1 Vibrational energy transfer in coupled mechanical systems with

2 nonlinear joints

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10 Abstract

11 This study investigates vibrational transfer and energy flow in nonlinearly coupled systems, each subjected 12 to a harmonic force with different excitation frequency. A nonlinear joint having either smooth or non-13 smooth stiffness characteristics at the coupling interface is considered. The steady-state dynamic responses 14 are obtained by a method of harmonic balance with alternating frequency and time and by a direct numerical 15 integration. The time-averaged transmitted power is used to assess the direction of energy flow and the 16 power transfer between the systems. It is shown that as the excitation frequency ratio increases, the point 17 of zero net power transmission between subsystems move to lower frequencies. The cubic stiffness 18 nonlinearity mainly affects the power transfer in the vicinity of the second resonant frequencies. It is also 19 shown that the second resonant frequencies of both subsystems and the point of zero net power transmission 20 shift to higher frequencies when the bilinear stiffness ratio increases. For the power transfer curves, the 21 bilinear stiffness ratio controls the location of the second resonant frequencies. Findings from this study 22 can provide insights for the design of the joint interfacial properties with regards to vibration transfer in 23 coupled systems under multi-frequency excitations.

Keywords: power flow analysis; vibrational energy transfer; non-smooth interface; multi frequency excitations

26 1. Introduction

There is a growing interest in comprehensive understanding nonlinear dynamics of engineering systems and a wide range of nonlinear models has been developed. One important model, the Duffing oscillator, having cubic stiffness term and nonlinear restoring force in the governing equation, is widely used to describe different nonlinear dynamical systems including pendulums [1], beam with permanent magnets [2], cables [3], electric circuits [4] and nonlinear isolators [5-7]. It was also reported that bolted joints can exhibit nonlinear stiffness property with the nonlinear force being a cubic function of the relative displacement [8, 9]. Different combinations of the coefficients of linear and nonlinear stiffness terms in the Duffing equation will lead to hardening, softening and double-well potential nonlinearities, causing complex nonlinear phenomena such as super- / sub- harmonic resonances, internal resonances, multiple response states, bifurcation and chaos.

37 While many nonlinear systems are characterized by a smooth nonlinear function of the displacement 38 or velocity in their governing equations, some systems behave non-smoothly in terms of restoring force and 39 displacement relationship. A typical example is the so-called smooth and discontinuous oscillator (SD 40 oscillator), which was originally proposed to describe a transition from smooth to discontinuous behaviour 41 [10]. The SD oscillator was studied and shown to exhibit complex dynamical behaviour including 42 bifurcations and chaos [11, 12]. Another example is piecewise linear systems, which can be used to 43 represent typical nonlinear systems with motion constraints [13-15], dry friction [16, 17], asymmetrical 44 stiffness or damping [18, 19], and bolted flange joints [21-23].

45 Many recent studies been devoted to exploiting various types of nonlinearities for performance benefits 46 in vibration suppression [24]. For example, nonlinear vibration isolators with geometric nonlinearity can 47 have a high-static-low-dynamic characteristic, providing performance enhancement compared with that of 48 conventional linear isolators [5, 6, 25, 26]. The use of nonlinear elements in energy harvesting systems [27] 49 and nonlinear energy sinks (NES) [28-31] has been studied extensively, for the design objective of 50 achieving optimal output power and targeted energy transfer, respectively. Quinn et al. [27] showed that a 51 nonlinear energy harvester outperforms a tuned linear one with a higher efficiency across a broader 52 frequency range. Much less work has been reported on the use of suppression systems with non-smooth 53 nonlinear characteristics. Wang et al. [33] showed superior suppression performance of a piecewise linear 54 NES compared to a linear vibration attenuation system.

55 While there are many investigations on the dynamic analysis of nonlinear systems, most of them have 56 primarily considered a single frequency excitation. As a result, the comprehensive understanding of the 57 nonlinear dynamics of coupled systems subjected to multi-frequency excitations remains limited. However, 58 in various engineering scenarios, it is common to encounter multiple excitation frequencies simultaneously. 59 To illustrate, there is in fact a prevalence of multi-frequency excitations in various engineering applications. 60 In turbomachinery, the vibration of rotating blades and airfoils can result in an unsteady flow subject to two 61 distinct excitation frequencies [34, 35]. Additionally, an axially transporting beam can experience two-62 frequency parametric excitation [36], and a dual-rotor system can exhibit two fundamental excitation 63 frequencies induced by a low-pressure rotor and a high-pressure rotor [37]. Multi-frequency excitations are 64 also encountered in microelectromechanical systems, such as microbeams and micromirrors [38, 39]. These 65 examples highlight the presence of multiple excitation frequencies in various domains of engineering.

66 Unlike linear systems, nonlinear systems with multi-frequency excitations may exhibit super-/sub-67 harmonics and combined resonances [40-42]. In addition, for nonlinear systems, the principle of 68 superposition cannot be used. In view of this, some research attempts have been made. Guskov et al. [43] explored the multi-frequency dynamical behaviour of a modified Jeffcott rotor system using the multi-69 70 dimensional harmonic balance method (MHBM), alternating frequency-time (AFT) and arc-length 71 continuation. Didier et al. [44] used stochastic-MHBM and polynomial chaos expansion method to 72 investigate the nonlinear vibration of a mechanical system with uncertain material and geometrical 73 parameters. The considered system was subjected to unbalanced forces with incommensurable frequencies, 74 leading to quasi-periodic dynamic response. Zhao et al. [45] studied the nonlinear cable vibration forced 75 by two external periodic excitations. The Galerkin method was used to discretize the governing partial 76 differential equations into ordinary differential equations, and the multiple scale method is further applied 77 to obtain the frequency-response functions.

78 It is noted that previous studies have focused on the dynamic response of systems, and there have been 79 few attempts made on vibration power and energy transfer analysis of nonlinear systems under multi-80 frequency excitations. Power flow analysis (PFA) is a widely accepted method for assessing vibration and 81 energy transmission level in complex dynamical systems. Its concept was first proposed by Goyder and 82 White [46] and has been further developed to study various linear and nonlinear systems [47-52]. Zhao et 83 al. [53] studied the power flow transfer in space truss structures using a Timoshenko theory. The active 84 control of the minimum power transmission was found to be more effective and achievable than the control 85 of the minimum acceleration. Xie et al. [54] investigated the vibration transfer and power flow characteristic of a propulsion shaft system in underwater vehicles. The vibration attenuation in a shaft-hull system is 86 87 quantified and evaluated by power flow and mean square velocity level. In recent years, time-averaged 88 power flow quantities, e.g., input, dissipated, and transmitted powers, have also been used to assess the 89 vibration transmission level in the Duffing oscillator [55] and coupled oscillators with smooth or non-90 smooth connections [56-59].

91 This study investigates the vibration transfer and energy flow in a coupled system with a nonlinear 92 smooth or a non-smooth interface under multi-frequency excitations. Two external harmonic forces with 93 different excitation frequencies are applied to two subsystems. The smooth joint is characterized by a cubic 94 stiffness spring and the non-smooth connection interface is modelled by a spring of piecewise linear 95 restoring force and displacement relationship. The first-order HB and HB-AFT techniques [60-63] are used 96 to obtain analytical solutions for dynamic response and related power transmission, and the fourth order 97 Runge-Kutta (RK4) method is used as a numerical approach in the time domain. The rest of this article is 98 organized as follows. Section 2 introduces the physical and mathematical model. Section 3 shows the 99 analytical first-order HB, HB-AFT method, and PFA formulations. Two case studies with the smooth and non-smooth interfaces are demonstrated in Sections 4 and 5, respectively. The conclusions are presented inthe last section of this article.

