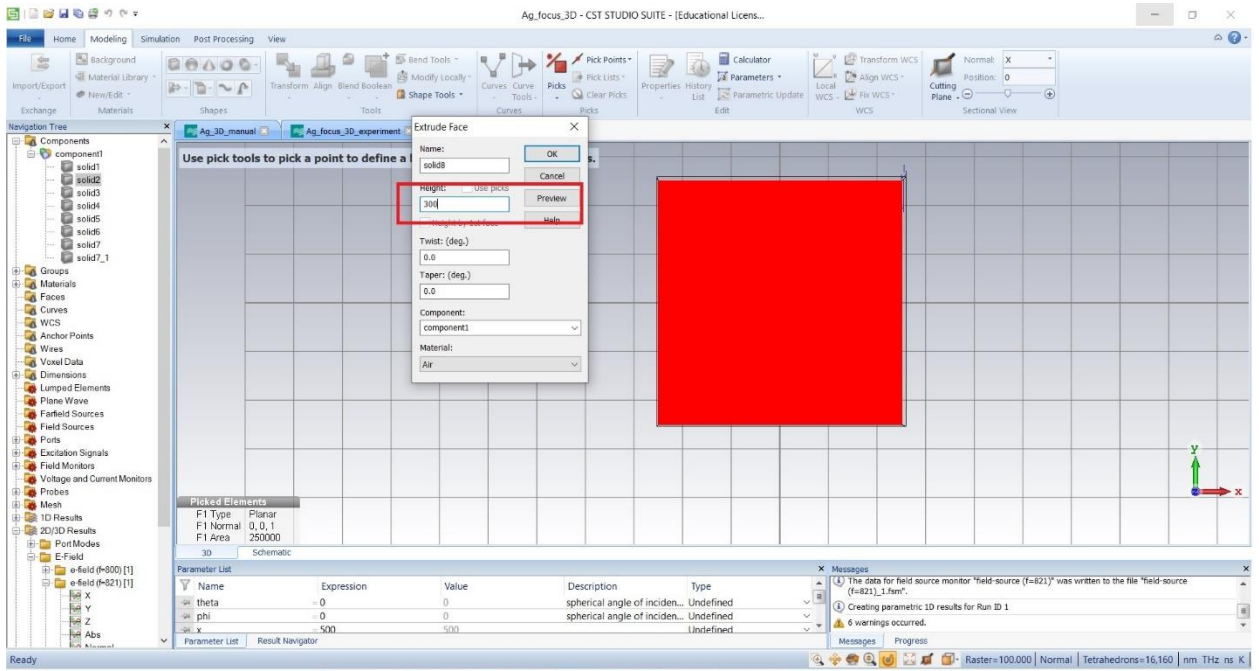
### Step 32: Select 'Face'



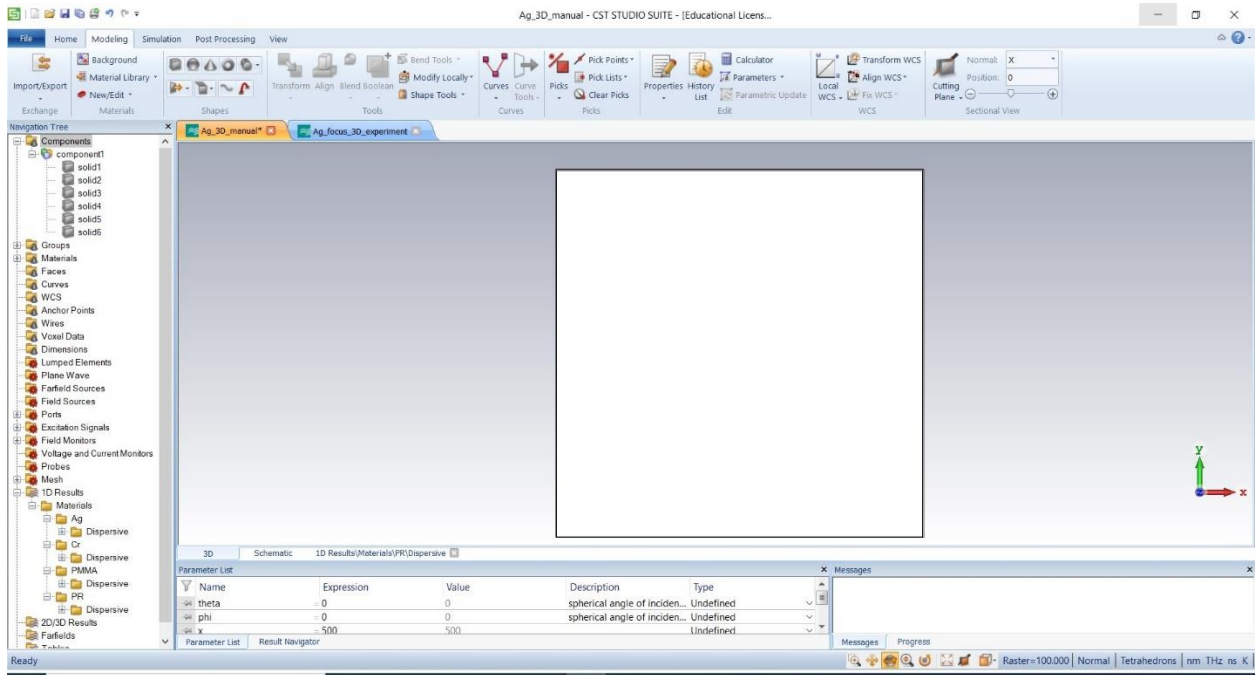
### Step 33: Select 'Extrusion'



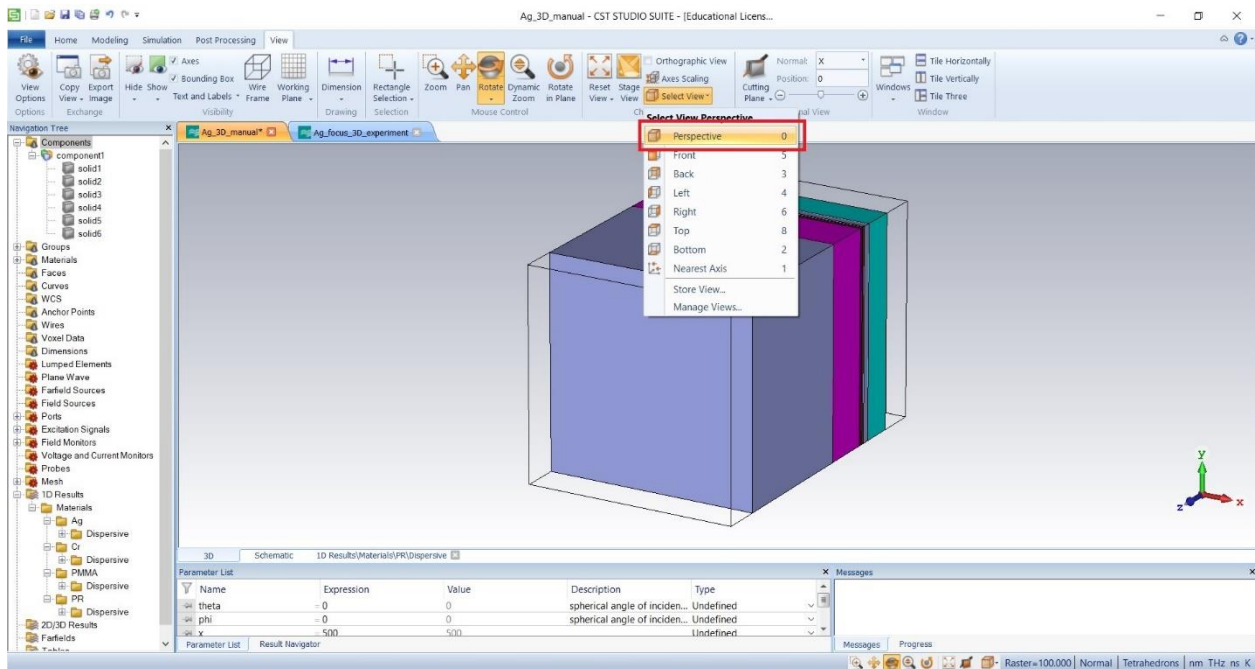
### Step 34: Select material 'Air' from library and define the dimension



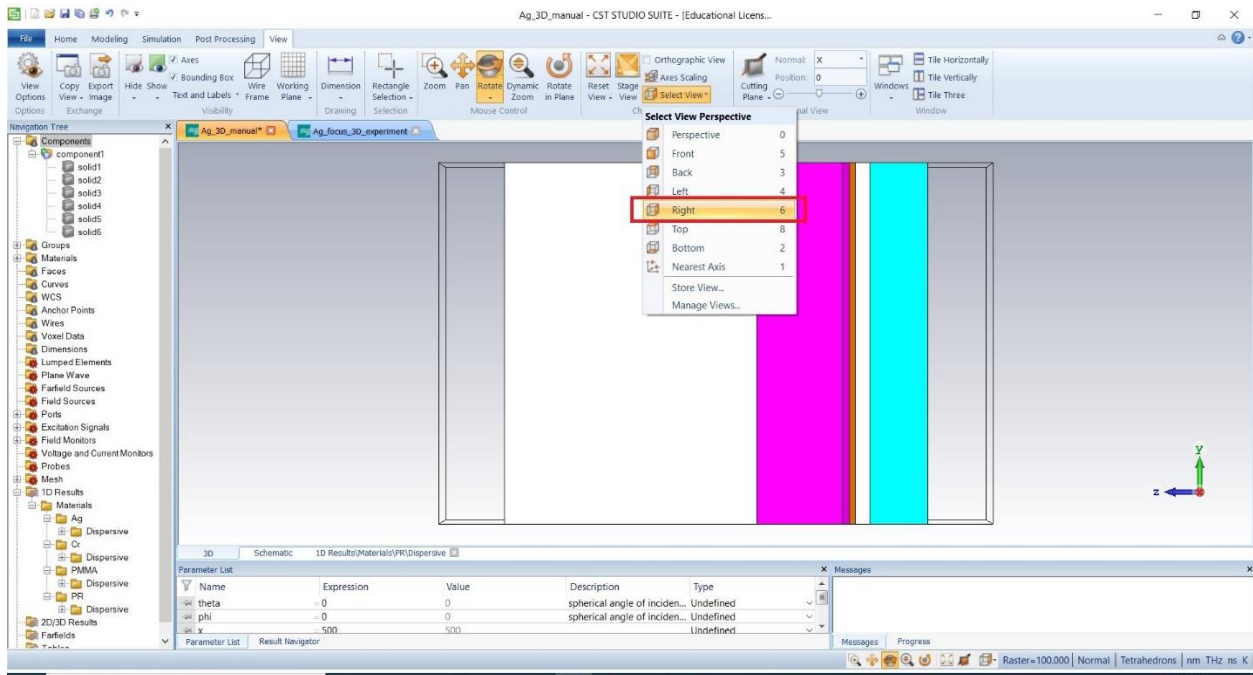
### Step 35: Six materials (Cr, Air, PMMA, Ag, PR,Air) have been formed



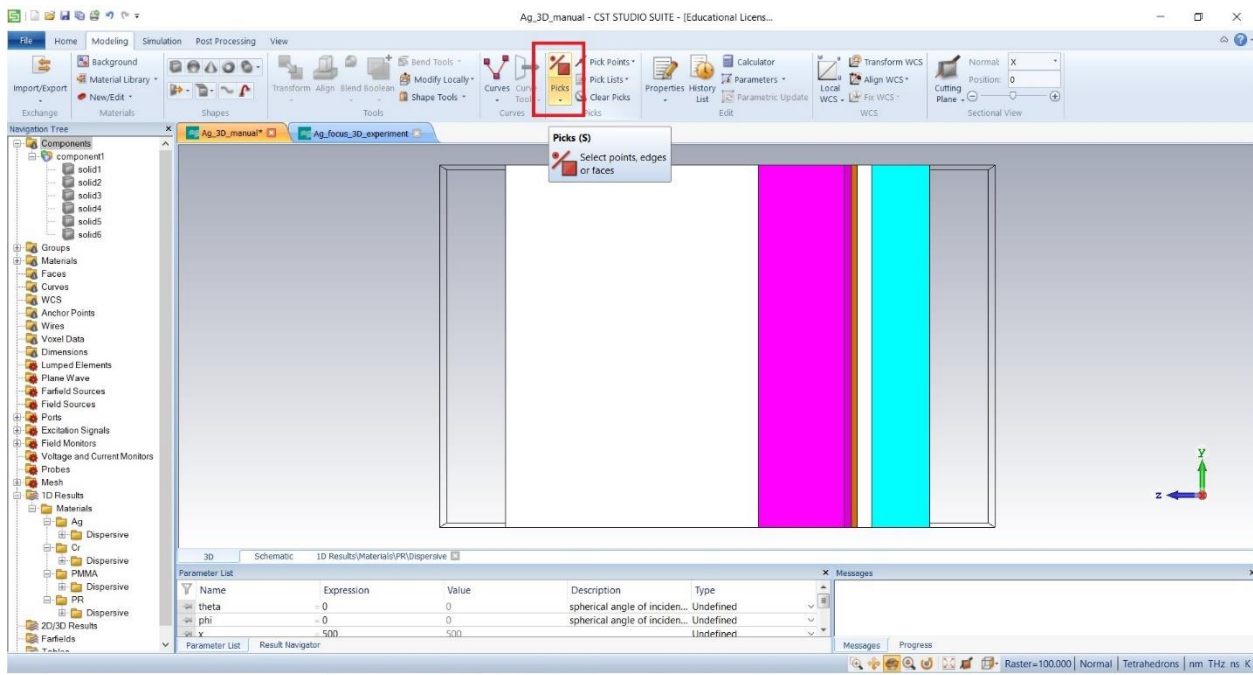
### Step 36: Perspective view



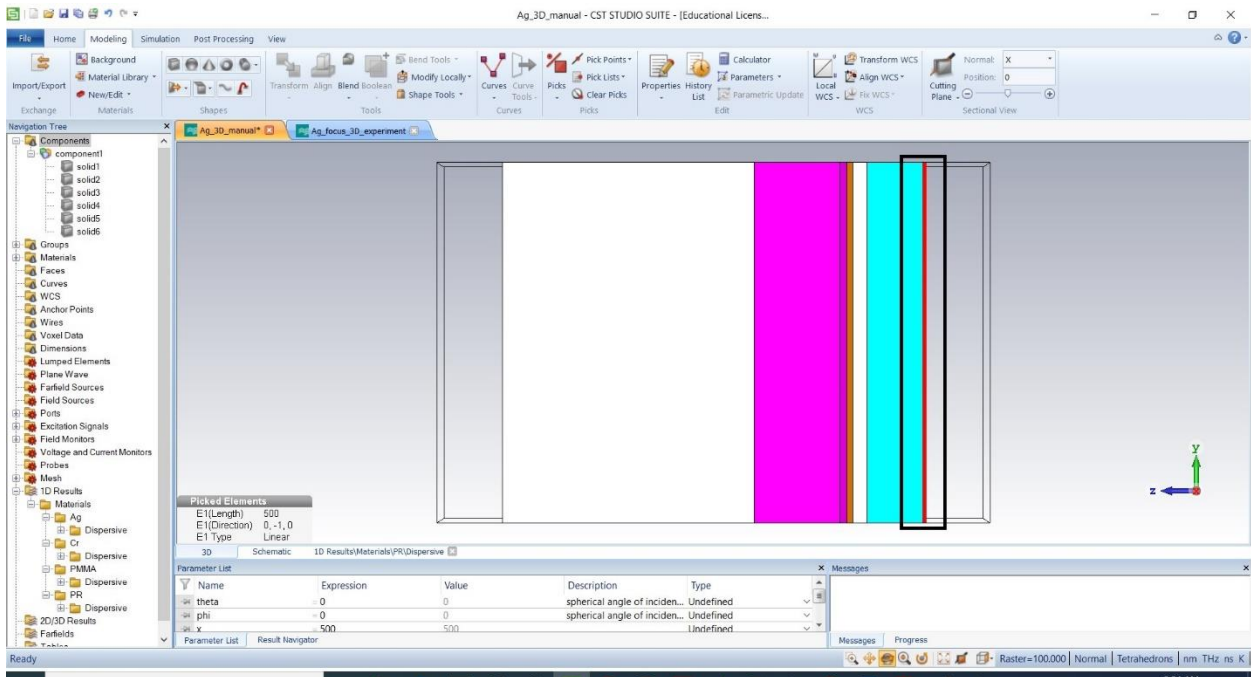
## Right side view



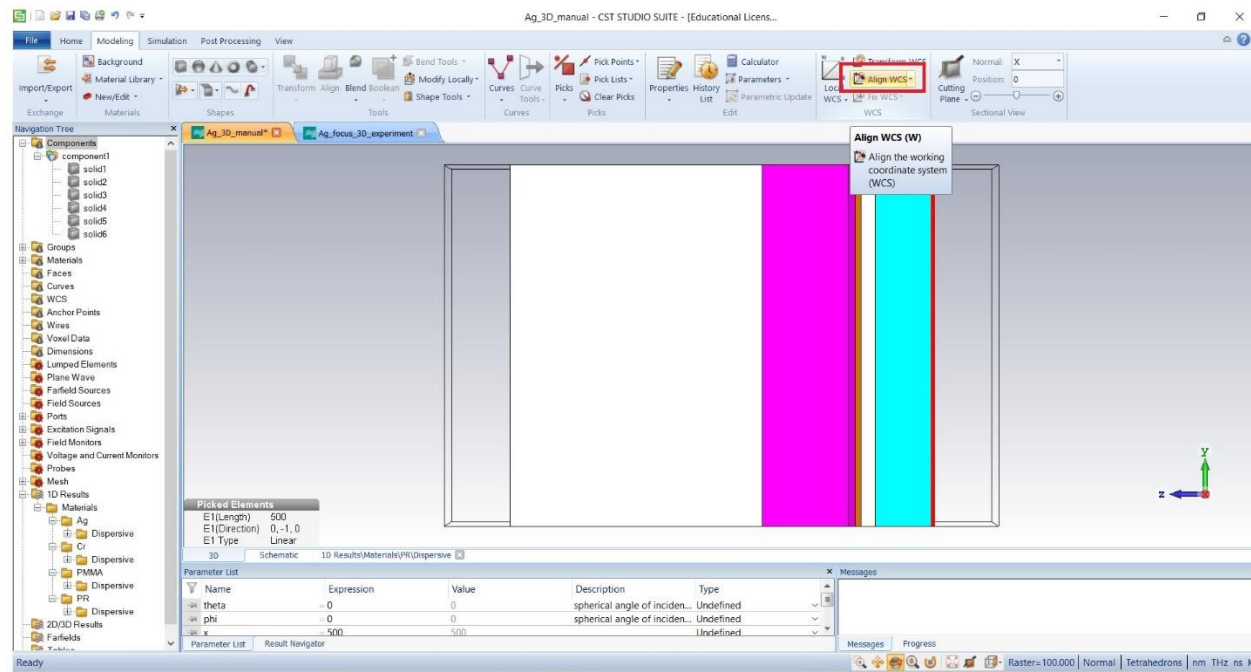
## Step 38: Select 'pick'



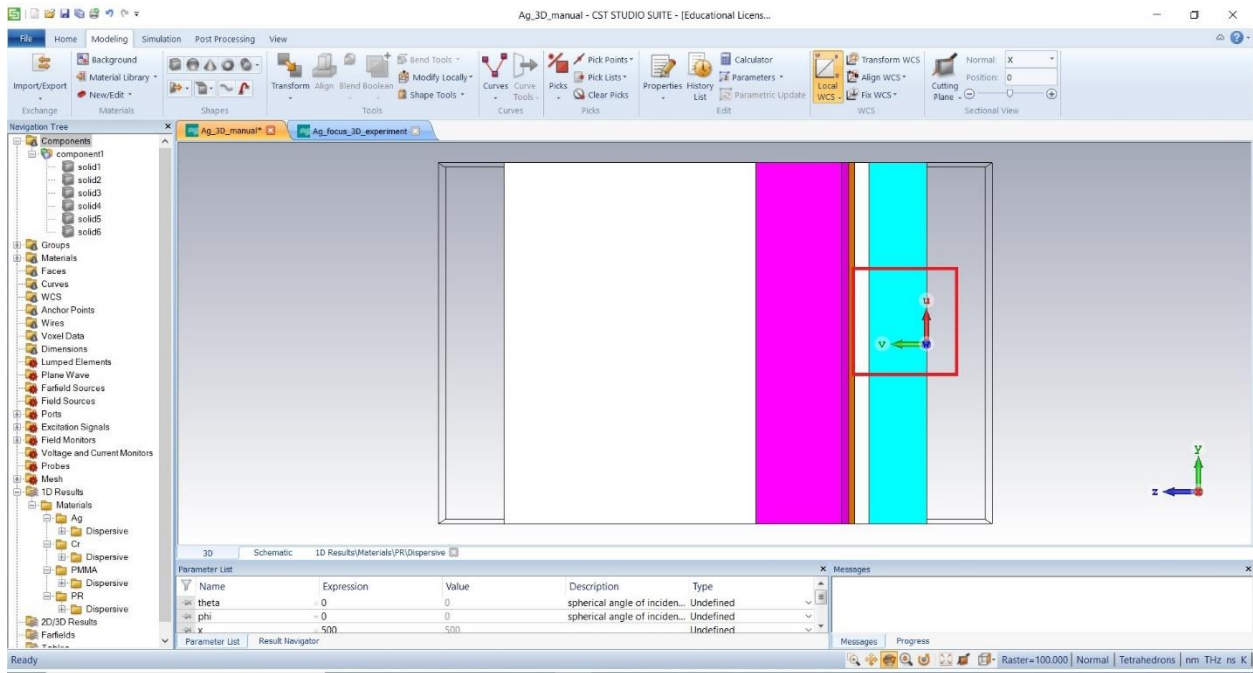
### Step 38: Select the side for the alignment of new axis



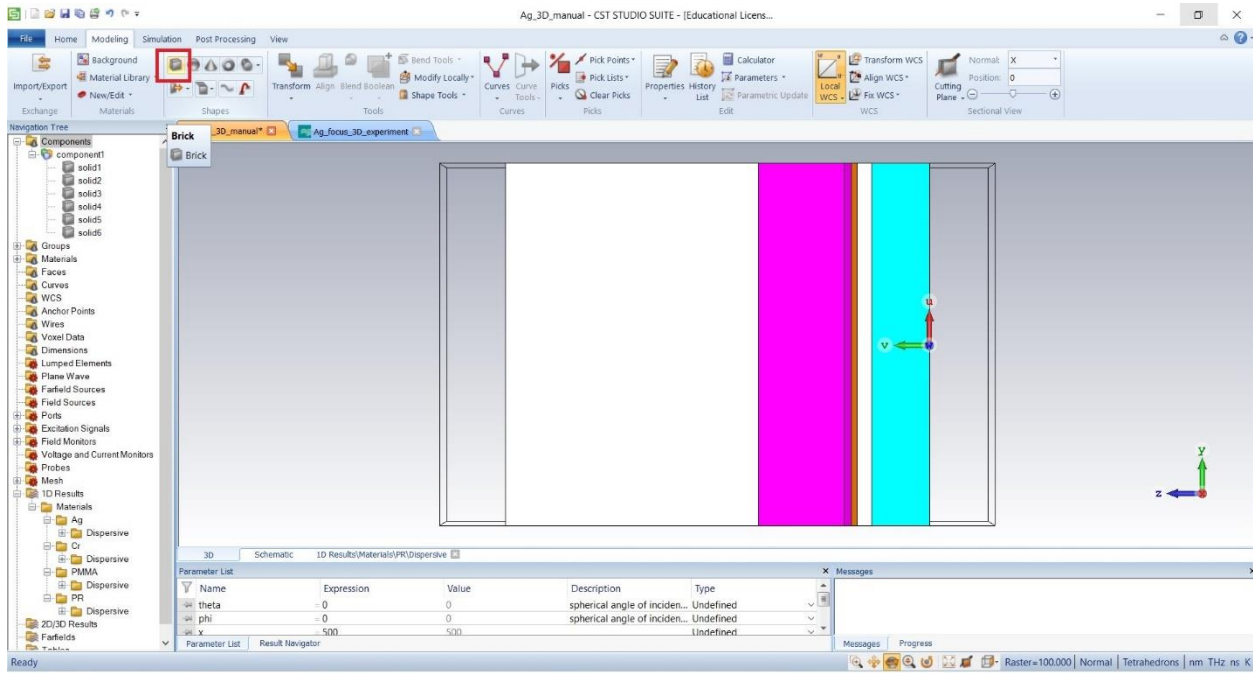
### Step 39: Select 'Align WCS'



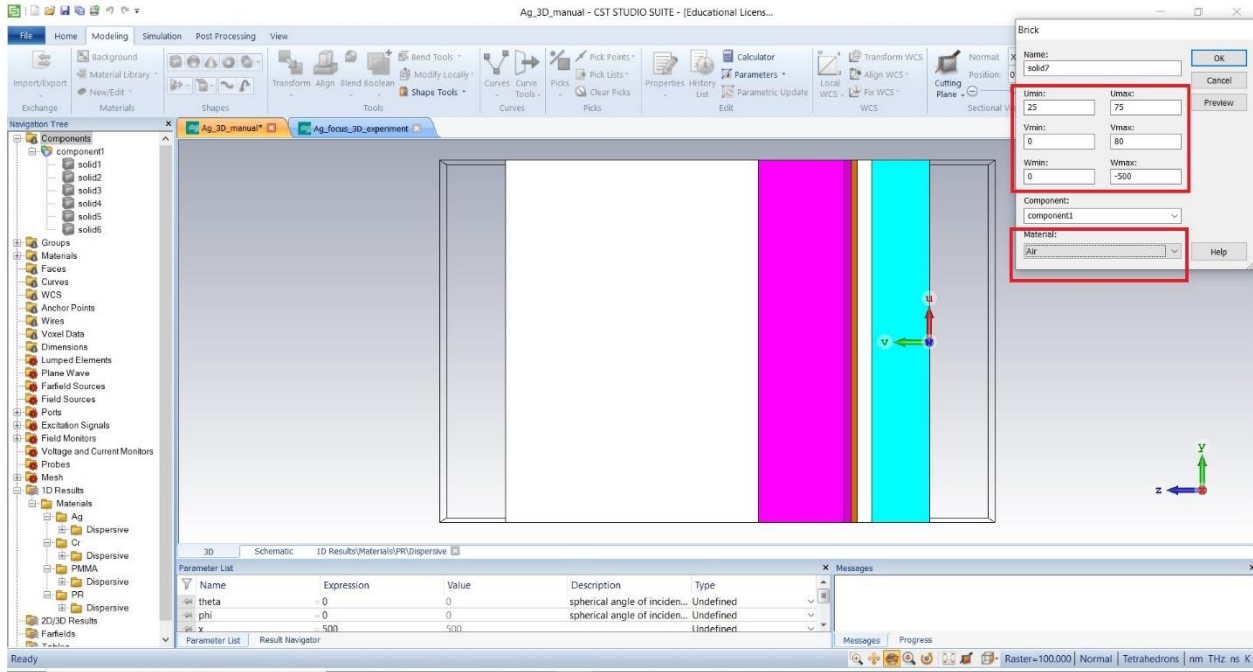
New coordinate has been set.



