- 1 Predicting plant Rubisco kinetics from RbcL sequence data using machine learning
- 2 <sup>1</sup>Wasim A Iqbal, <sup>2</sup>Alexei Lisitsa and <sup>\*1</sup>Maxim V Kapralov
- 3 <sup>1</sup> School of Natural and Environmental Sciences, Newcastle University, Newcastle upon Tyne,
- 4 NE1 7RU, United Kingdom
- 5 <sup>2</sup> Department of Computer Science, University of Liverpool, Liverpool, L69 3BX, United
- 6 Kingdom
- 7 \* <u>Maxim.Kapralov@ncl.ac.uk</u>
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# 14 Highlight

This paper is the first to demonstrate machine learning approaches as a tool for predictingRubisco kinetics from RbcL sequences.

# 17 Abstract

- 18 Ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) is responsible for the
- 19 conversion of atmospheric CO<sub>2</sub> to organic carbon during photosynthesis and often acts as a
- 20 rate limiting step in the later process. Screening the natural diversity of Rubisco kinetics is
- 21 the main strategy used to find better Rubiscos for crop engineering efforts. Here, we
- 22 demonstrate the use of Gaussian processes (GPs), a family of Bayesian models, coupled
- 23 with protein encoding schemes for predicting Rubisco kinetics from Rubisco large subunit
- 24 (RbcL) sequence data. GPs trained on published experimentally obtained Rubisco kinetic
- 25 datasets were applied to over 9,000 sequences encoding RbcL to predict Rubisco kinetic
- 26 parameters. Notably, our predicted kinetic values were in agreement with known trends, e.g.
- 27 higher carboxylase turnover rates (Kcat) for Rubiscos from C<sub>4</sub> or Crassulacean acid
- 28 metabolism (CAM) species compared to ones found in  $C_3$  species. This is the first study
- 29 demonstrating machine learning approaches as a tool for screening and predicting Rubisco
- 30 kinetics, and our approach could be applied to other enzymes.
- 31 Key words
- 32 Rubisco, Machine learning, Gaussian process, Photosynthesis, Enzyme, Kinetics
- 33 Abbreviations
- 34 Ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco)
- 35 Rubisco large subunit (RbcL)
- 36 Rubisco small subunit (RbcS)
- 37 Carboxylation turnover rate (Kcat)
- 38 Specificity for CO<sub>2</sub> over O<sub>2</sub> (Sc/o)
- 39 Michaelis-Menten constant for CO<sub>2</sub> (Kc)
- 40 Michaelis-Menten constant for  $CO_2$  at ambient  $O_2$  (Kc<sup>21%O2</sup>)
- 41 Carbon concentrating mechanism (CCM)
- 42 Machine learning (ML)

- 43 Gaussian process (GP)
- 44 Standard error (SE)
- 45 Standard deviation (SD)
- 46 Length scale (l)
- 47 Variance  $(\sigma^2)$
- 48 Coefficient of determination (R<sup>2</sup>)
- 49 Mean absolute error (MAE)
- 50 t-distributed stochastic nearest neighbour (t-SNE)
- 51 Crassulacean acid metabolism (CAM)
- 52

# 53 Introduction

54 Ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) is claimed to be the most 55 abundant enzyme on Earth (Bar-On and Milo, 2019). The global conversion of inorganic CO<sub>2</sub> to organic forms is mostly driven by Rubisco making it a gatekeeper of carbon for nearly all 56 57 life on the planet (Raven, 2013). Form IB Rubiscos found in plants and green algae consists 58 of both large subunits and small subunits, and the large subunits contain the Rubisco active 59 site. Thus, it has long been assumed that the large subunit sequence variation contributes to 60 the diversity of Rubisco kinetics (Kellogg and Juliano, 1997, Camel and Zolla, 2021). 61 Rubisco is often characterised as having a slow turnover rate (Kcat) for CO<sub>2</sub> and poor 62 specificity for CO<sub>2</sub> compared to O<sub>2</sub> (Sc/o) (but see Tcherkez et al. (2006)). Rubisco catalytic 63 inefficiencies might limit plant photosynthetic performance in certain environmental 64 conditions such as saturating irradiance and limiting CO<sub>2</sub> concentrations. Improving Rubisco 65 kinetic traits is therefore a target for improving plant carbon uptake and crop yield. One 66 strategy of doing this is screening the natural diversity of Rubisco kinetics and replacing of a 67 plant's native Rubisco with a better enzyme (Ort et al., 2015, Hermida-Carrera et al., 2016, 68 Orr et al., 2016. Sharwood et al., 2016. Galmés et al., 2019. Orr and Parry, 2020. Von 69 Caemmerer, 2020, Igbal et al., 2021, Lin et al., 2022). Although there has been some 70 progress with this strategy, direct replacement of Rubiscos in crops is currently challenging 71 due to both limited capacity to mass-screen Rubisco kinetics, and Rubisco chaperone 72 incompatibilities between distant species (Kanevski et al., 1999, Whitney et al., 2011, 73 Whitney et al., 2015, Wilson et al., 2016, Sharwood, 2017, Wilson et al., 2018, Zhou and 74 Whitney, 2019, Gunn et al., 2020, Martin-Avila et al., 2020). 75 Given the resource-intensive nature of screening enzyme kinetics in the laboratory,

76 modelling or *in silico* approaches, such as machine learning (ML), are being increasingly

