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#### ELEMENTS OF HIGHER HOMOTOPY GROUPS UNDETECTABLE BY POLYHEDRAL APPROXIMATION

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When nontrivial local structures are present in a topological space X, a common approach to characterizing the isomorphism type of the *n*-th homotopy group  $\pi_n(X, x_0)$  is to consider the image of  $\pi_n(X, x_0)$  in the *n*-th Čech homotopy group  $\check{\pi}_n(X, x_0)$  under the canonical homomorphism  $\Psi_n : \pi_n(X, x_0) \to \check{\pi}_n(X, x_0)$ . The subgroup  $\ker(\Psi_n)$  is the obstruction to this tactic as it consists of precisely those elements of  $\pi_n(X, x_0)$ , which cannot be detected by polyhedral approximations to X. In this paper, we use higher dimensional analogues of Spanier groups to characterize ker $(\Psi_n)$ . In particular, we prove that if X is paracompact, Hausdorff, and  $LC^{n-1}$ , then ker $(\Psi_n)$  is equal to the *n*-th Spanier group of X. We also use the perspective of higher Spanier groups to generalize a theorem of Kozlowski–Segal, which gives conditions ensuring that  $\Psi_n$  is an isomorphism.

#### 1. Introduction

When nontrivial local structures are present in a topological space X, a common approach to characterizing the isomorphism type of  $\pi_n(X, x_0)$  is to consider the image of  $\pi_n(X, x_0)$  in the *n*-th Čech (shape) homotopy group  $\check{\pi}_n(X, x_0)$  under the canonical homomorphism  $\Psi_n : \pi_n(X, x_0) \to \check{\pi}_n(X, x_0)$ . The *n*-th shape kernel ker( $\Psi_n$ ) is the obstruction to this tactic as it consists of precisely those elements of  $\pi_n(X, x_0)$ , which cannot be detected by polyhedral approximations to X. This method has proved successful in many situations for both the fundamental group [Cannon and Conner 2006; Eda and Kawamura 1998; Fischer and Guilbault 2005; Fischer and Zastrow 2005] and higher homotopy groups [Brazas 2021; Eda and Kawamura 2000a; 2010; Eda et al. 2013; Kawamura 2003]. In this paper, we study the map  $\Psi_n$  and give a characterization the *n*-th shape kernel in terms of higher-dimensional analogues of Spanier groups.

The subgroups of fundamental groups, which are now commonly referred to as "Spanier groups," first appeared in E.H. Spanier's unique approach [1966] to

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covering space theory. If  $\mathscr{U}$  is an open cover of a topological space X and  $x_0 \in X$ , then the Spanier group with respect to  $\mathscr{U}$  is the subgroup  $\pi_1^{Sp}(\mathscr{U}, x_0)$  of  $\pi_1(X, x_0)$ generated by path-conjugates  $[\alpha][\gamma][\alpha]^{-1}$  where  $\alpha$  is a path starting at  $x_0$  and  $\gamma$ is a loop based at  $\alpha(1)$  with image being contained in some element of  $\mathscr{U}$ . These subgroups are particularly relevant to covering space theory since, when X is locally path-connected, a subgroup  $H \leq \pi_1(X, x_0)$  corresponds to a covering map  $p:(Y, y_0) \to (X, x_0)$  if and only if  $\pi_1^{Sp}(\mathscr{U}, x_0) \le H$  for some open cover  $\mathscr{U}$  [Spanier 1966, 2.5.12]. The intersection  $\pi_1^{Sp}(X, x_0) = \bigcap_{\mathscr{U}} \pi_1^{Sp}(\mathscr{U}, x_0)$  is called the *Spanier* group of  $(X, x_0)$  [Fischer et al. 2011]. The inclusion  $\pi_1^{Sp}(X, x_0) \subseteq \ker(\Psi_1)$  always holds [Fischer and Zastrow 2007, Proposition 4.8]. It is proved in [Brazas and Fabel 2014, Theorem 6.1] that  $\pi_1^{Sp}(X, x_0) = \ker(\Psi_1)$  whenever X is paracompact Hausdorff and locally path connected. The upshot of this equality is having a description of level-wise generators (for each open cover  $\mathscr{U}$ ) whereas there may be no readily available generating set for the kernel of a homomorphism induced by a canonical map from X to the nerve  $|N(\mathcal{U})|$ . Indeed, 1-dimensional Spanier groups have proved useful in persistence theory [Virk 2020]. Since much of applied topology is based on a geometric refinement of polyhedral approximation from shape theory, there seems potential for higher dimensional analogues to be useful as well.

Higher dimensional analogues of Spanier groups recently appeared in [Bahredar et al. 2021] and are defined in a similar way:  $\pi_n^{Sp}(\mathcal{U}, x_0)$  is the subgroup of  $\pi_n(X, x_0)$  consisting of homotopy classes of path-conjugates  $\alpha * f$  where  $\alpha$  is a path starting at  $x_0$  and  $f : S^n \to X$  is based at  $\alpha(1)$  with image being contained in some element of  $\mathcal{U}$ . Then  $\pi_n^{Sp}(X, x_0)$  is the intersection of these subgroups. In this paper, we prove a higher-dimensional analogue of the 1-dimensional equality  $\pi_1^{Sp}(X, x_0) = \ker(\Psi_1)$  from [Brazas and Fabel 2014].

A space X is  $LC^n$  if for every neighborhood U of a point  $x \in X$ , there is a neighborhood V of x in U such that every map  $f : S^k \to V$ ,  $0 \le k \le n$  is nullhomotopic in U. When a space is  $LC^n$ , "small" maps on spheres of dimension  $\le n$ contract by null-homotopies of relatively the same size. Certainly, every locally *n*-connected space is  $LC^n$ . However, when  $n \ge 1$ , the converse is not true even for metrizable spaces. Our main result is the following.

**Theorem 1.1.** Let  $n \ge 1$  and  $x_0 \in X$ . If X is paracompact, Hausdorff, and  $LC^{n-1}$ , then  $\pi_n^{Sp}(X, x_0) = \ker(\Psi_n)$ .

This result confirms that higher Spanier groups, like their 1-dimensional counterparts, often identify precisely those elements of  $\pi_n(X, x_0)$  which can be detected by polyhedral approximations to X. More precisely, under the hypotheses of Theorem 1.1,  $g \in \pi_n^{Sp}(X, x_0)$  if and only if  $f_{\#}(g) = 0$  for every map  $f : X \to K$  to a polyhedron K. A first countable path-connected space is  $LC^0$  if and only if it is locally path connected. Hence, in dimension n = 1, Theorem 1.1 only expands [Brazas and Fabel 2014, Theorem 6.1] to some nonfirst countable spaces.

Regarding the proof of Theorem 1.1, the inclusion  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$  was first proved for n = 1 in [Fischer and Zastrow 2007, Proposition 4.8] and for  $n \ge 2$ in [Bahredar et al. 2021, Theorem 4.14]. We include this proof for the sake of completion (Corollary 3.11). The proof of the inclusion  $\ker(\Psi_n) \subseteq \pi_n^{Sp}(X, x_0)$ appears in Section 5 and is more intricate, requiring a carefully chosen sequence of open cover refinements using the  $LC^{n-1}$  property. These refinements allow one to recursively extend maps on simplicial complexes skeleton-wise. These extension methods, established in Section 4, are similar to methods found in [Kozlowski and Segal 1977; 1978].

We also put these extension methods to work in Section 6 where we identify conditions that imply  $\Psi_n$  is an isomorphism. Kozlowski and Segal [1978], proved that if X is paracompact Hausdorff and  $LC^n$ , then  $\Psi_n$  is an isomorphism. Fischer and Zastrow [2007], generalized this result in dimension n = 1 by replacing " $LC^{1}$ " with "locally path connected and semilocally simply connected." Similar, to the approach of Fischer and Zastrow, our use of Spanier groups shows that the existence of *small* null-homotopies of small maps  $S^n \to X$  (specifically in dimension n) is not necessary to prove that  $\Psi_n$  is injective. We say a space X is *semilocally*  $\pi_n$ -*trivial* if for every  $x \in X$  there exists an open neighborhood U of x such that every map  $S^n \to U$  is null-homotopic in X. This definition is independent of lower dimensions but certainly  $LC^n \Rightarrow (LC^{n-1}$  and semilocally  $\pi_n$ -trivial). Our second result proves Kozlowski–Segal's theorem under a weaker hypothesis and is stated as follows.

**Theorem 1.2.** Let  $n \ge 1$  and  $x_0 \in X$ . If X is paracompact, Hausdorff,  $LC^{n-1}$ , and semilocally  $\pi_n$ -trivial, then  $\Psi_n : \pi_n(X, x_0) \to \check{\pi}_n(X, x_0)$  is an isomorphism.

The hypotheses in Theorem 1.2 are the homotopical versions of the hypotheses used in [Mardešić 1959] to ensure that the canonical homomorphism  $\varphi_* : H_n(X) \rightarrow \check{H}_n(X)$  is an isomorphism; see also [Eda and Kawamura 2000b] regarding the surjectivity of  $\varphi_*$ . Examples show that  $\Psi_n$  can fail to be an isomorphism if X is semilocally  $\pi_n$ -trivial but not  $LC^{n-1}$  (Example 7.4) or if X is  $LC^{n-1}$  but not semilocally  $\pi_n$ -trivial (Example 7.5).

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#### 2. Preliminaries and notation

Throughout this paper, X is assumed to be a path-connected topological space with basepoint  $x_0$ . The unit interval is denoted I and  $S^n$  is the unit *n*-sphere with basepoint  $d_0 = (1, 0, ..., 0)$ . The *n*-th homotopy group of  $(X, x_0)$  is denoted

 $\pi_n(X, x_0)$ . If  $f: (X, x_0) \to (Y, y_0)$  is a based map, then  $f_{\#}: \pi_n(X, x_0) \to \pi_n(Y, y_0)$  is the induced homomorphism.

A *path* in a space X is a map  $\alpha : I \to X$  from the unit interval. The *reverse* of  $\alpha$  is the path given by  $\alpha^{-}(t) = \alpha(1-t)$  and the concatenation of two paths  $\alpha, \beta$  with  $\alpha(1) = \beta(0)$  is denoted  $\alpha \cdot \beta$ . Similarly, if  $f, g : S^n \to X$  are maps based at  $x \in X$ , then  $f \cdot g$  denotes the usual *n*-loop concatenation and  $f^-$  denotes the reverse map. We may write  $\prod_{i=1}^{m} f_i$  to denote an *m*-fold concatenation  $f_1 \cdot f_2 \cdot \cdots \cdot f_m$ .

**2.1.** *Simplicial complexes.* We make heavy use of standard notation and theory of abstract and geometric simplicial complexes, which can be found in texts such as [Mardešić and Segal 1982; Munkres 1984]. We briefly recall relevant notation.

For an abstract (geometric) simplicial complex K and integer  $r \ge 0$ ,  $K_r$  denotes the *r*-skeleton of K. If K is abstract, |K| denotes the geometric realization of K with the weak topology. If K is geometric, then  $\operatorname{sd}^m K$  denotes the *m*-th barycentric subdivision of K and if v is a vertex of K, then  $\operatorname{st}(v, K)$  denotes the open star of the vertex v. When  $L \subseteq K$  is a subcomplex,  $\operatorname{sd}^m L$  is a subcomplex of  $\operatorname{sd}^m K$ . If  $\sigma = \{v_0, v_1, \ldots, v_r\}$  is a *r*-simplex of K, then  $[v_0, v_1, \ldots, v_r]$  denotes the *r*-simplex of |K| with the indicated orientation.

We frequently make use of the standard *n*-simplex  $\Delta_n$  in  $\mathbb{R}^n$  spanned by the origin o and standard unit vectors. Since the boundary  $\partial \Delta_n = (\Delta_n)_{n-1}$  is homeomorphic to  $S^{n-1}$ , we fix a based homeomorphism  $\partial \Delta_n \cong S^{n-1}$  that allows us to represent elements of  $\pi_n(X, x_0)$  by maps  $(\partial \Delta_{n+1}, o) \to (X, x_0)$ .