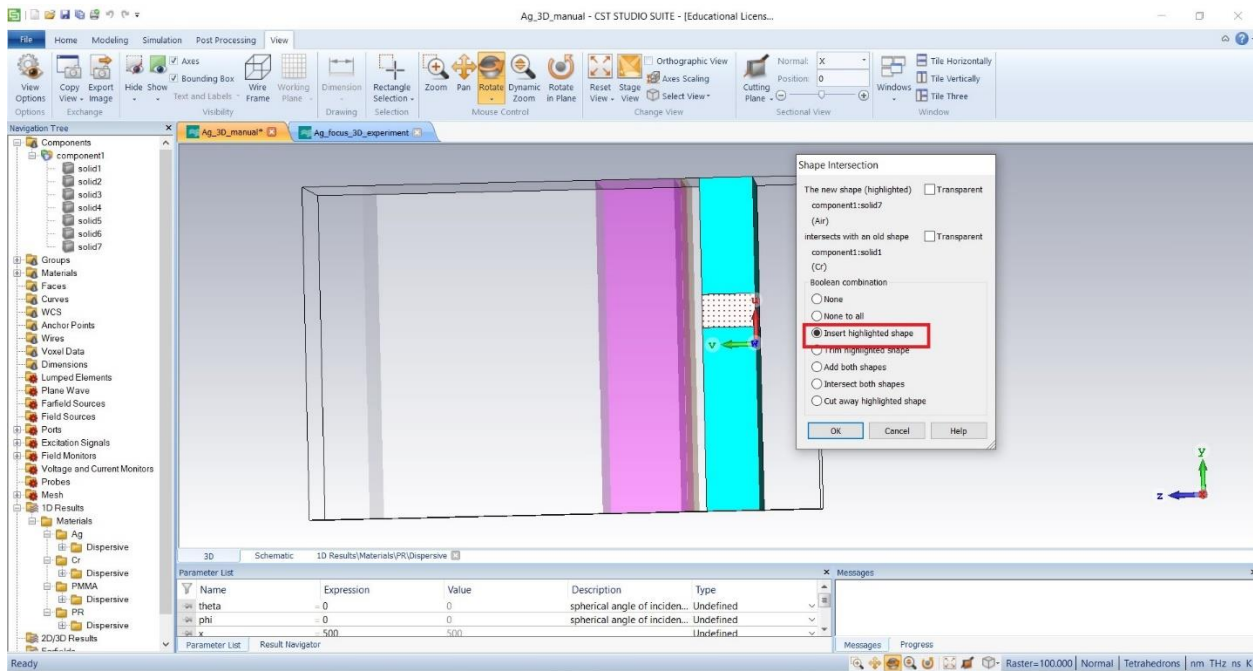
**Step 39:** Select 'Brick' for the aperture



## Step 40: Set the dimension of aperture (material-air)

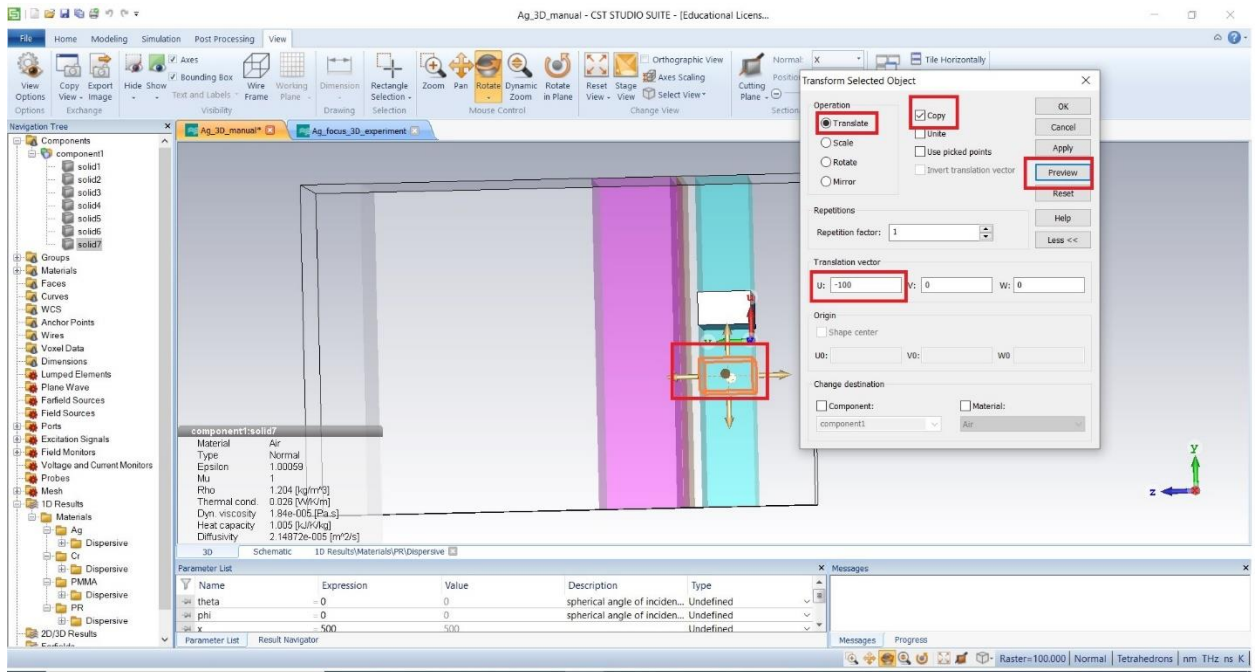
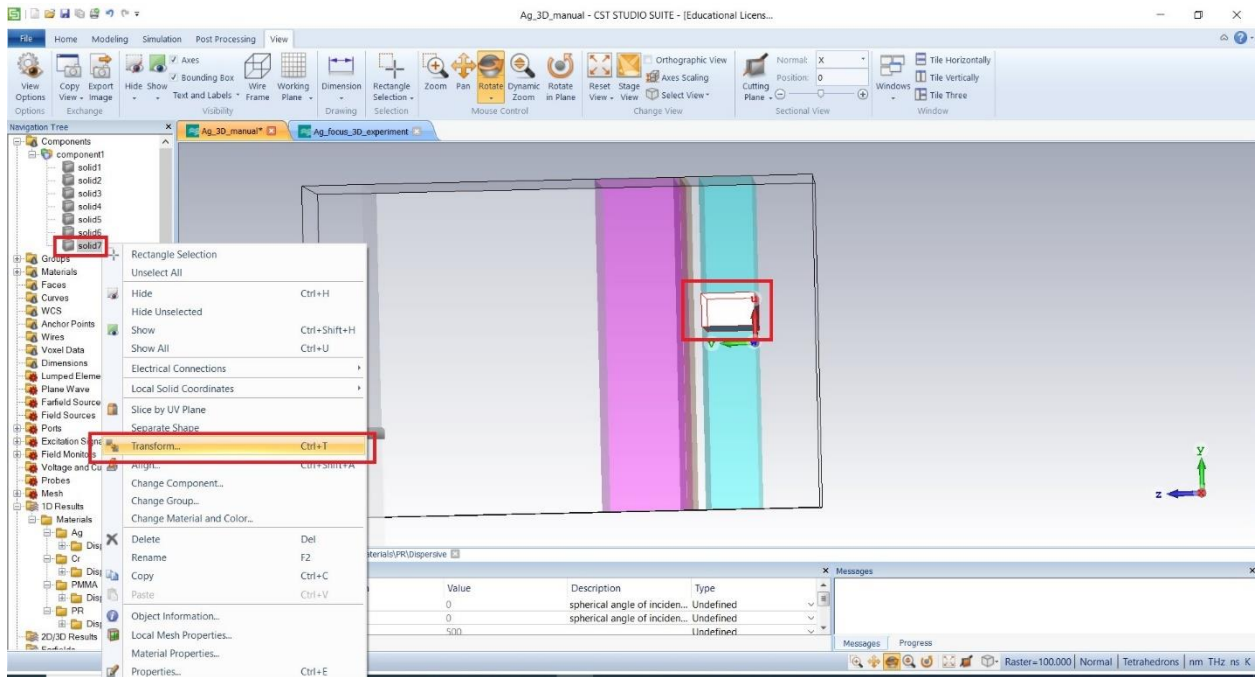


## Step 41: Insert the aperture.

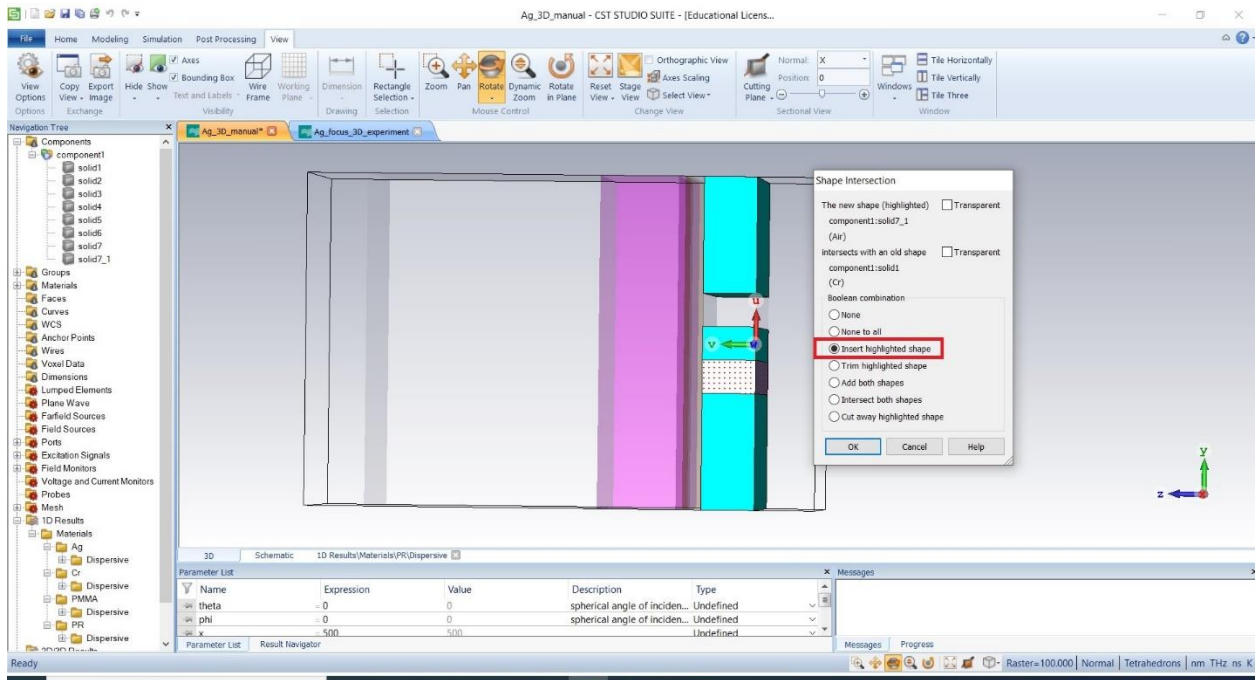




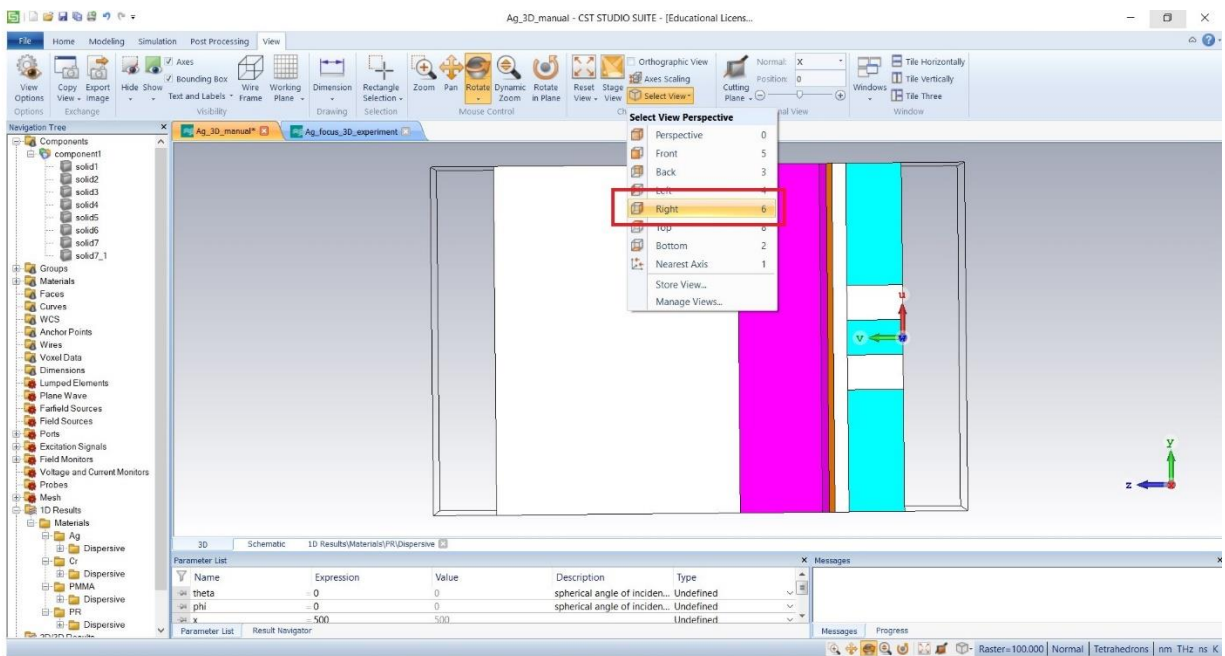
## Step 42: Transform and copy the existing aperture to form another aperture



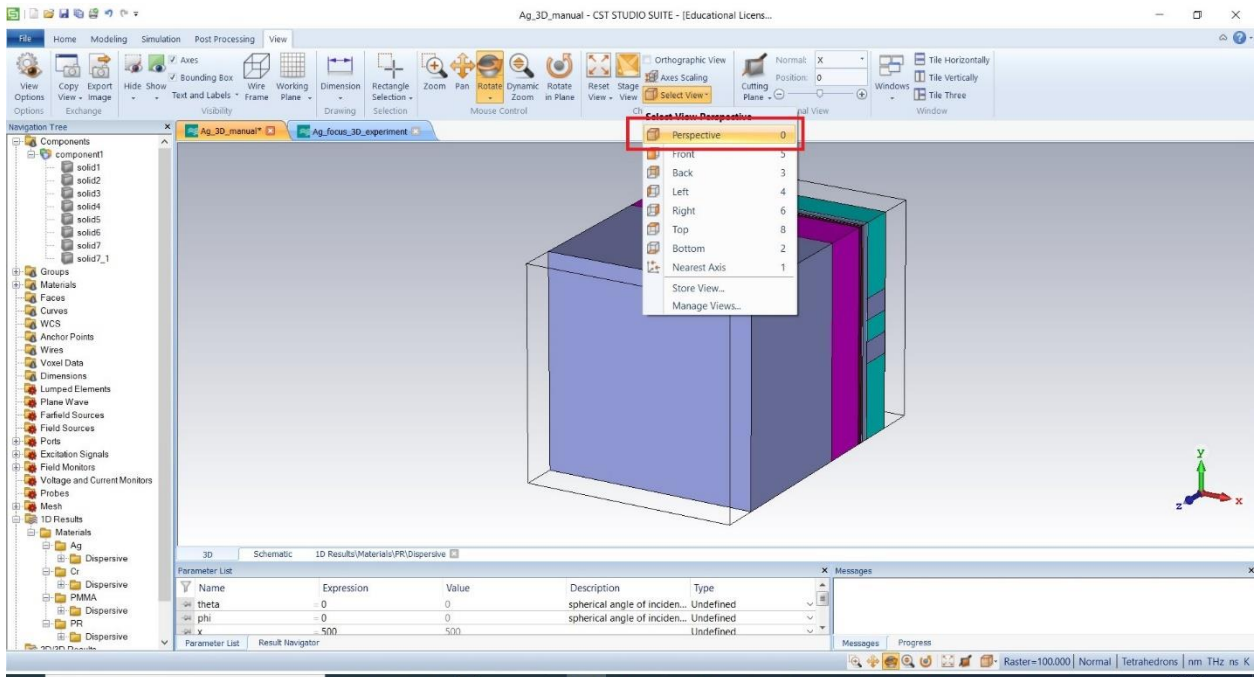
## Step 43: Insert the 2<sup>nd</sup> aperture



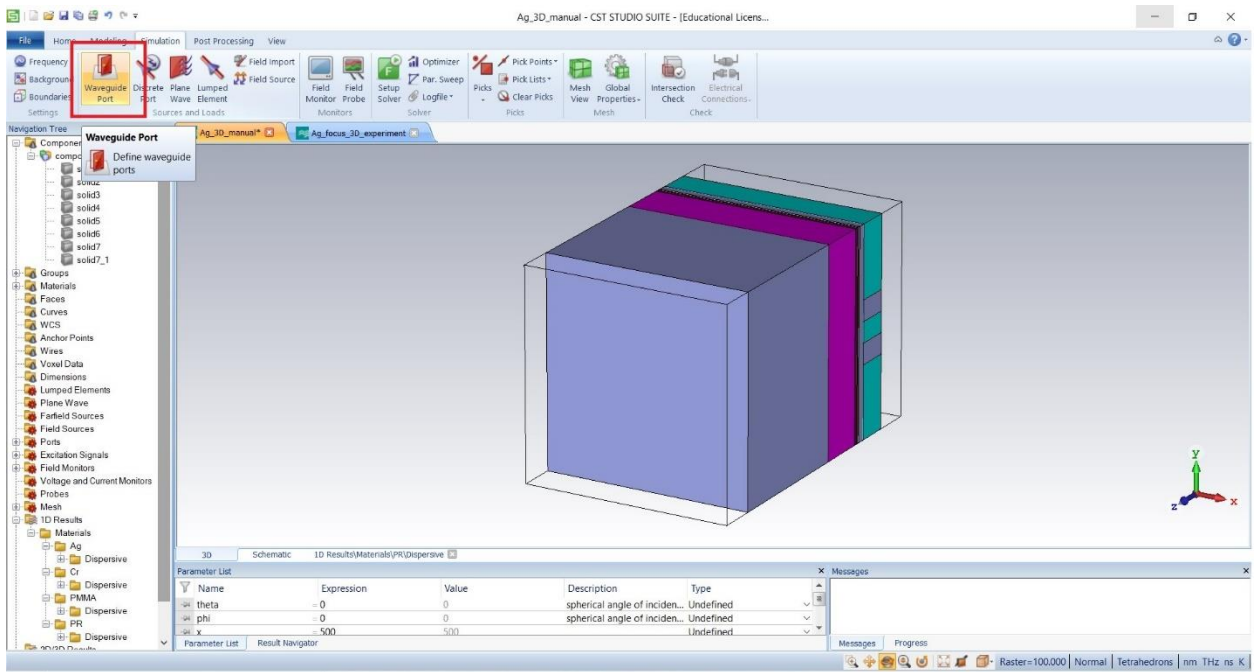
## Right side view



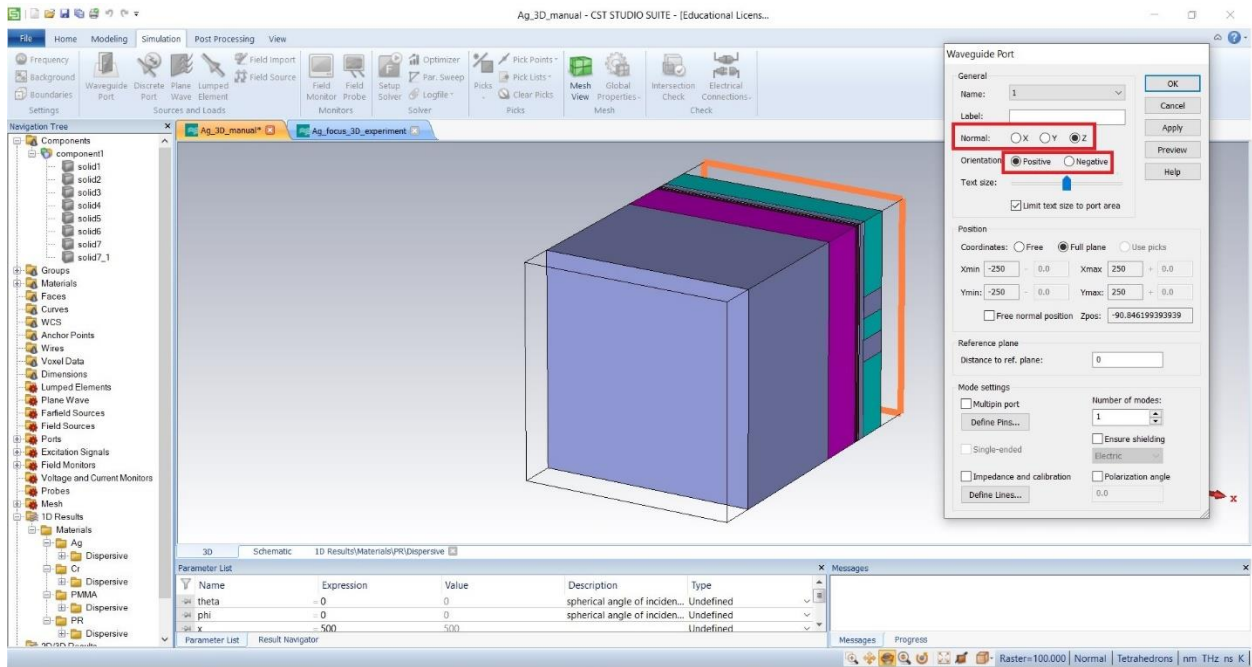
## Perspective view



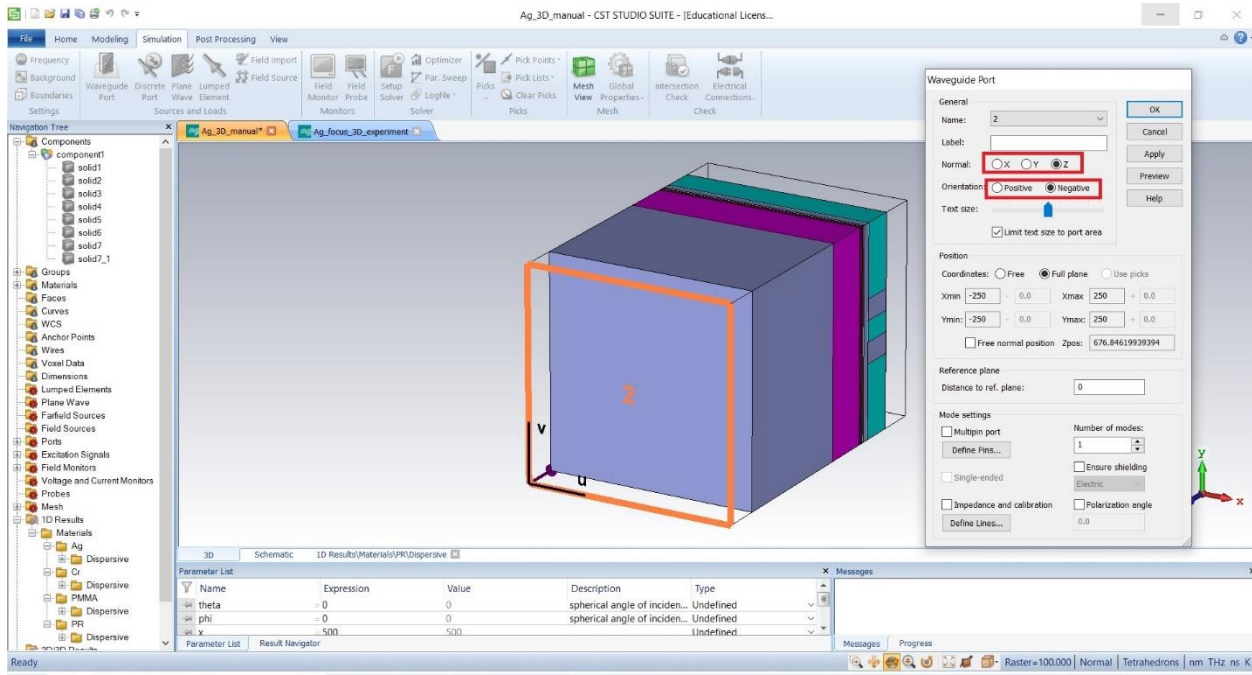
## Step 43: Select 'Waveguide Port'



