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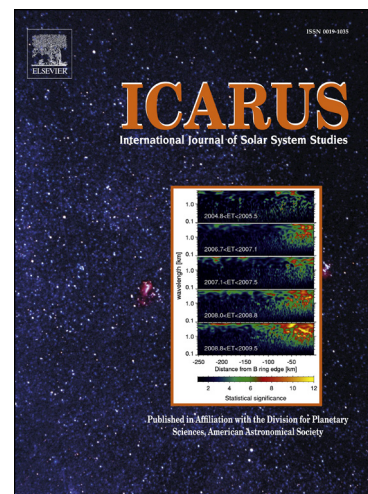
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## 1 Lunar polar craters – icy, rough or just sloping?

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4 *Road, Durham DH1 3LE, U.K.*5 <sup>b</sup>*BAER, Planetary Systems Branch, Space Science and Astrobiology Division, MS: 245-3, NASA Ames Research Center,*  
6 *Moffett Field, CA 94035-1000, U.S.A.*7 **Abstract**

8 Circular Polarisation Ratio (CPR) mosaics from Mini-SAR on Chandrayaan-1 and Mini-RF  
9 on LRO are used to study craters near to the lunar north pole. The look direction of the detectors  
10 strongly affects the appearance of the crater CPR maps. Rectifying the mosaics to account for  
11 parallax also significantly changes the CPR maps of the crater interiors. It is shown that the CPRs  
12 of crater interiors in unrectified maps are biased to larger values than crater exteriors, because of  
13 a combination of the effects of parallax and incidence angle. Using the LOLA Digital Elevation  
14 Map (DEM), the variation of CPR with angle of incidence has been studied. For fresh craters,  
15  $CPR \sim 0.7$  with only a weak dependence on angle of incidence or position interior or just exterior  
16 to the crater, consistent with dihedral scattering from blocky surface roughness. For anomalous  
17 craters, the CPR interior to the crater increases with both incidence angle and distance from the  
18 crater centre. Central crater CPRs are similar to those in the crater exteriors. CPR does not  
19 appear to correlate with temperature within craters. Furthermore, the anomalous polar craters  
20 have diameter-to-depth ratios that are lower than those of typical polar craters. These results  
21 strongly suggest that the high CPR values in anomalous polar craters are not providing evidence  
22 of significant volumes of water ice. Rather, anomalous craters are of intermediate age, and  
23 maintain sufficiently steep sides that sufficient regolith does not cover all rough surfaces.

24 *Keywords:* Moon, surface; Radar observations; Ices25 **1. Introduction**

26 Knowing the quantity of water ice that is squirreled away in permanently shaded lunar polar  
27 cold traps will constrain models of volatile molecule delivery and retention. It is also of interest  
28 as a potential resource for future explorers. The seminal work of Watson et al. (1961) introduced  
29 the possibility of water ice accumulations in regions so cold, beneath  $\sim 110\text{K}$ , that ice would be  
30 stable against sublimation for billions of years. Using the Lunar Prospector Neutron Spectrom-  
31 eter (LPNS), Feldman et al. (1998) showed that there were concentrations of hydrogen at polar  
32 latitudes to the 70 cm depths probed by the neutrons. Eke et al. (2009) showed, with a pixon  
33 image reconstruction algorithm that sharpened the LPNS hydrogen map, that the excess polar

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34 hydrogen was preferentially concentrated into the permanently shaded regions. However, while  
35 suggestive, the level of  $\sim 1$  wt% Water Equivalent Hydrogen (WEH), inferred from the models  
36 of Lawrence et al. (2006), was still not sufficiently high to prove that the hydrogen needed to  
37 be present as water ice. Only with the LCROSS impactor (Colaprete et al., 2010) did it become  
38 clear that water ice did indeed exist, in a small region within Cabeus, at a level of a few per  
39 cent by mass within the top metre or two of regolith. The hydrogen maps produced from the  
40 LPNS by Teodoro et al. (2010) implied that there may well be significant heterogeneity between  
41 permanently shaded polar craters, so the LCROSS result should not be assumed to apply to all  
42 of these cold traps.

43 Infra-red spectroscopy of the sunlit lunar surface has shown not only absorption by surficial  
44 water and hydroxyl (Pieters et al., 2009; Clark, 2009), but also that these molecules are mobile  
45 across the surface depending upon the time of lunar day (Sunshine et al., 2009). This supports  
46 the idea of a lunar “water cycle” of the sort envisaged by Butler (1997) and Crider and Vondrak  
47 (2000), but major uncertainties remain in our understanding of the efficiency with which cold  
48 traps protect the volatiles that they receive (Crider and Vondrak, 2003).

49 The Lyman Alpha Mapping Project (LAMP) instrument on LRO has shown, using radiation  
50 resulting from distant stars or scattering of the Sun’s Ly  $\alpha$  from interplanetary hydrogen atoms,  
51 that permanently shaded polar craters typically have a low far-UV albedo (Gladstone et al., 2012).  
52 These results are consistent with 1 – 2% water frost in the upper micron of the regolith of the  
53 permanently shaded regions, with the observed heterogeneity between different craters perhaps  
54 implying a sensitivity to local temperatures. Knowing how heterogeneous the water ice abun-  
55 dance is would provide insight into which physical processes are most relevant for determining  
56 volatile retention.

57 Another widely-used remote sensing technique with the potential to provide information  
58 about both the composition and structure of near-surface material is radar (Campbell, 2002).  
59 This often involves sensing the polarisation state of the reflected radiation when circularly po-  
60 larised radio waves are transmitted towards a surface. The dielectric properties of the materials  
61 present, surface roughness, including rocks and boulders, composition and size of any buried  
62 materials within the regolith and the depth of regolith above bedrock could all affect the returned  
63 signal. For 13 cm radiation, the dielectric properties of regolith are such that the upper few  
64 metres of the surface can be probed by radar measurements. Given the complex nature of the  
65 scattering problem, it can be difficult to know what to infer from radar data without additional  
66 insights into the likely surface composition or structure. The most frequently used way of char-  
67 acterising the returned signal is to take the ratio of powers in the same sense (as transmitted) to  
68 the opposite sense of circular polarisation, namely the circular polarisation ratio, or CPR. A CPR  
69 of zero would be expected for specular reflection from a medium with higher refractive index,  
70 whereas higher CPR values can result from multiple scattering, which may imply the presence  
71 of a low-loss medium such as water ice making up the regolith.

72 Radar observations of Europa, Ganymede and Callisto showed surprisingly high CPR val-  
73 ues of  $\sim 1.5$  (Campbell et al., 1978; Ostro et al., 1992). The low densities of these satellites  
74 were indicative of them having icy compositions. The temptation to associate high CPR values  
75 with ice increased when observations of the polar regions of Mercury showed that high CPR  
76 regions were associated with permanently shaded craters, within which temperatures could be  
77 low enough for water ice to be stable against sublimation (Harmon et al., 1994). Recent results  
78 from MESSENGER’s neutron spectrometer (Lawrence et al., 2013) support this conclusion.

79 It is less clear what should be inferred from radar observations of the Moon about the pres-  
80 ence of water ice in permanently shaded craters. The Clementine mission transmitted circularly

81 polarised radio waves into the lunar polar regions, with the reflected flux measured on Earth.  
82 An increase in same-sense polarised power at zero phase angle was interpreted by Nozette et al.  
83 (1996) as possible evidence for constructive interference from waves taking reversed routes in-  
84 volving multiple scattering within an icy regolith. This coherent backscatter opposition effect  
85 (CBOE Hapke, 1990) is one physical process that would produce high CPR values. However,  
86 Stacy et al. (1997), Simpson and Tyler (1999) and Campbell et al. (2006) showed that high CPR  
87 could also result from surfaces that were rough on scales within an order of magnitude in size of  
88 the 13 cm radar wavelength, which would help to explain why at least some of the high CPR re-  
89 gions occurred in clearly sunlit locations where water ice would not exist in significant amounts.

90 In parallel with the acquisition of remote sensing radar data, various models have been con-  
91 structed to help to interpret the CPR measurements. Descriptions of the scattering mechanisms  
92 relevant to the problem are given by Campbell (2002, 2012). An empirical two-component model  
93 was developed by Thompson et al. (2011) with a view to decoding CPR data from the Mini-SAR  
94 and Mini-RF instruments on Chandrayaan-1 and LRO respectively. The most physically moti-  
95 vated modelling to date was carried out by Fa et al. (2011) who used vector radiative transfer  
96 theory to follow the polarisation state of the input electromagnetic radiation. While their model  
97 did not include multiple scattering, so had no CBOE, it did predict the impact of incidence angle,  
98 regolith thickness, buried rocks and surface roughness on the returned signal. They found that  
99 the similarity in dielectric permittivity between ice and a silicate regolith would make it difficult  
100 to identify ice mixed into such a regolith.

101 The wealth of recent information returned from lunar missions provides the possibility of  
102 discriminating between the different reasons for high CPR regions on the lunar surface. Spudis  
103 et al. (2010) used the north pole CPR mosaic from the Mini-SAR instrument on Chandrayaan-1 to  
104 show how fresh craters showed high CPR both inside and out, whereas a set of ‘anomalous’ polar  
105 craters had high interior CPRs without any corresponding enhancement just outside their rims. If  
106 meteorite bombardment removed roughness at a similar rate inside and outside these craters then  
107 this is suggestive that something other than roughness was responsible for the anomalously high  
108 CPRs inside these craters. That something could be water ice. Using Mini-RF data from LRO,  
109 Spudis et al. (2013) argued that the abundance of anomalous craters was much greater near to the  
110 lunar poles than at lower latitudes, with the implication that temperature might be an important  
111 variable in determining the CPR in these craters.

112 More recently, Fa and Cai (2013) studied examples of both polar and non-polar fresh and  
113 anomalous craters using data from the Mini-RF Synthetic Aperture Radar instrument on board  
114 LRO, finding polar and non-polar anomalous craters to have indistinguishable distributions of  
115 pixel CPR. Given that water ice is not the reason for the non-polar crater interiors having anoma-  
116 lously high pixel CPR values, why should it be necessary for the high pixel CPR values in  
117 anomalous polar craters? Furthermore, Fa and Cai (2013) used LROC images to see boulders  
118 within, and not outside, the non-polar anomalous crater. Despite the mismatch in scales between  
119 the  $>1\text{-}2$  m-sized rocks and the 13 cm radar wavelength, the model of Fa and Cai (2013) shows  
120 that dihedral scattering from such rocks can still significantly increase the CPR. This provides a  
121 potential reason for the anomalous crater CPR distributions and evidence for some differential  
122 weathering from the crater interior to its exterior. Unfortunately, the lack of illumination into  
123 the floors of the polar craters precluded such a detailed investigation of rockiness being carried  
124 out in these locations. In their detailed study of Shackleton crater, Thomson et al. (2012) found  
125 that “Mini-RF observations indicate a patchy, heterogeneous enhancement in CPR on the crater  
126 walls whose strength decreases with depth toward the crater floor.” While placing an upper limit  
127 of  $\sim 5 - 10$  wt%  $\text{H}_2\text{O}$  ice in the uppermost metre of regolith, they conclude that the result “...

128 is most consistent with a roughness effect due to less mature regolith present on the crater wall  
129 slopes.”

130 In this paper, the polar craters studied by Spudis et al. (2010) will be investigated using a  
131 combination of topography, radar and temperature data sets, with a view to determining what  
132 is responsible for the anomalous polar craters, and is anything special about their cold floors.  
133 Section 2 contains descriptions of the various data sets that will be employed and the set of polar  
134 craters to be studied. Results concerning the variation of CPR with incidence angle and position  
135 within the crater, as well as a simple model showing the impact of parallax in the range measure-  
136 ment, are contained in Section 3. What these CPR measurements imply about the presence of  
137 polar water ice are discussed in Section 4, and conclusions drawn in Section 5.

## 138 2. Data

139 A number of different lunar data sets, available from the Geosciences Node of NASA’s Plan-  
140 etary Data System (PDS<sup>1</sup>), will be used. This section describes them briefly, as well as providing  
141 details of the set of north polar craters to be studied.

### 142 2.1. LOLA Topographical data

143 The polar stereographic Lunar Orbiter Laser Altimeter (LOLA) Digital Elevation Map (DEM)  
144 for the north pole, with a pixel size of 80 m, is used in this study (Smith et al., 2010). These data  
145 are used for finding craters using the algorithm defined in the Appendix, which returns crater  
146 locations, diameters ( $D$ ) and depths ( $d$ ), and also to determine surface normals and hence radar  
147 angles of incidence for the Synthetic Aperture Radar (SAR) observations.