**2.2.** The Čech expansion and shape homotopy groups. We now recall the construction of the first shape homotopy group  $\check{\pi}_1(X, x_0)$  via the Čech expansion. For more details; see [Mardešić and Segal 1982].

Let  $\mathcal{O}(X)$  be the set of open covers of X directed by refinement; we write  $\mathscr{V} \succeq \mathscr{U}$  when  $\mathscr{V}$  refines  $\mathscr{U}$ . Similarly, let  $\mathcal{O}(X, x_0)$  be the set of open covers with a distinguished element containing  $x_0$ , i.e., the set of pairs  $(\mathscr{U}, U_0)$  where  $\mathscr{U} \in \mathcal{O}(X)$ ,  $U_0 \in \mathscr{U}$ , and  $x_0 \in U_0$ . We say  $(\mathscr{V}, V_0)$  refines  $(\mathscr{U}, U_0)$  if  $\mathscr{V} \succeq \mathscr{U}$  and  $V_0 \subseteq U_0$ .

The nerve of a cover  $(\mathcal{U}, U_0) \in \mathcal{O}(X, x_0)$  is the abstract simplicial complex  $N(\mathcal{U})$  whose vertex set is  $N(\mathcal{U})_0 = \mathcal{U}$  and vertices  $A_0, \ldots, A_n \in \mathcal{U}$  span an n-simplex if  $\bigcap_{i=0}^n A_i \neq \emptyset$ . The vertex  $U_0$  is taken to be the basepoint of the geometric realization  $|N(\mathcal{U})|$ . Whenever  $(\mathcal{V}, V_0)$  refines  $(\mathcal{U}, U_0)$ , we can construct a simplicial map  $p_{\mathcal{U}\mathcal{V}} : N(\mathcal{V}) \to N(\mathcal{U})$ , called a *projection*, by sending a vertex  $V \in N(\mathcal{V})$  to a vertex  $U \in \mathcal{U}$  such that  $V \subseteq U$ . In particular, we make a convention that  $p_{\mathcal{U}\mathcal{V}}(V_0) = U_0$ . Any such assignment of vertices extends linearly to a simplicial map. Moreover, the induced map  $|p_{\mathcal{U}\mathcal{V}}| : |N(\mathcal{V})| \to |N(\mathcal{U})|$  is unique up to based homotopy. Thus the homomorphism  $p_{\mathcal{U}\mathcal{V}\#} : \pi_1(|N(\mathcal{V})|, V_0) \to \pi_1(|N(\mathcal{U})|, U_0)$  induced on fundamental groups is (up to coherent isomorphism) independent of the choice of simplicial map.

Recall that an open cover  $\mathscr{U}$  of X is normal if it admits a partition of unity subordinated to  $\mathscr{U}$ . Let  $\Lambda$  be the subset of  $\mathcal{O}(X, x_0)$  (also directed by refinement) consisting of pairs  $(\mathscr{U}, U_0)$  where  $\mathscr{U}$  is a normal open cover of X and such that there is a partition of unity  $\{\phi_U\}_{U \in \mathscr{U}}$  subordinated to  $\mathscr{U}$  with  $\phi_{U_0}(x_0) = 1$ . It is well-known that every open cover of a paracompact Hausdorff space X is normal. Moreover, if  $(\mathscr{U}, U_0) \in \mathcal{O}(X, x_0)$ , it is easy to refine  $(\mathscr{U}, U_0)$  to a cover  $(\mathscr{V}, V_0)$ such that  $V_0$  is the only element of  $\mathscr{V}$  containing  $x_0$  and therefore  $(\mathscr{V}, V_0) \in \Lambda$ . Thus, for paracompact Hausdorff X,  $\Lambda$  is cofinal in  $\mathcal{O}(X, x_0)$ .

The *n*-th shape homotopy group is the inverse limit

$$\check{\pi}_n(X, x_0) = \varprojlim(\pi_n(|N(\mathscr{U})|, U_0), p_{\mathscr{U}} \mathscr{V}_{\#}, \Lambda).$$

This group is also referred to as the *n*-th Čech homotopy group.

Given an open cover  $(\mathscr{U}, U_0) \in \mathcal{O}(X, x_0)$ , a map  $p_{\mathscr{U}} : X \to |N(\mathscr{U})|$  is a (*based*) canonical map if  $p_{\mathscr{U}}^{-1}(\operatorname{st}(U, N(\mathscr{U}))) \subseteq U$  for each  $U \in \mathscr{U}$  and  $p_{\mathscr{U}}(x_0) = U_0$ . Such a canonical map is guaranteed to exist if  $(\mathscr{U}, U_0) \in \Lambda$ : find a locally finite partition of unity  $\{\phi_U\}_{U \in \mathscr{U}}$  subordinated to  $\mathscr{U}$  such that  $\phi_{U_0}(x_0) = 1$ . When  $U \in \mathscr{U}$  and  $x \in U$ , determine  $p_{\mathscr{U}}(x)$  by requiring its barycentric coordinate belonging to the vertex U of  $|N(\mathscr{U})|$  to be  $\phi_U(x)$ . According to this construction, the requirement  $\phi_{U_0}(x_0) = 1$  gives  $p_{\mathscr{U}}(x_0) = U_0$ .

A canonical map  $p_{\mathscr{U}}$  is unique up to based homotopy and whenever  $(\mathscr{V}, V_0)$  refines  $(\mathscr{U}, U_0)$ , the compositions  $p_{\mathscr{U}} \vee \circ p_{\mathscr{V}}$  and  $p_{\mathscr{U}}$  are homotopic as based maps. Hence, for  $n \geq 1$ , the homomorphisms

$$p_{\mathscr{U}^{\#}}: \pi_n(X, x_0) \to \pi_n(|N(\mathscr{U})|, U_0)$$

satisfy  $p_{\mathscr{U}\mathscr{V}\#} \circ p_{\mathscr{V}\#} = p_{\mathscr{U}\#}$ . These homomorphisms induce the following canonical homomorphism to the limit, which is natural in the continuous maps of based spaces:

$$\Psi_n: \pi_n(X, x_0) \to \check{\pi}_n(X, x_0)$$
 given by  $\Psi_n([f]) = ([p_{\mathscr{U}} \circ f]).$ 

The subgroup ker( $\Psi_n$ ), which we refer to as the *n*-th shape kernel is, in a rough sense, an algebraic measure of the *n*-dimensional homotopical information lost when approximating X by polyhedra. Since  $(p_{\mathscr{U}})$  forms an HPol-expansion of X [Mardešić and Segal 1982, Appendix 1, Sectin 3.2, Theorem 8], we have  $[f] \in \pi_n(X, x_0) \setminus \ker(\Psi_n)$  if and only if there exist a polyhedron K and a map  $p: (X, x_0) \to (K, k_0)$  such that  $p_{\#}([f]) \neq 0$  in  $\pi_n(K, k_0)$ . Of utmost importance is the situation when ker( $\Psi_n$ ) = 0. In this case,  $\pi_n(X, x_0)$  can be understood as a subgroup of  $\check{\pi}_n(X, x_0)$ , that is, the *n*-th shape group retains all the data in the *n*-th homotopy group of X. A space for which ker( $\Psi_n$ ) = 0 is said to be  $\pi_n$ -shape injective.

#### 3. Higher Spanier groups

To define higher Spanier groups as in [Bahredar et al. 2021], we briefly recall the action of the fundamental groupoid on the higher homotopy groups of a space. Fix a retraction  $R: S^n \times I \to S^n \times \{0\} \cup \{d_0\} \times I$ . Given a map  $f: (S^n, d_0) \to (X, y_0)$  and a path  $\alpha: I \to X$  with  $\alpha(0) = x_0$  and  $\alpha(1) = y_0$ , define  $F: S^n \times \{0\} \cup \{d_0\} \times I \to X$  so that g(x, 0) = f(x) and  $f(d_0, t) = \alpha(1 - t)$ . The *path-conjugate of* f by  $\alpha$  is the map  $\alpha * f: (S^n, d_0) \to (X, x_0)$  given by  $\alpha * f(x) = F(R(x, 1))$ .

Path-conjugation defines the basepoint-change isomorphism  $\varphi_{\alpha} : \pi_n(X, y_0) \rightarrow \pi_n(X, x_0), \varphi_{\alpha}([f]) = [\alpha * f]$ . In particular,  $[\alpha * f][\alpha * g] = [\alpha * (f \cdot g)]$ . Additionally, if  $[\alpha] = [\beta]$ , which we write to mean that the paths  $\alpha$  and  $\beta$  are homotopic relative to their endpoints, then  $[\alpha * f] = [\beta * f]$ . Note that when  $n = 1, f : S^1 \rightarrow X$  is a loop and  $\alpha * f \simeq \alpha \cdot f \cdot \alpha^-$ .

**Definition 3.1.** Let  $n \ge 1$  and  $\alpha : (I, 0) \to (X, x_0)$  be a path and *U* be an open neighborhood of  $\alpha(1)$  in *X*. Define

$$[\alpha] * \pi_n(U) = \{ [\alpha * f] \in \pi_n(X, x_0) \mid f(S^n) \subseteq U, f(d_0) = \alpha(1) \}.$$

Since  $[\alpha * f][\alpha * g] = [\alpha * (f \cdot g)]$ , the set  $[\alpha] * \pi_n(U)$  is a subgroup of  $\pi_n(X, x_0)$ .

**Definition 3.2.** Let  $n \ge 1$ ,  $\mathscr{U}$  be an open cover of X, and  $x_0 \in X$ . The *n*-th Spanier group of  $(X, x_0)$  with respect to  $\mathscr{U}$  is the subgroup  $\pi_n^{Sp}(\mathscr{U}, x_0)$  of  $\pi_n(X, x_0)$  generated by the subgroups  $[\alpha] * \pi_n(U)$  for all pairs  $(\alpha, U)$  with  $\alpha(1) \in U$  and  $U \in \mathscr{U}$ . In short

$$\pi_n^{Sp}(\mathscr{U}, x_0) = \langle [\alpha] * \pi_n(U) \mid U \in \mathscr{U}, \alpha(1) \in U \rangle.$$

The *n*-th Spanier group of  $(X, x_0)$  is the intersection

$$\pi_n^{Sp}(X, x_0) = \bigcap_{\mathscr{U} \in O(X)} \pi_n^{Sp}(\mathscr{U}, x_0).$$

We may refer to subgroups of the form  $\pi_n^{Sp}(\mathcal{U}, x_0)$  as *relative* Spanier groups and to  $\pi_n^{Sp}(X, x_0)$  as the *absolute* Spanier group.

**Remark 3.3.** We note that our definition of *n*-th Spanier group is the "unbased" definition from [Bahredar et al. 2021]; see also [Fischer et al. 2011] for more on "based" Spanier groups, which is defined using covers of X by *pointed* open sets. The two notions agree for locally path connected spaces. When n = 1, Spanier groups (absolute and relative to a cover) are normal subgroups of  $\pi_1(X, x_0)$ . In the case n = 1, Spanier groups have been studied heavily due to their relationship to covering space theory [Spanier 1966].

**Remark 3.4** (functorality). Let  $Top_*$  denote the category of based topological spaces and based continuous functions and Grp and Ab denote the usual categories

of groups and abelian groups respectively. If  $f : (X, x_0) \to (Y, y_0)$  is a map and  $\mathscr{V}$  is an open cover of Y, then  $\mathscr{U} = \{f^{-1}(V) \mid V \in \mathscr{V}\}$  is an open cover of X such that  $f_{\#}(\pi_n(\mathscr{U}, x_0)) \subseteq \pi_n(\mathscr{V}, y_0)$ . It follows that  $f_{\#}(\pi_n^{Sp}(X, x_0)) \subseteq$  $\pi_n^{Sp}(Y, y_0)$ . Thus  $(f_{\#})|_{\pi_n^{Sp}(X, x_0)} : \pi_n^{Sp}(X, x_0) \to \pi_n^{Sp}(Y, y_0)$  is well-defined showing that  $\pi_1^{Sp}$  : Top<sub>\*</sub>  $\to$  Grp and  $\pi_n^{Sp}$  : Top<sub>\*</sub>  $\to$  Ab,  $n \ge 2$ , are functors [Bahredar et al. 2021, Theorem 4.2]. Moreover, if  $g : (Y, y_0) \to (X, x_0)$  is a based homotopy inverse of f, then  $(f_{\#})|_{\pi_n^{Sp}(X, x_0)}$  and  $(g_{\#})|_{\pi_n^{Sp}(Y, y_0)}$  are inverse isomorphisms. Hence, these functors descend to functors hTop<sub>\*</sub>  $\to$  Grp and hTop<sub>\*</sub>  $\to$  Ab where hTop<sub>\*</sub> is the category of based spaces and basepoint-relative homotopy classes of based maps.