- 77 adopted to aid bioengineering efforts (Bedbrook et al., 2017, Yang et al., 2018, Li et al.,
- 2019, Yang et al., 2019, Benes et al., 2020, Bonetta and Valentino, 2020, Zhu et al., 2020,

79 Biswas et al., 2021, Wittmann et al., 2021, Brandes et al., 2022, Hsu et al., 2022). ML

- 80 largely consists of 'supervised' tasks that involve training ML algorithms on previously seen
- 81 protein sequences (e.g. enzyme sequence) with associated labels (e.g. catalytic activity).
- 82 The trained model can then be used to predict labels of previously unseen but similar data
- 83 inputs (Yang et al., 2019, Mazurenko et al., 2020, Newman and Furbank, 2021, Wittmann et
- 84 al., 2021). Several examples exist of ML applications being used to screen enzyme
- 85 properties, however no model exists which has predicted Rubisco kinetics from sequence
- variation (Romero et al., 2013, Yang et al., 2018, Greenhalgh et al., 2021, Hsu et al., 2022).
- 87 The reasons for this may be that we do not know exactly which properties of the Rubisco

protein determine Rubisco kinetics. Additionally, state-of-the-art ML algorithms such as
neural networks usually require hundreds or thousands of labelled data to perform well that
is not possible with the current size of Rubisco datasets.

91 Gaussian processes (GPs), a family of non-parametric, non-linear Bayesian models have 92 shown to predict enzyme properties such as thermostability and activity given a limited 93 amount of experimental data (Rasmussen and Williams, 2006, Yang et al., 2018, Yang et al., 94 2019, Deringer et al., 2021, Dutordoir et al., 2021). A GP finds non-linear functions 95 f(x1), f(x2) that map the relationship of similar labels (e.g. catalytic activity) with similar inputs x1, x2 (e.g. enzyme sequences), as encoded by a kernel function (Jokinen et al., 96 97 2018, Greenhalgh et al., 2021). The kernel function measures the similarity of the input data 98 in the form of a covariance matrix. A key feature of a GP is that it can characterise the model 99 uncertainty due to lack of similar data, which can be used to determine the quality of

100 predictions.

101 With all ML techniques, protein sequences must be transformed into numerical

102 representations and performance can suffer if the protein sequences are not encoded

103 correctly. It is difficult to suggest *a priori* the best way to numerically represent protein

sequences, as there are a variety of levels protein sequences can be represented, such as

physiochemical properties of amino acids or the three-dimensional structure. Over the past
 decade, two classes of encoding schemes have been tested for mapping protein sequence-

107 function relationships. A classical encoding scheme (or 'one-hot encoding') directly

108 represents a protein sequence amino acids in binary notation and a 'learned encoding'

scheme ,which involves training an unsupervised ML method on millions of unlabelled

110 protein sequences (Yang et al., 2018, Alquraishi, 2021, Elnaggar et al., 2021, Rives et al.,

111 2021, Wittmann et al., 2021). After the learned encoding scheme has been trained it can be

reused to produce numerical vector representations of protein sequences (Elabd et al.,

113 2020, Faulon and Faure, 2021, Wittmann et al., 2021). The learned encoding scheme

assumes that all protein sequences follow a set of evolutionary rules or biophysical traits that

govern the relationships between protein sequences that allow them to carry out a biological

function (Elabd et al., 2020, Faulon and Faure, 2021, Wittmann et al., 2021). The vector

117 representations from the learned encoding scheme capture the relationships between

118 proteins from the learned sequence-space. As result, similar sequences will have similar

119 vector representations and so can be assumed to have similar biological function by a

downstream-supervised ML model such as a GP (Elabd et al., 2020, Faulon and Faure,

121 2021, Wittmann et al., 2021).

We think that the above ML processes could map the Rubisco sequence-function landscapefor predicting unmeasured Rubisco kinetics. Previously, it was shown that Rubisco kinetic

- trade-offs exist between the Sc/o, Kcat and Michaelis-Menten constant for CO<sub>2</sub> (Kc), leading
- to the belief that Rubisco kinetics are heavily constrained within a low-dimensional
- 126 landscape (Tcherkez et al., 2006, Savir et al., 2010). However, recent work highlighted the
- 127 importance of phylogenetic constraints for Rubisco kinetics suggesting that closely related
- 128 species are more likely to have similar kinetics (Flamholz et al., 2019, Bouvier et al., 2021);
- 129 but see exceptions driven by a rapid evolution within recent adaptive radiations (Kapralov
- and Filatov, 2006, Kubien et al., 2008, Kapralov et al., 2011, Galmés et al., 2014a) Thus,
- similarity of Rubisco sequences might be among the many features that GPs with protein
- 132 encoding schemes may use for interpolating uncharacterised Rubisco kinetics.
- 133 Here, we trained GPs with either a learned encoding scheme or classical encoding scheme
- 134 on form IB Rubisco sequence and kinetic data from  $C_3$  and  $C_4$  plant species. We evaluated
- the performance of the ML frameworks using leave-one-out cross validation and found that
- the GPs with the learned encoding scheme outperformed the classical encoding scheme.
- 137 Next, we subjected the GPs with the learned encoding scheme to another validation
- 138 framework to detect overfitting. This involved removing species sharing the same genus
- during model training and using the unseen genus group to assess model performance; from
- 140 here on referred to as 'leave-genus-out' cross validation. We found that the GPs with a
- 141 learned encoding scheme generalised across plant genera well. Finally, we wanted to
- 142 validate hundreds of predictions without experimental data. One strategy of doing this was
- 143 grouping predictions by photosynthesis metabolism type and taxonomical group for which
- 144 mechanisms have been hypothesised to constrain Rubisco kinetics.