102 2. Physical and mathematical modelling

Many engineering systems, such as the engine blade-disk dovetail structure, transmission shaft in ship propulsion system, and satellite separation system with clamp-band-joint comprise jointed components that are subjected to dynamic loading, see in Fig. 1(a). Understanding of the nonlinear dynamics including the vibration transmission within of the jointed structures is important to achieve enhanced design. It was reported that bolted joint will cause nonlinear behaviour and can be approximately described by a smooth cubic stiffness [8, 9] or a non-smooth bilinear stiffness model [21-23]. Fig. 1(b) shows a nonlinear joint with a smooth stiffness nonlinearity characterized by a cubic restoring force term:

110
$$f_{\delta}(\delta) = k_l \delta + k_n \delta^3, \tag{1}$$

where $f_s(\delta)$ is the restoring force with a smooth joint, $\delta = x_2 - x_1$ is the relative displacement between 111 112 two subsystems, k_l and k_n are the linear and nonlinear stiffness coefficients of the smooth joint, respectively. It is worth noting that the first derivative of the nonlinear force $f_s(\delta)$ with respect to relative 113 displacement δ leads to a linear stiffness at the original equilibrium position, i.e., $\frac{df}{d\delta}|_{\delta=0} = k_l$. It indicates 114 115 that the linearization of the nonlinear restoring force can provide a good approximation for small-116 displacement motions, especially for oscillation around the static equilibrium position. Fig. 1(c) shows the force-displacement relationship of a piecewise linear stiffness joint, and the corresponding function is given 117 118 by

119
$$f_{nsp}(\delta) = \begin{cases} k_t \delta + (k_c - k_t)g, & \text{when } \delta > g, \\ k_c \delta, & \text{when } |\delta| \le g, \\ k_t \delta - (k_c - k_t)g, & \text{when } \delta < -g, \end{cases}$$
(2)

where $f_{nsp}(\delta)$ is the nonlinear restoring force caused by the piecewise linear stiffness, g is the offset deformation due to preload, k_c and k_t are the constant spring stiffness coefficients. Fig. 1(d) depicts a spring that exhibits asymmetrical behaviour under compression and tension, representing a simplified nonsmooth joint model without preload and offset deformation. The model can be mathematically expressed as

125
$$f_{nsb}(\delta) = \begin{cases} k_h \delta, & \text{when } \delta < 0, \\ k_s \delta, & \text{when } \delta \ge 0, \end{cases}$$
(3)

where $f_{nsb}(\delta)$ is the nonlinear restoring force of the bilinear spring, k_s and k_h are the constant spring stiffness coefficients corresponding to positive and negative relative displacement, respectively.



Fig.1 Schematic diagram of coupled structures with bolted joint, subject to different excitations $f_1 e^{i\omega_1 t}$ and $f_2 e^{i\omega_2 t}$. (a) Physical model of a bolted joint with dynamic loading. It may exhibit following force-deformation characteristics: (b) a smooth joint with linear stiffness coefficient k_l and nonlinear stiffness coefficient k_n ; (c) a non-smooth joint with piecewise linear stiffness coefficients k_c and k_t , g is the offset deformation; (d) a non-smooth joint with bilinear stiffness coefficients k_h and k_s .

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In Fig. 1(d), each structure can be further characterized by a SDOF linear subsystem representing the dominant mode. Therefore, the original physical model is simplified as a coupled oscillator system with smooth or non-smooth joint. Subsystem one (S1) consists of a mass m_1 subjected to a harmonic force $f_1 \cos \omega_1 t$, a linear spring with stiffness coefficient k_1 , and a viscous damper with damping coefficient c_1 . Subsystem two (S2) comprises a mass m_2 with another external force $f_2 \cos \omega_2 t$ attached to a linear spring k_2 and a viscous damper c_2 . Both masses oscillate horizontally, and the static equilibrium position is taken as reference at which the displacements, $x_1 = x_2 = 0$. The equation of motion of the integrated system is

141
$$\begin{bmatrix} m_1 & 0 \\ 0 & m_2 \end{bmatrix} \begin{pmatrix} \ddot{x}_1 \\ \ddot{x}_2 \end{pmatrix} + \begin{bmatrix} c_1 & 0 \\ 0 & c_2 \end{bmatrix} \begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \end{pmatrix} + \begin{bmatrix} k_1 & 0 \\ 0 & k_2 \end{bmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \begin{pmatrix} -f(\delta) \\ f(\delta) \end{pmatrix} = \begin{cases} f_1 e^{i\omega_1 t} \\ f_2 e^{i\omega_2 t} \end{cases},$$
(4)

142 where $f(\delta)$ is the coupling force at the interface, replaced by $f_s(\delta)$ in the case of the smooth nonlinear joint, 143 and by $f_{nsp}(\delta)$ or $f_{nsb}(\delta)$ for the cases of non-smooth joint. New parameters and variables are introduced 144 below to facilitate dynamic analysis

145
$$\omega_{10} = \sqrt{\frac{k_1}{m_1}}, \quad \omega_{20} = \sqrt{\frac{k_2}{m_2}}, \quad \gamma = \frac{\omega_{20}}{\omega_{10}}, \quad \mu = \frac{m_2}{m_1}, \quad X_1 = \frac{x_1}{l_0}, \quad X_2 = \frac{x_2}{l_0}, \quad \Delta = X_2 - X_1,$$

146
$$\zeta_1 = \frac{c_1}{2m_1\omega_{10}}, \quad \zeta_2 = \frac{c_2}{2m_2\omega_{20}}, \quad F_1 = \frac{f_1}{k_1l_0}, \quad F_2 = \frac{f_2}{k_1l_0}, \quad \Omega_1 = \frac{\omega_1}{\omega_{10}}, \quad \Omega_2 = \frac{\omega_2}{\omega_{10}}, \quad \tau = \omega_{10}t,$$

147 where ω_{10} and ω_{20} are the undamped natural frequencies of subsystems one and two, respectively, γ is the 148 frequency ratio between them, μ is the mass ratio, l_0 is the un-stretched length of the spring on the left, X_1 149 and X_2 are the non-dimensional displacements of masses m_1 and m_2 , respectively, Δ is the non-150 dimensional relative displacement between the masses, ζ_1 and ζ_2 are the non-dimensional damping 151 coefficients, F_1 and F_2 are the non-dimensional forcing amplitudes, Ω_1 and Ω_2 are the non-dimensional 152 fundamental excitation frequencies, τ is the non-dimensional time. By using these dimensionless 153 parameters, the governing equation (4) can be written into a non-dimensional form

154
$$\mathbf{M}\mathbf{X}'' + \mathbf{C}\mathbf{X}' + \mathbf{K}\mathbf{X} + \mathbf{F}_{\mathbf{nl}}(\mathbf{X}, \mathbf{X}', \tau) = \mathbf{F}_{\mathbf{e}}(\tau), \tag{5}$$

where $\mathbf{X} = \{X_1(\tau), X_2(\tau)\}^{\mathrm{T}}$ is the displacement response vector, the primes (') denote differentiation operations with respect to the non-dimensional time τ , the symbol "T" denotes taking the transpose of a matrix, $\mathbf{F}_{\mathbf{e}}(\tau) = \{F_1 e^{i\Omega_1 \tau}, F_2 e^{i\Omega_2 \tau}\}^{\mathrm{T}}$ denoting the external load vector, **M**, **C**, and **K** represent the mass, damping and stiffness matrices of the system with

159
$$\mathbf{M} = \begin{bmatrix} 1 & 0 \\ 0 & \mu \end{bmatrix}, \quad \mathbf{C} = \begin{bmatrix} 2\zeta_1 & 0 \\ 0 & 2\mu\zeta_2\gamma \end{bmatrix}, \quad \mathbf{K} = \begin{bmatrix} 1 & 0 \\ 0 & \mu\gamma^2 \end{bmatrix}, \quad (6)$$

and $\mathbf{F_{nl}}(\mathbf{X}, \mathbf{X}', \tau) = \{-F(\Delta, \tau), F(\Delta, \tau)\}^{\mathrm{T}}$ represents the force vector generated at the nonlinear joint. Here the excitation frequency ratio is defined as the ratio of the two excitation frequencies Ω_1 and Ω_2 :

162 $\varepsilon = \Omega_2 / \Omega_1. \tag{7}$

163 For the joint with a cubic stiffness nonlinearity, we have

164
$$F(\Delta, \tau) = F_s(\Delta, \tau) = \lambda \Delta + \beta \Delta^3, \tag{8}$$

165 where $\lambda = k_l/k_1$ and $\beta = k_n l_0^2/k_1$, representing linear and nonlinear stiffness ratios of the smooth joint, 166 respectively. When the joint is characterized by a piecewise linear spring, the corresponding dimensionless 167 restoring force is

168
$$F(\Delta,\tau) = F_{nsp}(\Delta,\tau) = \frac{f_{nsp}(\delta,t)}{k_1 l_0} = \begin{cases} \alpha X + \alpha e(\kappa - 1), & \text{for } \Delta > e \\ \alpha \kappa X, & \text{for } |\Delta| \le e \\ \alpha X - \alpha e(\kappa - 1), & \text{for } \Delta < -e \end{cases}$$
(9)

169 where $\alpha = k_t/k_1$ is the stiffness ratio, $\kappa = k_c/k_t$ is the piecewise linear stiffness ratio and $e = g/l_0$ is the 170 non-dimensional offset. When the joint is characterized by a bilinear spring, the corresponding 171 dimensionless restoring force is

172
$$F(\Delta, \tau) = F_{nsb}(\Delta, \tau) = \frac{f_{nsb}(\delta, t)}{k_1 l_0} = \begin{cases} \rho \Delta, & \text{when } \Delta < 0, \\ \eta \rho \Delta, & \text{when } \Delta \ge 0, \end{cases}$$
(10)

173 where $\rho = k_h/k_1$ is the stiffness ratio and $\eta = k_s/k_h$ is the bilinear stiffness ratio.