**Remark 3.5** (basepoint invariance). Suppose  $x_0, x_1 \in X$  and  $\beta : I \to X$  is a path from  $x_1$  to  $x_0$ , and  $\varphi_\beta : \pi_n(X, x_0) \to \pi_n(X, x_1), \varphi_\beta([g]) = [\beta * g]$  is the basepointchange isomorphism. If  $[\alpha * f]$  is a generator of  $\pi_n^{S_p}(\mathscr{U}, x_0)$ , then  $\varphi_\beta([\alpha * f]) = [(\beta \cdot \alpha) * f]$  is a generator of  $\pi_n^{S_p}(\mathscr{U}, x_1)$ . It follows that  $\varphi_\beta(\pi_n^{S_p}(\mathscr{U}, x_0)) = \pi_n^{S_p}(\mathscr{U}, x_1)$ . Moreover, in the absolute case, we have  $\varphi_\beta(\pi_n^{S_p}(X, x_0)) = \pi_n^{S_p}(X, x_1)$ . In particular, changing the basepoint of X does not change the isomorphism type of the *n*-th Spanier group, particularly its triviality.

In terms of our choice of generators, a generic element of  $\pi_n^{Sp}(\mathcal{U}, x_0)$  is a product  $\prod_{i=1}^m [\alpha_i * f_i]$  where each map  $f_i : S^n \to X$  has an image in some open set  $U_i \in \mathcal{U}$  (see Figure 1). The next lemma identifies how such products might actually appear in practice and motivates the proof of our key technical lemma, Lemma 5.1. Recall that  $(\mathrm{sd}^m \Delta_{n+1})_n$  is the union of the boundaries of the (n+1)-simplices in the *m*-th barycentric subdivision  $\mathrm{sd}^m \Delta_{n+1}$ .

**Lemma 3.6.** For  $m, n \in \mathbb{N}$ , let  $\mathscr{U}$  be an open cover of X and  $f : ((\mathrm{sd}^m \Delta_{n+1})_n, \mathbf{o}) \to (X, x_0)$  be a map such that for every (n + 1)-simplex  $\sigma$  of  $\mathrm{sd}^m \Delta_{n+1}$ , we have  $f(\partial \sigma) \subseteq U$  for some  $U \in \mathscr{U}$ . Then  $f_{\#}(\pi_n((\mathrm{sd}^m \Delta_{n+1})_n, \mathbf{o})) \subseteq \pi_n^{Sp}(\mathscr{U}, x_0)$ .

*Proof.* The case n = 1 is proved in [Brazas and Fabel 2014]. Suppose  $n \ge 2$ and set  $K = \operatorname{sd}^m \Delta_{n+1}$ . The set  $\mathscr{W} = \{f^{-1}(U) \mid U \in \mathscr{U}\}$  is an open cover of  $K_n = (\operatorname{sd}^m \Delta_{n+1})_n$  such that  $f_{\#}(\pi_n^{Sp}(\mathscr{W}, \boldsymbol{o})) \subseteq \pi_n^{Sp}(\mathscr{U}, x_0)$  and for every (n + 1)simplex  $\sigma$  in K, we have  $\partial \sigma \subseteq f^{-1}(U)$  for some  $U \in \mathscr{U}$ . Thus it suffices to prove  $\pi_n(K_n, \boldsymbol{o}) \subset \pi_n^{Sp}(\mathscr{W}, \boldsymbol{o})$ . Let S be the set of (n + 1)-simplices of K. Since  $n \ge 2$ ,  $K_n$  is simply connected. Standard simplicial homology arguments give that the reduced singular homology groups of  $K_n$  are trivial in dimension < n and  $H_n(K_n)$ is a finitely generated free abelian group. A set of free generators for  $H_n(K_n)$  can be chosen by fixing the homology class of a simplicial map  $g_\sigma: \partial \Delta_{n+1} \to K_n$  that sends  $\partial \Delta_{n+1}$  homeomorphically onto the boundary of an (n+1)-simplex  $\sigma \in S$ . Thus  $K_n$ is (n-1)-connected and the Hurewicz homomorphism  $h: \pi_k(K_n, \boldsymbol{o}) \to H_k(K_n)$ is an isomorphism for all  $1 \le k \le n$ . In particular, let  $p_\sigma: I \to K_n$  be any path from  $\boldsymbol{o}$  to  $g_\sigma(\boldsymbol{o})$ . Then  $\pi_n(K_n, \boldsymbol{o})$  is freely generated by the path-conjugates  $[p_\sigma * g_\sigma], \sigma \in S$ . By assumption, for every  $\sigma \in S$ ,  $[p_\sigma * g_\sigma]$  is a generator of



**Figure 1.** An element of  $\pi_2^{Sp}(\mathcal{U}, x_0)$ , which is a product of three path-conjugate generators  $[\alpha_i * f_i]$ .

 $\pi_n^{Sp}(\mathcal{W}, \boldsymbol{o})$ . Since  $\pi_n^{Sp}(\mathcal{W}, \boldsymbol{o})$  contains all the generators of  $\pi_n(K_n, \boldsymbol{o})$ , the inclusion  $\pi_n(K_n, \boldsymbol{o}) \subset \pi_n^{Sp}(\mathcal{W}, \boldsymbol{o})$  follows.

To characterize the triviality of relative Spanier groups, we establish the following terminology.

**Definition 3.7.** Let  $n \ge 0$  and  $x \in X$ . We say the space X is:

- (1) Semilocally  $\pi_n$ -trivial at x if there exists an open neighborhood U of x in X such that every map  $S^n \to U$  is null-homotopic in X.
- (2) Semilocally *n*-connected at x if there exists an open neighborhood U of x in X such that every map  $S^k \to X$ ,  $0 \le k \le n$  is null-homotopic in X.

We say X is *semilocally*  $\pi_n$ *-trivial* (resp. semilocally *n*-connected) if it has this property at all of its points.

It is straightforward to see that X is semilocally *n*-connected at x if and only if X is semilocally  $\pi_k$ -trivial at x for all  $0 \le k \le n$ .

**Remark 3.8.** A space X is semilocally  $\pi_n$ -trivial if and only if X admits an open cover  $\mathscr{U}$  such that  $\pi_n^{Sp}(\mathscr{U}, x_0)$  is trivial [Bahredar et al. 2021, Theorem 3.7]. Moreover, X is semilocally *n*-connected if and only if X admits an open cover  $\mathscr{U}$  such that  $\pi_k^{Sp}(\mathscr{U}, x_0)$  is trivial for all  $1 \le k \le n$ . Note that local path connectivity is independent of the properties given in Definition 3.7.

Attempting a proof of Theorem 1.1, one should not expect the groups  $\pi_n^{Sp}(\mathcal{U}, x_0)$  and ker $(p_{\mathcal{U}\#})$  to agree "on the nose." Indeed, the following example shows that we should not expect the equality  $\pi_n^{Sp}(\mathcal{U}, x_0) = \text{ker}(p_{\mathcal{U}\#})$  to hold even in the "nicest" local circumstances.

**Example 3.9.** Let  $X = S^2 \vee S^2$  and W be a contractible neighborhood of  $d_0$  in  $S^2$ . Set  $U_1 = S^2 \vee W$  and  $U_2 = W \vee S^2$  and consider the open cover  $\mathscr{U} = \{U_1, U_2\}$  of X. Then  $\pi_3^{Sp}(\mathscr{U}, x_0) \cong \mathbb{Z}^2$  is freely generated by the homotopy classes of the two inclusions  $i_1, i_2 : S^2 \to X$ . However,  $\pi_3(X) \cong \mathbb{Z}^3$  is freely generated by  $[i_1]$ ,  $[i_2]$ , and the Whitehead product  $[[i_1, i_2]]$ . However  $|N(\mathscr{U})|$  is a 1-simplex and is therefore contractible. Thus ker $(p_{\mathscr{U}\#})$  is equal to  $\pi_3(X)$  and contains  $[[i_1, i_2]]$ . Even though the spaces  $X, U_1, U_2$  are locally contractible and the elements of  $\mathscr{U}$  are 1-connected,  $\pi_n^{Sp}(\mathscr{U}, x_0)$  is a proper subgroup of ker $(p_{\mathscr{U}\#})$ . One can view this failure as the result of two facts: (1) The sets  $U_i$  are not 2-connected and (2) the definition of Spanier group does not allow one to generate homotopy classes by taking Whitehead products of maps  $S^2 \to U_i$  in the neighboring elements of  $\mathscr{U}$ .

First, we show the inclusion  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$  holds in full generality. Recall that the intersections  $\pi_n^{Sp}(X, x_0) = \bigcap_{\mathscr{U} \in O(X)} \pi_n^{Sp}(\mathscr{U}, x_0)$  and  $\ker(\Psi_n) = \bigcap_{(\mathscr{U}, U_0) \in \Lambda} \ker(p_{\mathscr{U}})$  are formally indexed by different sets.

**Lemma 3.10.** For every open cover  $\mathscr{U}$  of X and canonical map  $p_{\mathscr{U}} : X \to |N(\mathscr{U})|$ , there exists a refinement  $\mathscr{V} \succeq \mathscr{U}$  such that  $\pi_n^{Sp}(\mathscr{V}, x_0) \subseteq \ker(p_{\mathscr{U}\#})$  in  $\pi_n(X, x_0)$ .

*Proof.* Let  $\mathscr{U} \in O(X)$ . The stars  $\operatorname{st}(U, |N(\mathscr{U})|), U \in \mathscr{U}$  form an open cover of  $|N(\mathscr{U})|$  by contractible sets and therefore  $\mathscr{V} = \{p_{\mathscr{U}}^{-1}(\operatorname{st}(U, |N(\mathscr{U})|)) \mid U \in \mathscr{U}\}$  is an open cover of X. Since  $p_{\mathscr{U}}$  is a canonical map, we have  $p_{\mathscr{U}}^{-1}(\operatorname{st}(U, |N(\mathscr{U})|)) \subseteq U$  for all  $U \in \mathscr{U}$ . Thus  $\mathscr{V}$  is a refinement of  $\mathscr{U}$ . A generator of  $\pi_n^{Sp}(\mathscr{V}, x_0)$  is of the form  $[\alpha * f]$  for a map  $f : S^n \to p_{\mathscr{U}}^{-1}(\operatorname{st}(U, |N(\mathscr{U})|))$ . However,  $p_{\mathscr{U}} \circ f$  has image in the contractible open set  $\operatorname{st}(U, |N(\mathscr{U})|)$  and is therefore null-homotopic. Thus  $p_{\mathscr{U}}\#([\alpha * f]) = 0$ . We conclude that  $p_{\mathscr{U}}\#(\pi_n^{Sp}(\mathscr{V}, x_0)) = 0$ .

**Corollary 3.11** [Bahredar et al. 2021, Theorem 4.14]. Let  $n \ge 1$ . For any based space  $(X, x_0)$ , we have  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$ .

*Proof.* Suppose  $[f] \in \pi_n^{Sp}(X, x_0)$ . Given a normal, based open cover  $(\mathcal{U}, U_0) \in \Lambda$  and any canonical map  $p_{\mathcal{U}} : X \to |N(\mathcal{U})|$ , Lemma 3.10 ensures we can find a refinement  $\mathcal{V} \succeq \mathcal{U}$  such that  $\pi_n^{Sp}(\mathcal{V}, x_0) \subseteq \ker(p_{\mathcal{U}\#})$ . Thus  $[f] \in \pi_n^{Sp}(\mathcal{V}, x_0) \subseteq \ker(p_{\mathcal{U}\#})$ . Since  $(\mathcal{U}, U_0)$  is arbitrary, we conclude that  $[f] \in \ker(\Psi_n)$ .