## 146 Methods

#### 147 Rubisco kinetics and sequence data

Rubisco large subunit harbouring the catalytic site is encoded by the RbcL gene ,which
therefore has a major influence on Rubisco kinetic properties (Kellogg and Juliano, 1997,
Camel and Zolla, 2021). 165 C<sub>3</sub> and C<sub>4</sub> plant Rubisco *in vitro* Kcat values (25<sup>o</sup>C pH near 8),

- 151 170 in vitro Sc/o values and 170 in vitro Kc values as well as corresponding RbcL
- 152 sequences were obtained from literature (Jordan and Ogren, 1983, Lehnherr et al., 1985,
- Uemura et al., 1997, Kubien et al., 2008, Savir et al., 2010, Viil et al., 2012, Galmés et al.,
- 154 2014a, Galmes et al., 2014, Hermida-Carrera et al., 2016, Prins et al., 2016, Sharwood et
- al., 2016, Long et al., 2018, Flamholz et al., 2019). If studies reported overlapping *in vitro*
- 156 kinetic data, the duplicate from the most recent study was kept and the other duplicate(s)
- 157 discarded. Additional corrections were made to the data as follows: Standard errors (SE)
- 158 with reported kinetic values such as Kcat, Kc and Sc/o were converted to standard
- 159 deviations (SD) using the number of species and/or replicates. When the number of
- 160 replicates and/or species were not reported, the number of measurements were assumed to
- 161 be from one sample. When the number of replicates and/or species were reported as a
- range (e.g. n= 6-10) the mean number of samples was taken. Kc measurements under
- anoxygenic conditions were adjusted to ambient  $O_2$  conditions (Kc<sup>21%O2</sup>) using the following
- 164 equation:  $Kc^{21\%02} = Kc^{0\%02} \cdot (1 + \frac{O_2}{K_0})$  (Von Caemmerer, 2000). Where 'Kc<sup>0%02'</sup> refers to Kc
- 165 measured under anoxygenic conditions,  $O_2$ ' refers to the ambient  $O_2$  level and 'Ko' refers to
- 166 the Rubisco Michaelis-Menten constant for  $O_2$  ( $\mu$ M).

# 167 Model setup

- 168 Figure 1 shows a schematic diagram of the ML procedure. Just like a simple linear model, a
- 169 GP can be used for regression or classification tasks (Rasmussen and Williams, 2006,
- 170 Garnett, 2022). Here, since kinetics are continuous variables a GP regression was used. All
- 171 ML tasks were performed using the python 'GPflow' module (version 2.1) and packaged into
- 172 user-friendly Google COLAB notebooks (<u>https://github.com/lqbalwasim01/Mining-Rubisco-</u>
- 173 <u>kinetics.git</u>) (Matthews et al., 2017).
- 174 Protein encoding scheme
- 175 Two protein encoding schemes were tested before choosing a final encoding scheme. The
- 176 classical encoding scheme (or one-hot encoding) expresses each amino acid as a 20 digit
- 177 vector with the value '1' indicating the identity and position of the current amino acid out of
- 178 20 other amino acid types ,which are represented with the value '0' (Yang et al., 2018,
- 179 Bonetta and Valentino, 2020, Elabd et al., 2020). The one-hot encoding is a relatively sparse

and memory inefficient representation of protein sequences. For example, an RbcL with a

- 181 length of 450 amino acids would result in a 9000 length vector. Further, 'one-hot encoding'
- requires that all RbcL sequences are aligned to the same length and each time a new
- 183 sequence is added the alignment procedure must be repeated. Here, an alignment
- procedure was performed using the 'msa' R package with the 'clustal omega' alignment
- algorithm (Bodenhofer et al., 2015).

186 On the other hand, the learned encoding scheme takes inspiration from natural language 187 processing and involves a semi-supervised ML model, learning basic underlying laws or 188 rules of protein sequences that allow proteins to carry out a biological function (Yang et al., 189 2018, Bonetta and Valentino, 2020, Elabd et al., 2020, Wittmann et al., 2021). The Rives et 190 al. (2021) learned encoding scheme also known as ESM-1b based on a neural network with 191 a transformer architecture was adopted . Previous studies have shown that it predicts 192 residue-residue contacts and secondary structure better than other transformers (Rao et al., 193 2019, Elnaggar et al., 2021). The learned encoding scheme summarised each RbcL 194 sequence as a vector of length 1280. Once the RbcL sequences have been converted to 195 either the classical or learned encoding, the encodings served as the direct inputs into the 196 GP regression (Figure 1).

### **197** GP covariance structure

198 A GP regression defines a distribution over functions linking data inputs (e.g. RbcL 199 sequence encodings) with labels (e.g. kinetics). The functions are encoded by a kernel 200 function represented as a covariance matrix and mean ,which measure the similarity or 201 nearness of input data (Rasmussen and Williams, 2006, Garnett, 2022). The kernel function 202 makes the basic assumption that data inputs (e.g. RbcL sequences) which are closely 203 related are more likely to have similar labels but some additional prior knowledge is required 204 such as whether the functions are linear, smooth or rough. When the underlying nature is 205 unknown a popular choice of kernel is the non-linear 'Matern 5/2' kernel ,which was used 206 here (Rasmussen and Williams, 2006). A linear kernel function was also tested to 207 demonstrate the need for the non-linear Matern 5/2 kernel. When data inputs consist of 208 more than one numerical value, the kernel can be applied to each numerical value position 209 allowing the GP regression to learn across multiple input positions known as an 'additive 210 kernel' (Duvenaud et al., 2011). For instance, many phenomenon depend on the sum of 211 parts such as the value of a car, which can be better approximated by the sum of prices of 212 individual car parts. Similarly, the amino acid sites in a protein sequence may convey 213 greater information when protein sequences share a high degree of overall structural 214 similarity. Therefore, this study first applied the kernel function to each learned encoding 215 input position or classical encoding alignment position i.e.  $K = k(x_1) + k(x_2) \dots$  (Figure 1).