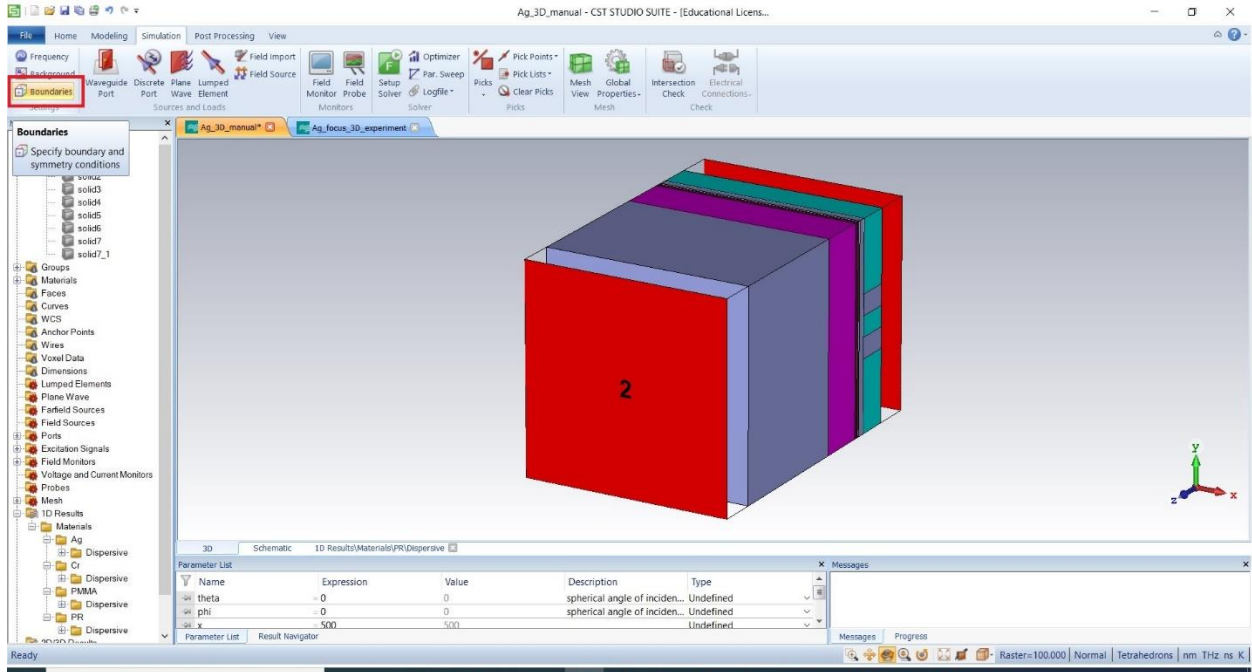
## Step 44: Setting 'Port 1'



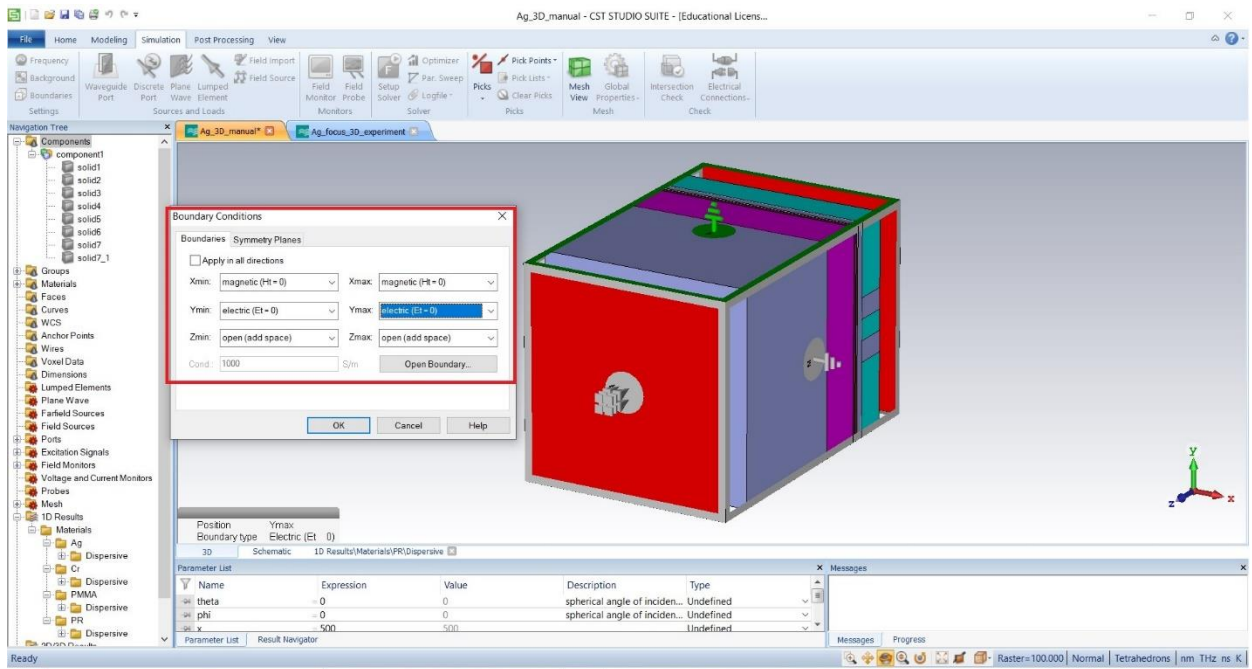
## Step 45: Setting 'Port 2'



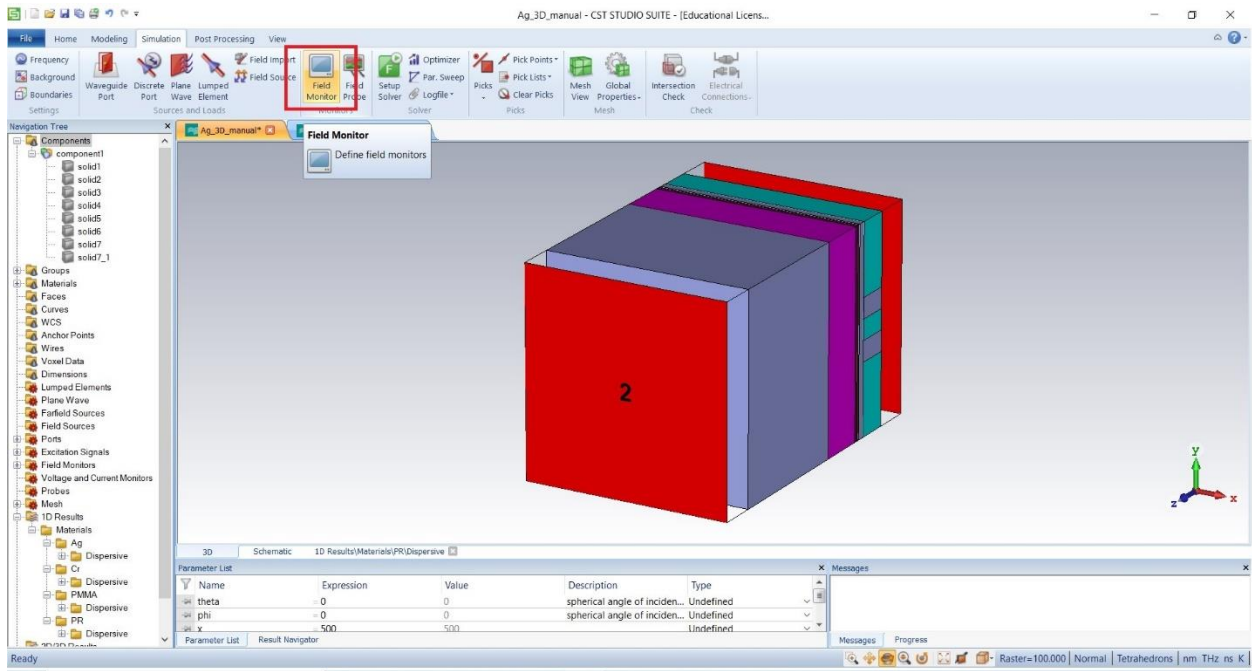
## Perspective view with Port



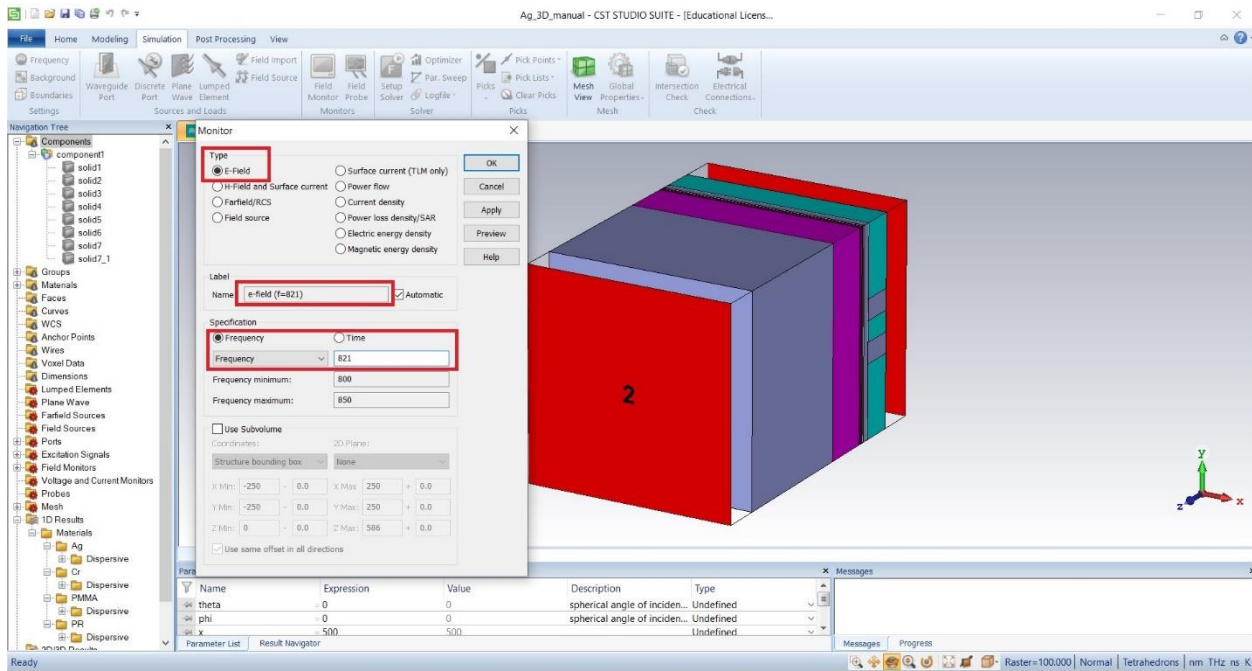
## Step 46: Setting Boundary condition



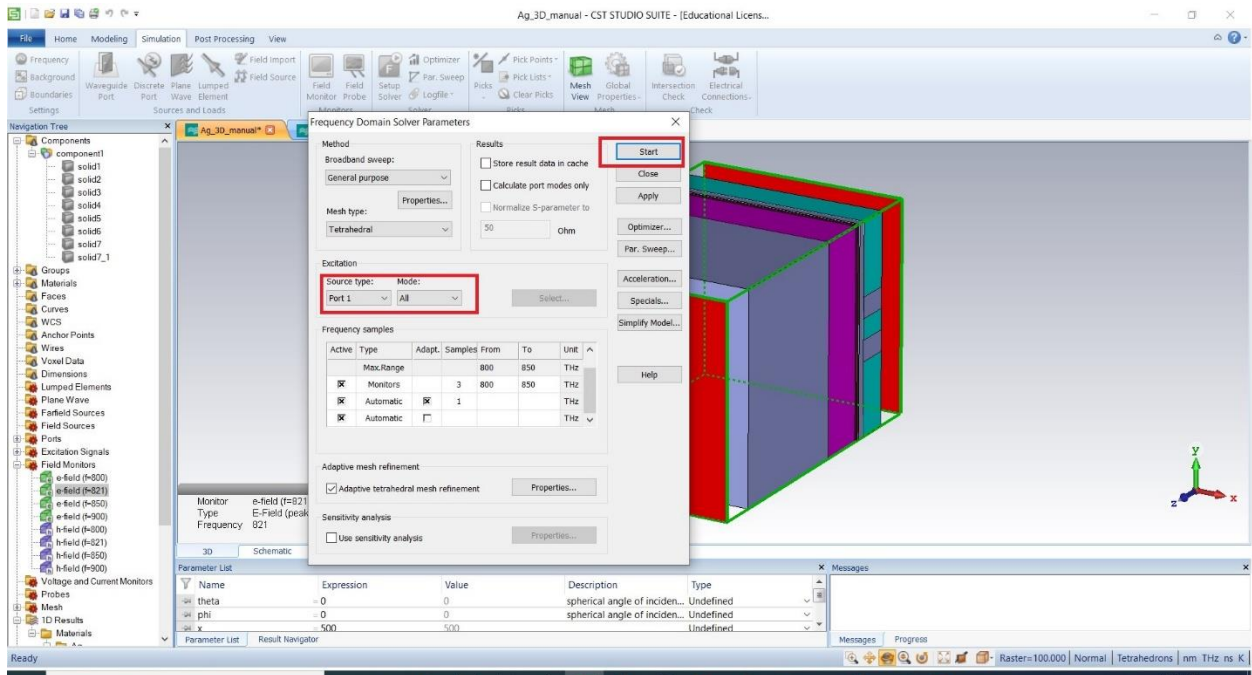
## Step 47: Select 'Field Monitor'



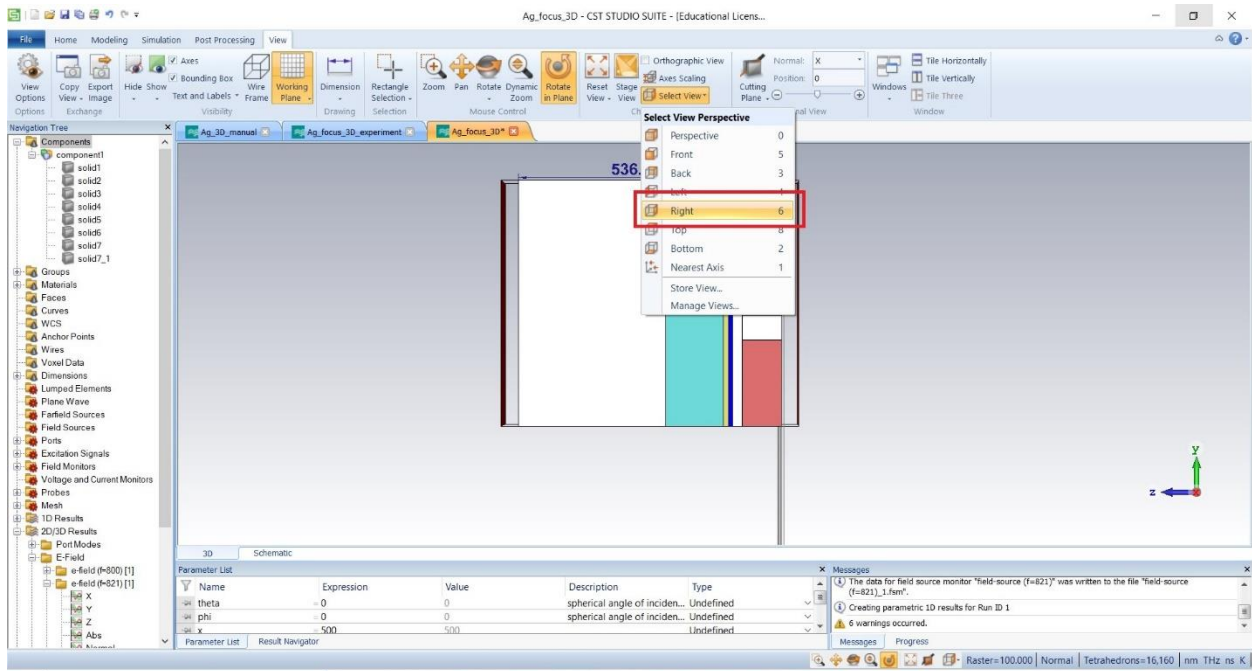
## Step 48: Set the property of Monitor



## Step 49: Select 'Source type' and 'Mode'



## Right side view



## Step 50: Click on the colormap and define the property

The screenshot shows the CST Studio Suite interface. A 'Color Ramp' dialog box is open, showing 'Scalar color mapping' options. The 'Smart ticking' option is selected, and the 'log' mode is chosen. The 'Strength' is set to 1.01. The main window displays a 2D field plot of a waveguide structure. A color scale on the right indicates the field magnitude in V/m (log), ranging from 375 to  $1.01 \times 10^7$ . A parameter list at the bottom shows the current field monitor settings.

Name	Expression	Value	Description	Type
theta	0	0	spherical angle of incident...	Undefined
phi	0	0	spherical angle of incident...	Undefined
x	500	500		Undefined

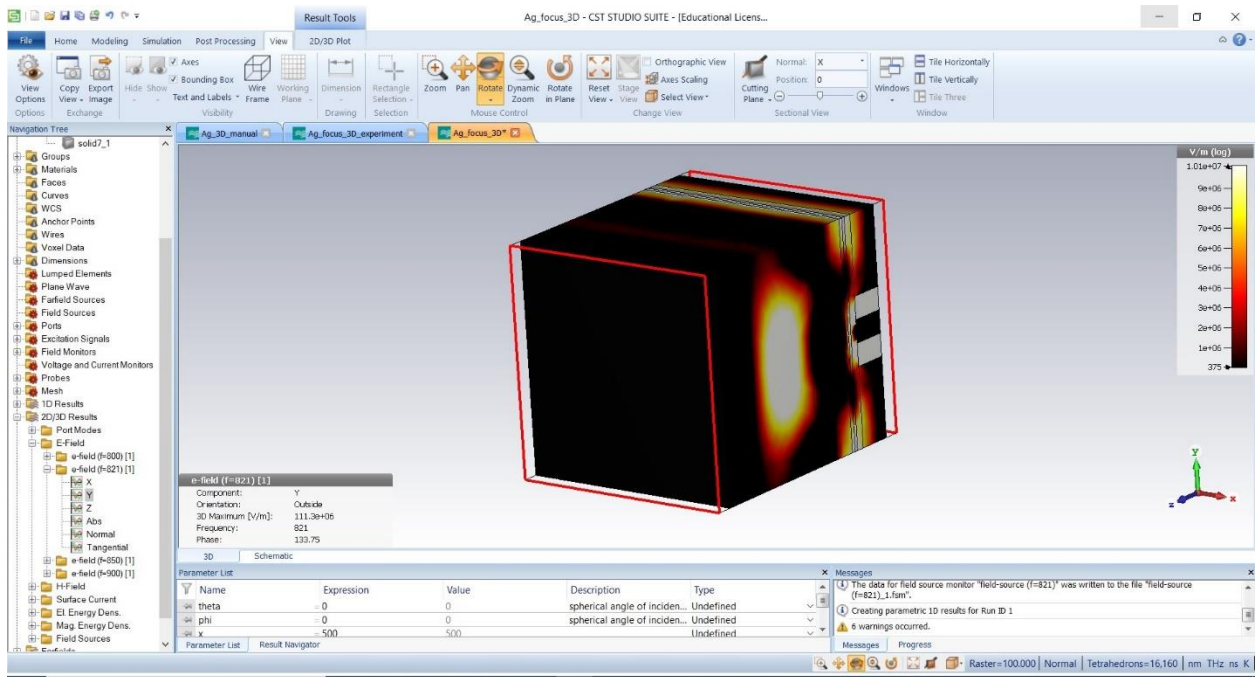
## Step 51: Set the phase so that the EM wave enters fully into the aperture. Select 'Field on view' and 'Cutting plane'

The screenshot shows the CST Studio Suite interface with the 'Result Tools' panel open. The 'Phase' is set to 133.75, and the 'Fields on Plane' option is selected. The main window displays a 2D field plot of the waveguide structure, showing the wave entering the aperture. A color scale on the right indicates the field magnitude in V/m (log), ranging from 375 to  $1.01 \times 10^7$ . A parameter list at the bottom shows the current field monitor settings.

Name	Expression	Value	Description	Type
theta	0	0	spherical angle of incident...	Undefined
phi	0	0	spherical angle of incident...	Undefined
x	500	500		Undefined



# Perspective view



## CHAPTER IV

### DESIGN AND NUMERICAL STUDIES

#### 4.1 Conductor Meta-Lens: PMMA/Ag/PR

The proposed Ag Lens structure formation is PMMA/Ag/PR. The value of permittivity of PMMA is  $\epsilon_{PMMA} = 2.3013 + 0.0014i$  [21] whereas for Ag and Photoresist(PR) it is  $\epsilon_{Ag} = -2.5754 + 0.24533i$  [refractive index.info] and  $\epsilon_{PR} = 2.886 + 0.059i$  [23] respectively. The parameters work at the frequency of 821 THz (wavelength,  $\lambda = 365nm$ ). We used the following equation to calculate skin depth.

$$\delta = \sqrt{\frac{\rho}{\pi f \mu_r \mu_0}} \quad \dots \quad \dots \quad \dots \quad (1)$$

Where,  $\mu_r$  = relative permeability

$\mu_0$  = permeability in the vacuum

$\rho$  =resistivity of TiO2

$\delta$  = skin depth

$f$  = frequency

The skin depth of Ag at this frequency is **2.21nm**. The goal of this experiment is to maximize the enhancement of evanescent waves through varying the thicknesses of the lens materials. The

simulation was performed in three steps where in every step only one material thickness was varied while keeping the thicknesses of other two materials constant.

The simulation was performed in three following steps to reconstruct a quality image.

1. Ag thickness variation
2. PMMA thickness variation
3. PR thickness variation

#### 4.1.1. Varying Silver (Ag) Thickness (PMMA and PR fixed at 40nm and 120nm):

A smaller Ag thickness promotes higher transmittivity of E-energy density [10]. In Figure 1. at 10nm thickness, highest transmittivity is observed which deteriorates gradually with the increment of thickness of the Ag slab. The evanescent amplitude effect is visible up to 60nm Ag layer, after that the effect has been vanished.

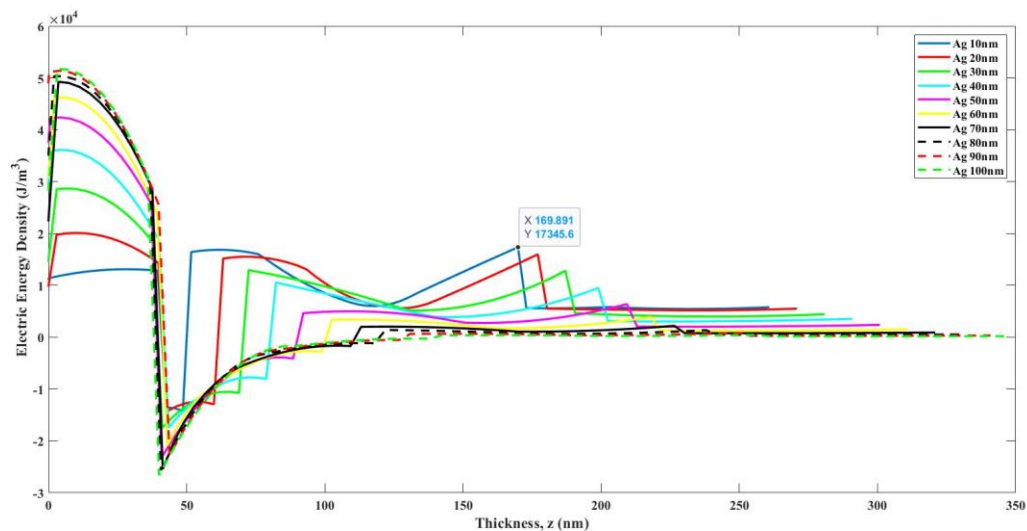
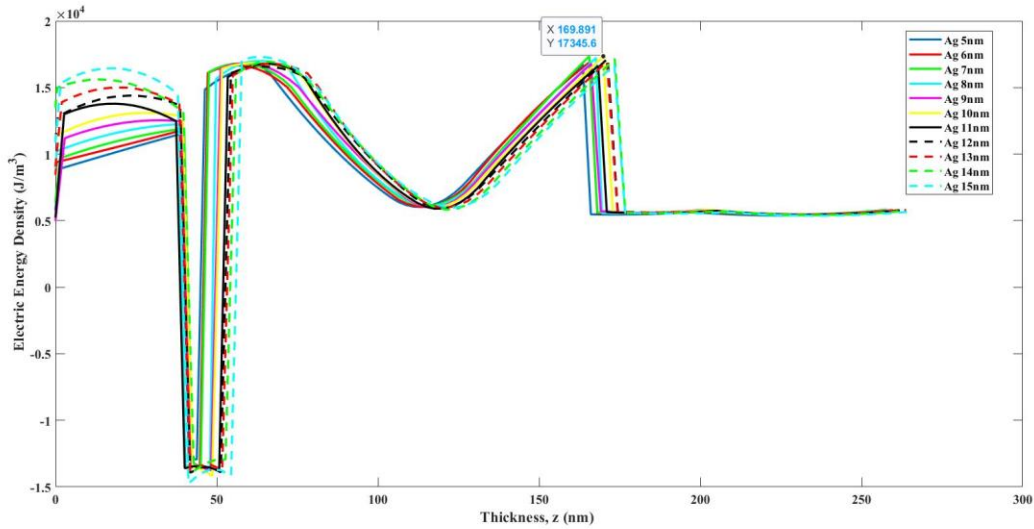


Figure 4.1: variation of Ag thickness from 10-100nm with 10nm gap

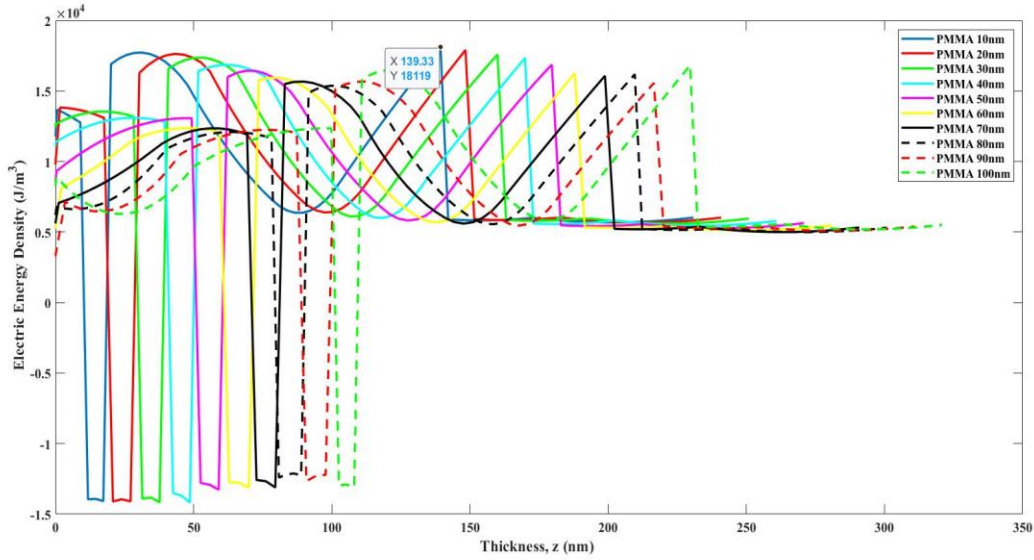
Additional simulations have been performed from 5-15nm Ag thickness (Figure 2) where still the 10nm Ag thickness has the highest image resolution feature.



