

Manuscript Number:

Title: Progressive shear-surface development in cohesive materials; implications for landslide behaviour

Article Type: Research Paper

Keywords: landslides
strain localisation
microcracks
failure
strain

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Abstract: The aim of this study was to investigate mechanisms of progressive shear surface development using a series of bespoke triaxial cell tests. Intact and remoulded samples of Gault Clay from the Ventnor Undercliff on the Isle of Wight in southern England were subjected to pore pressure reinflation testing in a triaxial cell, in which failure is generated by increasing pore pressure under a constant total stress state. In addition, a novel very long term (>500 days) creep test was undertaken, in which the sample eventually failed at a constant stress state below the failure envelope.

The experiments showed that undisturbed samples of the Gault Clay failed in a brittle manner, generating a linear trend when plotted using the Saito technique. On the other hand, remoulded samples showed ductile behaviour, as indicated by a non-linear Saito trend. A number of otherwise identical PPR tests were conducted in which the rate of increase in pore water pressure was varied. These tests showed strain rate generated at any point in the PPR tests depended on both the effective stress and the rate of change of effective stress. The latter is important because a change in stress generates a change in strain. Thus, whilst tests at different rates of change of effective stress are similar when plotted in q - p' space and in strain - p' space, they are markedly different in strain rate - p' space.

The long term creep test failed when the stress state had been constant for over 80 days. This mechanism was reminiscent of creep rupture, occurring below the failure envelope defined in the conventional experiments.

We conclude that first time failure in the Gault Clay is a progressive mechanism dominated by the development of micro-cracking, which leads to strain localisation and the development of one or more shear surfaces at failure. Whilst this mechanism may usually occur in response to a change in stress, the study indicates that failure can develop progressively. In the remoulded Gault Clay shear strains cannot localise along a singular shear surface.

The results provide new insight into the mechanisms of landslide movement operating within the Ventnor landslide complex and indicate that present movements are likely to be occurring on a pre-existing shear surface. The lab tests suggest that this material is unlikely to undergo catastrophic failure.

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Highlights

Highlights:

- Progressive shear surface development studied using specialist triaxial cell tests
- Provides new insight into mechanisms of landslide movement
- Experiments confirm brittle failure associated with shear surface development
- Creep test shows same failure mechanism occurs at constant stress
- Failure is progressive and results from micro-cracking and strain localisation

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37 along a singular shear surface.

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41 to be occurring on a pre-existing shear surface. The lab tests suggest that this material
42 is unlikely to undergo catastrophic failure.

43

44 1. INTRODUCTION

45 Progressive failure in landslides has been long identified (Terzaghi, 1950), and was
46 conceptualised over 40 years ago (Bjerrum, 1967). The essence of the process for a
47 simple translational landslide is that progressive failure requires time-dependent
48 deformation of material forming the landslide shear surface (Federico *et al.*, 2004).
49 Laboratory and field based studies undertaken by Varnes (1983) and others have
50 shown that brittle landslide materials progress through three distinct phases of creep
51 to failure, in common with separate observations within the damage-mechanics
52 literature (Main, 2000 for example). In the latter case three-phase creep behaviour is
53 conceptualised as being the result of contrasting strain hardening and strain
54 weakening processes, in which strain hardening initially dominates but is
55 subsequently superseded by strain weakening. In both the models and the laboratory
56 observations a gradual decrease of the factor of safety (FoS) is observed as damage
57 accumulates through time.

58

59 Despite these observations progress in understanding the relationships between
60 material deformation and the resultant movement of a slope have been surprisingly
61 limited, although some progress has been made in recent years (e.g. Voight, 1988;
62 Iverson, 2005; Petley *et al.*, 2005a; 2005b; Liu, 2009; Ng and Petley, 2009; Ostric *et*
63 *al.*, 2011). The renewed interest in this topic has been driven at least in part by the
64 need for better models to underpin strategies to reduce the losses from, and to manage
65 the risk posed by large, brittle landslides. In many cases, failure cannot be prevented
66 due to the size of the unstable slope, the difficulty of accessing it and/or the potential
67 cost of large-scale engineered interventions. Thus, recent research has focused on the
68 development of an understanding of the mechanisms and processes of progressively
69 failing landslides in order to allow predictions to be made for likely patterns of

70 behaviour. In principle, such methods could provide powerful tools to underpin
71 landslide warning systems.

72

73 The so-called ‘Saito approach’ (Saito, 1965), and its subsequent developments
74 (Fukuzono 1990 for example), has been the key technique for analysing progressive
75 failure. The approach is based on the concept that the time to failure can be
76 estimated by identifying a linear trend in inverse velocity ($1/v$, where v is velocity) -
77 time space as the landslide approaches failure. Using this method, time to failure can
78 be estimated from the extrapolation of the inverse velocity trend to zero (i.e. the point
79 at which the velocity of the slope is theoretically infinite). Petley *et al.* (2002) and
80 Kilburn and Petley (2003) linked the linear trend to micro-crack development and
81 shear-surface development. This crack-propagation model provides a theoretical
82 explanation of why, in brittle materials, the development of strain rate with time in a
83 brittle material is a hyperbolic function (i.e. why it yields a linear trend in $1/v - t$
84 space, as the inverse rate of displacement changes linearly with time. An alternative
85 model lies in the rate- and state-dependent friction (e.g. Helmstetter *et al* 2003), but
86 the observation that non-brittle materials show a non-linear trend in $1/v - t$ space
87 favours the crack-propagation model, and is also consistent with the model of Bjerrum
88 (1967).

89

90 Whilst such methods have been successful as predictors for some slope failures (e.g.
91 Voight, 1988; Fukuzono, 1990; Petley *et al.*, 2002), in general approximating the time
92 to failure of landslides remains uncertain. This, in part, is because the physics
93 controlling the deformation to failure has yet to be fully elucidated (Hutchinson,
94 2001a). The observations of Petley *et al.* (2002) and Petley and Petley (2006) suggest
95 that the Saito technique is only applicable in brittle materials, which can yield a linear
96 trend in $1/v, t$ space.

97

98 To determine the safety and future potential of landslide initiation and reactivation, a
99 detailed understanding of the physical, hydrological and geotechnical properties of
100 materials is essential (e.g. Varnes, 1978; Hutchinson, 1967; 1984; 2001b). However,
101 generating laboratory-based geotechnical data that can be compared with field-based
102 landslide monitoring records has remained complex. One significant limitation is that
103 conventional geotechnical tests generate failure by increasing deviator stress at a

104 constant displacement rate. Most rainfall-induced landslides occur as a result of
105 increasing pore pressure acting within the slope, which reduces mean effective stress
106 at approximately constant deviator stress. Thus, standard geotechnical tests are not
107 well-suited to defining the true failure envelope in such conditions (Zhu and
108 Anderson, 1998, Orense *et al.*, 2004) although they are optimised for providing
109 conservative strength parameters for design purposes.

110

111 A range of novel testing procedures have been developed to simulate failure
112 conditions resulting from elevated pore pressures (Brand, 1981; Anderson and Sitar,
113 1995; Zhu and Anderson, 1998; Dai *et al.*, 1999; Orense *et al.* 2004 for example). The
114 key feature of these studies has often been the concept of increasing pore pressure
115 within a sample at constant total normal stress and shear stress – the so-called “field”
116 stress path, but termed by Petley *et al.* (2005a) and subsequent papers the pore
117 pressure reinflation test (e.g. Petley *et al.*, 2005b; Carey *et al.*, 2007; Ng and Petley,
118 2009). Whilst these tests have yielded useful results, their applicability to
119 understanding landslide behaviour has been limited. Often the rationale behind rates
120 of pore pressure reinflation has not been considered in detail and the system
121 capabilities for controlling pore pressures and deviator stress acting on the sample
122 have been inadequate. Interpretation of the results has often focussed on the form of
123 the Mohr-Coulomb failure envelope. In addition, testing has focused largely on
124 tropical and subtropical soils, which mainly comprise weathered soils subject to
125 shallow failure (<5 m) in intense rainfall conditions. As a consequence testing has
126 been skewed toward understanding residual-strength materials at low effective
127 stresses and high rates of pore-pressure reinflation.

128

129 Further research is required to link movement patterns in both first-time landslides
130 and reactivation failures to the patterns and mechanics of shear-surface development
131 in cohesive materials, if accurate landslide-failure prediction and behaviour
132 forecasting methods can be established. This paper aims to improve understanding by
133 presenting a series of tests on both intact and remoulded samples of Gault Clay
134 collected in the Ventnor Undercliff in the UK. The study replicates groundwater-
135 induced landslide-failure conditions from a monitored landslide complex to study the
136 patterns of deformation to failure under varying pore-pressure reinflation scenarios.

137 This provides new insights into the mechanism of shear-surface development and
138 strain-induced failure in deep-seated landslide complexes.

139

140 2. SITE LOCATION

141 Ventnor is located on the south coast of the Isle of Wight (Fig 1), centred at
142 50°35'40.83N, 1°12'21.62W. The Ventnor Undercliff is one of the largest landslide
143 complexes in the United Kingdom (UK), with potential impacts on a population of
144 over 6000 residents (Fig 2). A review of landsliding in the UK (GSL, 1987) identified
145 the Ventnor Undercliff as the largest urban area affected by landsliding, such that it
146 has been the subject of a number of previous studies (e.g. Chandler, 1984; Hutchinson
147 *et al.*, 1991a, 1991b; Lee and Moore, 1991; Moore *et al.*, 1995; Hutchinson and
148 Bromhead, 2002; Moore *et al.*, 2007a, 2007b). The Ventnor landslide complex covers
149 an area of 0.7 km² (Fig 2 a), forming a deep-seated, complex landslide with a
150 rotational component close to the crown and a translational component downslope.
151 The rear of the landslide is delineated by a large, actively-developing depression
152 known as the 'Lowtherville Graben' (Fig 2 a).