**Example 3.12** (higher earring spaces). An important space, which we will call upon repeatedly for examples, is the *n*-dimensional earring space

$$\mathbb{E}_n = \bigcup_{j \in \mathbb{N}} \{ \mathbf{x} \in \mathbb{R}^{n+1} \mid \| \mathbf{x} - (1/j, 0, 0, \dots, 0) \| = 1/j \},\$$

which is a shrinking wedge (one-point union) of *n*-spheres with basepoint the origin  $\boldsymbol{o}$ . It is known that  $\mathbb{E}_n$  is (n-1)-connected, locally (n-1)-connected, and  $\pi_n$ -shape injective for all  $n \ge 1$  [Eda and Kawamura 2000a; Morgan and Morrison 1986]. However,  $\mathbb{E}_n$  is not semilocally  $\pi_n$ -trivial. Thus  $\pi_n^{Sp}(\mathcal{U}, \boldsymbol{o}) \ne 0$  for any open cover  $\mathcal{U}$  of  $\mathbb{E}_n$  even though in the absolute case  $\pi_n^{Sp}(\mathbb{E}_n, \boldsymbol{o})$  is trivial.

**Example 3.13.** Let  $n \ge 3$  and notice that  $\mathbb{E}_1 \vee \mathbb{E}_n$  is not semilocally  $\pi_1$ -trivial (since it has  $\mathbb{E}_1$  as a retract) and therefore fails to be semilocally (n-1)-connected. However, it has recently been shown that  $\pi_k(\mathbb{E}_1 \vee \mathbb{E}_n) = 0$  for  $2 \le k \le n-1$  and that  $\mathbb{E}_1 \vee \mathbb{E}_n$  is  $\pi_n$ -shape injective [Brazas 2021]. Thus  $\mathbb{E}_1 \vee \mathbb{E}_n$  is semilocally  $\pi_k$ -trivial for all  $k \le n-1$  except k = 1 and  $\pi_n^{Sp}(\mathbb{E}_1 \vee \mathbb{E}_n, \mathbf{0}) = 0$ . Thus the failure to be semilocally *n*-connected can occur at single dimension less than *n*.

#### 4. Recursive extension lemmas

Toward a proof of the inclusion ker $(\Psi_n) \subseteq \pi_n^{Sp}(X, x_0)$  for  $LC^{n-1}$  space X, we introduce some convenient notation and definitions. If  $\mathscr{U}$  is an open cover and  $A \subseteq X$ , then St $(A, \mathscr{U}) = \bigcup \{ U \in \mathscr{U} \mid A \cap U \neq \varnothing \}$ . Note that if  $A \subseteq B$ , then St $(A, \mathscr{U}) \subseteq$  St $(B, \mathscr{U})$ . Also if  $\mathscr{V} \succeq \mathscr{U}$ , then St $(A, \mathscr{V}) \subseteq$  St $(A, \mathscr{U})$ . We take the following terminology from [Willard 1970].

#### **Definition 4.1.** Let $\mathscr{U}, \mathscr{V} \in O(X)$ :

- (1) We say  $\mathscr{V}$  is a *barycentric-star refinement* of  $\mathscr{U}$  if for every  $x \in X$ , we have  $St(x, \mathscr{V}) \subseteq U$  for some  $U \in \mathscr{U}$ . We write  $\mathscr{V} \succeq_* \mathscr{U}$ .
- (2) We say  $\mathscr{V}$  is a *star refinement* of  $\mathscr{U}$  if for every  $V \in \mathscr{V}$ , we have  $St(V, \mathscr{V}) \subseteq U$  for some  $U \in \mathscr{U}$ . We write  $\mathscr{V} \succeq_{**} \mathscr{U}$ .

Note that if  $\mathscr{U} \leq_* \mathscr{V} \leq_* \mathscr{W}$ , then  $\mathscr{U} \leq_{**} \mathscr{W}$ .

**Lemma 4.2** [Stone 1948]. A  $T_1$  space X is paracompact if and only if for every  $\mathscr{U} \in O(X)$  there exists  $\mathscr{V} \in O(X)$  such that  $\mathscr{V} \succeq_* \mathscr{U}$ .

**Definition 4.3.** [Mardešić and Segal 1982, Chapter I, Section 3.2.5] Let  $n \in \{0, 1, 2, 3, ..., \infty\}$ . A space X is  $LC^n$  at  $x \in X$  if for every neighborhood U of x, there exists a neighborhood V of x such that  $V \subseteq U$  and such that for all  $0 \le k \le n$  ( $k < \infty$  if  $n = \infty$ ), every map  $f : \partial \Delta_{k+1} \to V$  extends to a map  $g : \Delta_{k+1} \to U$ . We say X is  $LC^n$  if X is  $LC^n$  at all of its points.

We have the following evident implications for both the point-wise and global properties:

X is locally *n*-connected  $\Rightarrow$  X is  $LC^n \Rightarrow$  X is semilocally*n*-connected.

For first countable spaces, the  $LC^n$  property is equivalent to the "*n*-tame" property in [Brazas 2021] defined in terms of shrinking sequences of maps.

#### **Definition 4.4.** Suppose $\mathscr{V} \succeq \mathscr{U}$ in O(X):

- (1) We say  $\mathscr{V}$  is an *n*-refinement of  $\mathscr{U}$ , and write  $\mathscr{V} \succeq^n \mathscr{U}$ , if for all  $0 \le k \le n$ ,  $V \in \mathscr{V}$ , and maps  $f : \partial \Delta_{k+1} \to V$ , there exists  $U \in \mathscr{U}$  with  $V \subseteq U$  and a continuous extension  $g : \Delta_{k+1} \to U$  of f.
- (2) We say  $\mathscr{V}$  is an *n*-barycentric-star refinement of  $\mathscr{U}$ , and write  $\mathscr{V} \succeq_*^n \mathscr{U}$ , if for every  $0 \le k \le n$ , for every  $x \in X$ , and every map  $f : \partial \Delta_{k+1} \to \operatorname{St}(x, \mathscr{V})$ , there exists  $U \in \mathscr{U}$  with  $\operatorname{St}(x, \mathscr{V}) \subseteq U$  and a continuous extension  $g : \Delta_{k+1} \to U$ of f.

Note that if  $\mathscr{V} \succeq^{n} \mathscr{U}$  (resp.  $\mathscr{V} \succeq^{n}_{*} \mathscr{U}$ ), then  $\mathscr{V} \succeq^{k} \mathscr{U}$  (resp.  $\mathscr{V} \succeq^{k}_{*} \mathscr{U}$ ) for all  $0 \le k \le n$ .

**Lemma 4.5.** Suppose X is paracompact, Hausdorff, and  $LC^n$ . For every  $\mathscr{U} \in O(X)$ , there exists  $\mathscr{V} \in O(X)$  such that  $\mathscr{V} \succeq_*^n \mathscr{U}$ .

*Proof.* Let  $\mathscr{U} \in O(X)$ . Since X is  $LC^n$ , for every  $U \in \mathscr{U}$  and  $x \in U$ , there exists an open neighborhood W(U, x) such that  $W(U, x) \subseteq U$  and such that for all  $0 \leq k \leq n$ , each map  $f : \partial \Delta_{k+1} \to W(U, x)$  extends to a map  $g : \Delta_{k+1} \to U$ . Let  $\mathscr{W} = \{W(U, x) \mid U \in \mathscr{U}, x \in U\}$  and note  $\mathscr{W} \succeq^n \mathscr{U}$ . Since X is paracompact Hausdorff, by Lemma 4.2, there exists  $\mathscr{V} \in O(X)$  such that  $\mathscr{V} \succeq_* \mathscr{W}$ .

Fix  $x' \in X$ . Then  $St(x', \mathscr{V}) \subseteq W(U, x)$  for some  $x \in U \in \mathscr{U}$ . Then  $St(x', \mathscr{V}) \subseteq U$ . Moreover, if  $0 \le k \le n$  and  $f : \partial \Delta_{k+1} \to St(x', \mathscr{V})$  is a map, then since f has image in W(U, x), there is an extension  $g : \Delta_{k+1} \to U$ . This verifies that  $\mathscr{V} \succeq_*^n \mathscr{U}$ .  $\Box$ 

For the next two lemmas, we fix  $n \in \mathbb{N}$ , a geometric simplicial complex K with dim K = n + 1, and a subcomplex  $L \subseteq K$  with dim  $L \leq n$ . Let  $M[k] = L \cup K_k$  denote the union of L and the k-skeleton of K. Since  $L \subseteq K_n$ ,  $M[n] = K_n$  is the union of the boundaries of the (n + 1)-simplices of K. Later we will consider the cases where (1)  $K = \operatorname{sd}^m \Delta_{n+1}$  and  $L = \operatorname{sd}^m \partial \Delta_{n+1}$  and (2)  $K = \operatorname{sd}^m \partial \Delta_{n+2}$  and  $L = \{o\}$ .

**Lemma 4.6** (recursive extensions). Suppose  $1 \le k \le n$ ,  $\mathscr{U} \le_* \mathscr{V} \le_*^{k-1} \mathscr{W}$ ,  $m \in \mathbb{N}$ , and  $f: M[k-1] \to X$  is a map such that for every (n+1)-simplex  $\sigma$  of K, we have  $f(\sigma \cap M[k-1]) \subseteq W_{\sigma}$  for some  $W_{\sigma} \in \mathscr{W}$ . Then there exists a continuous extension  $g: M[k] \to X$  of f such that for every (n+1)-simplex  $\sigma$  of K, we have  $g(\sigma \cap M[k]) \subseteq U_{\sigma}$  for some  $U_{\sigma} \in \mathscr{U}$ .

*Proof.* Supposing the hypothesis, we must extend f to the k-simplices of M[k] that do not lie in L. Let  $\tau$  be a k-simplex of M[k] that does not lie in L and let  $S_{\tau}$  be the set of (n + 1)-simplices in K that contain  $\tau$ . By assumption,  $S_{\tau}$  is nonempty. We make some general observations first. Since f maps the (k - 1)-skeleton of each (n + 1)-simplex  $\sigma \in S_{\tau}$  into  $W_{\sigma}$  and  $\partial \tau$  lies in this (k - 1)-skeleton, we have

 $f(\partial \tau) \subseteq \bigcap_{\sigma \in S_{\tau}} W_{\sigma}$ . Thus, for all  $\tau$ , we have

$$f(\partial \tau) \subseteq \bigcap_{\sigma \in S_{\tau}} \operatorname{St}(W_{\sigma}, \mathscr{V}).$$

Fix a vertex  $v_{\tau}$  of  $\tau$  and let  $x_{\tau} = f(v_{\tau})$ . Then  $x_{\tau} \in W_{\sigma} \subseteq \operatorname{St}(x_{\tau}, \mathscr{W})$  whenever  $\sigma \in S_{\tau}$ . Since  $\mathscr{W} \succeq_*^{k-1} \mathscr{V}$ , we may find  $V_{\tau} \in \mathscr{V}$  such that  $\operatorname{St}(x_{\tau}, \mathscr{W}) \subseteq V_{\tau}$  and such that every map  $\partial \Delta_k \to \operatorname{St}(x_{\tau}, \mathscr{W})$  extends to a map  $\Delta_k \to V_{\tau}$ . In particular,  $f|_{\partial \tau} : \partial \tau \to W_{\sigma}$  extends to a map  $\tau \to V_{\tau}$ . We define  $g : M[k] \to X$  so that it agrees with f on M[k-1] and so that the restriction of g to  $\tau$  is a choice of continuous extension  $\tau \to V_{\tau}$  of  $f|_{\partial \tau}$ .

