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# Including supramaximal verification reduced uncertainty in VO<sub>2peak</sub> response rate.

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1	Including supramaximal verification reduced uncertainty in VO <sub>2peak</sub> response rate
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## 24 ABSTRACT

Background: Many reports describe using a supramaximal verification phase - exercising at a
 power output higher than the highest power output recorded during an incremental

27 cardiopulmonary test - to validate VO<sub>2max</sub>. The impact of verification phases on estimating the

28 proportion of individuals who increased VO<sub>2peak</sub> in response to high-intensity interval training

29 (HIIT) remains an underexplored area in the individual response literature.

30 Methods: This analysis investigated the influence of same-day and separate-day verification

31 phases during repeated measurements (incremental tests – INCR1 and INCR2; incremental tests

32 + supramaximal verification phases – INCR1+ and INCR2+) of VO<sub>2peak</sub> on typical error (TE)

33 and the proportion of individuals classified as responders (i.e. the response rate) following four

34 weeks of HIIT (n=25) or a no-exercise control period (n=9).

35 Results: Incorporation of supramaximal verification consistently reduced the standard deviation

36 of individual response, typical error, and confidence interval widths. However, variances were

37 statistically similar across all groups (p>0.05). Response rates increased when incorporating

38 either one (INCR1 to INCR1+; 24% to 48%, *p*=0.07) or two (INCR2 to INCR2+; 28% to 48%,

p=0.063) supramaximal verification phase(s). However, response rates remained unchanged

40 when either zero-based thresholds or smallest worthwhile difference response thresholds were

41 used (50% and 90% confidence intervals, all p>0.05).

42 **Conclusion**: Supramaximal verification phases reduced random variability in VO<sub>2peak</sub> response

43 to HIIT. Compared with separate-day testing (INCR2 and INCR2+), the incorporation of a same-

44 day verification (INCR1+) reduced CI widths the most. Researchers should consider using a

45 same-day verification phase to reduce uncertainty and better estimate VO<sub>2peak</sub> response rate to

46 HIIT.

*Keywords*: supramaximal verification phase, response classification, maximal oxygen uptake,
incremental testing, responder, typical error, individual response to exercise, response rate.

49

## **50 INTRODUCTION**

51 Although research examining individual variability in exercise training-induced maximal 52 oxygen uptake (VO<sub>2max</sub>) response has become common in recent years (Bonafiglia et al. 2021b), 53 the ideal method for determining changes in VO<sub>2max</sub> in response to a given exercise intervention 54 - herein referred to as 'VO<sub>2peak</sub> response' - remains unclear. Concurrently, exercise researchers 55 have debated the need to validate VO<sub>2max</sub> using a supramaximal verification phase - exercise at a 56 power output higher than the highest power output recorded during an incremental 57 cardiopulmonary test (Rossiter et al. 2006; Astorino et al. 2009; Midgley and Carroll 2009; 58 Bowen et al. 2012; Poole and Jones 2017). To date, seemingly few studies have incorporated 59 supramaximal verification when classifying VO<sub>2peak</sub> responses to exercise training. While some 60 researchers argue supramaximal verification provides limited additional insight for the added 61 financial cost and participant burden (Murias et al. 2018; Iannetta et al. 2020; Wagner et al. 62 2021), the impact of including supramaximal verification phases on estimating  $VO_{2peak}$  response 63 rate remains an underexplored area of the individual response literature.

Estimating response rate can be achieved by modelling endurance or high-intensity interval training (HIIT) responses for each individual and calculating the proportion that exceed a threshold. This modelling depends on observed changes in outcomes before and after an intervention (Scharhag-Rosenberger et al. 2012; Astorino and Schubert 2014). Observed changes during an intervention incorporate variability attributable to measurement error (instrumentation error and day-to-day biological variability), within-subject variability (chronic 70 changes attributable to behavioral/environmental factors external to the intervention), and 71 variability attributable to exercise training (interindividual differences in trainability) (Hopkins 72 2000; Hecksteden et al. 2015; Swinton et al. 2018; Bonafiglia et al. 2019). Measurement error 73 can be quantified by calculating typical error (TE) from the variability in a measure when an 74 individual performs repeated tests in the absence of an intervention (Hopkins 2000; Swinton et 75 al. 2018). Additionally, repeat testing and use of the mean of observed values reduces TE by 76 reducing the influence of measurement error (Hopkins 2000; Monach 2012). Of note, using 77 verification phases following an incremental cardiopulmonary test increases the number of 78 repeated VO<sub>2peak</sub> measurements pre- and post-intervention. It therefore seems reasonable that 79 adding verification phases to VO<sub>2peak</sub> tests will reduce the influence of measurement error on 80 observed change scores and thereby reduce uncertainty in modelling individual responses and the 81 overall response rate (Swinton et al. 2023). 82 The purpose of the current analysis was to investigate the influence of verification phases 83 during repeat measurement of VO<sub>2peak</sub> on TE and the response rate following exercise training. 84 This research provides insight into whether clinicians and researchers should utilize

85 supramaximal verification phases to improve classification of individual response following

86 exercise training.