153

154 A succession of ground investigations at Ventnor have obtained geological
155 information to a depth of up to 150 m below ground level in Upper Ventnor. More
156 recent large-scale ground investigations were undertaken in 2002 (Soil Mechanics
157 Ltd) and 2005 (Fugro Engineering Services Ltd), and included five deep rotary and
158 open-cored boreholes; engineering and geophysical logging of materials; laboratory
159 testing of samples; and installation of inclinometers and standpipe piezometers.

160

161 Moore *et al.* (2007a) used engineering and geophysical logs from the 2002 and 2005
162 investigations, and an earlier stratigraphic analysis (Lee and Moore, 1991), to develop
163 description detailed understanding of the materials that form the landslide. A
164 summary of the key units is provided in Figure 2b.

165

166 Inclinometer records (Fig 2 c) and the findings of the 2005 ground investigations were
167 subsequently used to develop a landslide model for the Ventnor Undercliff (Moore *et al.*,
168 2007b), which hypothesises the presence of a retrogressive complex comprising
169 distinct upper and lower landslide sections. In both cases, the sliding surface is located
170 towards the base of the Gault Clay Formation.

171

172 The landslide complex is probably ancient, but continues to undergo continuous low
173 magnitude deformation. Rates of movement are low (typically in the order of
174 millimetres to centimetres per year) across the whole system, although locally higher
175 rates are occasionally recorded. The rate of movement of the landslide increases
176 during prolonged periods of high rainfall (Moore *et al.* 2010). Movements of the
177 landslide can generate considerable damage to buildings and other infrastructure
178 within the town, and there remains a great deal of interest in the likely long term
179 behaviour of the landslide complex.

180

181 3. METHODS

182 For this study, a suite of laboratory tests has been used to determine the physical and
183 geotechnical characteristics of the materials within the Ventnor landslide complex.
184 The experiments used a series of isotropic, consolidated, undrained (ICU) triaxial tests
185 to establish field-stress conditions, with specialist isotropically consolidated drained
186 (ICD) PPR tests designed to simulate the porewater pressure conditions that may
187 occur in the landslide during movement events.

188

189 83 mm diameter core samples taken from close to the known shear surface at the base
190 of the Gault Clay in BH5 (Fig 3c), and hand-cut block samples from exposures of
191 stratigraphic-equivalent Gault Clay from Blackgang Chine (Fig 2), were logged and
192 recorded before being sealed on-site using cling film and wax. Samples were placed
193 within plastic containers and carefully transported to the University of Durham.

194

195 An initial set of standard soil classification tests were undertaken on the Gault Clay to
196 establish the physical properties of the landslide materials at the basal shear zone
197 (Table 1). Particle-size analyses (Fig. 4) indicate some variability across the Gault
198 Clay samples. Samples from BH5 comprised of 14.1% clay, with silt contents of
199 39.3% and sand content of 46.6%. Whilst similar silt contents can be observed in BS
200 samples (39.3%), a lower clay content of 11.9% and a higher sand content of 47.7%
201 were recorded. Plastic limits were similar in both samples, although liquid limits were
202 significantly higher in BH5. Atterberg limits indicate that the Gault Clay samples
203 comprise high plasticity clay in BH5 and low plasticity clay in BS (defined in

204 accordance with BS5930, 1981). The natural moisture content in both samples was
205 17%.

206

207 Triaxial tests used a PC-controlled stress path triaxial testing system, designed and
208 manufactured by GDS Instruments. The system used a classic Bishop and Wesley
209 (1975) hydraulic stress path triaxial cell with a 38 mm diameter pedestal and top caps,
210 one 4 kN submersible load cell and 50 mm-range displacement transducers. Four ICU
211 tests (Table 2) and seven ICD PPR tests (Table 3) were completed. In all 11 tests, soil
212 samples were initially saturated by flushing with carbon dioxide at a slow rate prior to
213 saturation with de-aired water to fill pore air voids at a low initial confining pressure
214 (BSI, 1990b). Samples were isotropically consolidated by increasing confining
215 pressures at 1 kPa/hr to the required stress states. Consolidation was complete when
216 no further significant volume change occurred and excess porewater pressure,
217 associated with the stresses applied, had dissipated (BSI, 1990a).

218

219 Undrained samples ICU1, ICU2, ICU4 and ICU 6 were consolidated to initial
220 confining pressures of 250, 350, 450 and 550 kPa respectively. Following
221 consolidation, each sample was subjected to undrained shear at a rate of 0.001
222 mm/min to prevent the development of heterogeneous pore water pressures. The shear
223 phase was undertaken in an undrained state but rates of strain were sufficiently slow
224 to allow pore water pressures to equalibriate (BSI, 1990a).

225

226 Drained intact samples (ICD2, ICD6 and ICD7) and drained remoulded samples
227 (ICDR1, ICDR2 and ICDR3) were carried out from an initial confining pressure of
228 350 kPa. The ICD and ICDR PPR samples were subject to an initial drained shear
229 phase following consolidation at a displacement rate of 0.001 mm/min until a deviator
230 stress of 400 kPa was achieved. Failure was then initiated at a constant deviator stress
231 of 400 kPa by increasing the porewater pressure at reinflation rates of 5, 10 and 18
232 kPa/hr (Fig 5a and b). The rates of pressure reinflation were selected to replicate
233 plausible groundwater recharge rates from the available Ventnor piezometric data
234 (Moore *et al.*, 2010). During each PPR test, axial deformation was monitored using a
235 displacement transducer located at the top of the sample. Porewater pressure
236 measurements were recorded at the top and bottom of the sample.

237

238 An additional long-duration creep test was undertaken (ICD12) which aimed to study
239 the potential for a shear surface to develop at a constant stress state (i.e. to simulate
240 true progressive failure). In this test, the sample was subjected to the standard initial
241 confining pressure of 350 kPa and initial drained shear of 400 kPa, in common with
242 tests ICD2, 6 and 7. During the PPR stage, porewater pressure was incrementally
243 increased in small steps before being held constant to study sample strain
244 development (Fig 4c). As the test progressed, PPR phases were shortened and the
245 constant PPR phases lengthened to determine whether strain development to failure
246 could occur at constant mean effective stress (Fig 4 d).

247

248 4. RESULTS

249 The consolidation curves for both ICU (Fig 5a) and ICD (Fig 5b) tests on intact Gault
250 Clay samples were constructed at confining pressures ranging from 250 kPa to 550
251 kPa. Whilst the results demonstrate some variability in behaviour between the
252 samples, as expected there was a general trend of increased volumetric strain
253 occurring in samples consolidated at higher mean effective stress. Consolidation
254 curves from the ICDR test illustrated similar behaviour across the samples (Fig 5c),
255 indicating the more consistent nature of the samples tested.

256

257 The ICU stress paths (Fig 6) showed variability in both peak and residual strength
258 characteristics between the tests, indicative of the heterogeneous nature of the Gault
259 Clay. As a consequence, laboratory data from a previous study at the site (Carey,
260 2002) has been included in the assessment of the strength parameters of the Gault
261 Clay. The peak and residual strength envelopes suggest that the peak strength values
262 of $\phi' = 35.1^\circ$ and $c' = 46.8$ kPa (Fig 7 a), whilst residual strength is represented by ϕ'
263 $= 26.6^\circ$ and $c' = 0$ kPa (Fig 7 b).

264

265 The ICD PPR tests on the intact Gault Clay showed two distinct phases of volume
266 change in the samples (Fig 8a). During the early phases of the reinflation phase of the
267 experiment the sample underwent dilation, with the rate of volume change being near
268 linear with time in all three samples. In this initial period of deformation,
269 corresponding displacement rates were low (Fig 8b). Note that the control system was
270 applying a constant rate of pore pressure change, suggesting a simple dilation process.
271 In all cases, this initial phase of movement was characterised by an exponential

272 increase in displacement rate (Fig 8c) and an asymptotic trend in $1/v - t$ space (Fig
273 8d), consistent with the observations of Ng (2007) for residual soil. Thus, in this
274 phase the bulk sample behaviour was similar to that of a ductile material, probably
275 because strain localisation has not occurred, such that deformation is distributed
276 through the sample. Note that displacement rates are very low and vary considerably
277 in this phase; it is not clear as to whether this is noise or that the deformation is
278 occurring through a 'stick-slip' type process (Allison and Brunsten, 1990).