We now choose the sets  $U_{\sigma}$ . Fix an (n + 1)-simplex  $\sigma$  of K. If the k-skeleton of  $\sigma$  lies entirely in L, we choose any  $U_{\sigma} \in \mathcal{U}$  satisfying  $W_{\sigma} \subseteq U_{\sigma}$ . Suppose there exists at least one k-simplex in  $\sigma$  not in L. Then whenever  $\tau$  is a k-simplex of  $\sigma$  not in L, we have  $W_{\sigma} \subseteq \operatorname{St}(x_{\tau}, \mathcal{W}) \subseteq V_{\tau}$ . Fix a point  $y_{\sigma} \in W_{\sigma}$ . The assumption that  $\mathcal{V} \succeq_* \mathcal{U}$  implies that there exists  $U_{\sigma} \in \mathcal{U}$  such that  $\operatorname{St}(y_{\sigma}, \mathcal{V}) \subseteq U_{\sigma}$ . In this case, we have  $W_{\sigma} \subseteq V_{\tau} \subseteq U_{\sigma}$  whenever  $\tau$  is a k-simplex of  $\sigma$  not in L.

Finally, we check that g satisfies the desired property. Again, fix an (n + 1)simplex  $\sigma$  of K. If  $\tau$  is a k-simplex of  $\sigma$  not in L, our definition of g gives  $g(\tau) \subseteq V_{\tau} \subseteq U_{\sigma}$ . If  $\tau'$  is a k-simplex in  $\sigma \cap L$ , then  $g(\tau') = f(\tau') \subseteq W_{\sigma} \subseteq U_{\sigma}$ .
Overall, this shows that  $g(\sigma \cap M[k]) \subseteq U_{\sigma}$  for each (n + 1)-simplex  $\sigma$  of K.

A direct, recursive application of the previous lemma is given in the following statement.

#### Lemma 4.7. Suppose there is a sequence of open covers

$$\mathscr{W}_n \preceq_* \mathscr{V}_n \preceq^{n-1}_* \mathscr{W}_{n-1} \preceq_* \cdots \preceq^2_* \mathscr{W}_2 \preceq_* \mathscr{V}_2 \preceq^1_* \mathscr{W}_1 \preceq_* \mathscr{V}_1 \preceq^0_* \mathscr{W}_0$$

and a map  $f_0: M[0] \to X$  such that for every (n + 1)-simplex  $\sigma$  of K, we have  $f_0(\sigma \cap M[0]) \subseteq W$  for some  $W \in \mathcal{W}_0$ . Then there exists an extension  $f_n: M[n] \to X$  of  $f_0$  such that for every (n + 1)-simplex  $\sigma$  of K, we have  $f_n(\partial \sigma) \subseteq U$  for some  $U \in \mathcal{W}_n$ .

#### 5. A proof of Theorem 1.1

We apply the extension results of the previous section in the case where  $K = \operatorname{sd}^m \Delta_{n+1}$  for some  $m \in \mathbb{N}$  and  $L = \operatorname{sd}^m \partial \Delta_{n+1}$  so that  $M[k] = L \cup K_k$  consists of the *n*-simplices of the boundary of  $\Delta_{n+1}$  and the *k*-simplices of  $\operatorname{sd}^m \Delta_{n+1}$  not in the boundary. Note that M[n] is the union of the boundaries of the (n + 1)-simplices of  $\operatorname{sd}^m \Delta_{n+1}$ .

**Lemma 5.1.** Let  $n \ge 1$ . Suppose X is paracompact, Hausdorff, and  $LC^{n-1}$ . Then for every open cover  $\mathscr{U}$  of X, there exists  $(\mathscr{V}, V_0) \in \Lambda$  such that  $\ker(p_{\mathscr{V}\#}) \subseteq \pi_n^{Sp}(\mathscr{U}, x_0)$ . *Proof.* Suppose  $\mathcal{U} \in O(X)$ . Since X is paracompact, Hausdorff, and  $LC^{n-1}$ , we may apply Lemmas 4.2 and 4.5 to first find a sequence of refinements

$$\mathscr{U} = \mathscr{U}_n \preceq_* \mathscr{V}_n \preceq^{n-1}_* \mathscr{U}_{n-1} \preceq_* \cdots \preceq^2_* \mathscr{U}_2 \preceq_* \mathscr{V}_2 \preceq^1_* \mathscr{U}_1 \preceq_* \mathscr{V}_1 \preceq^0_* \mathscr{U}_0$$

and then one last refinement  $\mathscr{U}_0 \leq_* \mathscr{V}_0 = \mathscr{V}$ . Let  $V_0 \in \mathscr{V}$  be any set containing  $x_0$  and recall that since X is paracompact Hausdorff  $(\mathscr{V}, V_0) \in \Lambda$ . We will show that  $\ker(p_{\mathscr{V}\#}) \subseteq \pi_n^{Sp}(\mathscr{U}, x_0)$ . Note that  $p_{\mathscr{V}}^{-1}(\operatorname{st}(V, N(\mathscr{V}))) \subseteq V$  by the definition of canonical map  $p_{\mathscr{V}}$ .

Suppose  $[f] \in \ker(p_{\mathscr{V}\#})$  is represented by a map  $f : (|\partial \Delta_{n+1}|, o) \to (X, x_0)$ . We will show that  $[f] \in \pi_n^{Sp}(\mathscr{U}, x_0)$ . Then  $p_{\mathscr{V}} \circ f : |\partial \Delta_{n+1}| \to |N(\mathscr{V})|$  is null-homotopic and extends to a map  $h : |\Delta_{n+1}| \to |N(\mathscr{V})|$ . Set  $Y_V = h^{-1}(\operatorname{st}(V, N(\mathscr{V})))$  so that  $\mathscr{Y} = \{Y_V \mid V \in \mathscr{V}\}$  is an open cover of  $|\Delta_{n+1}|$ .

We find a particular simplicial approximation for h using the cover  $\mathscr{Y}$  [Munkres 1984, Theorem 16.1]: let  $\lambda$  be a Lebesgue number for  $\mathscr{Y}$  so that any subset of  $\Delta_{n+1}$  of diameter less than  $\lambda$  lies in some element of  $\mathscr{Y}$ . Find  $m \in \mathbb{N}$  such that each simplex in sd<sup>m</sup>  $\Delta_{n+1}$  has diameter less than  $\lambda/2$ . Thus the star st $(a, \operatorname{sd}^m \Delta_{n+1})$  of each vertex a in sd<sup>m</sup>  $\Delta_{n+1}$  lies in a set  $Y_{V_a} \in \mathscr{Y}$  for some  $V_a \in \mathscr{V}$ . The assignment  $a \mapsto V_a$  on vertices extends to a simplicial approximation  $h' : \operatorname{sd}^m \Delta_{n+1} \to N(\mathscr{V})$  of h, i.e., a simplicial map h' such that

$$h(\operatorname{st}(a, \operatorname{sd}^m \Delta_{n+1})) \subseteq \operatorname{st}(h'(a), N(\mathscr{V})) = \operatorname{st}(V_a, N(\mathscr{V}))$$

for each vertex *a* [Munkres 1984, Lemma 14.1].

Let  $K = \operatorname{sd}^m \Delta_{n+1}$  and  $L = \operatorname{sd}^m \partial \Delta_{n+1}$  so that  $M[k] = L \cup K_k$ . First, we extend  $f: L \to X$  to a map  $f_0: M[0] \to X$ . For each vertex a in K, pick a point  $f_0(a) \in V_a$ . In particular, if  $a \in L$ , take  $f_0(a) = f(a)$ . This choice is well defined since, for a boundary vertex  $a \in L$ , we have  $p_{\mathscr{V}} \circ f(a) = h(a) \in \operatorname{st}(V_a, |N(\mathscr{V})|)$  and thus  $f(a) \in p_{\mathscr{V}}^{-1}(\operatorname{st}(V_a, |N(\mathscr{V}|))) \subseteq V_a$ .

Note that h' maps every simplex  $\sigma = [a_0, a_1, \dots, a_k]$  of K to the simplex of  $N(\mathcal{V})$  spanned by  $\{h'(a_i) \mid 0 \le i \le k\} = \{V_{a_i} \mid 0 \le i \le k\}$ . By definition of the nerve, we have  $\bigcap \{V_{a_i} \mid 0 \le i \le k\} \ne \emptyset$ . Pick a point  $x_{\sigma} \in \bigcap \{V_{a_i} \mid 0 \le i \le k\}$ .

By our initial choice of refinements, we have  $\mathscr{U}_0 \leq_* \mathscr{V}$ . If  $\sigma = [a_0, a_1, \ldots, a_{n+1}]$ is an (n + 1)-simplex of K, then  $\operatorname{St}(x_{\sigma}, \mathscr{V}) \subseteq U_{\sigma}$  for some  $U_{\sigma} \in \mathscr{U}$ . In particular  $\{f_0(a_i) \mid 0 \leq i \leq n+1\} \subseteq \bigcup \{V_{a_i} \mid 0 \leq i \leq n+1\} \subseteq U_{\sigma}$ . Thus  $f_0$  maps the 0-skeleton of  $\sigma$  into  $U_{\sigma}$ . If  $1 \leq k \leq n, \tau$  is a k-face of  $\sigma \cap L$  with  $a_i \in \tau$ , then  $p_{\mathscr{V}} \circ f_0(\operatorname{int}(\tau)) = p_{\mathscr{V}} \circ f(\operatorname{int}(\tau)) = h(\operatorname{int}(\tau)) \subseteq h(\operatorname{st}(a_i, K)) \subseteq \operatorname{st}(V_{a_i}, |N(\mathscr{V})|)$ . It follows that

$$f_0(\tau) \subseteq p_{\mathscr{V}}^{-1}(\operatorname{st}(V_{a_i}, |N(\mathscr{V})|)) \subseteq V_{a_i} \subseteq U_{\sigma}.$$

Thus for every *n*-simplex in  $\sigma \cap L$ , we have  $f_0(\tau) \subseteq U_{\sigma}$ . We conclude that for every (n+1)-simplex  $\sigma$  of K, we have  $f_0(\sigma \cap M[0]) \subseteq U_{\sigma}$ .

By our choice of sequence of refinements, we are precisely in the situation to apply Lemma 4.7. Doing so, we obtain an extension  $f_n : M[n] \to X$  of f such that for every (n + 1)-simplex  $\sigma$  of K, we have  $f_n(\partial \sigma) \subseteq U_\sigma$  for some  $U_\sigma \in \mathscr{U}_n = \mathscr{U}$ . By Lemma 3.6, we have  $[f] = [f_n|_{\partial \Delta_{n+1}}] \in \pi_n^{Sp}(\mathscr{U}, x_0)$ .

Finally, both inclusions have been established and provide a proof of our main result.

*Proof of Theorem 1.1.* The inclusion  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$  holds in general by Corollary 3.11. Under the given hypotheses, the inclusion  $\ker(\Psi_n) \subseteq \pi_n^{Sp}(X, x_0)$  follows from Lemma 5.1.

When considering examples relevant to Theorem 1.1, it is helpful to compare  $\pi_n$ -shape injectivity with the following weaker property from [Ghane and Hamed 2009].

**Definition 5.2.** We say a space X is *n*-homotopically Hausdorff at  $x \in X$  if no nontrivial element of  $\pi_n(X, x)$  has a representing map in every neighborhood of x. We say X is *n*-homotopically Hausdorff if it is *n*-homotopically Hausdorff at each of its points.

Clearly,  $\pi_n$ -shape injectivity  $\Rightarrow n$ -homotopically Hausdorff. The next example, which highlights the effectiveness of Theorem 1.1, shows the converse is not true even for  $LC^{n-1}$  Peano continua.