87

#### 88 MATERIALS AND METHODS

89 Subjects

90 Thirty-four recreationally active (self-reported < 3 hours of physical activity per week),</li>
91 healthy young males (n=18; 13 of whom were from Del Giudice et al. 2020 and females (n=16)
92 were included in the current study (age, 21.8±2.1 yrs; height, 172.6±9.9 cm; weight, 71.7±4.4 kg,

Table 1 and Figure 1). Inclusion criteria were as follows: between 18-30 years of age, < 3 hours</li>
of physical activity per week, no concurrent involvement in exercise training, body mass index <</li>
30 kg/m<sup>2</sup>. Exclusion criteria were as follows: cardiovascular or metabolic disease, current oral
medication user, and current smoker. Participants were asked to maintain their habitual physical
activity levels throughout the study. All participants provided written informed consent before
participation, and all experimental procedures were approved by the Health Sciences Human
Research Ethics Board at Queen's University (#6021938).

100

### 101 Experimental Design

102 The current study combined data from one previously published single-group, exercise 103 training study (Del Giudice et al. 2020) and one unpublished randomized controlled trial. Data 104 collection took place between June 20th, 2017 and November 19th, 2017 in the Queen's Muscle 105 Physiology Lab in Kingston, Ontario. All participants completed a familiarization incremental 106 ramp test (i.e.  $VO_{2peak}$  test) with a same-day supramaximal verification prior to the start of the 107 experimental protocol to mitigate potential learning effects (Edgett et al. 2018). The term 108 VO<sub>2peak</sub> is used because attainment of VO<sub>2max</sub> on an individual basis was not statistically 109 confirmed (Midgley et al. 2008; Midgley and Carroll 2009; Poole and Jones 2017). Following 110 familiarization, participants underwent two incremental ramp tests with supramaximal 111 verification before and after the four-week training period (Figure 2). Participants consumed a 112 standardized meal the night before each VO<sub>2peak</sub> test (Stauffer's Sauté Sensations [520 kcal; 74 g 113 carbohydrate, 10 g fat, 32 g protein]) and arrived at the laboratory in the morning following a 12-114 h overnight fast. Upon arrival, participants were fed a standardized breakfast (bagel [181 kcal] with 15 g of cream cheese [44 kcal]). Thirty minutes after consuming breakfast, participants 115

116 completed a VO<sub>2peak</sub> test on a motorized treadmill following an incremental test protocol with a 117 supramaximal verification phase. Following baseline testing, participants were randomly 118 allocated using random computer-generated numbers to a 3-day high-intensity interval training 119 (HIIT) group (n=14) or a no-exercise control (n=11). Allocation was not concealed. We also included a non-randomized 4-day HIIT group (n=17; all males), individual VO<sub>2peak</sub> data from 120 121 these participants have been published previously (Del Giudice et al. 2020). Experimental 122 testing procedures were the same for all three groups, but a skeletal muscle biopsy was added for 123 all 4-day HIIT group (data not used in the present study), and it was performed 24 hours prior to 124 their first incremental ramp test. 125 Gas exchange and heart rate were collected throughout the incremental and verification 126 phase testing using the same metabolic cart (Moxus AEI Technologies, Pittsburgh, PA) and heart 127 rate monitor (Polar Team2 Pro, Kempele, Finland), respectively. The highest 30-second average

128 VO<sub>2</sub> was calculated for each test. The incremental test protocol consisted of three minutes of 129 resting data collection (participants were asked to stand on the treadmill and breathe normally) 130 followed by a five-minute warm-up with the treadmill set to 2.5 mph at an incline of 2 and 131 subsequent increases of either incline or speed every two minutes until volitional fatigue (see 132 Supplementary Table 1 for details – also published in Del Giudice et al. 2020). Following the 133 incremental test protocol, participants were provided with a minimum 10 minutes of rest prior to 134 commencing a supramaximal verification phase. The metabolic cart was not re-calibrated in 135 between phases. During the supramaximal verification phase, participants ran until volitional 136 fatigue at a speed that was 0.5 mph faster than the final stage attempted during the incremental 137 test protocol. These protocols were used at pre- and post-testing. Time to fatigue (TTF) was 138 recorded as the duration (seconds) of the incremental test. All exercise was supervised and was