279

280 As the pore pressure increased further, the rate of dilation in the samples increased
281 (Fig 8a). The rate of increase of volume change with time is best described by a
282 hyperbolic trend in all three samples (Fig 8b), which matches the hyperbolic
283 acceleration to failure observed in the displacement rate data (Fig 8c). This yields a
284 linear trend in $1/v - t$ space (Fig 8d), and is thus associated with strain localisation and
285 the development of a shear surface (Kilburn and Petley 2003). Final failure in the
286 samples was associated with the development of either a single shear surface,, or in
287 some cases of a conjugate pair of shear surfaces

288

289 Remoulded Gault Clay samples also showed dilative behaviour during PPR testing,
290 but the style of behaviour was notably different. Most importantly, in the early stages
291 of reinflation the rate of dilation was higher than for the undisturbed samples (which
292 is consistent with the material being weaker). However, in the latter stages of the test
293 the dilation rate was lower than the corresponding rate for the undisturbed sample,
294 even though there was an accelerating trend. For the remoulded samples the rate of
295 change of volume with time is best described by an exponential trend (Fig 9b), in
296 common with the displacement rate – time data (Fig 9c). In the remoulded samples,
297 strain did not localise to form a shear surface, with deformation remaining distributed
298 through the sample. As a consequence a linear trend in $1/v - t$ did not develop and
299 instead deformation continued along an asymptotic trend (Fig 9d).

300

301 Thus, the hyperbolic increase in both dilation rate and displacement rate with time
302 during reinflation is associated with the structure of the undisturbed Gault Clay.
303 When the structure is destroyed in the remoulded samples the behaviour is lost. Thus,
304 the behaviour is a characteristic of the strain localisation process.

305

306 Whilst PPR testing demonstrated the significance of material properties on the
307 mechanisms of deformation, the linear increases in pore-pressure reinflation used in
308 these tests do not perfectly replicate landslide conditions. In particular, by forcing the
309 sample to fail under a linearly-reducing effective stress state the test may mask time-
310 dependent failure mechanisms associated with progressive shear-surface development
311 at constant stress. To investigate this, a long-creep test was undertaken during which
312 pore-water pressures were raised, initially in increments of 10 kPa, and latterly of 2
313 kPa. After each increase the pore pressure was held constant to allow for any initial
314 volumetric or strain response in the sample to develop before the next increment was
315 applied. In this way, failure occurred whilst the sample was at a constant stress state
316 after the test had run for 524 days (Fig 10a). In the final phase of the test, the pore
317 pressure was increased on day 444 thereafter it was kept constant for 81 days until
318 failure occurred.

319

320 During the final 80 days the sample crept to failure at a constant effective stress (Fig
321 10bi). As failure developed in the sample, strain developed constantly, but some
322 notable stepped increases (Fig. 10bi) that were not associated with a change in
323 effective stress state. The rate of occurrence of these five steps does not increase
324 towards failure, suggesting that they are not precursors to the final failure event. Note
325 however that they are associated with a change in sample volume; in each case there
326 was a small amount of dilation (Fig. 10bii). It is unclear as to whether
327 stepped pattern results of a stick-slip process or is a function of the test.

328

329 Final failure was initiated at Day 78 of this final stage of the experiment. The sample
330 underwent a hyperbolic acceleration in rate of volume change with time and rate of
331 displacement with time during the final few days (Fig 10 ci and ii). Final failure
332 occurred at mean effective stress of approximately 187.5 kPa, which plots below the
333 failure envelope derived from both the conventional and the PPR tests.

334

335 Analysis of the final 11 days of the test suggests that the sample dilated during
336 deformation (Fig 10 ci), similar to that observed in the ICU PPR tests. The
337 displacement rate was constant and acceleration to failure did not develop until day 78
338 (Figure 10 cii and 10 ciii). Analysis of the final four days (day 78 to day 81) suggests
339 rapid development of a shear surface during the final day of the test as the sample

340 dilated (Fig 10 di,) and displacement rate rapidly developed into a hyperbolic trend
341 (Fig 10 dii). This is illustrated by the linearity in $1/v, t$ space observed over the final
342 four days (Fig 10 diii). The test indicates that whilst damage is occurring throughout
343 the sample, the acceleration to failure resulting from strain localisation occurred very
344 rapidly and very late in the deformation process.

345

346 5. DISCUSSION

347 A suite of pore pressure inflation tests have been undertaken to study the mechanisms
348 of deformation to failure under a series of representative pore water pressure-induced
349 landslide scenarios. The study has demonstrated that the patterns of deformation and
350 the condition of the shear surface during failure vary depending on the rate of pore
351 pressure increase and the nature of the existing shear surface.

352

353 In Figure 11a, the displacement during the PPR phase of the three undisturbed tests,
354 plus the long term creep test, is shown against mean effective stress. The intact Gault
355 Clay shows a progressive brittle failure mechanism as a result of the development of a
356 singular shear surface through the process of strain localisation. For the three PPR
357 tests the behaviour is the same within error. The long term creep test fails at a higher
358 mean effective stress, consistent with the creep rupture results of Singh and Mitchell
359 (1969). The PPR testing indicates that displacement in intact samples of the Gault
360 Clay initiated from a mean effective stress of approximately 300 kPa (Fig 11 a). Final
361 failure appears to occur at a critical displacement rather than a critical stress state.

362

363 In the PPR tests, a similar relationship, within error, is observed between
364 displacement and mean effective stress. This means that the displacement –time
365 relationship varies between the experiments according to the rate of pore pressure
366 increase (Fig 11b). Thus, the rate of strain at any point in time is dependent upon both
367 the effective stress state and the rate of change of effective stress. It is notable that
368 whilst the 10 and 18 kPa / hr tests showed very similar behaviour, the 5 kPa per hour
369 test developed displacement at higher effective stress values, and failed at a higher
370 effective stress state, although its post failure behaviour was similar to that of the
371 other two tests.

372

373 The progressive development of failure is a non-linear process. In these tests the
374 increase in displacement with changing effective stress is an exponential relationship.
375 Plotted in $1/v - t$ space, linearity is observed from approximately 200 kPa in all
376 samples (Fig 11c), indicating that the critical point in terms of development of the
377 shear surface occurs at or close to this effective stress value. Prior to this point
378 deformation is dominated by sub-critical crack growth throughout the sample, but
379 with increasing localisation around the proto-shear surface. After this point, strain
380 localisation has occurred and the shear surface is rapidly developing.

381

382 The long term creep test shows notably different behaviour. Note that in this test
383 effective stress was reduced in small steps, after which the sample was allowed to
384 develop strain. The result is that the sample shows a much great level of displacement
385 for any given effective stress value. Inevitable this style of testing induces a step-wise
386 pattern in the dataset, but nonetheless the overall pattern of deformation prior to final
387 failure is exponential against mean effective stress state.

388

389 The most important observation is that final failure occurred at a much higher value of
390 mean effective stress than was the case for the linear PPR tests. The 5 kPa / hr test
391 failed at a stress state that is consistent with the ICD failure envelope. The 10 and 18
392 kPa / hour tests failed at a lower effective stress state, suggesting slightly stronger
393 materials. However, this may also indicate a lack of pore pressure equalisation
394 through the sample (i.e. that the effective stress state in the shear zone was higher than
395 is indicated by the pore pressure measurements at ends of the samples). However, the
396 long term creep test suggests a weaker failure envelope than the ICU tests would
397 imply (Fig 12 b). This cannot be due to a lack of pore pressure equalisation in this
398 case. It is also notable that final failure developed in conditions of constant mean
399 effective stress (Fig. 10); indeed, final failure occurred 81 days after the pore
400 pressures had last been changed. Creep-rupture behaviour is observed in crystalline
401 rocks with deviator stress states below the peak strength. It is a time-dependent
402 process associated with progressive damage accumulation in the sample. The time to
403 failure is inversely correlated with the deviator stress – thus samples in a stress state
404 close to the failure envelope will fail comparatively rapidly; those at lower levels of
405 deviator stress will fail more slowly. Thus, in effect creep-rupture defines a suite of
406 failure envelopes below the ICD envelope. In crystalline rocks these are generally

407 parallel or sub-parallel to the failure envelope, suggesting that the peak effective
408 friction angle is unchanged, but that creep rupture leads to a reduction in cohesion.

409

410 The implications of this observation for brittle landslides are key. Most importantly,
411 the creep rupture process can allow a landslide to fail at an effective stress state that is
412 higher than that suggested by ICD tests. In addition, the long term creep tests suggest
413 that in a creeping landslide with brittle deformation processes, failure can occur
414 without a trigger, controlled instead by the progressive development of the shear
415 surface. This is consistent with the observation of many deep, catastrophic rockslides
416 (e.g. McSaveney, 2002) which appear to fail spontaneously. Shallow landslides also
417 sometimes display this behaviour, especially when failure is observed days or weeks
418 after the apparent trigger event, but these landslides tend to be in a much more
419 dynamic stress state, and thus are more likely to fail through conventional triggered
420 failure mechanisms.

421

422 Thus, creeping landslides in a brittle regime can undergo failure as a result of creep
423 rupture processes without a trigger. However, in such cases they are likely to undergo
424 precursory activity. In the long term this is in the form of evolving creep-type
425 deformation; in the period leading to failure this will be a rapidly developing
426 displacement rate that can be characterised as a linear trend in $1/v - t$ space.

427

428 The remoulded samples deformed to failure through ductile deformation, consistent
429 with previous PPR testing observations on non-cohesive soils (Ng and Petley, 2009).
430 In the remoulded tests deformation initiated at or close to the residual strength
431 envelope. Behaviour was notably different from that of the undisturbed samples,
432 suggesting that the creep-rupture behaviour is a brittle phenomenon. During the
433 initial, slow phase of movement in the remoulded samples, minor changes in the
434 displacement rate occur indicating a 'push and climb' mechanism of deformation
435 previously observed by Ng and Petley, (2009). As mean effective stress continues to
436 reduce, displacement rate increases as 'localised sliding' occurs as the frictional
437 resistance of the shear surface progressively reduces through both internal
438 deformation and increasing pore water pressure. As the mean effective stress
439 continues to reduce further, soil particles within the shear zone progressively mobilise
440 until generalised interparticular sliding throughout the sample. At this stage,

441 displacement continues to accelerate exponentially and ductile failure occurs without
442 the development of a singular shear surface. This is illustrated by an asymptotic trend
443 in $1/v - p'$ space throughout the test (Fig 11 d).