**Example 5.3.** Fix  $n \ge 2$  and let  $\ell_j : S^n \to \mathbb{E}_n$  be the inclusion of the *j*-th sphere and define  $f : \mathbb{E}_n \to \mathbb{E}_n$  to be the shift map given by  $f \circ \ell_j = \ell_{j+1}$ . Let  $M_f = \mathbb{E}_n \times [0, 1]/\sim$ ,  $(x, 0) \sim (f(x), 1)$  be the mapping torus of f. We identify  $\mathbb{E}_n$  with the image of  $\mathbb{E}_n \times \{0\}$  in  $M_f$  and take o to be the basepoint of  $M_f$ . Let  $\alpha : I \to M_f$  be the loop where  $\alpha(t)$  is the image of (o, t). Then  $M_f$  is locally contractible at all points other than those in the image of  $\alpha$ . Also, every point  $\alpha(t)$  has a neighborhood that deformation retracts onto a homeomorphic copy of  $\mathbb{E}_n$ . Thus, since  $\mathbb{E}_n$  is  $LC^{n-1}$ , so is X. It follows from Theorem 1.1 that  $\pi_n^{Sp}(M_f, o) = \ker(\pi_n(M_f, o) \to \check{\pi}_n(M_f, o))$ . In particular, the Spanier group of  $M_f$  contains all elements  $[\alpha^k * g]$  where  $g : S^n \to \mathbb{E}_n$  is a based map and  $k \in \mathbb{Z}$ . Using the universal covering map  $E \to M_f$  that "unwinds"  $\alpha$  and the relation  $[g] = [\alpha * (f \circ g)]$  in  $\pi_n(M_f, o)$ , it is not hard to show that these are, in fact, the only elements of the *n*-th Spanier group. Hence,

$$\ker(\pi_n(M_f, \boldsymbol{o}) \to \check{\pi}_n(M_f, \boldsymbol{o})) = \{ [\alpha^k * g] \mid [g] \in \pi_n(\mathbb{E}_n, \boldsymbol{o}), k \in \mathbb{Z} \},\$$

which is an uncountable subgroup. Moreover, since  $M_f$  is shape equivalent to the aspherical space  $S^1$ , we have  $\check{\pi}_n(M_f, o) = 0$  and thus  $\pi_n(M_f, o) = \{[\alpha^k * g] | [g] \in \pi_n(\mathbb{E}_n, o), k \in \mathbb{Z}\}.$ 

It follows from this description that, even though  $M_f$  is not  $\pi_n$ -shape injective,  $M_f$  is *n*-homotopically Hausdorff. Indeed, it suffices to check this at the points  $\alpha(t), t \in I$ . We give the argument for  $\alpha(0) = o$ , the other points are similar. If  $0 \neq h \in \pi_n(M_f, o)$  has a representative in every neighborhood of o in  $M_f$ , then clearly  $h \in \ker(\Psi_n)$ . Hence,  $h = [\alpha^k * g]$  for  $[g] \in \pi_n(\mathbb{E}_n, o)$  and  $k \in \mathbb{Z}$ . Since  $M_f$ retracts onto the circle parametrized by  $\alpha$ , the hypothesis on h can only hold if k = 0. However, there is a basis of neighborhoods of o in  $M_f$  that deformation retract onto an open neighborhood of o in  $\mathbb{E}_n$ . Thus [g] has a representative in every neighborhood of o in  $\pi_n(\mathbb{E}_n, o)$ , giving  $h = [g] \in \ker(\pi_n(\mathbb{E}_n, o) \to \check{\pi}_n(\mathbb{E}_n, o)) = 0$ .

It is an important feature of Example 5.3 that  $M_f$  is not simply connected and has multiple points at which it is not semilocally  $\pi_n$ -trivial. This motivates the following application of Theorem 1.1, which identifies a partial converse of the implication  $\pi_n$ -shape injective  $\Rightarrow$  *n*-homotopically Hausdorff.

**Corollary 5.4.** Let  $n \ge 2$  and X be a simply connected,  $LC^{n-1}$ , compact Hausdorff space such that X fails to be semilocally  $\pi_n$ -trivial only at a single point  $x \in X$ . Then for every element  $g \in \ker(\Psi_n) \subseteq \pi_n(X, x)$  and neighborhood V of x, g is represented by a map with image in V. In particular, if X is n-homotopically Hausdorff at x, then X is  $\pi_n$ -shape injective.

*Proof.* Let  $0 \neq g \in \ker(\Psi_n) \subseteq \pi_n(X, x)$ . By Theorem 1.1,  $g \in \pi_n^{Sp}(X, x)$ . Since *X* is compact Hausdorff, we may replace O(X) by the cofinal subdirected order  $O_F(X)$  consisting of finite open covers  $\mathscr{U}$  of *X* with the property that there is a unique  $A_{\mathscr{U}} \in \mathscr{U}$  with  $x \in A_{\mathscr{U}}$ . For each  $\mathscr{U} \in O_F(X)$ , we can write  $g = \prod_{i=1}^{m_{\mathscr{U}}} [\alpha_{\mathscr{U},i} * f_{\mathscr{U},i}]$  where  $f_{\mathscr{U},i} : S^n \to U_{\mathscr{U},i}$  is a non-nullhomotopic map for some  $U_{\mathscr{U},i} \in \mathscr{U}$  and  $\alpha_{\mathscr{U},i}$  is a path from *x* to  $f_{\mathscr{U},i}(d_0)$ .

Let *V* be an open neighborhood of *x*. We check that *g* is represented by a map with image in *V*. Since *X* is  $LC^0$  at *x*, there exists an open neighborhood *V'* of *x* such that any two points of *V'* may be connected by a path in *V*. Fix  $\mathcal{U}_0 \in O_F(X)$  such that  $A_{\mathcal{U}_0} \subseteq V'$ . Then  $A_{\mathcal{V}} \subseteq V'$  whenever  $\mathcal{V} \in O_F(X)$  refines  $\mathcal{U}_0$ .

We claim that for sufficiently refined  $\mathscr{V}$ , all of the maps  $f_{\mathscr{V},i}$  have image in V'. Suppose, to obtain a contradiction, there is a subset  $T \subseteq \{\mathscr{V} \in O_F(X) \mid \mathscr{V} \succeq \mathscr{U}_0\}$ , which is cofinal in  $O_F(X)$  and such that for every  $\mathscr{V} \in T$  there exists  $i_{\mathscr{V}} \in \{1, 2, \ldots, m_{\mathscr{V}}\}$  and  $d_{\mathscr{V}} \in S^n$  such that  $f_{\mathscr{V},i_{\mathscr{V}}}(d_{\mathscr{V}}) \in U_{\mathscr{V},i} \setminus V' \subseteq U_{\mathscr{V},i} \setminus A_{\mathscr{U}_0}$ . Since X is compact, we may replace  $\{f_{\mathscr{V},i_{\mathscr{V}}}(d_{\mathscr{V}})\}$  with a subnet  $\{x_j\}_{j\in J}$  that converges to a point  $y \in X$ . Here,  $x_j = f_{\mathscr{V}_j,i_{\mathscr{V}_j}}(d_{\mathscr{V}_j})$  for some directed set J and monotone, final function  $J \to T$  given by  $j \mapsto \mathscr{V}_j$ . Let Y be an open neighborhood of y in X. Find  $\mathscr{W} \in O_F(X)$  such that there exists  $W_0 \in \mathscr{W}$  such that  $y \in W_0$  and  $St(W_0, \mathscr{W}) \subseteq Y$ . Since  $\{x_j\}$  is subnet that converges to y, there exists  $k \in J$  such that  $\mathscr{V}_k \succeq \mathscr{W}$  and  $x_k \in W_0$ . We have  $x_k \in Im(f_{\mathscr{V}_k, i_{\mathscr{V}_k}}) \subseteq U_{\mathscr{V}_k, i_{\mathscr{V}_k}} \subseteq W$  for some  $W \in \mathscr{W}$  and thus  $Im(f_{\mathscr{V}_k, i_{\mathscr{V}_k}}) \subseteq U_{\mathscr{V}_k, i_{\mathscr{V}_k}} \in St(W_0, \mathscr{W}) \subseteq Y$ . However, for every  $\mathscr{V} \in O_F(X)$ ,  $f_{\mathscr{V}, i_{\mathscr{V}}}$  not null-homotopic in X. Thus, since Y represents an arbitrary neighborhood of y, X is not semilocally  $\pi_n$ -trivial at y. By assumption, we must have x = y. Since  $\{x_j\} \to x$ , the same argument, but where Y is replaced by V', shows that there exists sufficiently refined  $\mathcal{V}$  for which  $\operatorname{Im}(f_{\mathcal{V},i_{\mathcal{V}}}) \subseteq V'$ ; a contradiction. Since the claim is proved, there exists  $\mathscr{U}_1 \succeq \mathscr{U}_0$  in  $O_F(X)$  such that whenever  $\mathcal{V} \succeq \mathscr{U}_1$ , we have  $\operatorname{Im}(f_{\mathcal{V},i}) \subseteq V'$  for all  $i \in \{1, 2, ..., m_{\mathcal{V}}\}$ .

Fix  $\mathscr{V} \succeq \mathscr{U}_1$  in  $O_F(X)$ . For all  $i \in \{1, 2, ..., m_{\mathscr{V}}\}$ , we may find a path  $\beta_{\mathscr{V},i} : I \to V$ from *x* to  $f_{\mathscr{V},i}(d_0)$ . Since *X* is simply connected, we have  $[\alpha_{\mathscr{V},i} * f_{\mathscr{U},i}] = [\beta_{\mathscr{V},i} * f_{\mathscr{U},i}]$ for all *i*. Thus *g* is represented by  $\prod_{i=1}^{m_{\mathscr{V}}} \beta_{\mathscr{V},i} * f_{\mathscr{V},i}$ , which has image in *V*.  $\Box$ 

**Remark 5.5** (topologies on homotopy groups). Given a group *G* and a collection of subgroups  $\{N_j \mid j \in J\}$  of *G* such that for all  $j, j' \in J$ , there exists  $k \in J$  such that  $N_k \subseteq N_j \cap N_{j'}$ , we can generate a topology on *G* by taking the set  $\{gN_j \mid j \in J, g \in G\}$  of left cosets as a basis. We can apply this to both the collection of Spanier subgroups  $\pi_n^{Sp}(\mathcal{U}, x_0)$  and the collection of kernels ker $(p_{\mathcal{U}\#})$  to define two natural topologies on  $\pi_n(X, x_0)$ :

- (1) The *Spanier topology* on  $\pi_n(X, x_0)$  is generated by the left cosets of Spanier groups  $\pi_n(\mathcal{U}, x_0)$  for  $\mathcal{U} \in O(X)$ .
- (2) The *shape topology* on  $\pi_n(X, x_0)$  is generated by left cosets of the kernels  $\ker(p_{\mathscr{U}\#})$  where  $(\mathscr{U}, U_0) \in \Lambda$ . Equivalently, the shape topology is the initial topology with respect to the map  $\Psi_n$  where the groups  $\pi_n(|N(\mathscr{U})|, U_0)$  are given the discrete topology and  $\check{\pi}_n(X, x_0)$  is given the inverse limit topology.

Lemma 3.10 ensures the Spanier topology is always finer than the shape topology. Lemma 5.1 then implies that, whenever X is paracompact, Hausdorff, and  $LC^{n-1}$ , the two topologies agree. Moreover,  $\pi_n(X, x_0)$  is Hausdorff in the shape topology if and only if X is  $\pi_n$ -shape injective.

#### 6. When is $\Psi_n$ an isomorphism?

It is a result of Kozlowski and Segal [1978] that if X is paracompact Hausdorff and  $LC^n$ , then  $\Psi_n : \pi_n(X, x) \to \check{\pi}_n(X, x)$  is an isomorphism. This result was first proved for compact metric spaces in [Kuperberg 1975]. The assumption that X is  $LC^n$  assumes that small maps  $S^n \to X$  may be contracted by small null-homotopies. However, if  $\mathbb{E}_n$  is the *n*-dimensional earring space, then the cone  $C\mathbb{E}_n$  is  $LC^{n-1}$ but not  $LC^n$ . However,  $C\mathbb{E}_n$  is contractible and so  $\Psi_n$  is an isomorphism of trivial groups. Certainly, many other examples in this range exist. Our Spanier groupbased approach allows us to generalize Kozlowski–Segal's theorem in a way that includes this example by removing the need for "small" homotopies in dimension *n*. In this section, when  $\mathscr{U}$  is an open cover of a space X and a distinguished element  $U_0 \in \mathscr{U}$  containing the basepoint  $x_0$  has been established or is clear from context, we will often write  $\mathscr{U}$  to represent the pair  $(\mathscr{U}, U_0) \in \Lambda$ .