139	performed on the same motorized treadmill (SportsArt, City, USA). Participants were not taking
140	any nutritional supplements during the study. They were also asked to refrain from exercising
141	for 24 hours before, and from alcohol and caffeine for 12 hours before all experimental sessions.
142	
143	Training Protocol
144	Participants trained on the same motorized treadmill either three or four times per week
145	for four weeks by the same group of trainer(s). Each training session consisted of four, four-
146	minute intervals at 90–95% $\mathrm{HR}_{\mathrm{max}}$ with three minutes of active recovery at 70–75% $\mathrm{HR}_{\mathrm{max}}$
147	between intervals. If the target HR was not attained two minutes into each four-minute interval,
148	speed or incline were adjusted based on participant preference. Each session began with a 10-
149	minute warm-up at 70–75% $HR_{max}$ and ended with a five-minute cool down at 70–75% $HR_{max}$
150	(40 minutes total). HR, speed and incline were recorded 30-s before the end of each interval
151	during all training sessions. Speed and incline were adjusted by a trained volunteer during
152	training sessions to ensure appropriate training intensity. Participants nor trainers were blinded.
153	
154	Statistical Analysis
155	Modelled responses for all outcomes were calculated by subtracting post-intervention
156	values from pre-intervention values. Final analysis included VO <sub>2</sub> data only from participants
157	who completed a familiarization incremental test with a same-day supramaximal verification,
158	two PRE and two POST incremental tests that each had a same-day supramaximal verification
159	phase.
160	Two-way mixed ANOVAs (time x group) were used to examine group-level changes in
161	relative VO <sub>2peak</sub> (data for INCR2+ presented in Figure 3D) and time to fatigue (average of both

162	incremental tests; see INCR2 in Figure 3C) following training. A two-way mixed ANOVA
163	(group x method) was also used to compare change scores for $\mathrm{VO}_{2peak}$ and TTF between CTL
164	and Exercise (3-day and 4-day HIIT groups) and across different methods used to determine
165	VO <sub>2peak</sub> (INCR1; INCR1+, etc.). Any significant interaction or main effects were subsequently
166	analyzed using Bonferroni post-hoc analyses. Corresponding effect sizes were calculated and
167	interpreted using partial eta squared ( $\eta_p^2$ ) values (small <0.01; medium=0.059; large >0.14)
168	(Cohen 1988). Within-group effect sizes were calculated using Cohen's $d_{av}$ (small=0.2;
169	medium=0.5; large=0.8) (Cohen 1988; Lakens 2013). Pooled (CTL, 3-day and 4-day HIIT) SDs
170	of change scores were used for VO <sub>2peak</sub> and TTF Cohen's $d_{av}$ calculations.
171	Within-subject coefficients of variation (CV) were used to indicate reproducibility
172	(Hopkins 2000). Two-way mixed effects models with absolute agreement were used to examine
173	test-retest reliability (e.g. intraclass correlation coefficients [ICC] with 95% confidence intervals
174	[CI]). ICCs with 95% CIs <0.5, between 0.5 and 0.75, between 0.75 and 0.9, and >0.9 indicated
175	poor, moderate, good, and excellent reliability, respectively (Koo and Li 2016).
176	Individual response classification was calculated using typical errors (TE) calculated using the
177	standard deviations (SD) of $\Delta VO_{2peak}$ from the no-exercise control group (n=11):
178	(1) $TE = \frac{SD_{CTL}}{\sqrt{2}}$
179	We used Swinton et al.'s (see supplemental file from Swinton et al. 2018) method to model
180	$VO_{2peak}$ responses using 50% and 95% CIs based on the typical error (TE) of averaged $VO_{2peak}$
181	from individual change (POST-PRE) in the: 1) first incremental test ("INCR1"; 1.99
182	mL/kg/min), 2) first incremental test and associated verification phase ("INCR1+"; 1.41
183	mL/kg/min), 3) average of the two incremental tests ("INCR2"; 1.72 mL/kg/min), and 4) average
184	of the two incremental tests and two verification phases ("INCR2+"; 1.37 mL/kg/min) (see

185 Figure 3). This approach was chosen as we believe it can help answer a question raised by 186 exercise researchers and practitioners: Does the burden associated with additional tests (addition 187 of second incremental and/or a supramaximal verification phase [SupraV]) improve ability to 188 classify individual response? Responders were identified as participants with 50% or 95% CIs 189 that lay above a zero-based (0 mL/kg/min) or clinically-based response threshold (1.75 190 mL/kg/min) (Bonafiglia et al. 2018). CIs were calculated using the following equations 191 (Swinton et al. 2023): (2) 50% CI Limits =  $(\Delta VO_{2max}) \pm (0.67 \times \sqrt{2} \times TE)$ 192 (3) 95% CI Limits =  $(\Delta VO_{2max}) \pm (1.96 \times \sqrt{2} \times TE)$ 193 194 Following previous work (Montero and Lundby 2017; Bonafiglia et al. 2018; Swinton et al. 195 2018; Pickering and Kiely 2019; Ross et al. 2019; Bonafiglia et al. 2021b), we have opted 196 against labelling individuals as 'non-responders' when classifying individual response. Instead, 197 we use the term 'uncertain' to reflect individuals who are less likely to have experienced benefit 198 following intervention. A McNemar's test was used to determine whether each method (INCR1, 199 INCR1+, INCR2, INCR2+) elicited similar response rates for group-level changes in VO<sub>2peak</sub>. 200 The SD of individual response (SD<sub>IR</sub>) and the standard error (SE) for each SD<sub>IR</sub> value 201 was calculated to construct 90% CI's in Microsoft Excel using the methods forwarded by 202 Atkinson and Batterham (Atkinson and Batterham 2015) and Hecksteden et al. (Hecksteden et al. 203 2018) as we have done previously (Bonafiglia et al. 2019a, 2021a, 2021b). Because participants 204 in the 4-day HIIT group were not randomized (Figure 1), analysis of the 4-day HIIT group 205 violates the assumptions of independence required for the SD<sub>IR</sub> analysis (Atkinson and 206 Batterham 2015). Therefore, SD<sub>IR</sub> analyses were performed for participants from the 3-day HIIT