444

445 The laboratory testing further provides a new insight into the current and potential
446 future behaviour of the Ventnor landslide complex. Landslide movement patterns
447 have been shown to occur as a continual, very slow creep-type through time, with
448 phases of accelerated ground movement which occur when pore water pressures are
449 sufficiently elevated (Moore *et al.*, 2007a). PPR testing has confirmed that first time
450 failures in the Gault Clay occur under a brittle deformation and, as a consequence, are
451 less likely to be subject to significant levels of displacement prior to failure.
452 However, in this initial failure event, which will occur successively in new rotational
453 blocks at the rear of the landslide, catastrophic failure and rapid movement, is
454 prevented by the blocks downslope. The current movement across the majority of the
455 landslide is likely to represent post-failure creep along a pre-existing landslide shear
456 surface. Under groundwater induced conditions, therefore, the landslide is likely to
457 remain marginally stable. Accelerated ground creep however is likely to occur when
458 pore water pressures acting at the shear surface are sufficiently elevated to overcome
459 the frictional strength acting at the shear surface. In view of this post failure
460 behaviour, catastrophic failure of the landslide controlled by material properties is not
461 considered likely. Profound weakening of the landslide system through a change in
462 state of the lowest constraining block could have a marked effect and thus should be
463 avoided. The Lowtherville Graben may indicate a brittle failure mechanism at the
464 rear of the landslide, but rapid failure is not likely here due to the constraint imposed
465 by the large downslope blocks.

466

467 7. CONCLUSIONS

468 The mechanisms of landslide shear surface development have been studied through a
469 novel series of pore-pressure reflation (ICD PPR) tests on both intact and remoulded
470 Gault Clay samples designed to replicate plausible field failure conditions.

471

472 The study has demonstrated that progressive development of first time landslide
473 failure is a complex process as the displacement–time relationship varies between the
474 experiments according the rate of pore pressure increase. As a consequence, the rate

475 of strain at any point in time is dependent upon both the effective stress state and the
476 rate of change of effective stress. Final acceleration to failure develops at the same
477 mean effective stress, indicating that this represents critical point in terms of
478 development of the shear surface where singular shear surface rapidly develops. Prior
479 to this point deformation is dominated by sub-critical crack growth which is
480 distributed throughout the slope, but with increasing localisation around the proto-
481 shear surface. This creep rupture process can allow a landslide to fail at an effective
482 stress state that is either higher or lower than the short-term failure envelope. In
483 landslides where long-term brittle creep can develop, failure can occur without a
484 trigger and controlled instead by the progressive development of the shear surface.

485

486 In slopes where the brittle failure mechanism cannot operate (e.g. non-cohesive soils
487 and pre-existing landslides) creep movement is initiated at or close to the residual
488 strength envelope and increases with reducing mean effective stress as the frictional
489 resistance of the shear surface progressively reduces through both internal
490 deformation and increasing pore water pressure.

491

492 The study provides a new insight into the behaviour of the Ventnor landslide complex
493 indicating that whilst future retrogression of the Lowtherville Graben may be
494 undergoing brittle failure at the rear of the landslide, rapid failure is not likely due to
495 the constraint imposed by the large downslope blocks. Under groundwater induced
496 conditions, therefore, the landslide is likely to remain marginally stable. Accelerated
497 ground creep however is likely to occur when pore water pressures acting at the shear
498 surface are sufficiently elevated to overcome the frictional strength acting at the shear
499 surface.

500

501 8. ACKNOWLEDGEMENTS

502 The results presented in this paper are the product of collaborative PhD research
503 between Halcrow, a CH2M-HILL Company, and the University of Durham. The
504 research has been funded, in part, through the Halcrow Award Scheme. The
505 authors acknowledge the work and support of the Isle of Wight Council which has
506 invested significantly in the continued monitoring and maintenance of the Ventnor
507 Landslide Management Strategy to which they have allowed access. This manuscript

508 has greatly improved following review comments from Dr Mauri McSaveney and Dr
509 Nicola Litchfield.

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667

668

669 FIGURE CAPTIONS

670

671 **Figure 1.** The location of the Ventnor Undercliff, Isle of Wight, UK

672

673 **Figure 2.** Schematic interpretation of the Ventnor landslide complex: (a) Map of the extent of the
674 landslide complex, including the section line. Note the intensely urbanised nature of the landslide. (b)
675 Schematic cross-section through the landslide, showing the multiple landslide blocks and the low
676 angled shear surface; (c) inclinometer data showing the clear deformation at the sliding surface, located
677 in this case at about 95 m below the ground level.

678

679 **Figure 3.** The particle size distribution of the Gault Clay samples from Block Sample (BS) and
680 Borehole 5 (BH5)

681

682 **Figure 4.** The design of the PPR tests following the drained initial shear phase (a) pore pressure vs
683 time plot for the PPR tests (b) Mean normal effective stress vs time plot for the PPR tests (c) pore
684 pressure vs time plot for the long creep test (d) Mean normal effective stress vs. time for the long creep
685 test.

686

687 **Figure 5.** Change in volumetric strain through time during consolidation for: (a) the ICU tests; (b) the
688 ICD intact tests; and (c) the ICD remoulded tests.

689

690 **Figure 6.** Undrained shear stress paths, including additional Gault Clay ICU stress paths from Carey
691 (2002) to allow definition of the failure envelope.

692

693 **Figure 7.** ICU Mohr Coulomb failure envelopes: (a) the peak strength envelope; and (b) the residual
694 strength envelope.

695

696 **Figure 8.** ICD linear PPR test results for the undisturbed samples: (a) Change in sample volume vs
697 time; (b) displacement rate against time; and (c) 1/ velocity vs. time:

698

699 **Figure 9.** ICD linear PPR test results for the remoulded samples: (a) Change in sample volume v. time;
700 (b) displacement rate against time; and (c) 1/ velocity vs. time.

701

702 **Figure 10.** The results of the long term creep test: (a) displacement and porewater pressure vs time
703 over the full duration of the PPR phase of the experiment (524 days); (bi) displacement and porewater
704 pressure vs time for the final 81 days; (bii) Displacement rate and change in sample volume vs time for

705 the last 81 days; (ci) Change in sample volume vs. time for the last 11 days; (cii) Displacement rate vs.
706 time for the last 11 days; (di) Change in sample volume vs time for the last 24 hours of the test; (dii)
707 Displacement rate vs time for the last 24 hours of the test; (diii) 1/ velocity vs time for the last 24 hours
708 of the test.

709

710 **Figure 11.** Comparison of ICD linear PPR and Long Creep PPR behaviour: (a) Displacement vs mean
711 effective stress (p'); (b) Displacement vs pore water pressure; (c) 1/velocity vs mean effective stress
712 (p') for the ICD linear PPR tests.

713

714 **Figure 12.** (a) Comparison of ICDPPR and PPR long creep failure points in relation to the short-term
715 ICU failure envelope (b) Comparison of ICD PPR and PPR long creep failure envelopes in relation to
716 the short-term ICU failure envelope.

717

718 **Table Captions**

719 Table 1. Physical properties of the Gault Clay samples.

720 Table 2. The isotropic consolidated undrained (ICU) tests undertaken in this research programme.

721 Table 3. The isotropic consolidated drained (ICD) pore pressure reinflation (PPR) tests undertaken in
722 this research programme.

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1

2 *Table 1. Physical properties of the Gault Clay samples*

Sample location	BS	BH5
Particle size:		
Sand (%)	47.70	46.61
Silt (%)	40.40	39.28
Clay (%)	11.90	14.10
Specific gravity (Gs)	2.70	2.73
Loss on Ignition (%)	3.69	5.02
Mc (%)	17	17
Liquid limit (%)	30.11	56
Plastic limit (%)	21.18	21
Plasticity index	8.93	35
Bulk density (mg/ m ³)	2.069-2.21	2.069
Dry density (Mg / m ³)	1.702-1.911	1.66

3

4 *Table 2. Isotropic consolidated undrained (ICU) tests*

Test Reference	Material	Confining pressure (kPa)	Strain rate during shear	PPR rate (kPa/hr)	Sample condition
ICU1	Gault	250	0.01	N/A	intact
ICU2	Gault	350	0.01	N/A	intact
ICU4	Gault	450	0.01	N/A	intact
ICU6	Gault	550	0.01	N/A	intact

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11 *Table 3. Isotropic consolidated drained (ICD) pore pressure reinflation (PPR) tests*

Test Reference	Material	Confining pressure (kPa)	Stress path (kPa)	Initial Strain rate (mm/min)	PPR rate (kPa/hr)	Sample condition
ICD2	Gault	350	400	0.01	10	intact
ICD6	Gault	350	400	0.01	18	intact
ICD7	Gault	350	400	0.01	5	intact
ICDR1	Gault	350	400	0.01	10	remoulded
ICDR2	Gault	350	400	0.01	18	remoulded
ICDR3	Gault	350	400	0.01	5	remoulded
ICD12	Gault	350	400	0.01	Long creep	intact