To examine the vibration transmission and energy flow through the nonlinear joint of the coupled system, it is necessary to solve the nonlinear governing equations. In this study, two different approaches will be adopted. One is the harmonic balance (HB) method based on analytical derivations and the alternating frequency time (AFT) scheme. The other is based on a fourth-order Runge-Kutta (RK) method. The HB-AFT method has been a widely accepted tool to obtain the periodic responses of a dynamical system and it can provide physical insights into the dynamics of nonlinear systems. The RK method can be used to obtain both periodic or non-periodic responses with high accuracy but at higher computational cost.

181 3. HB-based vibration energy flow analysis

In this section, HB-based vibration energy flow analysis is presented. A general approach employing the HB-AFT is introduced to obtain the steady-state response solution of Eq. (4). Analytical method using firstorder HB approximations of smooth joint case is also presented. Multiple performance indices, such as time-averaged input and transmitted power, are defined and formulated.

186 3.1 HB-AFT for multi-frequency excitations

The HB-AFT technique is used to obtain the periodic responses of the coupled systems with a nonlinear
joint [60-63]. For its implementation, the general solution of Eq. (4) can be truncated into *N*-th order Fourier
series

190
$$X_{j}(\tau) = \Re\{\sum_{n=0}^{N} \widetilde{H}_{(j,n)} e^{in\Omega_{1}\tau}\} + \Re\{\sum_{n=0}^{N} \widetilde{Q}_{(j,n)} e^{in\Omega_{2}\tau}\},$$
 (11)

where j=1 or 2 represents the subsystem S1 or S2; $\tilde{H}_{(j,n)}$ and $\tilde{Q}_{(j,n)}$ are the complex Fourier coefficients of the dimensionless displacement for the *n*-th harmonics associated with excitation frequencies Ω_1 and Ω_2 , respectively; \Re denotes the operation of taking the real part of a complex number. The corresponding velocity and acceleration are

195
$$X_{j}'(\tau) = \Re\left\{\sum_{n=0}^{N} \operatorname{in}\Omega_{1}\widetilde{H}_{(j,n)} e^{\operatorname{in}\Omega_{1}\tau}\right\} + \Re\left\{\sum_{n=0}^{N} \operatorname{in}\Omega_{2}\widetilde{Q}_{(j,n)} e^{\operatorname{in}\Omega_{2}\tau}\right\},\tag{12}$$

196
$$X_{j}^{\prime\prime}(\tau) = \Re \{ \sum_{n=0}^{N} -(n\Omega_{1})^{2} \widetilde{H}_{(j,n)} e^{in\Omega_{1}\tau} \} + \Re \{ \sum_{n=0}^{N} -(n\Omega_{2})^{2} \widetilde{Q}_{(j,n)} e^{in\Omega_{2}\tau} \},$$
(13)

197 respectively. The nonlinear force applied to the nonlinear joint can be expressed as

198
$$F(\tau) = \Re\left\{\sum_{n=0}^{N} \tilde{R}_{n} \mathrm{e}^{\mathrm{i}n\Omega_{1}\tau}\right\} + \Re\left\{\sum_{n=0}^{N} \tilde{S}_{n} \mathrm{e}^{\mathrm{i}n\Omega_{2}\tau}\right\},\tag{14}$$

199 where \tilde{R}_n and \tilde{S}_n are the complex variables of nonlinear force with *n*-th harmonics. By inserting Eqs (11)-200 (14) into Eq. (4) and balancing the harmonic coefficients at the *n*-th order, one obtains

201
$$(-(n\Omega_1)^2 \mathbf{M} + \mathbf{i}(n\Omega_1)\mathbf{C} + \mathbf{K})\widetilde{\mathbf{H}}_n = \widetilde{\mathbf{F}}_{1n} - \widetilde{\mathbf{R}}_n,$$
(15)

202
$$(-(n\Omega_2)^2 \mathbf{M} + i(n\Omega_2)\mathbf{C} + \mathbf{K})\widetilde{\mathbf{Q}}_n = \widetilde{\mathbf{F}}_{2n} - \widetilde{\mathbf{S}}_n,$$
(16)

where $\tilde{\mathbf{H}}_n = \{\tilde{H}_{(1,n)}, \tilde{H}_{(2,n)}\}^{\mathrm{T}}, \tilde{\mathbf{Q}}_n = \{\tilde{Q}_{(1,n)}, \tilde{Q}_{(2,n)}\}^{\mathrm{T}}, \tilde{\mathbf{R}}_n = \{\tilde{R}_n, -\tilde{R}_n\}^{\mathrm{T}}, \tilde{\mathbf{S}}_n = \{\tilde{S}_n, -\tilde{S}_n\}^{\mathrm{T}}, \tilde{\mathbf{F}}_{1n} = \{F_1, 0\}^{\mathrm{T}}, \text{ and } \tilde{\mathbf{F}}_{2n} = \{0, F_2\}^{\mathrm{T}}.$ It is noted that Eqs (15) and (16) are two nonlinear equations with complex numbers, which can be transformed into four real algebraic equations. Therefore, for the coupled two-DOF system with *N*-th order harmonics, the total equations will be 2(4N + 2). The solutions of these nonlinear algebraic equations can be obtained by the Newton-Raphson based pseudo arc-length continuation techniques [64-66].

209 3.2 Analytical HB approximation

The previous section provides a general procedure to obtain the dynamic response and power flow variables for nonlinear systems with smooth or non-smooth joint based on the HB method. This approach is mainly based on the Fourier Transform and numerical continuation technique, which has sufficient accuracy but relatively large amount of calculation. For a smooth joint, e.g., cubic stiffness nonlinearity, the analytical first-order harmonic balance (HB) approximation can also be used to obtain the dynamic response effectively and efficiently. The steady-state dimensionless displacement of S1 and the relative displacement of the subsystems are expressed by

217
$$X_1 = a\cos(\Omega_1 \tau + \phi_1) + b\cos(\Omega_2 \tau + \phi_2),$$
(17)

$$Y = p\cos(\Omega_1 \tau + \theta_1) + q\cos(\Omega_2 \tau + \theta_2), \tag{18}$$

respectively, where *a*, *b*, *p*, and *q* are the response amplitudes, ϕ_1 , ϕ_2 , θ_1 and θ_2 are the corresponding phase angles. Based on Eqs (17) and (18), the first and second derivatives of the displacements with respect to time can be calculated. By substituting related displacements, velocities and accelerations into governing Eq. (4), ignoring high-order terms, and balancing the coefficients of terms $\cos(\Omega_1 \tau)$, $\sin(\Omega_1 \tau)$, $\cos(\Omega_2 \tau)$, and $\sin(\Omega_2 \tau)$, we can obtain eight nonlinear algebraic equations with eight unknowns of response amplitudes and phase angles. See details in the Appendix. They can be solved by a standard Newton-Raphson technique together with the numerical continuation algorithm scheme.