### 148 2.2. Synthetic Aperture Radar data

149 Both the S-band (12.6 cm wavelength) CPR and reflected power (characterised through the  
150 first element of the Stokes vector,  $S_1$ ) polar stereographic mosaics for the Mini-SAR instrument  
151 on Chandrayaan-1 (Spudis et al., 2009) and Mini-RF on LRO (Nozette et al., 2010) are used  
152 here. These instruments use a hybrid polarity architecture (Raney, 2007), emitting circularly  
153 polarised radio waves and receiving two orthogonal linear polarisations coherently, enabling the  
154 Stokes vector of the returned signal to be fully reconstructed. The PDS mosaics of CPR and  
155  $S_1$  provide measurements with a pixel size of 75 m for Mini-SAR and  $\sim 118$  m for Mini-  
156 RF down to a latitude of  $\sim 70^\circ$ . Both of these instruments were side-facing, relative to the  
157 direction of spacecraft motion, with Mini-SAR having a nadir angle of  $\sim 33^\circ$  and Mini-RF  
158  $\sim 48^\circ$ . The currently available mosaics are neither controlled, to take into account the imperfect  
159 knowledge of the spacecraft trajectory, nor orthorectified to tie the images to an underlying base  
160 map such as that provided by the LOLA DEM. Orthorectification involves removing distortions  
161 in the inferred range distance, perpendicular to the direction of spacecraft motion, resulting from  
162 height variations in the topography affecting the return times of the radar pulses (Kirk et al., 2013;  
163 Campbell, 2002). The impact of this radar parallax effect is significant and will be considered in  
164 detail in this paper. These factors mean that the Mini-SAR and Mini-RF mosaics can be spatially  
165 offset from the base map set by the LOLA DEM by up to  $\sim 5$  km and  $\sim 2$  km respectively. The  
166 Mini-RF mosaic is a mixture of left- and right-looking measurements, with most pixels being

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<sup>1</sup><http://pds-geosciences.wustl.edu>

167 assigned the latest right-looking observation, with  $\sim 5\%$  of pixels being left-looking (R. Kirk,  
168 private communication). Consequently, the Mini-RF mosaic will not be used for the quantitative  
169 analysis towards the end of this paper. It should be noted that near to the poles, right-looking  
170 does not imply east-looking. For instance, when the detector is at the north pole, right-looking  
171 corresponds to facing south.

### 172 2.3. *Diviner data*

173 The Diviner infra-red radiometer on board LRO has measured fluxes from the lunar surface  
174 in nine different spectral bands, allowing surface temperatures to be inferred. From these data,  
175 with a model to account for the variation in solar illumination over time, maps of average and  
176 maximum temperatures can be calculated (Paige et al., 2010). Given the exponential dependence  
177 of both water molecule diffusion and sublimation rates on temperature, the map of maximum  
178 temperature is likely to be most relevant to the distribution of polar water ice and is used here.  
179 These  $T_{\max}$  values are provided in a set of triangular pixels poleward of  $75^\circ$  latitude, with a  
180 spatial resolution of  $\sim 500$  m.

### 181 2.4. *The crater set*

182 A set of polar craters was found by applying the algorithm described in the Appendix to the  
183 LOLA 80 m north pole stereographic DEM. Briefly, this method involves finding depressions  
184 in the surface by tracking to where ‘water’, placed uniformly across the surface, runs. Isolated  
185 ‘puddles’ provide possible candidates for simple, isolated craters that do not have significant  
186 sub-cratering. A crater-shaped filter is run over the DEM in the vicinity of sufficiently isolated  
187 depressions. This filter picks out circularly symmetric concave regions with a circular convex  
188 rim. The best match of the crater-shaped filter with the DEM defines the crater centre and radius,  
189  $r_c$ , and the value of the filtered DEM provides a quantitative measure of how crater-like each  
190 candidate is.

191 42 of the craters studied by Spudis et al. (2010) were matched to crater candidates in the  
192 LOLA DEM. Locations and radii are provided in Table 1 for this set. Note that, because the  
193 Mini-SAR and Mini-RF mosaics have not been orthorectified to the LOLA base map, there are  
194 different crater centres for each of these data sets. To determine the crater centres, their radii  
195 and approximate locations are taken from the crater-finding algorithm. The radar data are then  
196 visually aligned, matching the pattern of nearby craters in the LOLA DEM to those visible in  
197 the CPR and  $S_1$  maps. In the radar data, anomalous and fresh craters show up as regions of high  
198 CPR, with arcs of high  $S_1$  on the far crater walls. The accuracy with which this alignment can be  
199 used to estimate the positions of the crater rims is approximately 2 pixels, which is 150 m for the  
200 Mini-SAR data. This is less than 10% of the crater radius for almost all of the craters considered  
201 here. Having aligned the rims of the craters in this way, the pre-rectification centre locations are  
202 assumed to have the same uncertainty in position. A few of the craters studied by Spudis et al.  
203 (2010) are not included in the sample of 42 craters, either because they could not be confidently  
204 found in the CPR maps, or because their CPR and  $S_1$  distributions did not allow a clear centre to  
205 be inferred.

206 Figure 1 shows probability distributions for pixel CPR values measured from the Mini-SAR  
207 mosaic for the interiors and exteriors of all 42 craters. Craters 1 – 33 represent the “anomalous”  
208 ones with exterior CPR values being typically lower than interior ones, whereas numbers 34 – 42  
209 are fresh craters. For reference, crater 2 is the anomalous crater shown in figure 3 of Spudis et al.  
210 (2010).



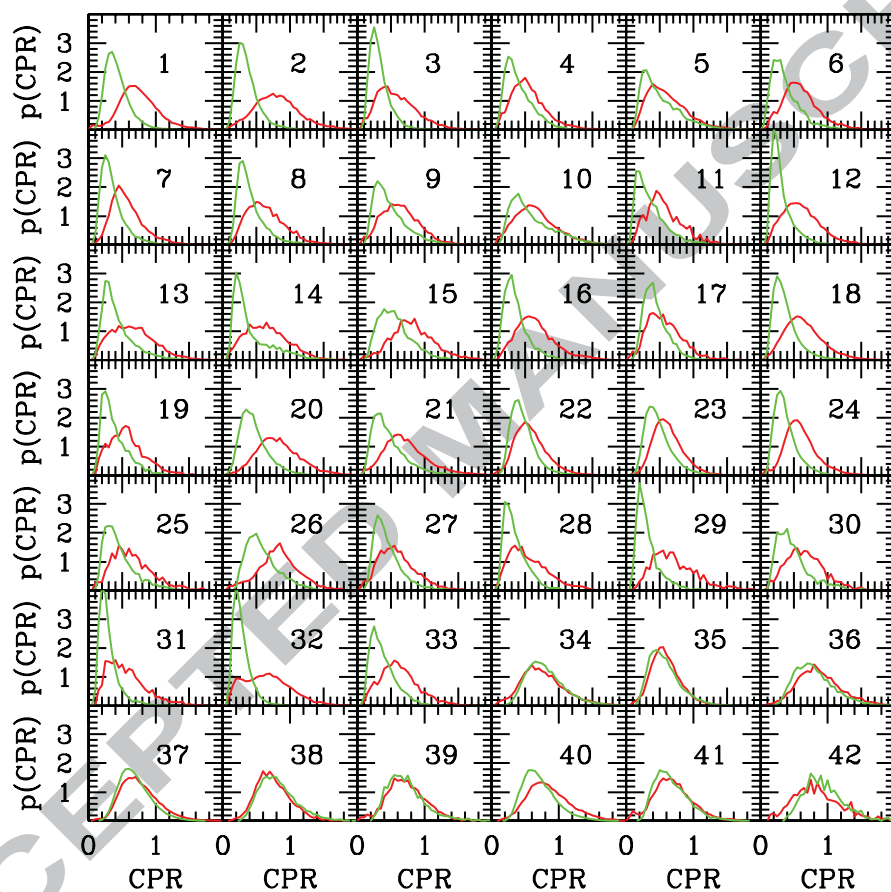


Figure 1: The distributions of pixel CPR for the 42 craters considered, measured from the unrectified Mini-SAR mosaic. Pixels interior to the crater are shown in red and those with radii satisfying  $1 < r/r_c < 1.5$  are shown in green. The anomalous craters (numbers 1-33) have significantly different interior and exterior pixel CPR distributions, with the interior distribution skewed to higher values than is seen from regions just outside the crater rim. The fresh craters (numbers 34-42) have very similar interior and exterior CPR distributions.

Table 1: Radii and locations for craters used in this study. Longitudes and latitudes are given in degrees. Different locations are used for the two radar data sets on account of the available mosaics not having been tied to the LOLA base map. Uncertainties on the locations are  $\sim 80$  m,  $\sim 150$  m and  $\sim 250$  m for LOLA, Mini-SAR and Mini-RF respectively.

| Crater # | Radius<br>$r_c$ /km | LOLA<br>(lat, lon) | Mini-SAR<br>(lat, lon) | Mini-RF<br>(lat, lon) |
|----------|---------------------|--------------------|------------------------|-----------------------|
| 1        | 6.0                 | 79.04, -148.4      | 78.89, -149.0          | 78.98, -148.4         |
| 2        | 4.3                 | 84.05, -156.4      | 83.88, -157.4          | 84.02, -156.5         |
| 3        | 3.2                 | 80.17, -124.6      | 80.07, -124.7          | 80.13, -124.7         |
| 4        | 3.8                 | 80.45, -122.6      | 80.33, -122.9          | 80.41, -122.8         |
| 5        | 3.6                 | 85.78, 25.2        | 85.68, 25.4            | 85.73, 24.9           |
| 6        | 2.9                 | 85.75, 43.6        | 85.69, 44.7            | 85.72, 43.5           |
| 7        | 5.3                 | 86.99, 28.6        | 87.08, 30.1            | 86.94, 28.2           |
| 8        | 2.7                 | 88.08, 39.9        | 88.10, 43.9            | 88.05, 40.6           |
| 9        | 3.4                 | 87.73, 16.9        | 87.66, 19.0            | 87.74, 15.7           |
| 10       | 2.9                 | 87.97, 29.9        | 88.21, 29.4            | 87.97, 28.2           |
| 11       | 1.7                 | 89.13, 59.5        | 89.09, 69.8            | 89.10, 60.9           |
| 12       | 3.3                 | 88.19, 63.4        | 88.20, 67.4            | 88.15, 63.5           |
| 13       | 2.8                 | 86.59, 93.2        | 86.47, 93.6            | 86.56, 92.6           |
| 14       | 2.5                 | 88.75, 47.1        | 88.69, 52.3            | 88.72, 48.0           |
| 15       | 1.9                 | 81.80, -110.0      | 81.65, -111.1          | 81.75, -110.0         |
| 16       | 2.4                 | 82.67, -83.6       | 82.53, -84.6           | 82.62, -83.7          |
| 17       | 2.0                 | 82.75, -80.8       | 82.62, -81.9           | 82.70, -80.9          |
| 18       | 8.7                 | 80.26, -50.1       | 80.19, -50.3           | 80.22, -50.2          |
| 19       | 1.9                 | 86.31, -89.1       | 86.17, -90.1           | 86.27, -89.4          |
| 20       | 4.1                 | 87.14, -86.3       | 86.99, -87.4           | 87.17, -86.1          |
| 21       | 4.8                 | 81.65, -23.9       | 81.58, -24.1           | 81.59, -23.9          |
| 22       | 3.8                 | 85.14, -166.7      | 84.97, -167.9          | 85.11, -166.8         |
| 23       | 9.6                 | 87.98, -52.2       | 87.91, -52.7           | 88.00, -51.7          |
| 24       | 5.3                 | 83.75, -13.8       | 83.67, -14.4           | 83.71, -14.0          |
| 25       | 2.0                 | 86.19, -177.5      | 86.01, -178.8          | 86.14, -177.6         |
| 26       | 2.8                 | 86.81, -13.9       | 86.72, -14.4           | 86.77, -14.6          |
| 27       | 2.5                 | 84.99, -2.0        | 84.90, -2.7            | 84.95, -2.2           |
| 28       | 2.4                 | 87.83, 113.0       | 87.67, 111.1           | 87.81, 112.3          |
| 29       | 1.8                 | 86.81, 116.1       | 86.80, 118.5           | 86.78, 115.4          |
| 30       | 1.8                 | 85.93, 111.7       | 85.80, 111.4           | 85.90, 111.3          |
| 31       | 1.5                 | 85.43, 105.3       | 85.32, 105.3           | 85.40, 105.0          |
| 32       | 5.4                 | 81.15, 137.7       | 81.22, 138.3           | 81.12, 137.6          |
| 33       | 2.3                 | 82.12, 92.3        | 81.99, 91.7            | 82.09, 92.1           |
| 34       | 6.5                 | 81.45, 22.6        | 81.35, 22.6            | 81.40, 22.9           |
| 35       | 4.7                 | 84.86, 35.6        | 84.76, 35.7            | 84.81, 35.5           |
| 36       | 2.3                 | 87.69, 30.8        | 87.74, 33.9            | 87.68, 29.6           |
| 37       | 9.8                 | 82.42, -68.7       | 82.32, -68.7           | 82.38, -68.8          |
| 38       | 2.7                 | 84.48, -132.4      | 84.34, -133.1          | 84.44, -132.3         |
| 39       | 1.6                 | 81.62, -161.7      | 81.51, -161.4          | 81.58, -161.7         |
| 40       | 6.4                 | 84.82, -172.2      | 84.67, -173.0          | 84.79, -172.4         |
| 41       | 2.8                 | 80.93, 117.1       | 80.82, 117.4           | 80.88, 117.0          |
| 42       | 1.2                 | 86.16, 71.0        | 86.06, 71.6            | 86.12, 70.7           |



### 211 3. Results

212 The different CPR distributions for pixels interior and exterior to the polar anomalous craters  
213 are clearly seen in Figure 1. This section contains the results from a more detailed analysis of  
214 what gives rise to these differences.