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Figure 1
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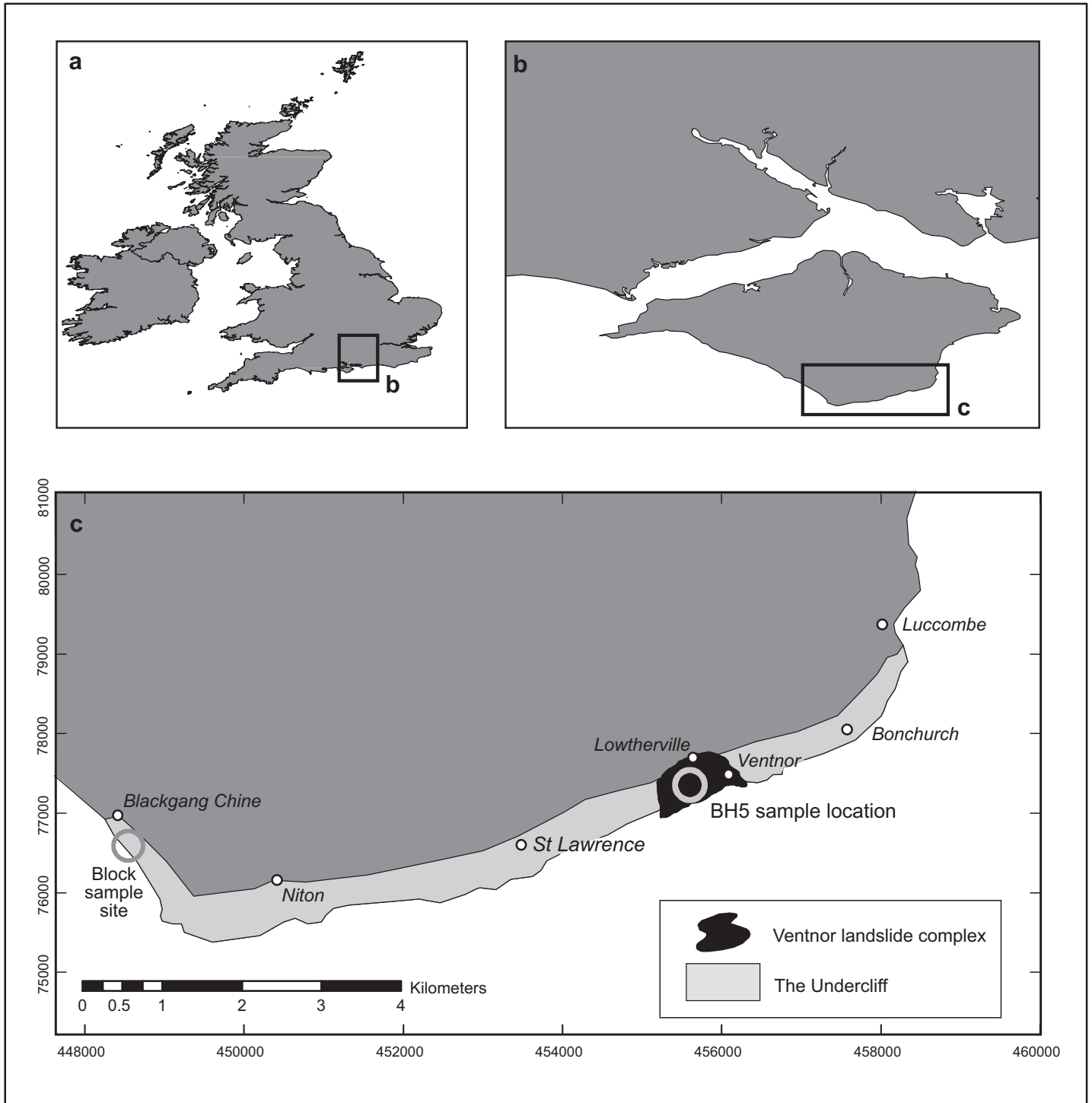


Figure 1

Figure 2
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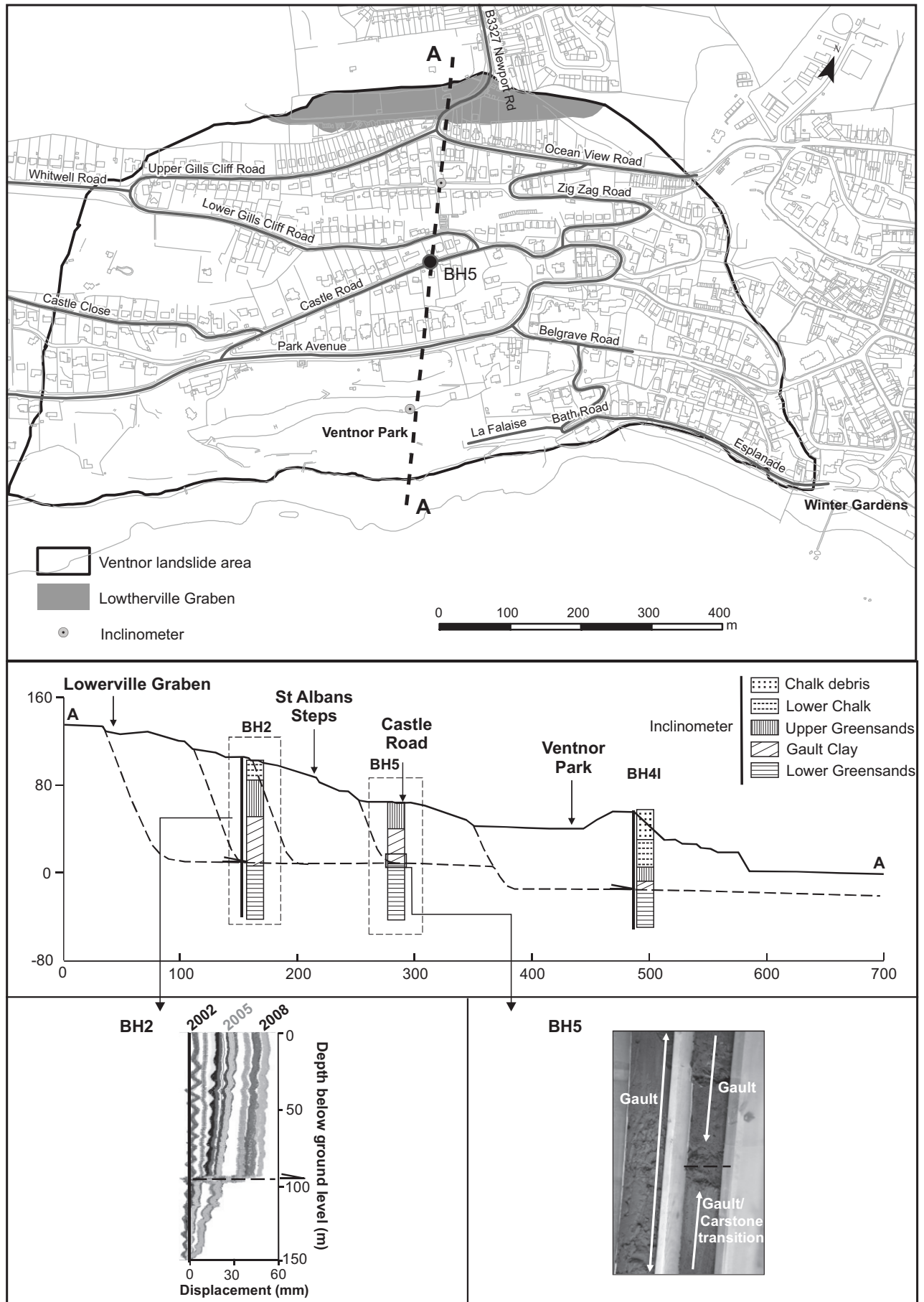