**Figure 4.2: variation of Ag thickness from 5-15nm with 1nm gap**

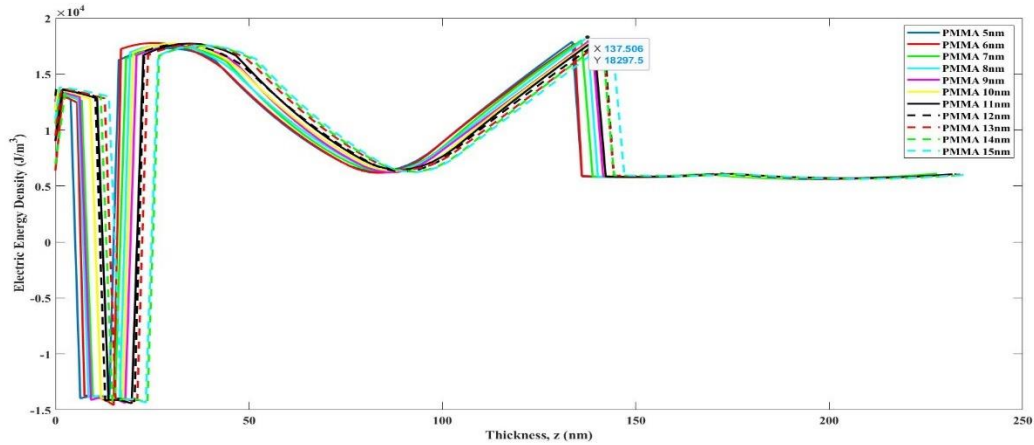
#### **4.1.2. Varying PMMA Thickness (Ag and PR fixed at 10nm and 120nm):**

Evanescent field enhancement depends also on PMMA layer which determines object distance from the lens [10]. In Figure 3, it is observed that PMMA thickness of 10nm gives peak transmittivity.



**Figure 4.3: variation of PMMA thickness from 10-100nm with 10nm gap**

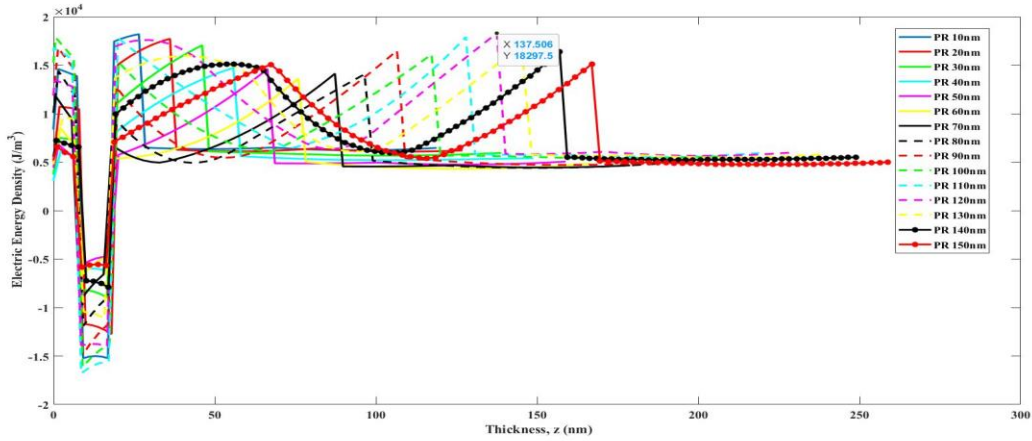
Furthermore, more simulations from 5-15 nm have been conducted to realize better resolution where 8nm PMMA thickness (Figure 4) show maximum enhancement of transmittivity while the result from other thicknesses are very close.



**Figure 4.4: variation of PMMA thickness from 5-15nm with 1nm gap**

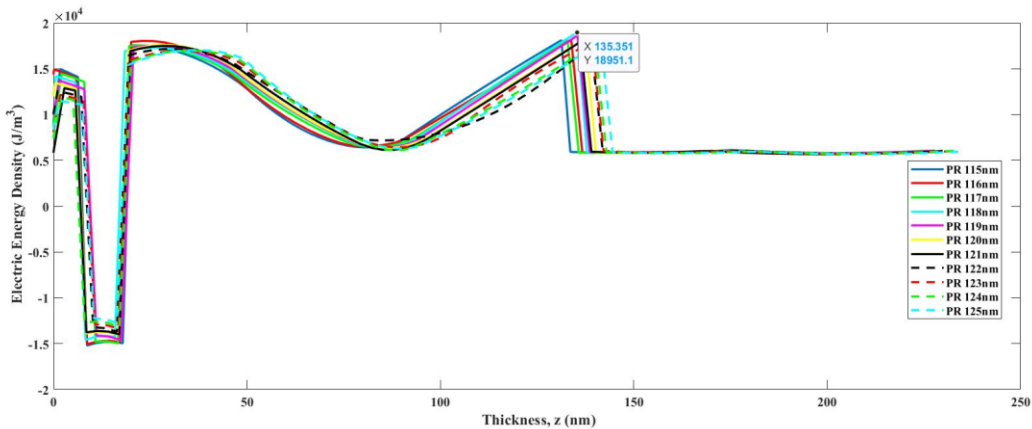
**4.1.3. Varying PR Thickness (Ag and PMMA fixed at 10nm and 8nm):**

PR increases the image distance and play a vital role in image reconstruction [112]. The PR thickness is altered from 10-150nm (Figure 5 & Figure 6). It is found that 120nm PR thickness generates best field transmission.



**Figure 4.5: variation of PR thickness from 10-150nm with 10nm gap**

Besides, simulation has been continued again with PR thickness varying from 115-125nm (Figure 7). An improved image quality has been found at 118nm.



**Figure 4.6: variation of PR thickness from 115-125nm with 1nm gap**

As a result, the optimized dimension for Ag lens that offers most eminent amplification of evanescent waves is **PMMA/Ag/PR: 8nm/10nm/118nm**.

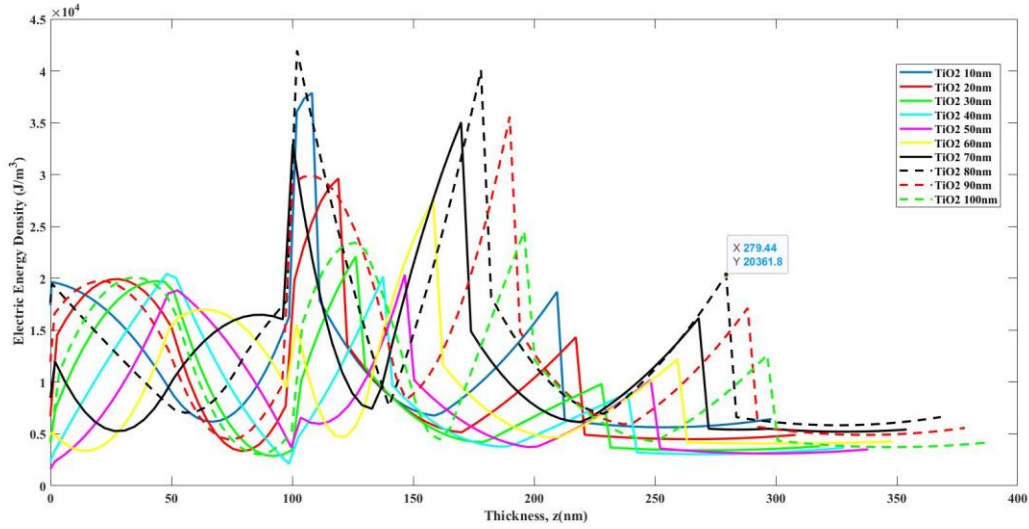
## 4.2 Semiconductor Meta-Lens: Glass/TiO<sub>2</sub>/PR

TiO<sub>2</sub> possesses high refractive index (~2–2.7) and transparency at visible and near-infrared wavelength which make it a suitable medium for optical devices [24]. Titanium dioxide has very good semiconductor properties [25] and it has significant applications such as in optoelectronic devices, photocatalytic systems [26], solar cells, gas sensors, and electrochromic systems [25]. The proposed TiO<sub>2</sub> lens structure formation is **Glass/ TiO<sub>2</sub>/PR**. As a glass, we used SCOTT-SF (Dense flint) at 821 THz its permittivity is  $\epsilon_{Glass} = 2.8954+0.000019619i$  [refractive index.info]. The small number of imaginary part of glass permittivity indicates to low loss of glass material. Moreover, TiO<sub>2</sub> has permittivity  $\epsilon_{TiO_2} = 6.0965$ [refractive index.info], as TiO<sub>2</sub> has only real part of permittivity and no imaginary part, it has no losses theoretically. PR has permittivity  $\epsilon_{PR} = 2.886+0.059i$ . [refractive index.info, 23]. The skin depth of TiO<sub>2</sub> at the working frequency (821 THz) is **1.7565nm** [from equation (1)]. The simulation was conducted in three following steps to increase amplification of evanescent waves.

1. TiO<sub>2</sub> thickness variation
2. Glass thickness variation
3. PR thickness variation

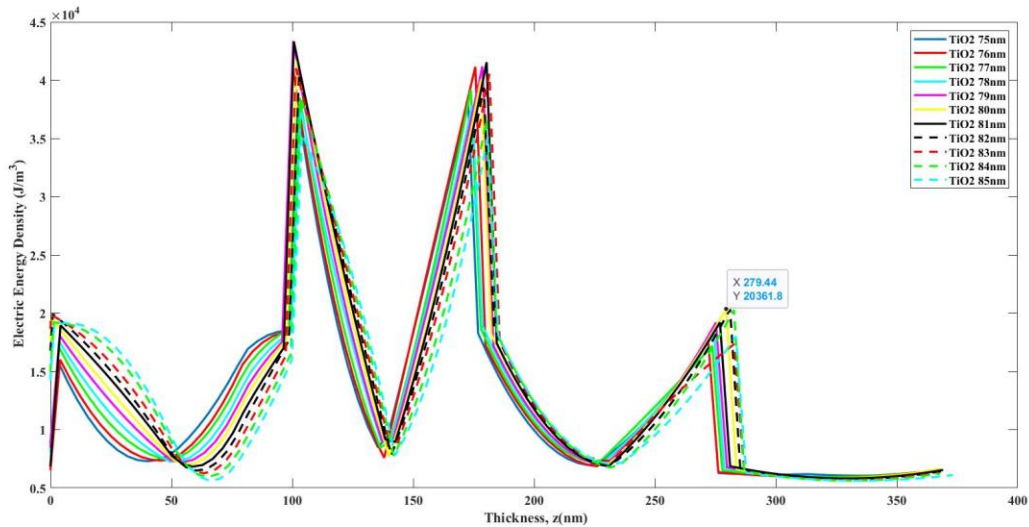
### 4.2.1. Varying TiO<sub>2</sub> Thickness (Glass and PR fixed at 100nm and 100nm):

In Figure 8, it is noticeable that 80nm TiO<sub>2</sub> provides highest transmittivity. There is a non-linear change of E-energy density till 80nm with respect to thickness. After 80nm, there is gradual decline of evanescent amplitude effect.



**Figure 4.7: variation of TiO<sub>2</sub> thickness from 10-100nm with 10nm gap**

In addition to that more simulation for TiO<sub>2</sub> has been performed with view to achieving better image resolution although 80nm TiO<sub>2</sub> (Figure 9) thickness shows peak evanescent wave amplification again.

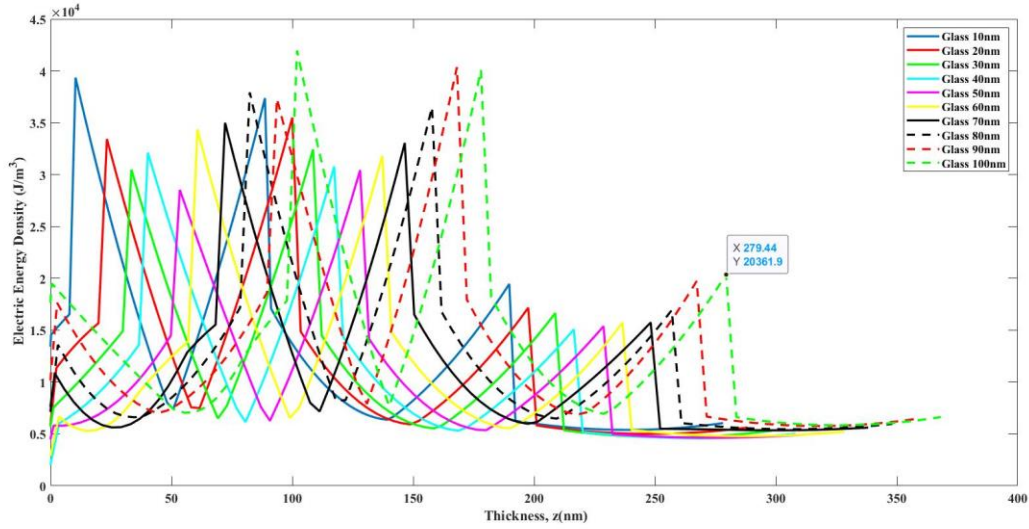


**Figure 4.8: variation of TiO<sub>2</sub> thickness from 75-85nm with 1nm gap**

**4.2.2. Varying Glass Thickness (TiO<sub>2</sub> and PR fixed at 80nm and 100nm):**

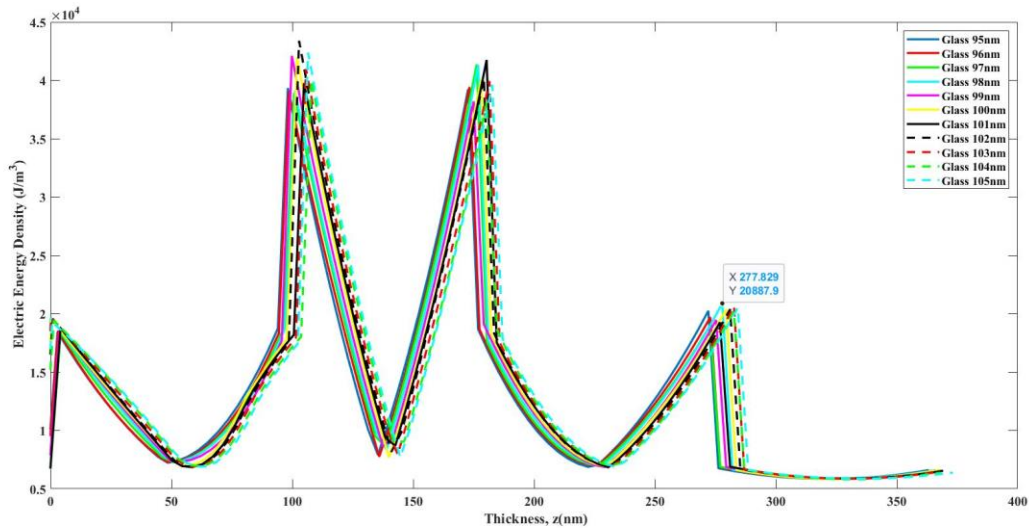


Electromagnetic wave enters through the glass which is responsible for the object distance. It is noticed (Figure 10) that Glass thickness of 100nm provides highest transmittivity of evanescent waves.



**Figure 4.9: variation of Glass thickness from 10-100nm with 10nm gap**

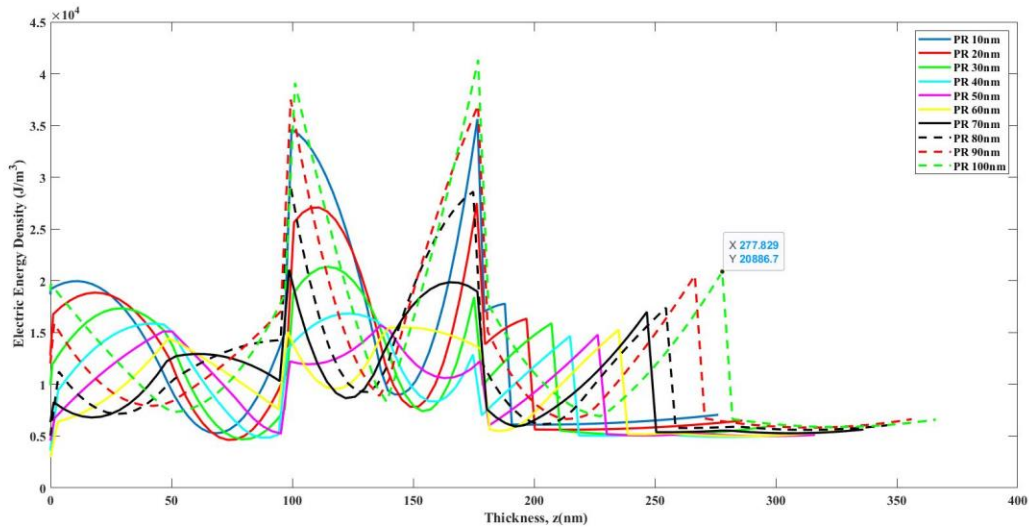
We have further run simulations varying glass thickness from 95-105nm (Figure 11) whether a slightly increased transmittivity has found at 98nm Glass thickness.



**Figure 4.10: variation of Glass thickness from 95-105nm with 1nm gap**

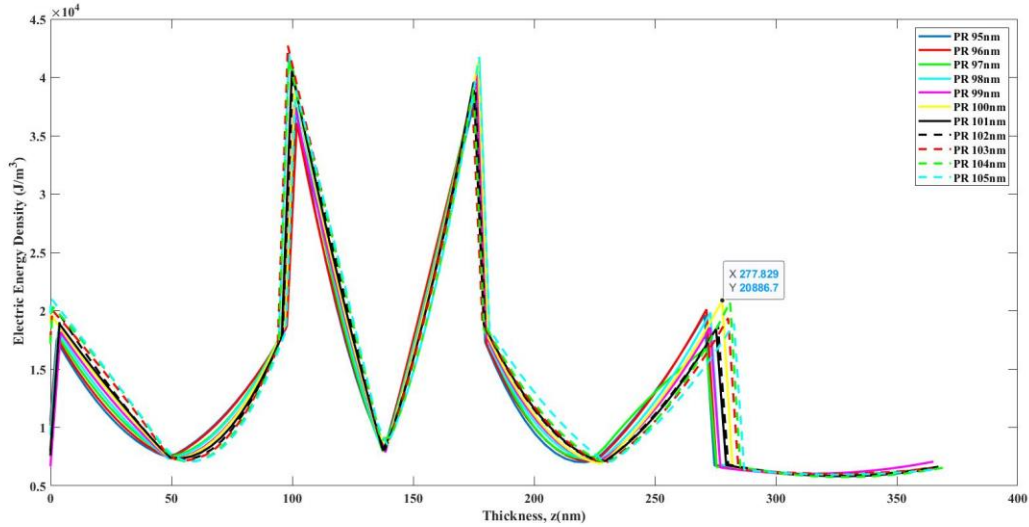
#### 4.2.3. Varying PR Thickness ( $\text{TiO}_2$ and Glass fixed at 80nm and 98nm)

PR determines the distance of image from the lens and is an inevitable part of the lens structure. It is visible from Figure 12 that after 60nm thickness, the evanescent wave experienced amplification in proportional to the thickness and at 100nm, the transmittivity is at its pinnacle.



**Figure 4.11: variation of PR thickness from 10-100nm with 10nm gap**

More simulations are conducted for PR thickness 95-105nm. We have narrowed down the limit to see the possibility of better resolution and we have found that finest transmittivity is still visible at 100nm (Figure 13).



**Figure 4.12: variation of PR thickness from 95-105nm with 1nm gap**

Finally, the most suitable dimension that we have found from the above simulation is **Glass/ TiO<sub>2</sub>/PR: 98nm/80nm/100nm.**

### **4.3 Conductive Polymer Meta-Lens: PMMA/PNIPAM/PR**

poly(N-isopropylacrylamide) (pNIPAM) is a hydrogel that has been studied for thermo responsive polymer applications. It has lower critical solution temperature (LCST) that works in the range of 32-33°C temperature. When temperature rises above LCST, the hydrogel experiences transition from hydrophilic to hydrophobic state. [27]. The gel particles with micron or submicron sizes can be regarded as microgels or nanogels. As the swelling index of this changes with pH or chemical compositions along with temperature it has application in drug delivery as well as in the field of biosensors and composite nanomaterials. This polymer can be used with metal in composite sensor for the purpose of cell visualization [28].

The particle size of PNIPAM at dried state is 180nm (determined by TEM; see Supporting Information, SI 1), [29].

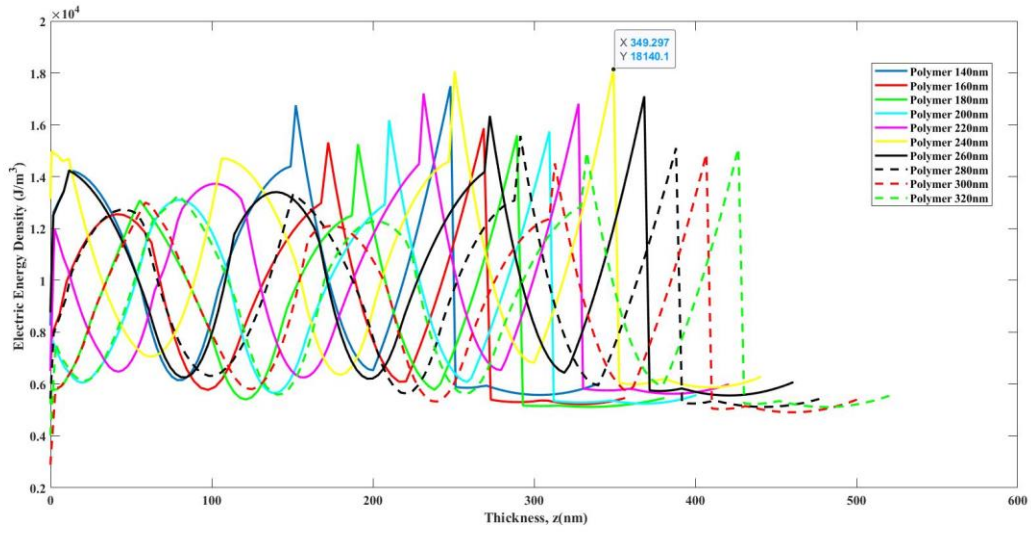
The proposed Polymer lens structure formation is PMMA/Polymer (PNIPAM)/PR. The value of permittivity of PMMA is  $\epsilon_{PMMA} = 2.3013 + 0.0014i$  [21] whereas for polymer and photoresist(PR) it is  $\epsilon_{PNIPAM} = 2.3376 + 0.013402i$  [refractive index.info] and  $\epsilon_{PR} = 2.886 + 0.059i$  [23] respectively. The parameters work at the frequency of 821 THz (wavelength,  $\lambda = 365nm$ ). The permittivity of both PMMA & PNIPAM are identical.

The simulation has in three following steps to reconstruct a quality image.