For later analysis, the natural frequencies of the corresponding linear undamped system are determined. By setting $F_1 = F_2 = \zeta_1 = \zeta_2 = \beta = 0$, Eq. (4) becomes

228
$$\begin{bmatrix} -\Omega^2 + 1 + \lambda & -\lambda \\ -\lambda & -\mu\Omega^2 + \mu\gamma^2 + \lambda \end{bmatrix} \begin{Bmatrix} |X_1| \\ |X_2| \end{Bmatrix} = 0,$$
(19)

where first-order approximations are used, $|X_1|$ and $|X_2|$ are the response amplitudes of the S1 and S2, respectively. The natural frequencies are determined by setting the determinant of the matrix to be zero

231
$$\mu\Omega^4 - \Omega^2(\mu\gamma^2 + \lambda + \lambda\mu + \mu) + \mu\gamma^2 + \lambda + \lambda\mu\gamma^2 = 0.$$
(20)

Eq. (20) is the characteristic equation to which the corresponding solutions are the linearized natural frequencies Ω_{n1} and Ω_{n2} (assuming $\Omega_{n1} < \Omega_{n2}$). By solving the quadratic equation of Ω^2 , we have

234
$$\Omega_{n1}^{2} = \frac{(\mu\gamma^{2} + \lambda + \lambda\mu + \mu) - \sqrt{(\mu\gamma^{2} + \lambda + \lambda\mu + \mu)^{2} - 4\mu(\mu\gamma^{2} + \lambda + \lambda\mu\gamma^{2})}}{2\mu}.$$
 (21)

$$\Omega_{n2}^{2} = \frac{(\mu\gamma^{2} + \lambda + \lambda\mu + \mu) + \sqrt{(\mu\gamma^{2} + \lambda + \lambda\mu + \mu)^{2} - 4\mu(\mu\gamma^{2} + \lambda + \lambda\mu\gamma^{2})}}{2\mu}.$$
(22)

236 3.3 Vibration energy flow quantities

Vibration power flow and energy variables are widely used to evaluate the level of vibration transmissionfor dynamical systems. In this study, the input and transmitted powers are of interest.

The instantaneous input power is the sum of power injection from external sources in each subsystem, that is, the total energy or power consumption within the system due to the viscous damping according to the law of energy conservation, which can be expressed as

242
$$P_{\text{in}} = P_{\text{in1}} + P_{\text{in2}} = \Re\{X_1'\} \Re\{F_1 e^{i\Omega_1 \tau}\} + \Re\{X_2'\} \Re\{F_2 e^{i\Omega_2 \tau}\} = \left(\Re\{\sum_{n=0}^N in\Omega_1 \widetilde{H}_{(1,n)} e^{in\Omega_1 \tau}\} + \Re\{X_1'\} \Re\{F_1 e^{i\Omega_1 \tau}\} + \Re\{X_1'\} \Re\{Y_1'\} \Re\{Y_1'\} + \Re\{Y_1'\} \Re\{Y_1'\} \Re\{Y_1'\} \Re\{Y_1'\} \Re\{Y_1'\} + \Re\{Y_1'\} \Re\{$$

243
$$\Re\{\sum_{n=0}^{N} \operatorname{i} n\Omega_{2} \tilde{Q}_{(1,n)} \mathrm{e}^{\mathrm{i} n\Omega_{2} \tau}\} \Re\{F_{1} \mathrm{e}^{\mathrm{i} \Omega_{1} \tau}\} + \left(\Re\{\sum_{n=0}^{N} \mathrm{i} n\Omega_{1} \tilde{H}_{(2,n)} \mathrm{e}^{\mathrm{i} n\Omega_{1} \tau}\} + \right)$$

244

where P_{in1} and P_{in2} are the instantaneous input power of subsystem one and two, respectively; X_1' and X_2' are the velocities based on Eq. (12) of S1 and S2, respectively. For steady-state motion, the dimensionless time-averaged input power is

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$$\bar{P}_{\rm in} = \frac{1}{t_s} \int_{t_0}^{t_0 + t_s} P_{\rm in} \, \mathrm{d}\tau = \frac{1}{2} F_1 \Re\{\left(\mathrm{i}\Omega_1 \tilde{H}_{(1,1)}\right)^*\} + \frac{1}{2} F_2 \Re\{\left(\mathrm{i}\Omega_2 \tilde{Q}_{(2,1)}\right)^*\},\tag{24}$$

 $\Re\{\sum_{n=0}^{N} in\Omega_2 \tilde{Q}_{(2,n)} e^{in\Omega_2 \tau}\} \Re\{F_2 e^{i\Omega_2 \tau}\},\$

(23)

where t_0 is the starting time of integration and t_s is the averaging time; (*) denotes the complex conjugate of a complex number. Starting time is set as $\tau_0 = 800T$ to remove the transient motion, where $T = 2\pi/\Omega_1$. Averaging time is $t_s = 1000T$. The expression of the time-averaged input power obtained using the firstorder HB approximation is provided in the Appendix.

The instantaneous transmitted power is defined as the product of the nonlinear transmitted force and the velocity of subsystem two, representing the power transmission between the two subsystems through the nonlinear smooth/non-smooth joint. Therefore, the non-dimensional instantaneous transmitted power can be expressed as

257
$$P_{t} = P_{in2} - P_{d2} = \Re\{X_{2}'\}\Re\{F_{2}e^{i\Omega_{2}\tau}\} - \Re\{X_{2}'\}\Re\{2\mu\zeta_{2}\gamma X_{2}'\}.$$
 (25)

According to the energy conservation law, the sum of the transmitted power P_t and the dissipated power P_{d2} in S2 equals the total power input P_{in2} . Therefore, the time-averaged transmitted power in steady-state can be written as

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$$\bar{P}_{\rm t} = \bar{P}_{\rm in2} - \bar{P}_{\rm d2} = \frac{1}{2} F_2 \Re\{\left(\mathrm{i}\Omega_2 \tilde{Q}_{(2,1)}\right)^*\} - \mu \zeta_2 \gamma\{\Omega_1^2 \sum_{n=0}^N n^2 \tilde{H}_{(2,n)}^2 + \Omega_2^2 \sum_{n=0}^N n^2 \tilde{Q}_{(2,n)}^2\}, \quad (26)$$

It should be mentioned that the positive value of the time-averaged transmitted power represents the vibrational power flow and energy transmission from subsystem one to two, which means that subsystem one has higher energy potential than subsystem two, and vice versa. Note that the expression of the timeaveraged transmitted power using the first-order HB method is shown in the Appendix.

266 4. Results and discussion

In this section, the dynamic response and vibrational energy transfer of coupled systems with a smooth or a non-smooth joint is presented in section 4.1 and 4.2, respectively. The effects of the excitation frequency ratio $\varepsilon = \Omega_2/\Omega_1$, the piecewise linear stiffness ratio $\kappa = k_c/k_t$ and the bilinear stiffness ratio $\eta = k_s/k_h$ on the response amplitude and power flow quantities are examined.

4.1 Vibration transmission through smooth joint

Here, the two subsystems are connected by a nonlinear smooth joint with cubic stiffness nonlinearity described by Eq. (8). The effects of the excitation frequency ratio on the dynamic response and vibration transmission are investigated and analyzed.