Figure 2

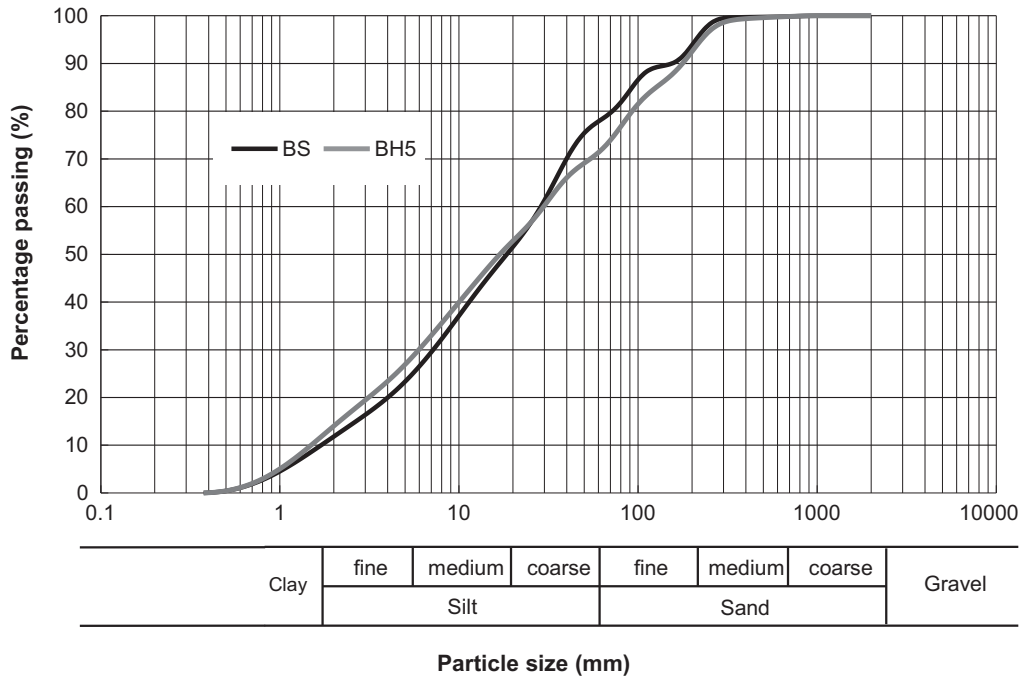


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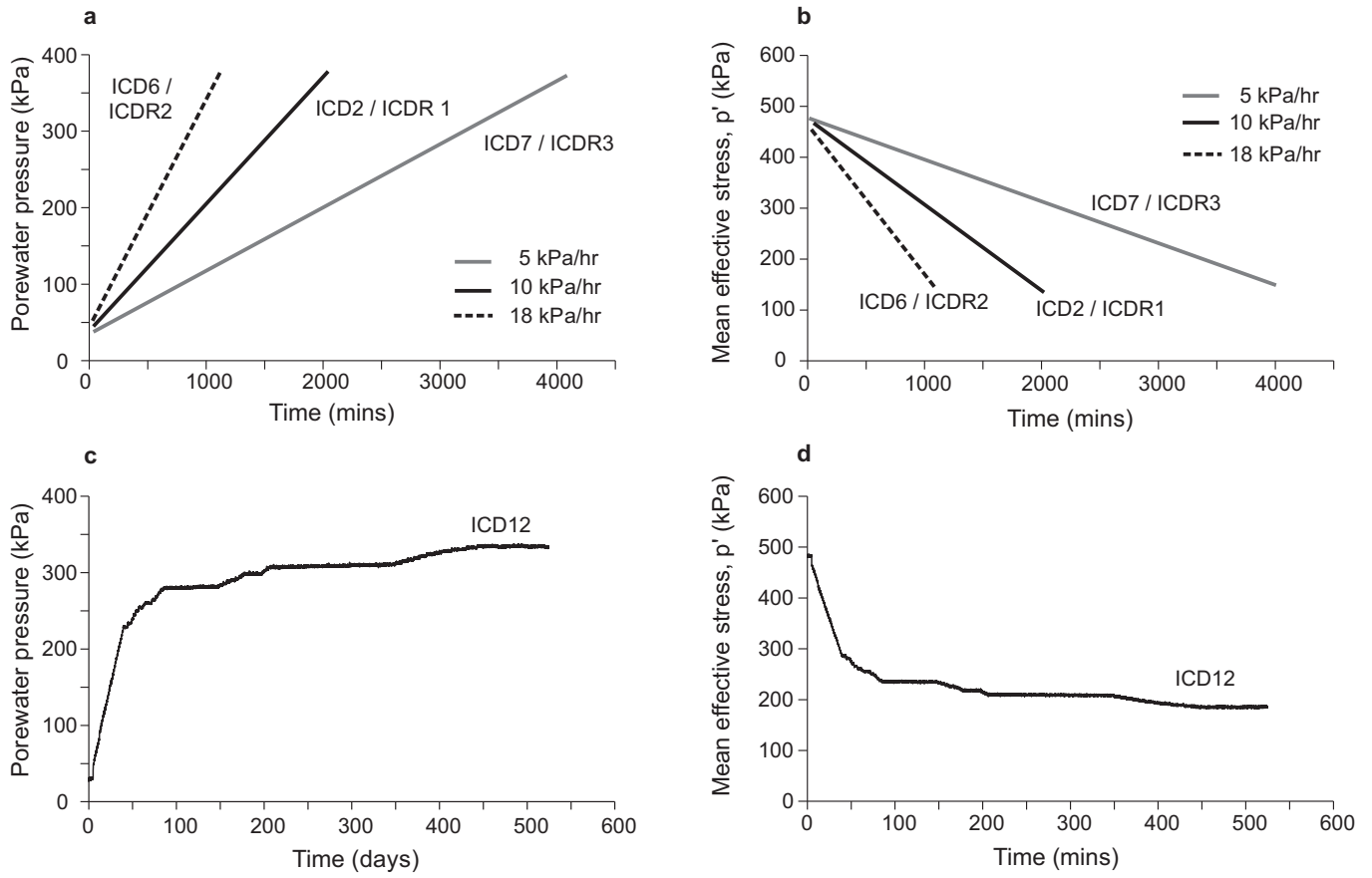


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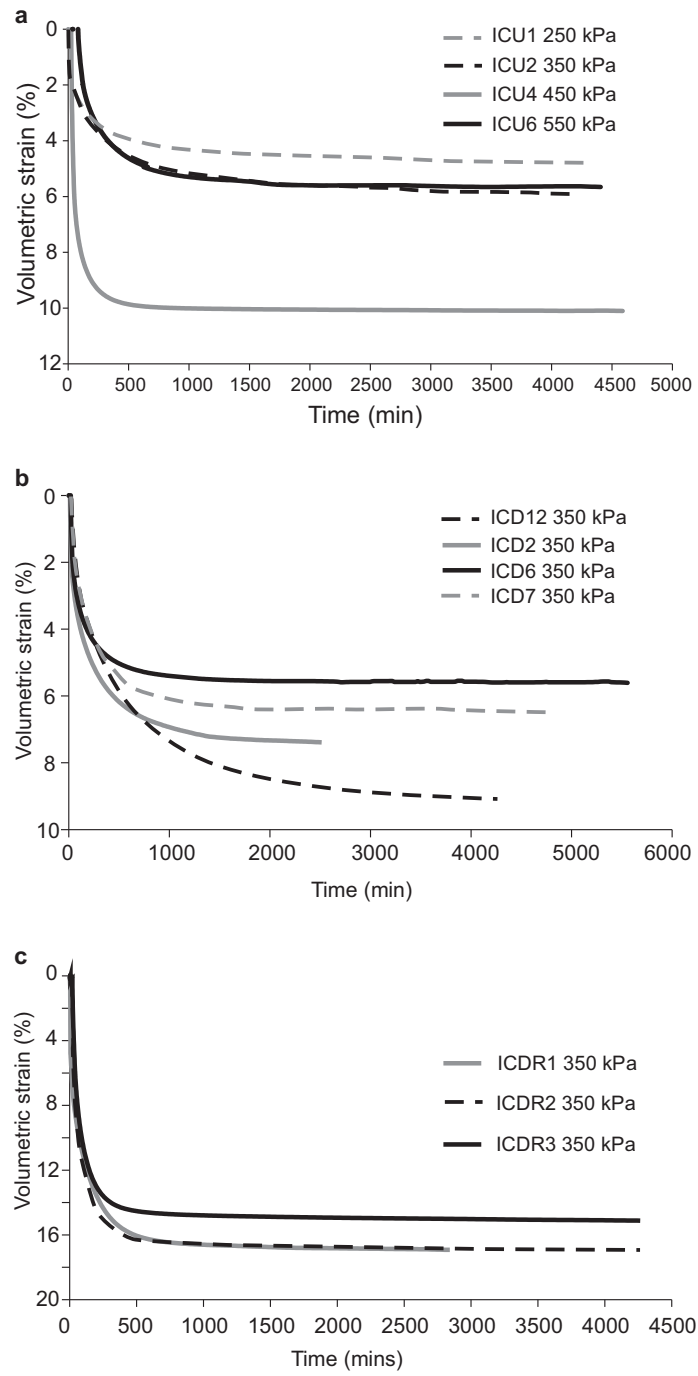


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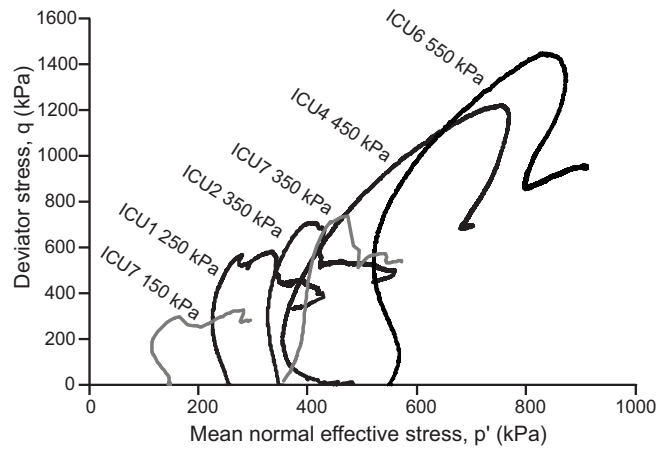