216 The performance with an additive kernel was then compared to a single kernel where the GP 217 depends on all input positions simultaneously i.e.  $K = k(x_1, x_2, ...)$ . The reason for testing 218 both kernel configurations is that if the encodings consist of many low-order interactions, the 219 additive kernel can exploit this and improve model performance (e.g. see Figure 5 Duvenaud 220 et al. (2011)), if not both the additive and single kernel configurations should give similar 221 performance. Finally, during training the kernel hyperparameters such as the length scale l'222 and/or variance  $\sigma^{2'}$  were tuned to maximise the probability of observing the data points 223 known as the marginal likelihood. Predictions for new data inputs were then obtained from 224 drawing samples from the trained GP.

### 225 Leave-one-out cross validation

226 Performance of the GP regression was assessed using leave-one-out cross validation. 227 Generally, any cross-validation involves splitting a dataset into training and testing datasets. 228 The training dataset with input data (e.g. RbcL sequence encodings) and labels (e.g. 229 kinetics) is used to fit the GP regression model parameters and the testing dataset with input 230 data and labels is used to assess the performance of the trained GP regression to unseen data. Leave-one-out cross validation as the name implies involves holding out one labelled 231 232 data input out of the training dataset and using the remainder of the dataset for fitting the GP 233 model parameters and predicting the unseen labelled data input that was left out. For 234 example, if a dataset consists of 170 data inputs with labels, the model would be trained on 235 169 data inputs with labels and the data input and label that was omitted would serve as the 236 testing data set. Leave-one-out cross validation is carried out on each labelled data input, 237 leaving a different labelled data input out of the training dataset each time. The predictions 238 are gathered and performance metrics such as coefficient of determination (R<sup>2</sup>) and mean 239 absolute error (MAE) are calculated with the experimental data.

### 240 Leave-genus-out cross validation

241 The leave-one-out cross-validation aims to reduce the chance of model overfitting and 242 provide a depiction of model performance to unseen data. We know patterns or biases can 243 arise from training models on similar datasets that could give a misleading picture of model 244 performance. For instance, it is well known that form IB Rubiscos from the same genus can 245 have similar sequences and kinetic properties (Hermida-Carrera et al., 2016, Orr et al., 246 2016). This could have led to overoptimistic performance metrics during leave-one-out cross 247 validation because at least one form IB variant from the same genus would have been left in 248 the training dataset during model training. To see if the GP regression generalises across 249 genera, attempts were made to split the data equally while ensuring that a genus group was 250 left out of the training set each time. However, each genus group had unequal species

251 numbers, which made it difficult to create equally distributed testing/training splits while 252 ensuring non-overlapping genus criteria. Instead, educated splits between the data were 253 made by leaving a genus group out of the training data and then testing of the model on this 254 omitted genus group. While the R<sup>2</sup> metric was used in the leave-one-out cross validation for 255 assessing performance, it is not suitable for assessing all areas of predictive performance 256 because it scales with the size of the dataset (i.e. the more data points there are the less 257 sensitive the R<sup>2</sup> metric is to changes) and assumes values are strictly monotonically 258 associated. Because each genus group contained unequal species numbers, were small 259 and predictions may not be normally distributed or monotonically associated with 260 experimental values, model performance was assessed with the MAE metric as well as 261 direct comparison with the experimental means ± SD.

### 262 Benchmarking GP uncertainty estimates

A benefit of a GP is that a ' $\sigma^{2'}$  estimate is provided with each prediction, which allows users 263 264 to identify predictions with a high chance of being different from the training dataset. In other words, the lower the predicted  $\sigma^2$  the nearer the prediction is to an example found in the 265 training dataset. However, the GP  $\sigma^2$  parameter is not explicitly dependent on the labels (i.e. 266 267 kinetics) and is actually dependent on the data inputs (e.g. see equation 24 Deringer et al. (2021)). During training, the  $\sigma^2$  parameter is implicitly mapped to the data labels via 268 hyperparameter optimisation. Because the  $\sigma^2$  parameter is a trainable part of the model, the 269 reliability of the  $\sigma^2$  estimates must be assessed against test data. Here, the quality of the 270 predicted  $\sigma^2$  estimates from cross validation was first assessed using the spearman rank 271 272 correlation with the true errors (i.e. absolute errors between actual mean values and 273 predicted mean values) (Greenman et al., 2022). Secondly, we assessed if the actual mean 274 values fall within the 95% predicted confidence intervals (CIs)  $(\pm 2\sigma)$  as demonstrated by 275 Kompa et al. (2021). This method involves two metrics: 'coverage', which is if the actual 276 mean value falls within the predicted 95% CI and 'width', which is the full range of the 277 predicted 95% confidence interval  $(4\sigma)$ .