#### 215 3.1. Stacking craters

216 If the anomalously high interior CPR measurements in polar craters were the result of sig-  
217 nificant deposits of water ice, then one might expect to see a variation of CPR with the posi-  
218 tion within the crater, reflecting varying insolation, temperature and hence water ice stability  
219 (Vasavada et al., 1999). To enhance the signal-to-noise, all 33 anomalous craters have been  
220 stacked together to produce the Mini-SAR CPR map shown in Figure 2. The stacking process  
221 involves dividing each pixel's CPR by the mean crater interior CPR and the distance from the  
222 centre is expressed as a fraction of the distance to the crater's edge. The map for each crater is  
223 rotated to have the north pole at the top, and the final stacked map is the mean of these processed  
224 crater maps. It is apparent from the figure that the highest CPR is typically on the poleward side  
225 of the crater, with a distinctive horseshoe pattern of higher CPR around the crater walls.

226 Stacking the same 33 anomalous craters together using the Mini-RF mosaic gives rise to the  
227 CPR map in Figure 3. Once again a horseshoe-shaped high CPR region is seen, only in a different  
228 part of the stacked crater. Given that the lunar surface will not have changed significantly during  
229 the period between Mini-SAR and Mini-RF data collection, it can be inferred that this difference  
230 reflects a change in the viewing geometry, as anticipated by the model of Fa et al. (2011) (see  
231 their figure 13).

232 This conclusion is strengthened by the corresponding stacked maps of the returned power  
233 shown in Figures 4 and 5, which are determined from the  $S_1$  mosaics. Higher returned power  
234 suggests the transmitted radiation is nearer to normal incidence on the surface. Consequently,  
235 there will be greater specular reflection and a lower returned CPR. Thus, the highly reflective  
236 parts of the stacked returned power maps correspond to the low parts in the CPR maps. When  
237 the surface is viewed at larger angles of incidence, the multiply scattered radiation becomes  
238 increasingly important and the returned CPR increases while the returned power decreases. The  
239 stacked crater maps shown in these figures all have north to the top, but the radar look direction  
240 does not always have the same bearing because the side-facing detector will change its look  
241 direction near to the pole. In addition to having different look directions for the different craters  
242 contributing to the stacked map, the incidence angle in any given pixel will vary between craters  
243 as they have a variety of diameter-to-depth ratios. Consequently, these stacked maps are for  
244 illustrative purposes only, and all subsequent radar results treat the craters individually, using  
245 a look direction inferred by determining the position of the maximum reflected power in that  
246 crater's  $S_1$  map.

247 From these figures, it is clear that the largest factor affecting the CPR maps of these polar  
248 craters is the angle of incidence of the observations. As the Mini-RF mosaic includes both left  
249 and right-looking measurements it will not be possible to infer an appropriate, reliable single  
250 crater look direction from the mosaic, so attention will now be focussed onto the Mini-SAR data.

#### 251 3.2. Slopes and parallax

252 Given that the angle of incidence is a complicating, and for the purposes of learning about the  
253 lunar surface uninteresting, factor driving the CPR distribution within the polar craters, it would  
254 be good to remove its effect. While there have been models of how CPR varies with angle of

255 incidence (Thompson et al., 2011; Fa et al., 2011), a more robust approach involves determining  
 256 the dependence using the data themselves.

257 Each crater has an  $S_1$  map with a high spot that should be nearest to normal incidence for  
 258 the incoming radar. This is defined within a cone of opening angle  $20^\circ$  from the centre of  
 259 the crater, and is used to define the azimuthal look direction of the detector appropriate to this  
 260 particular crater. In combination with the nadir angle of the detector, this provides a vector  
 261 for the incoming radiation. Finite differencing methods applied to the LOLA DEM provide a  
 262 local surface normal. The scalar product of these unit vectors yields the cosine of the angle of  
 263 incidence for each pixel in each of the craters being considered. In this way, each pixel CPR can  
 264 be mapped to a corresponding angle of incidence.

265 One final, but crucial, complication is to determine to which bit of the surface does an unrec-  
 266 tified Mini-SAR mosaic pixel correspond. The effect of parallax in radar range measurements  
 267 distorts the inferred pixel position because the mapping of return signal time to distance should  
 268 account for variations in the height of the surface being mapped (Campbell, 2002). As the Mini-  
 269 SAR crater positions have been individually chosen such that the crater rims appear to line up  
 270 correctly (something that the stacked CPR and  $S_1$  mosaics imply has been done reasonably well),  
 271 the mean altitude of the crater rim is set as the reference height. All other points within  $1.5r_c$   
 272 of the crater centre are then shifted a distance  $p$  away from the detector in the range direction using

$$\Delta h = p \tan \alpha, \quad (1)$$

273 where  $\Delta h$  represents the change in height, at the shifted position, relative to the reference height,  
 274  $p$  is the parallax, and  $\alpha$  is the angle of incidence of the radar (see section 4.11 in Campbell,  
 275 2002). An iterative procedure is necessary because the parallax displacements depend upon  
 276 the topography at to-be-determined positions in the DEM. This shift moves unrectified pixels  
 277 within the crater having  $\Delta h < 0$  to positions that are nearer to the detector (i.e.  $p < 0$ ). As  
 278 a consequence, equally spaced pixels in the distorted, unrectified map preferentially sample the  
 279 near crater wall at higher angles of incidence.

280 Having determined which part of the LOLA DEM should be matched to each pixel in the  
 281 vicinities of the craters being considered, the dependence of pixel CPR on the angle of incidence  
 282 can be determined. Figure 6 shows the median dependence of the pixel values for each of the 33  
 283 anomalous north pole craters being considered here. The median of these curves is shown with  
 284 the bold black line, which can be well described by the linear fit

$$CPR(\theta) = 0.27 + 0.68(\theta/90^\circ) \quad (2)$$

285 where  $\theta$  represents the angle of incidence in degrees. The crater interior shows a strong trend  
 286 of increasing CPR with increasing angle of incidence, although the individual crater values have  
 287 a non-negligible scatter about this median relation. A bold green line traces the median depen-  
 288 dence for the 33 crater exterior regions out to  $1.5r_c$ , and clearly shows lower CPR values for  
 289 intermediate angles of incidence than are typical inside these craters. While the exterior CPR  
 290 does become more similar to the interior crater values at high and low angles of incidence, it is  
 291 possible that this is a consequence of inaccuracies in defining the crater edges in the Mini-SAR  
 292 mosaic.

293 This measurement of the variation of CPR with angle of incidence could contain depen-  
 294 dencies on hidden surface properties that have not been considered, but it serves as a useful  
 295 starting point for constructing a simple model with which to investigate just how important the

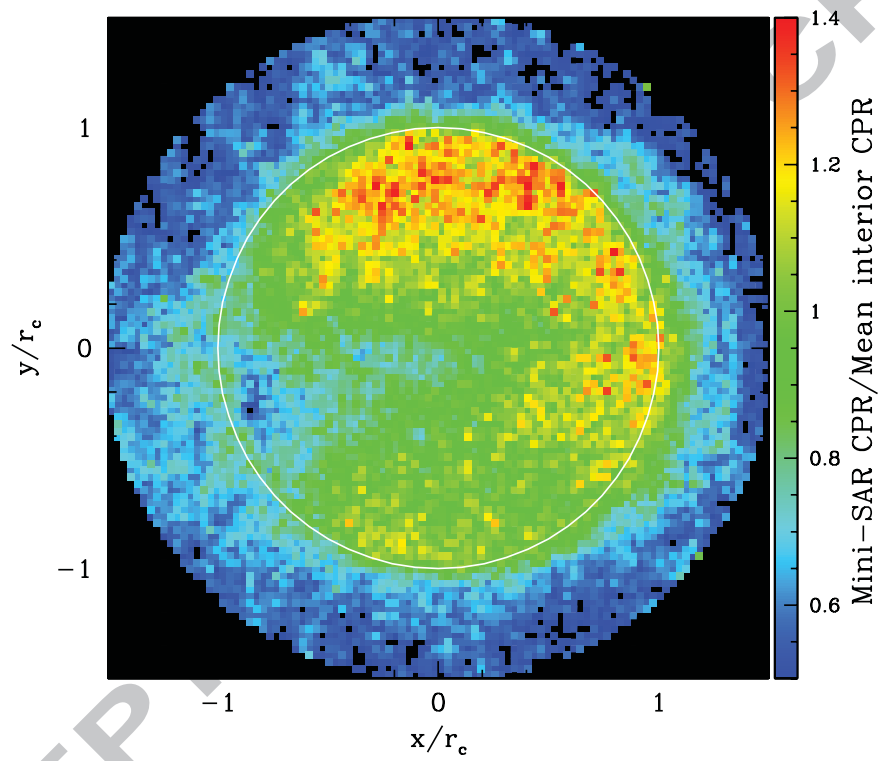


Figure 2: The stacked relative CPR map for the 33 anomalous craters. Each crater map is divided by the mean pixel CPR interior to the crater and rotated to have north at the top before they are stacked together. The white circle represents the edge of the craters contributing to the average.

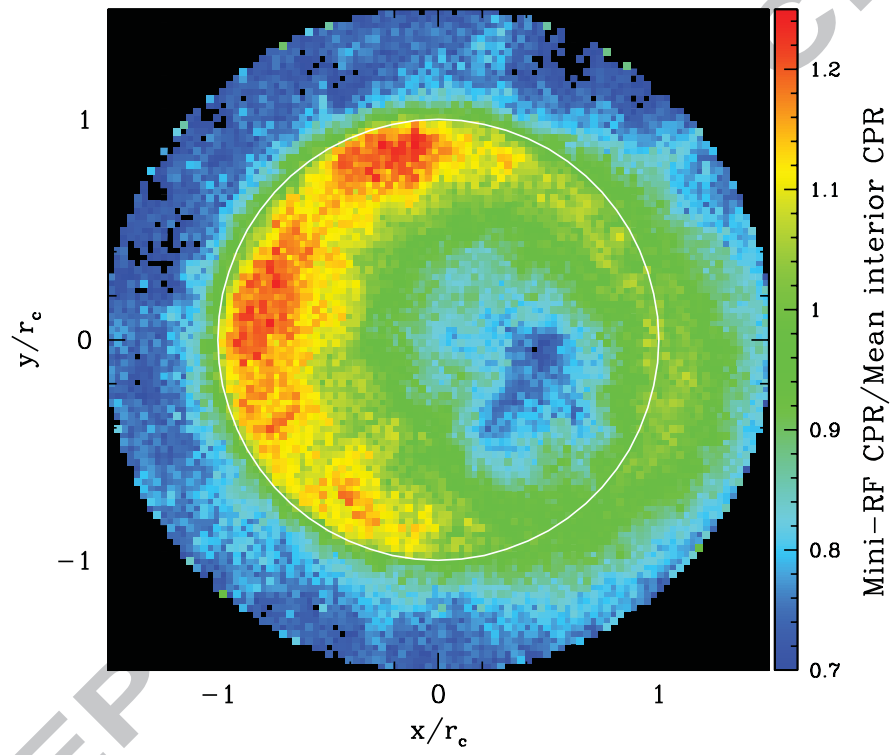


Figure 3: The stack of the 33 anomalous crater relative CPR maps using the LRO Mini-RF unrectified mosaic.

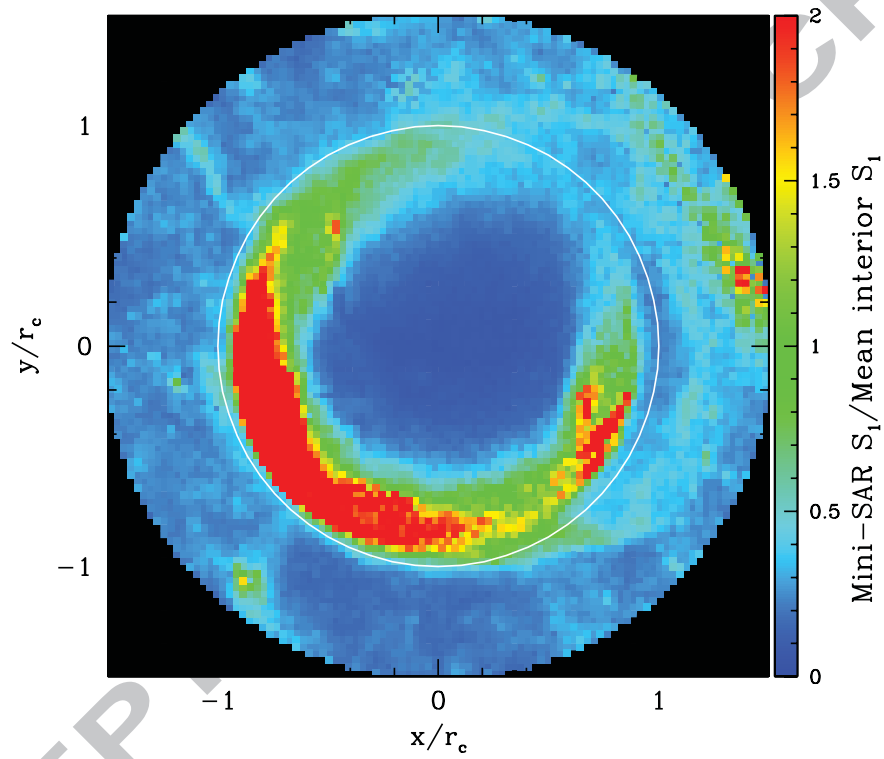


Figure 4: The stacked relative returned power, represented by the first element of the Stokes vector,  $S_1$ , for observations of the 33 anomalous craters made by Mini-SAR. All craters are aligned so that north points to the top of the image before stacking.