Figure 6

Figure 7
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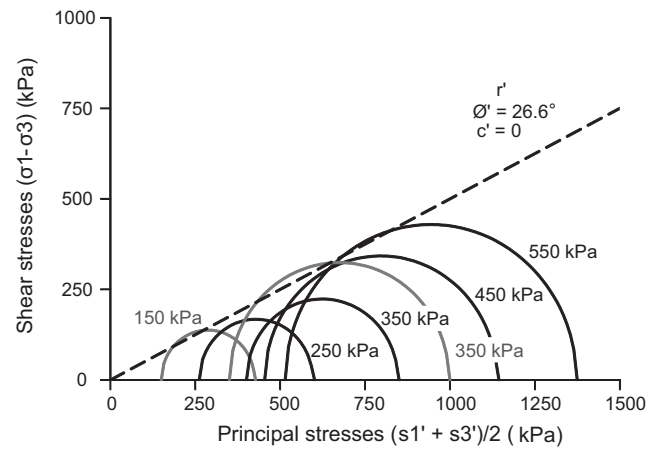
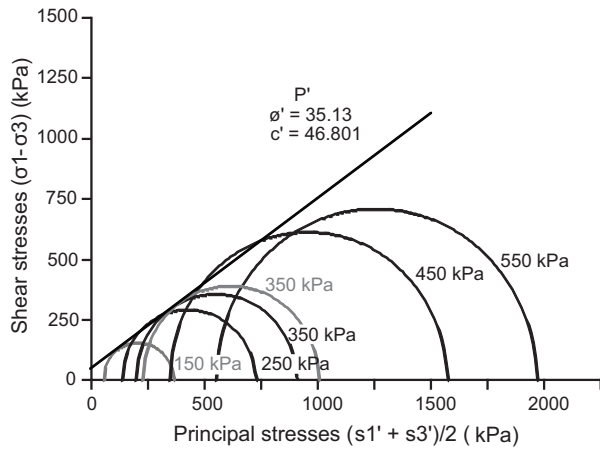


Figure 7

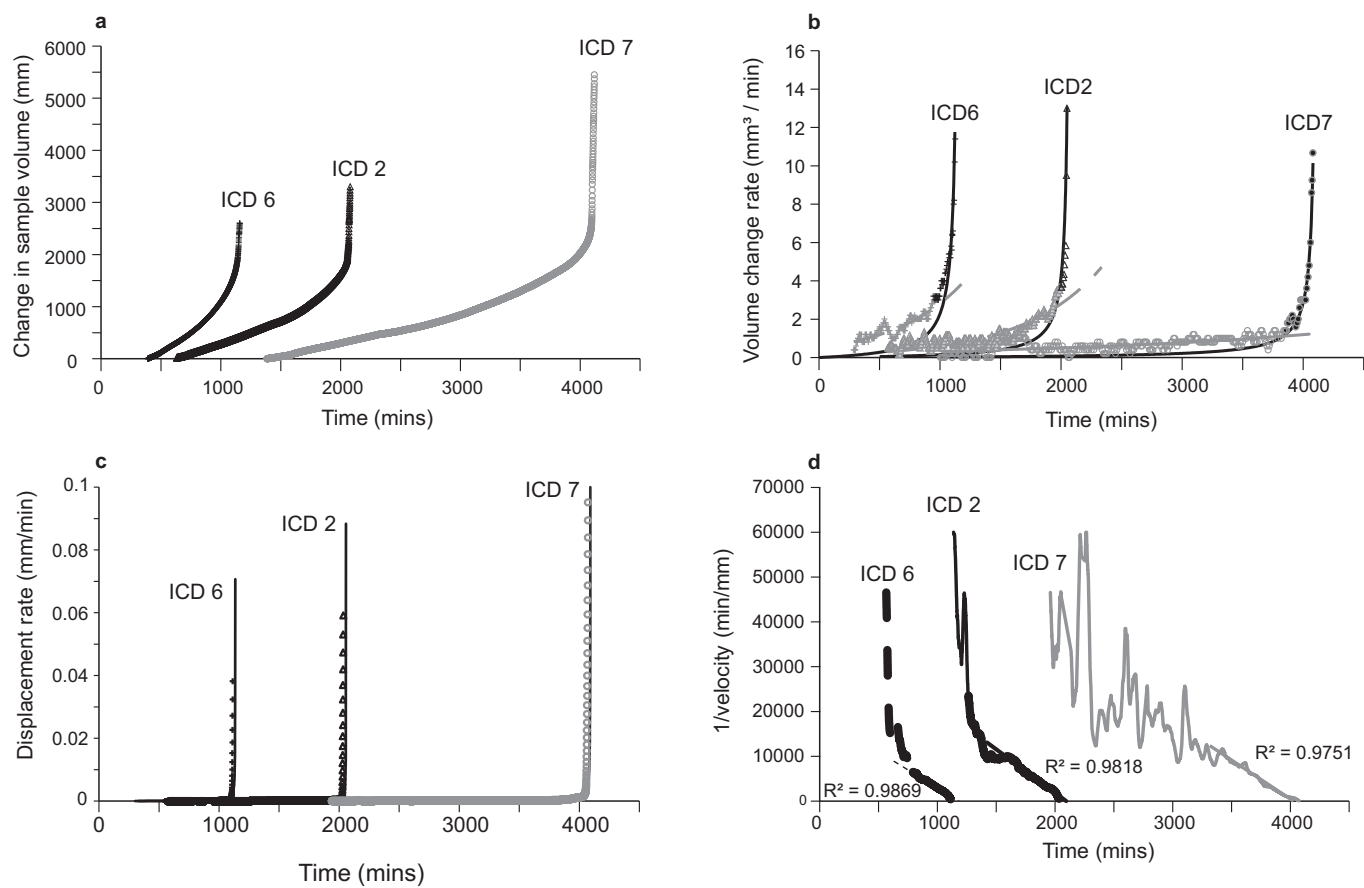


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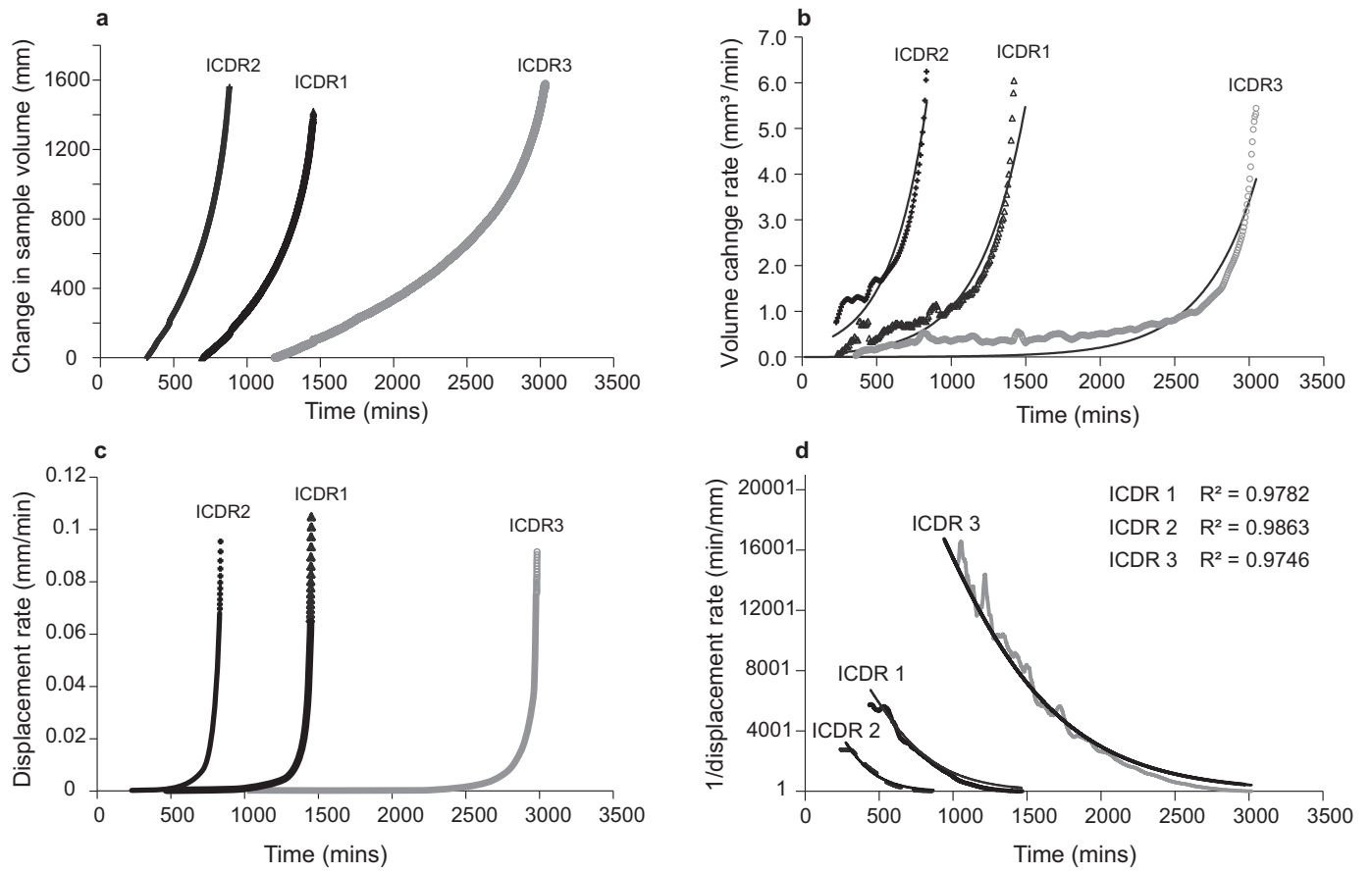