### 278 t-distributed stochastic neighbour embedding (t-SNE)

279 In this study protein encoding schemes convert protein sequences from their widely used 280 amino acid format to sequences of numbers, which cannot be understood using 281 conventional protein sequence analysis methods such as multiple sequence alignments. To 282 investigate how protein encoding schemes portray proteins, which ultimately determine their 283 fate for prediction tasks, a dimensionality reduction method called t-distributed stochastic 284 neighbour embedding (t-SNE) was applied (Maaten and Hinton, 2008). t-SNE projects the 285 protein encodings into two-dimensions , which allows patterns/clustering arising from the 286 protein encodings to be visualised. t-SNE was performed on the RbcL classical and learned 287 encodings with a perplexity of 20 and default learning rate parameters using the 'sci-kit 288 learn' python module (version 1.0.2) (Pedregosa et al., 2011).

### 289 Assessing RbcL sequence-space predictions with trait data

290 Wild-type RbcL sequences from non-redundant protein databases were obtained (n 35,413) 291 from a recent search (Davidi et al., 2020). Unknown species, sequences with lengths >500 292 or <450 and duplicates entries were omitted leaving 13,124 unique RbcL sequences. 9052 293 RbcL sequences identified as land plants (Embryophyta) remained. Using the fully trained 294 GPs with the chosen encoding scheme, Rubisco kinetic predictions were obtained for 9052 295 land plants. Predictions were grouped by plant photosynthetic type (C3, C4 or CAM) and 296 taxonomical group (Angiosperms, Bryophytes, Gymnosperms, and "Ferns", the latter is a 297 group that included Pteridophyta and Lycopodiophyta). Differences between groups were 298 assessed using one-way ANOVA and Duncan's post hoc test with the 'DescTools' R 299 package (version 0.99.44).

While the sequence criteria of <500 and >450 was used to remove incomplete sequences,
 some sequences may still have several amino acids missing from the N-terminus and/or C-

- terminus or ambiguous amino acids , which could have led to high predicted  $\sigma^2$ . To see if
- 303 such sequences affected the distribution of predictions, predictions were restricted based on

 $\sigma^2$  estimates selected from cross validation if the  $\sigma^2$  estimates were well calibrated.

305 Otherwise, the influence of outliers was assessed by removing predictions outside the

training dataset ranges. Predictions were grouped by plant photosynthetic type and

307 taxonomical group as described before.

## 309 Results

# 310 GP performance with a learned encoding scheme compared with a classical encoding311 scheme

312 GPs with the learned encoding and classical encoding schemes were trained on form IB

313 RbcL sequence and kinetic data. The performance of the two encoding schemes applied to

a single and additive kernel configuration was assessed (Figure S1-S3). The GPs with the

315 learned encodings applied to an additive non-linear Matern 5/2 kernel had the highest

predictive ability (Figure 2) (R<sup>2</sup> 0.79-0.86) compared with the classical encodings (R<sup>2</sup> 0.60-

0.74) and other kernel configurations (Figure S1-S3). These results justified the adoption of

- 318 the learned encodings with the non-linear Matern 5/2 additive kernel for the final models
- 319 (Figure 2).

## 320 GP performance with the learned encoding scheme for numerous plant genera

321 Form IB Rubisco variants included as part of the training data could have led to

322 overoptimistic performance metrics shown in Figure 2 because at least one form IB Rubisco

from the same genus may have been left in the training dataset during model training. Here,

324 the GPs with the learned encoding scheme were assessed using another validation

325 framework. This time form IB Rubiscos sharing the same genus were omitted from the

326 model during training. The remaining data was used to train the model and the omitted

327 genus group was used to assess the model performance.

328 The GPs with the learned encoding scheme displayed excellent performance. The majority

of genus groups had Kcat predictions with a MAE <0.5 s<sup>-1</sup> (Figure S4),  $Kc^{21\%O2}$  predictions

330 with a MAE <4.00  $\mu$ M (Figure S5) and Sc/o predictions with a MAE <7.00 mol mol<sup>-1</sup> (Figure 331 S6).

# 332 Visualisation of the RbcL learned and classical encodings used during GP training

To investigate how the GPs learned to predict form IB kinetics, the RbcL sequence classical

and learned encodings used for model training were visualised using t-distributed stochastic

neighbour embedding (t-SNE) (Figure 3 and Figure S7). Both the classical and learned

encodings show some sequences with higher Kcat, Kc<sup>21%O2</sup> and Sc/o cluster together and

- 337 some sequences with lower Kcat, Kc<sup>21%O2</sup> and Sc/o cluster together. Differences between
- 338 the RbcL classical and learned encodings are unclear for Kc<sup>21%O2</sup> and Sc/o but more

339 clustering in the learned encodings than the classical encodings can be seen for Kcat.

### 341 Assessing GP uncertainty estimates

- 342 Generally, it is assumed that GP predictions with high  $\sigma^2$  most likely arises from parts of the
- trained GPs from which less or less similar training data was included. However, because
- 344 the  $\sigma^2$  estimates are a trainable part of the model, the reliability of the predicted  $\sigma^2$  was
- 345 assessed before guiding the selection of appropriate predictions.
- Figure S8 and S9 demonstrates correlations between predicted  $\sigma^2$  estimates and true error
- 347 from leave-one-out and leave-genus out cross validation. No clear trend was observed
- between predicted  $\sigma^2$  estimates and true error. Figure S10 shows uncertainty from leave-
- 349 genus-out cross validation assessed using coverage and width. Most genus groups exhibit
- high coverage and varying average width ( $4\sigma$ ) but some do not. As predicted mean values
- become increasingly out of distribution, ideal models should increase width indicating model
- 352 uncertainty while coverage remains high.