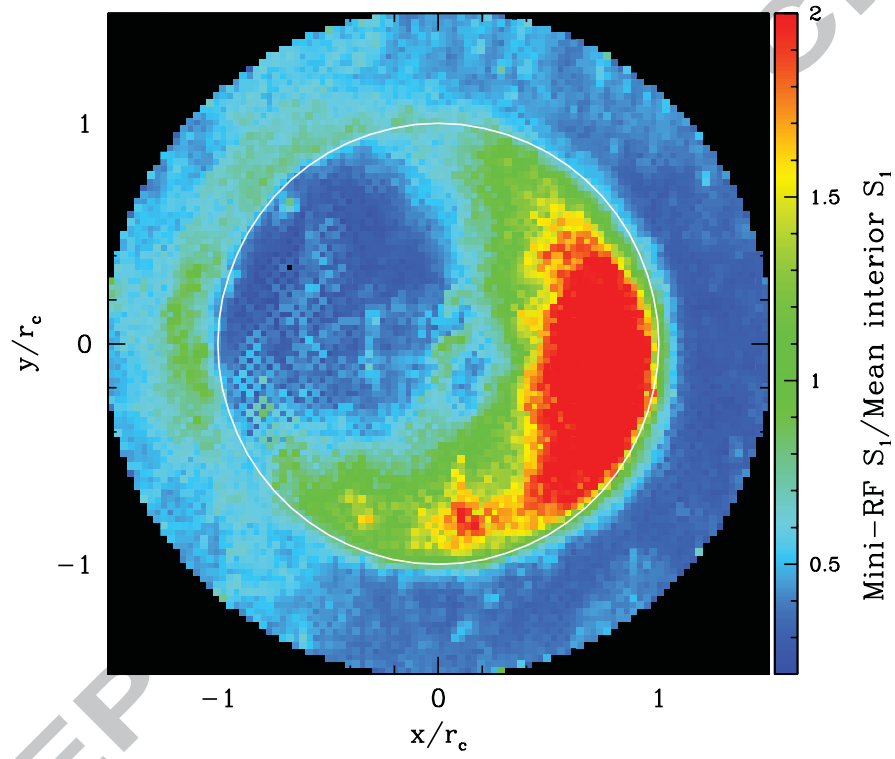


Figure 5: The equivalent of Fig. 4 for the LRO Mini-RF  $S_1$  mosaic.



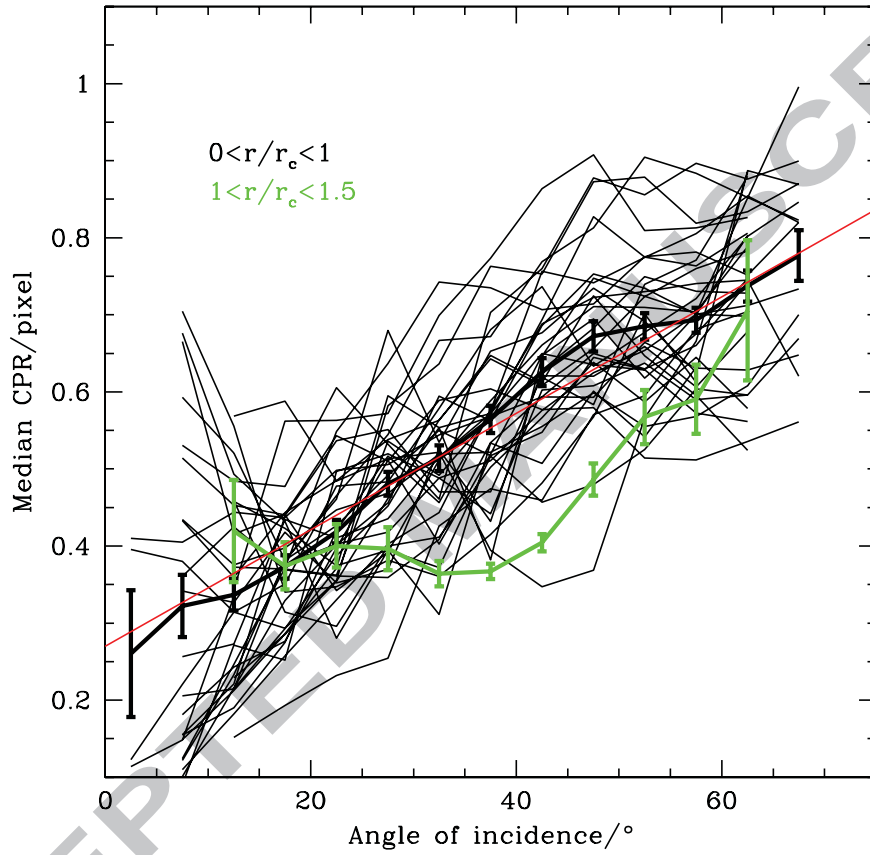


Figure 6: The variation of median CPR as a function of angle of incidence between the incident radar and the surface normal for the 33 anomalous craters. The light black lines show the individual crater median pixel CPR curves, and the heavy black line is the median of these values. Error bars show an estimate of the statistical uncertainty on the inferred median based on the 16th and 84th percentiles of the distribution of CPR values from the individual craters at each angle of incidence and the assumption that this distribution is Gaussian. The heavy green line is the median over all craters for the crater exterior out to  $1.5r_c$ . Positions have been rectified to account for the parallax prior to determining into which radial range they fall. The red line shows a straight line fit to the median interior CPR relation.

296 rectification process is. A model crater was created with diameter  $2r_c = 6$  km, and a diameter-  
 297 to-depth ratio of 5.5, typical of the anomalous polar craters considered here. The radial height  
 298 profile,  $a(x)$ , with  $x = r/r_c$  being the radius in terms of the crater radius, was defined via  
 299  $y(x) = a(x)/r_c$ , where

$$y(x) = \begin{cases} y_0 + \eta x^2 & \text{if } x \leq x_1, \\ y_1 + y'_1(x - x_1) & \text{if } x_1 \leq x \leq x_2, \\ y_2 + \beta[(x_2 - 1)^2 - (x - 1)^2] & \text{if } x_2 \leq x \leq x_3, \\ y_3 + \gamma[(x - x_4)^2 - (x_3 - x_4)^2] & \text{if } x_3 \leq x \leq x_4, \\ y_4 & \text{if } x_4 \leq x. \end{cases} \quad (3)$$

300  $y_0$  represents the central depth divided by the crater radius, which is just twice the reciprocal of  
 301 the diameter-to-depth ratio, while  $y_n$  for  $n > 0$  is the value of  $y$  evaluated at  $x_n$ .  $y'_1$  denotes  
 302  $dy/dx$  evaluated at  $x_1$ . With the outer boundary condition set as  $y_4 = -0.04$  at  $x_4 = 1.5$  and  
 303 the two inner curvatures chosen to be  $\eta = 1$  and  $\beta = 2$ , the requirements that the function is  
 304 continuous and differentiable sets the remaining constants via

$$x_1 = \frac{1 - \sqrt{1 - \frac{y_0}{\eta}(1 + \eta/\beta)}}{1 + \eta/\beta} \quad (4)$$

$$x_2 = 1 - \frac{\eta}{\beta} x_1 \quad (5)$$

$$x_3 = 1 - \frac{y_4}{\beta(x_4 - 1)} \quad (6)$$

$$\gamma = \frac{\beta(x_3 - 1)}{x_4 - x_3}. \quad (7)$$

305 This cross-section for the model crater is shown in Figure A.17 and has a maximum smooth  
 306 slope for the crater wall of  $\tan^{-1}y'_1 \approx 23^\circ$ . A regular 75 m grid of pixels was created out to  
 307  $x_4 = 1.5$  from the crater centre. Assuming that these pixels were unrectified, the corresponding  
 308 rectified positions in the crater were calculated, the angles of incidence to the nominal detector  
 309 with a nadir angle of  $33^\circ$  were inferred and CPR values were assigned according to equation (2).

310 The resulting unrectified CPR mosaic is shown in Figure 7 from which it can be seen that  
 311 the high CPR values associated with the near wall, viewed at large angles of incidence, occupy  
 312 a significantly larger fraction of the crater interior pixels than the more nearly normal incidence  
 313 parts of the far wall. Figure 8 shows the same pixels shifted to the parts of the crater that they  
 314 actually sample. With the effect of parallax removed from the map, it becomes apparent just  
 315 how the pixels are biased to measure the CPR of the near wall of the crater. Even with 75 m  
 316 unrectified resolution of a 6 km diameter crater, there are significant parts of the far wall that are  
 317 completely unsampled.

318 The impact of this uneven sampling of the crater on the probability distribution of pixel CPR  
 319 values is shown in Figure 9. Dashed red and green lines show how the interior and exterior pixel  
 320 CPR distributions can look significantly different, despite both being drawn from an identical  
 321 relation for CPR as a function of angle of incidence. The peak of the distribution shifts from  
 322 a CPR of  $\sim 0.5$  to  $\sim 0.7$ , as a result only of the bias caused by using a mosaic uncorrected  
 323 for the effect of parallax and the dependence of CPR on angle of incidence. These pixel CPR  
 324 distributions are much more sharply peaked than those in Figure 1 that were measured for real  
 325 craters using the Mini-SAR mosaic. One way in which the distribution would be broadened

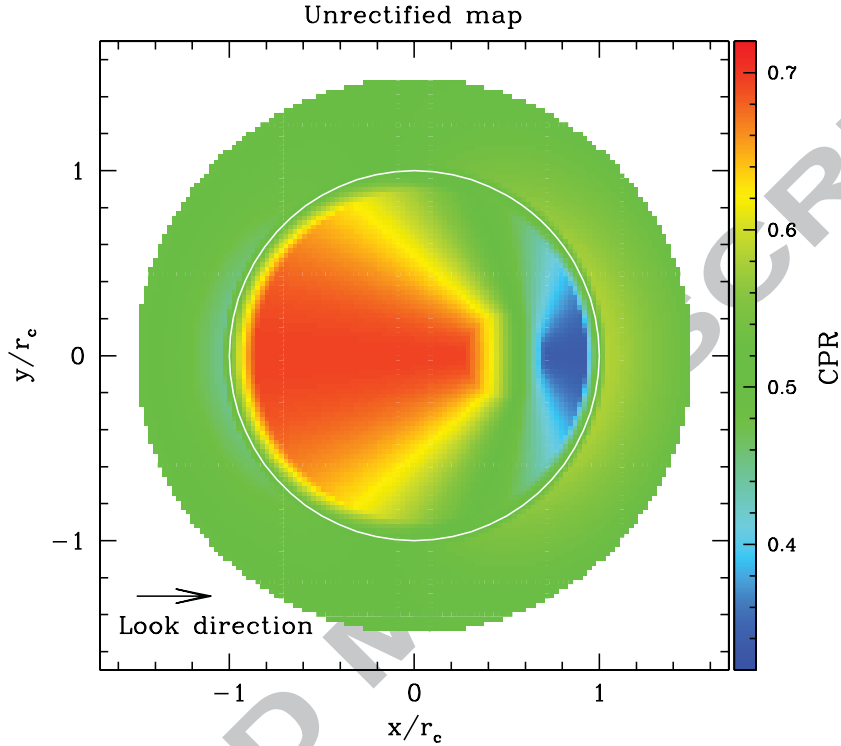


Figure 7: An unrectified CPR mosaic of a model crater with  $r_c = 3\text{km}$ , a diameter-to-depth ratio of 5.5 and a rim height of  $0.04r_c$ . The model SAR is looking from the left with a look angle of  $33^\circ$  and the mosaic has  $75\text{m}$  square pixels.