207	and no-exercise control group (see Figure 1). Levene's tests were used to compare
208	interindividual variability (i.e., standard deviation of VO <sub>2peak</sub> change scores) between groups.
209	ANOVAs, corresponding effect sizes, and ICCs were performed using SPSS version 25
210	(IBM Corp., Armonk, N.Y., USA). All other analyses were performed in GraphPad Prism
211	Version 8.0. Outcome assessors were not blinded. Statistical significance was set at $p < 0.05$ , and
212	all data are presented as mean±SD.
21321	3
214	RESULTS
215	Of the 86 participants screened, 25 and 17 met inclusion criteria for the 3-day/CTL arm
216	and the 4-day training arm, respectively (Figure 1). Eight participants were excluded from final
217	analyses due to incomplete data, and 34 participants completed all physiological testing (CTL:
218	n=12, 3-day HIIT n=9, 4-day HIIT: n=13) (Figure. 1). Each result represents data from these 34
219 220	participants. Table 1 presents baseline participant characteristics for all groups. A significant effect of time ( $p=0.0003$ , $\eta^2=0.31$ ), group ( $p<0.0001$ , $\eta^2=0.56$ ) and
221	interaction (group x time) ( $p=0.0001$ , $\eta_p^2=0.44$ ) for relative VO <sub>2peak</sub> (mL/kg/min) was observed
222	when using an average of all incremental and supramaximal verification (i.e. INCR2+) test data.
223	Post-hoc analyses revealed VO <sub>2peak</sub> increased significantly following 4-day HIIT (+4.12±2.65
224	mL/kg/min; <i>p</i> <0.001, <i>d</i> <sub>av</sub> =0.63), but not following 3-day HIIT (+1.12±1.89; <i>p</i> >0.05, <i>d</i> <sub>av</sub> =0.12)
225	nor CTL (-0.78±2.37; <i>p</i> >0.05, $d_{av}$ =-0.12). Significant (p<0.001) effects of time ( $\eta_p^2$ =0.53), group
226	$(\eta^2=0.47)$ and interaction $(\eta^2=0.46)$ were observed for time to fatigue (TTF). Post-hoc analyses
227	revealed that TTF increased following 3-day (+69.5±52.8 s; $p$ <0.001, $d_{av}$ =0.35) and 4-day
228	$(+72.7\pm33.3; p<0.001, d_{av}=0.71)$ but not CTL $(-12.1\pm36.6; p>0.05, d_{av}=-0.09)$ . Mean changes in
229	VO <sub>2peak</sub> are reported for CTL, 3-day and 4-day HIIT for each protocol method (INCR1, INCR1+,

etc.) in Table 2. A significant (p=0.0003) main effect of group ( $\eta_p^2$ =0.26) was found for mean change in VO<sub>2peak</sub>. However, no significant (p>0.05) effect of condition (i.e. INCR, INCR+, etc.) or interaction effect (method x group) was observed. Post-hoc analyses revealed that the 4day HIIT group exhibited significantly greater improvements in VO<sub>2peak</sub> compared to the 3-day HIIT group across all conditions except one (INCR1) and in all conditions when compared to the CTL group (Table 2).

236 CVs for incremental test and supramaximal verification VO<sub>2peak</sub> values were 4.5% and 237 3.1%, respectively. As presented in Table 3, all ICCs demonstrated good or excellent reliability. 238 Incorporation of supramaximal verification consistently reduced the SD of change and TE in the 239 CTL group and shortened confidence interval widths (see Table 2, Figures 4 and 5). However, 240 Levene's tests revealed variance across all groups was statistically similar (p>0.05). Figure 5 241 depicts how adding a supramaximal verification (i.e., groups INCR1+ and INCR2+) reduced the 242 95% confidence intervals around an observed change in VO<sub>2peak</sub> for a representative subject. 243 The addition of the supramaximal verification either had no impact or increased the 244 number of participants classified as responders using both ZBT and SWC response thresholds 245 and 50% and 95% CIs (Table 2). Although response rates were increased when incorporating 246 one (24% to 48%, p=0.07) or two (28% to 48%, p=0.063) supramaximal verification phases (see 247 Table 2 [ZBT-95]; Figure 4). McNemar tests revealed that these changes failed to reach 248 statistical significance for either ZBT or SWC response thresholds using 50% and 95% CIs (all 249 p>0.05). Table 2 also presents SD<sub>IR</sub> for each method. Interestingly, only INCR1+ had a positive 250 SD<sub>IR</sub>, indicating a lack of evidence for interindividual differences in trainability. 251251