275 Figure 2 shows the impact of the excitation frequency ratio ε on the resonant peaks. Based on the free 276 vibration analysis of the undamped system, the natural frequencies Ω_{n1} and Ω_{n2} , as derived from Eqs (21) 277 and (22) respectively, are denoted as peaks M and N in the figure. Furthermore, when subsystem two is 278 excited by a frequency Ω_2 (i.e., $\epsilon \Omega_1$), two additional resonances emerge, represented by Ω_{n1}/ϵ and Ω_{n2}/ϵ , 279 and labeled as peaks P and Q respectively. Consequently, the frequency-response curves exhibit a total of 280 four resonant peaks, with peaks M and P corresponding to in-phase motions, while peaks N and Q 281 correspond to out-of-phase motions. It is noted that the frequencies of peaks M and N do not change despite of the variations of the excitation frequency ratio ε . In the case of system parameters set as $\gamma = \lambda = \mu = 1$, 282 peaks M and N are located at $\Omega_{n1} = 1$ and $\Omega_{n2} = \sqrt{3}$. In comparison, the other two peak frequencies vary 283 with ε . Therefore, from low to high frequencies, there are four possible orders of the appearance of the 284 285 peaks: Type-1: MNPQ, Type-2: MPNQ, Type-3: PMQN, and Type-4: PQMN (sequence PMNQ is not 286 applicable for the current case) depending on the fixed excitation frequency ratio, as shown in Figs 2(a), 287 (b), (c) and (d), respectively. For example, in Fig. 2(a), Type-1 with the frequency ratio $\varepsilon = 1/3$, the resonant peaks M, N, P, and Q are located at $\Omega_1 = 1$, $\Omega_1 = \sqrt{3}$, $\Omega_1 = 3$ and $\Omega_1 = 3\sqrt{3}$, respectively. Fig. 288

289 2 also shows the influence of the cubic stiffness nonlinearity β on the dynamic response as compared to the 290 reference linear joint case. The response curves of two cases almost coincide at the peaks M and P, meaning 291 that these two resonant peaks remain unchanged with the variations in β value. Due to the hardening 292 stiffness nonlinearity $\beta = 0.5$, two peaks N and Q are bent to the high-frequency range, and the jump 293 phenomena and multiple solutions also occur. Because of the large displacement motion near the resonance, 294 the linearization fails in accurate prediction of the dynamic response. The stiffness nonlinearity has major 295 effects in the vicinity of the second resonant peaks. In the high- and low-frequency ranges, the response 296 curves for difference cases merge, indicating that the effects of the frequency ratio and the stiffness 297 nonlinearity are negligible here. This is because that the relative displacement between the subsystems is 298 relatively small in these regions, so that the nonlinear restoring force due to the nonlinearity of the joint is 299 low compared to the linear term.



300

Fig. 2 Effects of the excitation frequency ratio ε on the sequence of the resonant peaks computed for (a) $\varepsilon = 1/3$, (b) $\varepsilon = 3/5$, (c) $\varepsilon = 3/2$, (d) $\varepsilon = 3$. Peaks M and N are the resonances due to excitation frequency Ω_1 , and peaks P and Q are resonances due to excitation frequency Ω_2 . Frequency spectra diagrams are for the points located at $\Omega_1 = 0.2$ (A and A') and $\Omega_1 = 8$ (B and B'). Solid lines: nonlinear stiffness at the joint ($\beta = 0.5$). Dashed lines: linear stiffness

at the joint ($\beta = 0$). Symbols: numerical integration results. Other system parameters: $\gamma = \lambda = \mu = 1$, $\zeta_1 = \zeta_2 = 306$ 0.01, $F_1 = F_2 = 0.1$.

Figure 2 also contains the frequency spectra information of four points as marked by A, A', B and B'. 307 308 Due to the multi-frequency excitations, there are two primary frequency components. Apart from the 309 primary response component at $\Omega_r = \Omega_1$, the other frequency component is related to the excitation frequency ratio, e.g., $\Omega_r = \Omega_2 = \Omega_1/3$ when ε is 1/3 and $\Omega_r = \Omega_2 = 3\Omega_1$ with ε being 3. In other words, 310 311 the instantaneous dynamic response only contains first-order frequency components, and there are no 312 obvious super- or sub-harmonic components. However, at point B', the response only shows a major 313 frequency component at $\Omega_r = \Omega_1$ while the expected frequency component of $\Omega_r = 3\Omega_1$ disappears, as 314 shown in Fig. 2(d). The reason is that the excitation frequency of Ω_2 at point B' is away from the resonant peaks P and Q, and the corresponding influence on the dynamic response is negligible. Therefore, the 315 316 fundamental excitation frequency Ω_1 is dominant at high frequencies for peak Type-4 (i.e. peak sequence 317 PQMN). It demonstrates that the frequency spectra results are highly related to the excitation frequencies 318 Ω_1 , Ω_2 and their relative ratio. Similar phenomena can also be observed in Fig. 2(a) for peak Type-1 (i.e. peak sequence MNPQ), the spectrum shows a stronger frequency component at $\Omega_r = \Omega_1$ for point A as it 319 is close to the resonant peak M. As for point B, it is near the resonant peak Q and away from the resonant 320 M, therefore, the frequency component at $\Omega_r = \Omega_1/3$ is higher than $\Omega_r = \Omega_1$. In Figs 3(a) and (b), the 321 322 dynamic responses associated with points A and A' are obtained from the HB and RK methods and shown 323 in the time domain. The figure shows that the analytical results using the first-order HB approximations 324 agree well with the direct numerical integration results. Hence, with a balanced consideration of 325 computational efficiency and accuracy, the analytical first-order approximation is used in this section. Fig. 326 3 also shows that with the frequency ratio of 1/3 and 3, the displacement responses are periodic having 327 periods $T_0 = 3T$ and $T_0 = T$, respectively, where T_0 is one oscillations cycle and $T = 2\pi/\Omega_1$.

328 Figures 4(a) and (b) show the effects of the excitation frequency ratio on the relative displacement amplitude of Y and the time-averaged input power \overline{P}_{in} , respectively. The appearance of the peaks is in 329 330 sequence of PQMN, i.e., Type-4. Fig. 4(a) shows that there are only two right-bending peaks N and Q in 331 the response curve of Y, while there are no primary resonance peaks M and P. This behaviour is related to 332 the fact that the coupled subsystems exhibit in-phase motion at the two peak frequencies M and P, and outof-phase motion at N and Q. Four peaks of similar heights are observed in the curves of \bar{P}_{in} . Fig. 4 also 333 334 shows that the two resonances related to excitation frequency Ω_2 (i.e., peaks P and Q) shift to lower 335 frequencies as the frequency ratio ε increases. When ε increases from 3, to 5, and then to 7, the peak P 336 moves from $\Omega_1 = 1/3$ to 1/5 and then to 1/7. In comparison, the peaks M and N remain unchanged regardless 337 of the variations in the excitation frequency ratio. Fig. 4(b) shows that in the low-frequency range, there is

a higher level of total power input when the system has a larger frequency ratio. This is because the excitation frequency Ω_2 dominates at low frequencies for peak Type-4 (peak sequence PQMN). Away from the low frequency range, e.g., resonant area around peaks M and N as well as the high-frequency range, the influence of the frequency Ω_2 is weakened, and the excitation frequency Ω_1 plays a major role, with the lines for different cases merge.





Fig. 3 Time histories of the displacement response of the mass in the time span from $\tau = 800T$ to $\tau = 800T$ for the system excited at (a) point A with $\varepsilon = 1/3$ and (b) point A' with $\varepsilon = 3$. Solid lines: first-order HB; Dashed lines with symbols: fourth-order RK. System parameters: $\gamma = \lambda = \mu = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = F_2 = 0.1$, $\beta = 0.5$.



347

Fig. 4 Effects of the excitation frequency ratio ε on the (a) relative displacement amplitude *Y* and (b) time-averaged input power \overline{P}_{in} . Lines: first-order HB approximations. Symbols: fourth-order RK results. Other system parameters: $\mu = \lambda = \gamma = 1, \zeta_1 = \zeta_2 = 0.01, F_1 = F_2 = 0.1, \beta = 0.5.$

351 The behaviour of vibrational energy transfer within the coupled system under different excitation 352 frequency ratios is investigated and the results are shown in Fig. 5. The results indicate that when the 353 excitation frequency is high or close to peaks M and N, the time-averaged transmitted power \overline{P}_t is positive. 354 This signifies a net power flow from subsystem one to subsystem two through the smooth nonlinear 355 interface. Importantly, there exists a critical frequency at which the power transmission curve changes sign, 356 resulting in zero net energy transfer. Beyond this critical frequency, power starts flowing in the opposite 357 direction, indicating that subsystem two possesses a higher energy potential, and power transfers from subsystem two to subsystem one. In Figure 5, this critical frequency is approximately $\Omega_1 \approx 0.687, 0.468,$ 358 359 and 0.341 for the cases where ε equals 3, 5, and 7, respectively.





Fig. 5 Effects of excitation frequency ratio ε on the time-averaged transmitted power \overline{P}_t . The critical frequencies for zero net power transfer: $\Omega_1 \approx 0.687$, 0.468 and 0.341 for $\varepsilon = 3$, 5 and 7, respectively. Phase portraits of two locations: (a) excitation frequency $\Omega_1 = 8$, and (b-d) excitation frequency $\Omega_1 = 0.2$. Black, red and blue lines represent $\varepsilon = 3$, 5 and 7, respectively. Other system parameters: $\mu = \lambda = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = F_2 = 0.1$, $\beta = 0.5$.