326 would be if there were significant statistical uncertainties on the measurements. The solid lines  
 327 in Figure 9 show that including a 40% scatter in the assumed CPR at any particular angle of  
 328 incidence produces distributions that look not unlike those from a few of the anomalous craters.  
 329 Is it reasonable that such large observational uncertainties exist? This can be indirectly ad-  
 330 dressed by considering the variation in CPR between adjacent pixels in the Mini-SAR mosaic.  
 331 The root mean square fractional difference in CPR varies only slightly across the whole polar  
 332 region, and typically has a value of 25 – 30% in the vicinity of the craters studied here. This  
 333 represents an upper limit on the size of the statistical uncertainties in the mosaic CPR values,  
 334 because some of these variations on small scales are presumably the result of varying surface  
 335 properties. Thus, it can be safely concluded that observational uncertainties in conjunction with  
 336 slopes and the bias introduced by parallax are not sufficient to explain the measurements. This  
 337 implies that there must be some additional process responsible for changing the CPR in a sys-

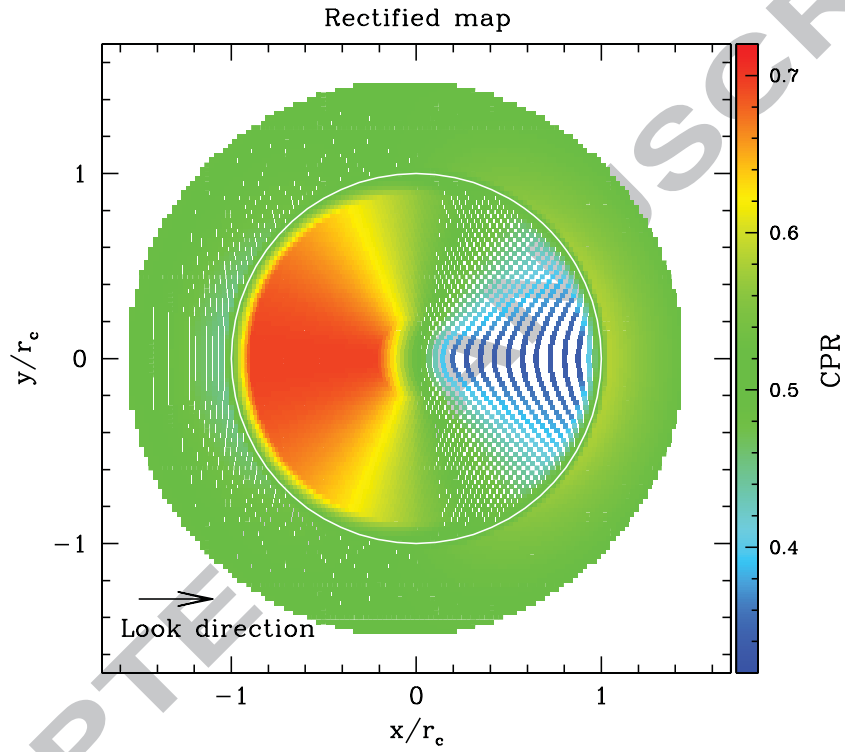


Figure 8: The rectified version of Fig. 7, with each coloured point showing the true position within the crater that it samples. White regions show parts of the crater into which none of the unrectified mosaic pixels are mapped when the parallax correction moves pixels beneath the crater rim toward the detector. The colour relates directly to the angle of incidence at which the surface is viewed through equation (2).

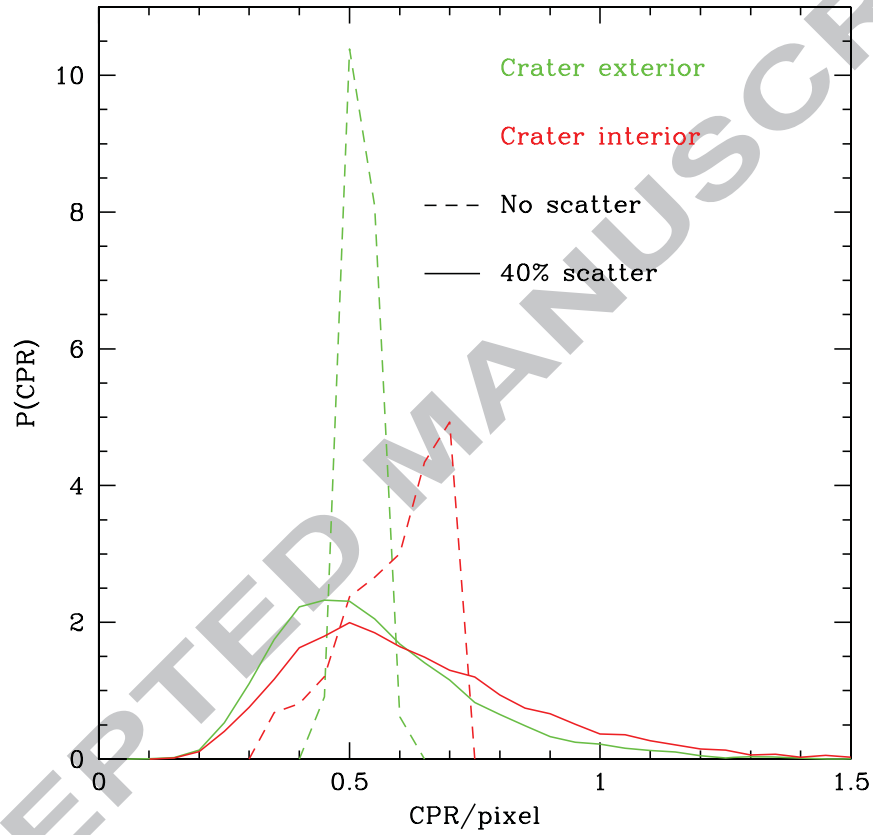


Figure 9: The distribution of pixel CPR values for the interior (red) and exterior (green) of the model crater. Dashed lines show results when no scatter is added in the model CPR value at a given angle of incidence, whereas the solid lines show the effect of including a 40%  $1\sigma$  Gaussian scatter around the median value.

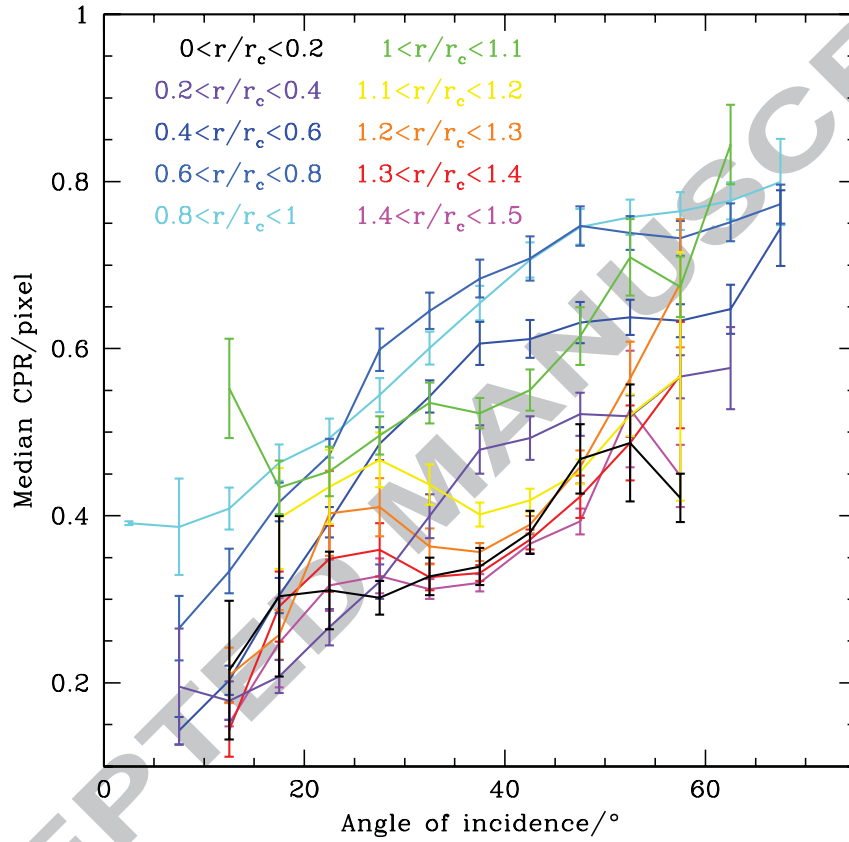


Figure 10: The variation of median CPR as a function of angle of incidence between the incident radar and the surface normal for the 33 anomalous craters. Values show the median of the individual crater values that contribute to each increment of incidence angle. Error bars show an estimate of the statistical uncertainty on the inferred median based on the 16th and 84th percentiles of the distribution of CPR values from the individual craters at each angle of incidence and the assumption that this distribution is Gaussian. The different colours represent different radial ranges of pixels. Positions have been rectified to account for the parallax prior to determining into which radial range they fall.



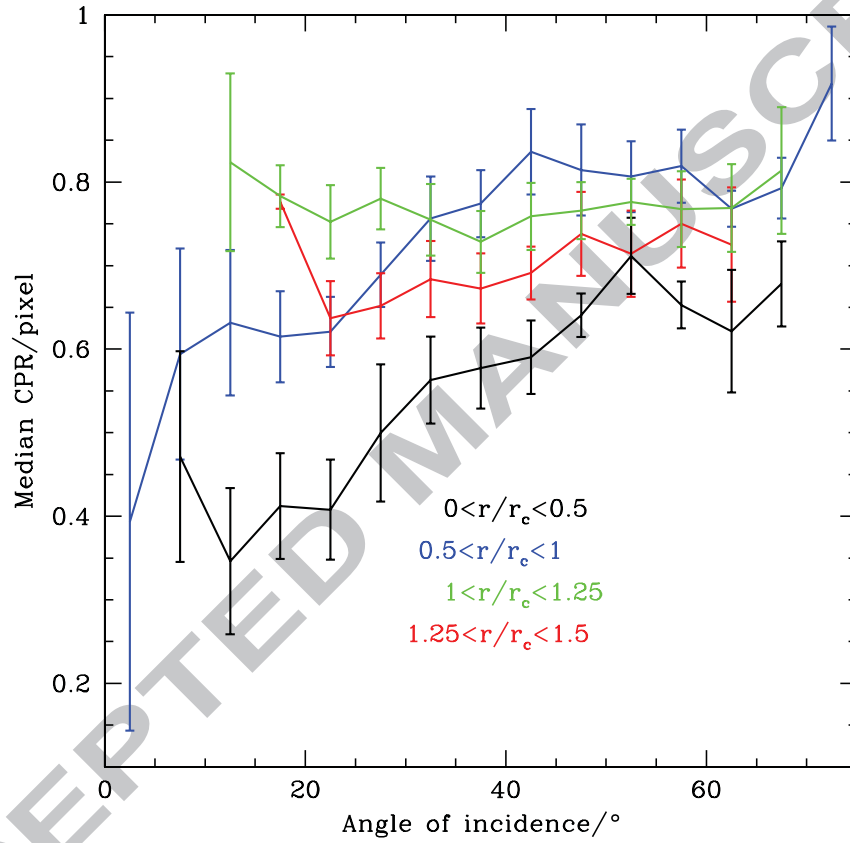


Figure 11: The equivalent of Fig. 10 for the 9 fresh craters. Wider radial ranges are used to suppress statistical noise in the median CPR estimates.

338 thematic way and that the interior surfaces of these polar anomalous craters are typically different  
339 from their exteriors in more complicated ways than merely having steeper slopes.

### 340 3.3. The radial variation of CPR

341 Having determined that the angle of incidence is not solely responsible for the differences  
342 between anomalous crater interiors and exteriors, the challenge shifts to trying to determine what  
343 other factors are affecting the CPR. Figure 10 shows how the median pixel CPR varies with angle  
344 of incidence for different radial ranges both inside and outside the anomalous craters. The pixels  
345 are placed into the different radial bins based on their rectified positions within the crater. For all  
346 different radial ranges the shape of the median CPR variation with angle of incidence is similar.  
347 Only the amplitude changes with radius. The central region of the typical crater has CPR values  
348 that are indistinguishable from those of pixels in the crater exterior with  $1.2 < r/r_c < 1.5$ . Out  
349 to  $r/r_c \sim 0.8$ , the CPR at a given angle of incidence increases systematically with increasing  
350 radius. Inaccuracies in determining the precise crater locations may scramble any trends at radii  
351 around  $r_c$ , but there is a sharper drop in the CPR outside the crater edge than is seen inside  
352 the crater. No difference is seen in the results shown in Figure 10 when the anomalous crater  
353 sample is split in half either by crater radius or latitude. The increased CPR at any given angle  
354 of incidence seems to increase with increasing local slope. At radii satisfying  $0.5 \lesssim r/r_c \lesssim 1$ ,  
355 where the CPR is largest for a given angle of incidence, the azimuthally-averaged slopes are  
356 typically  $\sim 25^\circ$ . However, the inaccuracy in the alignment of CPR and DEM maps and the  
357 relatively poor spatial resolution preclude a more detailed comparison of CPR with local slope  
358 at present.

359 The corresponding results for the 9 fresh craters are shown in Figure 11. Wider bins in  
360 radius are used to prevent the results becoming too noisy given the relatively small number  
361 of fresh craters. The variation of CPR with angle of incidence is much weaker than for the  
362 anomalous craters. Also, the radial variation, while qualitatively similar to that seen for the  
363 anomalous craters, is less pronounced. This is consistent with what one might expect from a  
364 surface containing a uniform scattering of blocky ejecta behaving like corner reflectors.

365 Maps of the variation of CPR relative to the typical value at each incidence angle in each  
366 crater are shown in Figure 12. Although the maps are quite heterogeneous, the relatively low  
367 CPR values tend to be either in the crater centres or on the far wall as viewed by the detector.  
368 Arrows show the direction in which each crater is viewed, as determined from the high spots in  
369 the individual crater  $S_1$  maps. Relatively high CPR values tend to be concentrated onto the crater  
370 walls. The median CPR values as a function of incidence angle are determined from rectified  
371 pixels satisfying  $r/r_c < 0.8$ . This is done to prevent errors arising from misalignments between  
372 the Mini-SAR mosaic and the LOLA DEM. Near to the crater rim, the slopes change rapidly,  
373 such that any misalignments between data sets would lead to pixels being assigned very wrong  
374 incidence angles, biasing the inferred CPR as a function of incidence angle. This effect may be  
375 behind the slightly non-monotonic behaviour noted in Figure 10 for the radial bins adjacent to  
376 the rim.