252

#### **253 DISCUSSION**

254 This study investigated the influence of supramaximal verification phases during repeat 255 measurement of VO<sub>2peak</sub> on TE, individual confidence interval widths, and the response rate 256 following HIIT. We tested the hypotheses that incorporating supramaximal verification phases 257 to VO<sub>2peak</sub> testing would minimize the influence of measurement error on observed change 258 scores, and thus, reduce uncertainty in modelling individual response. Although we failed to 259 observe statistically significant impact of verification phases on SD of change or response rates – 260 likely owing to sample size limitations – our results are generally consistent with our hypotheses. 261 Specifically, our results suggest the addition of supramaximal verification phases narrow 262 confidence interval widths, decrease uncertainty in modelling individual response, and increase 263 the response rate.

## 264263

265 Supramaximal verification phase reduces the influence of measurement error

266 Quantifying measurement error - comprised of instrumentation and biological 'noise' -267 helps contextualize data from interventions. If measurement error is random, the variability 268 generated over repeated measurements results in observed values are normally distributed around 269 an individual's true value (Hopkins 2000; Swinton et al. 2023). Thus, taking the mean of several 270 measurements at a single time point minimizes measurement error and improves measurement 271 accuracy (Hopkins 2000; Hecksteden et al. 2015; Swinton et al. 2023). 272 In this study, we incorporated supramaximal verification phases following an incremental 273 test. The increased number of repeated VO<sub>2peak</sub> measurements pre- and post-intervention reduced 274 the SD of change in the non-exercise control group (Table 2). Although reductions in SD failed

275 to yield statistically significant Levene's tests, our results suggest that verification phases can

276 improve measurement accuracy of VO<sub>2peak</sub> change scores by reducing measurement error.

276	Interestingly, the addition of verification phases reduced the SD of change in the non-
277	exercise control group (INCR1=1.99; INCR1+=1.41; INCR2+=1.37) to a greater extent that a
278	separate day incremental test (INCR2=1.72). This is likely due to greater variation in observed
279	values across separate testing sessions (Swinton et al. 2023). Our data appear to suggest that
280	adding same-day supramaximal verification improves measurement accuracy of changes in
281	$VO_{2peak}$ to a greater extent than separate-day testing. However, these results should be confirmed
282	in additional studies utilizing different patient populations and larger samples.
283	
284	Supramaximal verification reduces uncertainty in individual response classification
285	'Precision medicine' is a concept gaining popularity throughout various scientific
286	disciplines (König et al. 2017). Precision exercise medicine involves personalizing exercise
287	prescription – including initial prescription and subsequent modification - to maximize
288	individual response (Ross et al. 2019). Although initial prescription should likely be based on
289	protocols known to elicit the largest mean changes for the outcome(s) of interest (Atkinson et al.
290	2019; Bonafiglia et al. 2021c), subsequent modifications to exercise prescriptions will benefit
291	from more accurate estimates of response. In the current data set, verification phase-associated
292	reductions in the control group's SD reduced CI widths because our CIs were constructed by
293	adding and subtracting a multiple of TE to each observed score (see equation 2 and 3) (Swinton
294	et al. 2023). Smaller CIs reduced the magnitude of observed change required for an individual to
295	be classified as a responder and thus reduced the likelihood of classifying an individual's
296	response as "uncertain". This effect is illustrated for a representative participant in Figure 5.
297	Reducing uncertainty in individual response classification would allow practitioners to make
298	prescription modifications with increased confidence, especially when participants fail to

demonstrate a meaningful response in their outcome(s) of interest. Because INCR1+ reduced CI
width the most, practitioners monitoring an individual's VO<sub>2peak</sub> response should consider
incorporating a same-day supramaximal verification phase following an incremental test.

303 Impact of supramaximal verification phase and CI width on group response rate

304 Mean change and interindividual variability in observed response influence response 305 rates to an exercise intervention (Bonafiglia et al. 2021c). When utilizing individual confidence 306 intervals, the SD of change in the control group also contributes to response rates via its impact 307 on CI width (Schulhauser et al. 2021). Thus, in the current study, response rates were 308 determined by three factors: i) mean change in the exercise group, ii) interindividual variability 309 (SD of change) in the exercise group, and/or iii) SD of change (and TE/CI width) in the control 310 group. Although previous studies have primarily attributed increased response rates in CRF, 311 body composition, exercise performance, and strength outcomes (Walsh et al. 2020; Islam et al. 312 2020; Bonafiglia et al. 2022b) to changes in mean response, we failed to observed a statistically 313 significant change for mean changes in VO<sub>2peak</sub> across conditions (Table 2). Despite this, and 314 despite non-significant differences between conditions, incorporating verification phase data 315 increased response rates in all but one condition (ZBT-50, INCR2 to INCR 2+). Response rates 316 doubled (24 to 48%, p=0.07) and nearly doubled (28 to 48%, p=0.063) in the INCR1+ and 317 INCR2+ conditions for ZBT-95, respectively (Figure 4). Because the SD of change in the 318 exercise group was only reduced with the addition of a second day of testing (INCR1=2.92; 319 INCR1+=2.85; INCR2=2.42; INCR2+=2.38) (Table 2) verification phases appear to improve 320 response rate estimates by a combination factors ii and iii above. However, response rates across