As the frequency ratio increases, the peaks P and Q move to the low-frequency range, allowing the critical frequency to reach a new equilibrium point. For peak Type-4 shown in Fig. 5, the fundamental excitation frequency Ω_1 of subsystem one has a major influence around resonances M and N as well as at 368 high frequencies, so subsystem one has higher energy potential in these areas. In comparison, the 369 fundamental excitation frequency Ω_2 of subsystem two controls the power transmission in the low-370 frequency range, that is, subsystem two has higher energy potential in this region. Figs 5(a)-(d) further show 371 the dynamic response behaviour of two positions ($\Omega_1 = 0.2$ and $\Omega_1 = 8$) for different cases using phase 372 portrait diagrams. The results in Fig. 5(a) suggest that in the high-frequency region, the excitation frequency ratio has little effect on the transmitted power. This is reflected in the fact that the phase portrait shows only 373 374 one periodic solution, regardless of the value of η . This indicates that the system behaviour is relatively 375 insensitive to the changes in the excitation frequency ratio in this region. In contrast, the results in the low-376 frequency region show that the system behaviour is much more sensitive to the changes in the excitation 377 frequency ratio. The phase portrait can show multiple types of solutions, such as two periodic solutions, 378 quasi-periodic solutions, and multi-periodic solutions. This means that changes in the excitation frequency 379 ratio can significantly impact the transmitted power, leading to different system behaviour.

380 In Fig. 6 the mechanism of power transmission through the nonlinear smooth interface under multi-381 frequency excitations is further investigated. The dotted line represents the time-averaged transmitted 382 power with frequency ratio $\varepsilon = 1/3$ (peak sequence MNPQ, i.e., Type-1), and the solid line denote the 383 peak Type-3 (peak sequence PMQN) with frequency ratio $\varepsilon = 3/2$. For Type-1, the two resonant peaks of 384 the excitation frequency Ω_2 (peaks P and Q) are in the high-frequency with negative value. The corresponding equilibrium point of power transmission is around $\Omega_1 \approx 2.061$. For Type-3 case shown in 385 386 Fig. 6, the downward arrows represent the net power flows from subsystem one to two, and the upward arrow indicates the energy transmission in the opposite direction. In the two frequency ranges $\Omega_1 \approx 0.10$ 387 to 0.861 and $\Omega_1 \approx 1.102$ to 1.253, the time-averaged transmitted powers are negative. Combined with Fig. 388 6, it shows that \overline{P}_t has a negative value in the vicinity of the resonant peaks P and Q, and \overline{P}_t is positive near 389 the resonance areas of peaks M and N. It indicates that the frequency ratio has a significant effect on the 390 391 location of peaks P and Q and the direction of energy transfer in coupled vibration system, while other 392 regions have negligible effects. Fig. 6 provides a potential control method for power transmission by using 393 different excitation frequencies. In addition, the influence of the stiffness nonlinearity of the joint and the 394 forcing amplitude is also considered, and results are presented in the Appendix.



395

Fig. 6 Performance of the time-averaged transmitted power with different excitation frequency ratios $\varepsilon = 3/2$ and $\varepsilon = 1/3$. Positive \overline{P}_t : net energy transfer from S1 to S2; negative \overline{P}_t : net energy transfer from S2 to S1. Lines: firstorder HB approximations. Symbols: fourth-order RK results. Other system parameters: $\mu = \lambda = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = F_2 = 0.1$, $\beta = 0.5$.

400 4.2 Vibration transmission through non-smooth joint

This section explores the dynamic responses and vibrational energy transfer within the coupled systems, facilitated by a non-smooth joint. Two models are considered: one featuring piecewise linear stiffness (illustrated in Fig. 1c), and the other employing bilinear stiffness (depicted in Fig. 1d). In order to obtain accurate and efficient results for the dynamic response and power flow variables, the seventh-order HB-AFT method is utilized. This section also aims to assess the influence of the stiffness ratio and excitation frequency ratio on the vibration transmission.

407 Figure 7 examines the impact of the stiffness ratio, represented by the piecewise linear joint $\kappa = k_c/k_t$, 408 on the relative response amplitude *Y* and the time-averaged input power \bar{P}_{in} . The findings highlight that the 409 ratio of the two slopes primarily influences the dynamic response and power transmission in the secondary 410 resonances. An increase in the κ value, indicating a higher stiffness, results in the secondary resonant peaks 411 shifting towards higher frequencies. Additionally, the study reveals that bending and discontinuity occur in 412 the response and power transmission curves when the relative displacement amplitude surpasses the offset 413 deformation *e*. When the κ value is small, i.e., $k_c < k_t$, a right-bending is observed in the secondary 414 resonant peaks, reminiscent of hardening behaviour. Conversely, when $\kappa > 1$, indicating $k_c > k_t$, the 415 secondary resonant peaks bend towards the low-frequency range, similar to softening behaviour.



416

417 Fig. 7 Effects of the stiffness ratio in the piecewise linear stiffness joint $\kappa = k_c/k_t$ on the (a) relative displacement 418 amplitude and (b) time-averaged input power. Lines: seventh-order HB-AFT. Symbols: fourth-order Runge-Kutta. 419 Other system parameters: $\mu = \rho = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = 0.1$, $F_2 = 0.05$, $\alpha = 1$, e = 0.5.

420 Figure 8 depicts the frequency-response curve of the relative response amplitude Y obtained using two 421 different methods. The first method employs a seventh-order HB-AFT approach (represented by dashed 422 lines) to characterize the dynamic response of the system featuring a piecewise linear stiffness joint. The 423 second method approximates the non-smooth joint by utilizing a smooth cubic stiffness function and 424 employs an analytical first-order HB method (represented by solid lines). The study demonstrates that the 425 piecewise linear stiffness joint can be effectively approximated by a smooth polynomial function with a 426 cubic term, yielding a satisfactory agreement between the two approaches. Furthermore, the study reveals 427 that increasing the stiffness ratio α (where $\alpha = k_t/k_1$) causes the secondary resonant peaks to shift towards 428 higher frequencies. Additionally, a larger value of α leads to a lower level of the relative response amplitude 429 in the low-frequency range. However, in the high-frequency range, the impact of the stiffness ratio α 430 becomes negligible as the frequency-response curves for each case coincide with each other.



431

Fig. 8 Effects of the stiffness ratio $\alpha = k_t/k_1$ on the relative displacement amplitude. Dashed lines: non-smooth joint with piecewise linear stiffness, using the seventh-order HB-AFT; Solid lines: approximated model using smooth joint with cubic stiffness, employing the first-order HB. Other system parameters: $\mu = \rho = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = 435$ 0.1, $F_2 = 0.05$, e = 0.5.

436 The results shown in Fig. 9 demonstrate the influence of the bilinear stiffness ratio η on the response 437 amplitude of the relative displacement Y, considering the bilinear stiffness model. The figure compares the 438 HB-AFT results with the numerical integration results obtained from the Runge-Kutta method. As the 439 bilinear stiffness ratio increases, the two resonant peaks shift to higher frequencies, indicating that the 440 natural frequencies of the system increase with the stiffness. In the low-frequency range, the response 441 amplitude is higher for a smaller bilinear stiffness ratio, while in the high-frequency range, the effect of the 442 bilinear stiffness ratio on the response amplitude is not significant. It is noted that the equivalent stiffness 443 using the linearization method can provide a good estimation of the dynamic response, especially in the 444 resonant area and high-frequency range. However, for the low-frequency range, it may lead to an 445 underestimation of the response amplitude, and important dynamic information such as super-harmonics 446 and quasi-periodic motions will not be captured. In comparison, the use of the seventh-order HB-AFT 447 method enables the detection of super-harmonics at low frequencies and the corresponding results are in 448 good agreement with the numerical integration results.



449

Fig. 9 Effects of the bilinear stiffness ratio η on the relative displacement amplitude. Lines: seventh-order HB-AFT. Symbols: fourth-order Runge-Kutta. The equivalent stiffness k_{eq} is approximated using the reference [67]. Frequency spectra and phase portrait of the relative displacement and time history of the input power in (a-c) with $\Omega_1 =$ 0.389, $\eta = 1/2$, in (d-f) with $\Omega_1 = 3.793$, $\eta = 2$. Other system parameters: $\mu = \rho = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 =$ 0.1, $F_2 = 0.05$, $\Omega_2/\Omega_1 = 3$.