377 Figure 13 is included to help the interpretation of the relative CPR maps in Figure 12. It  
378 shows how the angle of incidence varies with position within the model crater used in Section 3.2,  
379 and is effectively just a rescaled version of Figure 8. The comparison of local CPR with that at  
380 comparable angles of incidence, given in Figure 12 within each crater, is showing along a line of  
381 constant colour in Figure 13, with the orientation set by the azimuthal look direction, where are  
382 the higher and lower values of CPR.

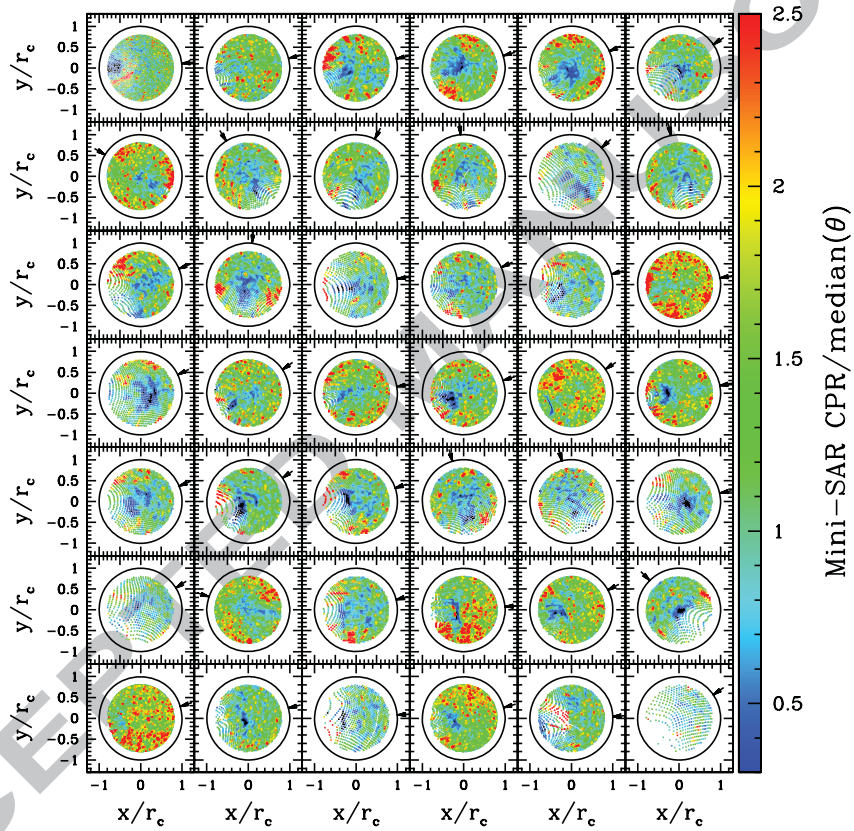


Figure 12: Maps of Mini-SAR CPR/median CPR at that incidence angle for each of the 42 craters. The craters are ordered as in Figure 1 and the pixels are plotted at their rectified locations, with north to the top. Median CPR as a function of incidence angle is calculated for each of the craters individually, using only the pixels with rectified radii having  $r/r_c < 0.8$ . Black arrows show the azimuthal look direction inferred from the  $S_1$  mosaic for each crater.

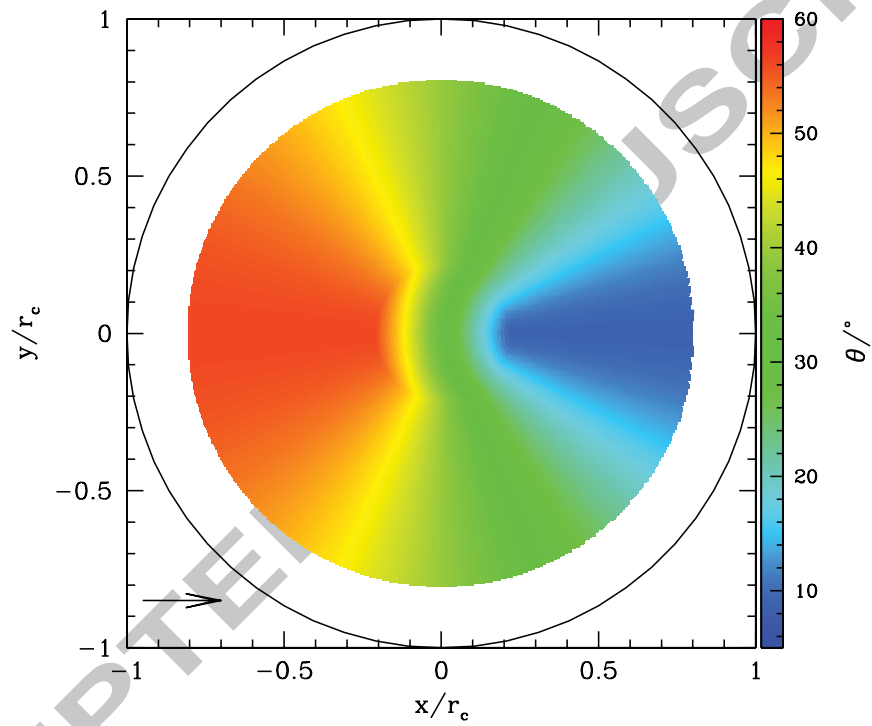


Figure 13: The distribution of incidence angle for the model crater considered in Section 3.2. This shows which parts of a typical crater are viewed at the same angle of incidence, and represents a remapped version of Fig. 8. The detector is looking along the  $+x$  direction at the model crater, as shown by the black arrow.

#### 383 4. Implications for the detection of water ice

384 The results in the previous section showed that high CPR regions within polar anomalous  
385 craters, once angle of incidence effects are removed to the extent that is possible with the data  
386 sets being used here, tend to be found on the steep crater walls. This finding matches that  
387 of Thomson et al. (2012) from their detailed study of Shackleton crater. Figure 14 shows the  
388 stacked map of the maximum temperature,  $T_{\max}$ , relative to the mean maximum temperature  
389 within each crater, inferred from Diviner measurements for the 33 anomalous craters. For all  
390 craters, the largest interior  $T_{\max}$  values exceed 290K and are found on the equator-facing walls,  
391 where direct sunlight can occasionally be seen. The stacked pole-facing slope and crater floor  
392 have the lowest maximum temperatures, typically 70K but ranging from 30 – 130K, because  
393 they only ever receive reflected sunlight. Given that surficial water ice should be stable against  
394 sublimation for temperatures beneath  $\sim 100$ K, one might well expect any water ice to be located  
395 in these relatively cold regions within the craters. This pattern of maximum temperatures is  
396 similar to that seen in the average temperatures, and neither of them reflect the variation of CPR,  
397 as might be expected if significant deposits of water ice were responsible for the elevated interior  
398 CPRs in the anomalous polar craters.

399 It is possible that water ice could be insulated by a layer of mantling regolith, in which  
400 case the CPR variations within anomalous craters might not be expected to reflect those in the  
401 temperature. Perhaps the central regions of craters are covered by too much regolith for the  
402 radar to see underlying water ice. In contrast, the steep crater sides should not be covered by  
403 deep regolith. However, in these regions, the CPR variations still do not reflect the variations in  
404 temperature determined using Diviner data.

405 Using the set of 154 topographically selected polar craters described in the Appendix, one  
406 can look at the diameter-to-depth ratios of the fresh and anomalous craters relative to a set that  
407 have been found without reference to their CPR properties. The mean diameter-to-depth ratios of  
408 the fresh and anomalous craters are  $D/d \sim 5.0$  and  $5.9$  respectively. Increasing  $D/d$  would be  
409 expected as craters age, because the depths decrease over time while the diameters change little.  
410 These measurements are therefore consistent with the picture of the anomalous craters being  
411 older than the fresh ones. However, the topographically selected craters have even larger  $D/d$   
412 values, with a mean of  $\sim 7.0$ . Could these differences be driven by the crater diameter-to-depth  
413 ratio varying with crater size? Figure 15 shows the different crater sets as a function of crater  
414 diameter. The solid black line represents the median  $D/d$  for the topographically selected craters  
415 binned into three different diameter ranges, whereas the green line shows the relation found by  
416 Pike (1974) for a set of fresh lunar craters. It is clear that the anomalous craters typically have  
417 lower diameter-to-depth ratios than the set of polar craters selected only on topography. Under  
418 the assumption that  $D/d$  is a proxy for crater age, one therefore infers that the anomalous craters,  
419 while older than the fresh ones, are still less mature than typical craters in the north polar region.  
420 This is again suggestive that the effects of micrometeorite bombardment on the steep crater walls  
421 have not yet acted to remove all of the rocks or roughness that give rise to high CPR values.

422 If micrometeoritic bombardment is isotropic and the blocky debris from the crater forming  
423 impacts is weathered away at similar rates inside and outside polar craters, then these results im-  
424 ply that processes are preferentially acting on the steep slopes to refresh the near-surface rough-  
425 ness to which the CPR is sensitive. This picture is consistent with the findings of Bandfield  
426 et al. (2011), who use the thermal inertia determined from Diviner measurements to infer rock  
427 abundances and regolith thicknesses. They find extra rockiness on steep crater walls relative to  
428 crater floors and crater exteriors, which is in qualitative agreement with what is inferred in this

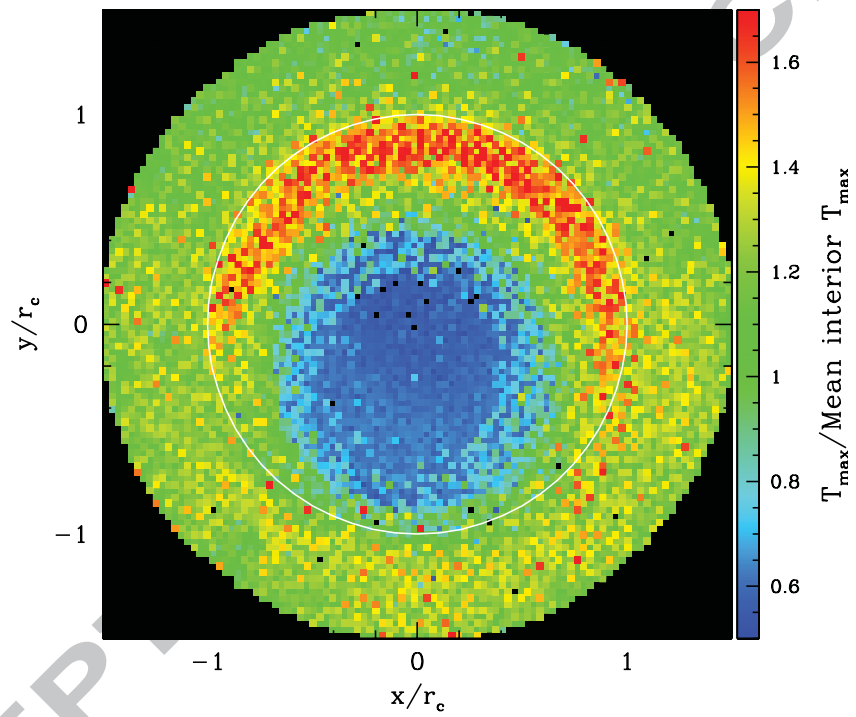


Figure 14: The stacked Diviner-inferred pixel  $T_{\max}$  relative to the mean within each crater for the 33 anomalous craters. North is upwards, so the relatively cold part of the average crater is pole-facing.



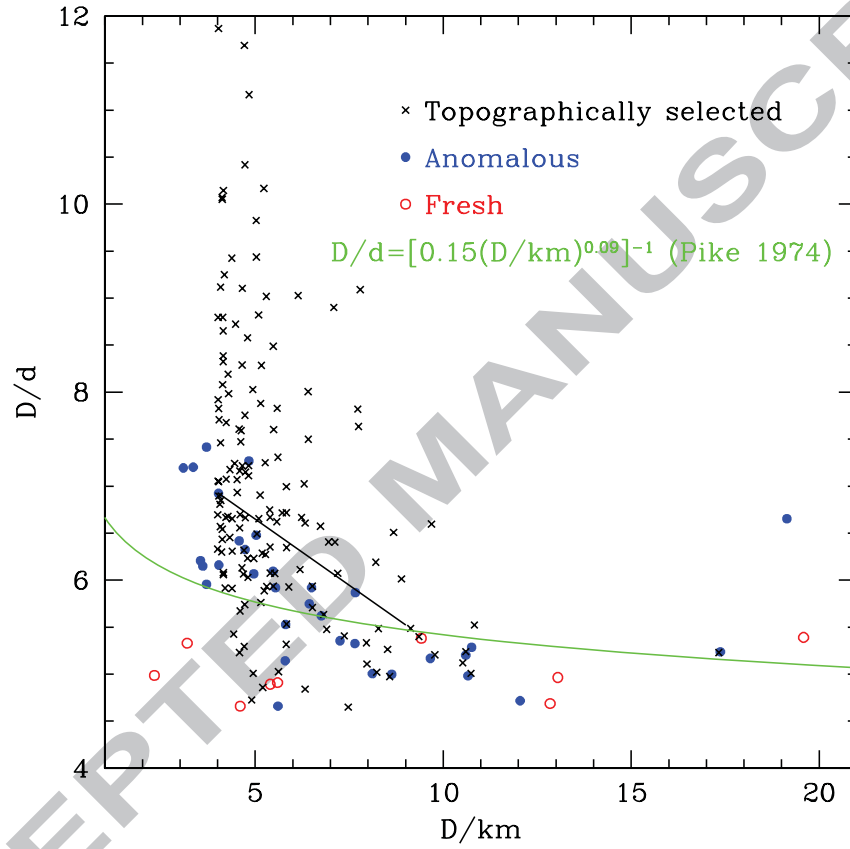


Figure 15: The variation of diameter-to-depth ratio ( $D/d$ ) with crater diameter for the 33 anomalous craters (blue filled circles), 9 fresh craters (red open circles) and 154 topographically selected, isolated polar craters (black crosses). The black line represents the variation with diameter of the median of the black points, and the green line traces the relation given by Pike (1974) for fresh lunar craters.