### 353 Assessing RbcL sequence-space predictions with trait data

- The final goal was to screen the kinetic properties of thousands of Rubisco variants *in silico* using the GPs with the learned encoding scheme. Predictions were made for 9052 unique RbcL sequences encoding Rubisco proteins from land plants. Grouping predictions by photosynthesis metabolism type revealed significant differences between Kcat, Sc/o and Kc<sup>21%O2</sup> of C<sub>3</sub>, C<sub>4</sub> and CAM groups (Figure S11). Grouping predictions by taxonomical group revealed significant differences between most groups except the Kcat of angiosperms and ferns, and Kc<sup>21%O2</sup> of gymnosperms and bryophytes (Figure S12).
- 361 Because the predicted  $\sigma^2$  estimates from cross validation showed no clear trend (Figure S8-362 S10), a criteria for determining the quality of predictions in the absence of experimental data 363 could not be specified. Instead, the influence of outliers was assessed by removing 364 predictions outside the ranges of the training dataset. Most kinetic predictions were within the range for Kcat (1.4, 7.1), Kc<sup>21%O2</sup> (7, 42) and Sc/o (58, 121). (Figure 4 vs Figure S11, 365 366 Figure 5 vs Figure S12). The overall trend in kinetics remained the same as before. For 367 instance, Rubiscos from CAM and C<sub>4</sub> plants have a higher median Kcat than Rubiscos from 368 C<sub>3</sub> plants. Similarly, the overall trend remained the same when grouping predictions by
- taxonomical type. For instance, angiosperms and ferns have a higher median Kcat than
- 370 bryophytes and gymnosperms.

## 372 Discussion

373 This work presents a useful tool for screening and predicting plant Rubisco kinetics for

374 engineering efforts as well as for fundamental studies on Rubisco evolution and adaptation.

375 Advancements in protein language modelling has allowed the exploitation of existing plant

376 Rubisco data for predicting Rubisco kinetics *in silico*. Further, our predictions followed well

377 established trends observed by previous studies in plants with different photosynthetic types

378 without *a priory* knowledge. For example, generally Rubiscos from C<sub>4</sub> plants have a higher

379 Kcat,  $Kc^{21\%O2}$  and lower Sc/o than Rubiscos from C<sub>3</sub> plants (Galmés et al., 2014b, Galmés et

- al., 2015, Hermida-Carrera et al., 2016, Prins et al., 2016, Galmés et al., 2019, Iñiguez et al.,
- 381 2020). In contrast, CAM plants have a similar mean Kcat to that of C<sub>4</sub> plants (Hermida-
- 382 Carrera et al., 2020, Iñiguez et al., 2020).

383 The kinetic properties of modern Rubiscos are believed to be shaped by changes in 384 atmospheric  $CO_2$  and  $O_2$  concentrations and temperature over time (Tcherkez et al., 2006, 385 Savir et al., 2010, Studer et al., 2014, Hermida-Carrera et al., 2016, Cummins et al., 2018, 386 Tcherkez et al., 2018, Moore et al., 2021). C4 and CAM plants both possess CCMs that 387 enhance CO<sub>2</sub> concentration near the Rubisco active site (Raven and Beardall, 2014, Raven et al., 2017, Young and Hopkinson, 2017, Ruban et al., 2022). CCMs in C<sub>4</sub> and CAM plants 388 389 may have first arisen in high O<sub>2</sub>/CO<sub>2</sub> ratio environments and a decrease in O<sub>2</sub>/CO<sub>2</sub> ratio over 390 several million years led to the present day maintenance of high Kcat values to cope with 391 higher mesophyll CO<sub>2</sub> concentrations (Cc) (lñiguez et al., 2020). Because both C<sub>4</sub> and CAM 392 plants are also found in high temperature environments, CCMs also help concentrate CO<sub>2</sub> 393 near the active site when the gas solubility of atmospheric CO<sub>2</sub>/O<sub>2</sub> ratio decreases with 394 increasing temperature (Raven et al., 2017, Iñiguez et al., 2020). Despite the presence of 395 CCMs in both C<sub>4</sub> and CAM plants and similar mean Kcat values, both groups had significantly different mean Kc<sup>21%O2</sup> and Sc/o. C<sub>4</sub> plants may have evolved higher Kc<sup>21%O2</sup> and 396 lower Sc/o because of the adoption of the CCMs led to a reduced requirement for a higher 397 398 Sc/o and lower Kc<sup>21%O2</sup> (Iñiguez et al., 2020). On the other hand, unlike C<sub>3</sub> and C<sub>4</sub> plants, 399 CAM plants have evolved to fix  $CO_2$  over the course of a day in phases and are commonly 400 found in drier climates (Leverett et al., 2021, Ruban et al., 2022). One possibility is that the 401 temporal separation of CAM CO<sub>2</sub> fixation may hinder the use of CCMs during some periods 402 leading to the requirement for a similar mean Sc/o to that of C<sub>3</sub> plants and lower mean 403 Kc<sup>21%O2</sup> (Iñiguez et al., 2020).

Additionally, land plant Rubiscos are characteristic of the ecological or taxonomical group
from which they originated (Figure 5) (Galmés et al., 2014b). For instance, angiosperms has

406 the largest distribution in kinetics because it is the largest and most diverse group of land
407 plants comprising Rubiscos from C<sub>3</sub>, C<sub>4</sub> and CAM plants.