**Lemma 6.1.** Let  $n \ge 1$ . Suppose that X is paracompact, Hausdorff, and  $LC^{n-1}$ . If  $([f_{\mathscr{U}}])_{\mathscr{U} \in \Lambda} \in \check{\pi}_1(X, x_0)$ , then for every  $\mathscr{U} \in \Lambda$ , there exists  $[g] \in \pi_n(X, x)$  such that  $(p_{\mathscr{U}})_{\#}([g]) = [f_{\mathscr{U}}]$ .

*Proof.* With  $(\mathcal{U}, U_0) \in \Lambda$  and  $p_{\mathcal{U}}$  fixed, consider a representing map

$$f_{\mathscr{U}}: (|\partial \Delta_{n+1}|, \boldsymbol{o}) \to (|N(\mathscr{U})|, U_0).$$

Let  $\mathscr{U}' = \{p_{\mathscr{U}}^{-1}(\operatorname{st}(U, |N(\mathscr{U})|)) \mid U \in \mathscr{U}\}$ . Since  $p_{\mathscr{U}}^{-1}(\operatorname{st}(U, |N(\mathscr{U})|)) \subseteq U$  for all  $U \in \mathscr{U}$ , we have  $\mathscr{U} \preceq \mathscr{U}'$ . Applying Lemmas 4.2 and 4.5 we can choose the following sequence of refinements of  $\mathscr{U}'$ . First, we choose a star refinement  $\mathscr{U}' \preceq_{**} \mathscr{W}$  so that for every  $W \in \mathscr{W}$ , there exists  $U' \in \mathscr{U}'$  such that  $\operatorname{St}(W, \mathscr{W}) \subseteq U'$ . In this case, we can choose the projection map  $p_{\mathscr{U}'\mathscr{W}} : |N(\mathscr{W})| \to |N(\mathscr{U}')|$  so that if  $p_{\mathscr{U}'\mathscr{W}}(W) = U'$  on vertices, then  $\operatorname{St}(W, \mathscr{W}) \subseteq U'$  in X. This choice will be important near the end of the proof.

To construct g, we must take further refinements. First, we choose a sequence of a refinements

$$\mathscr{W} = \mathscr{W}_n \preceq_* \mathscr{V}_n \preceq^{n-1}_* \mathscr{W}_{n-1} \preceq_* \cdots \preceq^2_* \mathscr{W}_2 \preceq_* \mathscr{V}_2 \preceq^1_* \mathscr{W}_1 \preceq_* \mathscr{V}_1 \preceq^0_* \mathscr{W}_0$$

followed by one last refinement  $\mathscr{W}_0 \leq_* \mathscr{V}_0 = \mathscr{V}$ . Let  $V_0 \in \mathscr{V}$  be any set containing  $x_0$  and recall that since X is paracompact Hausdorff  $(\mathscr{V}, V_0) \in \Lambda$ . For some choice of canonical map  $p_{\mathscr{V}}$ , we have  $p_{\mathscr{V}}^{-1}(\operatorname{st}(V, N(\mathscr{V}))) \subseteq V$  for all  $V \in \mathscr{V}$ .

Recall that we have assumed the existence of a map

$$f_{\mathscr{V}}: (\partial \Delta_{n+1}, \boldsymbol{o}) \to (|N(\mathscr{V})|, V_0)$$

such that  $p_{\mathscr{U}\mathscr{V}\#}([f_{\mathscr{V}}]) = [f_{\mathscr{U}}]$ . Set  $Y_V = f_{\mathscr{V}}^{-1}(\operatorname{st}(V, N(\mathscr{V})))$  so that  $\mathscr{Y} = \{Y_V \mid V \in \mathscr{V}\}$ is an open cover of  $\partial \Delta_{n+1}$ . As before, we find a simplicial approximation for  $f_{\mathscr{V}}$ . Find  $m \in \mathbb{N}$  such that the star  $\operatorname{st}(a, \operatorname{sd}^m \partial \Delta_{n+1})$  of each vertex a in  $\operatorname{sd}^m \partial \Delta_{n+1}$ lies in a set  $Y_{V_a} \in \mathscr{Y}$  for some  $V_a \in \mathscr{V}$ . Since  $f_{\mathscr{V}}(o) = V_0$ , we may take  $V_o = V_0$ . The assignment  $a \mapsto V_a$  on vertices extends to a simplicial approximation  $f': \operatorname{sd}^m \partial \Delta_{n+1} \to |N(\mathscr{V})|$  of  $f_{\mathscr{V}}$ , i.e., a simplicial map f' such that

$$f_{\mathscr{V}}(\operatorname{st}(a,\operatorname{sd}^{m}\partial\Delta_{n+1})) \subseteq \operatorname{st}(f'(a),|N(\mathscr{V})|) = \operatorname{st}(V_{a},|N(\mathscr{V})|)$$

for each vertex a.

We begin to define g with the constant map  $\{o\} \to X$  sending o to  $x_0$ . In preparation for applications of Lemma 4.6, set  $K = \operatorname{sd}^m \partial \Delta_{n+1}$  and  $L = \{o\}$  so that  $K[k] = K_k$ . First, we define a map  $g_0 : M[0] \to X$  by picking, for each vertex  $a \in K_0$ , a point  $g_0(a) \in V_a$ . In particular, set  $g_0(o) = x_0$ . This choice is well defined since we have  $p_{\mathscr{V}}(x_0) = V_0 \in \operatorname{st}(V_o, N(\mathscr{V}))$  and thus  $g_0(o) = x_0 \in$  $p_{\mathscr{V}}^{-1}(\operatorname{st}(V_o, N(\mathscr{V}))) \subseteq V_o$ . Note that f' maps every simplex  $\sigma = [a_0, a_1, \ldots, a_k]$ of K to the simplex of  $|N(\mathscr{V})|$  spanned by  $\{V_{a_i} \mid 0 \le i \le k\}$ . By definition of the nerve, we have  $\bigcap \{V_{a_i} \mid 0 \le i \le k\} \ne \emptyset$ . Pick a point  $x_{\sigma} \in \bigcap \{V_{a_i} \mid 0 \le i \le k\}$ . By our initial choice of refinements, we have  $\mathscr{U}_0 \le_* \mathscr{V}$ . If  $\sigma = [a_0, a_1, \ldots, a_n]$  is a *n*-simplex of *K*, then  $\operatorname{St}(x_{\sigma}, \mathscr{V}) \subseteq U_{0,\sigma}$  for some  $U_{0,\sigma} \in \mathscr{U}_0$ . In particular  $\{g_0(a_i) \mid 0 \le i \le n+1\} \subseteq \bigcup \{V_{a_i} \mid 0 \le i \le n\} \subseteq U_{0,\sigma}$ . Thus  $g_0$  maps the 0-skeleton of  $\sigma$  into  $U_{0,\sigma}$ . If  $o \in \sigma$ , then  $g_0(o) \in p_{\mathscr{V}}^{-1}(\operatorname{st}(V_o, N(\mathscr{V}))) \subseteq V_o \subseteq U_{0,\sigma}$ . Hence, for every *n*-simplex  $\sigma$  of *K*, we have  $g_0(\sigma \cap M[0]) \subseteq U_{0,\sigma}$ .

We are now in the situation to recursively apply Lemma 4.6. This is similar to the application in the proof of Lemma 5.1 with the dimension n + 1 shifted down by one so we omit the details. Recalling that  $M[n] = \operatorname{sd}^m \partial \Delta_{n+1}$ , we obtain an extension  $g: K = M[n] \to X$  of  $g_0$  such that for every *n*-simplex  $\sigma$  of *K*, we have  $g(\sigma) \subseteq W_{\sigma}$  for some  $W_{\sigma} \in \mathcal{W} = \mathcal{U}_n$ .

With g being defined, we seek show that  $f_{\mathscr{U}} \simeq p_{\mathscr{U}} \circ g$ . Since  $f' \simeq f_{\mathscr{V}}$  (by simplicial approximation),  $p_{\mathscr{U}\mathscr{V}} \simeq p_{\mathscr{U}\mathscr{U}'} \circ p_{\mathscr{U}'\mathscr{W}} \circ p_{\mathscr{W}\mathscr{V}}$  (for any choice of projection maps), and  $p_{\mathscr{U}\mathscr{V}} \circ f_{\mathscr{V}} \simeq f_{\mathscr{U}}$  (for any choice of projection  $p_{\mathscr{U}\mathscr{V}}$ ), it suffices to show that  $p_{\mathscr{U}\mathscr{U}'} \circ p_{\mathscr{U}'\mathscr{W}} \circ p_{\mathscr{W}\mathscr{V}} \circ f' \simeq p_{\mathscr{U}} \circ g$ . We do this by proving that the simplicial map  $F = p_{\mathscr{U}\mathscr{U}'} \circ p_{\mathscr{U}'\mathscr{W}} \circ p_{\mathscr{W}} \circ f' : K \to |N(\mathscr{U})|$  is a simplicial approximation for  $p_{\mathscr{U}} \circ g$ . Recall that this can be done by verifying the "star-condition"  $p_{\mathscr{U}} \circ g(\operatorname{st}(a, K)) \subseteq \operatorname{st}(F(a), |N(\mathscr{U})|)$  for any vertex  $a \in K$  [Munkres 1984, Chapter 2, Section 14]. Since  $n \ge 1$ , we have  $\mathscr{W} \preceq_{**} \mathscr{V}$ . Hence, just like our choice of  $p_{\mathscr{U}'\mathscr{W}}$ , we may choose  $p_{\mathscr{W}\mathscr{V}}$  so that whenever  $p_{\mathscr{W}\mathscr{V}}(V) = W$ , then  $\operatorname{St}(V, \mathscr{V}) \subseteq W$ . Also, we choose  $p_{\mathscr{U}\mathscr{U}'}$  to map  $p_{\mathscr{U}}^{-1}(\operatorname{st}(U, |N(\mathscr{U})|)) \mapsto U$  on vertices.

Fix a vertex  $a_0 \in K$ . To check the star-condition, we'll check that  $p_{\mathscr{U}} \circ g(\sigma) \subseteq$ st $(F(a_0), |N(\mathscr{U})|)$  for each *n*-simplex  $\sigma$  having  $a_0$  as a vertex. Pick an *n*-simplex  $\sigma = [a_0, a_1, \ldots, a_n] \subseteq K$  having  $a_0$  as a vertex. Recall that  $f'(a_i) = V_{a_i}$  for each *i*. Set  $p_{\mathscr{W}}(V_{a_i}) = W_i$  and  $p_{\mathscr{U}}(\mathscr{W}(W_i)) = p_{\mathscr{U}}^{-1}(\operatorname{st}(U_i, |N(\mathscr{U})|)) \in \mathscr{U}'$  for some  $U_i \in \mathscr{U}$ . Then  $F(a_i) = U_i$  for all *i*. It now suffices to check that  $p_{\mathscr{U}} \circ g(\sigma) \subseteq \operatorname{st}(U_0, |N(\mathscr{U})|)$ . Recall that by our choice of  $p_{\mathscr{U}}(\mathscr{W})$ , we have  $\operatorname{St}(W_0, \mathscr{W}) \subseteq p_{\mathscr{U}}^{-1}(\operatorname{st}(U_0, |N(\mathscr{U})|))$ . Thus it is enough to check that  $g(\sigma) \subseteq \operatorname{St}(W_0, \mathscr{W})$ . By construction of *g*, we have  $g(\sigma) \subseteq W_\sigma$  for some  $W_\sigma \in \mathscr{W}$ . Since  $g(a_0) \in W_0 \cap W_\sigma$ , we have  $g(\sigma) \subseteq W_\sigma \subseteq$  $\operatorname{St}(W_0, \mathscr{W})$ , completing the proof.  $\Box$ 

Finally, we prove our second result, Theorem 1.2.