429 study. Similarly, Fa and Cai (2013) use LROC images to show higher rock abundance interior to  
430 craters relative to their exteriors. Furthermore, they find this extra rockiness correlates with the  
431 difference between interior and exterior CPR values, as measured by Mini-RF. Both the Diviner  
432 and LROC rock abundances refer to objects that are at least 1 – 2 m in size, which is  $\sim 10$  times  
433 the S-band radar wavelength. While there is no guarantee that rockiness on these relatively large  
434 scales implies roughness on scales more comparable with the radar wavelength, the modelling  
435 of Fa and Cai (2013) suggests that the larger rocks can nevertheless provide a significant CPR  
436 enhancement through dihedral reflections.

437 If the anomalous craters do have high CPR as a result of differential weathering of rough-  
438 ness, then the finding reported by Spudis et al. (2013), that the number density of anomalous  
439 craters at the poles greatly exceeds that at lower latitudes, remains to be explained. This apparent  
440 dependence on temperature is difficult to reconcile with the indifference to local temperature of  
441 the CPR distribution within anomalous polar craters. One would really like to start from the  
442 topographically-selected crater sample and study the variation of CPR with crater morphology,  
443 rather than starting from craters that have a particular CPR distribution, as was done here and in  
444 previous work. Looking only at CPR-selected craters can lead to a misleading impression of the  
445 population of craters as a whole. An orthorectified CPR mosaic, already tied to the LOLA DEM,  
446 would be necessary to avoid topographically-selected craters being ejected from the sample if  
447 their CPR was insufficiently distinct for them to be detected via their CPR, which has occurred  
448 in this study, as described in Section 2.4.

## 449 5. Conclusions

450 The distribution of pixel CPR values inside and outside fresh craters is largely independent of  
451 the angle of incidence with which the lunar surface is viewed. In contrast, for anomalous craters  
452 the angle of incidence has a large impact on the CPR maps that result. In these cases, counting  
453 pixels in SAR mosaics that have not been rectified for the effect of parallax has the effect of  
454 biasing the crater interior CPR pixel distribution to be dominated by observations of the near  
455 wall, viewed at larger incidence angle. Consequently, the mean interior crater CPR measured  
456 from an unrectified Mini-SAR map would exceed that for the crater exterior even when the  
457 interior and exterior surfaces have identical radar reflectivities (see Figure 9).

458 The typical variation of CPR with angle of incidence was measured within the anomalous  
459 craters and used to make a model to quantify how using unrectified mosaics will bias the distri-  
460 bution of pixel CPRs inside the crater relative to that from just outside. While this effect alone  
461 creates a sufficient change in the mean pixel CPR to explain some of the anomalous craters, the  
462 additional scatter required to recover the observed CPR distributions exceeds the statistical un-  
463 certainties on the measurements. Therefore, the CPR is also significantly affected by variations  
464 in the surface properties.

465 An additional variation with distance from the crater centre has also been discovered, with  
466 the crater centre having CPR values like those of the crater exterior, while larger CPR values at  
467 any given incidence angle are found on the steeper parts of the crater walls. It is argued that this  
468 variation of CPR with local slope, rather than local temperature, suggests that it results from a  
469 variation in the extent to which roughness is visible to the incident radar. Steeper walls near the  
470 angle of repose may be less able to sustain enough fine regolith to prevent the radar from seeing  
471 the rougher rocks underneath or it could just be that ongoing weathering produces more surface  
472 rocks or roughness on steeper slopes.

473 This argument is supported by the fact that anomalous craters, while having larger diameter-  
 474 to-depth ratios than fresh ones, are typically steeper-sided than craters determined using a crater-  
 475 finding algorithm applied to the LOLA DEM. Assuming that the diameter-to-depth represents  
 476 a proxy for crater age, the anomalous craters are of intermediate age. If surface roughness re-  
 477 freshed by mass-wasting on steep slopes were responsible for the high CPR, then one would  
 478 expect anomalous craters to be of intermediate age, because fresh craters have high CPR both  
 479 inside and outside, whereas old craters do not retain sufficiently steep sides for mass-wasting to  
 480 continue to promote sufficient surface roughness to cause high CPR. Thus, the surface roughness  
 481 explanation appears to pass this test.

482 Future analyses of the lunar SAR data should use properly controlled and rectified CPR  
 483 mosaics that are tied to the LOLA global DEM and take into account explicitly the dependence of  
 484 CPR on angle of incidence. The model of Fa et al. (2011), while not including multiple scattering  
 485 and the CBOE, suggests that radar data will not be able to distinguish between regolith with and  
 486 without a few wt% WEH, which is the level that the LCROSS and LPNS results imply is the  
 487 likely concentration. There is strong circumstantial evidence that the extractable information  
 488 from the lunar SAR data will pertain to surface or near-surface roughness rather than water ice.  
 489 This should provide fertile ground in conjunction with Diviner and LROC data sets to learn about  
 490 surface weathering as a function of local slope and composition (Bell et al., 2012).

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## 598 Appendix A. Crater-finding algorithm

599 The list of craters produced by Head et al. (2010) from the LOLA topographical data consists  
 600 of 5185 craters with radii of at least 10 km distributed over the entire lunar surface. Salamunićar  
 601 et al. (2012) supplemented this with additional craters found using a predominantly automated  
 602 detection algorithm that was based on the LOLA DEM. Their crater catalogue contained 60645  
 603 objects and is the most complete to radii of 4 km. For the purpose of this study, even smaller  
 604 craters in the vicinity of the lunar north pole are of interest, and the desire is to produce craters  
 605 with representative diameter-to-depth ratios. Thus, an algorithm has been developed to find  
 606 simple craters with radii in the range  $2 \leq r_c/\text{km} \leq 10$  using the LOLA north polar stereographic  
 607 digital elevation map.

608 The crater-finding algorithm consists of two main stages. First, by placing ‘water’ on the  
 609 surface and letting it drain downhill to create puddles, a set of potential crater centres are found.  
 610 The amount of water in each puddle reflects the area from which it came and hence provides an  
 611 estimate of the radius of the potential crater. Secondly, in the vicinity of each potential crater, the  
 612 Laplacian of the topography is filtered to search for circularly symmetric patterns with a concave  
 613 centre surrounded by a convex rim. The details of these two parts of the algorithm are described  
 614 in the following subsections.

### 615 Appendix A.1. Finding crater candidates

616 Candidates for crater centres are found using a hydrological algorithm that is a simplified  
 617 version of those described by O’Callaghan and Mark (1984) and Freeman (1991). A smoothed  
 618 version of the LOLA polar stereographic 80 m DEM is used. The smoothing suppresses small  
 619 scale depressions that might otherwise prevent ‘water’ from draining further into larger depres-  
 620 sions. It also removes candidate tiny craters that might be within other craters, which would  
 621 consequently fail the isolation criterion described in the next section and be jettisoned from the  
 622 sample. A single smoothing entails replacing each altitude with a value that is  $1/4$  of the original  
 623 value plus  $1/8$  of each of the values in the 4 adjacent pixels, plus  $1/16$  times the values in the  
 624 diagonally adjacent pixels. Given that craters in the radius range 2 – 10 km are being considered  
 625 here, 3 smoothings of the DEM are used.

626 An amount of ‘water’ proportional to the pixel area is placed into each pixel in the smoothed  
 627 digital elevation map and this is allowed to run downhill using the following iterative method.  
 628 Each pixel with none of its 8 neighbours being higher and containing water, distributes its water  
 629 to neighbouring pixels that are lower than it. The water is distributed to the  $N$  lower neighbouring  
 630 pixels in proportion to the gradient in their direction. Thus, the fraction of water sent to the  $i$ th  
 631 lower neighbour is given by

$$f_i = \frac{|\nabla_i|}{\sum_{j=1}^N |\nabla_j|}, \quad (\text{A.1})$$

632 where  $\nabla_i$  represents the gradient in the direction of the  $i$ th neighbour. This draining is repeated  
 633 until no pixels with lower neighbours contain any water, at which point the set of ‘wet’ pixels  
 634 defines the centres of crater candidates, with the amount of water providing an estimate of the  
 635 potential crater radius under the assumption that it came from a circular patch of the surface.

### 636 Appendix A.2. Confirming craters

637 For the purpose of this study, there is no need to have a complete sample of craters, merely  
 638 one that is representative of the diameter-to-depth ratios of craters as a whole. Thus, for sim-  
 639 plicity, only isolated crater candidates are retained for further consideration. Isolation is defined  
 640 as having no other crater candidate within one candidate crater radius from the candidate crater  
 641 centre. This yields a set of  $\sim 68000$  candidate isolated craters of all radii at latitude  $> 80^\circ$ .  
 642 These candidates are then filtered to refine the centres and radii and determine a statistic related  
 643 to how much they match a simple crater in their topographic profile.

644 The Laplacian of the DEM in the vicinity of each of these potential craters is filtered using a  
 645 compensated filter of the form

$$w(r) = \begin{cases} N_{\text{ring}}/N_{\text{cen}} & \text{if } r < 0.6r_{\text{c,test}}, \\ -1 & \text{if } |r - r_{\text{c,test}}| \leq 40\text{m}, \\ 0 & \text{otherwise,} \end{cases} \quad (\text{A.2})$$

646 where  $r_{\text{c,test}}$  is the crater radius being tested,  $N_{\text{cen}}$  is the number of 80 m pixel centres lying  
 647 within a disc of radius  $0.6r_{\text{c,test}}$  and  $N_{\text{ring}}$  is the number of pixel centres within an annulus one  
 648 pixel wide having mean radius equal to  $r_{\text{c,test}}$ . Crater radii are tested in the range  $0.5 - 1.5$   
 649 times the value inferred from the amount of water gathered by each candidate. This filter picks  
 650 out regions that have a concave disc of surface surrounded by a convex rim-like structure. The  
 651 pixels within which the maximum filtered Laplacian values are found for each tested crater radius  
 652 provide the most likely crater centres for those test radii.

653 To determine which tested radius produces the best overall match, a significance of the value  
 654 of the filtered Laplacian is defined. Applying the filter to a random part of the Laplacian map  
 655 inferred from the DEM would give rise to a distribution of filter values. This can be treated as  
 656 a random walk with a step size of the rms Laplacian weighted by the rms step size of the filter.  
 657 Using this to normalise the filtered Laplacian values around the candidate crater centre gives a  
 658 significance for each candidate crater. This value is used to determine the best test radius. Each  
 659 candidate with a significance,  $S$ , (of the filtered Laplacian relative to that expected from a random  
 660 walk) of at least  $S_{\text{min}} = 15$  is deemed to be a detected crater.

### 661 Appendix A.3. The set of polar craters

662 The algorithm described above yields 154 craters with latitude greater than  $80^\circ$ . Table A1  
 663 contains a list of the centres and radii of these north polar, isolated craters, and Figure A.16

664 shows their distribution with diameter. Figure 15 plots the dependence of the crater diameter-  
665 to-depth ratios on diameter, illustrating how these topographically selected craters typically have  
666 shallower profiles than either the fresh or anomalous craters studied by Spudis et al. (2010).

667 The choice of  $S_{\min}$  feeds into the inferred diameter-to-depth ratio of the resulting crater cata-  
668 logue, because deeper craters better match the filter shape than shallower ones. Thus, increasing  
669  $S_{\min}$  from 15 to 20 decreases the number of craters from 154 to 108, and the diameter-to-depth  
670 ratio from 7.0 to 6.3. However, the lower threshold of  $S_{\min} = 15$  still produces a set of az-  
671 imuthally symmetric depressions with convex rims that are crater-like. Figure A.17 shows the  
672 azimuthally-averaged height profiles, scaled by crater radius, of all 154 craters with  $S > 15$ . The  
673 diversity of depths reflects the range of diameter-to-depth values for the selected craters, and it is  
674 apparent that each of the craters possesses both a central depression and a convex rim.