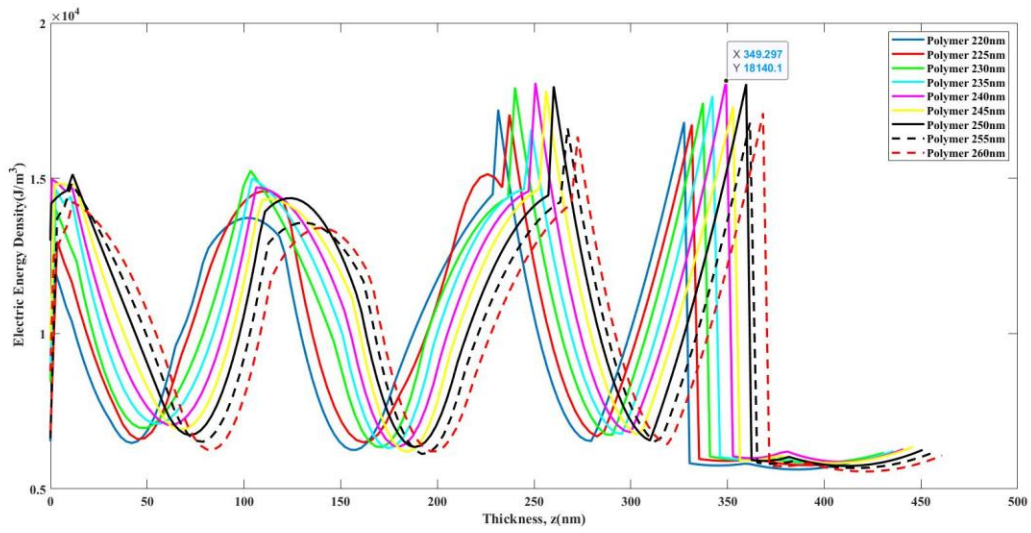
1. Polymer (PNIPAM) thickness variation
2. PMMA thickness variation
3. PR thickness variation

#### **4.3.1. Varying Polymer (PNIPAM) Thickness (PMMA and PR fixed at 10nm and 100nm):**

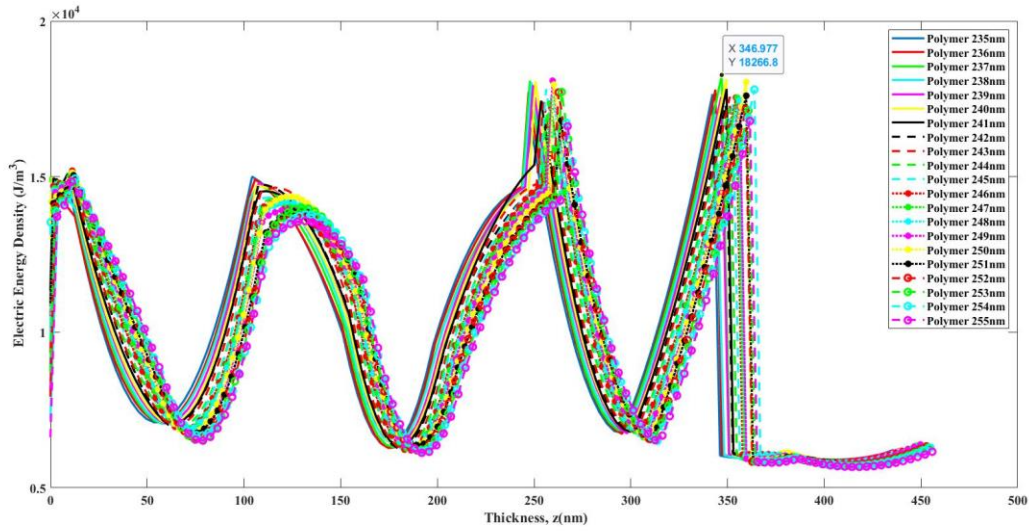
In Figure 14, we observe that 240nm polymer thickness ensures peak transmittivity within the range of 140-320nm. As for optimization purpose, further simulation has been conducted between 220-260nm (Figure 15) where highest apex of transmission is found at 240nm. So, we then run simulations between 235-255nm (Figure 16 & Figure 17) seeking better resolution than the previous one and consequently 237nm polymer thickness provides best evanescent wave amplitude.



**Figure 4.13: variation of polymer thickness from 140-320nm with 20nm gap**



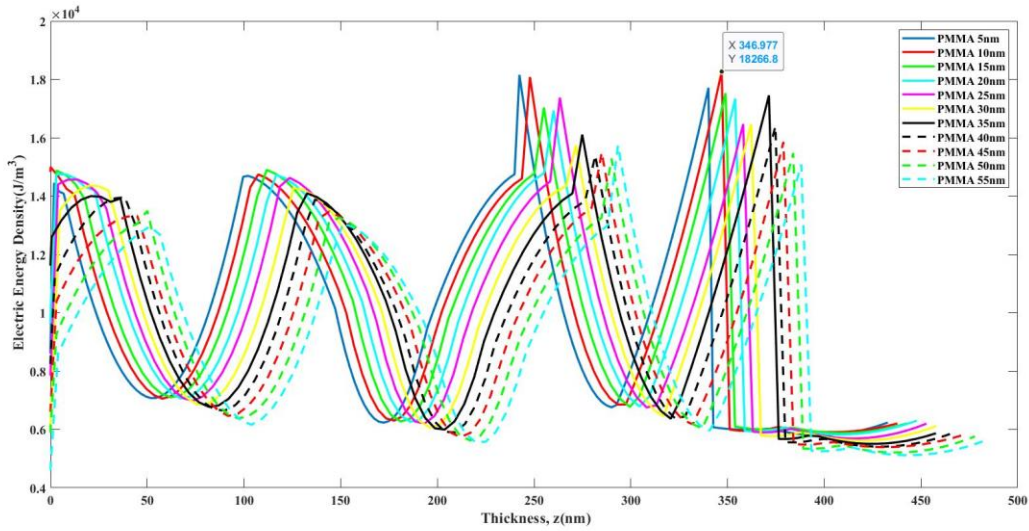
**Figure 4.14: variation of polymer thickness from 220-260nm with 5nm gap**



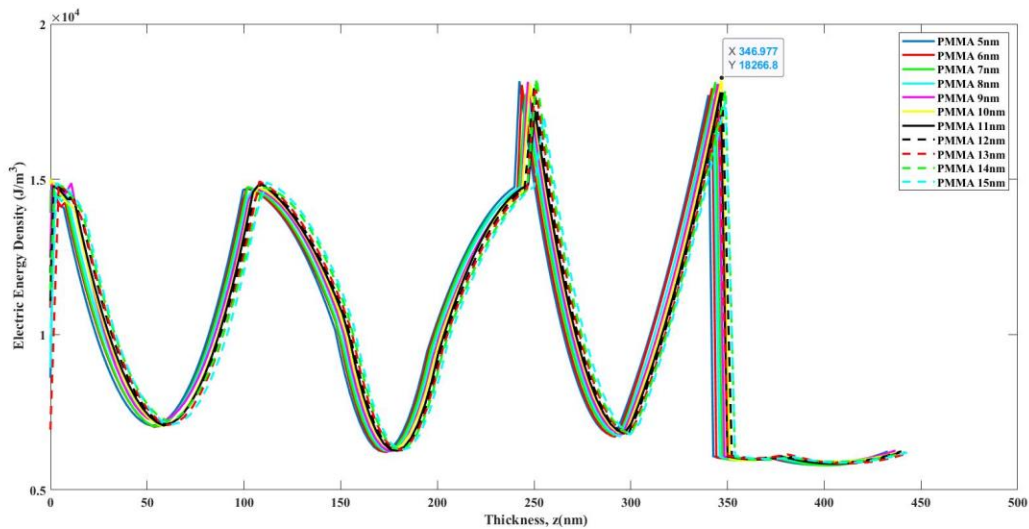
**Figure 4.15: variation of polymer thickness from 235-255nm with 1nm gap**

#### **4.3.2. Varying PMMA Thickness (Polymer and PR fixed at 237nm and 100nm):**

The PMMA thickness has changed in the limit of 5-55nm and 10nm thickness has given best transmittivity so far among other thicknesses (Figure 18). The scale has been narrowed down to 5-15nm where no change has been seen in the previous PMMA thickness and 10nm stands out as the best PMMA thickness (Figure 19).



**Figure 4.16: variation of PMMA thickness from 5-55nm with 5nm gap**

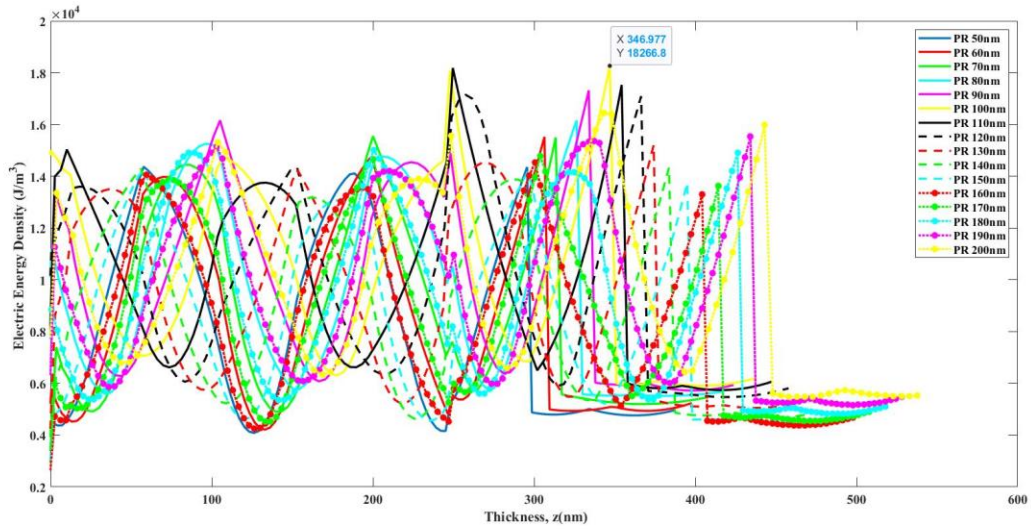


**Figure 4.17: variation of PMMA thickness from 5-15nm with 1nm gap**

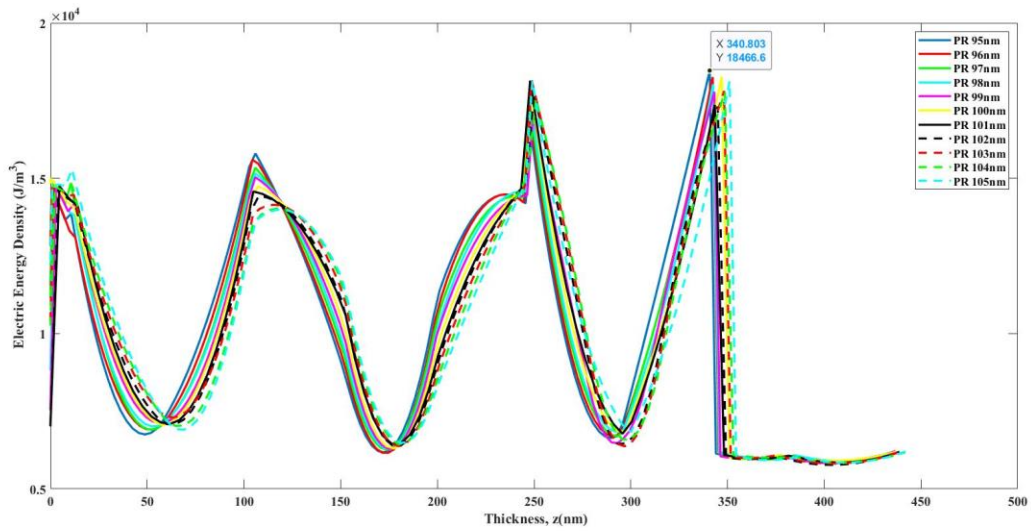
### 4.3.3. Varying PR Thickness (PMMA and Polymer fixed at 10nm and 237nm):

Finally, PR thickness has been varied between 50-200nm (Figure 20 & Figure 21). The PR thickness of 100nm offers highest transmittivity. Later, additional simulation from 95-105nm has been done and an improved transmittivity has been experienced at 95nm (Figure 22). As a result,

we can say that the optimized polymer lens dimension is **PMMA/Polymer/PR: 10nm/237nm/95nm**.



**Figure 4.18: variation of PR thickness from 50-200nm with 10nm gap**



**Figure 4.19: variation of PR thickness from 95-105nm with 1nm gap**



#### 4.4: Optimized Single Layered Lens dimensions

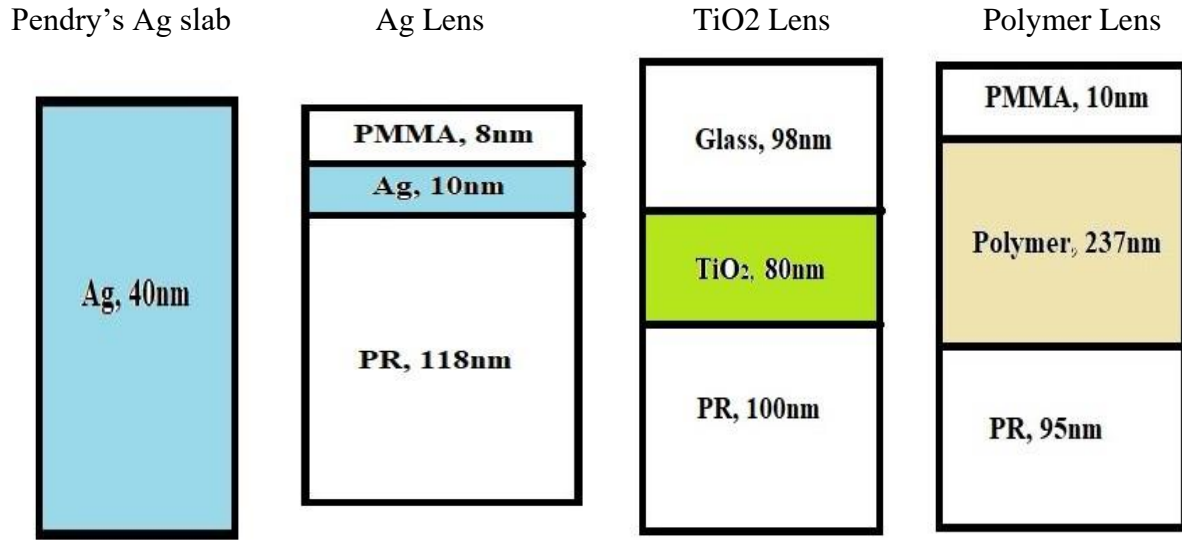


Figure 4.20: Optimized Single-Layered Lenses

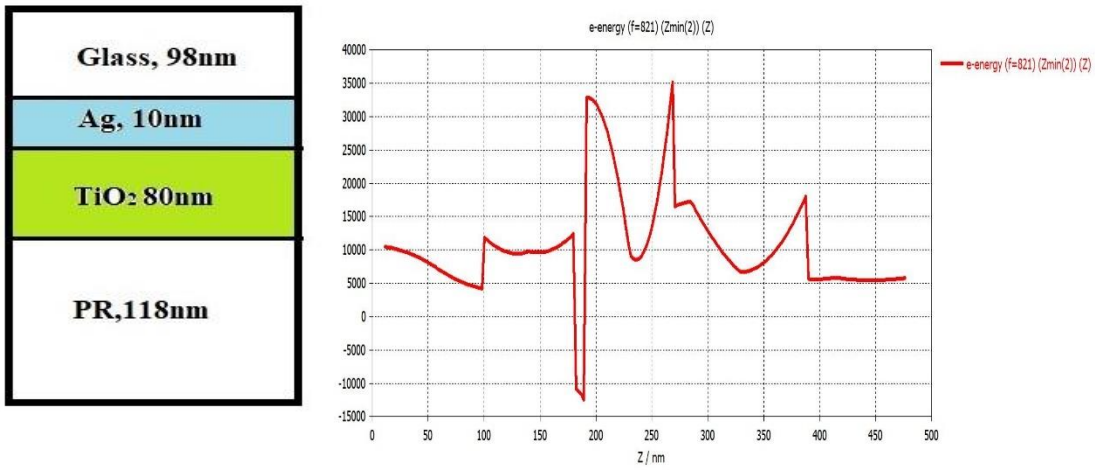
Table 1

E-energy density of single-layered lenses

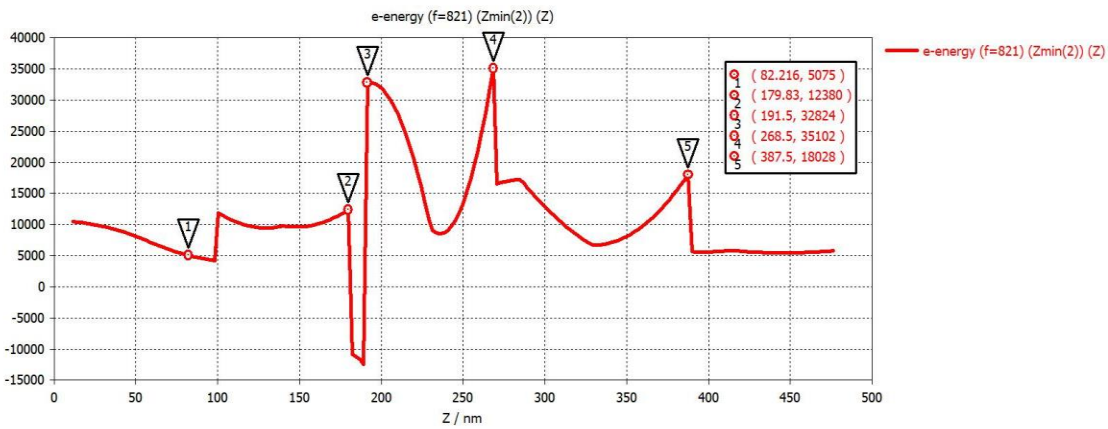
Lens Type (Single-Layered)	E-energy density (J/m <sup>3</sup> ) (at point D)
Pendry's Ag Lens from OTT et al. [30]	3218.4
Ag Lens	18950
TiO <sub>2</sub> Lens	20890
Polymer Lens	18470

## 4.5: Multi-Layered Meta-Lens dimensions and graph

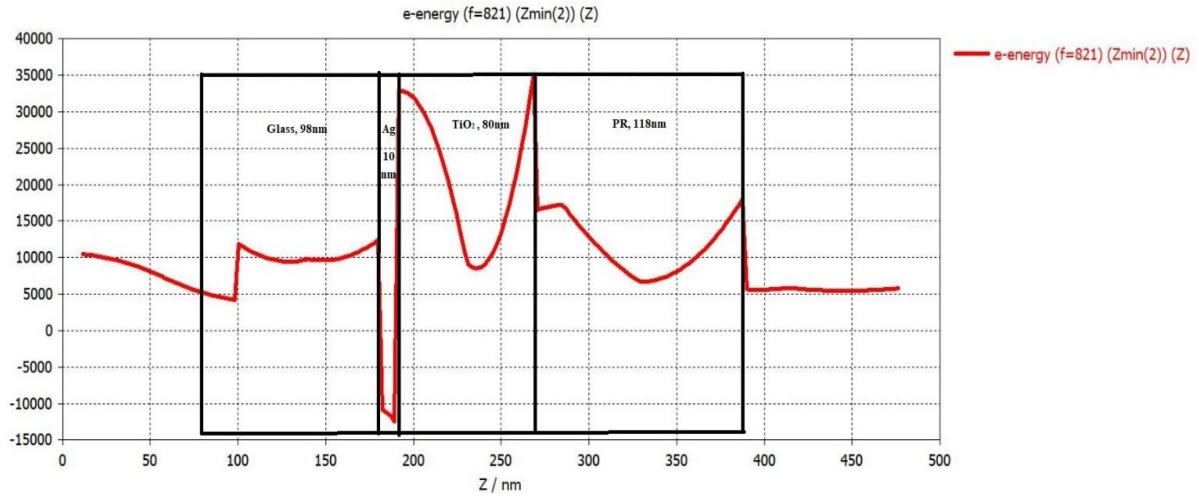
### 4.5.1: Multi-Layered 1 stack:



(a)



(b)



(c)

Figure 4.21: Multi-Layered 1 stack dimension and E-energy density plot

4.5.2: Multi-Layered 2 stack:

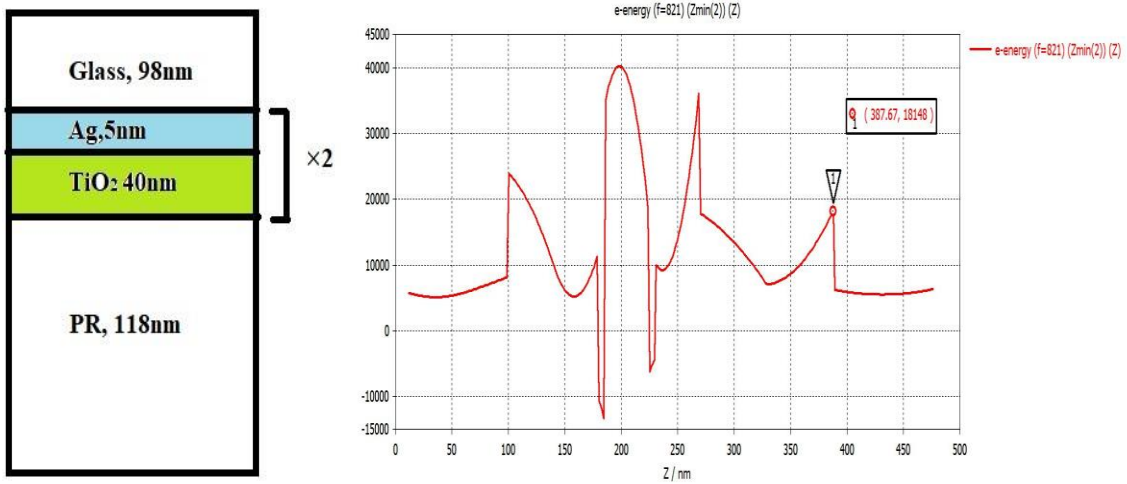


Figure 4.22: Multi-Layered 2 stack dimension and E-energy density plot

### 4.5.3: Multi-Layered 4 stack:

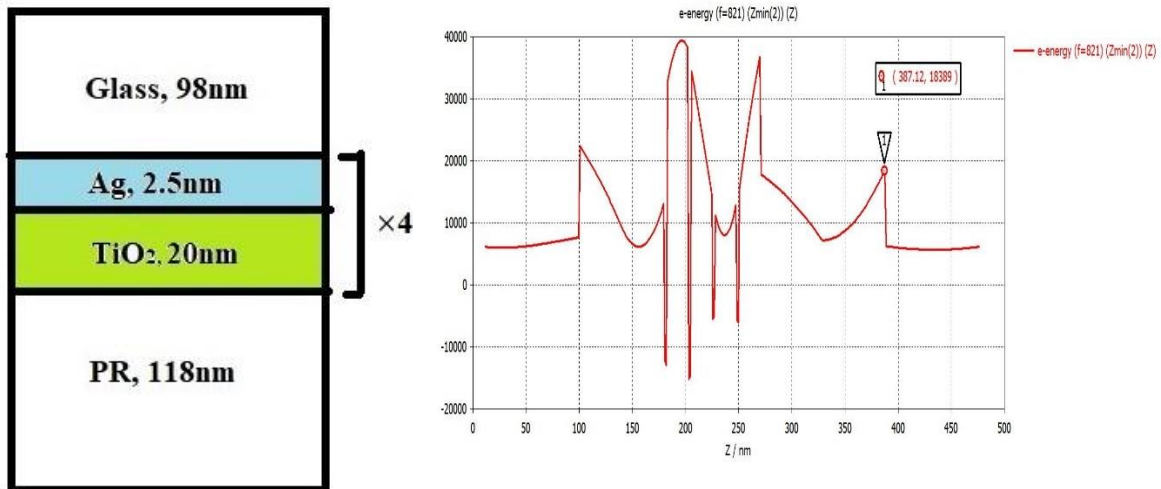


Figure 4.23: Multi-Layered 4 stack dimension and E-energy density plot

### 4.5.4: Multi-Layered 8 stack:

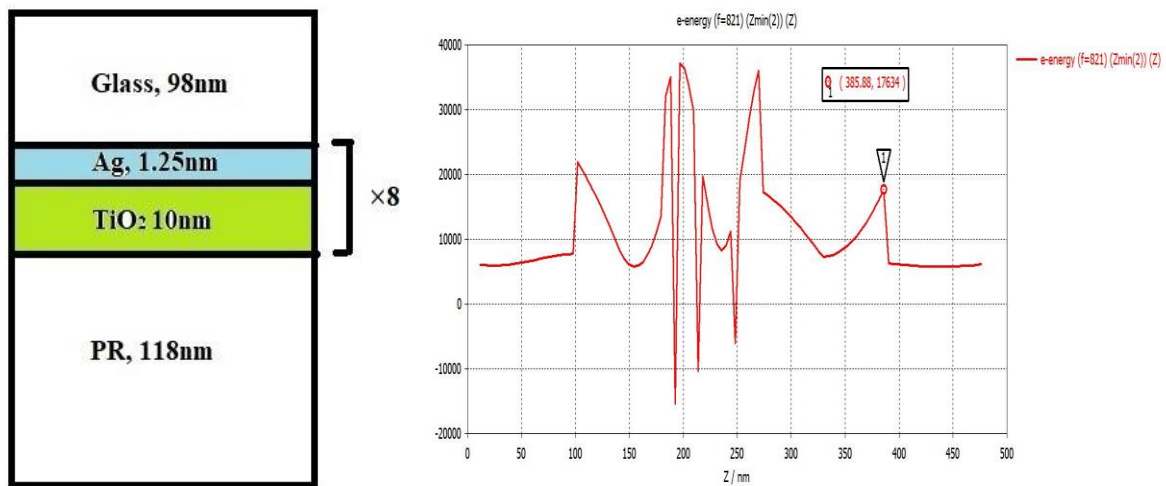


Figure 4.24: Multi-Layered 8 stack dimension and E-energy density plot

#### 4.6: Proposed Multi-Layered Meta-Lens dimension and E-energy density of Multi-Layered Lenses

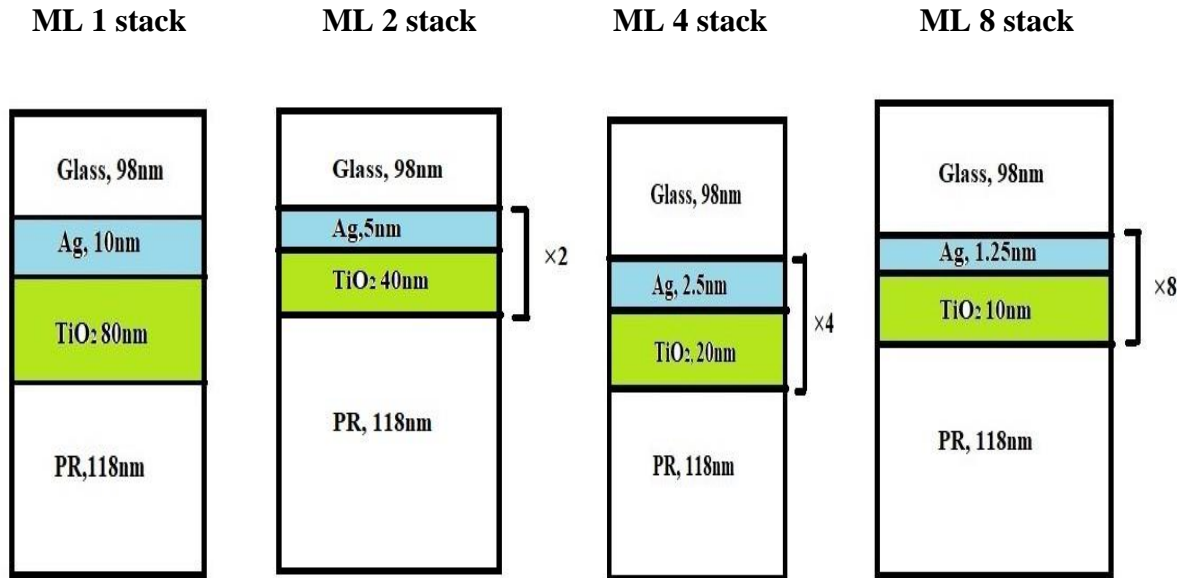


Figure 4.25: Optimized Multi-Layered Lenses

Table: 2

E-energy density of multi-layered lenses

Lens Type (Multi-Layered)	E-energy density (J/m <sup>3</sup> ) (at point D)
Multi-Layered 1 stack	18028
Multi-Layered 2 stack	18148
Multi-Layered 4 stack	18389
Multi-Layered 8 stack	17634