455 In Figs 9(a-f), the dynamic information of two points, C and D, is analyzed to gain a deeper 456 understanding of the behaviour of the system. The frequency spectra, phase diagram, and time history plots 457 are used to observe the system's behaviour in the time and frequency domains. Figs 9(a-c) show the 458 dynamic information of point C at an excitation frequency of $\Omega_1 \approx 0.389$, while Figs 9(d-f) show the 459 information of point D at an excitation frequency of $\Omega_1 \approx 3.793$. The system at point C exhibits steady-460 state periodic motion with three super-harmonic components at $\Omega_r = 3\Omega_1, 4\Omega_1$, and $5\Omega_1$. However, at point D, the dynamic response and input power obtained by HB-AFT method are lower than the numerical 461 results, due to a sub-harmonic component of $\Omega_r = \frac{1}{2}\Omega_1$. This is because the HB-AFT method used in the 462 463 study expands the Fourier series to integer orders of the fundamental excitation frequency, which leads to 464 discrepancy for fractional frequency ratios. The time history, phase diagram, and Poincaré map of the 465 dynamic response X_1 at three different excitation frequencies, $\Omega_1 = 0.400$, 0.443, and 0.586, are also 466 investigated in Fig. 10. It is found that the system exhibits periodic-1, periodic-2, and periodic-3 motions, 467 respectively, at these three different locations. No quasi-periodic or chaotic motions are observed in the 468 dynamic response and power flow.



469

470 Fig. 10 Time history, phase diagram and Poincare map of the dynamic response X_1 at $\Omega_1 = 0.4$ (a - c) with 471 periodic-1 motion, $\Omega_1 = 0.443$ (d - f) with periodic-2 motion and $\Omega_1 = 0.5864$ (g - i) with periodic-3 motion, 472 respectively. Other system parameters: $\mu = \rho = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = 0.1$, $F_2 = 0.05$, $\Omega_2/\Omega_1 = 3$, $\eta =$ 473 1/2.

Figures 11(a) and (b) show the effects of the excitation frequency ratio ε on the relative displacement amplitude and the time-averaged input power of the coupled system with a bilinear stiffness joint. The results indicate that as the frequency ratio increases, peaks P and Q, which are resonances caused by the fundamental frequency Ω_2 , shift toward lower frequencies. In contrast, peaks M and N remain nearly fixed at $\Omega_1 = 1$ and $\Omega_1 \approx 1.557$, respectively. The results also show that in the low-frequency range, the response amplitude and time-averaged input power increase with the excitation frequency ratio. However, in the high-frequency range, the effect of the frequency ratio is insignificant. Combined with the previous findings in Fig. 9, it suggests that the bilinear stiffness ratio η primarily affects the dynamic response and energy transmission curves around the second resonant frequencies, while the frequency excitation ratio ε is responsible for the resonant peaks of Ω_2 . This provides potential methods for controlling and mitigating vibrations and power in nonlinear systems subjected to multi-frequency excitation.



485

486 Fig. 11 Effects of the excitation frequency ratio ε on the (a) relative displacement amplitude and (b) time-averaged 487 input power. Lines: seventh-order HB-AFT. Symbols: fourth-order Runge-Kutta. Other system parameters: $\mu = \rho =$ 488 $\gamma = 1, \zeta_1 = \zeta_2 = 0.01, F_1 = 0.1, F_2 = 0.05, \eta = 1/2.$

489 Figures 12(a) and (b) analyze the influence of two parameters, the bilinear stiffness ratio η and the 490 excitation frequency ratio ε , on the time-averaged transmitted power \overline{P}_t between two subsystems. The 491 results in Fig. 12(a) show that the critical frequency of zero net power transmission between the subsystems 492 increases with the bilinear stiffness ratio η . It is observed that the two primary resonant peaks of the time-493 averaged transmitted power remain unchanged, but the peaks N and Q shift to higher frequencies as the 494 bilinear stiffness ratio increases. Furthermore, the results suggest that a greater value of bilinear stiffness 495 ratio leads to a higher level of power transmission in the high-frequency range, but has little effect on \overline{P}_t in the low-frequency range. The results in Fig. 12(b) indicate that the frequency bandwidth of positive \bar{P}_{t} 496 497 increases with the frequency ratio ε . It is found that the point of zero net power transmission shifts to lower frequencies as the frequency ratio increases. These results show that changing the bilinear stiffness and 498 499 frequency ratios can be a potential way of energy mitigation and targeted energy transmission in nonlinear 500 dual-excitation systems.



501

Fig. 12 Effects of the (a) bilinear stiffness ratio η with $\varepsilon = 3$ and (b) excitation frequency ratio ε with $\eta = 1/2$ on the time-averaged transmitted power \overline{P}_t . In (a), zero net power transfer is located at $\Omega_1 \approx 0.621$, 0.650 and 0.702 for $\eta =$ 1/2, 1 and 2, respectively; In (b), zero net power transfer is located at $\Omega_1 \approx 0.621$, 0.431 and 0.342 for $\varepsilon = 3$, 5 and 7, respectively. Other system parameters $\mu = \rho = \gamma = 1$, $\zeta_1 = \zeta_2 = 0.01$, $F_1 = 0.1$, $F_2 = 0.05$.

506 5. Conclusions

507 In this study we investigated the dynamic response and the vibrational energy transfer between coupled 508 systems subjected to different excitation frequencies. The two subsystems are connected by a nonlinear 509 cubic stiffness, a non-smooth piecewise linear or a bilinear stiffness joint. The first-order HB and the 510 seventh-order HB-AFT methods were used as analytical approximations. The numerical fourth-order 511 Runge-Kutta method was also employed for validation and comparison. The time-averaged input and 512 transmitted powers were used to assess the energy transmission performance.

For the system with the smooth joint, the resonant peaks, caused by fundamental frequency Ω_1 , do not change with the variation of excitation frequency ratio ε . However, the other two peak frequencies change with ε , leading to four possible orders of appearance of the peaks depending on the value of ε . It was found that the dynamic response of each subsystem only contains fundamental excitation frequencies without obvious sub-/super-harmonics. It was also demonstrated that the cubic stiffness nonlinearity mainly affects the vicinity of the second resonant peaks of the energy transmission curves.

519 For the system featuring a non-smooth joint, the piecewise linear stiffness ratio induces a bending 520 behaviour in the second resonant peaks, resembling either hardening or softening characteristics. In the case 521 of the bilinear stiffness joint, it has been observed that increasing the bilinear stiffness ratio leads to a 522 shifting of the second resonant peaks and the point of zero net energy transmission towards higher frequencies. The excitation frequency ratio exerts a significant influence on the peak frequencies of subsystem two in terms of energy transmission, while the bilinear stiffness ratio primarily affects the characteristics of the second resonant peaks. Moreover, various nonlinear phenomena such as periodic-1, periodic-2, periodic-3, and super-/sub-harmonic resonances have been identified in the system's response.

527 For both two cases, it is shown that the direction and the amount of time-averaged transmitted power 528 through the nonlinear joint can be tuned by adjusting the excitation frequency and bilinear stiffness ratios, 529 and thus achieving better dynamic performance. The resonances of subsystem two move to lower 530 frequencies as the increase of the excitation frequency ratio. In the low-frequency range, the dynamic 531 response and energy transmission level decrease with the excitation frequency ratio, which is beneficial for 532 vibration suppression.

533 Acknowledgement

This work was supported by National Natural Science Foundation of China under Grant number 12172185 and by Zhejiang Provincial Natural Science Foundation of China under Grant number Y22A023634.