Proof of Theorem 1.2. Since X is paracompact, Hausdorff,  $LC^{n-1}$ , we have  $\pi_n^{Sp}(X, x_0) = \ker(\Psi_n)$  by Theorem 1.1. Since X is semilocally  $\pi_n$ -trivial, we have  $\pi_n^{Sp}(\mathscr{U}, x_0) = 1$  for some  $\mathscr{U} \in \Lambda$ . It follows that  $\Psi_n$  is injective. Moreover, by Lemma 5.1, we may find  $\mathscr{V} \in \Lambda$  with  $\ker(p_{\mathscr{V}\#}) \subseteq \pi_n^{Sp}(\mathscr{U}, x_0)$ . Thus  $p_{\mathscr{V}\#} : \pi_n(X, x_0) \to \pi_n(|N(\mathscr{V})|, V_0)$  is injective. Let  $([f_{\mathscr{U}}])_{\mathscr{U} \in \Lambda} \in \check{\pi}_n(X, x_0)$ . By Lemma 6.1, for each  $\mathscr{U} \in \Lambda$ , there exists  $[g_{\mathscr{U}}] \in \pi_n(X, x_0)$  such that  $p_{\mathscr{U}}([g_{\mathscr{U}}]) = [f_{\mathscr{U}}]$ . If  $\mathscr{V} \preceq \mathscr{W}$ , then we have

$$p_{\mathcal{V}\#}([g_{\mathcal{V}}]) = [f_{\mathcal{V}}] = p_{\mathcal{V}\#\#}([f_{\mathcal{W}}]) = p_{\mathcal{V}\#\#} \circ p_{\mathcal{W}\#}([g_{\mathcal{W}}]) = p_{\mathcal{V}\#}([g_{\mathcal{W}}]).$$

Since  $p_{\mathcal{V}\#}$  is injective, it follows that  $[g_{\mathscr{W}}] = [g_{\mathcal{V}}]$  whenever  $\mathscr{V} \preceq \mathscr{W}$ . Setting  $[g] = [g_{\mathscr{V}}]$  gives  $\Psi_n([g]) = ([f_{\mathscr{U}}])_{\mathscr{U} \in \Lambda}$ . Hence,  $\Psi_n$  is surjective.

#### 7. Examples

**Example 7.1.** Fix  $n \ge 2$ . When X is a metrizable  $LC^{n-1}$  space, the cone CX and unreduced suspension SX are  $LC^{n-1}$  and semilocally  $\pi_n$ -trivial but need not be  $LC^n$ . This occurs in the case  $X = \mathbb{E}_n$  or if  $X = Y \vee \mathbb{E}_n$  where Y is a CW-complex. In such cases,  $\Psi_n : \pi_n(SX) \to \check{\pi}_n(SX)$  is an isomorphism. One point unions of such cones and suspensions, e.g.,  $CX \vee CY$  or  $CX \vee SY$  also meet the hypotheses of Theorem 1.2 (checking this is fairly technical [Brazas 2021]) but need not be  $LC^n$ .

**Example 7.2.** The converse of Theorem 1.2 does not hold. For  $n \ge 2$ ,  $\mathbb{E}_n$  is  $LC^{n-1}$  but is not semilocally  $\pi_n$ -trivial at the wedgepoint  $x_0$ . However,  $\Psi_n : \pi_n(\mathbb{E}_n, x_0) \rightarrow \check{\pi}_n(\mathbb{E}_n, x_0)$  is an isomorphism where both groups are canonically isomorphic to  $\mathbb{Z}^{\mathbb{N}}$  [Eda and Kawamura 2000a]. Additionally, for the infinite direct product  $\prod_{\mathbb{N}} S^n$ ,  $\Psi_k : \pi_k(\prod_{\mathbb{N}} S^n, x_0) \rightarrow \check{\pi}_k(\prod_{\mathbb{N}} S^n, x_0)$  is an isomorphism for all  $k \ge 1$  even though  $\prod_{\mathbb{N}} S^n$  is not  $LC^{k-1}$  when  $k - 1 \ge n$ .

**Example 7.3.** We can also modify the mapping torus  $M_f$  from Example 5.3 so that  $\Psi_n$  becomes an isomorphism (recall that  $n \ge 2$  is fixed). Let  $X = M_f \cup C\mathbb{E}_n$  be the mapping cone of the inclusion  $\mathbb{E}_n \to M_f$ . For the same reason  $M_f$  is  $LC^{n-1}$ , the space X is  $LC^{n-1}$ . Moreover, if U is a neighborhood of  $\alpha(t)$  that deformation retracts onto a homeomorphic copy of  $\mathbb{E}_n$ , then any map  $S^n \to U$  may be freely homotoped "around" the torus and into the cone. It follows that X is semilocally  $\pi_n$ -trivial. We conclude from Theorem 1.2 that  $\Psi_n : \pi_n(X) \to \check{\pi}_n(X)$  is an isomorphism. Since sufficiently fine covers of X always give nerves homotopy equivalent to  $S^1 \vee S^{n+1}$ , we have  $\check{\pi}_n(X) = 0$ .

**Example 7.4.** Let  $n \ge 2$  and  $X = \mathbb{E}_1 \lor S^n$  (see Figure 2). Note that because  $\mathbb{E}_1$  is aspherical [Cannon et al. 2002; Curtis and Fort 1957], X is semilocally  $\pi_n$ -trivial. However, X is not  $LC^1$  because it has  $\mathbb{E}_1$  as a retract. It is shown in [Brazas 2021] that  $\pi_n(X) \cong \bigoplus_{\pi_1(\mathbb{E}_1)} \pi_n(S^n) \cong \bigoplus_{\pi_1(\mathbb{E}_1)} \mathbb{Z}$  and that  $\Psi_n : \pi_n(X) \to \check{\pi}_n(X)$  is injective. In particular, we may represent elements of  $\pi_n(X)$  as finite-support sums  $\sum_{\beta \in \pi_1(\mathbb{E}_1)} m_\beta$  where  $m_\beta \in \mathbb{Z}$ . We show that  $\Psi_n$  is not surjective.

Identify  $\pi_1(X)$  with  $\pi_1(\mathbb{E}_1)$  and recall from [Eda 1992] that we can represent the elements of  $\pi_1(\mathbb{E}_1)$  as countably infinite reduced words indexed by a countable linear order (with a countable alphabet  $\beta_1, \beta_2, \beta_3, ...$ ). Here  $\beta_j$  is represented by a loop  $S^1 \to \mathbb{E}_1$  going once around the *j*-th circle. Let  $X_j$  be the union of  $S^n$  and the largest *j* circles of  $\mathbb{E}_1$  so that  $X = \varprojlim_j X_j$ . Identify  $\pi_1(X_j)$  with the free group  $F_j$  on generators  $\beta_1, \beta_2, ..., \beta_j$  and note that  $\pi_n(X_j) \cong \bigoplus_{F_j} \mathbb{Z}$ . Thus we may view an element of  $\pi_n(X_j)$  as a finite-support sums  $\sum_{w \in F_j} m_w$  of integers indexed over reduced words in  $F_j$ . Let  $d_{j+1,j}: F_{j+1} \to F_j$  be the homomorphism that deletes the



**Figure 2.** The one point union  $\mathbb{E}_1 \vee S^2$ .

letter  $\beta_{j+1}$ . Consider the inverse limit  $\check{\pi}_1(X) = \lim_{j \to j} (F_j, d_{j+1,j})$ . The map  $X \to X_j$  that collapses all but the first *j*-circles of  $\mathbb{E}_1$  induces a homomorphism  $d_j : \pi_1(X) \to F_j$ . There is a canonical homomorphism  $\phi : \pi_1(X) \to \check{\pi}_1(X) = \lim_{j \to j} (F_j, d_{j+1,j})$  given by  $\phi(\beta) = (d_1(\beta), d_2(\beta), \ldots)$ , which is known to be injective [Morgan and Morrison 1986] but not surjective. For example, if  $x_k = \prod_{j=1}^k [\beta_1, \beta_j]$ , then  $(x_1, x_2, x_3, x_4, \ldots)$  is an element of  $\check{\pi}_1(X)$  not in the image of  $\phi$ .

The bonding map  $b_{j+1,j} : \pi_n(X_{j+1}) \to \pi_n(X_j)$  sends a sum  $\sum_{w \in F_{j+1}} m_w$  to  $\sum_{v \in F_j} p_v$  where  $p_v = \sum_{d_{j+1,j}(w)=v} m_w$ . Similarly, projection map  $b_j : \pi_n(X) \to \pi_n(X_j)$  sends the sum  $\sum_{\beta \in \pi_1(X)} n_\beta$  to  $\sum_{v \in F_j} m_v$  where  $m_v = \sum_{d_j(\beta)=v} m_\beta$ . Let  $y_j \in \pi_n(X)$  be the sum whose only nonzero coefficient is the  $x_j$ -coefficient, which is 1. Since  $d_{j+1,j}(x_{j+1}) = x_j$ , it's clear that  $(y_1, y_2, y_3, \ldots) \in \check{\pi}_n(X)$ . Suppose  $\Psi_n(\sum_\beta m_\beta) = (y_1, y_2, y_3, \ldots)$ . Writing  $\sum_\beta m_\beta$  as a finite sum  $\sum_{i=1}^r m_{\beta_i}$  for nonzero  $m_{\beta_i}$ , we must have  $\sum_{d_j(\beta_i)=x_j} m_{\beta_i} = 1$  for all  $j \in \mathbb{N}$ . Since there are only finitely many  $\beta_i$  involved, there must exist at least one i for which  $d_j(\beta_i) = x_j$ for infinitely many j. For such i, we have  $\phi(\beta_i) = (x_1, x_2, x_3, \ldots)$ , which, as mentioned above, is impossible. Hence  $\Psi_n$  is not surjective.

The previous example shows why we cannot remove the  $LC^{n-1}$  hypothesis in Theorem 1.2. Since we weakened the hypothesis from [Kozlowski and Segal 1978] in dimension *n* and no hypothesis in dimension *n* is required for Theorem 1.1, one might suspect that we might be able to remove the dimension *n* hypothesis completely. The next example, which is a higher analogue of the harmonic archipelago [Bogley and Sieradski 1998; Conner et al. 2015; Karimov and Repovš 2012] shows why this is not possible.

**Example 7.5.** Let  $n \ge 2$  and  $\ell_j : S^n \to \mathbb{E}_n$  be the inclusion of the *j*-th *n*-sphere in  $\mathbb{E}_n$ . Let *X* be the space obtained by attaching (n+1)-cells to  $\mathbb{E}_n$  using the attaching maps  $\ell_j$ . Since  $\mathbb{E}_n$  is  $LC^{n-1}$ , it follows that *X* is  $LC^{n-1}$ . However, *X* is not semilocally  $\pi_n$ -trivial at the wedgepoint o of  $\mathbb{E}_n$ . Indeed, the infinite concatenation maps  $\prod_{j\geq k} \ell_j = \ell_k \cdot \ell_{k+1} \cdots$  are not null-homotopic (using a standard argument that works for the harmonic archipelago) but are all homotopic to each other. Thus,  $\pi_n(X, \mathbf{o}) \neq 0$ . However, for sufficiently fine open covers  $\mathscr{U} \in O(X)$ ,  $|N(\mathscr{U})|$ is homotopy equivalent to a wedge of (n + 1)-spheres and thus  $\check{\pi}_n(X, \mathbf{o}) = 0$ . Therefore, despite X being  $LC^{n-1}$ ,  $\Psi_n$  is not an isomorphism. In fact,  $\pi_n(X, \mathbf{o}) = \pi_n^{Sp}(X, \mathbf{o}) = \ker(\Psi_n)$ . The reader might also note that since  $\mathbb{E}_{n-1}$  is (n - 1)connected and  $\pi_n(\mathbb{E}_n, \mathbf{o}) \cong H_n(\mathbb{E}_n) \cong \mathbb{Z}^{\mathbb{N}}$ , X will also be (n - 1)-connected. A Meyer–Vietoris sequence argument similar to that in [Karimov and Repovš 2012] can then be used to show  $\pi_n(X, \mathbf{o}) \cong H_n(X) \cong \mathbb{Z}^{\mathbb{N}} / \oplus_{\mathbb{N}} \mathbb{Z}$ .

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