408 What is unclear is how the GPs mapped the Rubisco sequence-function landscape. 409 Projecting the classical and learned encodings suggests that some encodings with similar 410 kinetics cluster together but some do not (Figure 3 and S7). Instead, the GPs may have 411 found something 'deeper' about the relationship between RbcL encodings and kinetics 412 during training. During training, when a single kernel function was applied over all encoding 413 input positions the models performed poorly compared with an additive kernel. This suggests 414 a complex relationship which depends on the sum of small functions rather than on a single 415 large modelled function.

416 There are several strengths and limitations of the techniques used in this study. Firstly, one 417 can assume that the training dataset only represented a fraction of all land plant Rubisco 418 diversity. As a starting point the first logical step was to test the model on this currently available data before spending more time and resources on creating a more 419 420 comprehensively rich training dataset that may reveal more subtle parts of the sequence-421 function landscape (Hsu et al., 2022). In fact, when removing predictions outside the ranges 422 of the training dataset (e.g. Figure 4 vs Figure S4) there was no change in the kinetic trends 423 suggesting predictions for most land plant Rubiscos are similar to the training dataset. We 424 would be cautious about extending the current trained models to other Rubisco forms such 425 as those found in bacteria and archaea, which exhibit greater sequence and kinetic diversity 426 than form IB Rubiscos. For example, Davidi et al. (2020) identified form II Rubiscos with the 427 fastest having a Kcat of 22 s<sup>-1</sup> which is far greater than all known plant Rubiscos. As more 428 experimental data becomes available we expect models on more Rubisco forms to be built. 429 Secondly, the models in this study assumed that features of the RbcL determines the kinetic 430 properties of form IB Rubiscos. While over the past few years this assumption is largely 431 thought to be true because a) the active site is encoded by the RbcL sequence and b) the 432 RbcL sequence is largely conserved over time as chloroplast-encoded genes evolved slower 433 than nuclear-encoded genes (Kelly, 2021). It is now well established that the Rubisco small 434 subunit encoded by the RbcS gene can influence catalysis too (Spreitzer et al., 2005, 435 Genkov and Spreitzer, 2009, Atkinson et al., 2017, Martin-Avila et al., 2020, Lin et al., 2021, 436 Sakoda et al., 2021). It would be interesting to see if incorporating RbcS sequences 437 alongside RbcL sequences could improve the predictive power of our models. However, 438 incorporating the RbcS in silico is further complicated by the existence of multiple RbcS 439 genes located in the nucleus and different nuclear-encoded RbcS genes differentially 440 influencing Rubisco kinetics in the same plant (Khumsupan et al., 2020, Martin-Avila et al., 441 2020). Further, the models in this paper can be used in thought experiments to predict the

- 442 kinetics of novel Rubisco variants created in silico by manipulation of the Rubisco sequence
- 443 potentially creating better enzymes. Lastly, the learned encoding scheme adopted in this
- 444 study was a pre-trained neural network capable of predicting protein sequence features
- 445 across numerous protein families without any knowledge of Rubisco kinetics. In future, we
- aim to improve model performance by making the neural network of the learned encoding
- scheme a trainable part of the GP models (also known as end-to-end learning) i.e. fine-tune
- the learned encoding scheme specifically for Rubisco sequence-function tasks.

# 449 Conclusion

- 450 Overall, this study is the first to demonstrate the prediction of land plant Rubisco kinetics
- 451 from RbcL sequence data. This study provides plant biologists with a pre-screening tool for
- 452 highlighting Rubisco species exhibiting better kinetics for crop engineering efforts. Going
- 453 forward we expect more experimental data to become available, which will facilitate the
- 454 development of richer models.

# 455 Supplementary data

456 Figure S1. Leave-one-out cross validation results for GPs using a single Matern 5/2 kernel. Figure S2. Leave-one-out cross validation results for GPs using an additive linear kernel. 457 458 Figure S3. Leave-one-cross validation results for GPs using a single linear kernel. Figure 459 S4. Leave-genus-out cross validation plots for Kcat. Figure S5. Leave-genus-out cross 460 validation plots for Kc<sup>21%O2</sup>. Figure S6. Leave-genus-out cross validation plots for Sc/o. 461 Figure S7. Visualization of the RbcL classical encodings used during GP training. Figure 462 **S8.** Spearman rank correlations of the leave-one-out cross validation predicted uncertainties 463 and true errors. Figure S9. Spearman rank correlations of the leave-genus-out cross 464 validation predicted uncertainties and true errors. Figure S10. Leave-genus-out cross validation predicted uncertainties assessed using the coverage and width method. Figure 465 466 **S11.** Box plots depicting kinetic predictions for all land plant Rubiscos grouped by photosynthesis metabolism type. Figure S12. Box plots depicting kinetic predictions for all 467 468 land plant Rubiscos grouped by taxonomical type. Table S1. Rubisco experimental kinetics 469 and Rubisco large subunit (RbcL) sequences for training Gaussian process models. Table 470 **S2.** Kinetic predictions for all known land plant Rubisco large subunit (RbcL) sequences isolated from non-redundant protein databases. **Table S3.** Table S2 kinetic predictions within 471 the training dataset ranges for Kcat (1.4, 7.1), Kc<sup>21%O2</sup> (7, 42), and Sc/o (58, 121). Table S4. 472 473 All wild-type Rubisco large subunit (RbcL) sequences isolated from non-redundant protein 474 databases (Davidi et al., 2020).