537 Appendix

538 The first-order HB approximations continue from Eqs (17) and (18). By taking the derivatives of X_1 and Y539 with respect to the non-dimensional time, we have the approximate expressions of the velocities and 540 accelerations:

541
$$X_{1}' = -a\Omega_{1}\sin(\Omega_{1}\tau + \phi_{1}) - b\Omega_{2}\sin(\Omega_{2}\tau + \phi_{2}), X_{1}'' = -a\Omega_{1}^{2}\cos(\Omega_{1}\tau + \phi_{1}) - b\Omega_{2}^{2}\cos(\Omega_{2}\tau + \phi_{2})$$
542 (A1)

543
$$Y' = -p\Omega_1 \sin(\Omega_1 \tau + \theta_1) - q\Omega_2 \sin(\Omega_2 \tau + \theta_2), \ Y'' = -p\Omega_1^2 \cos(\Omega_1 \tau + \theta_1) - q\Omega_2^2 \cos(\Omega_2 \tau + \theta_2),$$

544 (A2)

545 By substituting Eqs (17), (18), (A1) and (A2) into governing Eq. (4), ignoring high-order terms, and 546 balancing the coefficients of terms $\cos(\Omega_1 \tau)$, $\sin(\Omega_1 \tau)$, $\cos(\Omega_2 \tau)$, and $\sin(\Omega_2 \tau)$, we can obtain eight 547 nonlinear algebraic equations as

548
$$-a\Omega_1^2\cos\phi_1 + a\cos\phi_1 - 2\zeta_1a\Omega_1\sin\phi_1 - \lambda p\cos\theta_1 - \frac{3\beta p^3}{4}\cos\theta_1 = F_1, \quad (A3)$$

549
$$a\Omega_1^2 \sin \phi_1 - a \sin \phi_1 - 2\zeta_1 a\Omega_1 \cos \phi_1 + \lambda p \sin \theta_1 + \frac{3\beta p^3}{4} \sin \theta_1 = 0, \quad (A4)$$

550
$$-b\Omega_2^2 \cos \phi_2 + b \cos \phi_2 - 2\zeta_1 b\Omega_2 \sin \phi_2 - \lambda q \cos \theta_2 - \frac{3\beta q^3}{4} \cos \theta_2 = 0, \quad (A5)$$

551
$$b\Omega_2^2 \sin \phi_2 - b \sin \phi_2 - 2\zeta_1 b\Omega_2 \cos \phi_2 + \lambda q \sin \theta_2 + \frac{3\beta q^3}{4} \sin \theta_2 = 0, \quad (A6)$$

~ ~ ~

552
$$\mu(-a\Omega_1^2\cos\phi_1 - p\Omega_1^2\cos\theta_1) + 2\mu\zeta_2\gamma(-a\Omega_1\sin\phi_1 - p\Omega_1\sin\theta_1) + \mu\gamma^2(a\cos\phi_1 + p\cos\theta_1) + \mu\gamma^2(a\cos\phi_1 + p\cos\theta_1) + \mu\gamma^2(a\cos\phi_1 - p\Omega_1\cos\theta_1) + \mu\gamma^2(a\cos\phi_1 - p\Omega_1\cos\theta_1) + \mu\gamma^2(a\cos\phi_1 - p\Omega_1\sin\theta_1) + \mu\gamma^2(a\cos\phi_1 - p\Omega_1) + \mu\gamma^2(a\cos\phi_1 - p\Omega_1) + \mu\gamma^2) + \mu\gamma^2(a\cos\phi_1 - p\Omega_1) + \mu\gamma^2)$$

553
$$\lambda p \cos \theta_1 + \frac{3\beta p^3}{4} \cos \theta_1 = 0, \qquad (A7)$$

554
$$\mu(a\Omega_1^2\sin\phi_1 + p\Omega_1^2\sin\theta_1) + 2\mu\zeta_2\gamma(-a\Omega_1\cos\phi_1 - p\Omega_1\cos\theta_1) + \mu\gamma^2(-a\sin\phi_1 - p\sin\theta_1) - \lambda p\sin\theta_1 - \frac{3\beta p^3}{2}\sin\theta_1 = 0.$$
(A8)

556
$$\mu(-b\Omega_2^2\cos\phi_2 - \Omega_2^2q\cos\theta_2) - 2\mu\zeta_2\gamma(b\Omega_2\sin\phi_2 + q\Omega_2\sin\theta_2) + \mu\gamma^2(b\cos\phi_2 + q\cos\theta_2) + \lambda q\cos\theta_2 + \frac{3\beta q^3}{2}\cos\theta_2 = F_2, \quad (A9)$$

$$\lambda q \cos \theta_2 + \frac{3\beta q^3}{4} \cos \theta_2 = F_2, \qquad (A9)$$

558
$$\mu(b\Omega_2^2 \sin \phi_2 + \Omega_2^2 q \sin \theta_2) - 2\mu\zeta_2\gamma(b\Omega_2 \cos \phi_2 + q\Omega_2 \cos \theta_2) + \mu\gamma^2(-b \sin \phi_2 - q \sin \theta_2) - \lambda q \sin \theta_2 - \frac{3\beta q^3}{4} \sin \theta_2 = 0, \quad (A10)$$

560

which can be solved by Newton-Raphson based numerical continuation technique. Once the response 561 562 amplitudes and phase angles in Eqs (A3)-(A10) are obtained, the related power flow variables can be 563 calculated, e.g., the instantaneous total input power of the coupled system is expressed as

$$P_{\rm in} = X_1' F_1 \cos \Omega_1 \tau + X_2' F_2 \cos \Omega_2 \tau$$

$$\approx -F_1 (a \Omega_1 \sin(\Omega_1 \tau + \phi) + b \Omega_2 \sin(\Omega_2 \tau + \theta)) \cos \Omega_1 \tau$$

$$- F_2 (p \Omega_1 \sin(\Omega_1 \tau + \delta) + q \Omega_2 \sin(\Omega_2 \tau + \sigma))$$

$$+ a \Omega_1 \sin(\Omega_1 \tau + \phi) + b \Omega_2 \sin(\Omega_2 \tau + \theta)) \cos \Omega_2 \tau$$
(A11)

where X_1' and X_2' are the velocity of the subsystem one and two, respectively, and $X_2' = X_1' + \Delta'$. Based 564 on Eq. (24), the analytical expression of the dimensionless time-averaged input power with first-order HB 565 566 approximation is

567
$$\bar{P}_{\rm in} = \frac{1}{T} \int_{\tau_0}^{\tau_0 + T} P_{\rm in} \,\mathrm{d}\tau \approx -\frac{1}{2} (a\Omega_1 F_1 \sin\phi + q\Omega_2 F_2 \sin\sigma + b\Omega_2 F_2 \sin\theta). \tag{A12}$$

The instantaneous transmitted power based on the first-order HB approximation can be expressed as 568

$$P_{t} = X'_{2}G(\tau)$$

$$\approx [\lambda(p\cos(\Omega_{1}\tau + \delta) + q\cos(\Omega_{2}\tau + \sigma)) + \beta(p\cos(\Omega_{1}\tau + \delta) + q\cos(\Omega_{2}\tau + \sigma))^{3}](a\Omega_{1}\sin(\Omega_{1}\tau + \phi) + b\Omega_{2}\sin(\Omega_{2}\tau + \theta) + p\Omega_{1}\sin(\Omega_{1}\tau + \delta) + q\Omega_{2}\sin(\Omega_{2}\tau + \sigma)).$$
(A13)

569 The time-averaged transmitted power using first-order HB approximation gives

570
$$\overline{P}_{t} = \frac{1}{T} \int_{\tau_0}^{\tau_0 + T} P_{t} \, \mathrm{d}\tau$$

571
$$\approx \frac{1}{8} [ap\Omega_1(4\lambda + 3\beta p^2 + 6\beta q^2)\sin(\phi - \delta) + bq\Omega_2(4\lambda + 3\beta q^2 + 6\beta p^2)\sin(\theta - \sigma)].$$

(A14)





572

574 Fig. A1 Effects of force amplitude on (a) response amplitude of the relative displacement; (b) time-averaged input





576

577 Fig. A2 Effects of the stiffness nonlinearity β on the response amplitudes and time-averaged input power. $\mu = \lambda =$

578 $\gamma = 1, \zeta_1 = \zeta_2 = 0.01, F_1 = F_2 = 0.1, \varepsilon = 3.$



579

580 Fig. A3 Effects of the stiffness nonlinearity β on the time-averaged transmitted power. $\mu = \lambda = \gamma = 1, \zeta_1 = \zeta_2 = 581$ 581 $0.01, F_1 = F_2 = 0.1, \varepsilon = 3.$

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