321	methods failed to reach statistical significance. The impact of verification phases on response
322	rate certainty will be better understood with larger sample sizes.
323	Although mean changes in VO <sub>2peak</sub> were not impacted by determination method (INCR1,
324 325	INCR1+, etc.), the reduction in variability associated with additional measurements resulted in a progressively larger interaction effect size (INCR1, $\eta_p^2=0.185$ ; INCR1+, $\eta_p^2=0.228$ ; INCR2, $\eta_p^2$
326	=0.257; INCR2+, $\eta_p^2$ =0.300). This result highlights the ability of repeat tests in general – and
327	verification phases specifically – to improve the sensitivity of studies to detect group level
328	differences in VO <sub>2peak</sub> .
329	Interestingly, despite reducing the SD of change in both exercise and control groups
330	(albeit to a lesser degree than verification phases), a second incremental test had less robust
331	effects on CI width and response rates. This suggests that researchers and practitioners
332	interested in estimates of response rate would be better served by incorporating a same-day
333	verification phase than a separate day incremental-test.
334	This study demonstrated the largest response rates (see Table 2) when using a ZBT with
335	50% CIs. This result corroborates recent findings that classification method heavily influences
336	response rates (Schulhauser et al. 2021). While large response rates may seem desirable,
337	thresholds failing to consider error will inflate response rates compared with more conservative
338	thresholds considering both error and a smallest worthwhile change/minimal clinically important
339	difference (Hecksteden et al. 2018; Schulhauser et al. 2021; Bonafiglia et al. 2021b). While the
340	utility of using more conservative thresholds has been argued elsewhere (Swinton et al. 2018),
341	there is currently no agreement in the literature on the best method(s) for response rate
342	estimation.

In summary, our data demonstrate the ability of supramaximal verification phases to uncertainty and variation in both control (TE/CI width) and exercise comparator arms, suggesting they may be a valuable addition for future studies designed to examine VO<sub>2peak</sub> response rates. Although interpretation of these results should be tempered by the lack of statistical significance for between-group response rates, we believe verification phases can improve precision of estimates of response rates and should be considered in future work.

## **350 LIMITATIONS**

Because this is a secondary analysis, we did not appropriately power this study to detect differences between groups or methods. That being said, we did not observe statistically significant differences in SD of change in the control group, and response rates across groups. The studies contributing data to our analyses were also neither designed nor adequately powered to test for any sex-based differences in response to HIIT. Consequently, conducting sex-based analyses in the present study would not yield valid results. The potential influence of sex on training responsiveness to HIIT remains an important area for future research.

358 Given the relatively small sample size of the current study, future studies with larger 359 sample sizes, a priori power calculations, and risk of bias mitigating practices should test 360 whether incorporating supramaximal verification phases reduce uncertainty in individual 361 response classification (Preobrazenski et al. 2020; Bonafiglia et al. 2022a). Although we used a 362 group-based approach to classify responses, we acknowledge individualized approaches may 363 have greater utility in studies using different populations and/or sample sizes (Swinton et al. 364 2018; Hecksteden et al. 2018; Bonafiglia et al. 2019b). We also acknowledge different 365 incremental test protocols and populations can influence VO<sub>2peak</sub> data (Gordon et al. 2012; Beltz

366	et al. 2016). Although we incorporated robust outlier-detection protocols to improve data
367	accuracy (Del Giudice et al. 2020), individual VO <sub>2peak</sub> response classifications may differ based
368	on testing protocol (e.g., intensity prescribed for verification phase, duration of recovery between
369	phases), modality (ergometer vs. treadmill), and population. Thus, it remains unclear whether
370	verification phases (including their prescribed mode and intensity) impact $VO_{2peak}$ response
371	classifications in athletic, older, unmotivated, or clinical populations following HIIT.
372	Interrogating whether verification phase VO <sub>2peak</sub> data can reduce uncertainty in response
373	classification across a range of populations is currently unknown, but represents an important
374	future direction.
375	The additional financial cost and participant burden are drawbacks to incorporating
376	supramaximal testing and repeat testing. Both were not quantified in the current study. However,
377	a supramaximal verification phase can be completed in at least 13 minutes (when including the
378	break in between phases) (Scharhag-Rosenberger et al. 2011; Astorino 2020) and can reduce TE
379	and CI width. These observations may persuade researchers and practitioners to justify adding at
380	least a same-day supramaximal verification test (i.e., INCR1+). Additionally, we suspect
381	researchers employ familiarization tests more frequently than practitioners. Participants in the
382	current study underwent familiarization, which presumably reduced test-retest variability to an
383	unmeasured degree.
384	