- 475 Conflict of interest
- 476 The authors have no conflict of interests to declare.
- 477 Data availability
- 478 https://github.com/lqbalwasim01/Mining-Rubisco-kinetics.git

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# 483 Authors contribution

W.I and M.K. conceived the idea of the study. W.I. developed the models and performed
analyses. Contents of the paper was developed and written by W.I. with input from M.K. and
A.L.

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743 Figure 1. Schematic diagram showing steps involved in training a Gaussian process (GP) 744 regression. (A) Rubisco large subunit (RbcL) sequences can be converted to either a binary 745 representation (classical encodings) which explicitly represents the amino acids or learned 746 encodings (such as: Rives et al. (2021)) which involves another machine learning method-747 learning key features of each sequence (such as physiochemical properties or secondary 748 structures) and storing these features as numerical vectors. The encoded RbcL sequences 749 are stored in a kernel which describes the similarity between the encoded sequences. A 750 kernel function can be applied to each input feature of the encodings. For example,  $k(x_1)$ 751 would encode the first numerical input for the learned encodings or the first alignment 752 position for the classical encodings. Alternatively, input features can vary simultaneously 753 using a single kernel function. (B) During model training, hyperparameters such as the 754 length scale (l) and/or variance ( $\sigma^2$ ) are optimised to find functions (f(x)) that describe the 755 relationship between the RbcL encodings and associated labels (e.g. turnover rate: Kcat). The *l* describes the horizontal distances between f(x), and  $\sigma^2$  the vertical distance (i.e. 756 757 noise and signal). As such, GPs provide a flexible framework for explaining numerous 758 relationships.

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**Figure 2.** Comparison between predicted and actual carboxylation turnover rate (Kcat : s<sup>-1</sup>), Michaelis-Menten constant for CO<sub>2</sub> at ambient O<sub>2</sub> (Kc<sup>21%O2</sup>:  $\mu$ M) and specificity for CO<sub>2</sub> over O<sub>2</sub> (Sc/o: mol mol<sup>-1</sup>) at 25<sup>o</sup>C. Determined using leave-one-out cross-validation with the learned encoding scheme (Rives et al., 2021) (green) and classical encoding scheme (orange). The better performance of the learned encoding with an additive non-linear kernel justified the adoption of this method over classical for the final machine learning tasks.

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**Figure 3.** Visualization of the Rubisco large subunit (RbcL) learned encodings used in the fully trained Gaussian process (GP) models. Each data point represents an RbcL learned encoding with (A) carboxylation turnover rate (Kcat: s<sup>-1</sup>), (B) Michaelis-Menten constant for CO<sub>2</sub> at ambient atmospheric O<sub>2</sub> (Kc<sup>21%O2</sup>:  $\mu$ M) and (C) specificity for CO<sub>2</sub> over O<sub>2</sub> (Sc/o: mol mol<sup>-1</sup>).

- 774 **Figure 4.** Box plots depict (A) carboxylation turnover rate (Kcat: s<sup>-1</sup>), (B) Michaelis-Menten constant for CO<sub>2</sub> at ambient atmospheric O<sub>2</sub> (Kc<sup>21%O2</sup>:  $\mu$ M) and (C) specificity for CO<sub>2</sub> over 775 776 O<sub>2</sub> (Sc/o: mol mol<sup>-1</sup>) predictions made for the form IB (plants) Rubisco large subunit (RbcL) 777 sequence-space using the fully trained Gaussian process (GP) models with the learned 778 encoding scheme. Shown are predictions within the ranges of the training dataset for Kcat 779 (1.4, 7.1), Kc<sup>21%O2</sup> (7, 42) and Sc/o (58, 121). Predictions were grouped by photosynthesis metabolism type (C<sub>3</sub>, C<sub>4</sub> or CAM). Box plot horizontal lines show the median value, and the 780 781 box and whisker represent the 25<sup>th</sup> and 75<sup>th</sup> percentile and minimum to maximum 782 distributions of the data. Significant differences from the one-way ANOVA with Duncan's 783 post hoc test are shown for groups: \*\*\* p<0.001, \*\* p<0.01, \* p<0.05, n.s., non significant. 784 785 Figure 5. Box plots depict (A) carboxylation turnover rate (Kcat: s<sup>-1</sup>), (B) Michaelis-Menten 786 constant for CO<sub>2</sub> at ambient atmospheric O<sub>2</sub> (Kc<sup>21%O2</sup>:  $\mu$ M) and (C) specificity for CO<sub>2</sub> over 787 O<sub>2</sub> (Sc/o: mol mol<sup>-1</sup>) predictions made for the form IB (plants) Rubisco large subunit (RbcL)
- 788 sequence-space using the fully trained Gaussian process (GP) models with the learned 789 encoding scheme. Shown are predictions within the ranges of the training dataset for Kcat (1.4, 7.1), Kc<sup>21%O2</sup> (7, 42) and Sc/o (58, 121). Predictions were grouped by taxonomical type 790 791 (Angiosperms, 'Ferns' (including Pteridophytes and Lycopodiophytes), Gymnosperms or 792 Bryophytes). Box plot horizontal lines show the median value, and the box and whisker 793 represent the 25<sup>th</sup> and 75<sup>th</sup> percentile and minimum to maximum distributions of the data. 794 Significant differences from the one-way ANOVA with Duncan's post hoc test are shown for groups: \*\*\* p<0.001, \*\* p<0.01, \* p<0.05, n.s., non significant. 795