## 4.7: Focusing

### 4.7.1: Focusing through illuminated object (without 40nm thick Ag layer) (validation)

Parameter: Magnetic energy density

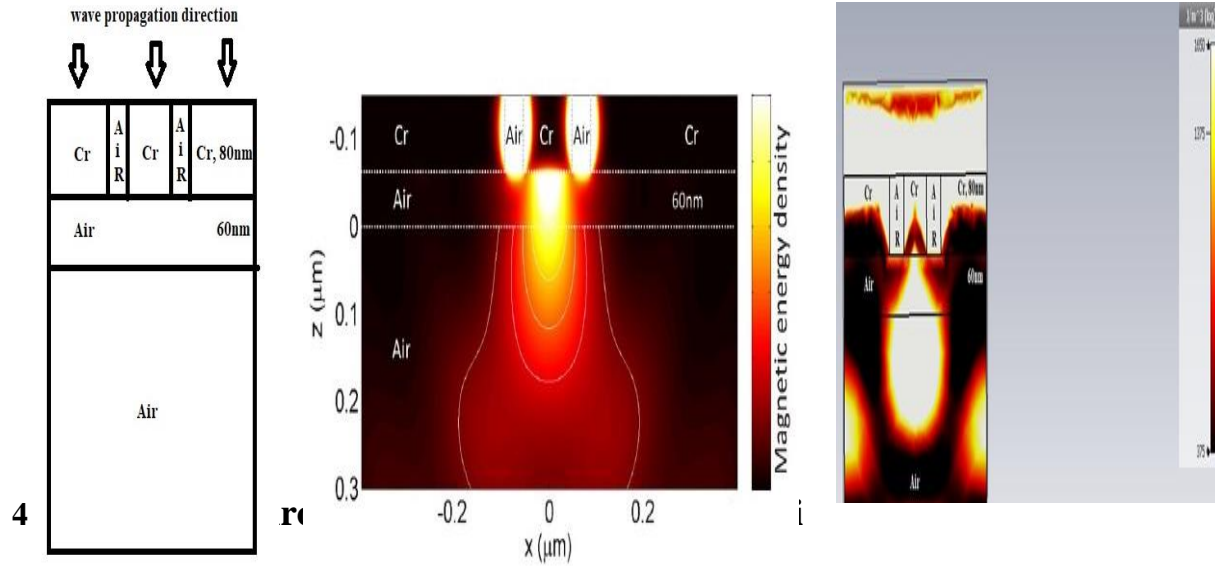


Figure 4.26: Focusing without Lenses

### 4.7.2: Focusing through illuminated object (with 40nm thick Ag layer) (validation):

Parameter: Magnetic energy density

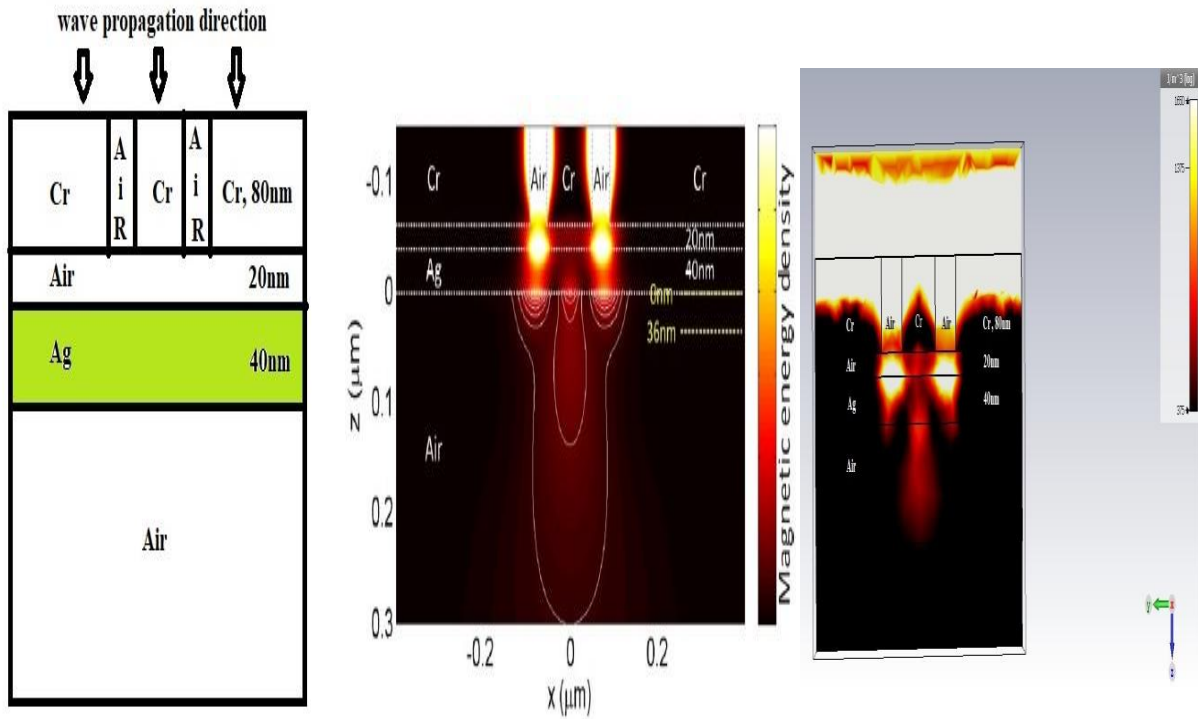
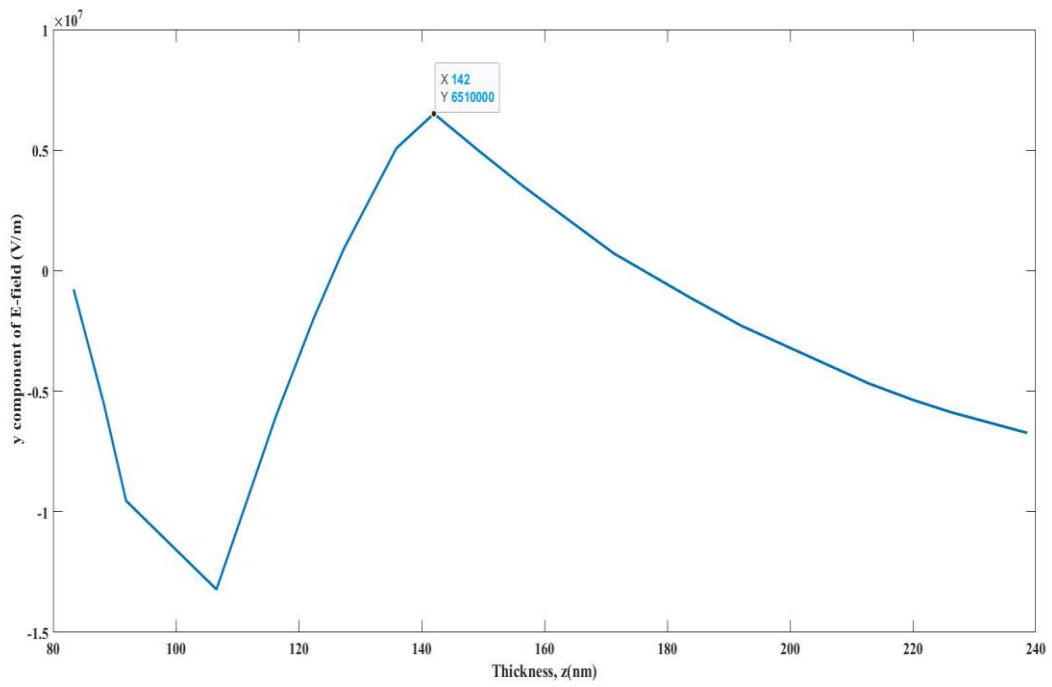


Figure 4.27: Focusing through Ag Lens [30]

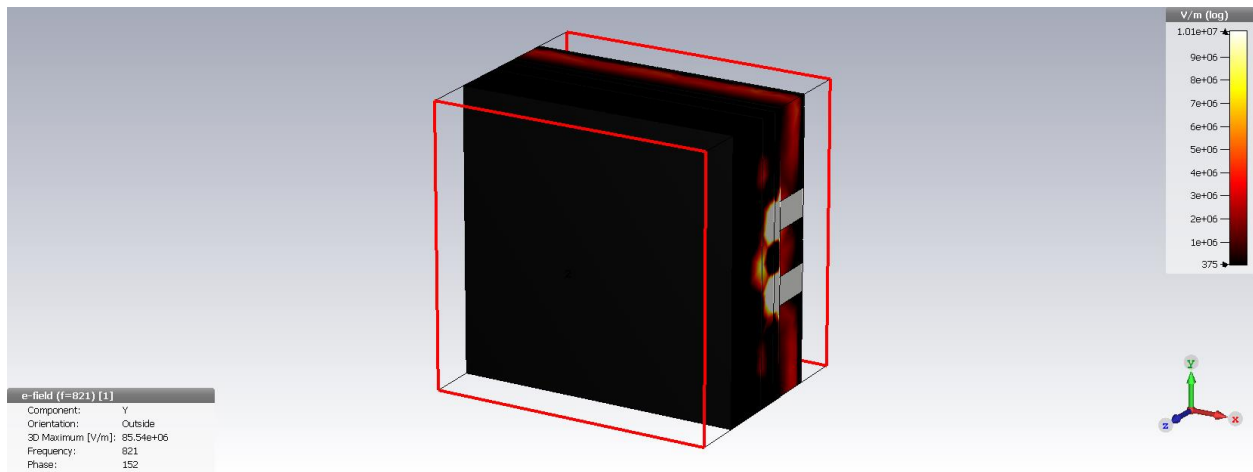
#### 4.7.3: Focusing through Pendry's Ag slab:



(a)

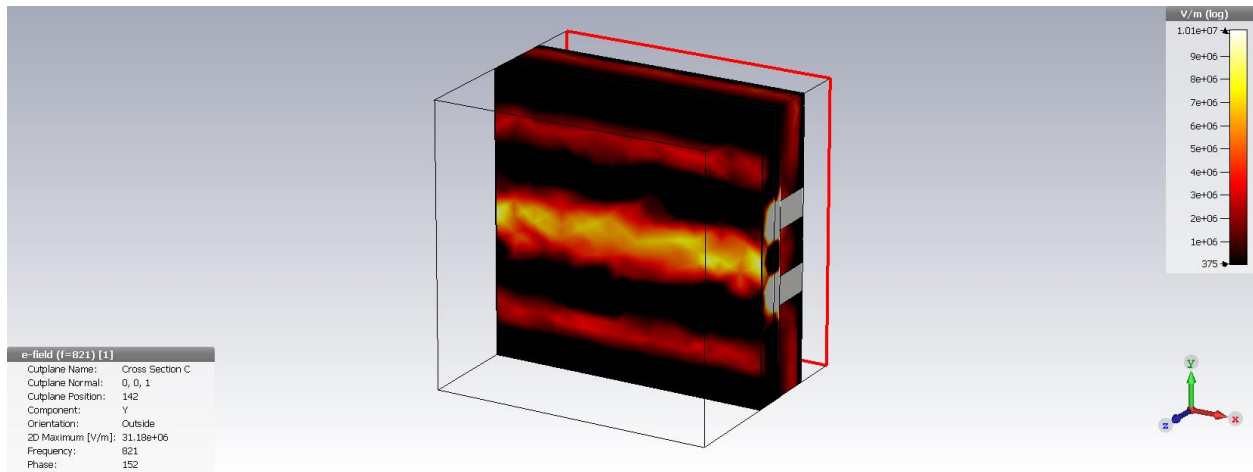


(b)



(c)





(d)

**Figure 4.28:** Pendry's Ag Lens (a) Dimension and Right-side cross-sectional view (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field

#### 4.8: Focal bar

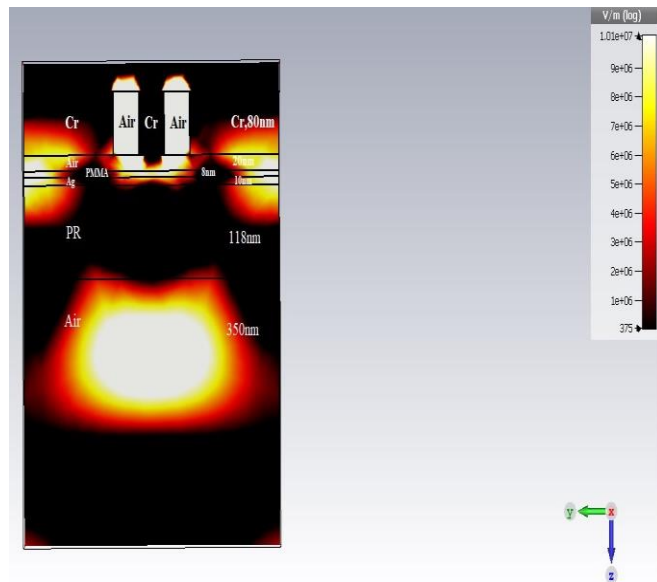
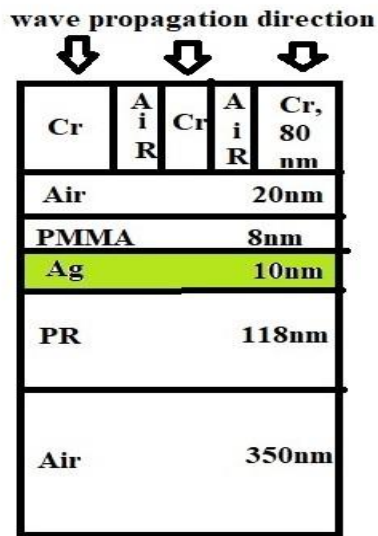
The location of highest E-field has been determined through using **field at cursor** in CST. At the location a cross section has been cut. In the cross sectional view, a focal bar has been observed. It is important to note that usually focal spot (circular shape) is expected. There are mainly two reasons for getting the focal bar:

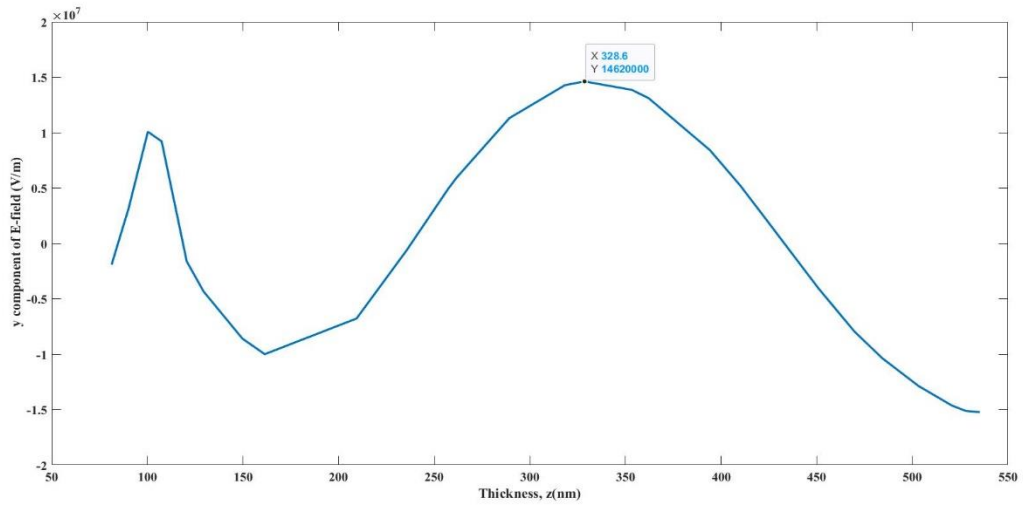
1. If one aperture is used or a point object is used then circular focal point can be seen. In this structure two apertures or two slits (if boundary condition is not considered) have been used.

2. In this design periodic boundary condition has been used. For this boundary condition, the structure repeats periodically in all sides. As a result, there are infinite number of aperture and infinite number of structures. This infinite combination of structure and slits results in focal bar.

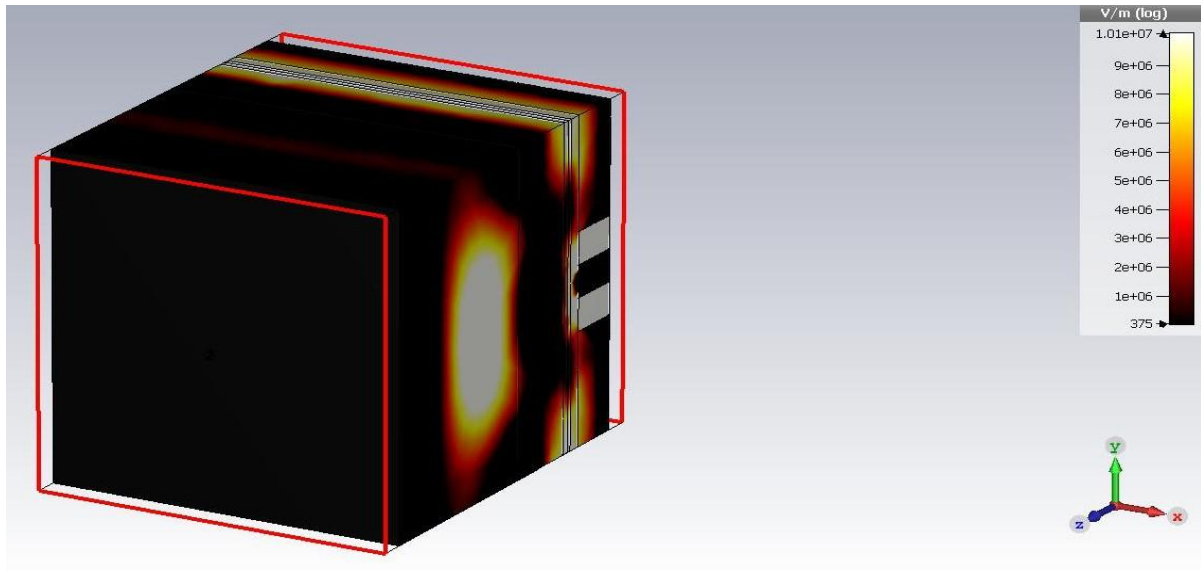
## 4.9: Focusing through proposed Lenses

### 4.9.1: Focusing through proposed Ag Lens:

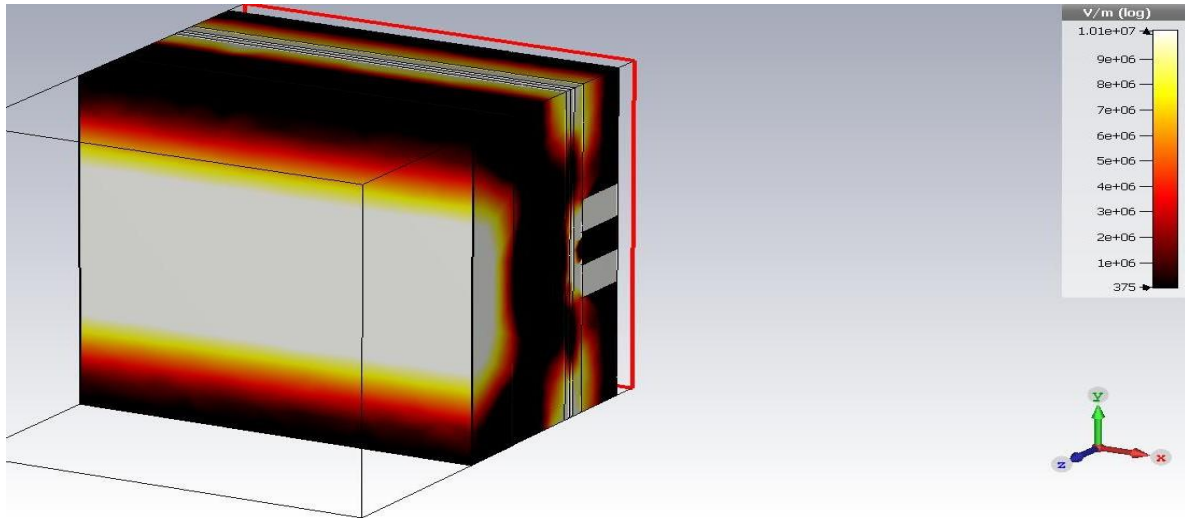




(b)



(c)



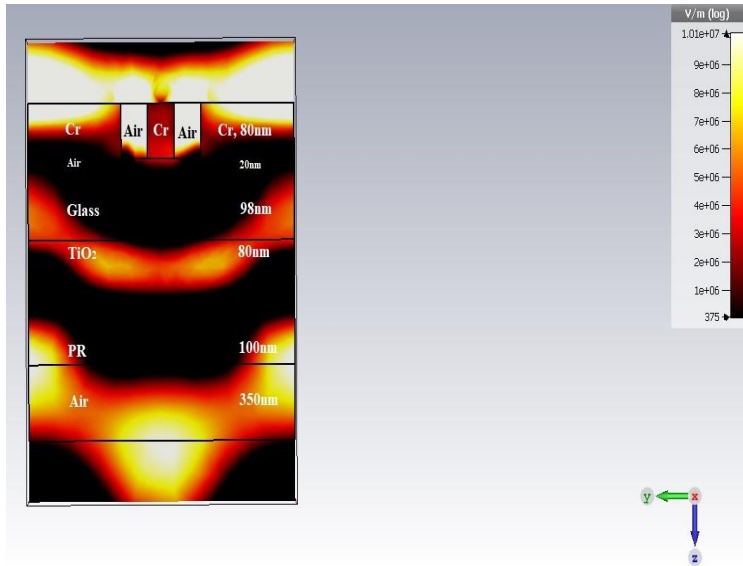
(d)

**Figure 4.29:** Proposed Ag Lens (a) Dimension and Right-side cross-sectional view (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field

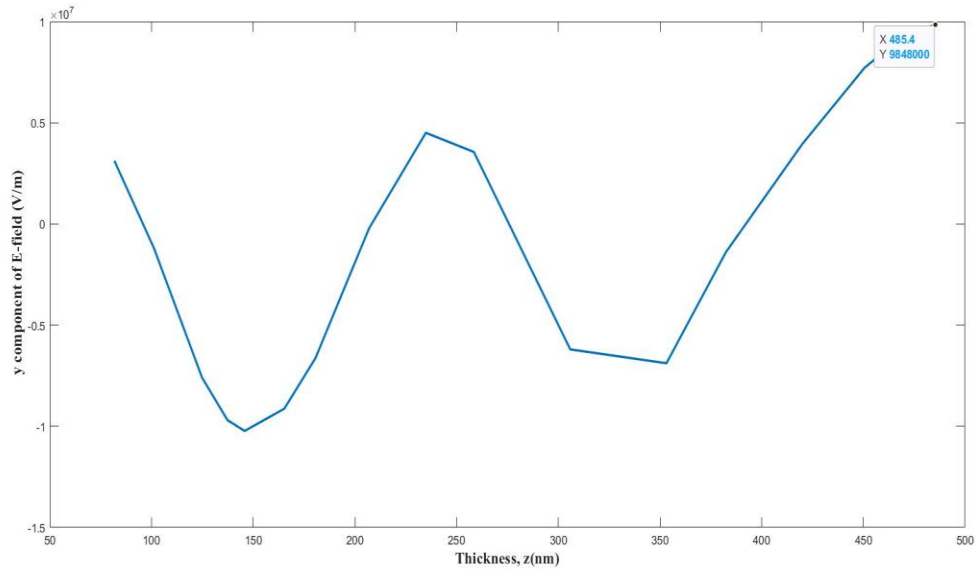
#### 4.9.2: Focusing through proposed TiO<sub>2</sub> Lens:

Wave propagation direction

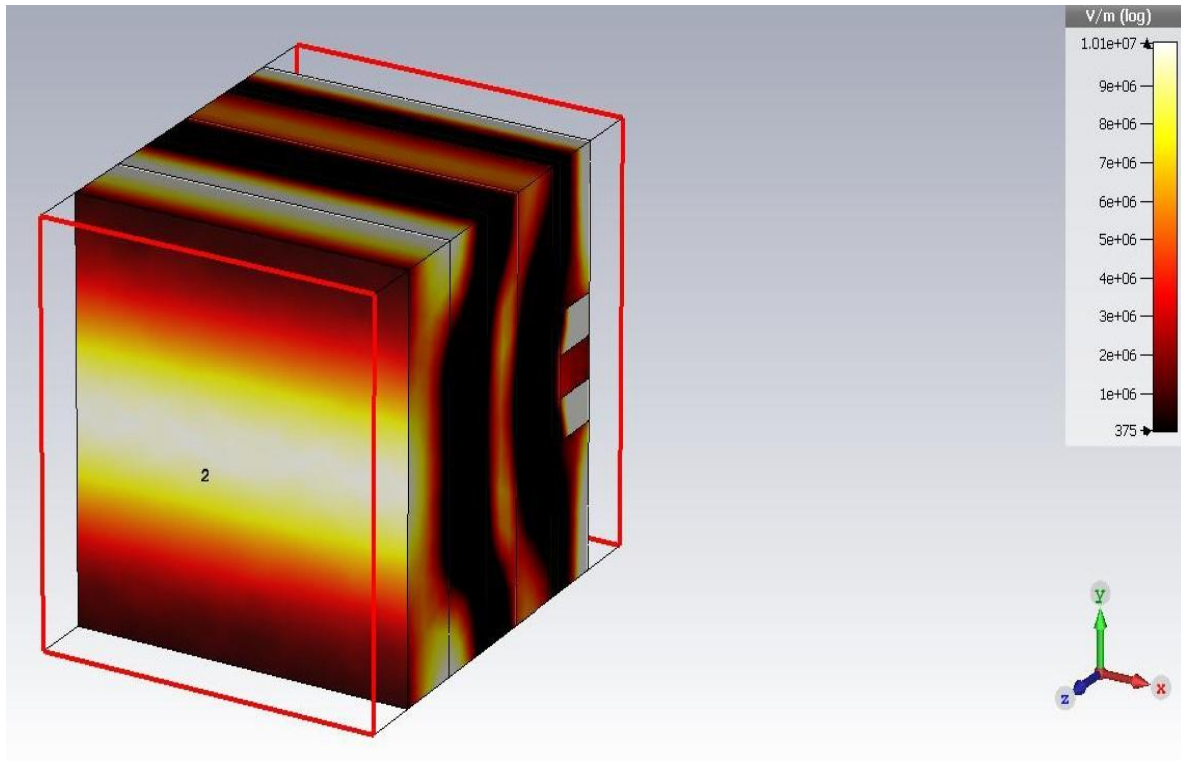
Cr	Air	Cr	Air	Cr, 80nm
Air				20nm
Glass				98nm
TiO <sub>2</sub>				80nm
PR				100nm
Air				350nm



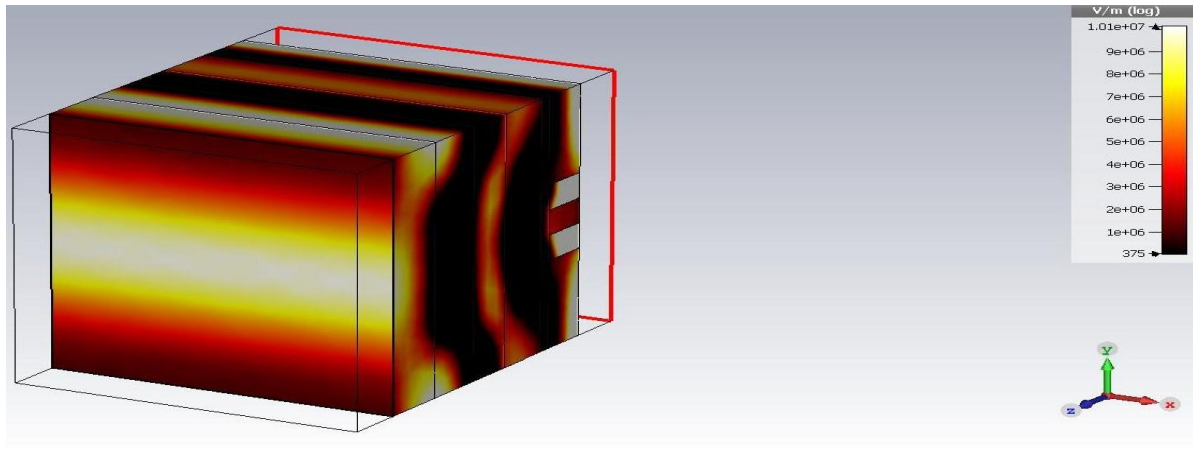
(a)



(b)



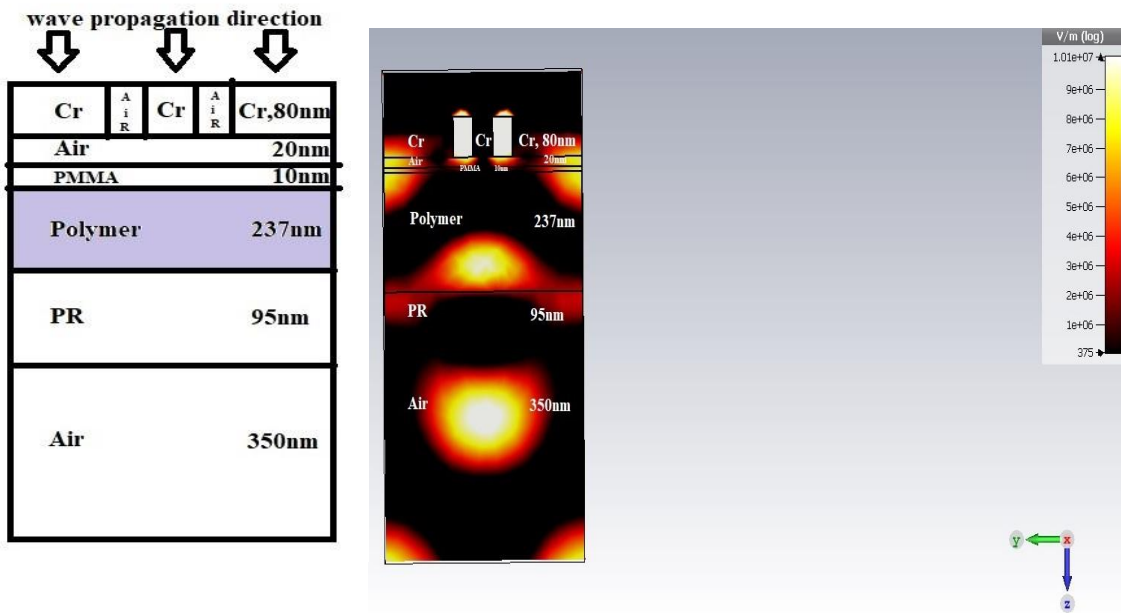
(c)



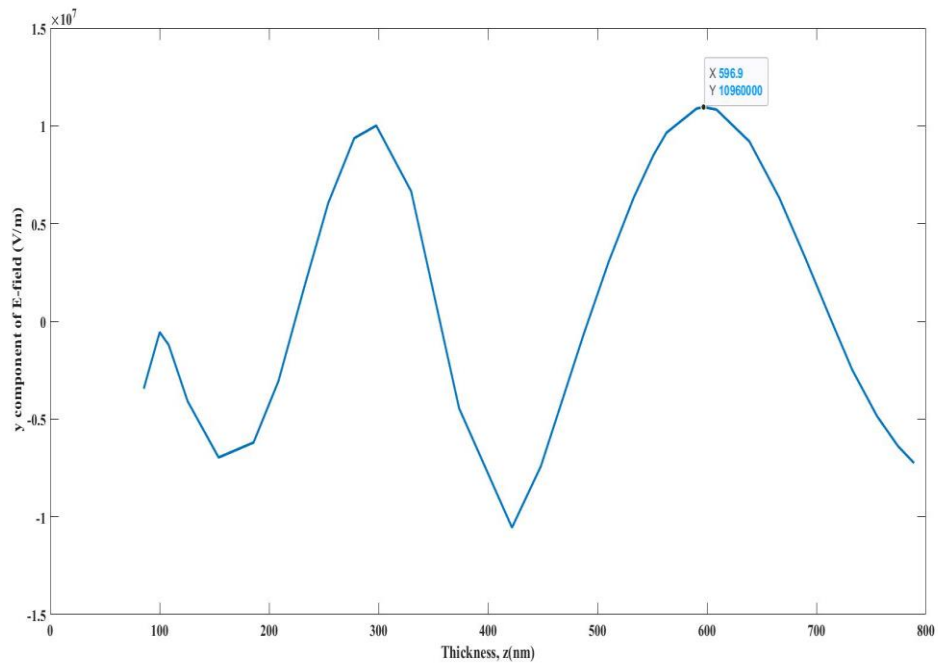
(d)

**Figure 4.30:** Proposed TiO<sub>2</sub> Lens (a) Dimension and Right-side cross-sectional view (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field

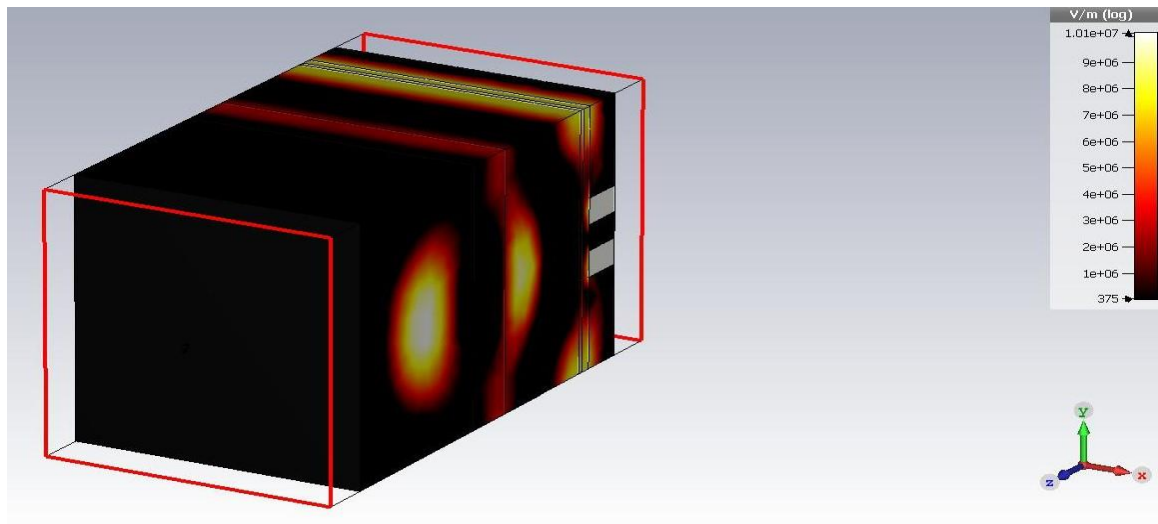
**4.9.3: Focusing through proposed Polymer Lens:**



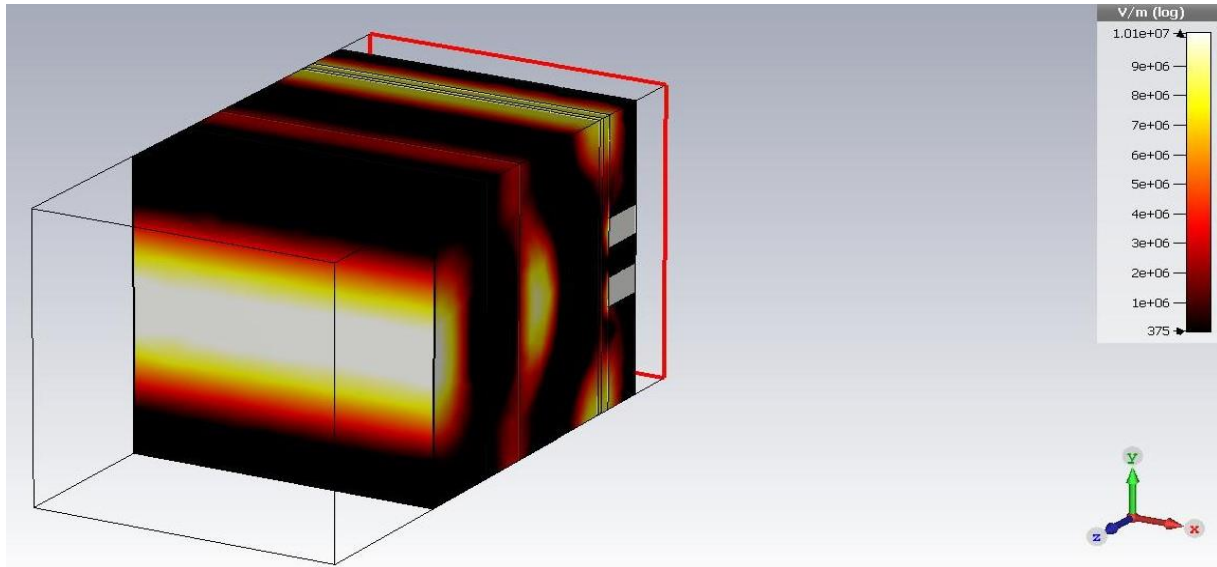
(a)



(b)



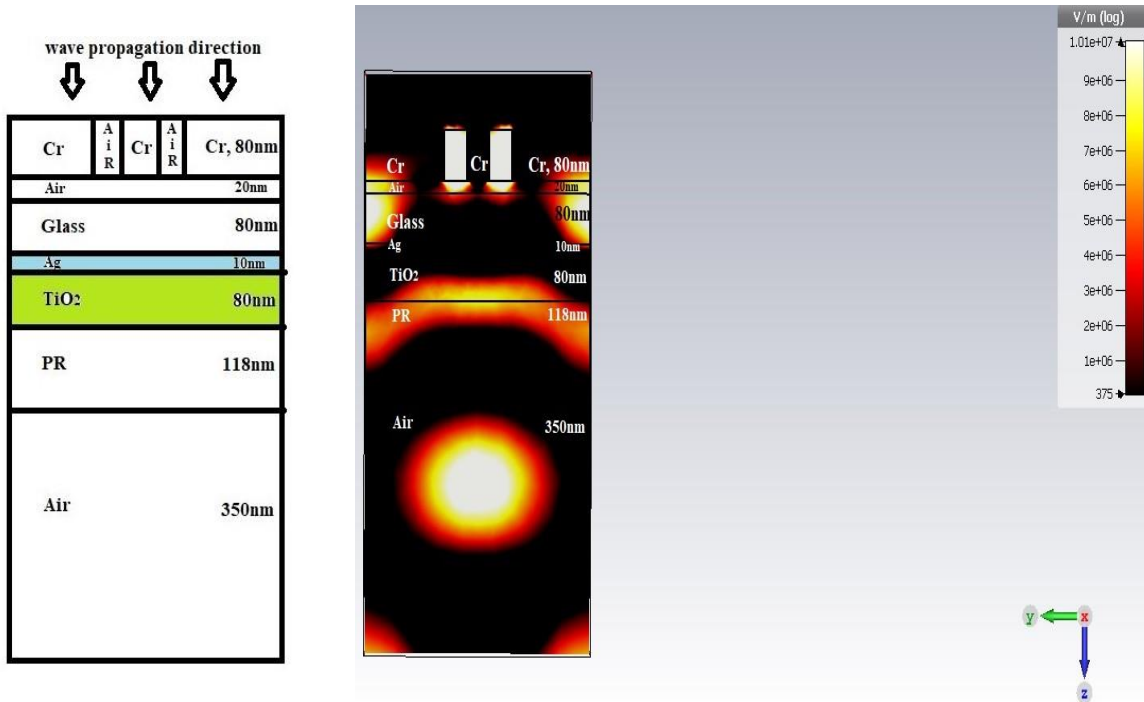
(c)



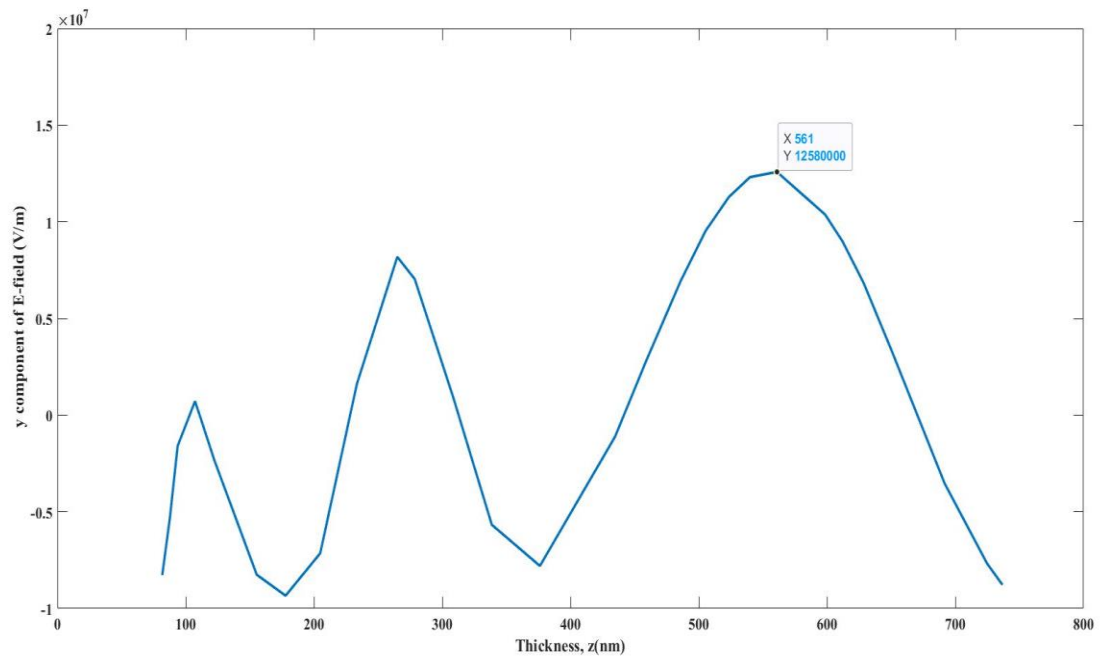
(d)

**Figure 4.31:** Proposed Polymer Lens (a) Dimension and Right-side cross-sectional view (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field

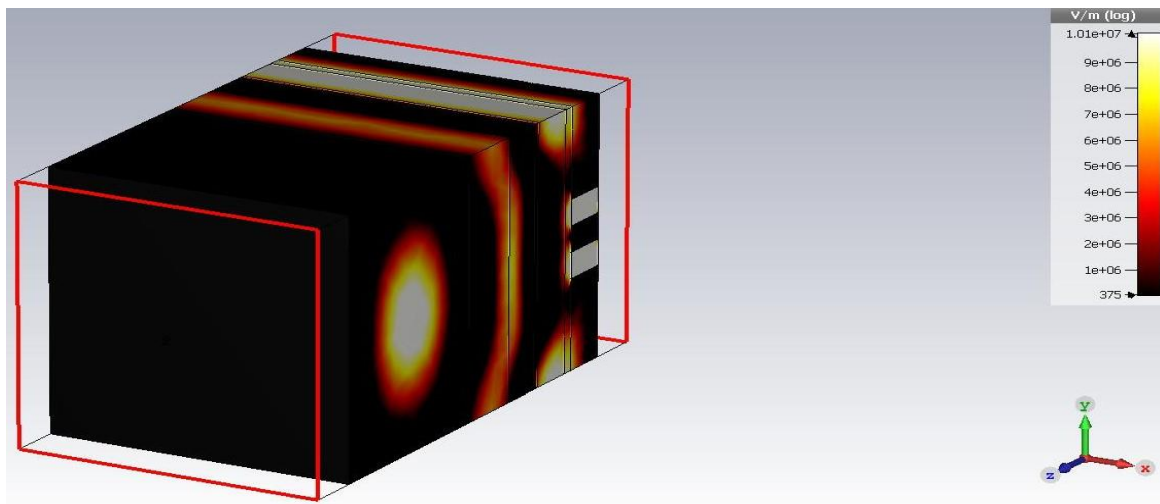
**4.9.4: Focusing through proposed Multi-Layer (1stack) Lens:**



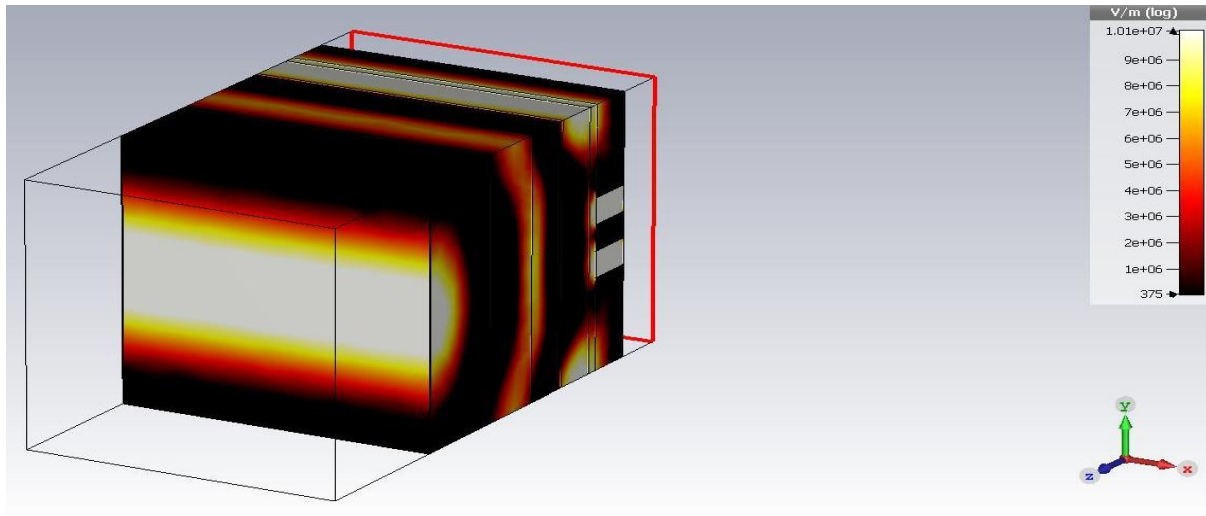




(b)



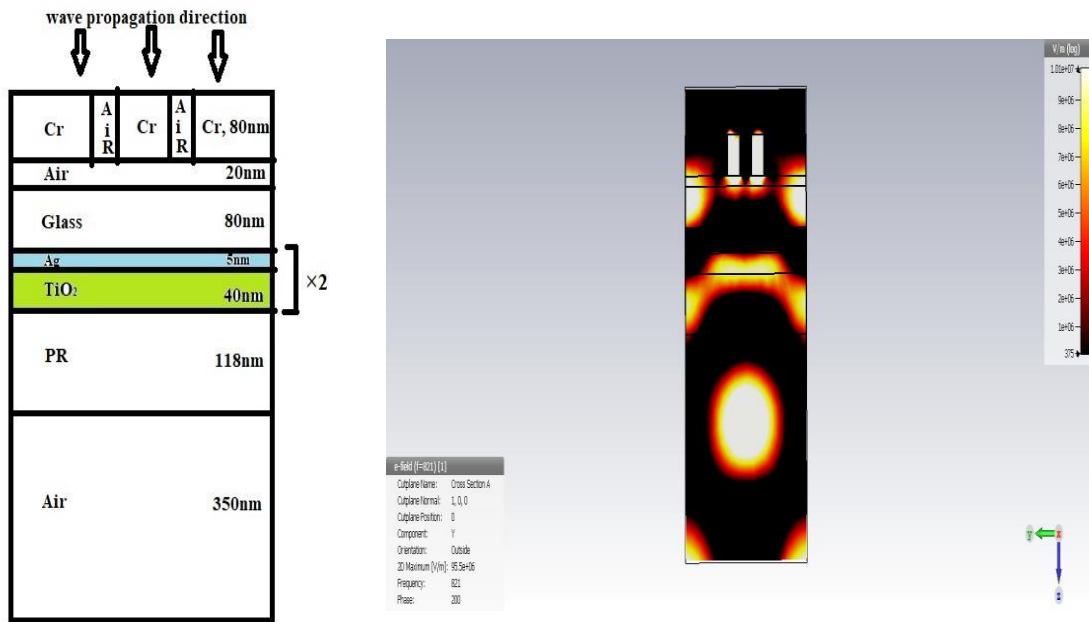
(c)



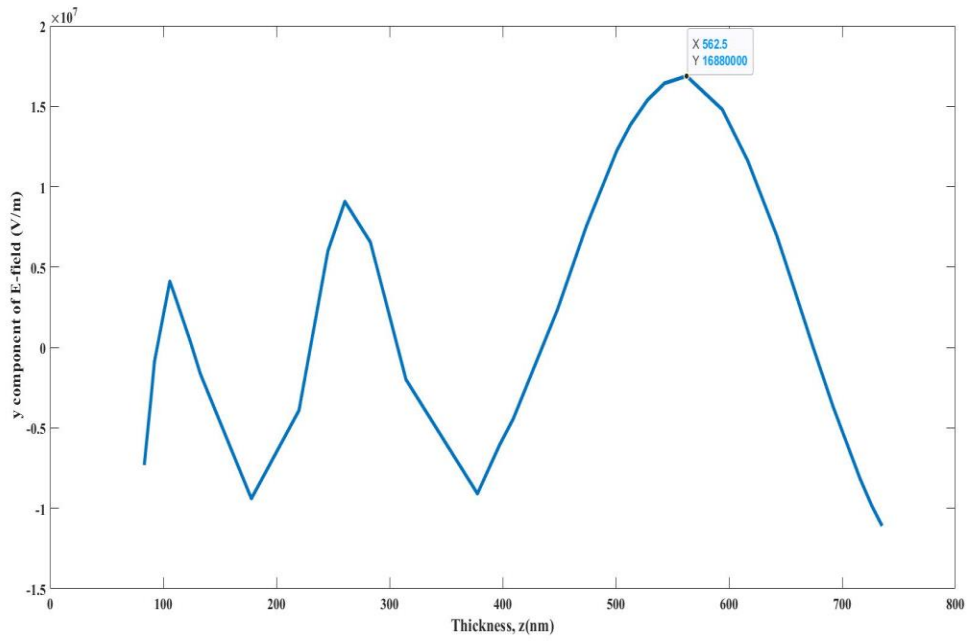
(d)

**Figure 4.32:** Proposed Multi-Layer (1stack) (a) Dimension and Right-side cross-sectional view (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field