# 385 CONCLUSION

This report showcases the impact of repeat incremental tests and supramaximal verification phases on measurement error, individual response classification and group response rates for VO<sub>2peak</sub>. Including a same-day verification phase minimized the impact of random

389	variability (attributable to measurement error and within-subject variability) in the control group,	
390	reducing TE and CI width. Although any repeated measurement of $VO_{2peak}$ reduced CI width,	
391	adding a same-day verification (INCR1+) reduced CI width the most. We therefore recommend	
392	using a same-day verification phase to reduce uncertainty in individual VO <sub>2peak</sub> response	
393	classifications.	
394394	4	
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396396	5	
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400	Data availability statement: Data generated or analyzed during this study are available from the	
401	corresponding author upon reasonable request. As per APNM requirements, a post-recruitment	
402	registration of this project has been uploaded to Open Science Framework (https://osf.io/br5us).	
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## 582 FIGURE CAPTIONS

- 583 1. Participant flow diagram. CTL, no-exercise control group; HIIT, high-intensity interval
  584 training.
- 585 2. Study protocols. Participants completed two incremental tests before (PRE) and after
- 586 (POST) four weeks of HIIT or a no-exercise control period. See manuscript text for587 details. HIIT, high-intensity interval training.
- 588 3. Illustration of the four methods (A-D) used to calculate mean changes in peak oxygen
- 589 uptake (VO<sub>2peak</sub>) values from before (PRE) and after (POST) 4 weeks of HIIT in 34
- 590 participants. Solid black lines in a step-like formation represent incremental ramp tests,
- and shaded grey boxes represent supramaximal verifications. (A): INCR1 Calculated

592 difference ( $\Delta$ ) between the 1<sup>st</sup> incremental test at PRE and the 1<sup>st</sup> incremental test at

- 593 POST. (B): INCR1+ Calculated difference between the averaged VO<sub>2peak</sub> from the 1st
- 594 incremental test and its supramaximal verification at PRE and the averaged VO<sub>2peak</sub> from
- 595 the 1st incremental test and its supramaximal verification at POST. (C): INCR2 -
- 596 Calculated difference between the averaged VO<sub>2peak</sub> of two incremental tests at PRE and
- 597 two incremental tests at POST. (D): INCR2+ Calculated difference between the
- 598 averaged VO<sub>2peak</sub> of two incremental tests and their supramaximal verifications at PRE
- and the averaged VO<sub>2peak</sub> of two incremental tests and their supramaximal verifications at
   POST. HIIT, high-intensity interval training.
- 6014. Individual response classification following four methods used to calculate mean changes602in peak oxygen uptake ( $VO_{2peak}$ ). Green circles represent participants whose lower limit603of their 95% confidence interval (CI) exceeds zero. Individual responses to  $VO_{2peak}$  from604the 3-day and 4-day high-intensity interval training groups are ordered from smallest to

605	largest change according to VO <sub>2</sub> calculation method (A). Methods 'INCR1+' and
606	'INCR2+' contain supramaximal verification phases. Visualization of participants are
607	ordered according to INCR1 (A) observed change score.
608	5. An example of inconsistent individual response classification across four $VO_{2peak}$
609	calculation methods (data from participant 6). A green dot with 95% confidence intervals
610	represents a positive response using a zero-based threshold (ZBT). CI width for each
611	method is $\pm$ 4.59, 2.91, 3.55, 2.82, respectively. Methods 'INCR1+' and 'INCR2+'
612	contain supramaximal verification phases. For interest, the smallest worthwhile change
613	(SWC) threshold has been graphically displayed.

Applied Physiology, Nutrition, and Metabolism

 Table 1. Baseline participant characteristics (n=34).

Participants	All (n=34)	CTL (n=9)	3-day (n=12)	4-day (n=13)
Age (years)	21.8 ± 2.1	21.7 ± 2.5	22.2 ± 1.8	21.5 ± 2.3
Sex (M/F)	(18/16)	(2/7)	(3/9)	(13/0)
Height (cm)	172.6 ± 9.9	164.1 ± 10.1	171.1 ± 7.3	179.8 ± 6.4
Body weight (kg)	71.8 ± 12.7	63.3 ± 11.7	75.0 ± 14.3	74.6 ± 9.7
VO <sub>2peak</sub> (mL/kg/min)	50.8 ± 9.8	45.0 ± 6.0	45.5 ± 8.9	59.7 ± 5.2 *
TTF (s)	1227 ± 186	1156 ± 126	1199 ± 187	1432 ± 96 *

CTL, no exercise control group; TTF = time to fatigue; values are presented as mean ± standard deviation. \* Significantly different from CTL and 3-day (p<0.05).