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Figure 10
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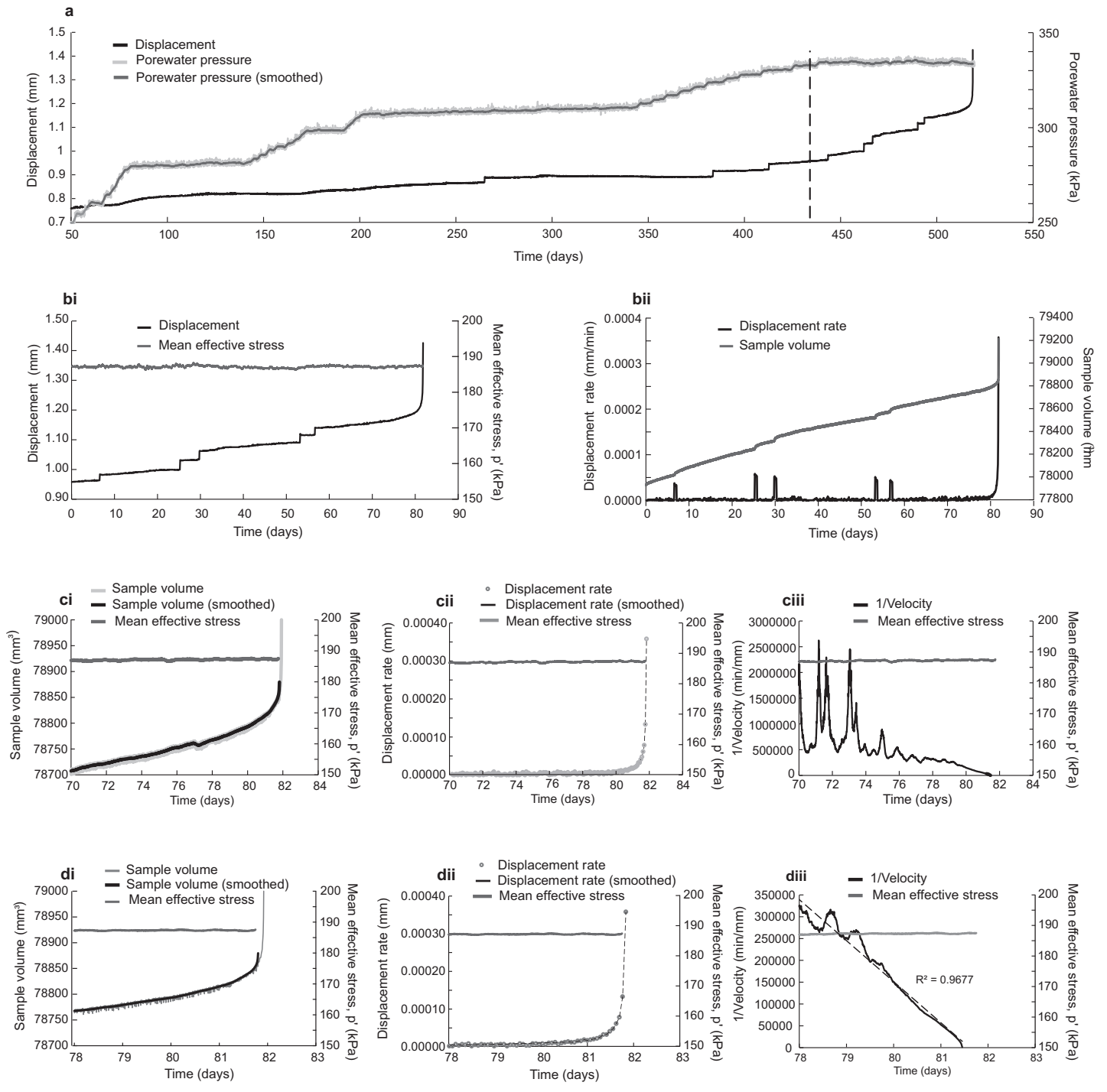


Figure 10

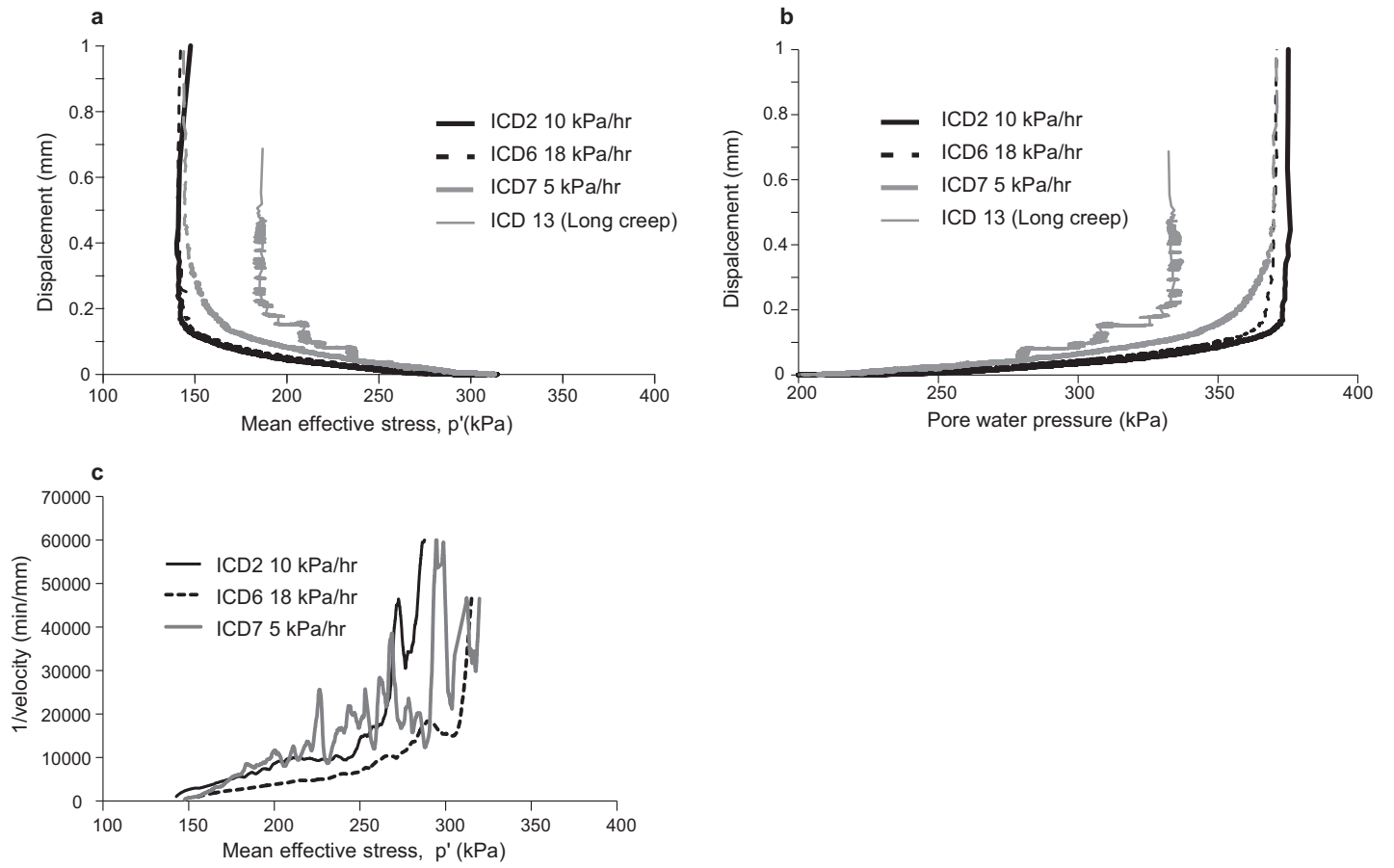


Figure 11

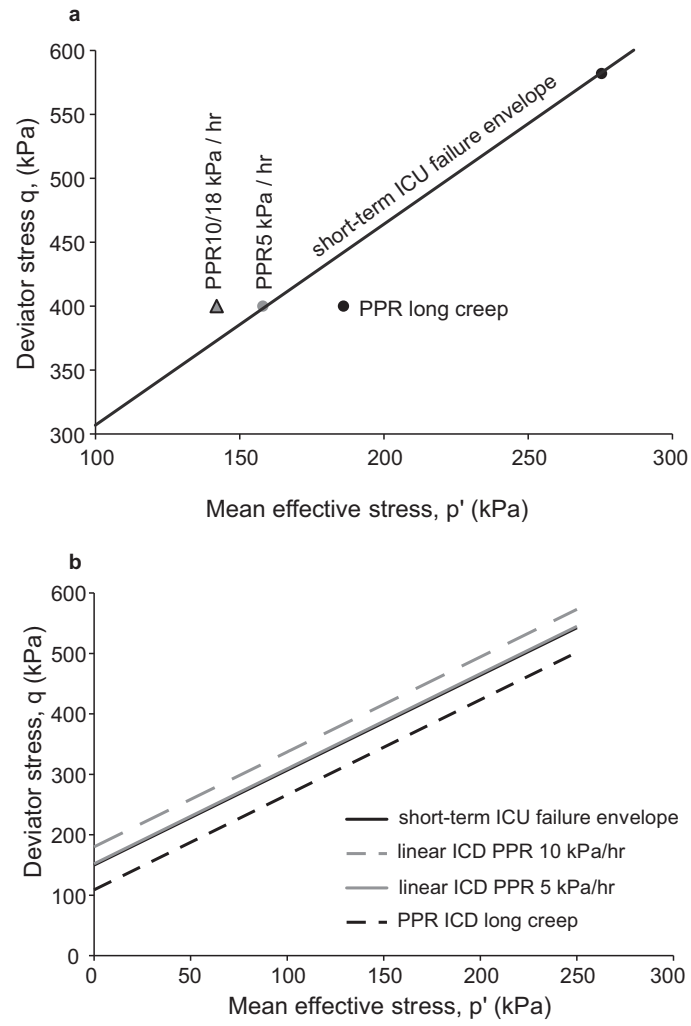


Figure 12