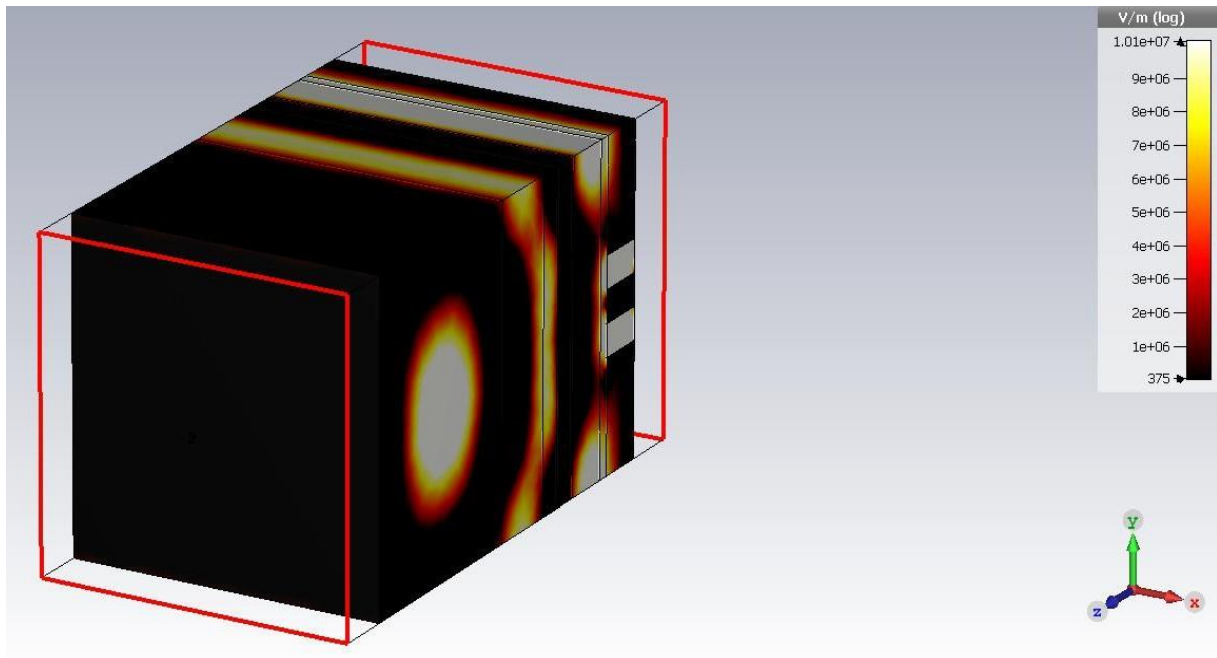
#### 4.9.5: Focusing through Multi-Layer (2 stack) Lens:



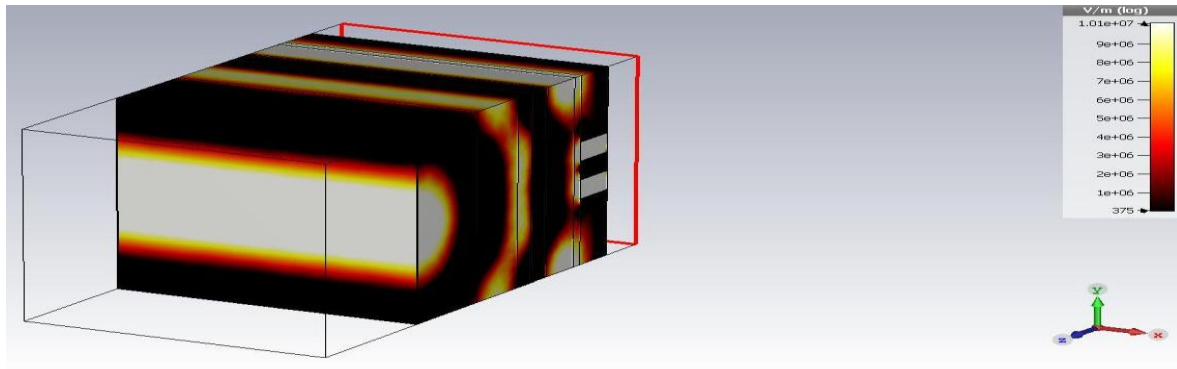
(a)



(b)



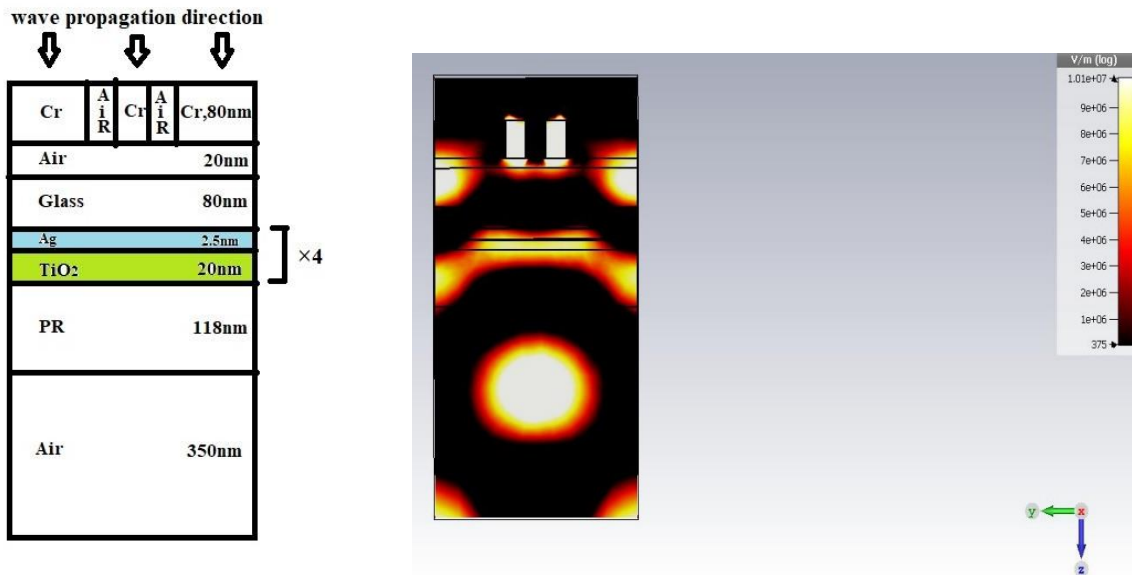
(c)



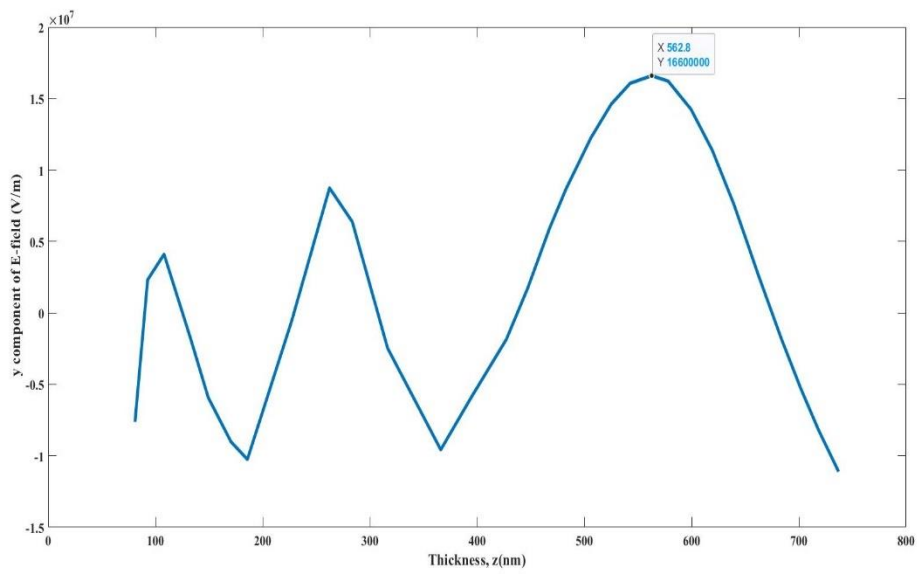
(d)

**Figure 4.33:** Proposed Multi-Layer (2 stack) (a) Dimension and Right-side cross-sectional view (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field.

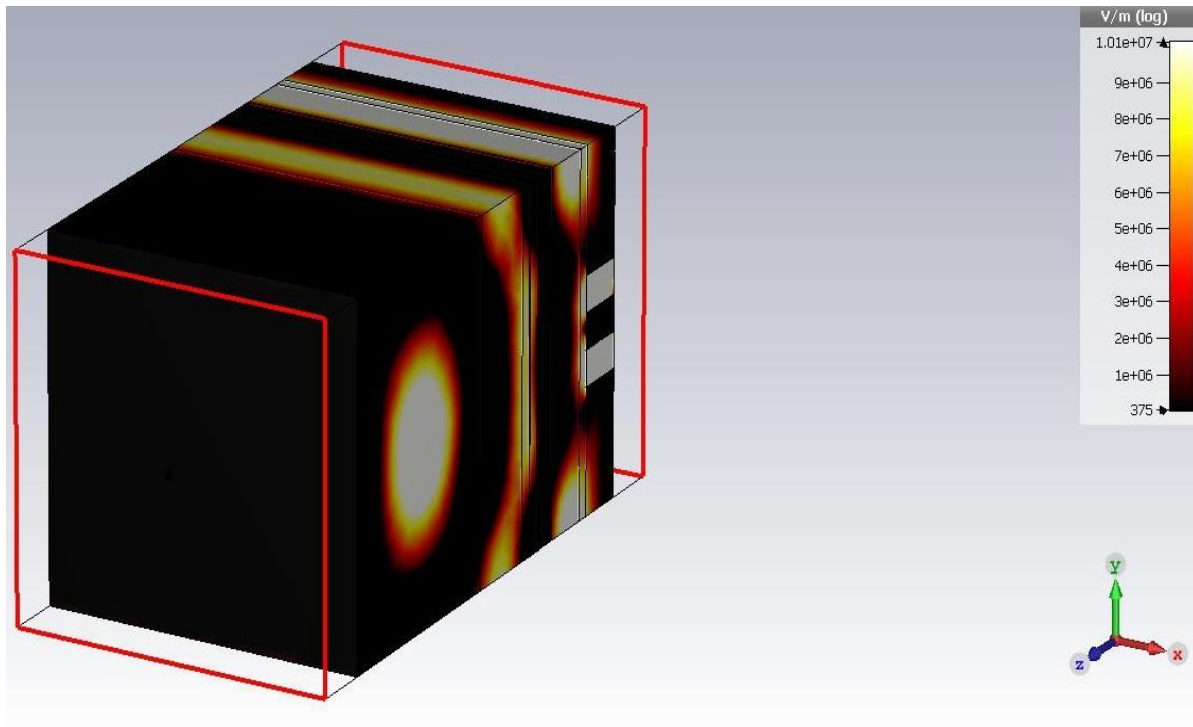
#### 4.9.6: Focusing through Multi-Layer (4 stack) Lens



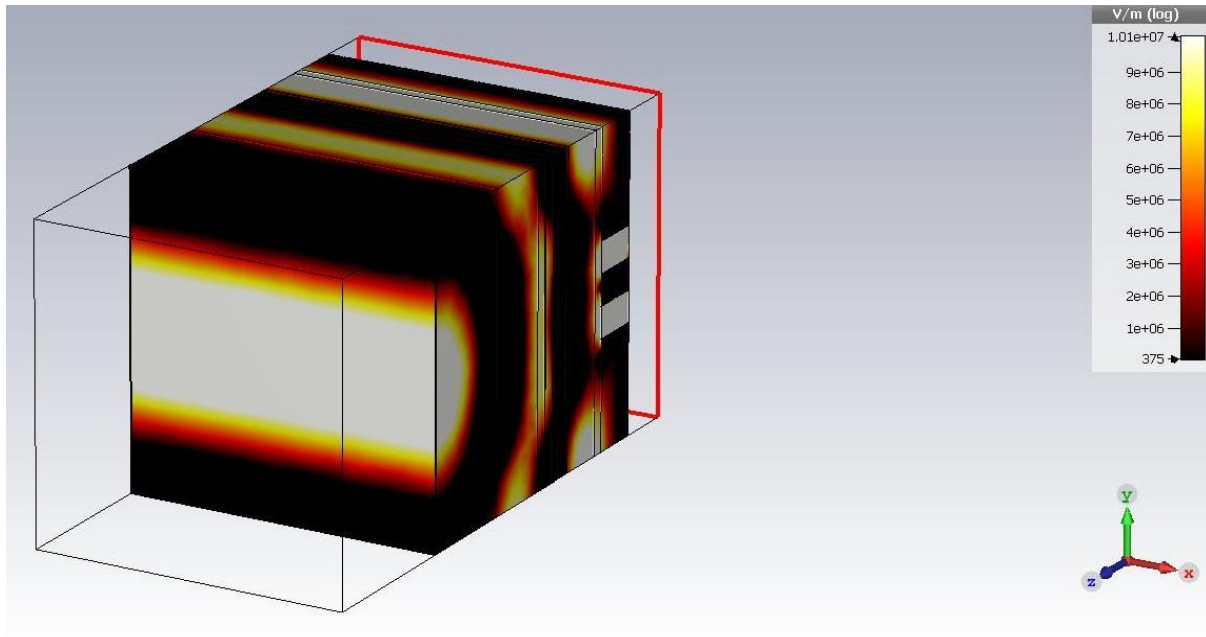
(a)



(b)



(c)

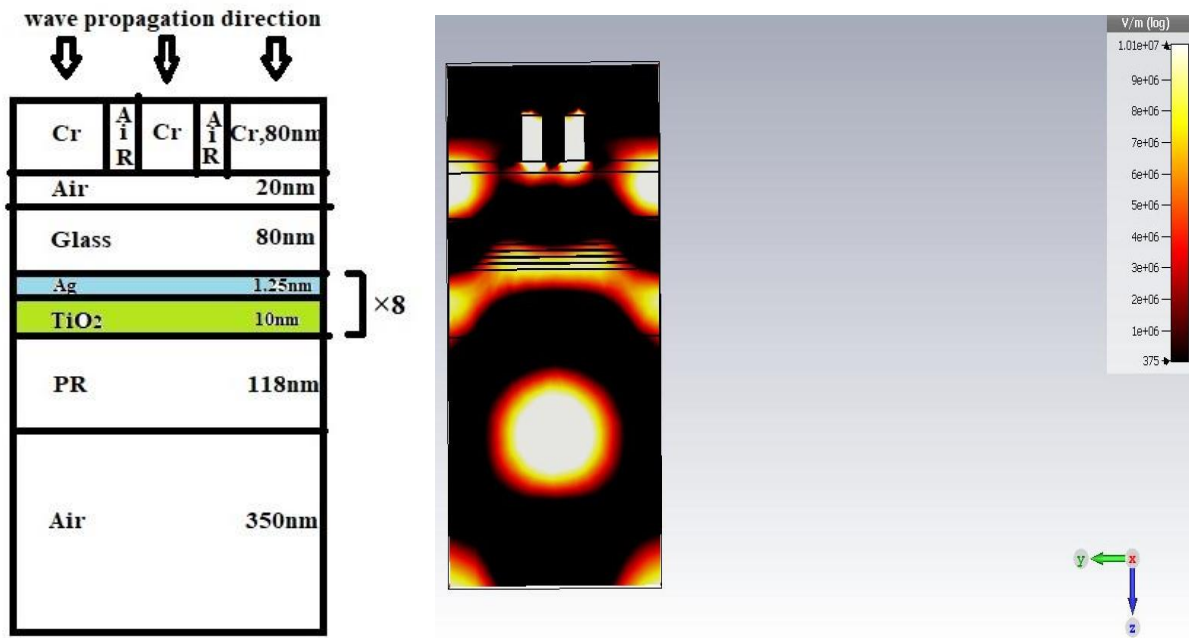


(d)

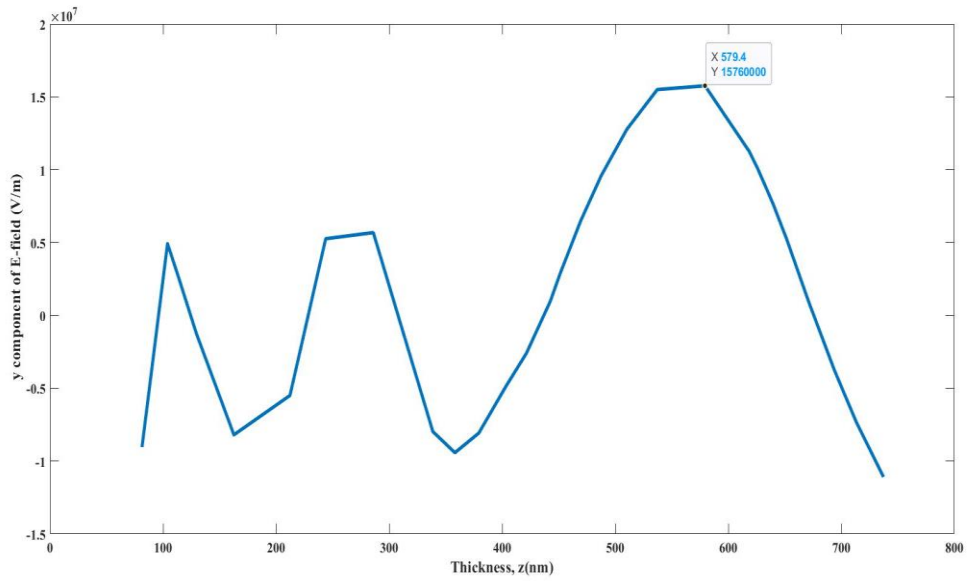
**Figure 4.34:** Proposed Multi-Layer (4 stack) (a) Dimension and Right-side cross-sectional view

(b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field

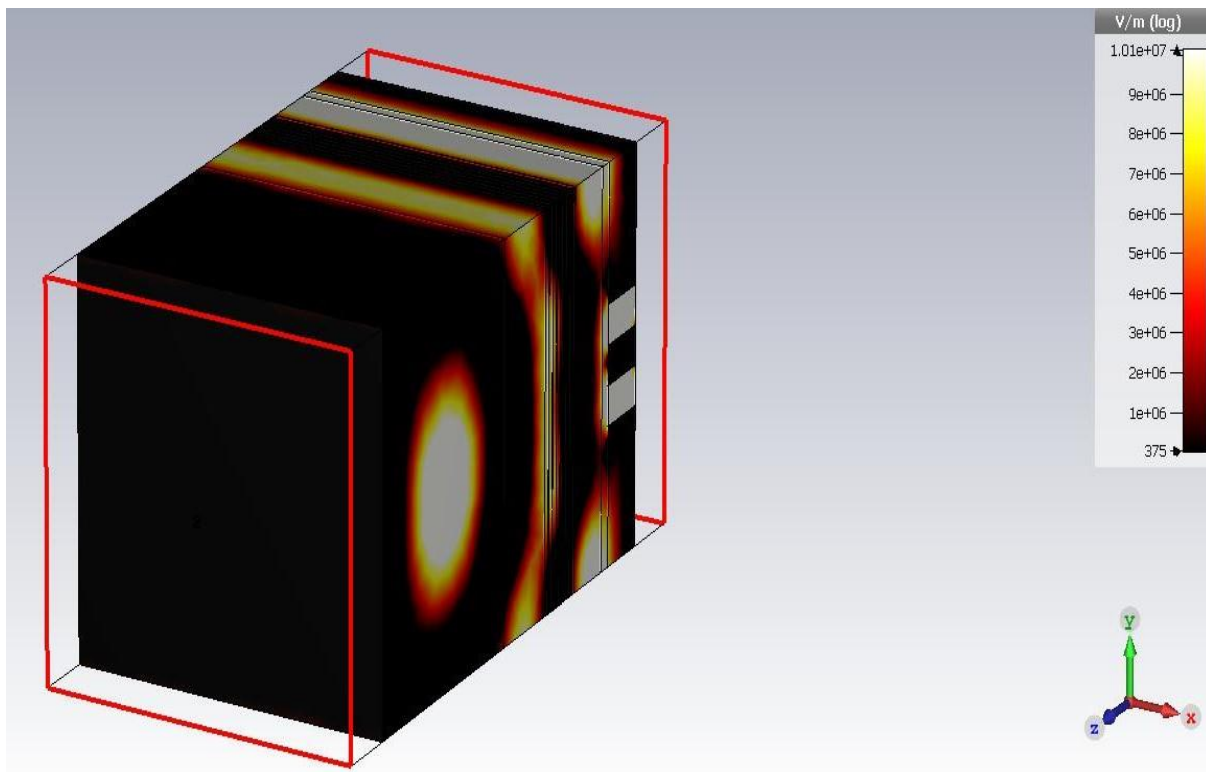
**4.9.7: Focusing through Multi-Layer (8 stack) Lens**



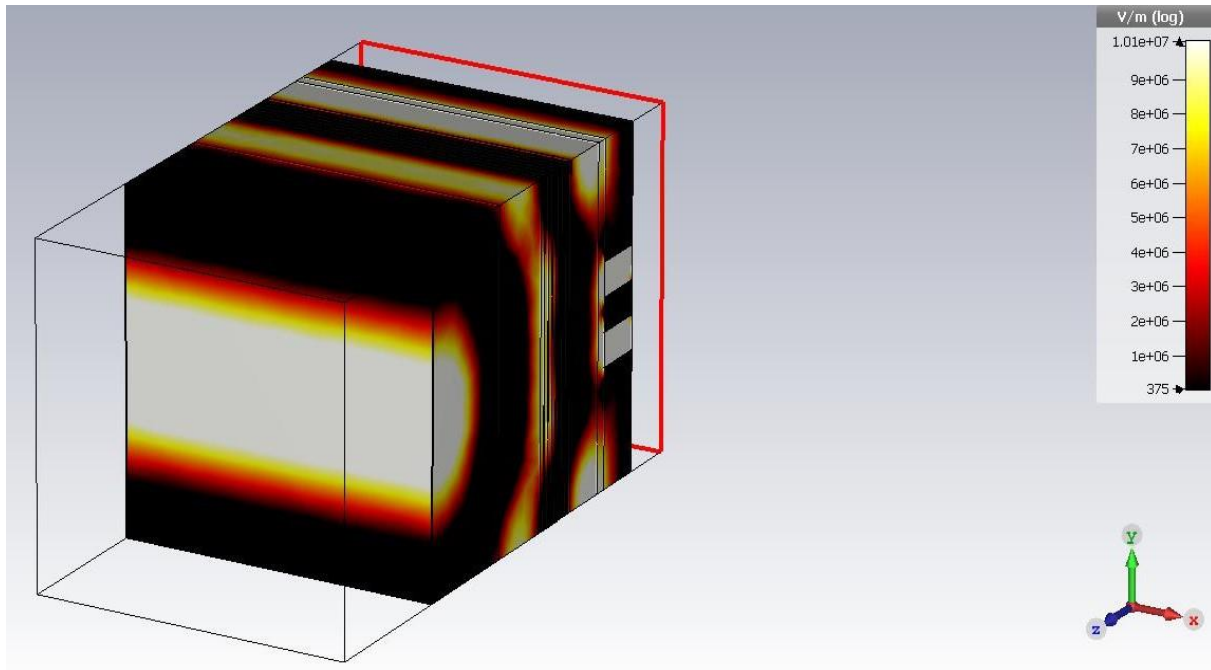
(a)



(b)



(c)



(d)

**Figure 4.35:** Proposed Multi-Layer (8stack) (a) Dimension and Right-side cross-sectional view  
 (b) Thickness vs. E-field plot (c) Perspective view (d) Cross-section at highest E-field.



**Table: 3**  
**Comparison of E-field among various Lenses**

Meta-Lenses	E-field (y component) (MV/m)	Normalized E-field (Eout /Ein)	Distance of focal point from last layer
Pendry's Ag Lens from Ott et al.	6.51	0.195	2
Ag Lens	14.62	0.362	98
TiO <sub>2</sub> Lens	9.848	0.523	107.4
Polymer Lens	10.96	0.528	154.9
Multi-Layer 1 stack	12.58	0.625	173
Multi-Layer 2 stack	16.88	0.789	174.5
Multi-Layer 4 stack	16.60	0.802	177.8
Multi-Layer 8 stack	15.76	0.738	191.4

## CHAPTER V

### CONCLUSIONS AND DISCUSSION

#### **5.1: Conclusion**

Proposed Ag Lens and all other meta-lenses (single-layered and multi-layered) provided better E-energy density than the Pendry's Ag slab. It means that proposed meta-lenses can provide better enhancement of evanescent waves consequently better image resolution. In addition to that the proposed meta-lenses also showed better E-field than the Pendry's Ag slab. As a result, these proposed meta-lenses have higher focusing ability than the Pendry's Ag slab.

#### **5.2: Discussion**

Amplification of evanescent waves: The Lens properties has been investigated carefully. The simulation has been done to get optimized Lens dimensions. At the optimized dimension, at the end of PR, amplified evanescent waves are restored. To make the lenses' structure practical, substrate and coating material have been used before and after the main lens material. Although, the substrate and coating materials have some losses, but the amplification of the main lens material overcome the losses and in sum amplified evanescent waves can be observed.

Paraxial image vs. Super-resolution: In this research, operating frequency is at 821 THz. The permittivity of a material is related to its property. The permittivity also depends on the

frequency. If the operating frequency is shifted from 821 THz, the permittivity of certain material will change. As a result, optimized dimension will be changed. Consequently, the focal bar will be located to different location with different intensity (E-field). The materials for lens have been selected in terms of their electromagnetic property, availability in nature, possibility of mass production and economic feasibility.

### **5.3: Future works**

#### **5.3.1: Fabrication and measurement:**

There are many nanofabrication processes for example Thin film deposition, patterning i.e., Lithography, Film modification i.e., etching and so on. Additionally, 3D printing, sputtering technique that grow material on a substrate can also be an option for fabrication.

#### **5.3.2: Further optimization:**

Dimension and Materials: New types of material can be examined or more layers can be added to get better result in future. Furthermore, the internal losses in the layered lenses need to be considered to make the meta-lenses more efficient.

Shape of focal spot: In the proposed lenses, focal bar has been found where two slits have been used as aperture. To get a circular focal spot, aperture shape can be modified, for example, circular shaped aperture can be used.

#### **5.3.3: Application approach:**

The proposed meta-lenses can be used in different optical devices, medical instruments, lasers, cameras, and many other devices for imaging.

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## BIOGRAPHICAL SKETCH

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