	INCR1	INCR1+	INCR2	INCR2+
CTL (n=9)				
Mean $\Delta VO_{2peak} \pm SD$	-0.50 <b>±</b> 2.82	-0.38 <b>±</b> 2.00	-0.63 <b>±</b> 2.43	-0.78 <b>±</b> 1.94
TE	1.99	1.41	1.72	1.37
3-day HIIT (n=12)				
Mean $\Delta VO_{2peak} \pm SD$	1.51 <b>±</b> 2.37	1.44 <b>±</b> 2.17	1.35 <b>±</b> 1.80	1.13 <b>±</b> 1.86
4-day HIIT (n=13)				
Mean $\Delta VO_{2peak} \pm SD$	3.67 ± 3.19*	4.21 <b>±</b> 2.94*†	3.77 <b>±</b> 2.51*†	3.91 ± 2.15*†
<b>CI width (±)</b> 95%	4.59	2.91	3.55	2.82
50%	1.36	0.97	1.18	0.94
Responders (%)				
ZBT-50	60	72	68	68
ZBT-95	24	48	28	48
SWC-50	48	52	40	48
SWC-95	16	24	12	20
SD <sub>IR</sub> (90% CI) (n=21)	-1.67 (-3.13 - 2.05)	0.56 (-2.05 – 2.18)	-1.72 (-2.78 – 1.36)	-0.77 (-2.08 – 1.76)

**Table 2.** Changes ( $\Delta$ ) in VO<sub>2peak</sub>, proportion of response and SD<sub>IR</sub> after various VO<sub>2peak</sub> calculation methods (n=34).

CI, confidence interval; CTL, no exercise control group; HIIT, high-intensity interval training; SD<sub>IR</sub>, standard deviation of individual response; SWC, smallest worthwhile change; ZBT, zero-based threshold. SD<sub>IR</sub> was calculated using the 3-day exercise group (n=12) and CTL group (n=9). \*Significantly different from CTL (p<0.01), †Significantly different from 3-day HIIT (p<0.05).

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VO <sub>2peak</sub> calculation method		ICC with 95% CIs			
		ΔINCR1+	ΔINCR2	Δ INCR2+	
(A)	ΔINCR1	<b>0.934</b> [0.873 to 0.966]	<b>0.878</b> [0.770 to 0.937]	<b>0.822</b> [0.672 to 0.907]	
(B)	ΔINCR1+		<b>0.855</b> [0.731 to 0.925]	<b>0.903</b> [0.815 to 0.950]	
(C)	ΔINCR2			<b>0.928</b> [0.861 to 0.963]	
(D)	ΔINCR2+				

Table 3. Intraclass correlation coefficient (ICC) for each calculation method of  $VO_{2peak}$  (n=34).

 $VO_{2peak}$ , peak oxygen uptake in mL/kg/min; CI, confidence interval;  $\Delta$ , POST-PRE difference. Data are means ± SD.



Figure 1. Participant flow diagram. CTL, no-exercise control group; HIIT, high-intensity interval training.



**Figure 2.** Study protocols. Participants completed two incremental tests before (PRE) and after (POST) four weeks of HIIT or a no-exercise control period. See manuscript text for details. HIIT, high-intensity interval training.

**Figure 3.** Illustration of the four methods **(A-D)** used to calculate mean changes in peak oxygen uptake (VO<sub>2peak</sub>) values from before (PRE) and after (POST) 4 weeks of HIIT in 34 participants. Solid black lines in a step-like formation represent incremental ramp tests, and shaded grey boxes represent supramaximal verifications. HIIT, high-intensity interval training.

(A): INCR1 - Calculated difference ( $\Delta$ ) between the 1<sup>st</sup> incremental test at PRE and the 1<sup>st</sup> incremental test at POST.

**(B)**: INCR1+ - Calculated difference between the averaged  $VO_{2peak}$  from the 1st incremental test and its supramaximal verification at PRE and the averaged  $VO_{2peak}$  from the 1st incremental test and

its supramaximal verification at POST (C): INCR2 - Calculated difference between the averaged VO<sub>2peak</sub> of two incremental tests at PRE

and two incremental tests at POST. **(D)**: INCR2+ - Calculated difference between the averaged  $VO_{2peak}$  of two incremental tests and their supramaximal verifications at PRE and the averaged  $VO_{2peak}$  of two incremental tests and their supramaximal verifications at POST.





**Figure 4.** Individual response classification following four methods used to calculate mean changes in peak oxygen uptake (VO <sub>2peak</sub>). Green circles represent participants whose lower limit of their 95% confidence interval (CI) exceeds zero. Individual responses to VO <sub>2peak</sub> from the 3-day and 4-day high-intensity interval training groups are ordered from smallest to largest change according to VO <sub>2</sub> calculation method (A). Methods 'INCR1+' and 'INCR2+' contain supramaximal verification phases. Visualization of participants are ordered according to INCR1 (A) observed change score.



**Figure 5.** An example of inconsistent individual response classification across four VO  $_{2peak}$  calculation methods (data from participant 6). A green dotwith 95% confidence intervals represents a positive response using a zero-based threshold (ZBT). CI width for each method is ± 4.59, 2.91, 3.55, 2.82, respectively. Methods 'INCR1+' and 'INCR2+' contain supramaximal verification phases. For interest, the smallest worthwhile change (SWC) threshold has been graphically displayed.