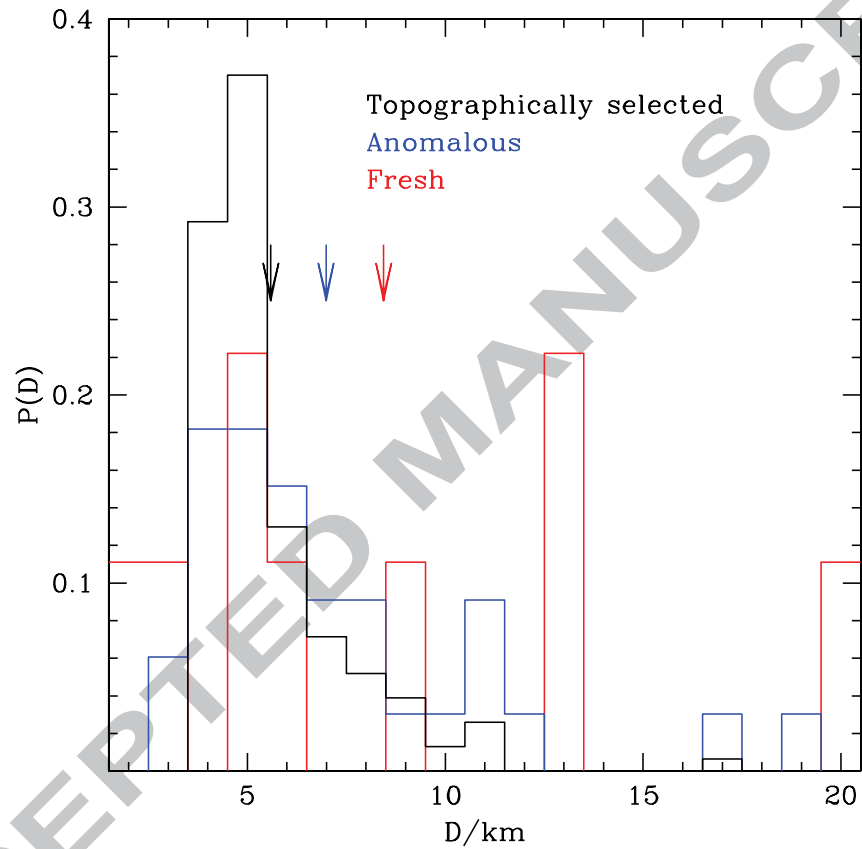


Figure A.16: The probability distributions of the crater diameters for the three different sets of craters: 154 topographically selected (black), 9 fresh (red) and 33 anomalous (blue). Coloured arrows show the mean diameters in each sample.



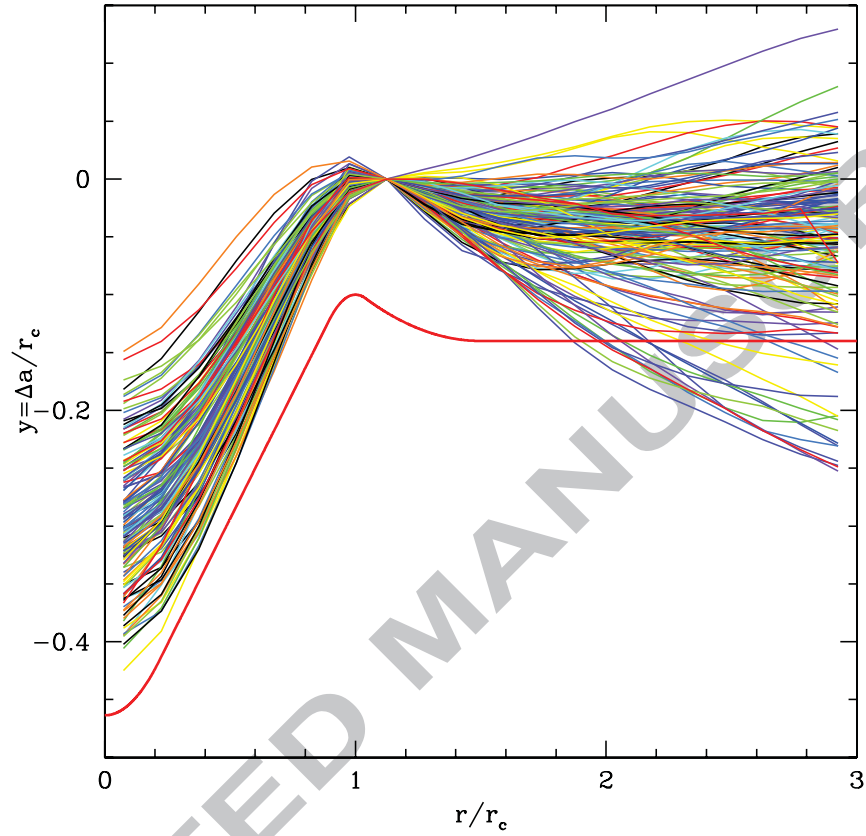


Figure A.17: The azimuthally averaged height profiles, scaled by crater radius, for the 154 topographically selected craters. Each radius is rescaled by the crater radius,  $r_c$ , whereas the scaled height is plotted relative to the value at  $r/r_c = 1.1$ . The bold red line shows the profile for the model crater used in Section 3.2, offset vertically by 0.1 for clarity.

Table A.2: Radii and locations for the 154 topographically selected isolated craters. Longitudes and latitudes are given in degrees.

| Crater # | $r_c$ /km | (lat,lon)     | Crater # | $r_c$ /km | (lat,lon)     | Crater # | $r_c$ /km | (lat,lon)     | Crater # | $r_c$ /km | (lat,lon)     |
|----------|-----------|---------------|----------|-----------|---------------|----------|-----------|---------------|----------|-----------|---------------|
| 1        | 2.4       | 80.01, -21.4  | 2        | 2.7       | 80.01, 31.8   | 3        | 2.1       | 81.12, -21.4  | 4        | 2.9       | 81.35, 19.0   |
| 5        | 4.9       | 81.65, -23.9  | 6        | 2.6       | 82.26, 11.7   | 7        | 2.4       | 81.84, 28.2   | 8        | 2.3       | 81.49, -32.9  |
| 9        | 2.7       | 81.85, 29.2   | 10       | 2.1       | 81.83, -31.1  | 11       | 2.1       | 80.07, -46.6  | 12       | 2.2       | 81.98, -34.3  |
| 13       | 3.9       | 82.65, 26.7   | 14       | 2.0       | 83.29, -13.7  | 15       | 2.7       | 82.07, -37.1  | 16       | 2.5       | 83.06, 24.5   |
| 17       | 8.7       | 80.26, -50.1  | 18       | 2.0       | 82.49, -34.2  | 19       | 2.8       | 83.87, -7.4   | 20       | 5.3       | 83.76, -13.9  |
| 21       | 2.5       | 82.68, -37.4  | 22       | 2.4       | 84.12, 15.7   | 23       | 3.6       | 80.80, 53.7   | 24       | 2.0       | 84.14, -21.7  |
| 25       | 2.2       | 84.18, -20.4  | 26       | 2.3       | 84.64, -6.2   | 27       | 2.3       | 80.01, 61.6   | 28       | 2.0       | 81.87, -56.8  |
| 29       | 2.1       | 81.43, 59.7   | 30       | 4.3       | 80.16, -66.1  | 31       | 3.7       | 80.32, 65.9   | 32       | 3.7       | 85.78, 25.2   |
| 33       | 2.9       | 85.91, -27.7  | 34       | 2.1       | 83.64, -55.7  | 35       | 3.5       | 80.46, -68.7  | 36       | 2.8       | 81.19, -68.2  |
| 37       | 4.8       | 83.89, -57.4  | 38       | 2.3       | 84.88, -50.7  | 39       | 2.6       | 83.94, -59.3  | 40       | 2.8       | 85.75, 43.6   |
| 41       | 2.2       | 81.40, 69.7   | 42       | 2.1       | 84.57, -56.7  | 43       | 2.4       | 85.30, -52.3  | 44       | 2.3       | 81.08, 71.8   |
| 45       | 5.4       | 86.99, 28.6   | 46       | 2.3       | 85.79, 54.0   | 47       | 3.5       | 81.85, 72.8   | 48       | 3.2       | 87.12, -33.4  |
| 49       | 2.0       | 86.89, -45.6  | 50       | 2.0       | 87.52, -29.3  | 51       | 2.5       | 87.69, 30.8   | 52       | 2.6       | 83.91, 72.4   |
| 53       | 2.1       | 84.51, -70.6  | 54       | 2.7       | 81.47, -77.8  | 55       | 2.4       | 82.80, 75.5   | 56       | 2.9       | 87.97, 29.9   |
| 57       | 2.7       | 86.64, 58.5   | 58       | 2.3       | 88.08, -27.8  | 59       | 2.6       | 84.90, -71.5  | 60       | 2.1       | 88.22, -26.0  |
| 61       | 3.4       | 88.26, 25.2   | 62       | 3.4       | 81.50, -79.8  | 63       | 2.7       | 88.08, 39.9   | 64       | 3.1       | 87.92, 57.1   |
| 65       | 3.1       | 87.66, 63.2   | 66       | 2.4       | 85.59, 76.9   | 67       | 4.7       | 87.36, 68.0   | 68       | 2.2       | 86.01, 76.0   |
| 69       | 2.5       | 86.65, 73.7   | 70       | 3.2       | 85.75, 78.1   | 71       | 2.1       | 87.81, -66.8  | 72       | 2.5       | 88.75, 47.0   |
| 73       | 2.3       | 82.66, -83.6  | 74       | 3.2       | 81.56, -84.6  | 75       | 3.3       | 88.19, 63.4   | 76       | 2.6       | 85.56, 79.5   |
| 77       | 2.6       | 88.96, -45.1  | 78       | 3.9       | 88.05, 68.4   | 79       | 2.8       | 82.71, -87.1  | 80       | 2.9       | 81.22, 88.4   |
| 81       | 2.4       | 83.32, 88.2   | 82       | 4.1       | 87.13, -86.3  | 83       | 2.6       | 85.97, 88.1   | 84       | 2.0       | 89.64, -108.8 |
| 85       | 2.6       | 86.27, 94.0   | 86       | 2.0       | 86.89, 96.4   | 87       | 2.3       | 83.65, 93.7   | 88       | 2.0       | 88.17, 112.0  |
| 89       | 2.0       | 87.69, 107.9  | 90       | 2.4       | 87.83, 113.0  | 91       | 2.5       | 85.43, 101.1  | 92       | 3.4       | 87.41, 110.0  |
| 93       | 2.7       | 80.43, -99.5  | 94       | 2.0       | 88.41, -177.9 | 95       | 2.1       | 84.87, -109.0 | 96       | 2.1       | 81.87, -102.2 |
| 97       | 2.1       | 82.54, 105.1  | 98       | 2.4       | 83.09, -106.4 | 99       | 2.1       | 87.24, 135.7  | 100      | 2.5       | 83.74, -108.7 |
| 101      | 3.6       | 83.35, -108.3 | 102      | 2.5       | 84.00, -110.7 | 103      | 2.1       | 81.40, -104.7 | 104      | 2.9       | 84.55, -114.5 |
| 105      | 3.9       | 82.41, 107.5  | 106      | 2.0       | 87.66, 172.3  | 107      | 2.5       | 84.72, -116.9 | 108      | 2.2       | 81.45, 106.2  |
| 109      | 2.4       | 85.94, -129.2 | 110      | 3.1       | 80.51, 107.7  | 111      | 2.1       | 81.85, 110.8  | 112      | 2.4       | 86.64, 152.8  |
| 113      | 2.2       | 85.63, -134.4 | 114      | 2.4       | 84.62, 125.5  | 115      | 5.4       | 82.63, 116.0  | 116      | 2.0       | 85.15, 132.9  |
| 117      | 2.3       | 85.24, 136.3  | 118      | 2.4       | 81.54, -114.6 | 119      | 2.6       | 81.01, 113.5  | 120      | 2.0       | 84.44, -130.7 |
| 121      | 2.4       | 84.00, -127.4 | 122      | 3.2       | 84.49, -132.4 | 123      | 2.2       | 86.18, -177.6 | 124      | 2.2       | 85.85, -157.1 |
| 125      | 4.4       | 81.08, 115.8  | 126      | 2.0       | 83.37, 126.1  | 127      | 4.1       | 80.93, -115.6 | 128      | 2.2       | 81.45, 118.2  |
| 129      | 4.0       | 83.10, 129.9  | 130      | 3.2       | 83.73, -135.3 | 131      | 4.0       | 80.45, -122.7 | 132      | 4.1       | 82.24, -134.2 |
| 133      | 4.3       | 84.05, -156.4 | 134      | 3.3       | 80.17, -124.6 | 135      | 2.3       | 80.58, 126.4  | 136      | 2.6       | 84.10, -163.4 |
| 137      | 2.1       | 83.22, 147.8  | 138      | 2.9       | 83.99, 174.0  | 139      | 2.1       | 84.00, -176.2 | 140      | 2.3       | 82.90, 150.9  |
| 141      | 4.6       | 82.46, 145.7  | 142      | 5.3       | 81.15, 137.7  | 143      | 2.3       | 80.31, -135.4 | 144      | 2.3       | 80.74, 146.0  |
| 145      | 2.3       | 80.97, -161.1 | 146      | 2.1       | 81.29, 171.3  | 147      | 2.1       | 80.97, -162.7 | 148      | 4.3       | 80.46, -155.1 |
| 149      | 2.7       | 80.38, -156.1 | 150      | 2.6       | 80.71, 164.1  | 151      | 2.1       | 80.96, 172.6  | 152      | 2.9       | 80.27, 158.6  |
| 153      | 2.8       | 80.84, 173.3  | 154      | 2.1       | 80.18, 176.4  |          |           |               |          |           |               |

## Highlights:

- We consider the variation of CPR with position within anomalous polar craters.
- The increase of CPR with incidence angle is quantified.
- CPR in the centres of anomalous craters is indistinguishable from that outside.
- High CPR is located on crater walls and does not correlate with temperature.
- We introduce a crater-finding algorithm and show anomalous craters are of intermediate age.